

# Flexible reconfiguration of existing urban water infrastructure systems

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## Abstract

This paper presents a practical methodology for flexible reconfiguration of existing water distribution infrastructure, which is adaptive to the water utility constraints and facilitates in operational management for pressure and water loss control. The network topology is reconfigured into *star*-like topology, where the center node is a connected subset of transmission mains, that provides connection to water sources, and the nodes are the sub-systems that are connected to the sources through the center node. In the proposed approach, the system is first decomposed into the main and sub systems based on graph theory methods and then the network reconfiguration problem is approximated as a single-objective linear programming problem, which is efficiently solved using a standard solver. The performance and resiliency of the original

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13 and reconfigured systems is evaluated through direct and surrogate measures. The  
14 methodology is demonstrated using two large-scale water distribution systems showing  
15 the flexibility of our approach. The results highlight the benefits and disadvantages  
16 from network decentralization.

## 17 **Introduction**

18 Non-revenue water loss is the difference between the volume of water distributed through the  
19 system and the authorized/billed water consumption. Water losses include both real losses  
20 due to leaks in the pipes and apparent losses due to meter inaccuracy and unauthorized uses<sup>1</sup>.  
21 Water losses in distribution systems constitute a major inefficiency in water supplies due to  
22 wastage of treated water and energy resources, increases in operating costs, and reductions  
23 in revenue.

24 District metered areas (DMAs) are a cost-effective technology that has proven highly  
25 successful for water loss control and leakage management<sup>2,3</sup>. A DMA is a precisely defined  
26 sub-network, in which the inter-connecting pipes are monitored and the quantities of water  
27 entering and leaving the district are metered (enabling a better detectability of water losses  
28 through night flow diagnostics)<sup>4</sup>. In addition, pressure management is aided by installing  
29 pressure reducing valves (PRV) at the inlet of each DMA<sup>5-7</sup>. The control of pressures in each  
30 DMA leads to a reduction in leakage through pipe joints and connections. DMAs were first  
31 introduced in the UK water industry in the early 1980 and have been reported to achieve a  
32 85% reduction in measured leakage<sup>3,8</sup>. From water security perspective, some studies have  
33 suggested that in the event of a large scale contamination incident, the DMA structure would  
34 limit the spread of contamination and minimize the extent of response actions required for  
35 the system to restore to its normal pre-event conditions. The principal criteria of a DMA  
36 design are: (i) connectedness to the water source, (ii) size limits for each sub-network, (iii)  
37 minimum number of inter-connections, (iv) independence of the sub-networks, (v) minimum  
38 investment for the installation of isolation valves, and (vi) conserving system performance.

39 The design of DMAs results in a *star*-like topology of the water distribution network com-  
40 prising independent sub-systems that directly or through transmission mains are connected  
41 to water sources.

42 A number of methods for reconfiguration of water systems into DMAs have been previ-  
43 ously suggested. These vary from manual trial and error approaches<sup>9</sup> to automated tools  
44 integrating network analysis<sup>10</sup>, graph theory<sup>11–13</sup>, complex networks<sup>14,15</sup>, and heuristic meth-  
45 ods<sup>15,16</sup>. The common workflow for DMA design is to identify water mains, partition the  
46 network into sub-networks, and isolate inter-connecting lines using simulation-based heuris-  
47 tics to minimize the number of connections and dependencies between the sub-networks.  
48 Table 1 in the Supporting Information (SI) presents a non-exhaustive list of recent research  
49 related to DMA design and their key features. The main drawbacks the prior methods for  
50 DMA design are that all of the studies link heuristic-based approaches with external simu-  
51 lation tool (e.g., EPANET<sup>17</sup>, WDNNetXL<sup>18</sup>), which are typically time consuming especially  
52 for large-scale water systems, and none of the works consider the location of existing valves  
53 assuming that any pipe in the system can be uniquely isolated, which is impractical for real  
54 application.

55 Our work contributes to previous works by: (i) allowing only existing valves to be closed,  
56 thus avoiding capital costs for installation of additional valves, (ii) approximating the network  
57 flow and link isolation as linear programming (LP) problem, which can be efficiently solved  
58 for large-scale systems using standard solvers (e.g. MOSEK<sup>19</sup>, Gurobi<sup>20</sup>), and (iii) perform-  
59 ing a rigorous analysis of network performance and resiliency using a suite of direct and  
60 surrogate measures. The methodology is applied and demonstrated using two large-scale  
61 water networks that, although supply similar daily demand, exhibit different topological  
62 properties.

## 63 **Methods**

64 In our approach for automated network reconfiguration into sub-networks, the control vari-  
65 ables are the existing valves that can be closed, the input parameters are the diameter and  
66 flow thresholds for identifying the mains, the lower and upper bounds for identifying the sub-  
67 networks, and the minimum desired operating pressure at network nodes. The outcome of  
68 our approach is precisely defined sub-network structure achieved by closing a selected subset  
69 of valves, such that each sub-network has a minimum number of inter-connections, desired  
70 demand range, and its nodal pressures are above a desired minimum. Our approach consists  
71 of two main steps: (i) topology decomposition – the system is initially decomposed into the  
72 main and sub networks and (ii) optimization problem – the network flow and reconfigura-  
73 tion problem is approximated as a single-objective linear programming (LP) optimization  
74 problem. The feasibility of the resulting solution is validated by solving the full nonlinear  
75 flow model using EPANET<sup>17</sup> hydraulic solver, and the performance of the original and the  
76 reconfigured network is evaluated and compared using direct and indirect measures.

### 77 **Network topology decomposition**

78 The topology of water distribution systems is composed of mixed branched and looped  
79 configurations. Transmission mains convey large flows from the water sources to distribution  
80 mains of the interior system and typically comprise larger diameter pipes. The distribution  
81 mains further distribute water to end consumers and typically comprise smaller diameter  
82 pipes<sup>21</sup>. Network decomposition consists of two main phases: (i) identifying transmission  
83 mains and (ii) defining sub-networks, as described next.

