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2	Water and Small Molecule Permeation of Dormant Bacillus subtilis Spores
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## Abstract

25 We use a suspended microchannel resonator to characterize the water- and small molecule 26 permeability of Bacillus subtilis spores based on spores' buoyant mass in different solutions. 27 Consistent with previous results, we find that the spore coat is not a significant barrier to small 28 molecules, and the extent to which small molecules may enter the spore is size dependent. We 29 have developed a method to directly observe the exchange kinetics of intra-spore water with 30 deuterium oxide, and we apply this method to wild-type spores and a panel of congenic mutants 31 with deficiencies in the assembly or structure of the coat. Compared to wild-type spores that 32 exchange in approximately 1 sec, several coat-mutant spores are found to have relatively high 33 water permeability with exchange times below the ~200 msec temporal resolution of our assay. 34 In addition, we find that the water permeability of the spore correlates with the ability of spores 35 to germinate with dodecylamine and with the ability of TbCl<sub>3</sub> to inhibit germination with L-36 valine. These results suggest that the structure of the coat may be necessary for maintaining low 37 water permeability.

#### Importance

39 Spores of Bacillus species cause food spoilage and disease, and are extremely resistant to 40 standard decontamination methods. This hardiness is partly due to spores' extremely low 41 permeability to chemicals, including water. We present a method to directly monitor the uptake 42 of molecules into B. subtilis spores by weighing spores in fluid. The results demonstrate the 43 exchange of core water with sub-second resolution and show a correlation between water 44 permeability and the rate at which small molecules can initiate or inhibit germination in coat-45 damaged spores. The ability to directly measure the uptake of molecules in the context of spores 46 with known structural or genetic deficiencies is expected to provide insight into the determinants 47 of spores' extreme resistance.

### Introduction

49 Spores of some *Bacillus* and *Clostridium* species are causative agents of a number of human and animal diseases, as well as food spoilage and food poisoning (1). This is because 50 51 spores are extremely hardy and can survive mild decontamination procedures that kill growing 52 bacteria. While a number of factors are responsible for spores' high resistance, one factor is their 53 low permeability to many toxic chemicals, in particular chemicals that can damage spore DNA 54 that is located in the central spore core (2-7). There are a number of permeability barriers in the 55 dormant spore. The outermost is the exosporium found on spores of some but not all species, 56 which prevents permeation by very large molecules (> 150 kDa) (8). Moving inward, the second 57 permeability barrier is the spore coat layer plus the underlying outer spore membrane (3, 5). It is 58 not clear that the outer membrane remains intact in dormant spores, although older data suggest 59 that there is a permeability barrier just below the coats (4, 9, 10). This coat/outer membrane 60 barrier restricts access of smaller molecules (> 2-8 kDa) to inner spore regions, in particular the 61 spores' large peptidoglycan cortex just below the outer spore membrane. As a consequence, 62 intact spores and spores with minor coat defects are resistant to peptidoglycan hydrolases such as 63 lysozyme, but spores with severe coat defects are lysozyme sensitive (5, 11).

The final known spore permeability barrier is the inner membrane (IM) surrounding the central spore core. The IM has a lipid composition similar to that in growing/sporulating cells, but lipid probes incorporated into the IM during sporulation are immobile (12, 13). Methylamine, a small molecule that can be accumulated at high levels in spores because of the low core pH, is often used to probe the integrity of the IM because its rate of entry into the spore core is slower than water. Indeed, IM permeability to methylamine is very low, and this low permeability is even retained in spores that lack a coat and outer membrane (2, 14, 15). However, damaging the IM with oxidizing agents can significantly increase its permeability tomethylamine (16).

73 The degree to which water is permeable into various compartments of dormant spores is 74 poorly understood. All spore compartments contain water, although the core is thought to be 75 only ~ 30% water by weight while outer spore layers are ~ 80% water (17, 18). Water does 76 penetrate through the entire spore core, and there are several reports that rates of water 77 movement across the IM are rather low, as is movement of other small molecules into the spore 78 core (1, 18-23). However, other reports suggest that the barrier to water entry into the spore core 79 is not exclusively the IM (4, 9, 10, 22). Therefore, the question of whether the IM truly is the 80 barrier to water entering the spore core remains an open one.

81 In order to further examine the permeation of water into dormant *Bacillus subtilis* spores, 82 we have quantified the content of spore material and the extent to which small molecules can 83 permeate the spore based on buoyant mass. Buoyant mass - the weight of a spore in fluid 84 (Equation 1) - is determined by weighing single spores as they pass through, or are trapped 85 within a suspended microchannel resonator (SMR). We have also developed a method to track 86 spores' buoyant mass as their internal H<sub>2</sub>O is replaced with heavy water (D<sub>2</sub>O), and have 87 analyzed this water movement in spores of a number of congenic mutant B. subtilis strains with 88 defects in the coat/outer membrane of varying severity. Finally, we tested for the permeation of the outer layers of these spores to  $Tb^{3+}$  ions and dodecylamine using a germination assay. 89 90 Interestingly, we found that water permeation measured by the SMR could be used to predict the 91 permeation of  $Tb^{3+}$  ions and dodecylamine. Overall, the findings in this work provide new 92 information on the permeation of water and other small molecules into various compartments of

- 93 a dormant spore and demonstrate that the structure of the coat is important for maintaining low
- 94 water permeability.

