

## THE CASIMIR EFFECT\*

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The purpose of these lecture notes is twofold. First we aim at introducing the reader to the basic concepts pertaining to Casimir forces, starting from the seminal work of Casimir. In a broader sense, we also review some aspects of dispersion forces, in particular the status of van der Waals forces in vacuum as well as in a finite density and non zero temperature medium. The Lifshitz theory of forces between dielectric bodies is briefly described. In the second place, the course deals with a more recent analysis of the Casimir force for metals based on an exact microscopic statistical mechanical treatment of matter and field fluctuations. It reveals that charges fluctuations inside the conductors cannot be ignored (as is done in the conventional Casimir calculation). It also helps clarifying present day controversies about the contribution of thermal fluctuations to the force and the proper way to recover the metallic case in the framework of the Lifshitz theory. Finally the occurrence of Casimir forces in critical phenomena is illustrated in the case of the Bose–Einstein condensation in a free Bose gas. The Casimir effect in other contexts (general quantum field theory, particle physics, cosmology, ...) is not considered here.

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**1. Introduction**

Hendrik Brugt Gerhard Casimir (1909–2000) was born in The Hague (the Netherlands). He studied physics and obtained his Ph.D in 1931 at the University of Leiden. He visited Bohr in Copenhagen and was an assistant to Pauli in Zürich in 1938. Then he became a physics professor at Leiden University. In 1942, during World War II, he moved to the Philips Research Laboratories in Eindhoven where he remained an active scientist.

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He became a member of the board of directors of the Philips company in 1956. In addition to his professional life in industry, Casimir was a deep and broad-minded theoretical physicist. He was active in pure mathematics (Lie groups), in low temperature physics, irreversible phenomena, and fluctuation induced forces (the so-called Casimir effect).

The seminal papers that lead to the Casimir effect were motivated by discrepancies between theory and experiments on colloidal particles in suspension. In 1947 Verwey and Overbeek, applying the London–van der Waals theory to their observations on the attraction between large particles in suspension, concluded that this theory was not in agreement with their experimental results. The discrepancy could be removed if the potential between the particles decreased *more rapidly* than  $r^{-6}$ . They suggested that the influence of retardation on the interaction should be taken into account. In [1], Casimir and Polder indeed found that the finiteness of the speed of light causes the asymptotic potential to decay as  $r^{-7}$ . The (ultimately simple) result follows from an elaborated 4th order perturbation calculation in quantum electrodynamics and will not be presented in these notes. Looking for a simpler derivation, Casimir discovered [2] that the change of the zero point quantum energy due to the presence of two metallic plates generates a macroscopic force between the plates. That was a striking macroscopically observable manifestation of the effects of vacuum fluctuations in quantum electrodynamics. Then Casimir applied similar ideas to the derivation of the retarded van der Waals forces [3] that we will discuss in Section 4.3.

Nowadays the field of Casimir forces has grown immensely wide. The Casimir effect could be tentatively defined as the change of the vacuum energy (zero point energy) by external constraints and its consequences. In condensed matter physics it covers the field of induced forces by vacuum and/or thermal fluctuations on atoms, molecules and macroscopic bodies. One can distinguish

- *Electromagnetic Casimir effect*

at the macroscopic level, the forces between conductors and dielectric bodies; at the atomic level, dispersion forces, van der Waals forces, molecular attractions, atom-walls interactions,

- *Casimir effect in general quantum field theory*

modification of the vacuum energy in presence of external sources or geometrical constraints,

- *Casimir effect in particle physics*

*e.g.* in the bag model of hadrons,

- *Casimir effect in cosmology*  
*e.g.* modification of the zero point energy in topologically non trivial spaces compared to Minkowski space,
- *Casimir effect in critical phenomena*  
 forces between boundaries and layers due to long range order at critical points and in phases with broken continuous symmetry,
- *Dynamical Casimir effect*  
 time dependent Casimir forces generated by moving boundaries or constraints.

The large number of text books and extended reviews (not mentioning research papers) bears testimony for the activity and broadness in the subject. A non exhaustive list, focusing on one or the other aspect of Casimir forces, can be found at the end of these notes [4–14].

It is obviously not possible to cover the whole domain in six one hour lectures, and we shall therefore mainly concentrate on the traditional electromagnetic Casimir effect. We will, however, focus attention on a more detailed and complete statistical mechanical treatment than that usually found in the above mentioned texts, hoping to show that some new information can still be brought to this by now old and venerable subject.

In Sections 2.1–2.2 we review the standard treatment of the Casimir force between two metallic plates at zero temperature. The plates are characterized by the boundary conditions of macroscopic Maxwell fields at metallic interfaces, namely the vanishing of the part of the electric field tangent to the surface. This geometrical constraint modifies the field eigenmodes depending on the distance  $d$  between the plates. The  $d$ -dependence of the modified zero point energy is the source of the Casimir force. The generalization of Casimir's calculation to non zero temperature is presented in Section 2.3. Each field mode is now a thermalized quantum mechanical oscillator with frequencies obtained from the previously described macroscopic boundary conditions. The total force between the plates, due to the sum of vacuum and thermal fluctuations of the field, is normalized in such a way that a single plate immersed into an infinitely extended radiation field does not experience any force. It is of interest to note that the high temperature asymptotics of the force is purely classical *i.e.* independent of the Planck constant. This is the classical regime of the Casimir force. We stress again that all these considerations are based on the premises that the conductors are treated as macroscopic bodies without internal fluctuations, namely the microscopic charges and field fluctuations inside the conductors are ignored. Only the photons outside the conductors are subjected to a statistical distribution. In this situation we refer to conductors as being *inert* or *dead*.

In Sections 3.1–3.2, we study a purely classical model for the Casimir force [15]. Classical charges are confined into two globally neutral slabs separated by a distance  $d$ . All the charges are in thermal equilibrium and interact by means of the pair-wise Coulomb interaction. We then proceed to a direct calculation of the average Coulomb force by unit surface using the standard methods of classical statistical mechanics of fluids (integral equations and Mayer graph summations). We find that the asymptotic force is *half the value* of that found following Casimir’s method based on *dead* conductors. This confirms the result of alternative calculations previously made for similar classical models [16, 17]. In these works the premises are very different: the field fluctuations (here of purely electrostatic nature) are generated by those of the charges in the conductors. When the microscopic charge fluctuations inside the bodies are taken into account we will speak of *living* conductors.

The factor  $1/2$  of discrepancy between the Casimir force for *dead* and *living* conductors in the classical regime could be attributed to the fact that in purely classical models, the transverse part of the electromagnetic field responsible for the radiation does not come in. This leads us to consider a more complete model where the force between two quantum plasma slabs is computed in the framework of non relativistic quantum electrodynamics including both quantum and thermal fluctuations (Section 3.3). The analysis, based on the path integral representation of the quantum gas [18], shows that in the semi-classical regime, quantum corrections do not alter the asymptotic form of the force previously found in the classical models. The quantum effects manifest themselves as tiny corrections of diamagnetic type occurring at the subdominant order. The conclusion (see a more thorough discussion in Section 3.3.3 and in [19, 20]) is that the treatment of conductors as *dead*, prevailing in the whole literature, is not physically correct as soon as the temperature is different from zero. Fields and particles *do fluctuate* in the conductors which make the enforcement of inert boundary conditions inadequate.

The Section 4 is devoted to some aspects of dispersion forces. After briefly recalling the standard non retarded van der Waals–London forces between two atoms in vacuum, we report in Section 4.2 on the status of these forces in a dilute medium having non zero temperature and density [21]. Here the situation is not quite elementary because one has to treat in a coherent way both the quantum mechanical binding leading to the formation of atoms and the collective screening effects that are always present as soon as there is a fraction of ionized charges. In the proper scaling regime called the atomic limit (high dilution and low temperature) we can give the exact asymptotic form of the correlations up to exponentially small terms as  $T \rightarrow 0$ . One finds that large distance atomic correlations reduce to the

standard van der Waals–London potential  $r^{-6}$  with some polynomial corrections in temperature inherited from collective screening effects. It turns out that unbound charges and atom-charges both undergo van der Waals type of interaction as a consequence of the fact that correlations between quantum charges always have a  $r^{-6}$  decay (exponential screening never holds in quantum plasmas).

Following Casimir’s original ideas about the effects of vacuum fluctuations, we present in Section 4.3 an elegant derivation of the retarded van der Waals forces at zero temperature. The derivation relies on the assumption that in addition to the electromagnetic field radiated by the sources there is always an underlying free quantum electromagnetic field present whose vacuum fluctuations are at the origin of the van der Waals forces.

Finally Section 4.4 gives a short account of forces between dielectric bodies essentially following the famous Lifshitz semi-macroscopic theory. Details and developments can be found in text books.

In Section 5 we touch the subject of the Casimir effect in critical phenomena by considering the simplest quantum system that exhibits a phase transition, the free Bose gas [22]. It is shown that the grand-canonical potential of a Bose gas in a slab at the critical value of the chemical potential has finite size corrections of the standard Casimir type. They can be attributed to the existence of long range order generated by gapless excitations in the phase with broken continuous symmetry.

## 2. The historical calculation

### 2.1. The metallic cavity

Here we follow partly [4], Sec. 2.7, and [5] (B. Duplantier), see also [14].

We consider an empty cubic box  $\Lambda$  with perfectly conducting boundaries. It has thickness  $d$  and lateral sizes of surface  $L^2$ :  $\Lambda = \{\mathbf{x} = (x, y, z) \mid 0 \leq x \leq d, -\frac{L}{2} \leq y \leq \frac{L}{2}, -\frac{L}{2} \leq z \leq \frac{L}{2}\}$ . The electric field in the box is solution of the Maxwell equations in empty space (Gauss units)

$$\nabla^2 \mathbf{E}(\mathbf{x}, t) - \frac{1}{c^2} \frac{\partial^2 \mathbf{E}(\mathbf{x}, t)}{\partial t^2} = 0, \quad \nabla \cdot \mathbf{E}(\mathbf{x}, t) = 0 \quad (1)$$

with the boundary conditions

$$\mathbf{E}_{\text{tg}}(\mathbf{x}, t) = 0, \quad \mathbf{x} \in \partial\Lambda \quad (2)$$

since the tangential components of the field  $\mathbf{E}_{\text{tg}}$  have to vanish on perfectly conducting walls. The eigenmodes are found by setting  $\mathbf{E}(\mathbf{x}, t) = \text{Re}(\mathbf{E}(\mathbf{x}, \omega)e^{-i\omega t})$  in (1) leading to the eigenvalue equation for the complex

amplitudes  $\mathbf{E}(\mathbf{x}, \omega)$  (the Helmotz equation)

$$\nabla^2 \mathbf{E}(\mathbf{x}, \omega) = -\frac{\omega^2}{c^2} \mathbf{E}(\mathbf{x}, \omega), \quad \nabla \cdot \mathbf{E}(\mathbf{x}, \omega) = 0, \quad \mathbf{E}_{\text{tg}}(\mathbf{x}, \omega) = 0, \quad \mathbf{x} \in \partial\Lambda. \quad (3)$$

The solutions, labelled by wave numbers  $\mathbf{k}$  and polarization indices  $\lambda$ , are

$$\mathbf{E}_{\mathbf{k},\lambda}(\mathbf{x}) = \begin{cases} E_{\mathbf{k},\lambda} e_x(\lambda) \sqrt{\frac{8}{|\Lambda|}} \cos(k_x x) \sin(k_y y) \sin(k_z z) \\ E_{\mathbf{k},\lambda} e_y(\lambda) \sqrt{\frac{8}{|\Lambda|}} \sin(k_x x) \cos(k_y y) \sin(k_z z) \\ E_{\mathbf{k},\lambda} e_z(\lambda) \sqrt{\frac{8}{|\Lambda|}} \sin(k_x x) \sin(k_y y) \cos(k_z z) \end{cases}, \quad (4)$$

where  $\sqrt{8/|\Lambda|}$ ,  $|\Lambda| = L^2 d$ , is a normalization factor,  $\mathbf{e}(\lambda) \{ \mathbf{e}(\lambda) \cdot \mathbf{k} = 0, \mathbf{e}(\lambda) \cdot \mathbf{e}(\lambda') = \delta_{\lambda,\lambda'}, \lambda = 1, 2 \}$  are two polarization unit vectors orthogonal to the wave number  $\mathbf{k}$ , and  $E_{\mathbf{k},\lambda}$  is a complex amplitude. The wave numbers and the eigenfrequencies are of the form

$$k_x = \frac{\pi n_x}{d}, \quad k_y = \frac{\pi n_y}{L}, \quad k_z = \frac{\pi n_z}{L}, \quad n_x, n_y, n_z = 0, 1, 2, \dots, \\ \omega_{\mathbf{k}} = c|\mathbf{k}| = c \sqrt{\left(\frac{\pi n_x}{d}\right)^2 + \left(\frac{\pi n_y}{L}\right)^2 + \left(\frac{\pi n_z}{L}\right)^2}. \quad (5)$$

The corresponding magnetic field amplitude  $\mathbf{B}(\mathbf{x}, \omega)$  is obtained from Faraday equation  $\mathbf{B}(\mathbf{x}, \omega) = -i \frac{c}{\omega} \nabla \wedge \mathbf{E}(\mathbf{x}, \omega)$ . One sees in (4) that if one of the integers  $n_x, n_y, n_z$  vanishes,  $\mathbf{E}_{\mathbf{k},\lambda}(\mathbf{x})$  is necessarily directed in a single direction, *i.e.* there is only one possible polarization state in this case. The energy of one mode is

$$\frac{1}{8\pi} \int_{\Lambda} d\mathbf{x} [|\text{Re}(\mathbf{E}_{\mathbf{k},\lambda}(\mathbf{x}))|^2 + |\text{Re}(\mathbf{B}_{\mathbf{k},\lambda}(\mathbf{x}))|^2] = \frac{1}{8\pi} \left( \frac{E_{\mathbf{k},\lambda}^* E_{\mathbf{k},\lambda} + E_{\mathbf{k},\lambda} E_{\mathbf{k},\lambda}^*}{2} \right). \quad (6)$$

The electric and magnetic energy contribute the same amount and we have kept the order of the products as they occur in the calculation.

The quantized electric field (still noted  $\mathbf{E}_{\mathbf{k},\lambda}(\mathbf{x})$ ) is obtained as usual by replacing the classical amplitude

$$E_{\mathbf{k},\lambda} \rightarrow \left( \sqrt{8\pi\hbar\omega_{\mathbf{k}}} \right) a_{\mathbf{k},\lambda}, \quad E_{\mathbf{k},\lambda}^* \rightarrow \left( \sqrt{8\pi\hbar\omega_{\mathbf{k}}} \right) a_{\mathbf{k},\lambda}^*$$

by the (dimensionless) annihilation and creation operators of photons with the commutation relations  $[a_{\mathbf{k},\lambda}, a_{\mathbf{k}',\lambda'}^*] = \delta_{\mathbf{k},\mathbf{k}'} \delta_{\lambda,\lambda'}$ .

Then the quantized energy of one mode takes the form

$$H_{\Lambda,\mathbf{k},\lambda} = \hbar\omega_{\mathbf{k}} \left( \frac{a_{\mathbf{k},\lambda}^* a_{\mathbf{k},\lambda} + a_{\mathbf{k},\lambda} a_{\mathbf{k},\lambda}^*}{2} \right) = \hbar\omega_{\mathbf{k}} \left( a_{\mathbf{k},\lambda}^* a_{\mathbf{k},\lambda} + \frac{1}{2} \right). \quad (7)$$

Finally the total energy results from the summation over all modes  $\mathbf{k}, \lambda$  is (taking into account that the mode eigenfunctions in (4) are orthogonal and normalized)

$$H_A = \sum'_{\mathbf{k}, \lambda} H_{A, \mathbf{k}, \lambda} = \sum'_{\mathbf{k}, \lambda} \hbar \omega_{\mathbf{k}} a_{\mathbf{k}, \lambda}^* a_{\mathbf{k}, \lambda} + \frac{1}{2} \sum'_{\mathbf{k}, \lambda} \hbar \omega_{\mathbf{k}}. \quad (8)$$

The notation  $\sum'$  means that only one polarization is possible when one of the wave numbers  $k_x, k_y, k_z$  is equal to zero. The (infinite) last term of (8) represents the zero point energy of the quantum electromagnetic field. There are two ways of thought about it. It merely appears in (8) as an additive constant to the total photon energy so that one can dispense with it by redefining the energy of the photon vacuum state  $|0\rangle$  to be equal to zero. This is commonly done by writing the creation and annihilation operators in (7) in normal order. More fruitful is Casimir's view that the vacuum energy

$$\mathcal{E}_A = \langle 0 | \frac{1}{8\pi} \int_A d\mathbf{x} [|\mathbf{E}(\mathbf{x})|^2 + |\mathbf{B}(\mathbf{x})|^2] | 0 \rangle = \frac{1}{2} \sum'_{\mathbf{k}, \lambda} \hbar \omega_{\mathbf{k}} \quad (9)$$

also represents mean square fluctuations of the fields in the box  $A$  that exist even in the absence of photons. These pure vacuum fluctuations may have physically observable effects since they depend on the geometry (shape, size) of the spatial domain that constrains the field.