### 84 **Transmission mains**

85 The primary step towards DMA configuration is to identify the connected subset of trans-  
86 mission mains (pipes, valves, pumps) that connect the water sources (reservoirs, tanks, wells)

87 to the interior of the network. For real systems, classification of transmission mains based  
 88 solely on pipes' diameters<sup>10</sup> may be inadequate as these pipes may not be fully connected  
 89 and smaller pipes may carry large volumes of flow as well. We identify transmission mains  
 90 as the connected subset of links with diameters,  $D$ , and flows,  $q$ , higher than the specified  
 91 thresholds,  $D_c$  and  $q_c$ , respectively. Given a network graph  $G$ , a set of nodes  $N$  consisting of  
 92 source  $N_s$  and demand  $N_d$  nodes, and a set of links  $E$  consisting of pipes  $E_p$  and valves  $E_v$ :

- 93 1. Find the subset of links,  $E_D \subset E$ , with diameters above a given threshold:  $E_D =$   
 94  $\{(u, v) \in E \mid D(u, v) \geq D_c\}$ .
- 95 2. Find the subset of links,  $E_F \subset E$ , with flows higher than a given threshold:  $E_F =$   
 96  $\{(u, v) \in E \mid q(e) \geq q_c\}$ , where  $q$  can be computed by solving the full set on nonlinear  
 97 flow equations<sup>22</sup> or using hydraulic simulator<sup>17</sup>:
- 98 3. Combine both sets,  $E_C = \{E_D \cup E_F\}$ , and find the largest connected component,  $G_{main}$ ,  
 99 in the subgraph  $G(N_C, E_C)$  where  $N_C = \{u \mid (u, v) \in E_C\}$ , that is accessible from the  
 100 sources. A connected component is a subgraph that contains a path between every pair  
 101 of distinct nodes and can be found using the breadth first search (BFS) algorithm<sup>23</sup>  
 102 and setting each source node as the root node. Consequently, the subgraph  $G_{main}$  is  
 103 composed of the transmission mains and is connected to the sources.
- 104 4. Extend the connected subgraph of transmission mains such that it has only valves  
 105 in its edge-cut. We define an *edge-cut* as the set of all links that have one node  
 106 that belongs to a given subset of nodes  $N_i$  and the other belongs to  $N \setminus N_i$ . Let  
 107  $N_{main} = \{u \in N(G_{main})\}$  be the set of all nodes in the main subgraph,  $E_{main} =$   
 108  $\{(u, v) \in E(G_{main}) \mid u, v \in N_{main}\}$  be the set of all links in the main subgraph, and  
 109  $E_{cut-main} = \{(u, v) \in E \setminus E_{main} \mid u \in N_{main}, v \in N \setminus N_{main}\}$  be the main edge-cut. Then  
 110 the extended subgraph  $\tilde{G}_{main}$  has only valves on its boundary connections and its edge-  
 111 cut contains only valves, with  $\tilde{E}_{cut-main} = \{(u, v) \in E_v \mid u \in \tilde{N}_{main}, v \in N \setminus \tilde{N}_{main}\}$ ,  
 112  $\tilde{N}_{main} = \{u \in N(\tilde{G}_{main})\}$ ,  $\tilde{E}_{main} = \{(u, v) \in E(\tilde{G}_{main}) \mid u, v \in \tilde{N}_{main}\}$ . This is

113 achieved by traversing network links in a BFS manner starting from each boundary  
 114 node of the initial transmission main,  $G_{main}$ , and exploring all adjacent links until the  
 115 closest existing valves are reached.

116 The outcome of the first step is the subgraph  $\tilde{G}_{main}$  which is the center node of the *star*-  
 117 topology and will connect all sub-networks to the water sources.

## 118 Graph decomposition

119 The next step is to decompose the rest of the network,  $G[N \setminus \tilde{N}_{main}]$ , into sub-graphs such  
 120 that each sub-graph is within a specified size range, has a connection to the source, has a  
 121 minimum number of inter-connecting links, i.e. small edge-cut size, and all inter-connecting  
 122 links are existing valves to avoid any additional retrofit costs. We treat inter-connecting  
 123 valves as a hard constraint and the rest of the constraints as soft constraints, i.e. can be  
 124 violated. We combine graph search and partitioning algorithms to decompose the water  
 125 network, taking the following steps:

- 126 1. Identify all subgraphs  $G_i$  connected to the main subgraph using BFS starting from  
 127 each boundary node of  $\tilde{G}_{main}$ . Set counter  $m = 2$ .
- 128 2. Compute the demand,  $d(N_i)$ , of each subgraph identified previously, where  $N_i = N(G_i)$   
 129 is the set of nodes belonging to the subgraph,  $G_i$ . Given the minimum and maximum  
 130 desired total demands,  $\underline{d}$  and  $\bar{d}$ , respectively, check if:

- 131 –  $d(N_i) < \underline{d} \Rightarrow$  merge small sub-networks with the main  $\tilde{G}_{main} = \{\tilde{G}_{main} \cup G_i\}$
- 132 –  $\underline{d} < d(N_i) < \bar{d} \Rightarrow$  create new sub-network  $G_m = G_i, m = m + 1$
- 133 –  $d(N_i) > \bar{d} \Rightarrow$  further partition  $G_i$  into  $k$  subgraphs, using a graph partitioning  
 134 algorithm (METIS<sup>24,25</sup>) with  $k = \lfloor d(N_i)/\bar{d} \rfloor$ . The graph partitioning algorithm  
 135 is adopted from distributed computing for allocating tasks to multiple processors  
 136 and it divides the given graph with  $|N|$  nodes into  $k$  clusters, such that the num-  
 137 ber of inter-connections between different clusters is minimized and the clusters

138 are roughly the same size. This graph partitioning approach has been previously  
 139 successfully applied to water distribution systems<sup>26</sup>. Finally, as previously, each  
 140 subgraph is refined to have only valves in its edge-cut.

141 The outcome of this step is a *star*-configuration of the water network based solely on topo-  
 142 logical properties, where  $\tilde{G}_{main}$  and  $G_m$ ,  $m = 1, \dots, K$ , are main and the sub-networks  
 143 of the full water system and all inter-connections between the sub-networks are valves,  
 144  $E_{cut-m} = \{(u, v) \in E_v \mid u \in N(G_m), v \in N \setminus N(G_m)\}$ . Let  $E_{cut-M} \subset E_v$  be the union  
 145 of all valves in the edge-cut of each sub-network. Note, although in this application we fo-  
 146 cused on sub-network *size* in terms of demand, any function can be applied such as number  
 147 of nodes or number of connections.

## 148 Optimization problem formulation

149 Next, we approximate the network reconfiguration as a linear programming (LP) problem,  
 150 where the decision variables are the boundary valves in the edge-cut, the system is subject  
 151 to hydraulic and operational constraints, and the objective function minimizes the number  
 152 of open boundary valves.

## 153 Network flow

154 For each node  $i \in N$  in the network, the conservation of water is written as:

$$\sum_{k \in E_{i,in}} q_k - \sum_{k \in E_{i,out}} q_k = d_i \quad \forall i \in N \quad (1)$$

155 where  $q_k$  is the flow in link  $k$ ,  $E_{i,in}$  and  $E_{i,out}$  are the links coming in and out of the node  $i$ ,  
 156 and  $d_i$  is the nodal demand.

157 Then for each link  $k \in E$  the conservation of hydraulic energy is written as:

$$h_k + H_j - H_i = 0 \quad \forall k \in E \quad (2)$$

158 where  $H_i, H_j$  are the hydraulic head at the start and end nodes  $i, j \in N$ , respectively, and  
 159  $h_k$  is the head loss or gain of the hydraulic element. For network pipes, the headloss is a  
 160 monotonically increasing power function of the flow rate that can be estimated using the  
 161 Hazen-Williams model<sup>27</sup> as:

$$h_k = R_k q_k^\alpha \quad \forall k \in E_p \quad (3)$$

162 where  $R_k$  is the pipe's roughness coefficient,  $\alpha = 1.852$ , and  $E_p$  is the set of pipes. The  
 163 headloss for valves follows the same power function (Eq. 3) with different parameters  $R$  and  
 164  $\alpha$  depending its characteristics.

