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## Understanding and Controlling Chemical Modifications of Rubicene for Their Envisioned Use as Molecular Organic Semiconductors

Journal:	<i>The Journal of Physical Chemistry</i>
Manuscript ID	jp-2016-10566b
Manuscript Type:	Article
Date Submitted by the Author:	19-Oct-2016
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3 Understanding and Controlling Chemical Modifications of Rubicene for Their Envisioned Use as  
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5 Molecular Organic Semiconductors

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11  
12 **ABSTRACT**

13  
14 We theoretically discuss here the relationship between the structure of a set of halogenated and cyanated  
15 molecules containing the rubicene moiety, and a set of relevant electronic properties related to the opto-electronic  
16 and semiconductor character of these systems, namely: frontier molecular orbital shape and energy levels, electron  
17 affinity, ionization potential, reorganization energy, and electronic coupling between neighboring dimers, calculated  
18 over experimental (x-ray) or simulated crystal structures. To do it, we always employ accurate and validated Density  
19 Functional Theory methods. The obtained results will be compared with some reference organic semiconductor  
20 systems, in order to determine the potential use of the studied compounds in the fabrication of opto-electronic  
21 devices.  
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## 1. INTRODUCTION

One of the main challenges in the field of Nanoelectronics is the development of new materials to be efficiently used in opto-electronic devices such as organic field-effect transistors (OFETs), organic light-emitting diodes (OLEDs) or solar cells, and, more recently, in organic radiofrequency identification (RFID) tags, biosensor or integrated circuits.<sup>1-3</sup> They are aimed at providing cost-effective production of flexible electronic components; i. e., the fabrication of devices over large areas and lightweight flexible substrates with a high charge-carrier mobility of the semiconductor materials used within the active layer. In the last years, intense and worldwide efforts are focused on the use of carbon-based materials, such as acenes,<sup>4</sup> fullerenes,<sup>5,6</sup> graphene nanoribbons,<sup>7,8</sup> nanorings,<sup>8</sup> etc., acting as organic semiconductors able to compete with inorganic materials. In that sense, the set of molecules known as polycyclic aromatic hydrocarbons (PAHs) have been widely used, due to their high charge mobility ( $\mu > 1 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ ) mainly attributed to a favored  $\pi$ - $\pi$  stacking between adjacent molecules, thus resulting in strong electronic coupling between them. An interesting example of PAH, not yet fully explored, is provided by rubicene ( $\text{C}_{26}\text{H}_{14}$ ) (figure 1a) whose backbone consists of five rings with three linearly fused rings and one benzene ring at each diagonal side, thus keeping a planar  $\pi$ -orbital surface. Note that this molecule has been used as a p-type semiconductor in bilayer organic solar cells<sup>10</sup> and also constitutes a nanofragment of fullerenes. Moreover, dibenzo[a,m]rubicene ( $\text{C}_{34}\text{H}_{18}$ ) has also been synthesized as a cyclo-penta-fused PAH, resulting of the fusion of two benzene rings to rubicene (figure 1b).<sup>11</sup>

However, as far as we know, no systematic studies about rubicene or its derivatives have been carried out. We have thus studied in this work, from a theoretical point of view: i) the structural and electronic changes (see figure 1) induced by the systematic introduction of substituents or fused-benzene rings at selected positions; ii) the semiconductor properties of all the pristine and the newly derived systems, attempting to set up their promising role in the fabrication of devices, acting possibly as ambipolar semiconductors, and trying thus to establish structure-properties relationships from isolated to crystalline samples.

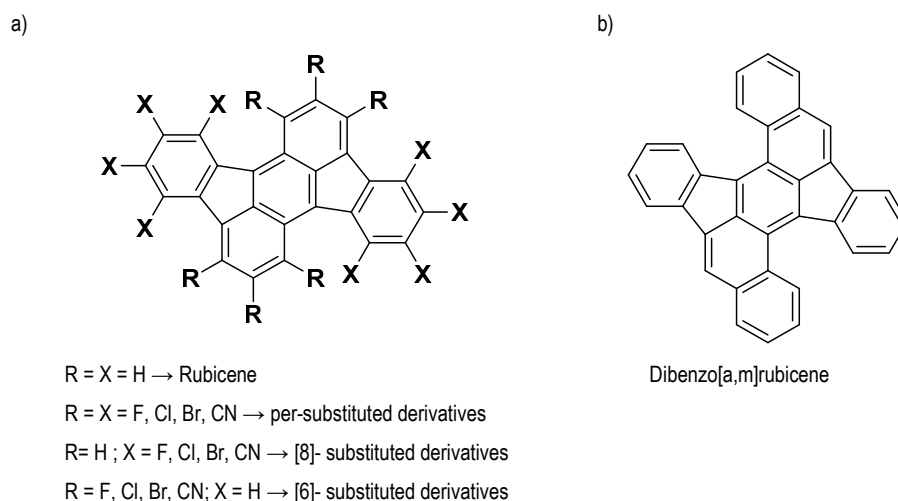


Fig. 1. Chemical structure of rubicene and its derivatives (left) and dibenzorubicene (right)