## 2.2. Force between macroscopic metallic plates induced by vacuum fluctuations

We are interested in the force by unit surface  $f(d) = F(d)/L^2$  induced by vacuum fluctuations between two faces of the metallic box at distance  $d$ . Since there are only two fundamental constants  $c$  and  $\hbar$  available in the problem, dimensional analysis shows that  $f(d) \sim \hbar c/d^4$ , the point being to determine a finite proportionality coefficient. There are several ways to regularize the infinite energy (9) to extract physically meaningful quantities. A perfect metal has a static dielectric constant  $\epsilon = \infty$ . One argument consists in observing that a real metal is characterized by a frequency dependent dielectric function  $\epsilon(\omega)$  such that  $\epsilon(\omega) \rightarrow \infty$ ,  $\omega \rightarrow 0$ , but which tends to the vacuum value  $\epsilon_0$  as  $\omega \rightarrow \infty$ , namely when  $\omega \gg \omega_a$ ,  $\omega_a$  a characteristic atomic frequency. Hence high frequencies should not contribute to the force, and for this reason one introduces a cut-off function  $g(\omega/\omega_a)$  in (9) such that  $g(0) = 1$  and  $g(\omega/\omega_a) \rightarrow 0$  as  $\omega \rightarrow \infty$

$$\mathcal{E}_\Lambda = \frac{1}{2} \sum'_{\mathbf{k}, \lambda} \hbar \omega_{\mathbf{k}} g\left(\frac{\omega_{\mathbf{k}}}{\omega_a}\right). \quad (10)$$

The cut-off function will be removed at the end of the calculation by letting  $\omega_a \rightarrow \infty$ .

Extending the plates to infinity in the  $y, z$  directions gives the energy per unit surface

$$\begin{aligned} u^{\text{vac}}(d) &= \lim_{L \rightarrow \infty} \frac{\mathcal{E}_\Lambda}{L^2} \\ &= 2 \frac{1}{\pi^2} \int_0^\infty dk_y \int_0^\infty dk_z \left[ \frac{1}{2} \sum'_{n=0} \hbar \omega_n \left( \sqrt{k_y^2 + k_z^2} \right) \right] g\left(\frac{\omega_n \left( \sqrt{k_y^2 + k_z^2} \right)}{\omega_a}\right) \\ &= 2 \frac{1}{4\pi} \sum'_{n=0} \int_0^\infty dq q \hbar \omega_n(q) g\left(\frac{\omega_n(q)}{\omega_a}\right), \quad \omega_n(q) = c \sqrt{\left(\frac{\pi n}{d}\right)^2 + q^2}, \end{aligned} \quad (11)$$

where  $\mathbf{q}$  is the two dimensional wave number vector in the  $(y, z)$  plane,  $q = |\mathbf{q}|$  and the second line results from the integration in polar coordinates in this plane with angular sector  $2\pi/4$ . The prefactor 2 is due to the two polarization states and  $\sum'$  means that the term  $n = 0$  must have an additional factor 1/2. With the change of variable  $q \rightarrow \omega = \omega_n(q)$ ,  $\omega d\omega = c^2 q dq$ ,  $u^{\text{vac}}(d)$  can also be written as

$$u^{\text{vac}}(d) = \frac{1}{2\pi c^2} \sum'_{n=0} \int_{c\pi n/d}^\infty d\omega \omega \left[ \hbar \omega g\left(\frac{\omega}{\omega_a}\right) \right] \quad (12)$$

$$= \frac{\hbar c \pi^2}{2d^3} \sum'_{n=0} F(n), \quad (13)$$

where we have defined

$$F(s) = \int_s^\infty dv v^2 g\left(\frac{\pi c}{d\omega_a} v\right). \quad (14)$$

Finally the work required to bring the plates from a large separation  $d \sim \infty$  to the actual separation  $d$  and the force between the plates are

$$w(d) = u^{\text{vac}}(d) - \lim_{d \rightarrow \infty} u^{\text{vac}}(d), \quad f^{\text{vac}}(d) = -\frac{\partial}{\partial d} u^{\text{vac}}(d) + \lim_{d \rightarrow \infty} \frac{\partial}{\partial d} u^{\text{vac}}(d). \quad (15)$$



One sees in (13) that

$$\begin{aligned} u^{\text{vac}}(d) &\sim \frac{1}{2\pi c^3} \frac{d}{\pi} \int_0^\infty dv \int_v^\infty d\omega \omega \left[ \hbar \omega g\left(\frac{\omega}{\omega_a}\right) \right], \quad d \rightarrow \infty \\ &= \frac{\hbar c \pi^2}{2d^3} \int_0^\infty ds F(s). \end{aligned} \quad (16)$$

Using the above definitions  $w(d)$  can be cast in the form

$$w(d) = \frac{\pi^2 \hbar c}{2d^3} \left[ \sum_{n=1}^\infty F(n) + \frac{1}{2} F(0) - \int_0^\infty ds F(s) \right]. \quad (17)$$

In (17) we have explicitly singled out the  $n = 0$  term of the  $\sum'_n$  sum. The asymptotic evaluation of the difference between the sum and the integral (17) is provided by an application of the Euler–MacLaurin theorem [23, 24] Sec. 6.6.4

$$\begin{aligned} \sum_{n=1}^\infty F(n) &= \int_0^\infty dk F(k) - \frac{1}{2} F(0) - \frac{B_2}{2!} F^{(1)}(0) \\ &\quad - \frac{B_4}{4!} F^{(3)}(0) - \frac{B_6}{6!} F^{(5)}(0) + \dots \end{aligned} \quad (18)$$

with  $B_j$  the Bernoulli numbers  $B_2 = \frac{1}{6}$ ,  $B_4 = -\frac{1}{30}$ , ... The derivatives  $F^{(j)}(s)$  are easily calculated from (14)

$$\begin{aligned} F^{(1)}(s) &= -s^2 g\left(\frac{\pi c}{d\omega_a} s\right) \implies \\ F^{(1)}(0) &= 0, \quad F^{(3)}(0) = -2 + \mathcal{O}\left(\frac{c}{d\omega_a}\right), \quad F^{(j)}(0) = \mathcal{O}\left(\frac{c}{d\omega_a}\right), \quad j \geq 5. \end{aligned} \quad (19)$$

This holds under the assumption that the cut-off function verifies  $g(0) = 1$ , all its derivative are finite at the origin and vanishing at infinity. With (18) and (19) and letting the cut-off  $\omega_a \rightarrow \infty$  we obtain Casimir's result:

$$w(d) = -\frac{\pi^2 \hbar c}{720d^3}, \quad f^{\text{vac}}(d) = -\frac{\pi^2 \hbar c}{240d^4}. \quad (20)$$

In this calculation, the electromagnetic field is entirely enclosed in the cavity, the outside of it being void of matter and of electromagnetic energy. We can

take the alternative equivalent view that there is also a field in the space external to the cavity. Then the external face of the plate at  $d$  will be subjected to a force in the opposite direction due to the vacuum fluctuations in the semi-infinite space to its right, namely  $f_{\text{ext}}^{\text{vac}} = -(-\lim_{d \rightarrow \infty} \frac{\partial}{\partial d} u^{\text{vac}}(d))$ , so that the total force  $-\frac{\partial}{\partial d} u^{\text{vac}}(d) + f_{\text{ext}}^{\text{vac}}(d)$  is the same as (15). This amounts to normalize the force so that a single plate in infinite space feels no resulting force.

### 2.3. Force induced by the thermal fluctuations

If the walls of the cavity have a certain temperature  $T \neq 0$ , the photons inside the cavity are thermalized at the same temperature by their interactions with the atomic matter constituting the metal. Assuming that the thermalization process has taken place, the photon-atoms interactions are no more described at the microscopic level in the standard treatment of blackbody radiation, but replaced by the macroscopic boundary condition (2) of Maxwell fields at a metallic interface. Hence, each mode of the field behaves as a quantum mechanical oscillator at temperature  $T$ .

When temperature is introduced in this way there is a new typical length in the problem, the thermal wave length of the photon  $\beta\hbar c$  providing the dimensionless parameter

$$\alpha = \frac{\beta\pi\hbar c}{d}. \quad (21)$$

The large values of  $\alpha$  correspond to low temperature or short distances where the quantum aspects of the electromagnetic field play a dominant role. The small values of  $\alpha$  (high temperature or large distance) will yield the classical limit.

The free energy of one photon of frequency  $\omega_{\mathbf{k}}$  with energy levels  $\hbar\omega_{\mathbf{k}}n$ ,  $n = 0, 1, 2, \dots$  is (disregarding the zero point energy  $\hbar\omega_{\mathbf{k}}/2$  at the moment)

$$-\beta^{-1} \ln \left( \sum_{n=0}^{\infty} e^{-\beta\hbar\omega_{\mathbf{k}}n} \right) = \beta^{-1} \chi(\beta\hbar\omega_{\mathbf{k}}), \quad \chi(v) = \ln(1 - e^{-v}). \quad (22)$$

Hence the thermal free energy of the electromagnetic field in the metallic box is

$$\Phi_{T,A} = \sum'_{\mathbf{k},\lambda} \beta^{-1} \chi(\beta\hbar\omega_{\mathbf{k}}). \quad (23)$$

The free energy per unit surface between two faces at distance  $d$  is obtained by performing exactly the same steps as in (11)–(13) replacing there  $\frac{1}{2}\hbar\omega g(\omega/\omega_a)$  by  $\beta^{-1}\chi(\beta\hbar\omega)$

$$\varphi_T(d) = \lim_{L \rightarrow \infty} \frac{\Phi_{T,A}}{L^2} = \frac{1}{2\pi c^2} \sum'_{n=0} \int_{c\pi n/d}^{\infty} d\omega \omega [\beta^{-1} \chi(\beta\hbar\omega)]. \quad (24)$$

The radiation pressure due to the thermal photons inside the cavity on the plate at  $d$  can be written in terms of the parameter  $\alpha$  as

$$p_T^{\text{rad}}(d) = -\frac{\partial}{\partial d} \varphi_T(d) = -\frac{1}{\pi d \beta^3 \hbar^2 c^2} \sum_{n=1}^{\infty} (n\alpha)^2 \chi(n\alpha). \quad (25)$$

This pressure is positive since  $\chi(v)$  is negative.

The pressure on the plate due to thermal radiation in an infinite half space is given by the well known formula

$$p_T^{\text{rad}}(\infty) = \lim_{d \rightarrow \infty} p_T^{\text{rad}}(d) = \frac{\pi^2}{45} \frac{1}{\beta^4 \hbar^3 c^3}. \quad (26)$$

It is obtained by taking the continuum limit of the sum (25) as  $\alpha \rightarrow 0$

$$p_T^{\text{rad}}(\infty) = -\lim_{d \rightarrow \infty} \frac{1}{\pi d \beta^3 \hbar^2 c^2 \alpha} \int_0^{\infty} dv v^2 \ln(1 - e^{-v}). \quad (27)$$

The result (26) follows when we insert the expression of  $\alpha$  (21) and use the relations

$$I_p = \int_0^{\infty} dv v^p \ln(1 - e^{-v}) = p! \zeta(p+2) \quad (28)$$

with  $\zeta$  the Riemann function

$$\zeta(p) = \sum_{n=1}^{\infty} \frac{1}{n^p}, \quad \zeta(4) = \frac{\pi^4}{90}. \quad (29)$$

### 2.3.1. Short distance or low temperature limit

The large  $\alpha$  expansion is obtained by noting that  $\chi(n\alpha) \sim -e^{-n\alpha}$ ,  $\alpha \rightarrow \infty$ . Keeping the dominant term  $n = 1$ , (25) gives

$$p_T^{\text{rad}}(d) = \frac{\pi}{d^3 \beta} [e^{-\alpha} + \mathcal{O}(e^{-2\alpha})]. \quad (30)$$

Thus the pressure of a very thin black body is exponentially small at fixed  $T$  (or at fixed  $d$  in the low temperature limit).

### 2.3.2. Long distance or high temperature limit

In order to obtain the small  $\alpha$  expansion of the sum in (25) one uses the Poisson summation formula which in our case reads<sup>1</sup>

$$\frac{1}{2}F(0) + \sum_{n=1}^{\infty} F(n) = \pi C(0) + 2\pi \sum_{n=1}^{\infty} C(2\pi n), \quad (31)$$

where  $C(k)$  are the Fourier coefficients of  $F(s)$

$$C(k) = \frac{1}{\pi} \int_0^{\infty} ds F(s) \cos ks. \quad (32)$$

With  $F(s) = s^2 \chi(\alpha s) = s^2 \ln(1 - e^{-\alpha s})$  one finds

$$C(k) = -\frac{1}{\pi} \frac{\partial^2}{\partial k^2} \left[ \frac{\alpha}{k^2} - \frac{\pi}{k} \coth \left( \frac{\pi k}{\alpha} \right) \right]. \quad (33)$$

The value of  $C(0) = \frac{1}{\pi} \int_0^{\infty} ds s^2 \ln(1 - e^{-\alpha s}) = -\frac{\pi^3}{45\alpha^3}$  can be found from (28) or simply by expanding  $\coth x$  for small argument. For  $k = 2\pi n$ ,  $n \neq 0$  one uses  $\coth x = 1 + \mathcal{O}(e^{-2x})$  leading to

$$C(2\pi n) = \frac{1}{(2\pi n)^3} - \frac{3\alpha}{\pi(2\pi n)^4} + \mathcal{O}\left(\exp\left(-b\frac{n}{\alpha}\right)\right), \quad b > 0. \quad (34)$$

This is inserted in Poisson formula (31) with  $F(0) = 0$  and the definition (29) of the Riemann function

$$\sum_{n=1}^{\infty} F(n) = -\frac{\pi^4}{45\alpha^3} + \frac{\zeta(3)}{4\pi^2} - \frac{3\alpha\zeta(4)}{8\pi^4} + \mathcal{O}\left(\exp\left(-\frac{b}{\alpha}\right)\right) \quad (35)$$

and finally

$$p_T^{\text{rad}}(d) = \frac{\pi^2}{45} \frac{1}{\beta^4 \hbar^3 c^3} - \frac{\zeta(3)}{4\pi\beta d^3} + \frac{\pi^2 \hbar c}{240d^4} + \mathcal{O}\left(\exp\left(-\frac{b}{\alpha}\right)\right). \quad (36)$$

This is the radiation pressure (26) together with additional high temperature or finite distance corrections. One notes that the  $d^{-4}$  term has a temperature independent coefficient which is exactly the same as that of the vacuum Casimir force (20) in magnitude but with the opposite sign.

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<sup>1</sup> This is the version of Poisson formula adapted to the evaluation of sums on positive integers. The Euler–MacLaurin formula is not adapted here because the derivatives of the function  $\ln(1 - e^{-v})$  diverge at  $v = 0$  and therefore an exact summation is needed.

### 2.3.3. The total force

The quantity of interest is the total force  $f(d)$  on the plate at  $d$  when it is also submitted to black body radiation from the infinite half space to its right producing the pressure  $-p_T^{\text{rad}}(\infty)$ . Thus adding also the vacuum Casimir force that was not taken into account in the above thermal calculations one has

$$f(d) = p_T^{\text{rad}}(d) - p_T^{\text{rad}}(\infty) + f^{\text{vac}}(d). \quad (37)$$

This amounts to consider that a single plate immersed in an infinitely extended radiation field experiences no net force and  $f(d)$  is the force that develops when a second plate is present at finite distance  $d$ . From (20), (26), (30) and (36) one finds the large and small  $\alpha$  expansions of  $f(d)$

$$f(d) = -\frac{\pi^2 \hbar c}{240d^4} - \frac{\pi^2}{45} \frac{1}{\beta^4 \hbar^3 c^3} + \frac{\pi}{d^3 \beta} \mathcal{O}(e^{-\alpha}), \quad \alpha \rightarrow \infty, \quad (38)$$

and

$$f(d) = -\frac{\zeta(3)}{4\pi\beta d^3} + \mathcal{O}\left(\exp\left(-\frac{b}{\alpha}\right)\right), \quad \alpha \rightarrow 0. \quad (39)$$

Concerning the latter result there is a number of remarkable points: *the dominant order  $d^{-3}$  has a purely classical expression independent of Planck's constant* and it is still attractive; moreover at next order  $d^{-4}$  there is an exact compensation of the Casimir vacuum force.

### 2.4. Some experimental results

We first discuss the order of magnitude of the Casimir force. For a separation  $d \sim 10^{-6}$  m = 1  $\mu$ m and at room temperature  $T = 300$  K one has  $\alpha = \beta\pi\hbar c/d \sim 24$ . We are thus in the large  $\alpha$  regime and the temperature corrections (last term of the r.h.s. of (38)) have a factor  $e^{-24}$ ! Then the ratio of the blackbody pressure (second term of the r.h.s. of (38)) to the Casimir force (20) is  $16\pi^4\alpha^4/3 \sim 1.5 \cdot 10^{-3}$  so that vacuum fluctuations dominate the black body pressure. *One can therefore observe a macroscopic electromagnetic force between the plates at room temperature entirely due to vacuum zero point energy!*

Direct experiments in the planar geometry are difficult because of a number of perturbations and have only been performed recently with sufficient accuracy. The parallelism of the plates has to be perfect, corrections due to additional electrostatic charges, to the roughness of the surfaces and to their finite conductivity have to be taken into account. We quote the recent results of Bressi *et al.* [25]. The agreement with the  $d^{-4}$  decay is excellent. Moreover, the calculated value of the Casimir amplitude  $K_C = \pi^2\hbar c/240$

is  $K_C = 1.3 \cdot 10^{-27}$  Newton  $\text{m}^{-2}$  whereas these authors have measured the value  $K_C = 1.22 \pm 0.18 \cdot 10^{-27}$  Newton  $\text{m}^2$ . One concludes that the Casimir formula (20) for the zero temperature force is now experimentally validated.