## **Materials and Methods**

*B. subtilis* strains used and spore purification. The wild-type *B. subtilis* strain used in this
work was strain PS533 (24), a derivative of strain PS832, a prototrophic laboratory 168 strain;
strain PS533 carries plasmid pUB110 providing resistance to kanamycin (10 μg/ml). All other
strains are listed in Table 1, and are congenic with strain PS533, but lack plasmid pUB110.
Strain PS4427 was constructed by transforming strain PS3738 (*safA*) to chloramphenicol
resistance with DNA from strain PS3740 (*cotE*).

102 Spores of all strains were prepared at 37°C on 2x Schaeffer's-glucose medium agar plates 103 (25, 26) without antibiotics. Plates were incubated for 2-3 d at 37°C, and then for 2-4 d at 23°C 104 to allow extensive autolysis of sporulating cells and cell debris. The spores were then scraped 105 from plates into cold deionized water, and spores were purified at  $4^{\circ}$ C over ~ 7 d by multiple rounds of centrifugation, washing pellets with cold water to remove debris, and with brief 106 107 sonication between centrifugation to further disrupt debris. Purified spores were stored at 4°C in 108 water protected from light. All spores used in this work were > 98% free of growing or 109 sporulating cells, germinated spores and cell debris as determined by phase contrast microscopy.

110 Spore germination. Spores of various strains were germinated with either L-valine or 111 dodecylamine essentially as described (27, 28). In all cases, spore germination was monitored 112 by measuring the release of the spore core's large depot (~20% of core dry wt) of dipicolinic acid (DPA) by its fluorescence with Tb<sup>3+</sup> either by inclusion of TbCl<sub>3</sub> in germination solutions, or by 113 114 removal of 180 µl aliquots of germination mixes incubated without TbCl<sub>3</sub> and addition of 20 µl 115 of 500 µM TbCl<sub>3</sub>. Specific germination conditions were as follows. For L-valine germination, spores at an optical density at 600 nm (OD<sub>600</sub>) of 2.0 were first heat activated for 30 min at 75°C, 116 117 and then cooled on ice for at least 10 min. Spores at an OD<sub>600</sub> of 0.5 were germinated at 37°C in 118 200  $\mu$ l of 25 mM K-Hepes buffer (pH 7.4) – 50  $\mu$ M TbCl<sub>3</sub> – 10 mM L-valine, which is a 119 saturating concentration for this germinant. These mixtures were incubated in a multi-well 120 fluorescence plate reader, and Tb-DPA fluorescence was read every 5 min. For analysis of L-121 valine germination without Tb present throughout germination, 2-3 ml germination mixtures 122 with the same conditions described above, but without TbCl<sub>3</sub>, were incubated in a water bath at 123 37°C; at various times 180  $\mu$ l aliquots were added to 20  $\mu$ l of 500  $\mu$ M TbCl<sub>3</sub>, and the 124 fluorescence of the mixture was read immediately as described above.

125 Dodecylamine germination of spores is not stimulated by heat-activation (27) and was 126 carried out in the absence of TbCl<sub>3</sub> as described above for L-valine germination, but with 0.8 127 mM dodecylamine and at 50°C. Again, 180 µl aliquots of germination mixtures were added to 128 20 µl of 500 µM TbCl<sub>3</sub> and the fluorescence was read as described above. The amount of total 129 DPA in all spores used for germination experiments was determined by boiling spores for 30 min, centrifuging and measuring DPA in the supernatant fluid by its fluorescence with Tb<sup>3+</sup> as 130 131 described previously (28, 29). These total DPA values were used to determine percentages of 132 spore germination in all germination experiments.

133 Buoyant mass determination in a Suspended Microchannel Resonator (SMR). The SMR is 134 a microfluidic device that consists of a fluid channel embedded in a vacuum-packaged cantilever 135 (30). The cantilever resonates at a frequency proportional to its total mass, and as an individual 136 spore travels through the embedded microchannel, the total cantilever mass changes. This change 137 in mass is detected as a change in resonance frequency that corresponds directly to the buoyant 138 mass of the spore. Buoyant mass is the weight of the spore in fluid and is equivalent to the mass 139 of the spore in excess of the fluid that it displaces, as shown in Equation 1 where m, V, and  $\rho$  are the mass, volume, and density of the spore and  $\rho_{fluid}$  is the density of the solution. 140

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$$m_b = V(\rho - \rho_{fluid}) = m\left(1 - \frac{\rho_{fluid}}{\rho}\right) \qquad \text{Eq. (1)}$$

142 We used a 120 µm long SMR with an internal fluid channel of 3 µm x 5 µm, driven in the 143 second vibrational mode ( $f \sim 2.1$  MHz). A schematic of the cantilever with embedded fluid 144 channel is shown in Fig 1a. The chip containing the SMR is mounted on a fluidic manifold and 145 computer controlled pressure regulators with pressurized glass sample vials are used to precisely 146 control fluid flow within the SMR as previously described (31). Spores are suspended in the 147 desired solution, allowed to equilibrate for 30 min, and loaded into the sample bypass channel. 148 Sample fluid is directed through the resonator and the buoyant mass for individual spores is 149 determined as they flow through the cantilever.