## 2. THEORETICAL CONSIDERATIONS

In typical  $\pi$ -conjugated organic crystals with small bandwidths ( $< 1\text{eV}$ ) and at room temperature, the charge motion generally occurs by a hopping mechanism, which can be described as a self-exchange charge-transfer (CT)

reaction between two identical molecules in the absence of an external electric field. This self-exchange CT process is generally described within the framework of the Marcus-Levich-Jortner (MLJ)<sup>12,13</sup> model, which expresses the rate constant for charge transfer ( $k_{CT}$ ) as:

$$k_{CT} = \frac{4\pi^2}{h} V_{if}^2 \sqrt{\frac{1}{4\pi\lambda_o k_B T}} \sum_{n=0}^{\infty} \left[ \exp(-S_{eff}^n) \times \frac{S_{eff}^n}{n!} \times \exp\left(\frac{-(\lambda_o + n\hbar\omega_{eff} + \Delta G^0)^2}{4\lambda_s k_B T}\right) \right] \quad (1)$$

where  $k_B$  and  $\hbar$  are the Boltzmann's and Planck's constants, respectively;  $T$  is the temperature, fixed here at 298.15 K;  $V_{if}$  and  $\lambda_o$  stand for, respectively, the charge transfer integral and the classical contribution (mostly the external) to the reorganization energy, fixed the latter here at 0.1 eV according to previous estimates,<sup>14,15</sup> and  $\Delta G^0$  is the energy difference between the electronic states involved in the charge transfer process (equal to zero in the self-exchange process). In the MLJ formalism, a single effective mode with an energy  $\hbar\omega_{eff}$ , which represents all the intramolecular modes, is treated at the quantum-mechanical level via the effective Huang–Rhys factor  $S_{eff} = \lambda_i/\hbar\omega_{eff}$  ( $\hbar\omega_{eff}$  was set here equal to 0.2 eV, which is the typical energy of C–C stretching modes).<sup>16–18</sup> In contrast, the intermolecular modes are treated classically through the  $\lambda_o$  parameter. Generally speaking, in organic crystals, the outer contribution is of the order of a tenth of an electronvolt or, even, lower, contrary to charge transfer processes in solution wherein the external part dominates.<sup>19–22</sup> Different values for  $\lambda_o$ , ranging between 0.01 and 0.2 eV, have been proposed and employed in the literature.<sup>23–26</sup> In that sense, as we commented above,  $\lambda_o$  has been fixed at 0.1 eV in order to facilitate the comparison with previous rate constants.<sup>14,15</sup>

Despite some limitations imposed by the approximations used to derive equation (1), such as  $V_{if} \ll \lambda$ , or single promoting frequency and high temperature limit, it becomes clear how two key magnitudes govern semi-quantitatively the charge transport in organic crystals. The first one is the reorganization energy, divided in two contributions: internal (which includes only the reorganization energy of the molecules involved in charge transfer) and external (which accounts for any environmental relaxation and changes upon charge hopping) reorganization energy. As we commented above, the external reorganization energy is fixed at 0.1 eV, while the internal,  $\lambda_i$ , is calculated using density functional theory (DFT). Thus,  $\lambda_i$  can be determined, for self-exchange process, as a sum of two terms which corresponds to the geometry relaxation energies upon going from the neutral-state geometry to the charged-state one and *vice versa* (Nelsen four-point method)<sup>27,28</sup>

$$\lambda_i = \lambda_1 + \lambda_2 \quad (2)$$

$$\lambda_1 = E^0(G^*) - E^0(G^0) \quad (3)$$

$$\lambda_2 = E^*(G^0) - E^*(G^*) \quad (4)$$

where  $E^0(G^0)$  and  $E^*(G^*)$  are the ground-state energies of the neutral and ionic states, respectively.  $E^0(G^*)$  is the energy of the neutral molecule at the optimal ionic geometry, and  $E^*(G^0)$  is the energy of the charged state at the optimal geometry of the neutral molecule.<sup>20–22</sup>

The second magnitude is the charge transfer integral,  $V_{if}$ , which describes the strength of the electronic interactions between neighboring molecules, and it thus critically depends on their relative spatial arrangement. The electronic coupling is defined by the matrix element

$$V_{if} = \langle \psi_i | \hat{H} | \psi_f \rangle \quad (5)$$

where  $\hat{H}$  is the electronic Hamiltonian of the whole system and  $\psi_i$  and  $\psi_f$  are the wave-functions of two initial and final charge-localized states,<sup>22,29,30</sup> in the hypothetical absence of any coupling between the molecular units.<sup>31–33</sup> However, we have determined  $V_{if}$  values as one-half of the energy difference between the adiabatic potential energies at the geometry ( $G_c$ ) where the diabatic (localized) potential energy surfaces cross each other<sup>34,35</sup>

$$V_{if} = \frac{1}{2} [E_+(G) - E_-(G)]_{G=G_c} \quad (8)$$

This two-state model involves the solution of the equation

$$\begin{vmatrix} H_{ii} - E & H_{ij} - ES_{if} \\ H_{fi} - ES_{fi} & H_{ff} - E \end{vmatrix} = 0 \quad (9)$$

From which one obtains

$$V_{if} = \frac{1}{1 - S_{if}^2} \left[ H_{if} - \frac{S_{if}(H_{ii} + H_{ff})}{2} \right] \quad (10)$$

with  $S_{if} = \langle \Psi_i | \Psi_f \rangle$ ,  $H_{if} = \langle \Psi_i | \hat{H} | \Psi_f \rangle$ ,  $H_{ii} = \langle \Psi_i | \hat{H} | \Psi_i \rangle$  and similarly  $H_{ff}$ . We have used the unrestricted Hartree-Fock (UHF) wave function to describe the excess charge (both, hole and electron) localized on the initial and final states for a hole or electron transfer reaction. Note that this excess charge has been checked to remain completely localized on one (and only one) of the molecules during the set of calculations performed.

For an  $n$ -dimensional and spatially isotropic system, in which homogeneous charge diffusion can be assumed, the diffusion coefficient for charge-carriers ( $D$ ) can be evaluated as<sup>29</sup>

$$D = \frac{1}{2n} \lim_{t \rightarrow \infty} \frac{\langle [r(t) - r(0)]^2 \rangle}{t} \approx \frac{1}{2n} \lim_{t \rightarrow \infty} \frac{\langle r^2 \rangle}{t} \approx \frac{1}{2n} \sum_i r_i^2 k_i p_i \quad (6)$$

where  $n = 3$  and  $i$  runs over all nearest adjacent molecules while  $r_i$ ,  $k_i$  are, respectively, the corresponding center-to-center hopping distance and the electron transfer rate constant (obtained from eqn. (1)), and  $p_i (= k_i / \sum_i k_i)$  is the hopping probability.<sup>29</sup> In the low (zero) field limit, the charge carrier mobility ( $\mu_{hop}$ ) can be described by the Einstein-Smoluchowsky relation

$$\mu_{hop} = \frac{eD}{k_B T} \quad (8)$$

where  $T$  is the temperature,  $k_B$  is the Boltzmann constant,  $e$  is the electron charge and  $D$  is the diffusion coefficient.