### 2.5. Non planar geometries

The calculation of the Casimir force for other types of geometries is not easy because of the need to determine the electromagnetic eigen modes with metallic boundary conditions on non planar surfaces. Earlier experiments were performed on the force between a sphere and a plate. With this arrangement one avoids the problem of controlling the parallelism of plates. A common approximation for the force is provided by the Derjaguin construction [4, 6]. It amounts to decompose the sphere into a succession of concentric cylindrical shells with axis perpendicular to the plate. The force between each of the cylinder is assimilated to the Casimir planar formula (20), and the total force on the sphere is recovered by summation of all these force elements. Within this approximation the zero temperature force exerted on the sphere by the plate is

$$F(d, R) = -\frac{\pi^3 \hbar c}{360} \frac{R}{d^3}, \quad (40)$$

where  $d$  is the distance between the surface of the sphere and the plate,  $R$  is the radius of the sphere and  $R \gg d$ . This formula has received a rather good experimental verification [26].

An important issue is the sign of the Casimir force. One has seen that the force between two plates or a plate and a sphere is attractive. Motivated by the Casimir model of the electron, the question of the vacuum energy force on a conducting spherical shell was raised. In this model the electron is regarded as a uniformly charged conducting shell of radius  $r$  with total charge  $e$ . The electrostatic repulsive energy of the shell  $e^2/2r$  could be balanced by an attractive Casimir energy which should be of the form of the form  $-C \hbar c/2r$  for dimensional reasons. This configuration would be stable if the dimensionless constant  $C$  is positive and has the exact value  $C = e^2/\hbar c$ . With this beautiful idea the electron constitution would be entirely explained on the basis of electromagnetic effects. After several works, it was eventually established by Boyer [27] that in the situation of a spherical shell, the Casimir force is repulsive (it tends to dilate the sphere), so invalidating Casimir's proposal.

Balian and Duplantier have treated the problem of a number of perfectly conducting shells of general shapes [28]. The shells are idealized as smooth surfaces on which the field has to satisfy the macroscopic metallic boundary conditions. The authors provide the general form of the free

energy at all temperatures and depending on the topology of the surfaces. The eigenmodes enter through the density of states, itself given by the spectral function associated to the electromagnetic Green functions. The latter can be calculated in a perturbative scheme called “multiple scattering expansion”. Previously known particular cases corresponding to simple geometries are recovered, and results for more general shapes are obtained in terms of curvatures and genders of the surfaces. A description of this mathematically nice and general theory can be found in [5] and [14]. One should remember that all the results quoted in this section were based on the hypothesis of “inert” conductors, *i.e.* neglecting charge and field fluctuations inside the conductors. We come to this point in the next section.

### 3. Statistical theory of the classical Casimir effect

#### 3.1. The model

Our goal is to analyse the Casimir force at a more fundamental level by introducing in the description the microscopic degrees of freedom of the atoms constituting the plates. For simplicity, and motivated by the high temperature result (39), we shall first present a purely classical model. The transverse part of the electromagnetic field is not considered here and the forces are purely electrostatic. This model is treated in detail in [15].

The two plates  $A$  and  $B$  consist of classical point charges confined to two planar slabs  $\Lambda_A(L, a)$  and  $\Lambda_B(L, b)$  in three-dimensional space. One can think of them as weakly coupled plasmas or electrolytes that are conducting in the sense that they are characterized by a microscopic screening length  $\ell_D$  which is of the order of interparticle separation.

The slabs have thickness  $a$  and  $b$ , surface  $L^2$ , and are separated by a distance  $d$ :

$$\begin{aligned}\Lambda_A(L, a) &:= \left\{ \mathbf{r} = (x, \mathbf{y}) \mid x \in [-a, 0], \quad \mathbf{y} \in \left[-\frac{L}{2}, \frac{L}{2}\right]^2 \right\}, \\ \Lambda_B(L, b) &:= \left\{ \mathbf{r} = (x, \mathbf{y}) \mid x \in [d, d+b], \quad \mathbf{y} \in \left[-\frac{L}{2}, \frac{L}{2}\right]^2 \right\}\end{aligned}\quad (41)$$

and we shall assume that all the lengths  $a, b, d$  are much larger than  $\ell_D$ .

Plasma  $A$  ( $B$ ) is made of charges  $e_\alpha$  ( $e_\beta$ ) of species  $\alpha \in S_A$  ( $\beta \in S_B$ ) where  $S_A$  and  $S_B$  are index sets for the species in  $\Lambda_A(L, a)$  and  $\Lambda_B(L, b)$ , respectively. We assume both plasmas to be globally neutral, *i.e.* carrying no net charge,

$$\sum_a e_{\alpha_a} = \sum_b e_{\beta_b} = 0, \quad (42)$$

where  $\sum_a$  ( $\sum_b$ ) extends on all particles in  $\Lambda_A(L, a)$  ( $\Lambda_B(L, b)$ ). For a particle located at  $\mathbf{r}$  we will use the generic notation  $(\gamma \mathbf{r})$  where  $\gamma \in S_A$  if

$\mathbf{r} \in \Lambda_A(L, a)$  and  $\gamma \in S_B$  if  $\mathbf{r} \in \Lambda_B(L, b)$ . The space external to the slabs is supposed to have no electrical properties, its dielectric constant being taken equal to that of vacuum. The charges are confined in the slabs by hard walls that merely limit the available configuration space to the regions (41).

All particles interact via the two-body potential

$$V(\gamma, \gamma', |\mathbf{r} - \mathbf{r}'|) = e_\gamma e_{\gamma'} v(\mathbf{r} - \mathbf{r}') + v_{\text{SR}}(\gamma, \gamma', |\mathbf{r} - \mathbf{r}'|), \quad (43)$$

where  $v(\mathbf{r} - \mathbf{r}') = 1/|\mathbf{r} - \mathbf{r}'|$  is the Coulomb potential (in Gaussian units) and  $v_{\text{SR}}(\gamma, \gamma', \mathbf{r} - \mathbf{r}')$  is a short-range repulsive potential to prevent the collapse of opposite charges and guarantee the thermodynamic stability of the system.

The total potential energy  $U$  consists in the sum of all pairwise interactions, separated into three contributions according to whether they take place between two particles of  $A$ , of  $B$ , or between a particle of  $A$  and a particle of  $B$ :

$$U = U_A + U_B + U_{AB}. \quad (44)$$

On the microscopic level, the force between configurations of charges in the two plasmas is the sum of all pairwise forces exerted by the particles of  $A$  on the particles of  $B$ :

$$\begin{aligned} \mathbf{F}_{\Lambda_A \rightarrow \Lambda_B} &:= - \sum_a \sum_b \left[ e_{\alpha_a} e_{\beta_b} \frac{\mathbf{r}_a - \mathbf{r}_b}{|\mathbf{r}_a - \mathbf{r}_b|^3} + \mathbf{F}_{\text{SR}}(\alpha_a, \beta_b, \mathbf{r}_a - \mathbf{r}_b) \right], \\ \mathbf{r}_a &\in \Lambda_A(L, a), \quad \mathbf{r}_b \in \Lambda_B(L, b) \end{aligned} \quad (45)$$

and  $\mathbf{F}_{\text{SR}}$  is the force associated to the short-range potential  $v_{\text{SR}}$ . For simplicity we assume that the range of  $v_{\text{SR}}$  is finite so that  $\mathbf{F}_{\text{SR}}(\alpha_a, \beta_b, \mathbf{r}_a - \mathbf{r}_b)$  vanishes as soon as  $d$  is large enough, and we will omit it in the following.

Both plasmas are supposed to be in thermal equilibrium at the same temperature  $T$ . The statistical average  $\langle \cdots \rangle_L$  is defined in terms of the Gibbs weight  $\exp(-\beta U)$ ,  $\beta = (k_B T)^{-1}$ , associated with the total energy (44). There is no need to explicitly specify the ensemble used here (canonical or grand canonical) provided that the global neutrality constraint (42) is taken into account. The average particle densities  $\rho_L(\gamma, \mathbf{r})$  are expressed as averages of the microscopic particle densities  $\hat{\rho}(\gamma, \mathbf{r}) = \sum_i \delta_{\gamma, \gamma_i} \delta(\mathbf{r} - \mathbf{r}_i)$  where the sum runs over all particles

$$\rho_L(\gamma, \mathbf{r}) = \langle \hat{\rho}(\gamma, \mathbf{r}) \rangle_L. \quad (46)$$

We keep the index  $L$  to remember that averages are taken for the finite-volume slabs (41). Hence expressing the sums in (45) as integrals on particle



densities  $\hat{\rho}(\gamma \mathbf{r})$ , the average force reads

$$\langle \mathbf{F} \rangle_L = - \int_{\Lambda_A(L)} d\mathbf{r} \int_{\Lambda_B(L)} d\mathbf{r}' \frac{\mathbf{r} - \mathbf{r}'}{|\mathbf{r} - \mathbf{r}'|^3} c_L(\mathbf{r}, \mathbf{r}'), \quad (47)$$

where  $c_L(\mathbf{r}, \mathbf{r}')$  is the two-point charge correlation function

$$c_L(\mathbf{r}, \mathbf{r}') = \langle \hat{c}(\mathbf{r}) \hat{c}(\mathbf{r}') \rangle_L, \quad \hat{c}(\mathbf{r}) = \sum_{\gamma} e_{\gamma} \hat{\rho}(\gamma \mathbf{r}). \quad (48)$$

We now consider the average force by unit surface between two infinitely extended slabs at distance  $d$  by letting their transverse dimension  $L$  tend to infinity. We assume that the plasma phases are in fluid states homogeneous and isotropic in the  $\mathbf{y}$  directions, namely the charge correlation has an infinite-volume limit of the form

$$\lim_{L \rightarrow \infty} c_L(\mathbf{r}, \mathbf{r}') = \langle \hat{c}(\mathbf{r}) \hat{c}(\mathbf{r}') \rangle = c(x, x', |\mathbf{y} - \mathbf{y}'|). \quad (49)$$

For symmetry reasons,  $\langle \mathbf{F} \rangle_L$  has no component parallel to the slabs and is directed along the perpendicular  $x$  axis. We therefore consider the  $x$ -component of the force per unit surface

$$\begin{aligned} \langle f \rangle &:= \lim_{L \rightarrow \infty} \frac{\langle F_x \rangle_L}{L^2} \\ &= \lim_{L \rightarrow \infty} -\frac{1}{L^2} \int_{L^2} d\mathbf{y} \left( \int_{-a}^0 dx \int_d^{d+b} dx' \int_{L^2} d\mathbf{y}' \frac{x - x'}{|\mathbf{r} - \mathbf{r}'|^3} c_L(x, \mathbf{y}, x', \mathbf{y}') \right) \\ &= - \int_{-a}^0 dx \int_d^{d+b} dx' \int d\mathbf{y} \frac{x - x'}{[(x - x')^2 + |\mathbf{y}|^2]^{3/2}} c(x, x', |\mathbf{y}|). \end{aligned} \quad (50)$$

The last line results from the  $\mathbf{y}$  translational invariance of the integrand in the limit  $L \rightarrow \infty$ . Formula (50) remains valid if one replaces  $c(x, x', |\mathbf{y}|)$  by the truncated charge-charge correlation function

$$S(x, x', \mathbf{y}) = \langle \hat{c}(\mathbf{r}) \hat{c}(\mathbf{r}') \rangle - \langle \hat{c}(\mathbf{r}) \rangle \langle \hat{c}(\mathbf{r}') \rangle, \quad \mathbf{r} = (x, \mathbf{y}), \quad \mathbf{r}' = (x', \mathbf{0}) \quad (51)$$

with  $\hat{c}(\mathbf{r})$  the microscopic charge density as in (7). Indeed, the  $\mathbf{y}$ -Fourier transform of the Coulomb force reads

$$\int d\mathbf{y} e^{-i\mathbf{k} \cdot \mathbf{y}} \frac{x - x'}{[(x - x')^2 + |\mathbf{y}|^2]^{3/2}} = 2\pi \operatorname{sign}(x - x') e^{-k|x - x'|} \quad (52)$$

and reduces to  $-2\pi$  when  $\mathbf{k} = \mathbf{0}$  and  $x < x'$ . This implies that the charge density profile  $\langle \hat{c}(\mathbf{r}) \rangle = c(x)$  does not contribute to the force because of the global neutrality of both plasmas

$$\int_{-a}^0 dx c(x) = \int_d^{d+b} dx c(x) = 0. \quad (53)$$

To take full advantage of the translational invariance in the  $\mathbf{y}$  direction we represent the  $\mathbf{y}$ -integral in (50) in Fourier space :

$$\langle f \rangle = \frac{1}{2\pi} \int_{-a}^0 dx \int_d^{d+b} dx' \int d\mathbf{k} e^{-k|x-x'|} S(x, x', \mathbf{k}), \quad (54)$$

where  $k = |\mathbf{k}|$  and  $S(x, x', \mathbf{k}) = \int d\mathbf{k} e^{-i\mathbf{k} \cdot \mathbf{y}} S(x, x', \mathbf{y})$ . The dependence of  $\langle f \rangle = \langle f \rangle(d)$  on the separation  $d$  between the two slabs occurs explicitly in (54) as well as implicitly in the charge correlation function  $S(x, x', \mathbf{k})$ . The  $d$  dependence of the correlations between the two slabs  $A$  and  $B$  originates itself from the Coulomb interaction term  $U_{AB}$  occurring in the total Gibbs thermal weight.

### 3.2. The charge correlation between macroscopic conductors

#### 3.2.1. Mayer graphs and resummation of Coulomb divergences

To determine the asymptotic behaviour of  $\langle f \rangle(d)$  as  $d \rightarrow \infty$ , we need to know that of the charge correlation between the slabs. For this we can use the technique of summation of Mayer graphs for Coulomb systems [29]. We recall that the two-point Ursell function, related to the densities  $\rho(i), \rho(j)$  and the two-particle density  $\rho(i, j)$

$$h(i, j) := \frac{\rho(i, j)}{\rho(i)\rho(j)} - 1, \quad i = (\gamma_i, \mathbf{r}_i), j = (\gamma_j, \mathbf{r}_j) \quad (55)$$

can be expanded in a formal power series of the densities by means of Mayer graphs. The basic Mayer bonds are

$$f(i, j) = e^{-\beta V(i, j)} - 1, \quad (56)$$

where  $V(i, j)$  is the potential (43) and the weights at vertices are the densities  $\rho(i)$ . Here  $i$  is a shorthand notation for the point  $(\gamma_i, \mathbf{r}_i)$  in configuration space, and integration on configurations  $\sum_{\gamma_i} \int d\mathbf{r}_i$  includes the summation on particle species. Diagrams have two root points  $i$  and  $j$  and  $m$  internal

points which have to be integrated over. Each pair of points is linked by at most one  $f$ -bond and there are no articulation points<sup>2</sup>. Because of the long-range of the Coulomb interaction, the integrals occurring in every diagram diverge in the thermodynamic limit. The well known procedure to cure these divergences is to resum the chains built with pure Coulombic interaction bonds  $-\beta e_{\gamma_i} e_{\gamma_j} v(\mathbf{r}_i - \mathbf{r}_j)$ . The chain summation leads to the following integral equation which defines the screened (or Debye–Hückel) potential

$$\Phi(\mathbf{r}, \mathbf{r}') = v(\mathbf{r} - \mathbf{r}') - \frac{1}{4\pi} \int d\mathbf{r}_1 \kappa^2(\mathbf{r}_1) v(\mathbf{r} - \mathbf{r}_1) \Phi(\mathbf{r}_1, \mathbf{r}') = \Phi(\mathbf{r}', \mathbf{r}) \quad (57)$$

which in turn is equivalent to the differential equation

$$\Delta \Phi(\mathbf{r}, \mathbf{r}') - \kappa^2(\mathbf{r}) \Phi(\mathbf{r}, \mathbf{r}') = -4\pi \delta(\mathbf{r} - \mathbf{r}'). \quad (58)$$

In (57) and (58)

$$\kappa(\mathbf{r}) := \left( 4\pi\beta \sum_{\gamma} e_{\gamma}^2 \rho(\gamma \mathbf{r}) \right)^{1/2} \quad (59)$$

can be interpreted as the local inverse Debye screening length  $\ell_D(\mathbf{r})$  in the inhomogeneous system. Once the screened potential has been determined, the original Mayer series for the Ursell function is reorganized into a series of prototype graphs which involve the two integrable bonds

$$F(i, j) = -\beta e_{\gamma_i} e_{\gamma_j} \Phi(\mathbf{r}_i, \mathbf{r}_j), \quad (60)$$

$$F^R(i, j) = \exp[-\beta e_{\gamma_i} e_{\gamma_j} \Phi(\mathbf{r}_i, \mathbf{r}_j) - \beta v_{\text{SR}}(\gamma_i, \gamma_j, |\mathbf{r}_i - \mathbf{r}_j|)] - 1 + \beta e_{\gamma_i} e_{\gamma_j} \Phi(\mathbf{r}_i, \mathbf{r}_j). \quad (61)$$

The rules for prototype graphs are the same as for the original Mayer graphs except for the excluded convolution rule, namely the convolution of two  $F(i, j)$  bonds is forbidden (to avoid double counting of original Mayer graphs). Vertices receive density weight  $\rho(\gamma \mathbf{r})$ . In fact the particle densities are not known at this point, but they can be found self-consistently in principle from the first equation of the BGY hierarchy which links the densities to the two point correlation functions. Finally, the charge–charge correlation function (9) is related to the Ursell function by

$$S(\mathbf{r}, \mathbf{r}') = \sum_{\gamma, \gamma'} e_{\gamma} e_{\gamma'} \rho(\gamma \mathbf{r}) \rho(\gamma' \mathbf{r}') h(\gamma \mathbf{r}, \gamma' \mathbf{r}') + \delta(\mathbf{r} - \mathbf{r}') \sum_{\gamma} e_{\gamma}^2 \rho(\gamma \mathbf{r}). \quad (62)$$

---

<sup>2</sup> An articulation point, when removed, splits the diagram into two pieces, at least one of which is disconnected from the root points.

