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# Valorization of Agricultural Wastes for the Production of Protein-Based Biopolymers

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**ABSTRACT:** In this study we provide an overview of the latest developments on the extraction, production, modification and applications of fruit residues and by-products in the formation of protein-based biopolymers, in particular for the formulation of edible films. Our aim was mainly to demonstrate the highly transdisciplinary character of these topics by giving an overview of the main developments and research topics in the chemistry and engineering aspects of protein-based biopolymers. These innovative raw materials have been evaluated for the production of biomaterials to be used in some key sectors, such as food packaging.

**KEYWORDS:** Biopolymers, by-products, proteins, valorization

## 1 INTRODUCTION

In building a biobased economy, the efficient use of biomass is crucial. The production of a huge amount of agricultural residues, also called agro-wastes, which are currently underutilized with no economical revenue, is an issue in many countries all around the world, particularly in those where the environmental concerns have been raised in the last few years. This lack of utilization results in missing important opportunities to valorize and further reduce the environmental impact of biobased industries. The current practical trends and potential solutions are essentially aimed at extracting valuable components and using the remaining residues in a sustainable manner to close agricultural cycles.

In fact, biomaterials should combine the reduction of waste streams, particularly those obtained from bio-based resources, with the development of innovative chemicals and compounds. These bioadditives and biopolymers could help to improve the properties and competitiveness of alternative materials to the traditional fuel-based and non-biodegradable polymers and additives [1, 2], leading to a new and promising research avenue: the use of food supply chain waste (FSCW) as a renewable biorefinery feedstock. FSCW

could be defined as “the organic material produced for human consumption discarded, lost or degraded primarily at the manufacturing and retail stages.” In the case of many agricultural practices and food processing industries, the yearly production of large amounts of waste requires the urgent proposal of solutions, since the current treatments can be recognized as inefficient, producing large and accumulative quantities of useless and valueless waste. In general terms, the European Union produces just around 90 million tons of food waste (FW) each year, 38% of which is directly produced by the food manufacturing sector, and extensive research is necessary to help overcome this environmental and economic deficit. In addition, residues produced from many vegetable harvesting and processing procedures have been proven to contain valuable functionalized molecules, such as polyphenols, flavonoids, tannins, polysaccharides, proteins and fatty acids, among many others [3–5].

It is known that the main current uses of the food supply chain, agricultural and forestry residues have low added value, mainly meeting needs that concern farming activities (bed and feed for livestock), soil fertilization and compensation (composting) or energetic requirements (pellets for combustion). This is the current situation in agricultural commercial production, since companies and farmers are not offered opportunities for waste treatment other than accumulating, burning or landfilling. These uses do not cover the real potential of this feedstock from the technological and profitability points of view. Considering this

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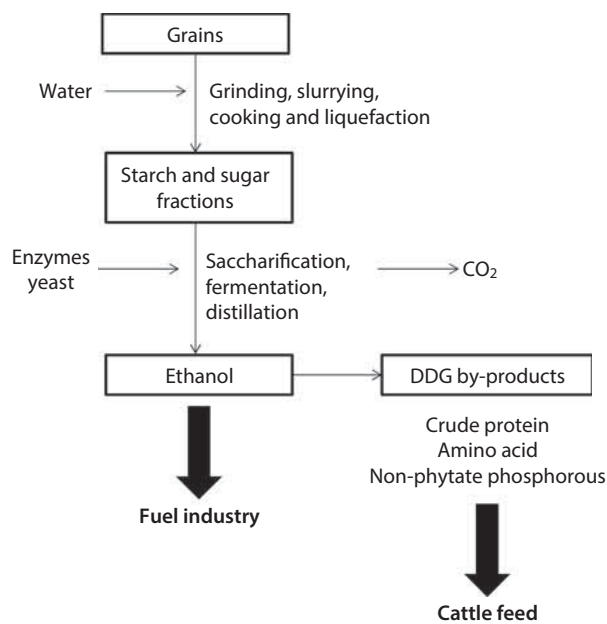
fact, agricultural residues should be considered for the sustainable and renewable source of products due to their high availability and potential.

The extraction, isolation and further incorporation of natural additives and biopolymers in a variety of commercialized products depict the current trend for the limitation of the use of synthetic substances in massive applications. Many industrial sectors (pharmaceutical, nutraceutical, food packaging, cosmetics, biotechnology, and fine chemicals) are focusing on the utilization of functional biomolecules to offer advanced eco-friendly products [6]. In fact, biomass, which represents nature's largest renewable reservoir of potentially fermentable carbohydrates and other valuable compounds, is mostly wasted in the form of pre-harvest and post-harvest agricultural losses and wastes of food processing industries. Due to their abundance and renewability, there has been a great deal of interest in utilizing agro-wastes for the production and recovery of many high added-value products.

In this context, the use of selected agro-wastes to form some interesting building blocks in the synthesis of biopolymers and bioadditives is a growing area of interest where researchers all over the world are focusing their efforts and research resources. In particular, the synthesis and production of protein-based biopolymers is gaining some ground in the research of current trends [7, 8]. The aim of this work is to review in a comprehensive manner the use of some agro-wastes for the production of protein-based biopolymers to permit the valorization of residues and the production of a strong alternative to some plastic commodities.