150 Centrifugal trapping and spore water exchange. Bacterial spores can be 'trapped' at the end 151 of the cantilever when centrifugal force (proportional to the vibrational amplitude squared) 152 becomes greater than the force due to fluid flow through the channel (32). To initiate trapping of 153 bacterial spores, the drive amplitude is increased until spores are efficiently trapped at the tip of 154 the resonator at the desired flow rate. Spores are suspended in a solution of sucrose in H<sub>2</sub>O at 155 ~25% w/v (adjusted to match the density of D<sub>2</sub>O, ~1.1 g/mL) and are loaded into one bypass 156 channel of the SMR chip. The other bypass channel is loaded with pure  $D_2O$ . The direction of 157 flow through the resonator is reversed, replacing the sample solution from the first bypass 158 channel with D<sub>2</sub>O from the second. A schematic representation of spore trapping and fluid 159 exchange is shown in Figla-c. As indicated in the results section, some experiments are 160 performed with the exchange between solutions in the reverse of this order. The background 161 signal that results from fluid exchange in an empty resonator is recorded prior to trapping any 162 spores. Spores enter the cantilever and are trapped at the tip, where they remain during 163 subsequent exchange from the sample solution to D<sub>2</sub>O. Spores are exchanged back into the

164 sample solution, from which additional spores enter the trap. Multiple rounds of exchange with 165 successive trapping of spores are performed. The resonator frequency is recorded throughout 166 these exchanges and the signal due to the buoyant mass of the spores is obtained by subtracting 167 the background signal. The change in buoyant mass is calculated from the difference in baseline 168 frequencies between the two solutions before and after the trapping of spores (Fig 1d).

### Results

170 **Buoyant mass.** Buoyant mass quantifies how much a spore weighs in excess of the fluid that it displaces (see Materials and Methods). The buoyant mass of a spore in solutions of two different 171 172 densities can be used to calculate the mass, volume, and density of the cell. Likewise, 173 measurements in H<sub>2</sub>O and D<sub>2</sub>O solutions can be used to separately quantify the dry and aqueous 174 contents (31). To determine the distribution across the population, the buoyant mass of  $\sim 1000$ 175 individual hydrated *B. subtilis* (PS533; wild-type) spores is determined by weighing them in an SMR. Buoyant mass profiles for spores determined in H<sub>2</sub>O ( $\rho \sim 1.0$ ), in 97.5% D<sub>2</sub>O ( $\rho \sim 1.1$ 176 g/mL), and in H<sub>2</sub>O solutions of glycerol, sucrose, and Percoll (colloidal suspension) at  $\sim 1.1$ 177 178 g/mL are shown in Fig. 2a. Spores in H<sub>2</sub>O have a density of  $\sim 1.2$  g/cm<sup>3</sup> determined by density 179 gradient ultracentrifugation (33) and therefore have a positive buoyant mass because they weigh 180 more than the H<sub>2</sub>O that they displace. For these measurements, spores have the greatest buoyant 181 mass in water. The other fluids are all prepared at the same density (1.1 g/mL) and result in a 182 reduced buoyant mass relative to measurements in pure H<sub>2</sub>O because the difference between 183 spore density and solution density is lower. The buoyant masses of solid particles in the four 184 solutions at 1.1 g/mL are equivalent because the particles displace an equal volume (and mass) of 185 each fluid (data not shown). However, spores are not solid particles, and the molecules in each 186 solution can permeate the spore to different extents, as shown schematically in Fig. 2b. 187 Molecules that have permeated into the spore add to the buoyant mass determined for each spore 188 as they displace less dense H<sub>2</sub>O molecules.

Physical properties of intact spores. The size and density of a spore determines its buoyant mass in a given solution. Because these parameters vary from spore to spore, we obtain a distribution of buoyant masses under each condition (Fig 2a). Several biophysical parameters for

192 the wild-type spore population can be calculated from the buoyant mass distributions shown in 193 Fig 2a. For example, the median buoyant mass of the spores in H<sub>2</sub>O (165 fg; coefficient of 194 variation [CV] 23%) and Percoll (89 fg; CV 36%) define a line for which the slope is an estimate of the median spore volume (0.76  $\mu$ m<sup>3</sup>). The x-intercept is an estimate of the spore density 195 196 (assuming all spores are equally dense), which we calculate as 1.22 g/cm<sup>3</sup>, a value nearly 197 identical to that determined by ultracentrifugation on a Percoll gradient (33). Although the 198 population data collected here cannot directly address variability in single spore volume and 199 density, if we assume all spores are equally dense, then the volume CV is equal to the buoyant 200 mass CV. The assumption of roughly constant density is likely valid, given previous work 201 showing variation in cell size is generally far greater than in cell density (34-36). Indeed, dry 202 spore volumes quantified by electron microscopy (35) show a CV of 21%, similar to what we 203 observe for buoyant mass.

As with the measurements in water and in Percoll, we can also compare differences between buoyant mass measurements in H<sub>2</sub>O and D<sub>2</sub>O to estimate properties of the dry spore. Because D<sub>2</sub>O molecules entirely replace H<sub>2</sub>O throughout the spore, only the dry content is responsible for the difference in buoyant mass between these solutions. The line between the buoyant masses in H<sub>2</sub>O and D<sub>2</sub>O (128 fg; CV 18%) determines the dry volume to be 0.37  $\mu$ m<sup>3</sup> and the dry density to be 1.45 g/cm<sup>3</sup>, which is also consistent with previously determined values (33).

The H<sub>2</sub>O content of spores can be calculated based on the difference between the total spore content and the dry spore content. If we extrapolate the lines shown in Fig. 2c back to a solution density of 0 g/mL, the y-intercepts (not shown) would represent the median spore's total mass and dry mass. The difference between the two is the mass of the spores' H<sub>2</sub>O, estimated here to be 390 fg. Alternately, any two points of equivalent density on these lines can be subtracted to find the buoyant mass of the H<sub>2</sub>O with respect to the solution density. As shown in Fig. 2c, a spore is 39 fg heavier in D<sub>2</sub>O than in Percoll. H<sub>2</sub>O is only 0.1 g/mL less dense than D<sub>2</sub>O (1.1 g/mL), so to obtain the total H<sub>2</sub>O content (density of 1.0 g/mL) the difference between the Percoll and D<sub>2</sub>O measurements must be scaled by a factor of ten.