The frontier MOs and the work-function ( $\Phi_m$ ) of the electrode must have close values for an efficient charge injection. An ohmic contact is produced when the energy difference between the frontier MO and  $\Phi_m$  is equal or lower than 0.3 eV. In the case of  $p$ -type semiconductors, the HOMO must be energetically aligned with the Fermi levels of environmentally stable anodes, such as ITO<sup>36</sup> to obtain an efficient hole injection. By contrast, in the case of  $n$ -type semiconductors, the LUMO should match the Fermi level of the electrodes with low work function, such as Na, Cs, Ca, Mg, Ba or Al.<sup>37</sup> Note that interface dipole effects between electrode and semiconductor have not been taken into account,<sup>38</sup> but the comparison of  $\Phi_m$  with HOMO/LUMO energy levels of the semiconductor may help to determine whether charge injection is likely or, on the contrary, if a high contact resistance should be expected. Additionally, the values of the HOMO and LUMO orbitals must range between -4.8 and -5.5 eV, and -3.6 and -4.5 eV, respectively, to improve the stability of the opto-electronic device.<sup>21</sup> There might be possible a limit value for LUMO energy in -4.0 eV, due to the fact that negative charges can react with atmospheric oxidants such as water or oxygen.<sup>39,40</sup>

On the other hand, the ionization potential (IP), electron affinity (EA) and quasiparticle gap (QEG, calculated as the difference between the corresponding adiabatic, AIP and AEA, values) are also key parameters that determine the efficiency of the charge injection from the electrodes and their susceptibility to be reduced or oxidized upon air exposure.<sup>41</sup> Thus, the EA of a semiconductor must be  $\geq 3.0$  eV for an easy electron injection, but not much greater than 4.0 eV to avoid destabilization under ambient conditions.<sup>42</sup> Low IPs facilitate hole injection but too low values can produce unintentional doping.

All these associated energy magnitudes will be also calculated here for the compounds under investigation.

### 3. COMPUTATIONAL DETAILS

The Gaussian09 package (Release D.01)<sup>43</sup> has been employed for the computation of the structural and electronic properties of the studied systems, employing for that the hybrid functional B3LYP<sup>44,45</sup> together with Pople's (6-31+G\*) basis sets. The gas-phase HOMO/LUMO eigenvalues ( $E_{\text{HOMO}}/E_{\text{LUMO}}$ ), IP/EA and  $\lambda_i$  values were extracted from the optimized (and verified) geometrical minima of the isolated systems. Closed-shell calculations for singlets and open-shell calculations for doublets (cationic and anionic species) have been carried out for the different structures. We have chosen the B3LYP model since it yields reasonable conjugated-polymer ground-state structures,<sup>46,47</sup> and, in general, is appropriate for the prediction of electronic structures of polycyclic aromatic hydrocarbons.<sup>48</sup> In addition, that method provides theoretical  $\lambda_i$  energies in good quantitative agreement with the corresponding experimental values from gas phase ultraviolet photoelectron spectroscopy,<sup>49</sup> as well as satisfactory linear relationships between calculated  $E_{\text{HOMO}}/E_{\text{LUMO}}$  and experimental IPs / EAs in such a way that the calculated  $E_{\text{HOMO}}/E_{\text{LUMO}}$  can be used to semi quantitatively estimate EAs/IPs<sup>50-52</sup> and orbital energies.<sup>53</sup>

The unknown crystal structures for some rubicene derivatives were modeled with the PBE<sup>54</sup> exchange-correlation functional and a numerical double- $\zeta$ + polarization atomic orbital basis set, where the ions are described with norm-conserving Troullier–Martins pseudopotentials, as well as with PBE using a Grimme's dispersion correction term<sup>55</sup> with a DZP basis set, i.e., PBE-D/DZP, using the SIESTA code.<sup>56</sup> All of the atomic positions and lattice parameters were relaxed using the conjugated gradient minimization method. The theoretical solid-state structures obtained in this study were modeled departing from the one experimentally obtained for the rubicene crystal, which was indeed previously employed as a benchmark to validate the methodology. Afterwards, the transfer integral parameters  $V_{if}$  were calculated for the different pairs of molecules extracted from both the X-ray structures and modeled crystals.  $V_{if}$  calculations were carried out using the electron transfer module implemented in NWChem 6.5 package<sup>57</sup> over each dimer and by using the UHF/cc-pVDZ level of theory.

## 4. RESULTS AND DISCUSSION

### 4.1. Molecular structures

We have first checked how the introduction of substituents in rubicene and dibenzorubicene might change the relevant dihedral angles (see figure 2), which could be related with the stacking and solid-state packing of dimers.<sup>10,11</sup> Moreover, we have also analyzed the dibenzorubicene structure and compared it with the experimental results.<sup>10,11</sup> Table 1 shows the calculated values (at the B3LYP/6-31+G\* level) for the dihedral angles.