165 The given network flow problem results in a set on nonlinear equations an embedding  
 166 them into an optimization problem will result in a nonlinear nonconvex optimization prob-  
 167 lem. Several modeling and solution approaches have been suggested in past years exhibiting  
 168 a clear trade-off between modeling complexity and efficiency of the solution approach. The  
 169 main approaches rely either on some approximation of the flow model, such as linear relax-  
 170 ations<sup>28,29</sup>, which can then be efficiently solved using modern solvers, or solving the nonlinear  
 171 models using heuristics or evolutionary algorithms<sup>16,30</sup> but without solution guarantees. Ad-  
 172 ditionally, the evolutionary algorithms tend to suffer from computational burden as the size  
 173 of the optimization problem increases. To achieve a practical and efficient solution method  
 174 we suggest a linear approximation of the nonlinear head loss function around an operating  
 175 point taking the form:

$$\tilde{h}_k = a_{1k} q_k + a_{0k} \quad \forall k \in E \quad (4)$$

176 where  $a_{1k}, a_{0k}$  are a function of selected operating point  $q_k^{op}, h_k^{op}$  and  $R_k$  pipe's characteristics,  
 177 as shown in Figure 1 of the SI. Within the operating range, the linear model of a single pipe  
 178 slightly overestimates the headloss. Outside the operating range with the flow in the same  
 179 direction, the linear model underestimates the headloss, and significantly overestimates if  
 180 the direction of flow changes. We later show, that we validate the feasibility of our final  
 181 solution by solving the full set of nonlinear equations.



182 Substituting Eq. (4) into Eq. (2), the approximated model of the hydraulic energy over  
 183 network links takes the following form for all pipes and valves except the valves that are in  
 184 the final edge-cut:

$$a_{1k}q_k + a_{0k} + H_j - H_i = 0 \quad \forall k \in E \setminus E_{cut-M} \quad (5)$$

For each boundary valve in the edge-cut  $k \in E_{cut-M}$ , that can be closed, we modify Eq. (5) to model zero flow. If the flow in the valves is zero,  $q_j = 0$ , then according to Eq. (5), the head difference between the two previously adjacent nodes (before isolation) is strictly equal to  $a_{0k}$ , which is obviously false. To model zero flow in isolated valves, for each valve, we introduce two additional variables  $y_k, u_k$  and two additional constraints (6b-c) representing valve's state (open or closed) and the head difference between disconnected nodes in case of a closed valve. The set of new constraints is formulated as:

$$a_{1k}q_k + a_{0k} + H_j - H_i + u_k = 0 \quad \forall k \in E_{cut-M} \quad (6a)$$

$$(1 - y_k)\underline{q}_k \leq q_k \leq \overline{q}_k(1 - y_k) \quad \forall k \in E_{cut-M} \quad (6b)$$

$$-My_k \leq u_k \leq My_k \quad \forall k \in E_{cut-M} \quad (6c)$$

$$y_k \in \{0, 1\}, u_k \in \mathbb{R}$$

185 where  $u_k$  is a continuous variable representing head difference between disconnected nodes,  
 186  $y_k$  is a binary variable representing the state of the valve (1 – closed, 0 – open),  $M$  is a large  
 187 number, and  $E_{cut-M}$  is the set of boundary valves.

188 The set of equations in (6) is reduced to two cases: (i)  $y_k = 1 \Rightarrow q_k = 0, u_k \in \mathbb{R}$  – the  
 189 valve is closed, the flow rate is zero,  $q_k = 0$ , and the head difference between the two adjacent  
 190 nodes is a real-valued number and (ii)  $y_k = 0 \Rightarrow q_k \in \mathbb{R}, u_k = 0$  – the valve is open, the  
 191 dummy variable  $u_k$  is zero and Eq. (6a) preserves its original form as in Eq. (5).

192 **Linear programming formulation**

193 Given a *star*-topology with inter-connecting valves, the problem is to find the largest subset  
 194 of valves that can be closed such pressures are maintained above a desired minimum value.

195 Combining Eqs. (1)-(6), the following LP problem is formulated:

$$\begin{aligned}
 & \underset{\mathbf{q}, \mathbf{H}, \mathbf{y}, \mathbf{u}}{\text{minimize}} && N_v - \sum_{k \in E_{cut-M}} y_k \\
 & \text{subject to} && (1), (5), (6) \\
 & && \underline{H}_i \leq H_i \leq \overline{H}_i \quad \forall j \in N \\
 & && 0 \leq \mathbf{y} \leq 1
 \end{aligned} \tag{7}$$

196 where  $N_v = |E_{cut-M}|$  is the number of boundary valves and  $\underline{H}_i, \overline{H}_i$  are the lower and the  
 197 upper pressure constraints, respectively. Note, that we relax the integer constraint and allow  
 198  $y$  to vary between 1 and 0, this is to capture the inaccuracies resulting from the linearization  
 199 of the headloss function.

200 In the final solution, the valves corresponding  $y = 1$  are closed and the rest are left  
 201 open and the feasibility of the solution is validated by solving the full set of nonlinear flow  
 202 equations, e.g. using EPANET<sup>17</sup>.

203 **Performance evaluation**

204 Several measures have been previously suggested for analyzing the performance of water  
 205 networks. These can be classified into direct measures of hydraulic reliability, e.g. minimum  
 206 pressure and water age, surrogate physical metrics computed as a function of the energy dis-  
 207 sipated in a system<sup>31</sup>, and complex networks indexes that analyze the structural robustness  
 208 of water distribution networks<sup>32</sup>. Next, we briefly review the measures we use for analyzing  
 209 network performance.

210 **Direct measures**

- 211 1. *Worst cut-size (WCS)* – is the largest edge-cut of an individual sub-network,  $|E_{cut-m}|$ ,  $m =$   
 212  $1, \dots, K$ . This measure indicates the maximum number of meters and control valves  
 213 that are needed to control an individual sub-network and the extent of response actions  
 214 in the event that the sub-network needs to be isolated.
- 215 2. *Total cut-size (TCS)* – is the size of the edge-cut of the network  $|E_{cut-M}|$ , i.e. the total  
 216 number of boundary valves. This number indicates the overall investment required for  
 217 network retrofit (flow meters and pressure control valves) and needs to be minimized.
- 218 3. *Pressure* – the performance of the system can be naturally evaluated based on the  
 219 pressure distribution before and after reconfiguration.
- 220 4. *Water age (WA)* – water age is an indicator for water quality and is also used to  
 221 evaluate network performance.