220 Molecular permeation based on buoyant mass. The above determination of H<sub>2</sub>O content 221 represents the extremes of spore permeability. Percoll is a colloidal suspension of 15-30 nm 222 polyvinylpyrrolidone-coated silica particles that are expected to be completely excluded from 223 spores, whereas  $D_2O$  is not excluded at all and can entirely replace a spore's internal H<sub>2</sub>O. In 224 between these extremes, small molecules can permeate some portion of solvent space within the 225 spore. The cortex of a spore is accessible to small molecules, such as nutrients that must reach 226 the IM to initiate germination, although it is traditionally difficult to measure the volumes 227 accessible to these molecules. By measuring the buoyant mass of spores in solutions of small 228 molecules of various sizes, we show that it is possible to probe the internal volume of the spore 229 that is accessible to these molecules (Fig. 2b). We have chosen neutral, highly soluble molecules 230 for this assay to minimize the extent to which they interact with the spore. However we note that 231 chemical interactions or other forces which concentrate molecules within the spore will increase 232 the buoyant mass of the spores. Similarly, repulsion or exclusion of these molecules would 233 decrease the buoyant mass of spores. The median buoyant mass of wild-type spores in the 234 sucrose and glycerol solutions is 111 fg (CV 19%) and 117 fg (CV 21%), respectively. When 235 compared to the Percoll measurement, we observe that an additional buoyant mass of 22 fg 236 sucrose and 28 fg glycerol can permeate the outer layers of the spore at these concentrations. 237 Assuming a uniform distribution of these molecules, the additional mass in glycerol relative to

sucrose indicates that there is a greater volume within the cortex that is accessible to glycerol, and this is consistent with previous work on the levels to which different molecules can permeate the spore (4). If we assume that these solutes diffuse into the spore's interior volume to the same concentration as that outside the spore, these values suggest that of the 0.39  $\mu$ m<sup>3</sup> occupied by water, 0.22  $\mu$ m<sup>3</sup> is accessible to sucrose and 0.28  $\mu$ m<sup>3</sup> is accessible to glycerol.

243 Physical properties and permeation in coat-defective spores. Due to the fact that they lack 244 most coat layers (37), spores with mutations in both *cotE* and *gerE* genes have been 245 characterized in the literature by a number of different techniques. Relevant to the studies herein, 246 the near-total lack of a coat has been directly visualized by atomic force microscopy (AFM) (38), 247 and they have been found to have significantly more rapid core water permeability than wild-248 type (22). The buoyant masses of *cotE gerE* spores were determined for the same solutions 249 described above (Fig 2d). Overall, the buoyant masses for these spores are lower than wild-type 250 spores. Because a number of the genes regulated by GerE are not specific to the assembly of the 251 coat (39), it is likely that some of the mass difference is due to loss of specific proteins or 252 structures besides just the coat. Nevertheless, the loss of coat biomaterial (and hence buoyant 253 mass) is consistent with observations from AFM that these spores are almost entirely devoid of a 254 coat (38). Therein, it was noted that some spores still retain patches of coat material. We note 255 that there appear to be two peaks in the buoyant mass distribution for *cotE gerE* spores. For example, in the blue line in Fig 2d, where the spores' buoyant masses were determined in H<sub>2</sub>O, 256 257 the population has a median buoyant mass of 95 fg (CV 26%), however the left hand portion of 258 this distribution appears to be a primary population with a lower buoyant mass centered at  $\sim 90$ 259 fg, and a less abundant sub-population centered at ~130 fg, which we suspect are spores that 260 retain a portion of their coat.

261 The permeability to molecules in *cotE gerE* spores is also very different from wild-type 262 spores. The median buoyant masses of these spores in glycerol and sucrose are identical (67 fg; 263 CV 27%), suggesting that both of these molecules enter the spore to the same extent. Unlike 264 intact spores, spores with severely damaged coats cannot exclude larger molecules from the 265 peptidoglycan cortex, hence many coat mutants become lysozyme sensitive (11). The median 266 buoyant mass is 65 fg (CV 23%) in Percoll, which consists of colloidal silica particles that are 267 large relative to glycerol and sucrose molecules. The fact that sucrose and glycerol increase the 268 buoyant mass to the same degree as each other and only slightly more than Percoll suggests that 269 the cortex is not providing a differential barrier to these different-sized molecules in *cotE gerE* 270 spores as it does in wild-type spores. This suggests that the cortex of coat-damaged spores has 271 open volumes that are much more accessible to external solvent than in intact spores. As noted 272 above, some changes in cortex structure may exist in this mutant beyond those caused by the 273 lack of a coat, due to the variety of genes regulated by GerE (39). Interestingly, the shape of the 274 buoyant mass distribution for these spores is different in Percoll than in other solutions, and the 275 heavier subpopulation is no longer apparent. If this population were to exclude Percoll from 276 some interior volume, the space would remain filled with only  $H_2O$ , and the spore would weigh 277 less than if the volume were filled with a heavier solution.

To calculate the H<sub>2</sub>O content of *cotE gerE* spores, we subtract the median buoyant mass in Percoll (65 fg; CV 23%) from that in D<sub>2</sub>O (76 fg; CV 26%), yielding a H<sub>2</sub>O buoyant mass of 11 fg. Note that 11 fg buoyant mass from H<sub>2</sub>O when weighed in a solution density of 1.1 g/mL is equivalent to 110 fg total H<sub>2</sub>O mass. Glycerol (the smallest of the permeating molecules) is expected to approach the IM to a similar extent as H<sub>2</sub>O. If this is true, the 9 fg buoyant mass of H<sub>2</sub>O (90 fg total mass) that glycerol cannot replace represents mostly core H<sub>2</sub>O.