## 2 CROP PLANT BY-PRODUCTS FROM INDUSTRIAL PROCESSING

The increasing interest in the production of bioethanol and biopolymers obtained from natural sources, such as sucrose and starch fractions, as a way to replace petroleum-based fuels and materials has represented an important step towards the use of biobased and renewable agro-wastes in massive applications [9, 10]. Figure 1 shows the synthesis process of bioethanol from starch and sugar fractions of grain. The increasing demand for ethanol as a fuel additive and the decreasing dependency on fossil fuels have resulted in a dramatic increase in the amount of grains used for ethanol production, mainly maize, wheat and corn grains [11]. This fact could be considered as a social and economic problem in some countries and controversies have arisen from the difficult ethical debate regarding the depletion of human food to obtain biomaterials [12].



**Figure 1** Industrial processing of bioethanol obtained from grain. Note: DDG = Distiller's Dried Grains. (Adapted from [11])

The main process to obtain ethanol from grains and legumes is the dry grinding method. Basic steps of this method include grinding (dry milling), slurring, cooking, liquefaction, saccharification, fermentation and distillation resulting in bioethanol and some byproducts, which are known as distiller's dried grains (DDG) [13]. They are mainly crude proteins, amino acids and non-phytate phosphorous compounds. The market value of DDGs is quite low (\$170 per ton) and it should be improved to obtain valuable chemicals and building blocks [14]. Currently DDG are used as cattle feed but when oversupplied with an increase in the colonies of *E.coli* O157 the possibilities of alternative uses are underlined [14].

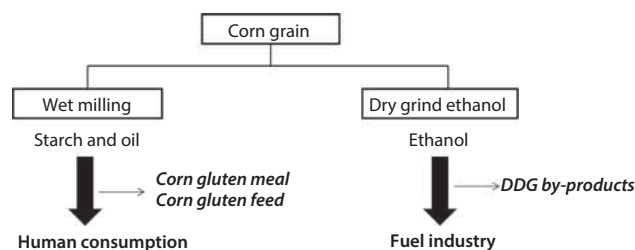
Up to now, studies on the extraction, isolation and valorization of isolated amino acids in the manufacture of protein-based biomaterials have been widely reported in the literature [15–18]. In this context, glutamic acid is a five-carbon amino acid present in wheat DDG and has shown high potential as a building block for polymerization. As a result, glutamic acid can be converted into diols, diacids and amino-diols, which are monomers used in the formation of polyesters and polyamides [18]. For this purpose, a selective dehydrogenation (reduction) in the presence of amines allows the conversion of the acid moieties to alcohols under non-extreme conditions of atmospheric pressure and low temperature. On the other hand, proline can be chemically transformed into pyrrolidone, a N-vinylpyrrolidone precursor, with a production of more than 15,000 €/ton for this polymer,

common in the cosmetic and medical sectors [17]. The production is separated in two different steps. First, the conversion of proline to pyrrolidone by oxidative decarboxylation, via the imine, in the presence of iodobenzene. Then, pyrrolidone is manufactured conventionally by the reaction of butyrolactone (derived from 1,4-butanediol) with ammonia to be used as a precursor for the manufacture of N-vinylpyrrolidone. However, the current procedures for the production of this amino acid show some drawbacks since a neutralization step is required, followed by purification and conversion steps from the sodium salt, with high costs and environmental impact.

Therefore, in order to avoid this important drawback, alternative strategies to valorize wheat DDGS proteins are required. Different grain proteins have been proposed for the development of new biomaterials, such as zein, wheat and soy, all of them biodegradable, abundant in nature and with the ability to increase the soil fertility [19]. In this section, the main sources, properties and applications of grain proteins used to obtain protein-based biomaterials are described.

## 2.1 Zein Protein from Corn Grain

According to FAOSTAT (Food and Agriculture Organization corporate statistical database), the worldwide annual production of corn or maize grain was over 1000 million tons in 2013 [20]. The endosperm and the germ are the major parts of the corn kernel and different industrial processing is carried out depending on the structure of the corn plant and kernel (Figure 2): a) wet milling to obtain starch and oil for human consumption, and b) dry grinding to obtain ethanol. As a result, corn gluten meal and corn gluten feed are the main by-products obtained from wet milling, whereas in the dry-grinding ethanol process, by-products are mostly DDG [21]. During the dry milling procedure, corn grains are steeped with a small amount of water for 30 to 40 hours to break the starch and protein bonds. Then, the germ is separated from the rest of the kernel by milling. The slurry obtained in such a way,



**Figure 2** By-products obtained from corn grain. (Adapted from [21])

rich in fiber, starch, and proteins, is finely ground and screened to separate the fiber. Finally, starch is separated from proteins in hydrocyclones.

Zein is the major storage protein obtained from corn (45–50% of the total protein weight) and it can be isolated as a by-product of the wet milling process into the corn gluten meal as well as from the dry grinding ethanol process, in this case as DDG. As a result of its poor nutritional value for human consumption, recent studies in the literature have highlighted its value as an industrial biopolymer with no implications of using food as raw material. In fact, zein is able to form hydrophobic, tough, resistant and flexible edible coatings with a high barrier to oils and antimicrobial activity [21, 22]. As a consequence, zein-based coatings have been used in the packaging industry in medical pills [23], biodegradable plastics [22] and edible films for food packaging [24]. Table 1 summarizes the main applications of zein in the formulation of biopolymers with different applications.