222 **Physical surrogate measures**

- 223 1. *Resilience index*<sup>33</sup> –  $I_R$  is a measure of excess system power based on the power loss  
 224 in a system and can be computed as:

$$I_R = 1 - \frac{P_{loss}}{P_{loss}^{max}} = 1 - \frac{\sum_{i \in N_s} H_i d_i - \sum_{i \in N_d} H_i d_i}{\sum_{i \in N_s} H_i d_i} \quad (8)$$

225 where  $P_{loss}$  is the actual power loss in the network and  $P_{loss}^{max}$  is the maximum feasible  
 226 power loss in the network. Higher values of the resilience index  $I_R$  indicate a more  
 227 efficient distribution of flows in term of power dissipation.

- 228 2. *Network resilience index*<sup>34</sup> –  $I_N$  is a modified resilience index taking into account  
 229 changes in pipe diameters:

$$I_N = 1 - \frac{P_{loss}^{adj}}{P_{loss}^{max}} = 1 - \frac{\sum_{i \in N_s} H_i d_i - \sum_{i \in N_d} U_i H_i d_i}{\sum_{i \in N_s} H_i d_i} \quad (9)$$

230 where

$$U_i = \frac{\sum_{k \sim (i,j)} D_k}{|k| \cdot \max\{D_1, \dots, D_k\}} \quad (10)$$

231 where  $P_{loss}^{adj}$  is the modified actual power loss in the network adjusted to pipe diameters,  
232  $D$  is pipe diameter,  $|k|$  is the number of pipes connected at node  $i$ , and  $U_i \leq 1$  is a  
233 scale factor penalizing changes in diameters. Higher values of the network resilience  
234 index  $I_N$  indicate a more efficient distribution of flows in terms of power dissipation  
235 and network design.

### 236 **Complex network measures**

- 237 1. *Meshedness coefficient*<sup>35</sup> –  $R_m$  is defined as the fraction of the actual number of loops  
238 to the maximum possible number of loops in a planar graph:  $R_m = (m - n + 1) / (2n - 5)$ ,  
239 where  $m$  is the number of links and  $n$  is the number of nodes in the graph. This is a  
240 surrogate metric of path redundancy in a network.
- 241 2. *Spectral gap*<sup>36</sup> –  $\Delta\lambda$  is the difference between first and second eigenvalues of graphs  
242 adjacency matrix  $A$ . A small spectral gap could indicate the presence of articulation  
243 points whose removal may split the network into isolated parts.
- 244 3. *Algebraic connectivity*<sup>37</sup> –  $\lambda_2$  is the second smallest eigenvalue of normalized Laplacian  
245 matrix of the network. A larger value of algebraic connectivity denotes the network  
246 robustness and tolerance against efforts to decouple the network.

247 Network reconfiguration schemes and suggested performance analysis are demonstrated  
248 in Figure 2 in the SI using an illustrative example adopted from Alperovits and Shamir<sup>38</sup>.

## 249 **Applications and results**

250 The suggested approach was applied to two large-scale water networks – EXNet<sup>39</sup> and BWS-  
251 NII<sup>40</sup>. We randomly added valves to both networks to test our approach, as the original

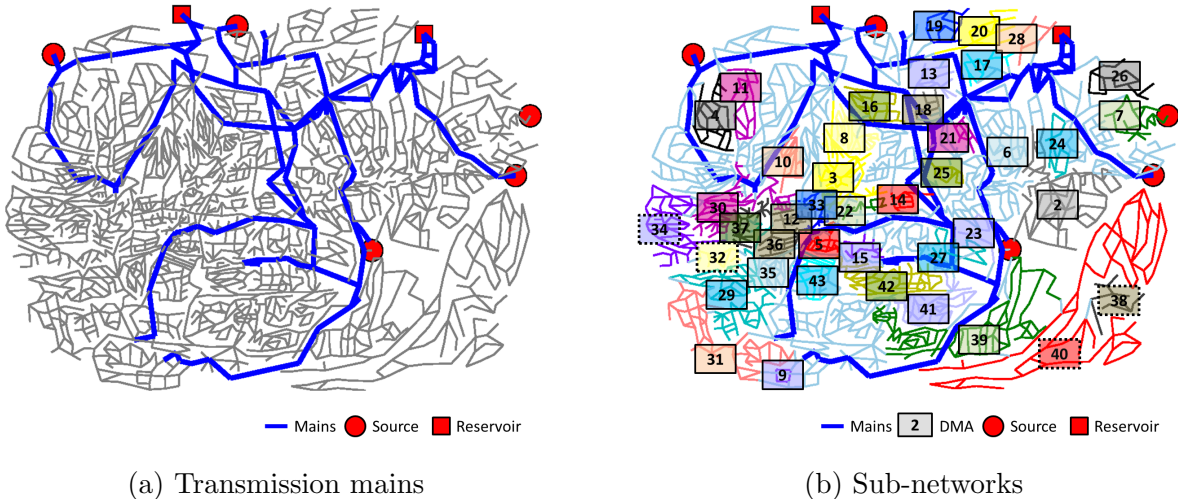


Figure 1: EXNet topology

Table 1: Data for water systems

System	#Pipes	#Valves	#Nodes	Demand [ $10^6 gal/day$ ]	#sub networks	Full* cut-size	Reduced** cut-size
EXNet	1,546	872	1891	37	42	130	47
BWSNII	11,024	3,295	12,523	28.2	36	206	49

\*full network before closing valves; \*\*reduced network after closing valves;

252 networks do not contain any valves, additionally, for the EXNet, we reduced the nodal  
 253 demand by half since this network was developed for rehabilitation design to supply future  
 254 demands. The complete EPANET<sup>17</sup> files are available in the SI. The system data and design  
 255 parameters used in this work are:

256 *Network model.* The required inputs include network topology, properties of network  
 257 nodes and links (i.e., length, diameters, roughness of pipes, nodal elevations and daily de-  
 258 mands). This information can also be read directly from the EPANET<sup>17</sup> *.inp* network files.  
 259 Summary of networks' data is given in Table 1 (first five columns). The EXNet is a smaller  
 260 network in terms of number of pipes and nodes, but it supplies slightly higher daily demand  
 261 than BWSNII, which almost seven times larger in size.

262 *Design parameters.* For both networks, the demonstrated results are for the parameters:  
 263 (i) threshold diameter  $D_c = 16[inch]$  and threshold flow  $q_c$  is the top 1% of network flows, (ii)  
 264 minimum and maximum sub-network size  $\underline{d} = 10^5[\frac{gal}{day}]$  and  $\bar{d} = 10^7[\frac{gal}{day}]$ , and (iii) minimum

265 nodal pressure  $\underline{P}_i = 10[psi]$ , where the pressure head,  $H_i$ , is equal to the pressure plus the  
 266 elevation of node  $i$ .