The second term in the r.h.s. of (62) is the contribution of coincident points. Taking into account the sole bond  $F(i, j)$  (60) defines the Debye–Hückel or mean field approximation.

In our model the particle densities  $\rho(\gamma, x)$  as well as  $\kappa(x)$  do not depend on the variable  $\mathbf{y}$ . Written in Fourier space with respect to the  $\mathbf{y}$  directions, the integral equation (57) and equivalent differential equation (58) become

$$\Phi(x, x', \mathbf{k}) = v(x, x', \mathbf{k}) - \frac{1}{4\pi} \int dx_1 \kappa^2(x_1) v(x, x_1, \mathbf{k}) \Phi(x_1, x', \mathbf{k}), \quad (63)$$

$$\left[ \frac{\partial^2}{\partial x^2} - k^2 - \kappa^2(x) \right] \Phi(x, x', \mathbf{k}) = -4\pi \delta(x - x'), \quad (64)$$

with

$$\begin{aligned} \Phi(x, x', \mathbf{k}) &= \int d\mathbf{y} e^{-i\mathbf{k} \cdot \mathbf{y}} \Phi(x, x', \mathbf{y}), \\ v(x, x', \mathbf{k}) &= \int d\mathbf{y} e^{-i\mathbf{k} \cdot \mathbf{y}} v(x, x', \mathbf{y}) = \frac{2\pi}{k} e^{-k|x-x'|}. \end{aligned} \quad (65)$$

Since the particles densities vanish outside the slabs one has

$$\kappa(x) = 0, \quad \text{if } x < -a, \quad 0 < x < d, \quad \text{or } x > d + b. \quad (66)$$

At the boundaries  $x = -a, 0, d, d + b$ ,  $\Phi(x, x', \mathbf{k})$  and its  $x$ -derivative must be continuous and  $\Phi(x, x', \mathbf{k}) \rightarrow 0$ ,  $x \rightarrow \pm\infty$ .

Solving (63) by iteration yields a series that can be shown to be convergent in the weak coupling regime. In particular  $\Phi(x, x', \mathbf{k})$  has a bound uniform with respect to  $k$  and  $d$ , so that

$$\lim_{k \rightarrow 0} \Phi(x, x', \mathbf{k}) = \Phi(x, x', 0) < \infty. \quad (67)$$

This means that the screened potential is short ranged (integrable) in the  $\mathbf{y}$  direction<sup>3</sup>.

When  $d = \infty$ , the system reduces to the single plasma slab  $\Lambda_A$ . Its screened potential

$$\Phi_A^0(x, x', \mathbf{k}) = \lim_{d \rightarrow \infty} \Phi(x, x', \mathbf{k}), \quad x, x' \in \Lambda_A \quad (68)$$

---

<sup>3</sup> For finite  $d$ ,  $\Phi(x, x', \mathbf{y})$  decays faster than any power of  $|\mathbf{y}|$ . For  $d = \infty$  the system reduces to a single plasma  $A$  with a hard wall without electric properties, for which  $\Phi(x, x', \mathbf{y})$  decays as  $|\mathbf{y}|^{-3}$  in the  $\mathbf{y}$ -plane.

satisfies, of course, the integral equation

$$\Phi_A^0(x, x', \mathbf{k}) = v(x, x', \mathbf{k}) - \frac{1}{4\pi} \int dx_1 (\kappa_A^0)^2(x_1) v(x, x_1, \mathbf{k}) \Phi_A^0(x_1, x', \mathbf{k}), \quad (69)$$

where  $\kappa_A^0(x) = \lim_{d \rightarrow \infty} \kappa(x)$ ,  $x \in \Lambda_A$ , is the inverse screening length in the single plasma slab without any other electrical influence.

### 3.2.2. Electroneutrality sum rules

An important relation that holds in great generality in conducting phases is the electroneutrality sum rule [30]. It states that the total charge of the screening cloud around a specified charge  $e_{\gamma'}$  at  $\mathbf{r}'$  compensates it exactly. In terms of the Ursell function, it reads

$$\int d\mathbf{r} \sum_{\gamma} e_{\gamma} \rho(\gamma, \mathbf{r}) h(\gamma \mathbf{r}, \gamma' \mathbf{r}') = -e_{\gamma'}, \quad (70)$$

where the integrand in the left-hand side is the charge density at  $\mathbf{r}$  conditioned by the presence of a charge  $e_{\gamma'}$  at  $\mathbf{r}'$ . From (62) one immediately sees that the charge–charge correlation obeys the sum rule

$$\int d\mathbf{r} S(\mathbf{r}, \mathbf{r}') = \int dx \int d\mathbf{y} S(x, x', \mathbf{y}) = 0 \quad (71)$$

or, in Fourier space with respect to  $\mathbf{y}$

$$\int dx S(x, x', \mathbf{k} = 0) = 0. \quad (72)$$

An elementary derivation of (72) in the mean field approximation can be obtained from the differential equation (64). Integrating (64) on  $x$  leads to

$$\int_{-\infty}^{\infty} dx \frac{\kappa^2(x)}{4\pi} \Phi(x, x', \mathbf{k}) = 1 - \frac{k^2}{4\pi} \int dx \Phi(x, x', \mathbf{k}) \quad (73)$$

and in particular, for  $\mathbf{k} = 0$

$$\int_{-\infty}^{\infty} dx \frac{\kappa^2(x)}{4\pi} \Phi(x, x', \mathbf{k} = 0) = 1. \quad (74)$$

In the Debye–Hückel approximation the Ursell function is given by the sole link (60)  $h^{\text{DH}}(\gamma, x, \gamma', x', \mathbf{k}) = -\beta e_{\gamma} e_{\gamma'} \Phi(x, x', \mathbf{k})$  and from (62)

$$S^{\text{DH}}(x, x', k) = -\beta \frac{\kappa^2(x)}{4\pi} \frac{\kappa^2(x')}{4\pi} \Phi(x, x', \mathbf{k}) + \delta(x - x') \frac{\kappa^2(x)}{4\pi} \quad (75)$$

so that (74) implies (72) in this approximation.

We will see that the electroneutrality sum rules will be at the microscopic origin of the universality of the Casimir effect.

### 3.2.3. Asymptotic chain summation for large separation

It is not easy to solve Eq. (64) for two reasons: first the particle density profiles  $\rho(\gamma, x)$  in the slabs are not explicitly known, and in any case the matching of solutions by continuity in the boundaries of different regions leads to cumbersome algebra. We shall instead follow another route by performing the chain summation only for the asymptotic part of the screened potential as  $d \rightarrow \infty$ . For this it is convenient to split the Coulomb potential  $V$  into parts according to the location of its arguments

$$v(x, x', \mathbf{k}) = \begin{cases} v_{AA}(x, x', \mathbf{k}), & a < x, x' < 0, \\ v_{AB}(x, x' - d, \mathbf{k}), & a < x < 0 < d < x' < d + b, \\ v_{BA}(x - d, x', \mathbf{k}), & a < x' < 0 < d < x < d + b, \\ v_{BB}(x - d, x' - d, \mathbf{k}), & d < x, x' < d + b, \end{cases} \quad (76)$$

and the same decomposition for the screened potential  $\Phi$ <sup>4</sup>. We can think of  $-\beta v_{AA}, -\beta v_{AB} = -\beta v_{BA}, -\beta v_{BB}$  as an expanded set of Coulomb bonds that will enter into the chain resummation<sup>5</sup>. According to (65) the  $v_{AB}$  potential can be written as

$$\begin{aligned} v_{AB}(x, x', \mathbf{k}) &= \frac{2\pi e^{-k|x-x'-d|}}{k} = \frac{ke^{-kd}}{2\pi} \left( \frac{2\pi}{k} e^{-k|x|} \right) \left( \frac{2\pi}{k} e^{-k|x'|} \right) \\ &= \frac{ke^{-kd}}{2\pi} v_{AA}(x, 0) v_{BB}(0, x'), \\ v_{AA}(x, 0) &= v_{AA}(0, x) = v_{BB}(0, x). \end{aligned} \quad (77)$$

We call  $-\beta v_{AB}$  a traversing bond and the chains constituting  $-\beta \Phi_{AB}$  traversing chains. Traversing chains have necessarily an odd number of traversing

<sup>4</sup> The functions  $v_{AB}, v_{BB}, \Phi_{AB}, \Phi_{BB} \dots$  (depending on  $d$ ) refer to the system of the two plasmas under mutual influence with the  $x$ -location of particles in plasma  $B$  measured by their distance from the boundary at  $d$  (*i.e.* from 0 to  $b$ ).

<sup>5</sup> Cases when  $x, x'$  are outside of the plasmas do not need to be considered since the potential will always be multiplied there by vanishing density factors.

bonds. Let  $\Phi_{AB}^{(n)}$  be the sum of traversing chains having  $n$  traversing bonds. Then introducing (77) and summing all chains of  $AA$  ( $BB$ ) bonds attached to  $x$  ( $x'$ ) one obtains

$$\Phi_{AB}^{(1)}(x, x', \frac{q}{d}) = \frac{qe^{-q}}{2\pi d} \Phi_{AA}(x, 0, \frac{q}{d}) \Phi_{BB}(0, x', \frac{q}{d}). \quad (78)$$

Note that because of the factor  $v_{AA}(x, 0)$  the  $AA$  chains have necessarily an extremity located at the boundary  $x = 0$  of plasma  $A$  (likewise for plasma  $B$ ). Thus, the dominant part of  $\Phi_{AB}^{(1)}(x, x', \frac{q}{d})$  behaves as (see (68))

$$\Phi_{AB}^{(1)}(x, x', \frac{q}{d}) \sim \frac{qe^{-q}}{2\pi d} \Phi_A^0(x, 0, 0) \Phi_B^0(0, x', 0), \quad d \rightarrow \infty. \quad (79)$$

One can obtain  $\Phi_{AB}^{(3)}(x, x', \frac{q}{d})$  by first attaching a traversing Coulomb bond to each extremities of  $\Phi_{AB}^{(1)}(x, x', \frac{q}{d})$  and summing the  $AA$  ( $BB$ ) chains in plasma  $A$  ( $B$ ) as before

$$\begin{aligned} \Phi_{AB}^{(3)}(x, x', \frac{q}{d}) &= \left( \frac{qe^{-q}}{2\pi d} \right)^2 \Phi_{AA}(x, 0, \frac{q}{d}) \\ &\times \left( \int dx_1 \int dx_2 v_{AA}(0, x_1) \frac{\kappa_A^2(x_1)}{4\pi} \Phi_{AB}^{(1)}(x_1, x_2, \frac{q}{d}) \frac{\kappa_A^2(x_2)}{4\pi} v_{BB}(x_2, 0) \right) \\ &\times \Phi_{BB}(0, x', \frac{q}{d}) = \left( \frac{qe^{-q}}{2\pi d} \right)^3 \Phi_{AA}(x, 0, \frac{q}{d}) \\ &\times \left[ \int dx_1 \frac{\kappa_A^2(x_1)}{4\pi} v_{AA}(0, x_1) \Phi_{AA}(x_1, 0, \frac{q}{d}) \right] \\ &\times \left[ \int dx_2 \frac{\kappa_B^2(x_2)}{4\pi} v_{BB}(0, x_2) \Phi_{AA}(x_2, 0, \frac{q}{d}) \right] \Phi_{BB}(0, x', \frac{q}{d}), \end{aligned} \quad (80)$$

where the second equality comes from (78) and rearranging the factors. By (69) the first square bracket in (80) is equal to

$$v_{AA}(0, 0, \frac{q}{d}) - \Phi_{AA}(0, 0, \frac{q}{d}) = \frac{2\pi d}{q} + \mathcal{O}(1) \quad (81)$$

since the screened potential  $\Phi_{AA}(0, 0, k)$  is finite at  $k = 0$  (see (67)), and the same estimate holds for the second bracket<sup>6</sup>. This yields a factor

$$\left( \frac{qe^{-q}}{2\pi d} \right)^3 \left( \frac{2\pi d}{q} \right)^2 = \frac{qe^{-q}}{2\pi d} e^{-2q}$$

---

<sup>6</sup> Strictly speaking, here  $\kappa^2(x), x \in \Lambda_A$  still depends on the influence of the second plasma  $\Lambda_B$ , but  $\Phi_{AA}(0, 0, \mathbf{k})$  tends to  $\Phi_A^0(0, 0, \mathbf{k})$  as  $d \rightarrow \infty$  and this does not modify the argument.

and leads to

$$\Phi_{AB}^{(3)}(x, x', \frac{q}{d}) \sim \frac{qe^{-q}}{2\pi d} e^{-2q} \Phi_A^0(x, 0, 0) \Phi_B^0(0, x', 0), \quad d \rightarrow \infty. \quad (82)$$

Continuing by induction one sees that  $\Phi_{AB}^{(2n+1)}(x, x', \frac{q}{d})$  receives a factor  $\frac{qe^{-q}}{2\pi d} e^{-2nq}$  and summing all the traversing chains gives the final result

$$\Phi_{AB}(x, x', \frac{q}{d}) \sim \frac{q}{4\pi d \sinh q} \Phi_A^0(x, 0, 0) \Phi_B^0(0, x', 0), \quad d \rightarrow \infty. \quad (83)$$

The screened potential of the joint system factorizes, up to a factor, in the screened potentials of the individual plasmas with a charge located at their inner boundary.

### 3.2.4. The asymptotic force

We can now insert this behaviour into the basic formula for the force (54)

$$\begin{aligned} \langle f \rangle(d) &= \frac{1}{2\pi d^2} \int_{-a}^0 dx \int_0^b dx' \int d\mathbf{q} \exp\left(\frac{-|x - x' - d|}{d}\right) S(x, x' - d, \frac{q}{d}) \\ &\sim -\frac{1}{8\pi\beta d^3} \int_0^\infty dq \frac{2q^2 e^{-q}}{\sinh q} \left( \int_{-a}^0 dx \frac{(\kappa_A^0)^2(x)}{4\pi} \Phi_A^0(x, 0, \mathbf{0}) \right) \\ &\quad \times \left( \int_0^b dx' \frac{(\kappa_B^0)^2(x')}{4\pi} \Phi_B^0(0, x', \mathbf{0}) \right) = -\frac{\zeta(3)}{8\pi\beta d^3}, \quad d \rightarrow \infty. \quad (84) \end{aligned}$$

To obtain the first equality we have changed the integration variables  $x' \rightarrow x' - d$ ,  $k = q/d$  in (54) and the second line follows from (75). In the last line we observe that both parentheses are equal to 1 because of the electroneutrality sum rule (74), whereas the  $q$  integral yields the value  $\zeta(3)$  of the Riemann  $\zeta$ -function.

This result deserves the following comments:

- The asymptotic value of the force has been calculated here within the Debye–Hückel approximation using only the bond (60). It can be shown that the result (84) holds in full generality. In fact all the other Mayer graphs involving the bond (61) do not contribute to the asymptotics of the force. The factorization property (83) holds for the complete Ursell function as  $d \rightarrow \infty$  in the form



$$h_{AB}(\gamma, x, \gamma', x', \frac{q}{d}) \sim -\frac{q}{4\pi\beta d \sinh q} \frac{G_A^0(\gamma, x, \gamma_a, 0, \mathbf{k} = 0)}{e_{\gamma_a}} \times \frac{G_B^0(\gamma_b, 0, \gamma', x', \mathbf{k} = 0)}{e_{\gamma_b}}, \quad (85)$$

where  $G_A^0$ ,  $G_B^0$  are correlations functions in the individual slabs  $A_A$ ,  $A_B$  involving charges  $e_{\gamma_a}$ ,  $e_{\gamma_b}$  at their inner boundaries that can be shown to satisfy the sum rule

$$\int dx \sum_{\gamma} \frac{\rho_A^0(\gamma, x) G_A^0(\gamma, x, \gamma_a, 0, \mathbf{k} = 0)}{e_{\gamma_a}} = -1 \quad (86)$$

as a consequence of electroneutrality.

- The result (84) exhibits universality in the sense that the asymptotic force does not depend on the microscopic composition of the plasma (chemical species, masses, charges) nor on the typical microscopic lengths (screening lengths  $\ell_D$ , interparticle distances  $\rho^{-1/3}$ ). Moreover it does not depend either on the thickness  $a$  and  $b$  of the slabs: the Casimir force is entirely due to the charge fluctuations at their inner boundary. In this respect, if one neglects the microscopic lengths compared to their separation  $d$ , slabs of arbitrary thickness behave as infinitely thin conducting foils. Universality is a consequence of electroneutrality sum rules in the conductors.
- If one compares (84) with (39) one sees that the extrapolation of Casimir calculation to the classical regime is larger by a factor 2 than what is obtained in the present classical microscopic model. The two approaches are based on different premises: (39) was derived from the electromagnetic field fluctuations but treating the metal as a macroscopic body without internal structure. Formula (84) for the force originates exclusively from the atomic fluctuations inside the metals, but since the dynamical degrees of freedom of the electromagnetic field have not been introduced, the force is purely electrostatic (longitudinal field) and the effects of the transverse components of the field (in particular the Lorentz force between fluctuating currents) are missing. This calls for a more complete model where quantum mechanics and photons are taken into account.