284 Kinetics of buoyant mass change. The buoyant mass measurements for Fig. 2 are useful for 285 measuring the characteristics of a population at equilibrium or undergoing slow changes (on the 286 order of minutes or more), but water permeation of spores occurs on a timescale of seconds or 287 less. To study spore water permeation on a sub-second timescale, we developed a technique to 288 trap spores at the tip of the cantilever and monitor the spore's buoyant mass during the transition 289 between two fluids, as shown schematically in Fig. 1. For a typical assay, spores are initially 290 suspended in a sucrose solution at  $\sim 1.1$  g/mL and are exchanged into pure D<sub>2</sub>O. The change in 291 buoyant mass that occurs for PS533 (wild-type) spores is shown in Fig. 3a. Data are aligned such 292 that t=0 is the time when the fluid exchange in an empty resonator is complete. Curves of 293 increasing magnitude are the result of successively trapping multiple spores, annotated to the 294 right of the curves, and repeating the fluid exchange. The y-axis for these plots represents the 295 change in buoyant mass of the spores between the two solutions, and is determined from the 296 difference between the mass signals – SMR resonant frequency – in each fluid, as shown in Fig. 297 1d,e.

298 Exchanging spores from a sucrose/H<sub>2</sub>O solution to D<sub>2</sub>O results in the movement of all 299 three of these species within different parts of the spore. In Fig. 3a, we observe an increase in 300 mass consistent with the replacement of  $H_2O$  with  $D_2O$ . We also expect the sucrose molecules 301 from the initial solution to diffuse out of the spores, but we do not observe a loss in mass over 302 the several sec following the fluidic exchanges. This suggests that either the sucrose leaves the 303 spores concurrently with (or more quickly than) replacement by D<sub>2</sub>O, or the sucrose leaves over 304 a timescale that is longer than a few sec, illustrated schematically in Fig. 3b. The buoyant mass 305 obtained from the spore populations (Fig. 2) can be used to inform our interpretation of the 306 kinetic data. Population data are acquired over a much longer time period (30-60 min) and can be

307 considered as end points for the exchange kinetics. According to the median population values 308 reported above, we expect each spore to gain ~39 fg buoyant mass due to uptake of D<sub>2</sub>O, and to 309 lose ~ 22 fg buoyant mass of sucrose; a net increase of ~17 fg.

310 To determine if the kinetic measurements are consistent with the end-point population 311 measurements, we repeatedly measured the buoyant mass of spores ~9 sec after a fluid switch 312 from sucrose in  $H_2O$  to  $D_2O$  and normalized to the number of spores that were trapped in the 313 resonator at the time (Fig. 3c). To account for experimental variation outside of calculated error 314 bars (Supplemental Material), values and standard errors reported here are determined from 315 replicate fluid switches in which at least 10 spores were trapped in the resonator. The per-spore 316 mass change after ~9 sec in D<sub>2</sub>O is  $17.9 \pm 0.6$  fg, (mean  $\pm$  SE). Similarly, an exchange in which 317 the spores were switched into D<sub>2</sub>O for 1 min prior to the buoyant mass determination (green dots 318 in Fig. 3c) yields a value of  $17.7 \pm 0.8$  fg. The close agreement between these values and the 319 estimate of the population endpoint (17 fg) shows that the bulk of the sucrose leaves the spore 320 either faster than or concurrent with the exchange of  $H_2O$  for  $D_2O$ . However, a careful error 321 analysis reveals that it is still possible for a buoyant mass of up to 1.7 fg of sucrose to remain 322 within the spore and not be detected by our method (Supplemental Material).

Water permeability of coat-mutant spores. Previous analysis by NMR has shown that *cotE gerE* mutant spores have a greatly increased water permeability (20). Fig 3d shows the result of our fluid exchange analysis on these spores. Unlike the wild-type spores shown in Fig. 3a, fluid exchange with *cotE gerE* spores appears to already be complete by the time the resonator is fully flushed (~200 msec) (Fig. 3d), which is consistent with the previous report using NMR. CotE is a protein required for normal coat assembly, and *cotE* spores lack a number of coat proteins and appear to lack an outer coat (5). GerE is a transcription factor that regulates a number of proteins, many of which are involved in assembly of the coat, but also many others which control disparate processes during spore formation (39). Thus, while these spores are severely coat defective, there may be other aspects of this mutant that affect its water permeability.

333 In order to address the importance of the coat to spore water permeability, we have 334 characterized water exchange for spores with a number of additional mutations that are known to 335 affect coat formation (Fig. 4). Kinetic traces for mutants not shown above (Fig 3) are presented 336 in Supplemental Material Fig S2, and time constants determined for all spores are shown in Fig. 337 4. The time constants determined for these exchange reactions suggest that all coat mutations 338 studied here affect the permeability of the resulting spore at some level. On the extreme end, 339 several coat mutations appear to completely abrogate the relatively slow exchange seen with the 340 wild-type spores. The gerE and safA mutations (alone or in conjunction with cotE) result in 341 spores whose H<sub>2</sub>O appears to have been nearly completely exchanged within the time required to 342 fully flush the cantilever with D<sub>2</sub>O, as does the *spoVID* mutation. We estimate 0.09 sec as an 343 upper bound on the time constant for these spores.