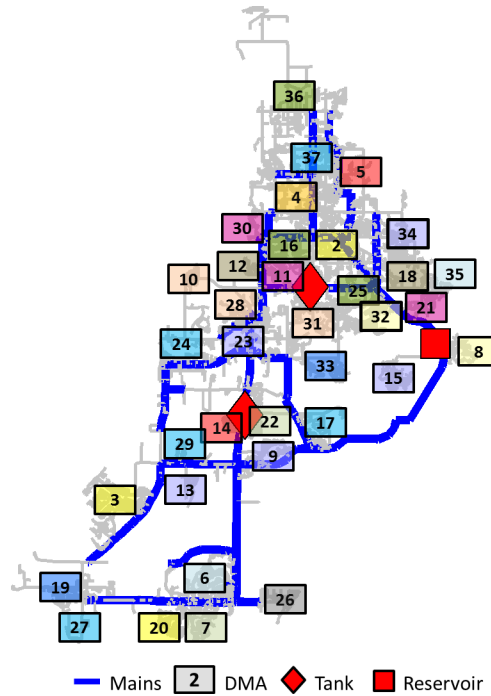


Figure 2: BWNSII mains and sub-networks topology

267 *Network decomposition.* Figure 1 shows the topology of the EXNet network and its  
 268 sources. Figure 1a shows (bold blue) the identified transmission mains in the first step of the  
 269 algorithm. Next, based on the graph decomposition steps described previously, the network  
 270 was partitioned into 42 sub-networks with 130 boundary valves connecting the different sub-  
 271 networks, as shown in Figure 1b and listed in Table 1 in columns six and seven. Table 2  
 272 in the SI gives a detailed list of the demand, mean pressure, water age, and the size cut of  
 273 each sub-network. All sub-networks are within the desired demand range and all, excluding  
 274 32, 34, 38, and 40, which are located farther from the mains (shown in dashed line), have a  
 275 direct connection to the transmission mains.

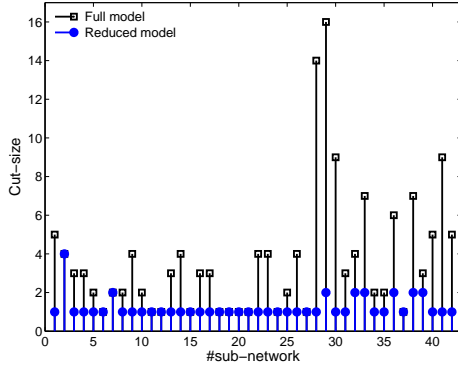
276 The BWSNII was partitioned into 36 sub-networks with 206 boundary valves. The layout  
 277 of the BWSNII network, its transmission mains, and sub-networks are shown in Figure 2.  
 278 As previously, all sub-networks are within the desired demand range and all have a direct

279 connection to the transmission mains. Table 4 in the SI shows the demand, mean pressure,  
280 water age, and the size cut for each sub-network.

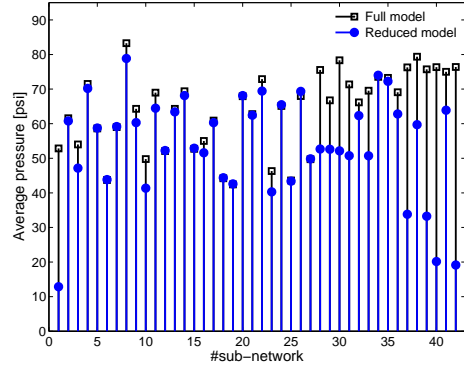
281 *Optimization problem.* We formulate the optimization problem based on (7). The EXNet  
282 has a single loading condition, hence for each pipe, we use two-point linear approximation  
283 with  $[Q_1 \ Q_2] = [0.5q \ 1.5q]$ , where  $q$  is the flow in each pipe. An example for the two-point  
284 linearization is given in Figure 1 of the SI. The LP model results in 4,569 decision variables  
285 and 4,829 constraints. The Gurobi solver<sup>20</sup> is used to solve the optimization problem with  
286 a solution time around 0.6[sec] (Intel Core i7 2.9 GHz 16 GB of RAM). The solution is the  
287 list of valves that can be isolated, i.e. with corresponding dummy variables equal to one,  
288  $y_k = 1$ . We refer to the *full model* as the network before closing valves and to the *reduced*  
289 *model* – after closing valves. For EXNet, 83 valves were identified for a potential isolation  
290 for network reconfiguration, with only 47 valves remaining open (Table 1, last column). As  
291 mentioned before, to validate the solution of the LP problem we solve the full set of nonlinear  
292 flow equations using EPANET<sup>17</sup>. All results demonstrated below are computed based on the  
293 hydraulic simulations using EPANET. The *.inp* file of the reconfigured network can be found  
294 in the SI. A full list of the number of connections (cut-size) for each of the sub-networks  
295 before and after optimization is given in Table 2 of the SI and the detailed list of boundary  
296 valves at the solution is given in Table 3 of the SI.

297 For BWSNII we take the minimum and the maximum flows during the extended period  
298 simulation for the linear approximation of the headloss function and formulate the opti-  
299 mization problem for the peak demand condition. The LP model results in 16,521 decision  
300 variables and 16,538 constraints, with the solution time of approximately of 5.5[sec]. For  
301 BWSNII, 157 valves were closed, with only 49 remaining open (Table 1, last column). The  
302 solution was again validated using EPANET<sup>17</sup> simulations and the new *.inp* file can be found  
303 in the SI. The detailed lists are given in Tables 4 and 5 of the SI.

304 *Performance evaluation.* Next, we analyze the performance of the full and reduced models  
305 based on the different measures. Figure 3a shows the cut-size and Figure 3b the average



(a) Cut-size



(b) Average pressure

Figure 3: EXNet sub-networks’ performance: full model (black squares) and reduced model (blue fill rectangles)

306 pressures of each of the sub-networks for the full (black squares) and reduced (blue circles)  
 307 models of EXNet based on the full hydraulic simulation. It can be observed that the cut size  
 308 is significantly reduced after the optimization followed by a reduction in the average pressures  
 309 in the system, although still above the minimum required. The average water age for each  
 310 sub-network is reported in Table 2 in the SI, however, no apparent changes were observed  
 311 between the full and reduced models for this network. Figure 3 in the SI demonstrates the  
 312 pressure distribution in the network before (black-white) and after (blue) reconfiguration.  
 313 As expected, the distribution of pressures is shifted to lower values after closing additional  
 314 valves, since the energy losses in the system increase.

315 Figure 4 demonstrates similar analysis for BWSNII, although the number of boundary  
 316 valves is greatly reduced after optimization (Figure 4a), there is only slight reduction in the  
 317 average pressures for each sub-network (Figure 4b) and no apparent change in the water age.  
 318 Figure 4 in the SI demonstrates the shift in the pressure distribution to lower values, similar  
 319 to previous application.

320 Finally, Table 2 lists the different performance metrics explained previously. For both  
 321 EDNet and BWSNII, we can observe the great reduction in the number of boundary connec-  
 322 tions, in terms of the total and the worst cut-size. This indicates the number of flow meters  
 323 and pressure control valves that should be installed in the inlet of each sub-network for



324 water loss and pressure control on the network. A slight reduction is observed in both  
 325 physical and complex network performance measures comparing the reduced and the full  
 326 models. For BWSNII, the reduction in all measures is less significant than for the EXNet  
 327 network, particularly the topological indicators, indicating that for large physical networks  
 328 these measures are less informative.