Zein films obtained from corn- and zein-based coatings have been used as oxygen and moisture barriers in packaging materials for nuts and candies [25]. Zein protein coated with paper has been proven as an effective packaging material with good oil barrier for packaging of quick-service restaurant sandwiches, being considered a good alternative in fully compostable paper-based wraps and boxes for food delivery [26]. The potential of these edible zein films with antimicrobial and antioxidant properties to increase the quality of intermediate moisture apricots has also been reported [27].

Different thermoplastic protein biomaterials have been obtained by compression molding. For example, injection molding of corn starch formulations blended with zein protein resulted in materials with good elongation at break and tensile properties when glycerol was added as plasticizer [29]. Differences in the molecular weight of soy protein and corn zein obtained by compression molding were also used in the formulation of single- and double-coated laminates [30]. Laminates of zein sheets and oleic acid were obtained by solvent casting of the individual components followed by hot pressing at 120 °C to limit the presence of voids and defects, resulting in the improvement of oxygen barrier and mechanical properties [31]. Zein has been proven to form odorless, tasteless, clear, hard and almost invisible edible films. The development of zein-based edible films and coatings through conventional techniques (casting, extrusion and co-extrusion) allows obtaining uniform and homogeneous materials with appropriate thickness. Electrospinning has also been applied in the production of food nanoparticles [37], nanofibers [38], nanocapsules [39] and films [28] obtained from zein protein.

**Table 1** Main applications of the zein protein biomaterials.

Application	Improved properties	Ref.
Biodegradable sheet materials	High tensile strength. Low water absorption.	22
Medical pills coating	Insoluble in water. Low water vapor permeability (WVP).	23
Packaging for nuts and candies	Oxygen, moisture, and grease barrier. Desirable film appearance: strong and glossy.	25
Zein coated with paper for quick-service restaurant sandwiches	Uniformity of the coating. Similar effectiveness of zein-coated papers than polyethylene laminates regarding grease barriers.	26
Active films for apricots	Reduction of fruit color changes. Inhibition of microbial growth in two orders of magnitude.	27
Food packaging films	Electrospraying produces better films than casting in terms of thickness, transparency, smoother and homogeneous surface and lower WVP.	28
Thermoplastic protein biomaterials blended with corn starch	Strength retention after 1 week without significant changes: 26 MPa tensile strength and 3.7% elongation.	29
Double coating laminates with soy protein	Brittle layered structure for the corn-zein component but low WVP.	30
Zein sheets and oleic acid laminate materials	Clearer, tougher, smoother and flexible laminates. Lower O <sub>2</sub> and CO <sub>2</sub> permeability. Lower WVP. Coating increased tensile strength and elongation.	31
Chewing gum	Biodegradation. Safe for human ingestion. Suitable as drug delivery system. Good fracturability.	32
Controlled and targeted delivery of bioactive tissue engineering materials	Hydrophobic, biodegradable, biocompatible, economic and excellent carrier for poorly water-soluble drugs to be delivered by oral route.	33–36
Food nanoparticles	Compact nanostructures for 2.5% and 5% zein solutions. Particles size from 175 to 900 nm. Curcumin encapsulation efficiency: 85–90%. Dispersion of curcumin in semi-skimmed milk.	37
Food nanofibers	Better flexibility, water and oxygen permeability than poly(hydroxyalcanoates).	38
Food nanocapsules	300–450 μm droplets	39

Zein is approved as a generally recognized as safe (GRAS) excipient by the US Food and Drug Administration (FDA) for use in film coatings for pharmaceuticals, medical devices, nanoparticles, nanofibers and films as delivery carrier [40]. Novel applications in biomedical materials, such as those used for the controlled and targeted delivery of bioactive chemicals and tissue engineering, are the main applications of these zein-based films [33]. In this context, zein films, with particle diameters 100–500 and 500–2500 nm, have been reported as suitable

materials for culturing human liver cells (HL-7702) and mice fibroblast cells (NIH3T3). Zein was used as scaffold since over 60% of both cells were attached to zein films only after 3 hours from seeding [35]. In a different study, zein films were used as coating materials for human umbilical vein endothelial cells and platelets, with some reduction in platelet adhesion [36]. A fluorescent nanocomposite based on cadmium sulphate and zein was also developed as support for the attachment and the proliferation of the mesenchymal stem cells and fibroblasts [34]. Zein films are also



1 completely safe for human ingestion and they have  
2 been recently used to produce chewing gum [32].  
3