### 3.3. Quantum corrections to the classical Casimir effect

#### 3.3.1. The complete model

We consider the same two plasma slabs system  $\Lambda_A, \Lambda_B$  as before, but now containing non relativistic quantum charges (electrons, ions, nuclei) with appropriate statistics immersed in a thermalized quantum electromagnetic field. The field is itself enclosed into a large box  $K$  with sides of length  $R$ ,  $R \gg L, a, b$ . The Hamiltonian of the total finite volume system reads in Gaussian units

$$H = \sum_i \frac{\left(\mathbf{p}_i - \frac{e_{\gamma_i}}{c} \mathbf{A}(\mathbf{r}_i)\right)^2}{2m_{\gamma_i}} + \sum_{i < j} \frac{e_{\gamma_i} e_{\gamma_j}}{|\mathbf{r}_i - \mathbf{r}_j|} + \sum_i V^{\text{walls}}(\gamma_i, \mathbf{r}_i) + H_0^{\text{rad}}. \quad (87)$$

The sums run on all particles with position  $\mathbf{r}_i$  and species index  $\gamma_i$ ;  $V^{\text{walls}}(\gamma_i, \mathbf{r}_i)$  is a steep external potential that confines a particle in  $\Lambda_A$  or  $\Lambda_B$ . It can eventually be taken infinitely steep at the wall's position implying Dirichlet boundary conditions (*i.e.* vanishing of particle wave functions at the faces of the slabs).

The electromagnetic field is written in the Coulomb (or transverse) gauge so that the vector potential  $\mathbf{A}(\mathbf{r})$  is divergence free and  $H_0^{\text{rad}}$  is the Hamiltonian of the free radiation field. For it we impose periodic boundary conditions on the faces of the big box  $K$ <sup>7</sup>. Hence expanding  $\mathbf{A}(\mathbf{r})$  in the plane waves modes  $\mathbf{k} = (\frac{2\pi n_x}{R}, \frac{2\pi n_y}{R}, \frac{2\pi n_z}{R})$  gives

$$\mathbf{A}(\mathbf{r}) = \left(\frac{4\pi\hbar c^2}{R^3}\right)^{1/2} \sum_{\mathbf{k}, \lambda} g(\mathbf{k}) \frac{\mathbf{e}_{\mathbf{k}}(\lambda)}{\sqrt{2\omega_{\mathbf{k}}}} \left(a_{\mathbf{k}, \lambda}^* e^{-i\mathbf{k} \cdot \mathbf{r}} + a_{\mathbf{k}, \lambda} e^{i\mathbf{k} \cdot \mathbf{r}}\right), \quad (88)$$

$$H_0^{\text{rad}} = \sum_{\mathbf{k}, \lambda} \hbar \omega_{\mathbf{k}} a_{\mathbf{k}, \lambda}^* a_{\mathbf{k}, \lambda}. \quad (89)$$

In (88),  $g(\mathbf{k})$ ,  $g(0) = 1$ , is a form factor (ultraviolet cut-off) needed to make sense of the Hamiltonian (87)<sup>8</sup>. In our case we are concerned with the asymptotics  $d \rightarrow \infty$  which is related to the  $\mathbf{k} \rightarrow 0$  behaviour, hence the final result is expected to be independent of this cut-off function.

We suppose that the matter in the slabs is in thermal equilibrium with the radiation field and therefore introduce the finite volume free energy of the full system at temperature  $T$

$$\Phi_{R, L, d} = -k_B T \ln \text{Tr} e^{-\beta H}, \quad (90)$$

<sup>7</sup> Periodic conditions are convenient here. We could as well choose metallic boundary conditions as in chapter 1. Since the field region  $K$  will be extended over all space right away the choice of conditions on the boundaries of  $K$  are expected to make no differences for the particles confined in the slabs.

<sup>8</sup> Removing this ultraviolet cut-off is still an open problem.

where the trace is carried over the particles' and the field's degrees of freedom. The force between the slabs by unit surface is now defined by

$$f(d) = \lim_{L \rightarrow \infty} \lim_{R \rightarrow \infty} f_{R,L}(d) \quad (91)$$

with

$$f_{R,L}(d) = -\frac{1}{L^2} \frac{\partial}{\partial d} \Phi_{R,L,d}. \quad (92)$$

Adding and subtracting the free energy of the free photon field in (90) leads to

$$\Phi_{R,L,d} = -k_B T \ln \left( \frac{\text{Tr } e^{-\beta H}}{Z_0^{\text{rad}}} \right) - k_B T \ln Z_0^{\text{rad}}, \quad (93)$$

where  $Z_0^{\text{rad}}$  is the partition function of the free photon field in the volume  $K$ . Since the last term of (93) is independent of  $d$ , it does not contribute to the force (92). Therefore one has

$$f(d) = k_B T \lim_{L \rightarrow \infty} \lim_{R \rightarrow \infty} \frac{1}{L^2} \frac{\partial}{\partial d} \ln \left( \frac{\text{Tr } e^{-\beta H}}{Z_0^{\text{rad}}} \right). \quad (94)$$

The thermodynamic limit is expected to exist when one at least of the species is fermionic (say the electrons) without regularization of the Coulomb potential at the origin.

The situation is very similar to that considered by Casimir: The field extends over all sides of the two conducting plates, but the latter are now described at the microscopic level including all the particle-field interactions. At this point, the following observation is important. The Bohr–van Leeuwen theorem [31] states that classical matter in thermal equilibrium decouples from the transverse part of the (classical) electromagnetic field. We recall the central argument which is very simple. In the phase space integral of the classical Gibbs weight

$$\int_A d\mathbf{r} \int d\mathbf{p} \exp \left[ -\beta \frac{(\mathbf{p} - \frac{e}{c} \mathbf{A}(\mathbf{r}))^2}{2m} \right] \exp(-\beta U(\mathbf{r})) \quad (95)$$

one can perform the momentum integration first, shifting the variable  $\mathbf{p}$  to  $\mathbf{p} - \frac{e}{c} \mathbf{A}(\mathbf{r})$  for fixed  $\mathbf{r}$  so making the integral independent of the vector potential  $\mathbf{A}$ . It is therefore expected that in the classical limit the thermal statistical averages calculated with the QED Hamiltonian (87) reduce to those obtained by the purely classical model of Section 3.1 based on the

sole Coulomb electrostatic interaction. It is thus of interest to study the Casimir force from the full quantum model (87) in the semi-classical (or high temperature) regime.

In addition to the photon thermal wave length  $\beta\hbar c$ , quantum mechanics introduces particles' de Broglie thermal wave lengths  $\lambda_\gamma = \hbar \left( \frac{\beta}{m_\gamma} \right)^{1/2}$ . The semi-classical regime is the situation where all thermal wave length are much smaller than  $d$  and the slab thicknesses  $a, b$  and the parameter  $\alpha$  (21) is also small. We establish below that, in this regime, the quantum corrections to the classical Casimir effect are small. More precisely, they do not contribute to the dominant order  $d^{-3}$  so that one can write

$$\begin{aligned} f(d) &= -\frac{\zeta(3)}{8\pi\beta d^3} + R(\beta, \hbar, d), \\ R(\beta, \hbar, d) &= \mathcal{O}(d^{-4}). \end{aligned} \quad (96)$$

The remainder  $R(\beta, \hbar, d)$  includes the quantum effects, but it cannot modify the amplitude of the  $d^{-3}$  term to match the high temperature result  $-\frac{\zeta(3)}{4\pi\beta d^3}$  (39) obtained by Casimir's method ignoring the microscopic fluctuations inside the conductors.

### 3.3.2. The path integral representation and the effective electric and magnetic potentials

For the sake of simplicity, we shall sketch the procedure with the following specifications (for more details, see [32]).

- The spins of the particles are ignored (as it is already the case in the Hamiltonian (87)). They could be introduced but we expect that their contributions will not modify the asymptotic force.
- The Fermi or Bose statistics of the particles will not be taken into account. The use of Boltzmann statistics is in order in the non degenerate regime that we are considering, but requires the presence of a short range repulsive potential  $v_{\text{SR}}(\gamma_i, \gamma_j, |\mathbf{r}_i - \mathbf{r}_j|)$  to assure stability as in the classical case. Anyway, exchange effects across the two slabs will be negligible at large separation.
- We treat the electromagnetic field classically, which is justified by  $\beta\hbar c \ll d$  (*i.e.*  $\alpha \ll 1$ , see (21)). This amounts to replace the photon creation and annihilation operators by complex numbers  $\alpha_{\mathbf{k},\lambda}^*$ ,  $\alpha_{\mathbf{k},\lambda}$ .

The formalism adapted to the investigation of the high temperature (or semi-classical regime) is the Feynman–Kac–Itô path integral representation

of the Gibbs weight. For a single particle in an external potential  $V^{\text{ext}}(\mathbf{r})$  the formula for a configurational diagonal matrix element is

$$\begin{aligned} \langle \mathbf{r} | \exp \left( -\beta \frac{\left( \mathbf{p} - \frac{e\gamma}{c} \mathbf{A}(\mathbf{r}) \right)^2}{2m} - \beta V^{\text{ext}} \right) | \mathbf{r} \rangle &= \left( \frac{1}{2\pi\lambda^2} \right)^{3/2} \int D(\boldsymbol{\xi}) \\ &\times \exp \left( -\beta \left[ \int_0^1 ds V^{\text{ext}}(\mathbf{r} + \lambda \boldsymbol{\xi}(s)) - i \sqrt{\frac{e^2}{\beta m c^2}} \int_0^1 \mathbf{A}(\mathbf{r} + \lambda \boldsymbol{\xi}(s)) \cdot d\boldsymbol{\xi}(s) \right] \right). \end{aligned} \quad (97)$$

Here  $\boldsymbol{\xi}(s)$ ,  $0 \leq s < 1$ ,  $\boldsymbol{\xi}(0) = \boldsymbol{\xi}(1) = 0$ , is a closed dimensionless Brownian path and  $D(\boldsymbol{\xi})$  is the corresponding conditional Wiener measure normalized to 1. It is Gaussian, formally written as  $\exp \left( -\frac{1}{2} \int_0^1 \left| \frac{d\boldsymbol{\xi}(s)}{ds} \right|^2 \right) \prod_s d\boldsymbol{\xi}(s)$ , with zero mean and covariance

$$\int D(\boldsymbol{\xi}) \xi_\mu(s_1) \xi_\nu(s_2) = \delta_{\mu,\nu} (\min(s_1, s_2) - s_1 s_2), \quad (98)$$

where  $\xi_\mu(s)$  are the Cartesian coordinates of  $\boldsymbol{\xi}(s)$ .

In this representation a quantum point charge looks like a classical-like structured charge at  $\mathbf{r}$  with an internal degree of freedom, the random charged filament  $\boldsymbol{\xi}(s)$  whose extension is given by the de Broglie length  $\lambda$  (the quantum fluctuation). The magnetic phase in the bracket of (97) is a stochastic line integral: it is the flux of the magnetic field across the closed filament.

This is readily generalized to a system of  $n$  interacting particles: the two first terms of (87) yield the following expression of the Gibbs weight in the space of filaments

$$\exp \left( -\beta \sum_{i < j}^n e_{\gamma_i} e_{\gamma_j} V(\mathbf{r}_i, \boldsymbol{\xi}_i, \mathbf{r}_j, \boldsymbol{\xi}_j) + i \sum_{j=1}^n \sqrt{\frac{\beta e_{\gamma_j}^2}{m_{\gamma_j} c^2}} \int_0^1 \mathbf{A}(\mathbf{r}_j + \lambda_{\gamma_j} \boldsymbol{\xi}_j(s)) \cdot d\boldsymbol{\xi}_j(s) \right), \quad (99)$$

where

$$V_c(\mathbf{r}_i, \boldsymbol{\xi}_i, \mathbf{r}_j, \boldsymbol{\xi}_j) = \int_0^1 ds \frac{1}{|\mathbf{r}_i + \lambda_{\gamma_i} \boldsymbol{\xi}_i(s) - \mathbf{r}_j - \lambda_{\gamma_j} \boldsymbol{\xi}_j(s)|} \quad (100)$$

is the Coulomb potential between two filaments.

A remarkable fact about the representation (99) is that the exponent is linear in  $\mathbf{A}$  and its Fourier amplitudes (contrary to the Hamiltonian (87)

written in operatorial form). Since the statistical weight  $e^{-\beta H_0^{\text{rad}}}$  is a Gaussian function of these Fourier amplitudes, it makes it possible to perform the partial trace over the field degrees of freedom in (94) by the fact that the Fourier transform of a Gaussian is a Gaussian, namely for a  $n \times n$  positive definite Hermitian matrix  $C$  and complex vectors  $z = \{z_i\}$ ,  $J = \{J_i\}$ ,  $i = 1, \dots, n$

$$\int \prod_{i=1}^n \frac{d^2 z_i}{\pi} e^{-(z, Cz) + (J, z) + (z, J)} = \frac{1}{\text{Det}(C)} e^{(J, C^{-1} J)}. \quad (101)$$

This formula is applied to the calculation of this partial trace in the form of the normalized Gaussian average

$$\langle \dots \rangle_{\text{rad}} = \frac{1}{Z_0^{\text{rad}}} \prod_{\mathbf{k}\lambda} \int \frac{d^2 \alpha_{\mathbf{k}\lambda}}{\pi} e^{-\beta \hbar \omega_{\mathbf{k}} |\alpha_{\mathbf{k}\lambda}|^2} \dots \quad (102)$$

The result is

$$\begin{aligned} & \left\langle \exp \left( -i\beta \sum_{j=1}^n \sqrt{\frac{e_{\gamma_j}^2}{\beta m_{\gamma_j} c^2}} \int_0^1 \mathbf{A}(\mathbf{r}_j + \lambda_{\gamma_j} \boldsymbol{\xi}_j(s)) \cdot d\boldsymbol{\xi}_j(s) \right) \right\rangle_{\text{rad}} \\ &= \left( \prod_{i=1}^n e^{-\beta \frac{e_{\gamma_i}^2}{2} W_m(\mathbf{0}, \boldsymbol{\xi}_i, \mathbf{0}, \boldsymbol{\xi}_i)} \right) e^{-\beta \sum_{i < j} e_{\gamma_i} e_{\gamma_j} W_m(\mathbf{r}_i, \boldsymbol{\xi}_i, \mathbf{r}_j, \boldsymbol{\xi}_j)}. \end{aligned} \quad (103)$$

In (103)  $W_m$  is a double stochastic integral

$$\begin{aligned} W_m(\mathbf{r}_i, \boldsymbol{\xi}_i, \mathbf{r}_j, \boldsymbol{\xi}_j) &= \frac{1}{\beta \sqrt{m_{\gamma_i} m_{\gamma_j} c^2}} \\ &\times \int \frac{d\mathbf{k}}{(2\pi)^3} \left( \int_0^1 d\xi_i^\mu(s_i) e^{-i\mathbf{k} \cdot (\mathbf{r}_i + \lambda_{\gamma_i} \boldsymbol{\xi}_i(s_i))} \right) \\ &\times \left( \int_0^1 d\xi_j^\nu(s_j) e^{i\mathbf{k} \cdot (\mathbf{r}_j + \lambda_{\gamma_j} \boldsymbol{\xi}_j(s_j))} \right) G^{\mu\nu}(\mathbf{k}), \end{aligned} \quad (104)$$

where

$$G^{\mu\nu}(\mathbf{k}) = \frac{4\pi |g(\mathbf{k})|^2}{|\mathbf{k}|^2} \delta_{\text{tr}}^{\mu\nu}(\mathbf{k}), \quad \delta_{\text{tr}}^{\mu\nu}(\mathbf{k}) = \delta^{\mu\nu} - \frac{k^\mu k^\nu}{|\mathbf{k}|^2} \quad (105)$$

is the free field covariance and  $\delta_{\text{tr}}^{\mu\nu}(\mathbf{k})$  the transverse Kronecker symbol. Summation on the Cartesian component indices  $\mu, \nu = 1, 2, 3$  is understood.

We see from (103) that  $W_m$  can be interpreted as a pairwise effective magnetic interaction between the random filaments  $\xi_i$  and  $\xi_j$  mediated by the vector potential  $\mathbf{A}$ <sup>9</sup>. This interpretation becomes manifest when we look at the long distance behaviour of  $W_m$ ,

$$W_m(\mathbf{r}_1, \xi_1, \mathbf{r}_2, \xi_2) \sim \frac{1}{\beta \sqrt{m_{\gamma_1} m_{\gamma_2}} c^2} \int_0^1 d\xi_1(s_1) \cdot \int_0^1 d\xi_2(s_2) \\ \times (\lambda_1 \xi_1(s_1) \cdot \nabla \mathbf{r}_1) (\lambda_2 \xi_2(s_2) \cdot \nabla \mathbf{r}_2) \frac{1}{|\mathbf{r}_1 - \mathbf{r}_2|} \quad (106)$$

as  $|\mathbf{r}_1 - \mathbf{r}_2| \rightarrow \infty$ . This is obtained by keeping the most singular term in the small  $\mathbf{k}$  expansion of the exponentials in the integrand in (104). (Here we have omitted additional  $\mathcal{O}(r^{-3})$  terms coming from the transversality condition in (105).) Since filaments are closed one has  $\int_0^1 d\xi(s) = 0$ , and this implies that the first non vanishing term is bilinear in  $\xi_1$  and  $\xi_2$ . If one interprets formally

$$\mathbf{j}(\mathbf{x}) = e \int_0^1 ds \delta(\mathbf{x} - \mathbf{r} - \lambda \xi(s)) \mathbf{v}(s), \quad \mathbf{v}(s) = \frac{d(\lambda \xi(s))}{ds} \quad (107)$$

as the current density carried by a wire at  $\mathbf{r}$ , one sees that (106) has (up to a factor) precisely the form of the classical magnetic energy of such a pair of current wires. A comparison of (100) and (104) shows that the ratio  $W_m/V_c \sim (\beta m c^2)^{-1}$  is a small quantity at high temperature so that purely electrostatic effects will be dominating.