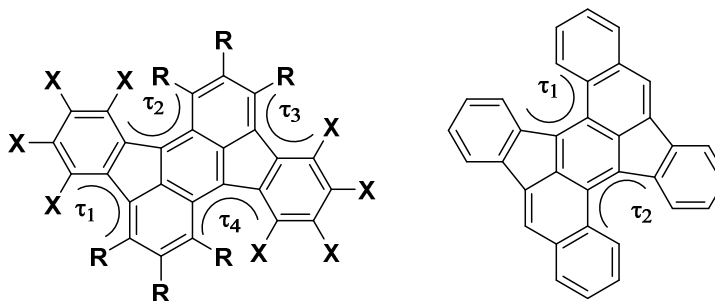
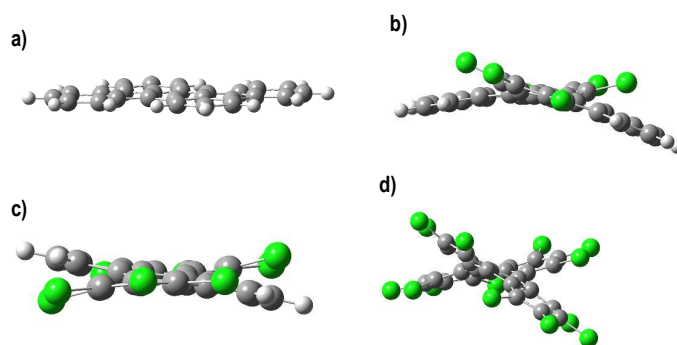


Fig. 2. Sketch of relevant dihedral angles of rubicene and its derivatives (left) and dibenzorubicene (right)

**Table 1.** Dihedral angles (in degrees) of rubicene structure and its derivatives, calculated at B3LYP/6-31+G\* level of theory. A positive (negative) value for  $\tau$  implies a clockwise (anti-clockwise) orientation in the measurement of the angles.

Compounds	$\tau_1$	$\tau_2$	$\tau_3$	$\tau_4$
Rubicene	0.00	0.00	0.01	0.00
6F-Rubicene	0.00	0.00	0.00	0.00
6Cl-Rubicene	5.18	-10.08	5.16	-10.08
6Br-Rubicene	5.10	-9.69	5.08	-9.72
6CN-Rubicene	3.99	-8.74	3.99	-8.73
8F-Rubicene	0.00	0.00	0.00	0.00
8Cl-Rubicene	-14.65	7.22	14.67	-7.23
8Br-Rubicene	-3.52	28.44	-3.52	28.44
8CN-Rubicene	-1.99	15.53	-1.99	15.53
pF-Rubicene	6.56	-18.69	6.56	-18.70
pCl-Rubicene	31.55	-27.45	31.56	-29.28
pBr-Rubicene	36.67	-30.03	36.66	30.03
pCN-Rubicene	23.99	-25.21	23.99	-25.21
Dibenzorubicene	17.43	17.44		

As we initially expected, the rubicene molecule remains planar, agreeing with the experimental structure previously published,<sup>10</sup> in which the crystal structure shows, apparently, a planar and conjugated system. However, we have observed that the introduction of some substituents disrupts this planarity. Actually, the introduction of Cl, Br or CN substituents greatly distorts the unsubstituted structure (see figure 3), independently of the number of introduced substituents (see table 1), in order to reduce the steric hindrance. In the case of fluorine atoms as substituents, some changes have been only observed for pF-rubicene, obtaining dihedral angles close to zero for the set of systems considered. With respect to the dibenzorubicene molecule, the dihedral angles between both planes are similar (around 17°) while the experimental structure shows values of 13.9° and 16.2°, and thus losing the symmetry, due probably to packing effects.<sup>10</sup> In fact, dibenzorubicene is known to have two different conformers, showing the most stable equal values for the dihedral angles, in agreement with our gas-phase estimates.<sup>10</sup>



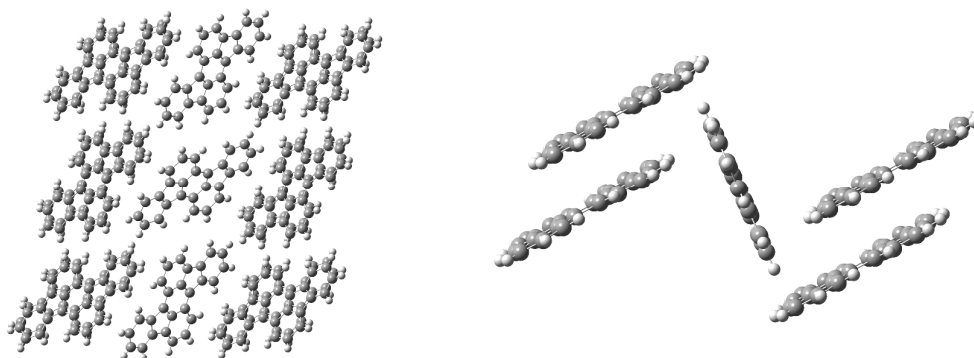
**Fig. 3.** Selected view of a) rubicene, b) 6Cl-rubicene, c) 8Cl-rubicene and d) pCl-rubicene, respectively, clearly showing the changes in dihedral angles upon substitution.

On the other hand, we have further modelled some unknown crystal structures taking as starting point the X-ray data of rubicene,<sup>10</sup> after carefully assessing the methodology first. Due to the large geometrical differences between rubicene and their substituted derivatives (see figure 3 and table 1), we have only tackled the 6-fluorinated

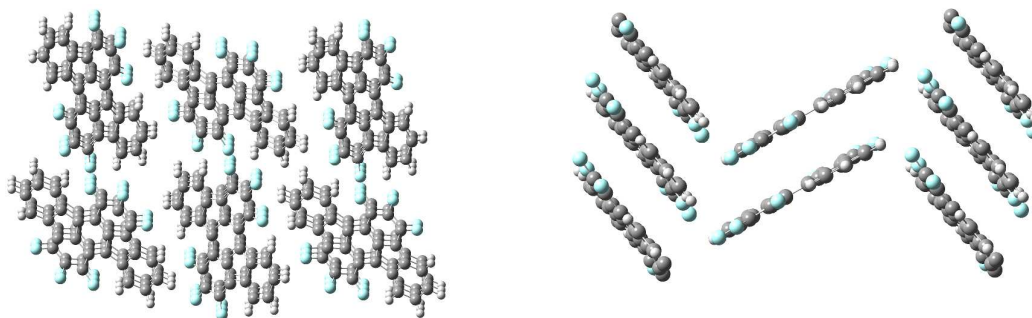
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derivative. Table 2 shows the correspondingly calculated lattice parameters for rubicene and its fluorinated derivatives. As we observe, no large differences between experimental and theoretical structures (in the case of rubicene) have been found, which validates the methodology employed so far. Therefore, we have correspondingly simulated the crystal structure of 6F-rubicene (see figure 4) whose arrangements are expected to be similar to rubicene. Figure 4 displays the 3D view of rubicene and 6F-rubicene structures, both showing a herringbone disposition although 6F-rubicene also features some T-shaped stacking. These results resemble those reported before for pentacene crystals, where a change in the crystal configuration is observed on going from pentacene to perfluorinated pentacene.<sup>58-60</sup> Interestingly, a more pronounced cofacial stacking (see figure 4) is obtained after fluorination which, in turn, may improve the electronic coupling (vide infra). A similar behavior has been previously observed in other systems such as diphenyltetrazine derivatives<sup>14</sup> or dibenzodifuranone-based oligo(*p*-phenylenevinylene) (BDOPV) derivatives.<sup>61</sup>