## 4 2.2 Gluten Protein from Wheat Grain

5  
6 Among all the by-products obtained from DDG pro-  
7 teins, wheat is of particular importance due to its  
8 high production and nutritional value. According  
9 to FAOSTAT, the worldwide annual production of  
10 wheat grain was over 713 million tons in 2013 [20].  
11 Wheat protein is mainly composed of gluten (80–85%  
12 of the total protein weight), while the remaining  
13 fraction comprises a heterogeneous group of globu-  
14 lins and albumins. Up to now, and as a representa-  
15 tion of wheat DDG valorization, only initial studies  
16 in the production of glutamic acid and/or proline  
17 from wheat proteins have been reported [17–19].  
18 Wheat proteins have been used to produce biopoly-  
19 mers with elastic behavior, good barrier properties  
20 to carbon dioxide, oxygen and aromatic compounds,  
21 but poor ductile properties and high fragility. These  
22 major drawbacks could be overcome by adding plas-  
23 ticizers, such as glycerol, to gluten matrices during  
24 processing to improve ductility, resulting in flexible  
25 biofilms [41]. These authors reported that casting films  
26 formed by gluten/methyl-cellulose blends containing  
27 (25 wt%) glycerol resulted in improved tensile prop-  
28 erties, in particular tensile strength ( $13.6 \pm 1.4$  MPa)  
29 and modulus ( $261.0 \pm 42.7$  MPa). These values were  
30 clearly higher than those obtained in biofilms with the  
31 same composition obtained by melt blending and fur-  
32 ther hot-press forming ( $1.4 \pm 0.4$  and  $27.7 \pm 5.2$  MPa,  
33 respectively). Other authors proposed the use of ther-  
34 moforming under chemically reductive conditions to  
35 form translucent sheets with improved tensile prop-  
36 erties [42]. The linear deformation resistance of wheat  
37 gluten sheets at different moisture conditions (30–70%)  
38 (elastic modulus  $4.5 \pm 7.8 \times 10^7$  Pa) was comparable to  
39 results obtained for polypropylene ( $5.3 \pm 4.5 \times 10^7$  Pa).

40 Paper and paperboard are commonly used in  
41 packaging applications due to their biodegradation  
42 abilities, high availability, low cost, good mechanical  
43 properties and printability. But their hydrophilic char-  
44 acter provides low barrier properties. They are usually  
45 coated with other materials, such as wheat gluten and  
46 montmorillonites, to decrease the effect of water and  
47 moisture in multilayer coatings, with a significant  
48 reduction in oxygen permeability of these biofilms [43].  
49 Other authors proposed two different treatments to  
50 obtain paper-based composites (a surface-treated  
51 paper, coated on two sides with calcium carbonate  
52 and starch, and an untreated paper) with wheat gluten  
53 coatings and they evaluated the effect of the addition  
54 of this biopolymer to surface-treated and non-treated  
55 papers [44]. They reported that coating with wheat

gluten clearly improved the main properties for pack-  
aging applications in non-treated papers, since they  
displayed a higher level of protein penetration (61%  
against 43% in the treated paper), resulting in better  
penetration of the gluten coating into the bulk of the  
paper structure.

By consideration of their adhesive, cohesive and  
film-forming properties, wheat proteins have also  
been evaluated for the development of edible coat-  
ings in combination with different biobased matrices  
such as lipids [45]. These combinations have been  
recently reported to extend the shelf life of different  
food products, such as dry-roasted peanuts and fried  
chicken pieces, packaged in gluten edible coatings  
[46]. Rainbow trout and Atlantic mackerel fish have  
also been coated with wheat gluten, getting an exten-  
sion of two and three weeks in their shelf life [47].  
Sea bass fish and other seafood products were also  
coated with wheat gluten, increasing their shelf life by  
28 days [48]. The antimicrobial activity of gluten edible  
coatings with thymol added to hot smoked trout was  
successfully reported [49], where some decrease in the  
microorganisms growth with acceptable sensory qual-  
ity during a five and six week period was observed.

## 2.3 Soy Protein from Soya

According to the FAOSTAT database, the world-  
wide annual production of soybean was over 276  
million tons in 2013 [20]. Soy protein resins, which are  
obtained from soybean harvesting and processing as  
a by-product, have been recognized as adequate candi-  
dates for biopolymer formulations for decades [50].  
They are abundant, cheap and biodegradable, with  
high nutritional value. They are also able to form edible  
films due to the bonds rearrangement in their struc-  
tures during processing and blending with additives.  
However, as a consequence of their high hydrophilic  
character, soy protein films show low barrier prop-  
erties to moisture. Two main strategies are considered  
to overcome this drawback: a) mixture with hydro-  
phobic compounds such as lipids [51], and b) modi-  
fication of the protein network arrangement through  
crosslinking of the protein chains by using physical,  
enzymatic or chemical treatments [52]. For example,  
agar-based films with soy proteins and glycerol as  
plasticizer were successfully processed by increasing  
their processing time. This strategy permits the higher  
exposition to hydrogen bonding interactions among  
soy proteins and agar, resulting in a clear increase of  
the tensile strength, from 4.1 MPa in control films to  
24.6 MPa in blends with 65% (w/w) of soy protein  
content [53].

Commercial soy protein isolates or concentrates are  
mainly used to obtain innovative biocomposites after

the removal of carbohydrates and oil components from the main protein structures [54]. These commercial formulations are composed by 70 wt% of protein and their prices range between \$3.25/kg and \$4.64/kg of protein [14]. Table 2 reviews the most recent uses of soy proteins to obtain different environmentally friendly biocomposites.