344 The *cotE* mutation results in spores for which most of the H<sub>2</sub>O has exchanged by t=0, 345 although there is some observable exchange. It is interesting to note that significant 346 heterogeneity in coat structure has been observed by AFM of *cotE* spores (38). It may be that this 347 mutation results in spores with differential permeability to water as well, with less permeable 348 spores contributing to the amplitude of the observed exchange and quickly-exchanging spores 349 only contributing to the overall amplitude of the curves. Indeed, for *cotE* spores, 80% of the H<sub>2</sub>O 350 is exchanged within the time required to flush the channel, while for the *cotH* and *cotXYZ* 351 mutants, whose exchange rates are equivalent to cotE, this value is ~50% (Fig S2). The H<sub>2</sub>O 352 exchange for *cotO* and *cotB* spores is increasingly slow, suggesting these mutations have a less

deleterious effect on spore water permeability. Interestingly, a *cotXYZ* mutant that results in the loss of spores' outermost crust layer also resulted in increasing spore water permeability similar to that of *cotH* spores (Fig. 4).

356 Molecular permeation based on spore germination. The results described above indicated that 357 coat defects have significant effects on permeation of molecules including water into spores. To 358 examine if this is also the case for other small molecules that are thought to exert their effects by 359 acting at a spore's inner membrane just outside of the spores core, we examined spores' permeation by two compounds, Tb<sup>3+</sup> and dodecylamine, that can influence spores' return to life 360 in the process of germination (27, 29, 34). TbCl<sub>3</sub> at ~ 50  $\mu$ M is often used to monitor the 361 362 progress of spore germination, by measuring DPA release via Tb-DPA complex formation (28). 363 TbCl<sub>3</sub> at 50 µM only minimally inhibits the germination of intact spores, but completely inhibits 364 the germination of severely coat defective spores (29). The mechanism for this inhibition has not been definitively established, but it has been suggested that Tb<sup>3+</sup> binds to DPA being released 365 366 from the protein channel in spores' IM through which DPA is released and the Tb-DPA complex blocks this channel completely. If this is the case, then Tb<sup>3+</sup> would need to penetrate to the IM to 367 368 inhibit spore germination, something that should be much easier in coat-defective spores. To test 369 the effects of TbCl<sub>3</sub> on the germination of the wild-type and various coat mutant B. subtilis 370 spores used to measure water permeation, we monitored the germination of these spores with the nutrient germinant L-valine with Tb<sup>3+</sup> either present throughout the germination process or only 371 372 added at various times (Fig. 5a,c,e; and data not shown). Notably spores of all severely coat 373 defective strains (i.e. those which produce lysozyme sensitive spores (11); safA, spoVID, cotE, 374 gerE, cotE gerE, and cotE safA) exhibited complete or almost complete lack of germination in 375 the continuous presence of TbCl<sub>3</sub>, although these spores germinated reasonably well in the

absence of Tb<sup>3+</sup>. The *cotH* and *cotXYZ* spores exhibited less significant inhibition of spore germination by TbCl<sub>3</sub>, with spores of other coat-defective strains exhibiting only minimal inhibition (Fig. 4, 5). The sensitivity of spore mutants to inhibition of germination by Tb<sup>3+</sup> appears to be correlated with the water permeability of the core (Fig 4).

380 While spores normally germinate with nutrient germinants such as L-valine, they also 381 germinate with some non-nutrient germinants, such as cationic surfactants like dodecylamine 382 (27). This molecule most likely triggers germination by directly opening spores' IM channel for 383 DPA, probably by binding to SpoVAC, one of the seven IM SpoVA proteins that likely comprise this channel (27, 40, 41). In order to bind to SpoVAC, the dodecylamine must penetrate through 384 385 spores' outer layers to access the IM, and it is certainly possible that rates of spore germination 386 with dodecylamine could be dependent on the rate of permeation of this agent through spores' 387 outer layers. Indeed, chemical decoating and at least one severe coat defect increase rates of 388 dodecylamine germination of *B. subtilis* spore germination markedly (27). Examination of the 389 rates of dodecylamine germination of the wild-type and coat mutant spores with TbCl<sub>3</sub> added at 390 various times in germination gave results that were concordant with those seen with effects of 391 TbCl<sub>3</sub> on L-valine germination (Fig. 5b,d,f; and data not shown). Thus the more severely coat 392 defective spores (safA, spoVID, cotE, gerE, cotE gerE, and cotE safA) and had much higher rates 393 of dodecylamine germination than wild-type, cotO, cotB, cotH, or cotXYZ spores. The 394 germination of mutant spores with dodecylamine also appears to correlate with the water 395 permeability of the spore core (Fig 4).

## Discussion

397 We report here a method for observing the water- and small molecule permeability of 398 bacterial spores based on the buoyant mass of these particles in different solutions and on the 399 increase in mass that occurs when internal H<sub>2</sub>O is replaced by D<sub>2</sub>O. While it was once 400 hypothesized that water in the core of *B. subtilis* spores was essentially immobile, it has been 401 demonstrated that spore core water is: i) mobile; and ii) free to exchange with external water, 402 albeit at a rate which is significantly slower than that for vegetative cells (4, 17, 20, 22). It has 403 generally been regarded that permeability of the inner membrane that surrounds the core is the 404 primary barrier to exchange with external water.