a)



b)



**Figure 4.** Molecular arrangements from the X-ray crystal structure of rubicene (top) and simulated crystal structure of 6F-rubicene (bottom).



**Table 2.** Crystallographic lattice parameters (a, b, c,  $\alpha$ ,  $\beta$  and  $\gamma$ ) for the studied compounds.

Lattice parameters	Rubicene		6F-Rubicene
	Exp <sup>a</sup>	Theory	Theory
a / Å	16.29	16.59	19.10
b / Å	5.14	5.33	4.58
c / Å	19.06	19.63	20.05
$\alpha$ / degrees	90.00	90.00	91.63
$\beta$ / degrees	97.02	96.36	91.70
$\gamma$ / degrees	90.00	90.00	86.73

<sup>a</sup> Values taken from Ref. [10]

#### 4.2. Charge Injection.

The charge injection relies on the energy difference between the energy of the frontier orbitals (HOMO and LUMO) and the work function ( $\Phi_m$ ) of the metal injecting the charge (hole or electron) into the organic layers; and on the ionization potential (IP) / electron affinity (EA), depending on the nature of the semiconductor (*p*- or *n*-type semiconductor), corrected by the interfacial dipoles.<sup>23,38</sup> The dipoles derived from either partial charge-transfer metal-semiconductor, the reduction of the metal work function by the organic layer, or the occupation of the metal-induced density of states in the gap of the organic material, have not been taken into account.<sup>62,63</sup> Although a complete description of the metal/organic interface should account for those specific interactions, the comparison between the free metal work function and the (gas-phase) HOMO/LUMO levels will not give exact information but, nonetheless, give us a qualitative guide for the electron/hole barrier injection, and thus to establish trends within a set of related compounds.<sup>38</sup> In that sense, we have checked the ohmic contact between semiconductor and electrode, from the expression  $|E_{\text{HOMO/LUMO}} - \Phi_m| \leq 0.3 \text{ eV}$ .<sup>42</sup>

Table 3 shows the energy values for HOMO and LUMO orbitals and the corresponding energy difference  $\Delta E_{\text{LUMO-HOMO}}$ . The values calculated here are close to those previously calculated for rubicene<sup>10</sup> and dibenzorubicene,<sup>11</sup> which are between -2.54 eV and -2.68 (for LUMO orbital) and -5.29 eV and -5.67 (for HOMO orbital), respectively. The values of the rest of compounds differ from those obtained before for other state-of-the-art molecules such as tetracene (-2.09 and -4.87 eV), pentacene (-2.40 and -4.61 eV) or rubrene (-2.09 and -4.69 eV), calculated at the B3LYP/6-31G\*\* level, and other fused-PAH (such as corannulene, circumtrindene, hemifullerene or circobiphenyl) whose LUMO energy (calculated at B3LYP/6-31G\* level) are lower than the values calculated in this work.<sup>64</sup> Moreover, the halogenation exhibits the well-known effect of decreasing the energy of the orbitals, normally, leading to a more favored charge injection. In that sense, as we stated before, a low LUMO energy facilitates the electron injection, and could also help to enhance the environmental stability of the material, although there is not still a general guideline for prediction of the air stability for *n*-type semiconductors. On the other hand, a high HOMO energy eases the hole injection, for which a good ohmic contact is predicted for almost all systems, except for pCN-Rubicene structure, with a large variety of metal oxides such as  $\text{WO}_3$  ( $\Phi_m = -6.8 \text{ eV}$ ),  $\text{MoO}_3$  ( $\Phi_m = -6.8 \text{ eV}$ ),  $\text{NiO}$  ( $\Phi_m = -6.3$ ),  $\text{CuO}$  ( $\Phi_m = -5.9 \text{ eV}$ ), and  $\text{MoO}_2$  ( $\Phi_m = -5.9 \text{ eV}$ );<sup>65,66</sup> while the most useful electrodes, such as Ca ( $\Phi_m = -2.9 \text{ eV}$ ), Mg ( $\Phi_m = -3.7 \text{ eV}$ ), and Al ( $\Phi_m = -4.3 \text{ eV}$ )<sup>37</sup> could be used to produce ohmic electron-injection contact with the majority of the studied molecules. Again, pCN-Rubicene does not produce ohmic contact with any electrodes, and its use is thus not recommended.