Composites formed by soy proteins reinforced with jute fibers were developed in water without any plasticizer, showing good flexural and tensile properties. Composites with 60 wt% soy proteins exhibited the highest flexural properties with an offset yield load of 55 N, stiffness of 11.5 N mm<sup>-1</sup> and flexural strength of 24 MPa. The increase in the proportion of soy proteins from 40 to 50 wt% also increased their flexural strength by 15% and modulus by 9%. Thermoplastic soy proteins can act as binders to provide higher flexural and tensile properties when compared to commodities such as polypropylene. The use of water as plasticizer also decreased the final cost and possibility of chemical contamination of the matrix [55]. Reinforced biocomposites were developed at different pH values with the addition of hemp yarn to soy protein concentrate resins [56]. Improved interactions between resins and fibers were obtained at pH 10. Biocomposites formed by soy protein concentrates with jute fibers modified with glycerol or halloysite nanotubes showed lower

heat release capacity than petrochemical-based resins, such as epoxies and vinyl esters, representing a clear green alternative to the most common resins used in structural applications [57]. In another study, two different processing methods (compression molding and extrusion-injection molding) were tested to obtain new soy protein-based biocomposites [58]. In particular, natural by-products obtained during the formation of agar/agar formulations were used as fibers to reinforce soy protein resins, resulting in sustainable biocomposites with good barrier and mechanical properties. Biocomposites obtained from soy protein isolates reinforced with starch nanocrystals were obtained by casting, allowing the formation of films with improved physical-chemical properties by the decrease in water solubility, high swelling capacity and low water vapor permeability, while mechanical properties were also modified [59]. As the amount of nanocrystals increased in the film up to 40% (w/w), the initial tensile strength (1.10 ± 0.20 MPa) and the initial elastic modulus (26.9 ± 11.2 MPa) increased to 5.08 ± 0.48 MPa and 310 ± 22 MPa, respectively. However, a reduction in the elongation at break was reported from 65.9 ± 17.8 to 21.3 ± 10.5%, showing that the material became more rigid as a consequence of major interactions between the protein matrix and the reinforcement.

**Table 2** Main applications of soy protein isolates and concentrates to obtain biomaterials.

Application	Biomaterial matrix	Reference
Reinforcement	Soy protein-jute fiber composites	55
	Soy protein concentrate resin-hemp yarn composites	24, 28, 56
	Soy protein concentrate-jute fiber modified with glycerol and/or halloysite nanotubes	57
	Soy protein isolate with algae waste filler	58
	Soy protein isolate with starch nanocrystals	59
Paper coating	Soy protein isolate with organically modified montmorillonite paperboards	60
Active packaging	alpha-tocopherol encapsulation with soy protein	61
	Ferulic, caffeic, gallic acids and their oxidized products into soy protein films	62
	Soy protein isolate with carboxymethylcellulose and catechin	63
	Chitosan chloride and soy protein alternately assembled on cellulose acetate	64
	Soy protein isolate, virgin coconut oil, and soy lecithin	65
	Soy protein isolate and poly(lactic acid) with natamycin and thymol	66
Coatings	Soy protein isolate and 15 wt% gelatin (on SPI dry basis) with 30 wt% glycerol	59
	Soy protein isolate and gum acacia	67
	Soy protein isolate and beeswax	68
	Soy protein isolate, olive oil, hydroxy propyl methyl cellulose and potassium sorbate	69
	Soy protein isolate and TiO <sub>2</sub>	70
	Soy protein and glycerol	71
	Soy protein isolate addition to the wheat flour-based batter	72

1 Films with excellent barrier to oxygen (at low to  
2 intermediate relative humidity) and good mechanical  
3 properties were obtained when soy protein was  
4 used as a coating for paperback matrices. However,  
5 the hydrophilic nature of soy protein results in poor  
6 barrier to water vapor, making it necessary to com-  
7 bine soy protein isolates or concentrates with different  
8 chemicals to improve these properties. In fact, cross-  
9 linking of soy protein isolate with formaldehyde and  
10 formation of composites with organically modified  
11 montmorillonite were the most effective treatments  
12 in decreasing the water vapor permeability of coated  
13 paperboards [60]. However, the use of films treated  
14 with such crosslinking agents in food packaging appli-  
15 cations is questionable for toxicological reasons and  
16 further studies are necessary to analyze their migra-  
17 tion into food to ensure the high safety requirements  
18 in these applications.

19 Nevertheless, one of the main applications of soy  
20 protein isolates and concentrates is in active packag-  
21 ing systems. The addition of active agents to the pack-  
22 aging materials and their further release into food  
23 allowed enhancing their shelf life, quality and safety  
24 against microbiological and oxidative degradation. In  
25 general terms, biopolymers obtained from biomass,  
26 such as proteins, show three main advantages for  
27 this purpose. They are ideal carriers for many active  
28 agents, increasing the possibilities for their controlled  
29 release, while they could be combined to obtain bio-  
30 composites with improved properties. In this con-  
31 text, the encapsulation of antioxidants extracted from  
32 plants and essential oils, such as alpha-tocopherol, in  
33 hydrocolloid matrices (whey protein isolate, zein and  
34 soy protein isolate) have been recently reported in the  
35 literature [61, 73]. These innovative formulations could  
36 be directly processed by electrospraying in coating lay-  
37 ers onto one side of a thermoplastic wheat gluten film  
38 [61]. The addition of ferulic, caffeic and gallic acids, as  
39 well as their oxidized products to soy protein films,  
40 has been recently studied for their antioxidant per-  
41 formance [62]. Gallic acid films exhibited the highest  
42 tensile strength and elongation at break, followed by  
43 those with caffeic and ferulic acids. Oxidized phenolic  
44 acids produced higher tensile strength and elongation  
45 at break in films based on soy proteins in contrast to  
46 their non-oxidized counterparts. Regarding barrier  
47 properties, lower water vapor permeability and water  
48 solubility were observed after the addition of phenolic  
49 acids [62].