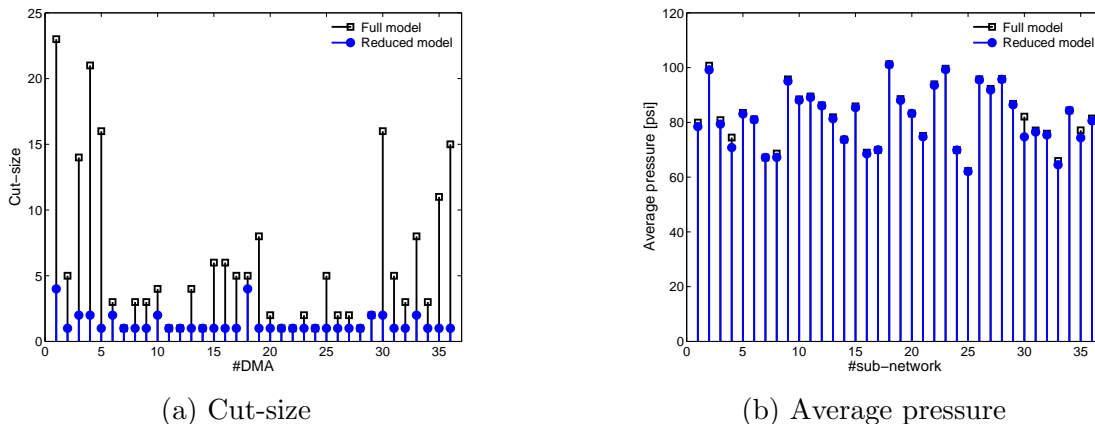


Figure 4: BWSNII sub-networks' performance: full model (black squares) and reduced model (blue fill rectangles)

Table 2: Performance evaluation measures

Metric	EXNet		BWSNII	
	Full	Reduced	Full	Reduced
$WCS$	16	4	23	4
$TCS$	130	47	206	49
$I_R$	0.72	0.64	0.98	0.96
$I_N$	0.66	0.59	0.92	0.90
$\lambda_2$	0.0004	0.0002	-1.00	-1.00
$\Delta\lambda$	0.2612	0.2560	0.0062	0.0062
$R_m$	0.1391	0.1172	0.0715	0.0652

329 In this paper, we introduce a practical and efficient approach for flexible water network  
 330 reconfiguration facilitating water loss control and pressure management. In our approach,  
 331 the network reconfiguration problem combines graph theory algorithms and is formulated as  
 332 a LP problem, which is efficiently solved for large-scale networks. We examine the resiliency  
 333 and robustness of different reconfiguration schemes based on common resiliency measures.  
 334 Our results demonstrate the benefits and disadvantages from network decentralization. The

335 presented approach provides a decision support tool for water utilities facilitating in infras-  
336 tructure management.

## 337 **Acknowledgement**

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339 Public Utility Board (PUB) for their collaboration.

## 340 **Supporting Information Available**

341 Auxiliary data providing the full networks data, related work, linear approximation, illus-  
342 trative example for performance metrics, and results are provided in the SI.

343 This material is available free of charge via the Internet at <http://pubs.acs.org/>.

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441 **Graphical TOC Entry**

442



# Flexible reconfiguration of existing urban water infrastructure systems

## Supporting Information

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Table 1: Summary of methodologies for DMA design

Paper	Design criteria	Solution method	Performance evaluation
Murray et al. (2010)	Connectedness to source Adding/closing pipes Size constraints	Manual DDA, EPANET	Water security Water age Resilience index Fire flow
Ferrari et al. (2013)	Connectedness to source Closing pipes Size constraints	BFS DDA, EPANET Heuristics	Minimum pressure
Diao et al. (2013)	Closing pipes Size constraints	Modularity DDA Heuristics	Minimum pressure Water age Fire flow
DiNardo et al. (2013)	Connectedness to source Closing pipes	DFS PDA, WNetXL GA	Resilience index Pressure index Flow index
DiNardo et al. (2013)	Closing pipes Number of zones	Graph partitioning GA DDA & PDA	Resilience index Pressure index Flow index
Alvisi and Franchini (2014)	Closing pipes Size constraints	BFS DDA Enumeration	Minimum pressure Resilience index
This paper	Connectedness to source Closing valves Size constraints Minimum connections	Graph partitioning LP	Hydraulic measures Robustness metrics Resilience indexes

BFS - Breadth first search; DFS - Depth first search; DDA - Demand driven analysis; PDA - Pressure driven analysis;  
GA - Genetic algorithms; LP - linear programming;

## Physical surrogate measures

Power loss in flow networks is defined by the summation over all links of the headloss  $h_j$  multiplied by the flow  $q_j$ . It can be shown that an equivalent formulation is the summation over all nodes of the head  $H_i$  multiplied by the nodal demand  $b_i$ , and formulated as:

$$\sum_{j \in E} h_j q_j = h^T q = (AH)^T q = H^T A^T q = H^T d = \sum_{i \in N} H_i d_i \quad (1)$$

where  $A$  is network connectivity matrix.

The right hand side of (1) can be further decomposed into  $P_{in}$ , the power input by the network sources  $N_s$ , and  $P_{out}$ , the power output to network consumers  $N_d$ .

$$\sum_{j \in E} h_j q_j = \sum_{i \in N_s} H_i d_i - \sum_{i \in N_d} H_i d_i \quad (2)$$