Having now identified the basic effective pair interactions between the filaments, namely the electrostatic potential (100), the magnetic potential (104) and a possible non electric short range potential as in (43), it is possible to proceed as in the classical treatment of Section 3.2.1. Indeed if one considers the auxiliary system of filaments  $\mathbf{r}, \xi$  described in an enlarged phase space of classical-like particles equipped with an internal degree of freedom  $\xi$  and the above pair interactions, all concepts and methods of classical statistical mechanics apply. In particular we have the density  $\rho(\gamma, \mathbf{r}, \xi)$  of filaments of species  $\gamma$  and the filament-Ursell function  $h(\gamma, \mathbf{r}, \xi, \gamma', \mathbf{r}', \xi')$  which can be expanded in Mayer graphs. The Coulomb part (100) still decays as  $r^{-1}$  so that graphs still suffer from the long range divergences, and chain summation have first to be performed. There is an important observation to be made at this point: from the Feynman–Kac formula the potential (100) inherits the equal time constraint, *i.e.* every element of charge  $e_1 \lambda_1 d\xi_1(s_1)$  of

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<sup>9</sup> The product in (103) contains the magnetic self energies of the filaments.

the first filament does not interact with every element  $e_2\lambda_2 d\boldsymbol{\xi}_2(s_2)$  as would be the case in classical physics, but only if  $s_1 = s_2$ . It is therefore of interest to split the Coulomb potential into

$$V(i, j) = V_{\text{elec}}(i, j) + W_c(i, j), \quad (108)$$

where

$$V_{\text{elec}}(i, j) = \int_0^1 ds_1 \int_0^1 ds_2 \frac{1}{|\mathbf{r}_i + \lambda_{\gamma_i} \boldsymbol{\xi}_i(s_1) - \mathbf{r}_j - \lambda_{\gamma_j} \boldsymbol{\xi}_j(s_2)|} \quad (109)$$

is a genuine classical electrostatic potential between two charged wires and

$$W_c(i, j) = \int_0^1 ds_1 \int_0^1 ds_2 (\delta(s_1 - s_2) - 1) \frac{1}{|\mathbf{r}_i + \lambda_{\gamma_i} \boldsymbol{\xi}_i(s_1) - \mathbf{r}_j - \lambda_{\gamma_j} \boldsymbol{\xi}_j(s_2)|} \quad (110)$$

is the part of  $V(i, j)$  due to intrinsic quantum fluctuations ( $W_c(i, j)$  vanishes if  $\hbar$  is set equal to zero). Because of the identities

$$\int_0^1 ds_1 (\delta(s_1 - s_2)) - 1 = \int_0^1 ds_2 (\delta(s_1 - s_2)) - 1 = 0 \quad (111)$$

its large distance behaviour originates again from the term bilinear in  $\boldsymbol{\xi}_1$  and  $\boldsymbol{\xi}_2$  in the multipolar expansion of the Coulomb potential in (110)

$$\begin{aligned} W_c(\mathbf{r}_1, \boldsymbol{\xi}_1, \mathbf{r}_2, \boldsymbol{\xi}_2) &\sim \int_0^1 ds_1 \int_0^1 ds_2 (\delta(s_1 - s_2) - 1) \\ &\quad \times (\lambda_1 \boldsymbol{\xi}(s_1) \cdot \nabla_{\mathbf{r}_1}) (\lambda_2 \boldsymbol{\xi}(s_2) \cdot \nabla_{\mathbf{r}_2}) \frac{1}{|\mathbf{r}_1 - \mathbf{r}_2|}. \end{aligned} \quad (112)$$

It is dipolar and formally similar to that of two electrical dipoles of sizes  $e_1\lambda_1\boldsymbol{\xi}_1$  and  $e_2\lambda_2\boldsymbol{\xi}_2$ . The chain summation of the Coulombic part  $V_{\text{elec}}(i, j)$  will lead to a screened potential  $\Phi_{\text{elec}}(i, j)$ . Then, as in the classical case, one can introduce the Mayer diagrammatics in prototype graphs with bonds



$$F(i, j) = -\beta e_{\gamma_i} e_{\gamma_j} \Phi_{\text{elec}}(i, j), \quad (113)$$

$$F^{\text{R}}(i, j) = \exp[-\beta e_{\gamma_i} e_{\gamma_j} (\Phi_{\text{elec}}(i, j) + W_c(i, j) + W_m(i, j))] \\ - 1 + \beta e_{\gamma_i} e_{\gamma_j} \Phi_{\text{elec}}(i, j). \quad (114)$$

Weights at vertices are the filament densities  $\rho(\gamma, \mathbf{r}, \boldsymbol{\xi})$  and one has the excluded convolution rule for the bonds  $F(i, j)$ . This is similar to (60) and (61) with the additional occurrence in  $F^{\text{R}}(i, j)$  of the electric and magnetic multipolar interaction  $W_c$  and  $W_m$  due to intrinsic quantum fluctuations of the filaments.

The rest of the analysis is as follows. The potential  $\Phi_{\text{elec}}$  is a classical mean field potential for structured charges. Its large  $d$  contribution can be extracted exactly as in Sections 3.2.3 and 3.2.4 leading to the same universal result  $-\frac{\zeta(3)}{8\pi\beta d^3}$  as (84) (using that electroneutrality sum rules also hold in the system of filaments).

In contrast to the classical situation, at large distance the bond  $F^{\text{R}}$  has now a dipolar behaviour  $F^{\text{R}}(i, j) \sim -\beta e_{\gamma_i} e_{\gamma_j} (W_c(i, j) + W_m(i, j)) \sim |\mathbf{r}_i - \mathbf{r}_j|^{-3}$ . Concerning the large separation  $d$ , this bond (in the partial Fourier representation with  $\mathbf{k} = \mathbf{q}/d$ ) proves to decay as  $\mathcal{O}(1/d)$ , exactly like  $\Phi_{\text{elec}}(\mathbf{q}/d)$ . This is easily seen for  $W_c(\mathbf{q}/d)$ : using (65) with  $\mathbf{k} = \mathbf{q}/d$  and expanding for large  $d$ , terms of order  $\mathcal{O}(d)$  and  $\mathcal{O}(1)$  identically vanish because of (111). Basically the same holds for  $W_m(\mathbf{q}/d)$  except that the vanishing of these dominant terms follows from the vanishing of the line integral over a closed filament  $\int_0^1 d\boldsymbol{\xi}(s) = 0$ . Therefore, the dominant behaviour of the complete filament Ursell function  $h_{AB}(\gamma, x, \boldsymbol{\xi}, \gamma', x', \boldsymbol{\xi}', \frac{\mathbf{q}}{d})$  contains in addition to (85) (expressed with filament degrees of freedom) contributions built with single traversing links  $W_{c,AB}(1, 2)$  and  $W_{m,AB}(1, 2)$ . To point 1 in  $A$  one can attach all possible prototype graphs of system  $A$  and likewise to point 2 in system  $B$  resulting in the additional terms

$$\int d1 \int d2 [\rho_A^0(1) h_A^0(a, 1, \mathbf{k} = \mathbf{0}) + \delta(a, 1)] (-\beta e_{\gamma_1} e_{\gamma_2}) \\ \times (W_c + W_m)(1, 2, \frac{\mathbf{q}}{d}) [\rho_B^0(2) h_B^0(2, b, \mathbf{k} = \mathbf{0}) + \delta(2, b)] .$$

However, these new contributions have no effect at leading order  $\mathcal{O}(1/d^3)$  when integrated in the force because of the electroneutrality sum rule in the form (71).

The major difference with the term (85) containing the correlation functions  $G_A^0, G_B^0$  and leading to the asymptotic result (84) is that the latter

functions are constrained by the excluded convolution rule as they are attached to the traversing bond  $F_{AB}$ , and that they satisfy in turn the sum rule in the form (86), yielding universality (instead of the vanishing) of the  $\mathcal{O}(d^{-3})$  contribution.

### 3.3.3. Concluding remarks

We have shown that quantum corrections to the classical Casimir effect can conveniently be worked out from the electric potential  $W_e(i, j)$  (110) and magnetic potential  $W_m(i, j)$  (104) occurring naturally in the functional integral representation of the system. These potentials account for the random charge and current interactions generated by the quantum fluctuations. The size of these interactions at long distance are measured in terms of the thermal wave length  $\lambda$  of the particles. It is remarkable that the dominant  $d^{-3}$  term is exactly the universal classical one, still independent of the microscopic details of the conducting phase (interparticle distance, screening lengths, thermal de Broglie lengths). This is again a consequence of the electroneutrality sum rules extended to the quantum system. This calculation strongly confirms that (84) is the correct value of the Casimir force at high temperature. One must conclude that the discrepancy with (39) is not due to the omission of the transverse part of the electromagnetic interaction in the classical Coulombic models, but should be attributed to the very fact that fluctuations inside the conductors are ignored in the calculation leading to (39)<sup>10</sup>. In other words, the description of conductors by mere macroscopic boundary conditions is physically inappropriate whenever the effect of thermal fluctuations on the force are considered.

On the other hand, recent experiments validate the zero temperature formula (20). In [25] the authors find an experimental agreement with the value of Casimir force's strength  $\pi^2\hbar c/240$  to a 15% precision level. This indicates that fluctuations in conductors are drastically reduced as the temperature tends to zero and possibly have no more effect on the force at  $T = 0$ . In (96), quantum effects appear at the subdominant order  $d^{-4}$ . One may imagine the following scenario: as the temperature is reduced, the classical term ( $\sim T/d^{-3}$ ) decreases whereas the term  $R(\beta, \hbar, d) \sim d^{-4}$  approaches the Casimir vacuum value  $-\frac{\pi^2\hbar c}{240d^4}$  (20). Understanding the cross over from (96) to the zero temperature formula of Casimir is an open problem.

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<sup>10</sup> In fact it is well known that there are long range field correlations inside the conducting media when  $T \neq 0$ , see [33] for electrostatic potential fluctuations and [32] for transverse field fluctuations.

## 4. Dispersion forces

### 4.1. Van der Waals–London forces in vacuum

It was a great achievement of the early quantum mechanics to show that there is a general force of attraction between atoms or molecules even if neither has a permanent average dipole moment. The force comes from the intrinsic quantum fluctuations of the charges inside the atoms, distributed according to the wave function of the atomic states. For this reason such microscopic forces can also be considered to be of Casimir type. They are called dispersion forces because they involve the polarizability of the atoms which is also related to the refractive index of the medium. London's derivation is part of the standard education in quantum mechanics [34] and we just recall its principle.

For instance, for two hydrogen atoms in their ground state  $\psi_0^{(A)}$  and  $\psi_0^{(B)}$  with infinitely heavy nuclei located in  $\mathbf{r}_A$  and  $\mathbf{r}_B$  the mutual Coulomb interaction behaves as a dipolar potential at large atomic separation  $r = |\mathbf{r}| \rightarrow \infty$ ,  $\mathbf{r} = \mathbf{r}_A - \mathbf{r}_B$ ,

$$V^{\text{at-at}}(r) \sim \frac{D^{\text{at-at}}}{r^3}, \quad D^{\text{at-at}} = e^2 [\mathbf{y}^{(A)} \cdot \mathbf{y}^{(B)} - 3(\mathbf{y}^{(A)} \cdot \hat{\mathbf{r}})(\mathbf{y}^{(B)} \cdot \hat{\mathbf{r}})], \quad (115)$$

where  $\mathbf{y}^{(A)}$  and  $\mathbf{y}^{(B)}$  are the relative electronic coordinates and  $\hat{\mathbf{r}} = \mathbf{r}/r$ . The van der Waals potential  $u_W(r)$  obtained by treating  $V^{\text{at-at}}$  as a second-order perturbation reads

$$u_W(r) = -\frac{C_W}{r^6},$$

$$C_W = \sum_{(m,n) \neq (0,0)} \frac{|\langle \psi_0^{(A)} \otimes \psi_0^{(B)} | D^{\text{at-at}} | \psi_m^{(A)} \otimes \psi_n^{(B)} \rangle|^2}{E_m + E_n - 2E_0} > 0. \quad (116)$$

In (116)  $E_m$ ,  $m = 0, 1, \dots$ , are the eigen energies of the hydrogen atom (repeated according to their multiplicities) and the sum runs on all excited states  $\psi_m^{(A)} \otimes \psi_n^{(B)}$ ,  $(m, n) \neq (0, 0)$ , of the two atoms (the notation includes the integral on the continuous part of the spectrum).

It will be useful in the sequel to relate the van der Waals amplitude  $C_W$  to the polarizability  $\alpha(\omega)$  of individual atoms. The latter is defined as the linear response of the electric dipole  $e\mathbf{y}$  of the hydrogen atom in its ground state to an applied oscillating electric field  $\mathbf{E}_0 e^{i\omega t}$ :

$$\langle \psi_0(t) | e\mathbf{y} | \psi_0(t) \rangle \sim \alpha(\omega) \mathbf{E}_0 e^{i\omega t}, \quad \mathbf{E}_0 \rightarrow 0 \quad (117)$$

and has the value [35], Sec. 98, [36], Sec. 92

$$\alpha(\omega) = 2e^4 \sum_{n \neq 0} \frac{(E_n - E_0) |\langle \psi_0 | y_3 | \psi_n \rangle|^2}{(E_n - E_0)^2 - (\hbar\omega)^2}. \quad (118)$$

Taking the 3-axis along  $\hat{\mathbf{r}}$ , one has  $D^{\text{at-at}} = e^2(y_1^{(A)}y_1^{(B)} + y_2^{(A)}y_2^{(B)} - 2y_3^{(A)}y_3^{(B)})$ , explicitating the matrix elements in (116) and exploiting that  $\psi_0$  is spherically symmetric, one can write  $C_W$  in the form<sup>11</sup>

$$C_W = 6e^4 \sum_{m \neq 0, n \neq 0} \frac{|\langle \psi_0 | y_3^A | \psi_m \rangle|^2 |\langle \psi_0 | y_3^B | \psi_n \rangle|^2}{E_m + E_n - 2E_0}. \quad (119)$$

The connexion between (118) and (119) is provided by an application of the identity

$$\frac{1}{a+b} = \frac{2ab}{\pi} \int_0^\infty du \frac{1}{(a^2 + u^2)(b^2 + u^2)} \quad (120)$$

yielding the desired relation

$$C_W = \frac{3\hbar}{\pi} \int_0^\infty du \alpha_A(iu) \alpha_B(iu). \quad (121)$$

#### 4.2. Van der Waals–London forces at finite temperature

The above calculation disregards all many-body and temperature effects which are present when atoms are in a thermal equilibrium state at temperature  $T$  and density  $\rho$ . It is therefore of interest to study the effective atom–atom potential in a fluid with non vanishing  $T$  and  $\rho$ . Two questions arise: does this effective potential still decay as  $\frac{C}{r^6}$ , and if it is the case, what are the temperature and density corrections to van der Waals amplitude  $C_W$  (116) for an atom pair in empty space? The first question is in fact not trivial as illustrated by the following simple and apparently sensible reasoning which turns out to be incorrect. At non zero temperature the gas always contains a fraction of ionized free charges that will be the source of screening. As commonly done, one could take the screening effects due to these free charges in the medium into account by replacing the bare Coulomb potential between charges of different atoms by the screened potential obtained in the usual Debye–Hückel or RPA mean field theory. Since the latter decays exponentially at large distance, the effective attractive interaction between two

<sup>11</sup> Because of rotation invariance, (118) and (119) could be written in terms of any Cartesian component of  $\mathbf{y}$ .

atoms would also be exponential. This reasoning predicts that the  $1/r^6$  van der Waals forces should disappear as soon as there is a fraction of thermally ionized charges, a false conclusion. What really happens for the quantum gas becomes particularly clear when we use the Feynman–Kac path representation of the correlations presented in Section 3.3 (here we consider the pure electrostatic model without the photon field). In this formalism point particles appear as random charged filaments carrying multipole moments. Then the clue is given by the decomposition (108) of the Coulomb interaction between filaments into a classical part (109) and the proper quantum fluctuation part  $W_c$  (110) not reducible to any kind of classical behaviour. As (112) shows  $W_c$  decays as a dipolar interaction  $r^{-3}$  and is the source of an algebraic decay of the correlations between atoms. In fact the bulk particle correlations decay as  $r^{-6}$  (because of rotation invariance,  $W_c$  does not contribute and the dominant part of the decay at long distance is determined by  $W_c^2 \sim r^{-6}$ ) (see [37] and [18] for a review and references therein).