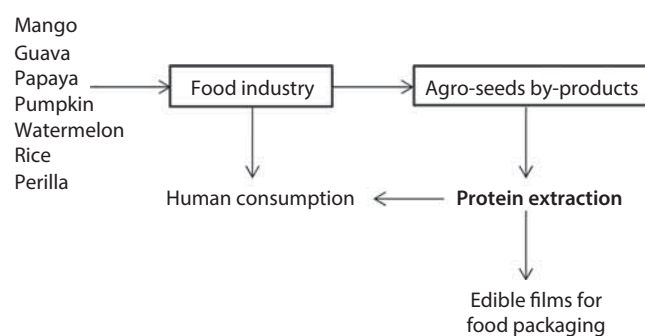
50 In a different study, active films were obtained by  
51 the combination of soy protein isolate with carboxy-  
52 methylcellulose and using catechin as active agent  
53 [63]. The addition of cellulose and catechin to the soy  
54 protein isolate decreased transparency, while some  
55 improvement on water solubility, decrease in water

vapor permeability, higher tensile strength and lower  
elongation at break were observed. Emulsion-based  
edible films based on soy protein isolate, virgin coco-  
nut oil and soy lecithin plasticized with glycerol were  
formulated by casting [65]. The applicability of soy  
protein isolate emulsion-based films was evaluated  
in their use with olive oil individual containers. The  
authors concluded that the incorporation of coconut  
oil and soy lecithin to these films decreased their mois-  
ture affinity and increased their ductile properties. In  
this sense, the increase in the virgin coconut oil concen-  
tration increased the elongation at break of films from  
 $104 \pm 17\%$  of control to  $212 \pm 10\%$  in active films. This  
difference in ductile properties could be attributed to  
the plasticizing effect of the oil in the matrix. However,  
the tensile strength values decreased from  $12.0 \pm 0.6$  to  
 $8.1 \pm 0.8$  MPa and this could be explained by the addi-  
tion of lipids to the matrix to induce the development  
of discontinuities in the polymer network. It was also  
reported that the peroxides index in the oil increased  
slightly up to 28 days of storage.

Soy protein isolates and concentrates have also  
been tested to produce antimicrobial active packaging  
systems. In fact, the antimicrobial activity of chitosan  
chloride and soy protein isolate assembled on cellu-  
lose acetate matrices was successfully reported against  
*Escherichia coli* and *Staphylococcus aureus* by using the  
disk diffusion method [64]. In a different study, the  
antifungal and antibacterial activities of bilayer films  
prepared with isolated soy protein and poly(lactic acid)  
(PLA) were successfully tested when natamycin and  
thymol were added as active agents [66]. However, the  
effect of soy protein to significantly extend the food  
shelf life is still unknown, but several natural soy pro-  
tein coatings have been recently reported to extend the  
shelf life of different products, such as tomatoes [67],  
fresh-cut artichoke [68], Phalsa fruit [69], strawberry  
[70], mozzarella cheese [71], fried breaded shrimp [72]  
and beef patties [74].

### 3 AGRO SEEDS AS PROTEIN SOURCES

It is known that fruit manufacturing industries  
discharge a considerable amount of peels and seeds  
as industrial waste. Depending on the raw materials  
and the different cultivars used in the fruit process-  
ing, seeds and peels represent different percentages of  
the total weight and can be used as sources for new  
valuable products. In general terms, the main compo-  
nents of food seeds are hydrocarbons and relatively  
small amounts of proteins and fats, with moisture con-  
tents around 80–90% in weight [75]. Up to now, only  
a few agro seeds have been reported as protein sources  
since there is a minimum amount of proteins in the



**Figure 3** Main sources of agro seeds by-products for their valorization in protein-based films.

fruit composition to obtain good production yields, which is only possible in those seeds with high protein contents. Figure 3 shows the main uses of agro seeds coming from fruits such as mango, guava, papaya, pumpkin, watermelon, rice and perilla, obtaining proteins which could be potentially used in the production of edible films.

Among them, papaya is one of the most popular tropical fruits, with 15.4% of the total production. A large amount of by-products, especially seeds and peels representing about 20 to 25% of the total fruit weight, are obtained during processing. These residues are currently discarded as organic wastes into the environment, resulting in large amounts of residues which are usually burned with production of atmospheric carbon dioxide [76]. Recently, some authors reported that the application of pulsed electric field (PEF) assisted extraction and supplementary aqueous extraction (SAE) at 50 °C, pH 7 during 3 hours, enhanced yield (about 200%) and antioxidant capacities (about 20%) of the compounds extracted from papaya seeds. This method has shown high potential for industrial applications to recover the valuable components from papaya seeds, which can be used as food additives and nutraceutical supplements [77].

The global demand for new sources of proteins has focused on oilseeds and their agro-industrial wastes, defatted oil cakes, by their high potential in the extraction of proteins with high yields [78]. For example, perilla (*Perilla frutescens*) seeds are widely used as an oil source in several Asian countries, such as Korea, China or Japan, due to their antioxidant, antihypertensive and immunostimulant beneficial effects on human health. Perilla seeds contain abundant oil (~43 wt%) and protein (~18 wt%) and, after the oil extraction, their protein content increases up to approximately 40 wt%, being primarily composed of globulin (84 wt%). Although being an abundant source of proteins with relative low cost, this agricultural by-product is currently just used as animal feed or in the production of fertilizers [79].