405 The IM of coat-defective spores has permeability to methylamine and lipid mobility 406 similar to that of wild-type (12-15). However, we find that the rate at which core water is 407 exchanged is altered significantly for a number of coat mutants, with several mutations that 408 exchange faster than the ~200 msec temporal resolution of our assay. Similarly, measurements of 409 water <sup>2</sup>H relaxation rates by NMR spectroscopy indicate that the water permeability of the B. 410 subtilis spore IM is ~25-fold greater in cotE gerE spores than in wild-type spores (22), and decoating spores also increases rates of <sup>129</sup>Xe movement into the spore core (21). Two possible 411 412 explanations for this apparent discrepancy are: i) the IM is the barrier to exchange of core water 413 in wild-type spores, but that barrier becomes defective in damaged spores. If this is the case, then 414 the permeability of the IM may require the integrity of spores' outer layers, which affect IM 415 structure in a way that alters its permeability to water but not to methylamine. ii) The IM is not 416 the barrier to water entering the spore core, in which case some structure outside the IM must 417 provide this barrier.

418 Here we investigate the role of the spore coat in maintaining the low water permeability 419 of the core. We find that spores lacking CotB, a major component of the outer coat, have 420 permeability similar to that of wild-type. Spores lacking CotO or CotH, which control the 421 assembly of a number of outer coat proteins display more significant increases in the rate of 422 water exchange. Similarly loss of CotE, which localizes to a layer between the inner and outer 423 coat and guides outer coat formation, results in even faster exchange. Although this trend 424 suggests that proteins residing between the outside of the spore and the inner coat have 425 increasing effects on the rate of water exchange, *cotXYZ* spores, which lack the outermost crust 426 layer, display an exchange rate equivalent to that of *cotE* spores. Consistent with this result, it 427 has been suggested that the spore crust may contribute to the structure of the outer coat, as this 428 layer is easily disrupted in spores lacking CotXYZ (42). All of the other mutations tested 429 exchange water faster than the current limit of detection of this assay. Of these, SpoVID and 430 SafA are both involved early in coat formation and GerE is a transcription factor that regulates 431 many proteins involved in coat formation, as well as other processes (39). Double coat mutant 432 spores, which have increased loss of coat material also exchange faster than the limit of this 433 assay.

The coat itself likely cannot be a barrier to water, as it does not provide a barrier to molecules that are much larger. For instance, it has long been known that small molecules can permeate the coat and beyond (4) and dyes used to determine the surface area of spores confirm this porous nature (43). However, we find that removing or compromising the coat removes the barrier to core-water permeability. One possibility is that upon exiting the core, water interacts specifically with outer layers of the spore in a way that small molecules cannot. The cortex of the spore is hygroscopic, and mechanical changes occurring on the same timescale as those observed 441 herein have been observed for spores upon changes in relative humidity (44). However these 442 changes still occur in *cotE gerE* spores, whereas these mutations abolish the low water permeability in this work and as observed by NMR. If the mechanism connecting these disparate 443 444 spore regions involves the intermediate layers, in particular the cortex, either by the creation of 445 an alternate barrier or by modulation of an existing barrier (the IM), measuring the molecular and 446 water permeability of spore cortex- or other mutants could provide additional insights. For 447 example, we may find mutations that change the structure of the cortex or of the inner membrane 448 in a way that abolishes this barrier even in the presence of an intact coat.

The data presented here also show a correlation between the rate at which spores exchange H<sub>2</sub>O with external D<sub>2</sub>O and the ability of both Tb<sup>+3</sup> and dodecylamine to gain access to the IM, as measured by a germination assay. In addition, wild-type spores are shown to allow a greater extent of permeation to small molecules than to larger ones, a characteristic that is abolished in coat-defective spores. Taken together, these results support the notion that molecular access to the IM (the rate at which molecules are able to get up to the IM) may limit the rate at which molecules are able to cross the IM, even for molecules as small as water.

456 The space that appears to be freely solvent accessible within a *B. subtilis* spore is in the 457 coat and the peptidoglycan cortex (4, 17, 45). In a simple biophysical model of the spore, we 458 might envision the cortex as a series of water- and small molecule-accessible spaces of 459 decreasing size as one approaches the core. We would expect that the larger, outer accessible 460 areas are essentially open space relative to the size of molecules, but at some point become 461 restrictive on this scale. That is, solvent-accessible space within the cortex may simply keep 462 decreasing as one approaches the core to the extent that there are simply very few places through 463 which water and other molecules can pass to access the inner membrane. This model is

464 consistent with our observation that glycerol (92.1 Da) can invade the spore to a greater extent
465 than sucrose (342.2 Da), as was also observed by bulk solute uptake measurements for molecules
466 differing by four orders of magnitude in molecular weight (4).

467 The bulk of cortex space is indeed freely accessible, as we find that the majority of the 468 sucrose leaves the spore on a timescale this is either comparable or faster than the  $H_2O/D_2O$ 469 exchange. Under the model proposed above, we might expect that some small molecules that 470 have been taken up by the spore into areas that are restrictive to their permeation could be 471 observed leaving the spore at a slower rate during the fluid exchange assays. However, while 472 some sucrose may remain in the spore after exchange of water, its loss was not directly observed. 473 Finally a mechanism to form a gradient of solvent-accessible space is not known. This could 474 possibly be achieved by changes in molecular packing or in changes in the structure of the cortex 475 itself. It has been observed that cortex cross-linking appears to be highest at the outer part of the 476 cortex, with 2- to 8-fold lower crosslinking just outside of the germ cell wall adjacent to the IM 477 (46, 47), although it is not clear how crosslinking would affect solvent-accessible space. 478 Subsequent work may elucidate characteristics of the spore that affect their permeability to water 479 and small molecules. Molecular exclusion within the cortex has been demonstrated across a wide 480 range of molecule sizes for *Bacillus cereus* (4), and we show here that while similar permeability 481 differences exist for *B. subtilis* wild-type spores, this difference is abolished in severely coat 482 defective spores. It will be interesting to determine the extent to which these permeability 483 differences exist in spores with only minor coat defects, and if so, whether they show any 484 correlation with the observed loss of a barrier to water permeability.