↓

$$P_{loss} = P_{in} - P_{out} \quad (3)$$

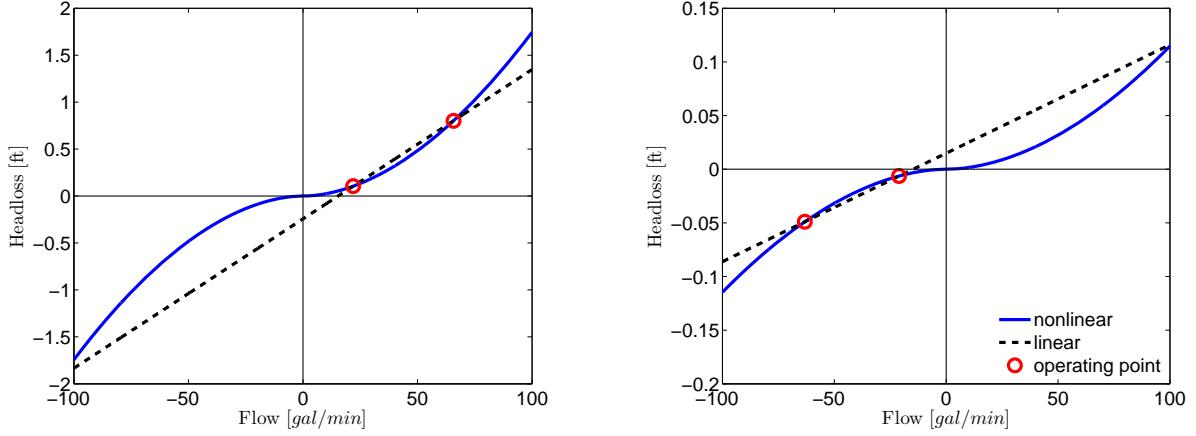
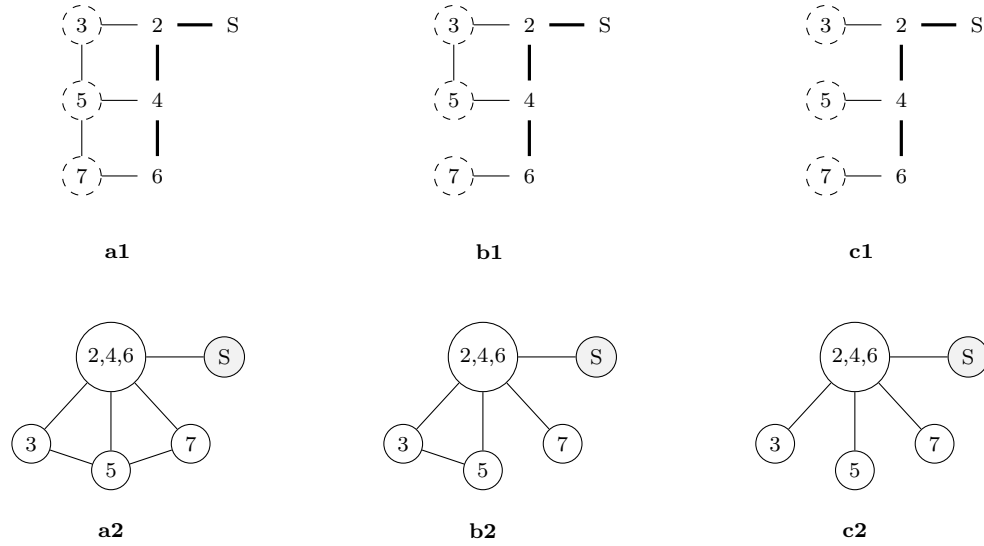


Figure 1: Linear approximation of the flow-headloss function. Figures a and b demonstrate linear approximation of the nonlinear flow-headloss function for pipes 2055 and 2056 of the EXNet network given an operating domain  $[Q_1, Q_2]$ . The operating domain is determined based on flows during normal operation. The linear model is computed as:  $\tilde{h}(q) = \frac{h(Q_2) - h(Q_1)}{Q_2 - Q_1}q + \frac{h(Q_1)Q_2 - h(Q_2)Q_1}{Q_2 - Q_1} = a_1q + a_0$ .



	<b>a</b>	<b>b</b>	<b>c</b>
<b><i>WCS</i></b>	3	2	1
<b><i>TCS</i></b>	5	4	3
<b><i>P<sub>min</sub></i></b>	29.01	29.00	26.92
<b><i>I<sub>R</sub></i></b>	0.927	0.927	0.914
<b><i>I<sub>N</sub></i></b>	0.708	0.847	0.835
<b><i>R<sub>m</sub></i></b>	0.222	0.111	0.000
<b><math>\Delta\lambda</math></b>	1.221	1.090	0.844
<b><math>\lambda_2</math></b>	0.365	0.265	0.232

WCS - worst cut size; TCS - Total cut size;  $P_{min}$  - minimum pressure;  $I_R$  - resiliency index;  
 $I_N$  - network resiliency index;  $R_m$  - meshedness coefficient;  $\Delta\lambda$  - spectral gap;  $\lambda_2$  - algebraic connectivity;

Figure 2: Illustrative example – Network reconfiguration into a *star*-like topology and multi-criteria performance metrics. Transmission mains are highlighted in subfigures a1-c1. The corresponding *star*-structure is shown in subfigures a2-c2, each time removing a boundary connection, i.e. closing the boundary valves. The table lists the measures for the three reconfigurations.

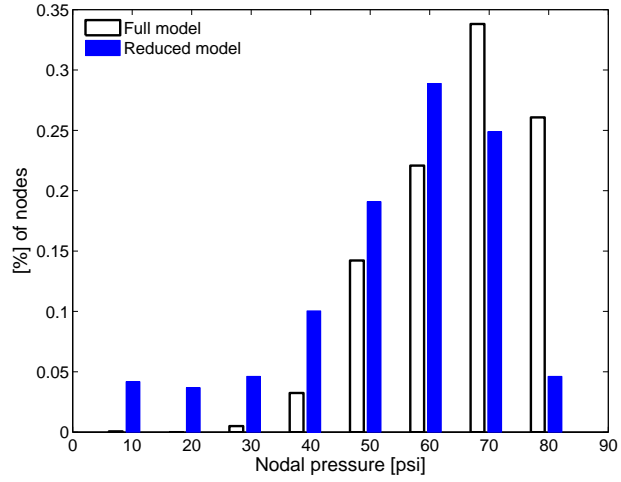


Figure 3: Pressure distribution in EXNet: full model (black-white) and reduced model after optimization (blue)

Table 2: EXNet - sub-networks' data

Sub-network	Demand [ $10^5 gal/day$ ]	Full model		Reduced model		$\overline{WA}$ [hr]
		Cut-size	$\overline{P}$ [psi]	Cut-size	$\overline{P}$ [psi]	
<i>main</i>	114.53	103	63.03	42	61.08	10.41
2	14.34	5	52.85	1	12.88	3.85
3	11.33	4	61.57	4	60.79	11.47
4	6.82	3	54.00	1	47.16	9.05
5	5.76	3	71.48	1	70.20	10.72
6	5.74	2	58.64	1	58.74	8.82
7	4.98	1	43.71	1	43.84	6.99
8	4.59	2	59.02	2	59.18	9.27
9	4.23	2	83.28	1	78.85	14.80
10	3.95	4	64.29	1	60.31	14.18
11	3.87	2	49.78	1	41.36	11.06
12	3.64	1	68.97	1	64.47	13.38
13	3.58	1	52.08	1	52.25	10.63
14	3.45	3	64.29	1	63.39	9.65
15	3.42	4	69.38	1	68.11	13.33
16	3.39	1	52.75	1	52.91	9.31
17	3.28	3	55.01	1	51.59	9.08
18	2.91	3	60.91	1	60.29	7.97
19	2.85	1	44.22	1	44.38	6.91
20	2.84	1	42.45	1	42.60	8.85
21	2.80	1	67.95	1	68.13	10.46
22	2.77	1	62.76	1	62.45	13.22
23	2.70	4	72.88	1	69.42	11.79
24	2.66	4	46.31	1	40.28	5.40
25	2.59	1	65.12	1	65.49	10.43
26	2.52	2	43.58	1	43.40	7.05
27	2.08	4	67.99	1	69.34	12.67
28	1.60	1	49.72	1	49.83	4.08
29	21.71	14	75.53	1	52.67	13.39
30	11.06	16	66.74	2	52.60	11.80
31	14.96	9	78.33	1	52.14	13.48
32	1.40	3	71.35	1	50.75	10.92
33	6.68	4	66.16	2	62.34	13.81
34	10.85	7	69.54	2	50.71	12.40
35	4.64	2	73.55	1	74.00	12.62
36	4.58	2	73.25	1	72.26	11.88
37	7.03	6	69.09	2	62.82	13.03
38	2.06	1	76.27	1	33.81	16.26
39	17.35	7	79.37	2	59.72	14.90
40	10.55	3	75.72	2	33.24	17.34
41	13.54	5	76.35	1	20.16	13.35
42	11.13	9	75.00	1	63.89	13.16
43	3.65	5	76.40	1	19.14	14.02