Therefore, there is a growing interest in finding new applications with higher added-value for the perilla seeds such as food ingredients and edible films based on proteins. In this context, the experimental conditions of ultrasound-assisted alkaline extraction of proteins from dried perilla seeds were optimized [80]. The authors concluded that by using ultrasonic power of 61 W at 40 °C, a liquid to solid ratio of 40 mL g<sup>-1</sup> and 12 minutes of total extraction time, the extracted content of proteins from perilla seeds was around 10.8%. The analysis of the amino acid profile of perilla proteins denoted balanced amounts of the main essential amino acids, except for lysine [79]. Recently, it has been reported that perilla proteins obtained from the seed oil residues can be combined with 3 wt% of red algae (*Gelidium corneum*) to improve the poor mechanical properties and water vapor permeability of the protein-based films caused by their hydrophilic characteristics, resulting in suitable films for food packaging applications. Furthermore, the incorporation of clove oil (1.2 wt%) into the protein-red algae composite films showed antimicrobial and antioxidant performance in active packaging systems for pork sausages stored at 4 °C [81].

Rice is another massive source of proteins obtained from the harvesting residues and processing by-products. The large annual worldwide production of milled-rice (about 460 million tons) results in huge amounts of by-products such as rice bran, oils, flour, wax and hulls [82]. Among them, rice bran and flour are products with a high content of proteins, with about 14–20 wt% and 7–9 wt% respectively. However, these by-products are mainly used as animal feed and they are currently underutilized as protein sources for the production of biopolymers. Proteins can be extracted from defatted rice bran by using enzymatic methods, which are highly specific, require mild conditions, and are readily accepted by food regulatory agencies, getting up to 95% of protein recovery under optimal conditions. These products show essential amino acid profiles comparable to those of casein. Recent studies showed that the treatment of rice bran with subcritical water at temperatures of 200–220 °C for 30 minutes allows the recovery of >90% of the protein in soluble form with limited hydrolysis parallel processes. In this environmentally friendly extraction process, water is put under high pressure to maintain its liquid state at an increased range of temperatures, permitting versatile extractions where proteins can be obtained with high purity and recoveries [83]. The formation of edible films based on rice bran is not only expected to extend their applications, but also for the development of new functional food additives [84]. In this sense, Shin *et al.* [85] used rice bran oil residues as an adequate protein source for the formation of



1 edible films by their high content of isolated proteins  
2 (12–20 wt%) obtained after fat extraction, providing  
3 a solution to the accumulation or burning of these  
4 currently underutilized food processing by-products.  
5 These authors prepared edible films based on rice  
6 bran with fructose as plasticizer, but poor mechanical  
7 properties and water vapor permeability were  
8 obtained, making these films useless for food pack-  
9 aging applications. Red algae or gelatin were incor-  
10 porated into the film-forming solution to improve  
11 these properties and the formulation with 4 wt% rice  
12 bran/4 wt% gelatin showed a significant increase on  
13 tensile strength (from 0.94 to 23.9 MPa) and on elon-  
14 gation at break (from 25.5 to 141.0%) [85]. The condi-  
15 tions that could effectively affect the formation of rice  
16 bran proteins-based films and their physical-chemical  
17 and functional properties were evaluated. The film-  
18 forming variables, such as the protein purity and  
19 concentration, plasticizer type and concentration, pH  
20 of the film-forming solution and temperature, sig-  
21 nificantly affected the physical-chemical properties  
22 of these protein-based films. Alkaline conditions  
23 (pH 8.0), and low glycerol concentrations (2 w/v%)  
24 resulted in better films in terms of puncture strength  
25 and water solubility. The authors concluded that rice  
26 bran proteins-based films showed mechanical and  
27 functional properties comparable to those of the soy  
28 protein-based counterparts [84].

29 The interest in the use of pumpkin (*Cucurbita* sp.)  
30 seeds as a source of proteins is based on their high con-  
31 tent, ranging from 24.5 to 36 wt%. The major protein  
32 fraction in pumpkin seeds is represented by the 12S  
33 globulin, called cucurbitin, followed by the 18S glob-  
34 ulin. These two protein fractions and other smaller  
35 amounts of albumins represent 59% of the total protein  
36 content in pumpkin seeds [86]. The increased produc-  
37 tion of pumpkin seed oils results in large amounts of  
38 by-products, where protein contents can reach up to  
39 60–65 wt%. But this residue is only currently used as  
40 livestock feed [87]. Some authors have reported the  
41 functional properties of pumpkin seed protein isolates  
42 (PSPI), such as solubility, interfacial and emulsifying  
43 properties, as function of pH, ionic strength and PSPI  
44 concentration [86].

45 The watermelon seed meal, which is generated  
46 from the watermelon processing industry to obtain  
47 juices, nectars and fruit cocktails is another agro-  
48 seed residue produced in high amounts, especially  
49 in tropical regions. The watermelon oil cake is the  
50 residue obtained after oil pressing and it is currently  
51 used for animal feeding. Watermelon (*Citrullus lana-  
52 tus*) seed meal contains high levels of extractable  
53 proteins, which can be used as raw material for the  
54 production of high quality protein products, such as  
55 nutritional and functional ingredients, to be directly