485 The SMR provides a direct way to track the motion of molecules into and out of the spore 486 based on the addition or loss of mass, and the use of D<sub>2</sub>O enables us to look at the motion of H<sub>2</sub>O

487 in addition to dissolved molecules. Similarly, nuclear magnetic resonance (NMR) experiments 488 and Raman spectroscopy have also used D<sub>2</sub>O to investigate spore water. The main benchmarks 489 by which we can compare these are the sample size and time scale of the experiments. Raman 490 spectroscopy also has been used to investigate individual spores; however, the temporal 491 resolution of this technique is currently limited to  $\sim 2$  data points per second (20). NMR 492 experiments can be performed across a wider range of time scales, but are typically made on 493 bulk samples consisting of grams of spores, with additional purity considerations like the need to 494 eliminate manganese ions from spore preparations.

495 The SMR is a microfluidic device capable of using very small sample volumes. For 496 population measurements we typically assay ~1000 individual spores, and kinetic data is 497 available down to the individual spore level, although we typically acquire these data with up to 498  $\sim$ 20-30 spores. The temporal resolution of our kinetic measurements is  $\sim$ 10 ms and is limited by 499 the stability of the resonator system (Fig S1). For our population measurements, the temporal 500 resolution is limited by the time required to measure a statistically representative number of 501 spores (typically less than an hour). Population measurements may ultimately prove useful for 502 enabling transport properties to be measured over long time scales (hours to days).

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632	Table 1*	
633	Strain	Genotype
634	PS533	wild-type
635	PS3328 (Tc <sup>r</sup> )	cotE
636	PS3634	cotXYZ
637	PS3735	spoVID
638	PS3736	cotH
639	PS3740 (Cm <sup>r</sup> )	cotE
640	PS3738 (Tc <sup>r</sup> )	safA
641	PS4133	cotB
642	PS4134	cotO
643	PS4149 (Sp <sup>r</sup> )	gerE
644	PS4150 (Tc <sup>r</sup> Sp <sup>r</sup> )	cotE gerE
645	PS4427 (Cm <sup>r</sup> Tc <sup>r</sup> )	cotE safA
646	*Sources of all strains are given in references 24 and 38 or generated in this work	as described in
647	Methods. Abbreviations used are resistance to: Cmr-chloramphenicol (5 µg/ml); T	c <sup>r</sup> –

- $648 \qquad tetracycline \ 10 \ \mu g/ml; \ and \ Sp^r-spectromycin \ 100 \ \mu g/ml.$



Fig. 1. Measurement schematic. The buoyant mass of individual spores is determined as they pass through a fluid channel embedded in a resonating cantilever (a). Spores can also be trapped at the end of the cantilever (b; cross section) by centrifugal force. The fluid within the resonator can be exchanged with the fluid in the other bypass (c), while the spore remains trapped. The expected mass signal is demonstrated for spore capture (d) and for slow (e) and fast (f) H<sub>2</sub>O to D<sub>2</sub>O exchange.



Fig. 2. The buoyant mass distributions for individual wild-type spores are shown (a) in various
solutions. These molecules permeate the spores to different extents (b) and the resulting
differences in buoyant mass can be used to calculate a number of biophysical parameters for the
spores (c). The buoyant mass profiles of PS4150 (*cotE gerE*) (d) spores are shown in the same
solutions.



666 Fig. 3. The buoyant mass of spores 'trapped' at the tip of the cantilever is observed immediately 667 after the resonator is exchanged from an H<sub>2</sub>O-sucrose (25%) solution to pure D<sub>2</sub>O. Wild-type 668 spores (a) show a slow increase in buoyant mass from the replacement of internal H<sub>2</sub>O with D<sub>2</sub>O. 669 Sucrose leaving the spore is noted with an asterisk in alternate scenarios (b) where it occurs 670 either after replacement of internal H<sub>2</sub>O with D<sub>2</sub>O or before this exchange occurs. These 671 scenarios can be evaluated by quantifying the total change in buoyant mass per spore for 672 reactions that occur over different time scales and in the reverse order as shown in (c). For 673 example, data from (a) and replicate experiments are shown in blue. The experimental variation 674 observed here is greater than the calculated error bars because spores do not remain in exactly

- 675 the same position as the direction of fluid flow is switched back and forth and the SMR's
- 676 frequency is highly dependent on the position of mass within the resonator. Similarly,
- 677 irregularities are seen in the kinetic traces of some experiments as spores shift position. The
- 678 buoyant mass change for *cotE gerE* spores (d) takes place on a timescale that less than the
- 679 temporal resolution of the measurement.



681

682 Fig. 4. The time constants for H<sub>2</sub>O to D<sub>2</sub>O exchange are determined by fitting the kinetic traces 683 in Fig. 3a,d and S2 to an exponential decay equation,  $y=a+b(1-e^{-t/\tau})$ . Under the flow conditions 684 used for these experiments, it takes ~200 msec to completely replace the fluid in the embedded 685 channel. Time constants were not determined for spores in which the exchange appears to be 686 complete by this time. Rather, we estimate an upper bound as our limit of detection (LOD), here 687 assumed to be 0.09 sec (yielding an exchange that is 90% complete after 200 msec), indicated by a horizontal line on the plot above. The Tb<sup>3+</sup> sensitivity of L-valine germination ((-) indicates 688 689 minimal inhibition, (+) indicates intermediate inhibition, and (++) indicates nearly complete 690 inhibition, and rates of dodecylamine germination (slow germination is <50% of the rate of fast 691 germination) are taken from Fig. 5, and data not shown.