It is interesting to discuss the status of the van der Waals forces in the Saha regime when atoms and free ionized charges are in thermal equilibrium (equilibrium ionization phases). Such phases occur provided that the temperature is sufficiently low to prevent full ionization and the density is low enough to have non overlapping atomic wave functions. For instance in the partially recombined hydrogen plasma the densities of ionized electrons ( $e$ ) protons ( $p$ ) and the density of hydrogen atoms in their ground states ( $at$ ) are characterized by the expression that they would have for ideal gases

$$\rho_e^{\text{id}} = \rho_p^{\text{id}} = \frac{2}{(2\pi\lambda_e\lambda_p)^{3/2}} e^{\beta\mu} \equiv \rho_f^{\text{id}} \quad (122)$$

with  $\lambda_e$ ,  $\lambda_p$  the thermal wave lengths of the electron and of the proton. These densities have to be equal because of neutrality and are denoted  $\rho_f^{\text{id}}$ , the density of free charges. The ideal atomic density is

$$\rho_{\text{at}}^{\text{id}} = \frac{4}{(2\pi\lambda_{\text{at}}^2)^{3/2}} e^{-\beta(E_0-2\mu)} = [2\pi\lambda^2]^{3/2} [\rho_f^{\text{id}}]^2 e^{-\beta E_0} \quad (123)$$

with  $E_0 < 0$  the ground state energy of the hydrogen atom and  $\lambda$  the thermal wavelength associated with its reduced mass. The chemical potential  $\mu$  determines the total average particle number. If one sets

$$\mu = \mu(\beta) = E_0 + k_B T \ln w \quad (124)$$

with  $w$  a fixed parameter  $0 < w < \infty$ , one sees on (122)–(123) that the ideal densities of protons and electrons become of the same order as the atomic density. The system behaves as a mixture of protons, electrons and hydrogen atoms in their ground state. This describes the Saha regime of

equilibrium ionization, with  $w$  fixing the relative proportion of free charges and atoms,

$$\rho_{\text{at}}^{\text{id}} = w \left( \frac{m_e + m_p}{\sqrt{m_e m_p}} \right)^{3/2} \rho_e^{\text{id}}. \quad (125)$$

We report here without proofs the main result of the work [21]. We consider the proton–proton correlation  $\rho_{pp}^{(2)T}(r)$  at fixed temperature and total density  $\rho$  ( $r$  is the distance between the two protons). As said before *in the fluid phase* and for all positive values of  $T$  and  $\rho$ ,  $\rho_{pp}^{(2)T}(r)$  behaves asymptotically at large distance as [37]

$$\rho_{pp}^{(2)T}(r) \underset{r \rightarrow \infty}{\sim} -\beta^{-1} \frac{C(T, \rho)}{r^6}. \quad (126)$$

We show that in the ionization equilibrium regime the coefficient  $C(T, \rho)$  takes the form of a sum of three contributions

$$\begin{aligned} C(T, \rho) = & \left\{ \left[ \rho_f^{\text{id}} \right]^2 C^{\text{f-f}}(T) + \rho_f^{\text{id}} \rho_{\text{at}}^{\text{id}} C^{\text{f-at}}(T) + \left[ \rho_{\text{at}}^{\text{id}} \right]^2 C^{\text{at-at}}(T) \right\} \\ & \times \left( 1 + \mathcal{O}(e^{-c/k_B T}) \right) \end{aligned} \quad (127)$$

giving rise to three large-distance effective potentials

$$u^{\text{f-f}}(r) = -\frac{C^{\text{f-f}}}{r^6}, \quad u^{\text{f-at}}(r) = -\frac{C^{\text{f-at}}}{r^6}, \quad u^{\text{at-at}}(r) = -\frac{C^{\text{at-at}}}{r^6}. \quad (128)$$

The three terms reflect the fact that, in the limit, a proton can be thought of as either being free or belonging to a hydrogen atom. At lowest order in density the coefficient is quadratic in the ideal free and atomic densities up to exponentially small terms  $\mathcal{O}(\exp(-c/k_B T))$ ,  $c > 0$ , that include all higher-density effects. The factors  $C^{\text{f-f}}(T)$ ,  $C^{\text{f-at}}(T)$  and  $C^{\text{at-at}}(T)$  represent the effective interaction strengths between two free protons, a free proton and an atom, and two atoms. They are still temperature dependent and have the asymptotic values as  $T \rightarrow 0$

$$C^{\text{f-f}}(T) = \frac{\hbar^4 e^4}{960 (k_B T)^3} \left( \frac{1}{m_e} + \frac{1}{m_p} \right)^2 \left[ 1 + \mathcal{O} \left( \exp \left( -\frac{\delta}{k_B T} \right) \right) \right], \quad (129)$$

$$\begin{aligned} C^{\text{f-at}}(T) = & \frac{\hbar^2}{12 k_B T} \left( \frac{1}{m_p} + \frac{1}{m_e} \right) \sum_{m \neq 0} \sum_{\mu=1}^3 \left| \langle \psi_m | D_{\mu}^{\text{f-at}} | \psi_0 \rangle \right|^2 \\ & \times \left[ \frac{1}{E_m - E_0} - \frac{6 k_B T}{(E_m - E_0)^2} + \frac{12 (k_B T)^2}{(E_m - E_0)^3} + \mathcal{O} \left( \exp \left( -\frac{\delta}{k_B T} \right) \right) \right], \end{aligned} \quad (130)$$

$$C^{\text{at-at}}(T) = \sum_{m \neq 0, n \neq 0} |\langle \psi_0^{(a)} \otimes \psi_0^{(b)} | D^{\text{at-at}} | \psi_m^{(a)} \otimes \psi_n^{(b)} \rangle|^2 \\ \times \left[ \frac{1}{E_m + E_n - 2E_0} - \frac{2k_B T}{(E_m - E_0)(E_n - E_0)} + \mathcal{O} \left( \exp \left( -\frac{\delta}{k_B T} \right) \right) \right]. \quad (131)$$

The results are the same for the electron-proton or electron-electron correlations: in the atomic limit  $\rho_{pe}^{(2)T}(r)$  and  $\rho_{ee}^{(2)T}(r)$  behave as  $\rho_{pp}^{(2)T}(r)$ , with the same amplitude  $C(T, \rho)$ . The temperature power-law corrections in (130) and (131) come from partial screening due to the presence of free electrons and protons. The exponentially decaying terms  $\mathcal{O}(\exp(-\delta/k_B T))$  include the contributions of the excited states with  $0 < \delta < E_1 - E_0$ .

The first noticeable point is that there is a van der Waals type effective potential  $u^{\text{f-f}}(r)$  between unbound charges: quantum screening reduces the bare Coulomb potential  $r^{-1}$  to  $r^{-6}$  but not further because quantum charges together with their screening clouds behave as fluctuating dipoles.  $u^{\text{f-f}}(r)$  has the remarkable property to be independent of the charge species (proton or electron). Thus it is attractive irrespective of the charge signs.

The potential  $u^{\text{f-at}}(r)$  with coefficient (130) results from the coupling between free-charge and atomic-dipole fluctuations. The quantity  $D_\mu^{\text{f-at}}$  refers to the interaction between the atomic dipole  $e\mathbf{y}$  at the mass-center position  $\mathbf{r}_b$  with a reference dipole  $e\hat{\mathbf{u}}_\mu$  located at  $\mathbf{r}_a$ . It is defined as in (115) by

$$D_\mu^{\text{f-at}} = e^2 [\hat{\mathbf{u}}_\mu^{(a)} \cdot \mathbf{y}^{(b)} - 3(\hat{\mathbf{u}}_\mu^{(a)} \cdot \hat{\mathbf{r}})(\mathbf{y}^{(b)} \cdot \hat{\mathbf{r}})], \quad (132)$$

where for  $\mu = 1, 2, 3$   $\hat{\mathbf{u}}_\mu$  is a unit vector along the  $\mu$ -axis. In vacuum the charge dipole potential decays as  $r^{-4}$ . More precisely if a charge  $e$  is placed at large distance from an hydrogen atom in its ground state in vacuum (Stark effect due to a localized charge) one finds

$$U^{\text{f-at}}(r) \sim -\frac{C_0}{r^4}, \quad C_0 = \sum_{m \neq 0} \frac{|\langle \psi_m | D_0^{\text{f-at}} | \psi_0 \rangle|^2}{E_m - E_0} > 0. \quad (133)$$

In the medium the decay changes from  $r^{-4}$  to  $r^{-6}$ , becoming again of dipolar type. Finally the traditional van der Waals contribution (131) reduces to its vacuum value  $C_W$  as  $T \rightarrow 0$ .

Concerning the temperature dependence of the various amplitudes, a comparison with the van der Waals coefficient  $C_W = \mathcal{O}(1)$  gives

$$C^{\text{f-f}}(T) \sim \left( \frac{|E_0|}{k_B T} \right)^3 C_W, \quad C^{\text{f-at}}(T) \sim \frac{|E_0|}{k_B T} C_W. \quad (134)$$

The larger size of the coefficients involving free charges has to be traced back to the larger size of their dipole fluctuations (of the order of  $\lambda^2 \sim T^{-1}$  compared to atomic ones proportional to the square Bohr radius  $\sim a_B^2$ ). As a consequence, in the ionization equilibrium phase where  $\rho_f^{\text{id}}$  and  $\rho_{\text{at}}^{\text{id}}$  are of the same order, the large-distance behaviour of the particle–particle correlation is dominated by the effective interaction between free charges. In the purely atomic phase ( $\rho_f^{\text{id}}$  exponentially smaller than  $\rho_{\text{at}}^{\text{id}}$ ), standard van der Waals forces are the only relevant interactions.

We emphasize that these results are exact: they are derived in [21] by means of a renormalized virial expansion for the quantum Coulomb gas taking into account all the different effects stemming from the Coulomb potential at various scales (atomic binding, collective screening, polarization) in a systematic and coherent way.

#### 4.3. Retarded van der Waals forces in vacuum

In the London calculation of van der Waals forces only the electrostatic part of the interaction (the Coulomb potential) has been taken into account. In fact one has to put at work the full electromagnetic interaction including the retardation effect due to the finiteness of the velocity of light. For this one has to add the transverse part of the field by writing the coupling of a charge to the vector potential as usual

$$\frac{1}{2m} (\mathbf{p} - \frac{e}{c} \mathbf{A}(\mathbf{r}))^2 = \frac{|\mathbf{p}|^2}{2m} + \left[ -\frac{e}{mc} \mathbf{p} \cdot \mathbf{A}(\mathbf{r}) + \frac{e^2}{2mc^2} |\mathbf{A}(\mathbf{r})|^2 \right]. \quad (135)$$

Casimir and Polder [1] treated the second term of the r.h.s. by the standard methods of perturbation theory, and were obliged to go up to the fourth order since the result is expected to be proportional to the fourth power  $e^4$  of the electronic charge. In terms of elementary processes it corresponds to Feynman diagrams where two photons are exchanged between the two atomic electrons. In order to interact with the field and return to its ground state each electron must necessarily emit or absorb two photons. After an elaborate calculation, Casimir and Polder found

$$V(r) \sim -\alpha_A \alpha_B \frac{23\hbar c}{4\pi r^7}, \quad (136)$$

where  $\alpha_A, \alpha_B$  are the static polarizabilities of the atoms (each of them proportional to  $e^2$ ).

Following [4], Sec. 3.11, we shall present another derivation, inspired from Casimir's second paper on the subject [3] which gives more physical insight. Here van der Waals forces at zero temperature are also seen as arising from the vacuum fluctuations of the field. The model is as follows:



- One treats the atom or colloidal particle at  $\mathbf{r}_A$  as globally characterized by a classical electric dipole  $\boldsymbol{\nu}_A(t) = \hat{\boldsymbol{\nu}}_A \nu_A(t)$  of orientation  $\hat{\boldsymbol{\nu}}_A$ ,  $|\hat{\boldsymbol{\nu}}_A| = 1$ , size  $\nu_A(t)$ , and by a frequency dependent electric susceptibility  $\alpha_A(\omega)$ . The second atom at  $\mathbf{r}_B$  is described likewise (the microscopic electronic structure of these particles are not spelled out).
- These dipoles are fluctuating. They are induced as linear response of the atoms to the vacuum fluctuations of a free quantum electromagnetic field.
- Each dipole acts as a source of a radiation field. The total field is considered to be the sum of a free quantum field (vacuum field) and the field due to the sources.
- The energy of the two atoms at distance  $r = |\mathbf{r}_A - \mathbf{r}_B|$  is calculated as the energy of the second dipole  $\boldsymbol{\nu}_B$  at  $\mathbf{r}_B$  in the field due to the first dipole  $\boldsymbol{\nu}_A$  at  $\mathbf{r}_A$  plus the vacuum field.

The free electric field with periodic boundary conditions is obtained from (88)

$$\begin{aligned} \mathbf{E}_0(\mathbf{r}, t) &= -\frac{1}{c} \frac{\partial}{\partial t} \mathbf{A}_0(\mathbf{r}, t) = \sum_{\mathbf{k}, \lambda} [\mathbf{E}_{0, \mathbf{k}, \lambda}^+(\mathbf{r}) e^{i\omega_{\mathbf{k}} t} + \mathbf{E}_{0, \mathbf{k}, \lambda}^-(\mathbf{r}) e^{-i\omega_{\mathbf{k}} t}], \\ \mathbf{E}_{0, \mathbf{k}, \lambda}^+(\mathbf{r}, t) &= -i \left( \frac{2\pi\hbar\omega_{\mathbf{k}}}{R^3} a_{\mathbf{k}, \lambda}^*(\mathbf{r}, t) \mathbf{e}_{\mathbf{k}}(\lambda) e^{-i\mathbf{k} \cdot \mathbf{r}} \right) = (\mathbf{E}_{0, \mathbf{k}, \lambda}^-(\mathbf{r}, t))^*, \end{aligned} \quad (137)$$

where  $\mathbf{E}^+$  ( $\mathbf{E}^-$ ) denotes the positive (negative) frequency amplitudes of the field. The induced dipole of atom at  $\mathbf{r}_A$  by the  $\mathbf{k}$  mode of this field at linear order is

$$\begin{aligned} \boldsymbol{\nu}_{A, \mathbf{k}, \lambda}(t) &= \boldsymbol{\nu}_{A, \mathbf{k}, \lambda}^+ e^{i\omega_{\mathbf{k}} t} + \boldsymbol{\nu}_{A, \mathbf{k}, \lambda}^- e^{-i\omega_{\mathbf{k}} t}, \\ \boldsymbol{\nu}_{A, \mathbf{k}, \lambda}^+ &= \alpha_A(\omega_{\mathbf{k}}) \mathbf{E}_{0, \mathbf{k}, \lambda}^+(\mathbf{r}_A) = (\boldsymbol{\nu}_{A, \mathbf{k}, \lambda}^-)^* \end{aligned} \quad (138)$$

so that  $\boldsymbol{\nu}_{A, \mathbf{k}, \lambda}^+ e^{i\omega_{\mathbf{k}} t}$  represents an oscillating dipole of frequency  $\omega_{\mathbf{k}}$ , orientation  $\hat{\boldsymbol{\nu}}_A = \mathbf{e}_{\mathbf{k}}(\lambda)$  and strength  $\nu_{A, \mathbf{k}, \lambda}^+ = \alpha_A(\omega_{\mathbf{k}}) E_{0, \mathbf{k}, \lambda}^+(\mathbf{r}_A)$ .

At this point we can recall the classical formula for the positive frequency amplitude of the electric field at  $\mathbf{r}$  radiated by an oscillating dipole  $\boldsymbol{\nu}(t)$  located at the origin, [38], Sec. 9.2,

$$\begin{aligned} \mathbf{E}(\mathbf{r}) &= \mathbf{E}^{\text{far}}(\mathbf{r}) + \mathbf{E}^{\text{near}}(\mathbf{r}), \quad r = |\mathbf{r}|, \\ \mathbf{E}_{\mathbf{k}, \lambda}^{\text{far}}(\mathbf{r}) &= -[(\hat{\mathbf{r}} \wedge \boldsymbol{\nu}) \wedge \hat{\mathbf{r}}] k^3 \frac{e^{-ikr}}{r}, \\ \mathbf{E}_{\mathbf{k}, \lambda}^{\text{near}}(\mathbf{r}) &= [3(\boldsymbol{\nu} \cdot \hat{\mathbf{r}}) \hat{\mathbf{r}} - \boldsymbol{\nu}] k^3 \left( \frac{1}{(kr)^3} + \frac{i}{(kr)^2} \right) e^{-ikr}. \end{aligned} \quad (139)$$

This formula is valid when the typical wave length  $\lambda = c/2\pi\omega$  of the radiated wave is much larger than the atom size<sup>12</sup>. For  $r \rightarrow \infty$ ,  $\mathbf{E}^{\text{far}}$  correspond to a spherical outgoing wave whereas for  $r$  small  $\mathbf{E}^{\text{near}}$  reduces to the electrostatic dipolar field.

Calling  $\mathbf{E}_A(\mathbf{r})$  the field (139) due to the dipole (138) located at  $\mathbf{r}_A$ , the total field at  $\mathbf{r}$  is then

$$\mathbf{E}(\mathbf{r}) = \mathbf{E}_0(\mathbf{r}) + \mathbf{E}_A(\mathbf{r}). \quad (140)$$

This field in turn induces a dipole

$$\boldsymbol{\nu}_{B,\mathbf{k},\lambda}^+ = \alpha_B(\omega_{\mathbf{k}}) \mathbf{E}_{\mathbf{k},\lambda}^+(\mathbf{r}_B) \quad (141)$$

on atom  $B$  at  $\mathbf{r}_B$ . The energy of the dipole pair is obtained in bringing the second atom  $B$  from infinity to  $\mathbf{r}_B$  in the field (140), keeping the first atom fixed at  $\mathbf{r}_A$ . This energy is  $-\frac{1}{2}\boldsymbol{\nu}_B \cdot \mathbf{E}(\mathbf{r}_B)$ ,  $r = |\mathbf{r}_A - \mathbf{r}_B|$ , see [38], Sec. 4.7<sup>13</sup>, so that with (141) the contribution to the energy of the  $\mathbf{k}, \lambda$  mode is  $-\frac{1}{2}\alpha_B(\omega_{\mathbf{k}})|\mathbf{E}_{\mathbf{k},\lambda}(\mathbf{r}_B)|^2$ . Finally, to obtain the total average interaction energy  $V(r)$ , we have to sum over all modes and take the mean value in the vacuum state  $|0\rangle$  of the photon field

$$V(r) = -\frac{1}{2} \sum_{\mathbf{k},\lambda} \alpha_B(\omega_{\mathbf{k}}) \langle 0 | |\mathbf{E}_{\mathbf{k},\lambda}(\mathbf{r}_B)|^2 | 0 \rangle. \quad (142)$$

Since the vacuum  $|0\rangle$  is invariant under the free field time evolution,  $V(r)$  is time independent. In fact, we have already set  $t = 0$  along its derivation.