$\overline{P}$  - average pressure;  $\overline{WA}$  - average water age;

Table 3: EXNet - boundary valves at final solution

<b>Valve ID</b>	<b>Start sub-network</b>	<b>End sub-network</b>
5164	1	2
2187	1	3
2269	1	3
2283	1	3
2599	1	3
4913	1	4
3532	1	5
3783	1	6
5132	1	7
3593	1	8
2271	1	8
2605	1	9
2760	1	10
4878	1	11
2197	1	12
2424	1	13
2313	1	14
3634	1	15
2122	1	16
3859	1	17
5076	1	18
5153	1	19
3500	1	20
2397	1	21
2298	1	22
4015	1	23
4156	1	24
5220	1	25
3213	1	26
2364	1	27
2939	1	28
4875	1	30
2806	1	31
3021	1	33
2217	1	33
5004	30	34
3129	32	34
3853	1	35
2256	1	36
2600	1	37
2679	29	37
2407	1	39
4194	38	40
5305	39	40
5089	1	41
2945	1	42
2182	1	43

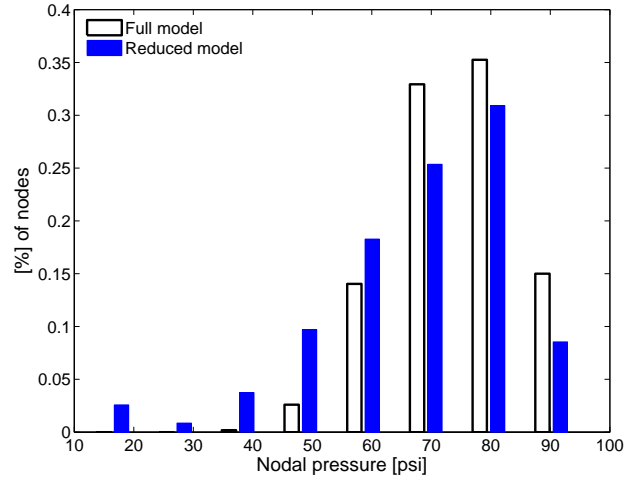


Figure 4: Pressure distribution in BWSNII: full model (black-white) and feduced model after optimization (blue)



Table 4: BWSNII - sub-networks' data

Sub-network	Demand [ $10^5 gal/day$ ]	Full model		Reduced model		$\overline{WA}$ [hr]
		Cut-size	$\overline{P}$ [psi]	Cut-size	$\overline{P}$ [psi]	
<i>main</i>	110.30	202	82.12	49	81.82	15.00
2	14.47	23	79.91	4	78.46	9.41
3	9.18	5	100.70	1	99.17	21.17
4	8.29	14	80.83	2	79.37	13.31
5	7.64	21	74.46	2	70.82	12.78
6	4.82	16	83.47	1	83.05	22.47
7	4.73	3	81.09	2	80.95	22.63
8	4.61	1	67.17	1	67.16	7.55
9	4.55	3	68.60	1	67.22	11.24
10	4.49	3	95.70	1	95.05	22.69
11	4.01	4	88.40	2	88.08	16.43
12	3.27	1	89.46	1	89.15	14.59
13	2.70	1	86.21	1	86.06	14.85
14	2.56	4	81.87	1	81.32	13.14
15	2.49	1	73.71	1	73.70	17.53
16	2.32	6	85.84	1	85.38	9.31
17	2.04	6	68.94	1	68.52	14.31
18	2.02	5	69.97	1	69.96	7.51
19	2.01	5	101.21	4	101.06	23.17
20	1.80	8	88.51	1	88.03	22.55
21	1.72	2	83.22	1	83.25	6.40
22	1.41	1	75.06	1	74.75	14.94
23	1.40	1	93.88	1	93.55	22.20
24	1.18	2	99.58	1	99.29	22.04
25	1.18	1	69.95	1	69.88	9.37
26	1.07	5	62.25	1	62.07	23.64
27	1.05	2	95.73	1	95.50	23.07
28	1.02	2	92.23	1	91.80	22.06
29	1.01	1	95.85	1	95.70	18.05
30	1.01	2	86.75	2	86.45	20.26
31	12.43	16	82.09	2	74.69	10.72
32	12.11	5	77.05	1	76.48	6.11
33	8.08	3	75.88	1	75.38	16.01
34	12.71	8	65.90	2	64.52	10.04
35	10.10	3	84.38	1	84.35	6.49
36	13.52	11	77.11	1	74.38	19.96
37	2.94	15	81.41	1	80.57	15.38

Table 5: BWSNII - boundary valves at final solution

<b>Valve ID</b>	<b>Start sub-network</b>	<b>End sub-network</b>
LINK-200	1	2
LINK-6446	1	2
LINK-9623	1	2
LINK-13127	1	2
LINK-2848	1	3
LINK-1385	1	4
LINK-1783	1	4
LINK-13867	1	5
LINK-13955	1	5
LINK-10321	1	6
LINK-11131	1	7
LINK-11137	1	7
LINK-7355	1	8
LINK-11433	1	9
LINK-320	1	10
LINK-6107	1	11
LINK-7218	1	11
LINK-6411	1	12
LINK-3939	1	13
LINK-5124	1	14
LINK-9195	1	15
LINK-6750	1	16
LINK-14278	1	17
LINK-8131	1	18
LINK-4374	1	19
LINK-4542	1	19
LINK-5783	1	19
LINK-10904	1	19
LINK-3705	1	20
LINK-9248	1	21
LINK-5276	1	22
LINK-6342	1	23
LINK-784	1	24
LINK-9581	1	25
LINK-4194	1	26
LINK-10795	1	27
LINK-5835	1	28
LINK-12170	1	29
LINK-6464	1	30
LINK-6845	1	30
LINK-978	1	31
LINK-14243	1	31
LINK-7723	1	32
LINK-14516	1	33
LINK-8072	1	34
LINK-8406	1	34
LINK-9228	1	35
LINK-13681	1	36
LINK-6891	1	37