Indium tin oxide (ITO) ( $\Phi_m = -4.7$  eV)<sup>36</sup> and gold electrodes (Au) ( $\Phi_m = -5.1$  eV)<sup>37,67</sup> are usually employed as electrodes for ambipolar semiconductors, but their  $\Phi_m$  values are not low enough to ensure ohmic hole-injection contact or electron injection with the majority of the herein studied molecules. This drawback can be overcome by employing electrodes with lower  $\Phi_m$  values such as chlorinated ITO (Cl-ITO) ( $\Phi_m = -6.1$  eV) or gold electrodes with self-assembled monolayers of alkanethiols or polythiols ( $\Phi_m = -5.5 - -5.8$  eV)<sup>68</sup> which may make easier the hole injection into the semiconductor,<sup>69</sup> but it conversely could hinder electron injection due to the increase of the  $\Phi_m - E_{\text{LUMO}}$  gap. Hence, molecules with narrow band gaps are more suited to be employed as ambipolar semiconductors with the same electrode. In general, the calculated  $\Delta E_{\text{LUMO-HOMO}}$  are not narrow enough to ensure that both ohmic hole- and electron injection contacts are produced with the same type of electrode. Only in the case of cyanated derivatives is possible to predict an ambipolar character. However, as we commented above, the pCN-rubicene compound has very high orbital energy values, which is expected to preclude an efficient charge injection.

Observing the energy of the LUMO orbitals, and contrary to other studied structures,<sup>19,31</sup> the introduction of halogenated or cyanated substituents does not produce changes in the orbital energy values, in order to improve (or increase) the *n*-type semiconductor character of the derivative systems. In these structures, the introduction of halogenated or cyanated substituents yields a stabilization effect of the electronic structure, increasing the *p*-type semiconductor character of the systems. In that sense, we have observed that the introduction of halogenated or cyanated groups yields a stabilization of the LUMO orbital, with a decrease in the LUMO energy. This effect is more pronounced in the case of cyanated-rubicene derivatives, which could be due to changes in the shape of LUMO and (L+1)UMO orbitals (see Figure 1S in the Supporting Information). Note that the orbitals are not totally delocalized over all the molecules, as opposed to the rest of the studied molecules, which could generate a stabilization of the LUMO orbitals.

**Table 3.** Energy values for HOMO and LUMO orbitals, and  $\Delta E_{\text{LUMO-HOMO}}$  (eV) for rubicene and its derivatives, as calculated at B3LYP/6-31+G\* level

Compounds	HOMO (eV)	LUMO (eV)	$\Delta E_{\text{LUMO-HOMO}}$ (eV)
Rubicene	-5.559	-2.847	2.712
6F-Rubicene	-6.116	-3.420	2.696
6Cl-Rubicene	-6.079	-3.522	2.557
6Br-Rubicene	-6.031	-3.510	2.521
6CN-Rubicene	-7.108	-4.911	2.197
8F-Rubicene	-6.236	-3.545	2.690
8Cl-Rubicene	-6.200	-3.552	2.648
8Br-Rubicene	-6.139	-3.522	2.616
8CN-Rubicene	-7.536	-4.964	2.572
pF-Rubicene	-6.696	-4.102	2.593
pCl-Rubicene	-6.464	-4.032	2.432
pBr-Rubicene	-6.326	-3.935	2.391
pCN-Rubicene	-8.652	-6.364	2.288
Dibenzorubicene	-5.608	-2.612	2.995

Table 4 shows the vertical (VEA and VIP), adiabatic (AEA and AIP) and the quasiparticle energy gap (QEG) values estimated for rubicene (and its substituted derivatives) as well as for dibenzorubicene. As we know, to ensure the air stability of the material, its EA must be ranged between 3.4 and 4.0 eV, condition that it is only satisfied by all per-substituted derivatives, except pCN-rubicene. On the other hand, the IP must be low enough to

allow an easy hole injection into the HOMO orbital, with the lowest value obtained for cyanated derivatives. With respect to the QEG (the gap between these two values), the calculations show that the narrower gap corresponds to per-substituted rubicene (with the exception of pF-Rubicene) and the 6CN-derivative, which could indicate that these compounds may undergo an ambipolar behavior.

**Table 4.** Calculated electron affinity (AEA and VEA), ionization potential (AIP and VIP), quasiparticle energy gap (QEG) and reorganization energy ( $\lambda_i^+$  and  $\lambda_i^-$ ) values for rubicene and its derivatives, as calculated at B3LYP/6-31+G\* level.

Compounds	AIP (eV)	VIP (eV)	AEA (eV)	VEA (eV)	QEG / eV	$\lambda_i^+$ (eV)	$\lambda_i^-$ (eV)
Rubicene	6.760	6.831	1.681	1.581	5.079	0.146	0.198
6F-Rubicene	7.294	7.383	2.269	2.140	5.025	0.184	0.256
6Cl-Rubicene	7.157	7.215	2.458	2.353	4.699	0.193	0.207
6Br-Rubicene	7.068	7.160	2.485	2.392	4.583	0.188	0.183
6CN-Rubicene	8.164	8.269	3.837	3.776	4.327	0.217	0.122
8F-Rubicene	7.420	7.509	2.395	2.268	5.025	0.179	0.253
8Cl-Rubicene	7.268	7.340	2.514	2.392	4.754	0.147	0.243
8Br-Rubicene	7.155	7.227	2.528	2.408	4.627	0.146	0.240
8CN-Rubicene	8.612	8.661	3.971	3.858	4.641	0.098	0.225
pF-Rubicene	7.846	7.954	2.976	2.823	4.870	0.219	0.305
pCl-Rubicene	7.439	7.521	3.083	2.955	4.356	0.166	0.254
pBr-Rubicene	7.241	7.316	3.053	2.930	4.188	0.147	0.235
pCN-Rubicene	9.607	9.663	5.421	5.333	4.186	0.114	0.172
Dibenzorubicene	6.671	6.765	1.561	1.475	5.110	0.196	0.172