introduced in food formulations. Protein isolates and  
concentrates can be extracted from the defatted water-  
melon seed meals by using alkali and NaCl, respec-  
tively, with high yields in the case of protein isolates  
(around 38 wt%) besides the good functional proper-  
ties shown by the protein concentrates. Globulin was  
the main protein obtained in the extraction of water-  
melon seed meal, followed by albumin and glutelin,  
while the amino acids profile was dominated by  
arginine, aspartic acid and glutamic acid [88]. It has  
been observed that some relationship between the  
proteins quality and the extraction conditions can  
be established to select the functional properties of  
the protein-based products. The excellent nutritional  
and functional properties of these proteins obtained  
from watermelon seed meal makes this residue highly  
attractive for its potential use in food formulations  
[78]. The effect of the matrix on the mineral bioavail-  
ability of defatted flour and proteins isolated from  
watermelon seed meal has also been reported [89].  
The authors found that the protein isolates were a  
good source of iron and zinc and that the bioaccessi-  
bility of all the minerals present in these formulations  
is correlated with the phytate, tannin and oxalate con-  
centrations. The chemical composition and functional  
properties of these proteins suggest their suitability  
for mineral fortification in food formulations.

Mango (*Mangifera indica* L.) fruit is mainly pro-  
duced in Asian and Pacific countries (~77% of the  
worldwide production), while approximately 14%  
and 9% are produced in American and African tropi-  
cal countries respectively [20]. During the mango  
processing, only the edible parts of the fruit are used  
and a considerable amount of residues and by-prod-  
ucts, especially peels and seeds, are produced and  
discarded as industrial waste or used as animal feed.  
Mango puree, nectar, juice, leather, pickles, slices in  
syrup, canned slices and chutney are the main indus-  
trial products obtained from mango fruits. Depending  
on the final product and the fruit origin, peels and  
seeds can represent between 35% and 60% of the total  
weight of the fruit [90]. However, these by-products  
are considered a good and cheap source of valuable  
food and nutraceutical compounds due to their high  
content of health-enhancing substances, such as phe-  
nolic compounds, carotenoids, vitamin C and dietary  
fibers [91]. The mango seeds are basically composed  
of the tegument and the kernel. Depending on the var-  
ieties, the kernel represents from 45 wt% to 75 wt%  
of the seed and about 20 wt% of the whole fruit, and  
contains starch, cellulose, hemicelluloses, lignin and  
fatty acids, such as oleic, stearic, palmitic and linoleic.  
The tegument is the external shell that covers the  
kernel and mainly contains cellulose, hemicelluloses  
and lignin [92]. Mango seed kernels contain a considerable

amount of the total phenolic compounds and lipids with unsaponifiable matter of the whole fruit, also including a small amount of crude proteins (6.7 wt% on dry basis). However, the quality of these proteins is high because they contain all the essential amino acids, with the highest values of leucine, valine and lysine, depending on the mango variety [90]. It has also been reported that the essential amino acids in mango seed kernels, except methionine, threonine and tyrosine, were at higher levels than in the FAO/WHO reference proteins [93]. Mango seed kernel could be considered as a potential source of proteins for biopolymer formulations, but a current lack of information about the extraction methods for the recovery of these compounds from mango by-products has delayed the possible uses of these proteins in high added-value applications.

Guava seeds could be considered as an excellent source of oil and protein pastes due to their composition of around 7.6 wt% protein, 16.0 wt% fat, 61.4 wt% raw fiber and 4.1 wt% of water [94]. Approximately 6% of the total guava weight corresponds to seeds that are currently discharged as waste, causing important environmental problems in their production sites. However, this by-product has shown high potential as fiber and protein sources. Some authors [95] studied the extraction process of the guava seed protein isolate as well as their functional and nutritional qualities. These authors obtained the protein isolated from the guava seed meal by using isoelectric precipitation at pH 5, with a previous solubilization process at pH 11.5 and 40 °C for 30 min, reaching an extraction yield of 78.2% and a very high protein content of 96.7 wt%. The oil absorption capacity of guava seed extracts, as well as the foaming capacity and stability, were lower than those obtained from other seed proteins. The authors also concluded that the essential amino acid profile of the guava seed protein isolates satisfied the FAO/WHO pattern requirements for adults, except for lysine. In another work, glutelins (86–90 g/100 g) and globulins (10 g/100 g) were identified as the main components of the guava seed proteins extract, while albumins and prolamins were minor components (~2 g/100 g) [96]. The high content of tannin, saponin and phytic acid may exert an antinutritional effect if consumed excessively. However, thermal treatments or germination can be applied to guava seeds to modify their chemical composition, reducing the content of those chemicals and enabling their use in the food and feed industries [97]. Therefore, the guava seed could be an interesting source of proteins not only for animal and human consumption but also for the preparation of edible films, reducing the pollution problems associated with the waste discarded by the processing industry.

## 4 CONCLUSIONS

The use of agricultural biowastes obtained from harvesting and processing by-products has been shown to be a very interesting field of research in which valuable chemicals and building blocks are extracted for the production of a new generation of biopolymers with enhanced mechanical and barrier properties, which are highly bioavailable and compatible with all uses for human consumption. Particularly, proteins extracted from fruit and vegetable residues (i.e. non-edible components, such as peels and seeds) showed potential in the formation of biopolymers and other fully renewable materials.

As with any emerging technology, a significant effort with extensive and interdisciplinary collaborations and partnerships is required to move concepts from research and development to fruitful commercialization and real applications. But the use of agro-wastes and residues in the industrial production of biopolymers ensures their fully renewable character and important developments in production will be effective in the near future.

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