#### 4.3. Charge transport

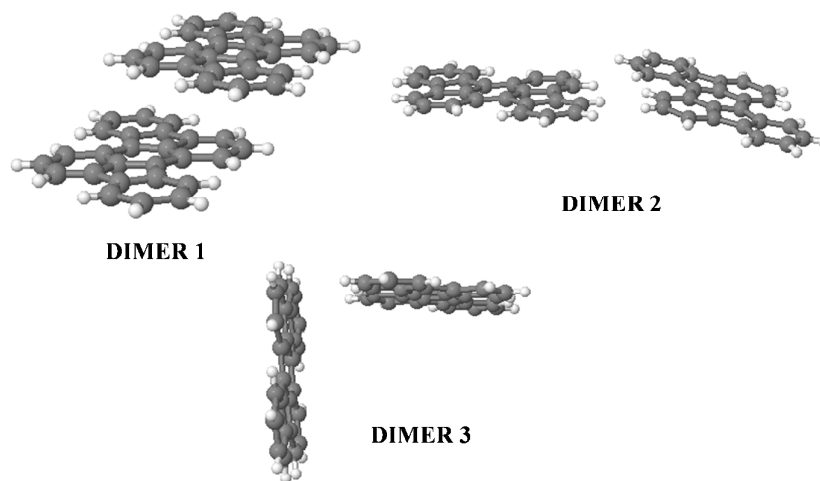
The calculated  $\lambda_i$  values are also shown in table 4. All the values are comprised between 0.098 to 0.219 eV for holes and from 0.122 to 0.305 eV for electrons, obtaining the lowest (highest) values for hole injection for pCN-rubicene (pF-rubicene), respectively; while the lowest (highest) values for electron injection have been obtained for 6CN-rubicene (pF-rubicene), respectively. This behavior, i.e. the increase of  $\lambda_i$  values with the introduction of fluorinated substituents, has been previously observed in other acenes such as anthracene, tetracenes, pentacene, pyrenes or circumacenes<sup>70</sup> and some tri-isopropylsililpentacene derivatives.<sup>71</sup> Note that the halogenation does not generally reduce the  $\lambda_i$  values obtained for rubicene, unless for hole transport in some derivative structures (i. e., for 8CN-rubicene derivative). In spite of it, these calculated  $\lambda_i$  are in the range of other acene derivatives typically used as n-type semiconductor such as perfluoropentacene (0.24 eV)<sup>72</sup> and some diimides (0.24 – 0.35 eV)<sup>73</sup> calculated at the B3LYP/6-31++G\*\* level, and much lower than for some trifluoromethylated PAH (0.35 – 0.55 eV)<sup>74</sup> calculated at the B3LYP/6-1G\*\* level; and similar to other organic compounds used as p-type semiconductors such as halogenated tri-isopropylpentacene derivatives (0.134 – 0.168 eV)<sup>71</sup> calculated at the B3LYP/6-311++G\*\*/B3LYP/6-31G\*\* level of theory, and lower than some trifluoromethylated PAH (0.30 – 0.50 eV)<sup>74</sup> calculated at the B3LYP/6-1G\*\*.

Table 5 shows the calculated values of  $V_{ij}$ , the charge transport rates ( $k_{CT}$ ) and the associated mobilities ( $\mu$ ). Incorporating the calculated values of reorganization energy and electronic coupling to the corresponding equations, we have also estimated the charge transport rate (eq. 1) and the mobility (eq. 8) for both charge carriers (hole and electron). As we expected, the dimer in coplanar dispositions, i. e. dimer 1, 7 and 1 for the (experimental or simulated) crystal of rubicene, dibenzorubicene and 6F-rubicene, respectively (see figures 5 - 7), yields the lowest distance between center-to-center dimers. Logically, these lowest distances are correlated with the highest electron

coupling, and them, with the most favorable charge transport rates for hole charge carriers. As we observed above, and unlikely expected, the introduction of halogenated substituents increases the  $p$ -type character of the studied structures. In that sense, for the pristine rubicene, the hole character is 9-fold factor higher than electron character, which increase until 30-fold factor when some hydrogen atoms are substituted for fluorine atoms.

**Table 5.** Calculated  $V_{ij}$  (meV) values for Rubicene, its 6F-derivative and Dibenzorubicene, along with the center-to-center distance between dimers ( $r$ , Å) and the estimated values for  $k_{CT}$  ( $s^{-1}$ ),  $\mu$  ( $V^{-1} cm^2 s^{-1}$ ) and the ratio  $\mu^+/\mu^-$

	Dimer	$r / \text{Å}$	Hole			Electron			
			$V_{if} / \text{meV}$	$k_{CT} / s^{-1}$	$\mu / V^{-1} cm^2 s^{-1}$	$V_{if} / \text{meV}$	$k_{CT} / s^{-1}$	$\mu / V^{-1} cm^2 s^{-1}$	$\mu^+ / \mu^-$
Rubicene	1	5.14	177.8	$3.07 \times 10^{14}$	5.2	67.0	$2.67 \times 10^{13}$	0.6	9.1
	2	8.81	22.9	$1.79 \times 10^{11}$		8.0	$3.26 \times 10^{11}$		
	3	8.81	22.9	$1.79 \times 10^{11}$		7.7	$3.26 \times 10^{11}$		
Dibenzo Rubicene	1	11.15	51.5	$2.26 \times 10^{13}$	2.9	0.4	$9.74 \times 10^8$	0.6	4.6
	2	10.95	13.3	$1.51 \times 10^{12}$		22.9	$3.67 \times 10^{12}$		
	3	11.85	6.7	$3.85 \times 10^{11}$		44.3	$1.48 \times 10^{13}$		
	4	15.12	12.1	$1.24 \times 10^{13}$		33.9	$8.70 \times 10^{12}$		
	5	11.85	6.2	$3.32 \times 10^{11}$		10.6	$8.53 \times 10^{11}$		
	6	12.40	5.2	$2.32 \times 10^{11}$		0.2	$4.35 \times 10^8$		
	7	3.92	190.5	$3.09 \times 10^{14}$		66.7	$3.37 \times 10^{13}$		
	8	10.95	12.2	$1.26 \times 10^{12}$		28.8	$6.28 \times 10^{12}$		
6F-Rubicene	1	4.55	229.3	$4.48 \times 10^{14}$	5.8	44.6	$1.50 \times 10^{13}$	0.2	30.5
	2	11.72	1.6	$2.29 \times 10^{10}$		4.7	$1.70 \times 10^{11}$		
	3	10.80	55.9	$2.66 \times 10^{13}$		12.7	$1.23 \times 10^{12}$		
	4	11.25	0.1	$6.30 \times 10^{07}$		5.4	$2.22 \times 10^{11}$		
	5	11.19	0.8	$4.88 \times 10^{09}$		1.1	$8.46 \times 10^{09}$		
	6	9.68	3.7	$1.18 \times 10^{11}$		6.8	$3.54 \times 10^{11}$		



**Figure 5.** Sketch of the different dimers extracted from the experimental (X-Ray) data for rubicene.

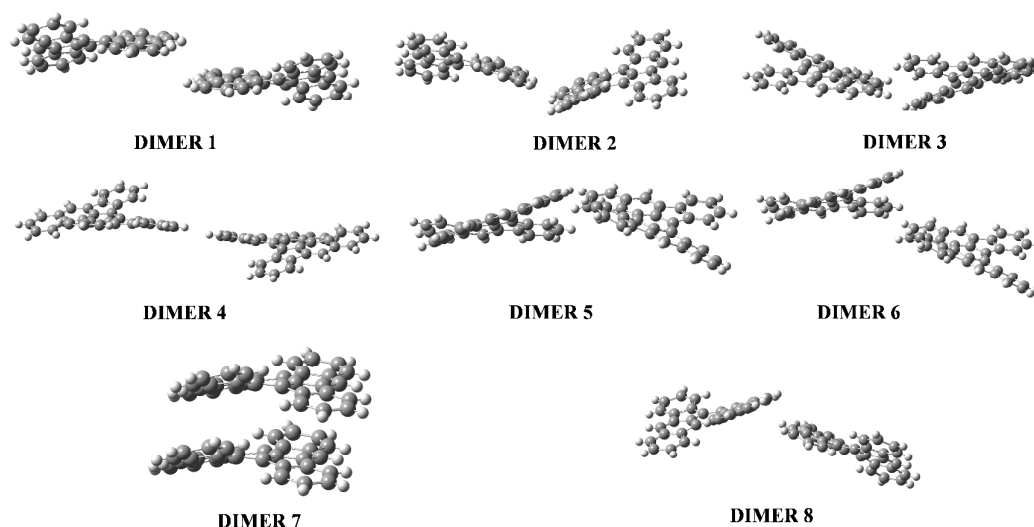


Figure 6. Sketch of the different dimers extracted from the experimental (X-Ray) data for dibenzorubicene

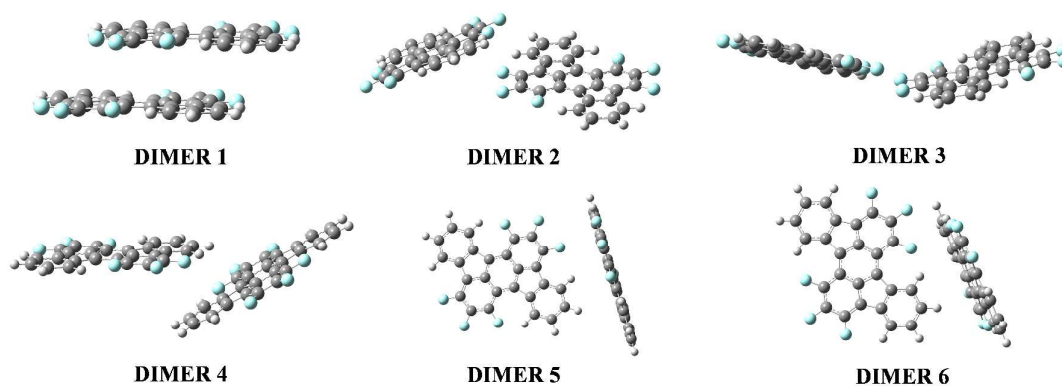


Figure 7. Sketch of the different dimers extracted from the simulated crystal data for 6F-rubicene.

## CONCLUSION

In this work, we have studied the influence of partial or total peripheral substitution of hydrogen atoms by halogen atoms and cyano groups in rubicene. This study focused first on the structural changes of isolated molecules upon substitution, and the subsequent implications for the solid-state packing of the samples.

We have systematically compared some selected dihedral angles, observing that the introduction of some substituents disrupts the planarity of rubicene, in order to reduce the steric hindrance. The crystallographic parameters of rubicene have been accurately reproduced by our calculations, with the purpose to simulate the solid-state structure of 6F-rubicene to disclose then structure-property relationships.

For the study of the semiconductor behavior, and in the case of charge injection properties, we have compared the energy of the frontier (HOMO and LUMO) orbitals with the most commonly used electrodes, observing that only an ohmic contact is possible when some metal oxides are employed. On the other hand, the quasiparticle energy gap values indicate that the per-substituted compounds and 6CN-rubicene could act as ambipolar semiconductors. However, unexpectedly, the introduction of halogenated or cyanated substituents increases the  $p$ -

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3 type semiconductor character, as deduced from energy orbital values and from calculated (reorganization energy  
4 and electronic coupling) and simulated (charge transport rate and mobility) parameters. In that sense, the  
5 introduction of halogenated substituents yields an increase in the hole motilities by a 3-fold factor from the initial  
6 (rubicene) structure to the halogenated (6F-rubicene) one. For the case of dibenzorubicene, where multiple paths for  
7 charge-carries hopping are found upon crystallization, we found the lowest ratio between hole and electron  
8 mobilities, together with reasonably high individual values.  
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#### 11 12 13 14 **ACKNOWLEDGEMENTS**

15 This work is supported by the "Ministerio de Economía y Competitividad" of Spain and the "European  
16 Regional Development Fund" through project CTQ2014-55073-P. We gratefully acknowledge Supercomputing  
17 Service of Castilla-La Mancha University for allocation of computational resources. Mónica Moral Muñoz thanks to  
18 the E2TP-CYTEMA-SANTANDER program for their financial support.  
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