Keeping only the terms that are bilinear in the polarizabilities gives<sup>14</sup>,

$$V(r) = -\frac{1}{2} \sum_{\mathbf{k},\lambda} \alpha_B(\omega_{\mathbf{k}}) \langle 0 | [\mathbf{E}_0(\mathbf{r}_B) \cdot \mathbf{E}_A(\mathbf{r}_B) + \mathbf{E}_A(\mathbf{r}_B) \cdot \mathbf{E}_0(\mathbf{r}_B)] | 0 \rangle. \quad (143)$$

From now on to find the  $r$  dependence of  $V(r)$  is a matter of calculation (see [4]). The explicit formulae for the fields are introduced from (137), (138) and (139). Since the expression to be averaged is quadratic in the photon creation and annihilation operators, the vacuum expectation is easily

<sup>12</sup> In our situation, the dipole is an operator proportional to  $a_{\mathbf{k},\lambda}^*$ . Since the Maxwell equations governing the quantum field (in the Heisenberg picture) depend linearly on the amplitude of the source, the solution for the radiated field is the same as in the classical case.

<sup>13</sup> The factor 1/2 is due to the fact that the dipole  $\boldsymbol{\nu}_B$  is induced and not permanent.

<sup>14</sup> To keep the term  $|\mathbf{E}_A(\mathbf{r}_B)|^2$  quadratic in  $\alpha_A(\omega_{\mathbf{k}})$  would not be consistent with the linear response assumption.

computed from  $\langle 0 | a_{\mathbf{k},\lambda} a_{\mathbf{k},\lambda}^* | 0 \rangle = 1$ . One finds in the infinite volume limit and performing the polarization sums

$$V(r) = -\frac{\hbar}{4\pi^2} \text{Re} \left\{ \int_0^\infty dk k^5 \omega_{\mathbf{k}} \alpha_A(\omega_{\mathbf{k}}) \alpha_B(\omega_{\mathbf{k}}) e^{-ikr} \int d\Omega_{\hat{\mathbf{k}}} e^{i\mathbf{k} \cdot \mathbf{r}} \right. \\ \left. \times \left[ 1 + (\hat{\mathbf{k}} \cdot \hat{\mathbf{r}})^2 \right] \frac{1}{kr} + \left[ 1 - 3(\hat{\mathbf{k}} \cdot \hat{\mathbf{r}})^2 \right] \left( \frac{1}{(kr)^3} + \frac{i}{(kr)^2} \right) \right\}. \quad (144)$$

The angular integration can be performed with the final result ( $\omega_{\mathbf{k}} = ck$ )

$$V(r) = -\frac{\hbar}{\pi c^6} \text{Re} \left\{ \int_0^\infty d\omega \omega^6 \alpha_A(\omega) \alpha_B(\omega) D\left(\frac{\omega r}{c}\right) \right\}, \\ D(x) = e^{2ix} \left( -\frac{i}{x^2} + \frac{2}{x^3} + \frac{5i}{x^4} - \frac{6}{x^5} - \frac{3i}{x^6} \right). \quad (145)$$

In order to find the asymptotic behaviour of  $V(r)$  as  $r \rightarrow \infty$  one needs to specify the  $\omega$  dependence of the polarizability. To keep the discussion simple consider a single term of the expression (118)

$$\alpha(\omega) \sim 2e^4 \frac{(E_n - E_0) |\langle \psi_0 | y_3 | \psi_n \rangle|^2}{(E_n - E_0)^2 - (\hbar\omega)^2} \quad (146)$$

assuming that a particular atomic transition between the two states  $0, n$  gives a dominant contribution to the polarizability. One notes that  $\alpha(\omega)$  has no poles in the complex  $\omega$  plane and its value is real on the imaginary axis  $\omega = iu$ . Then by a  $\pi/2$  rotation of the integration line  $(0, \infty)$  we can carry the integration in (145) along the imaginary axis  $\omega = iu$  giving<sup>15</sup>

$$V(r) = \frac{\hbar}{\pi c^6} \text{Re} \left\{ i \int_0^\infty du u^6 \alpha_A(iu) \alpha_B(iu) D\left(\frac{iur}{c}\right) \right\}. \quad (147)$$

#### • Long distance

Since the function  $D\left(\frac{iur}{c}\right)$  has a factor  $\exp(-\frac{ur}{c})$  it is clear that only the static susceptibilities  $\alpha = \alpha(0)$  will contribute as soon as  $r \gg \hbar c / |E_m - E_0|$ . Indeed changing the variable  $\frac{ur}{c} = v$  one has

$$V(r) \sim \frac{\hbar c}{\pi r^7} \alpha_A \alpha_B \text{Re} \left\{ i \int_0^\infty dv v^6 D(iv) \right\} = -\frac{23}{4\pi} \frac{\hbar c}{r^7} \alpha_A \alpha_B, \quad r \rightarrow \infty \quad (148)$$

which is the result of the Casimir and Polder calculation.

<sup>15</sup> The large quarter of circle closing the positive quadrant does not contribute.

• **Short distance**

For small  $r$  the dominant contribution comes from the  $x^{-6}$  term in (145). This gives in (147)

$$\begin{aligned} V(r) &\sim -\frac{3\hbar}{\pi r^6} \int_0^\infty du \alpha_A(iu) \alpha_B(iu) e^{-\frac{2ur}{c}} \\ &\sim -\frac{3\hbar}{\pi r^6} \int_0^\infty du \alpha_A(iu) \alpha_B(iu) . \end{aligned} \quad (149)$$

The last line holds when  $r \ll \hbar c/|E_m - E_0|$ . This is London's result (121): it can be formally obtained from (147) by taking the static limit  $c \rightarrow \infty$  where only the contribution of the near field term in (139) survives.

#### 4.4. Forces between dielectric bodies

This topic is the subject of a very large literature and we will only summarize some main lines of the theory.

##### 4.4.1. Dilute dielectric bodies at zero temperature

One can easily obtain an information on the type of interaction between two dielectric slabs containing polarizable atoms at very low density. If correlations between atoms are ignored one can simply sum all the van der Waals potentials between atoms pairs. Let  $\rho_A, \rho_B$  be the atom densities in the two slabs and for sake of generality, assume that the pair potential behaves as  $-\frac{B}{r^\eta}$  at large distance for some  $\eta > 4$ ,  $B > 0$ . Then the total potential energy per unit surface is

$$\begin{aligned} u(d) &\sim -\lim_{L \rightarrow \infty} \frac{B\rho_A\rho_B}{L^2} \int_{\Lambda_A} d\mathbf{r} \int_{\Lambda_B} d\mathbf{r}' \frac{1}{|\mathbf{r} - \mathbf{r}'|^\eta} \\ &= -B\rho_A\rho_B \int_{-\infty}^0 dx \int_d^\infty dx' \int d\mathbf{y} \frac{1}{((x-x')^2 + y^2)^{\eta/2}} \\ &= -B\rho_A\rho_B \frac{2\pi}{\eta-2} \int_{-\infty}^0 dx \int_d^\infty dx' \frac{1}{|x-x'|^{\eta-2}} \\ &= -\frac{2\pi B\rho_A\rho_B}{(\eta-2)(\eta-3)(\eta-4)} \frac{1}{d^{\eta-4}} . \end{aligned} \quad (150)$$

The force is  $f(d) = -\frac{\partial u(d)}{\partial d}$  and we find from (116) the short distance (non retarded) behaviour ( $\eta = 6$ )

$$f(d) \sim -\frac{\pi C_W \rho_A \rho_B}{6} \frac{1}{d^3} \quad (151)$$

and from (148) the long distance (retarded) behaviour ( $\eta = 7$ )

$$f(d) \sim -\frac{23\hbar c \rho_A \alpha_A \rho_B \alpha_B}{40d^4}. \quad (152)$$

Whereas the powers of decay laws are correct, it was experimentally recognized in the early 1950s that the amplitudes found from (151) and (152) were not. This is not astonishing since this calculation is performed at lowest order in the atomic densities disregarding all correlations between atoms. This motivated Lifshitz in 1956, [39] and [40] Sec. 90 (and many authors after him) to develop a theory taking into account the physical properties of the dielectric by means of its frequency dependent dielectric function  $\epsilon(\mathbf{k}, \omega)$ . This theory is not fully microscopic in the sense that the effects of the microscopic degrees of freedom inside the dielectric are embodied in the dielectric function but otherwise not explicitly described, and the Maxwell fields are subjected to the macroscopic boundary conditions at the surfaces of the dielectrics. Some insights on this theory and related approaches are given in the Section 4.5.2. Let us just quote here the specification of the Lifshitz formula to the short distance case corresponding to (151) <sup>16</sup>

$$f(d) \sim -\frac{\hbar}{16\pi^2 d^3} \int_0^\infty ds \int_0^\infty du \frac{s^2}{\Delta_A(iu) \Delta_B(iu) e^s - 1}$$

$$\Delta_A(\omega) = \frac{\epsilon_A(\omega) + 1}{\epsilon_A(\omega) - 1}, \quad \Delta_B(\omega) = \frac{\epsilon_B(\omega) + 1}{\epsilon_B(\omega) - 1}. \quad (153)$$

Higher order density contributions are now included in the dielectric functions. It is interesting to recover (151) by expanding the dielectric function to the lowest order in density

$$\epsilon(\omega) \sim 1 + 4\pi\rho\alpha(\omega), \quad \rho \rightarrow 0, \quad (154)$$

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<sup>16</sup> Here the dielectric functions  $\epsilon(\mathbf{k}, \omega) = \epsilon(\omega)$  are assumed to be independent of  $\mathbf{k}$  and magnetic polarization effects are not considered.

where  $\alpha(\omega)$  is the electric susceptibility. Then  $\Delta^{-1}(\omega) \sim 2\pi\rho\alpha(\omega)$  and

$$\begin{aligned} f(d) &\sim -\frac{\hbar\rho_A\rho_B}{4d^3} \int_0^\infty ds s^2 e^{-s} \int_0^\infty du \alpha_A(iu)\alpha_B(iu) \\ &= -\frac{\hbar\rho_A\rho_B}{2d^3} \int_0^\infty du \alpha_A(iu)\alpha_B(iu). \end{aligned} \quad (155)$$

This is precisely the expression (151) obtained in the elementary additive theory. Similarly, taking the appropriate form of Lifshitz formula for long distance one can show that it reduces to (152) at low density, as it should.

It is remarkable that by the observation of the force (155) at the macroscopic level one can infer in principle by comparison to (151) the exact form of the microscopic van der Waals potential  $-C_W r^{-6}$  for a single atom pair.

#### 4.4.2. Dielectric bodies at non zero temperature

The Lifshitz theory can be worked out at finite temperature. In the high temperature-long distance regime characterized by  $\alpha \rightarrow 0$  (21) one finds

$$\begin{aligned} f(d) &\sim -\frac{1}{16\pi\beta d^3} \int_0^\infty ds \frac{s^2}{\Delta_A \Delta_B e^s - 1} \\ &= -\frac{1}{8\pi d^3} \sum_{n=1}^\infty \frac{(\Delta_A \Delta_B)^n}{n^3}, \\ \Delta_A &= \frac{\epsilon_A + 1}{\epsilon_A - 1}, \quad \Delta_B = \frac{\epsilon_B + 1}{\epsilon_B - 1}, \end{aligned} \quad (156)$$

where now  $\epsilon_A = \epsilon_A(\omega = 0)$ ,  $\epsilon_B = \epsilon_B(\omega = 0)$  are the static dielectric constants. In the perfect conductor limit of electrostatics  $\epsilon_A, \epsilon_B \rightarrow \infty$ ,  $\Delta_A = \Delta_B = 1$  one recovers from (156) the classical Casimir effect  $f(d) \sim -\frac{\zeta(3)}{8\pi\beta d^3}$  derived in Section 3 on a microscopic basis. We emphasize that Lifshitz has obtained the formula (156) by performing the large  $T$  asymptotics first, keeping the dielectric functions finite. The perfect conductor limit  $\epsilon \rightarrow \infty$  is taken in a second step. Schwinger *et al.* [41] have proposed to take the limits in the reverse order, yielding the twice larger high-temperature force formula of Casimir (39). In view of our result of the microscopic analysis of Section 3, we see now that the Lifshitz procedure is the correct one to recover the high-temperature regime for conductors.

## 4.5. Theories

All the theories are based on macroscopic Maxwell equations for the quantized electromagnetic fields  $\mathbf{E}, \mathbf{D}, \mathbf{B}, \mathbf{H}$  in a dielectric medium. The system carries no net charge density and magnetic properties are ignored, so  $\mathbf{H} = \mathbf{B}$ . For oscillating fields  $\mathbf{E}(\mathbf{x}, t) = \mathbf{E}(\mathbf{x}, \omega)e^{-i\omega t}$ ,  $\mathbf{B}(\mathbf{x}, t) = \mathbf{B}(\mathbf{x}, \omega)e^{-i\omega t}$ ,  $\mathbf{D}(\mathbf{x}, t) = \mathbf{D}(\mathbf{x}, \omega)e^{-i\omega t}$ , the equations for the frequency dependent amplitudes are

$$\nabla \cdot \mathbf{D} = 0, \quad \nabla \wedge \mathbf{E} = i\frac{\omega}{c}\mathbf{B}, \quad (157)$$

$$\nabla \cdot \mathbf{B} = 0, \quad \nabla \wedge \mathbf{B} = -i\frac{\omega}{c}\mathbf{D}. \quad (158)$$

One assumes that  $\mathbf{D}$  is related to  $\mathbf{E}$  by the linear and local relation

$$\mathbf{D}(\mathbf{x}, \omega) = \epsilon(\mathbf{x}, \omega)\mathbf{E}(\mathbf{x}, \omega). \quad (159)$$

The dielectric function  $\epsilon(\mathbf{x}, \omega)$  is piecewise constant in the bodies. From (157), (158) and (159) results the Helmholtz equation

$$\nabla^2 \mathbf{E}(\mathbf{x}, \omega) = -\frac{\omega^2}{c^2}\epsilon(\omega)\mathbf{E}(\mathbf{x}, \omega), \quad \nabla \cdot \mathbf{E}(\mathbf{x}, \omega) = 0 \quad (160)$$

valid in each dielectric domain characterized by the appropriate function  $\epsilon(\omega)$ . The eigenmodes are determined by solving (160) under the usual boundary conditions for dielectrics:

$$\epsilon_i(\omega)\mathbf{E}_{i,\text{norm}}(\omega) = \epsilon_j(\omega)\mathbf{E}_{j,\text{norm}}(\omega) \quad (161)$$

for the normal component of the electric field at the interface between the domains  $i$  and  $j$  and all the other field components are continuous.

## 4.5.1. Zero point energy method (Casimir's method)

This approach is the simplest and follows Casimir's original idea that the force is generated by the modification of the zero point energy due to the presence of the dielectric bodies; it is presented in [4], Sec. 7.2, and in [10]. Here  $\epsilon(\omega)$  is assumed to be real which amounts to neglect the absorption of electromagnetic energy in the media. The new eigen frequencies are obtained from the zeros of the secular equation, say  $R(\omega) = 0$ , determined by the Helmholtz eigenvalue problem (160), (161); they lie on the positive real axis of the complex  $\omega$ -plane. They are generically noted  $\{\omega_n\}_n$  and repeated as many times as required by their multiplicity. For two slabs at distance  $d$ ,

the eigenfrequencies  $\omega_n = \omega_n(d)$  depend on  $d$  and on the dielectric functions  $\epsilon_A(\omega)$ ,  $\epsilon_B(\omega)$ . By the residu theorem the total energy can be written as

$$E(d) = \sum_n \frac{1}{2} \hbar \omega_n(d) = \frac{1}{2i\pi} \int_{\mathcal{C}} d\omega \left[ \frac{1}{2} \hbar \omega \right] \frac{d}{d\omega} \ln R(\omega), \quad (162)$$

where  $\mathcal{C}$  is the contour consisting of the imaginary axis of the complex  $\omega$ -plane closed by a large semicircle in the right half plane enclosing the eigenvalue. Then the force is defined by  $F(d) = -\frac{\partial}{\partial d} E(d)$ . Of course the non trivial calculation (which we do not present here) is that of  $R(\omega)$  for given geometries and dielectric functions, as well as the discussion of various points concerning the convergence of integrals. For the slab geometry this leads to the same general formula as that of Lifshitz. Some special cases of it have been given above.

When the temperature is different from zero, one introduces the free energy formula for each oscillating mode as in (22) (including the zero point energy)

$$f(\omega) = \frac{1}{2} \hbar \omega - \beta^{-1} \ln(1 - e^{-\beta \hbar \omega}) = \beta^{-1} \left[ 2 \sinh \left( \beta \frac{\hbar}{\omega/2} \right) \right] \quad (163)$$

and the total free energy  $\Phi(d)$  is given by (162) with  $[\frac{1}{2} \hbar \omega]$  replaced by  $f(\omega)$ . Notice that the calculation of the frequency spectrum is entirely classical and Planck's constant is introduced through (162) (apart from a dependence that can occur in an explicit expression for the dielectric functions  $\epsilon(\omega)$ ).

#### 4.5.2. Fluctuating fields (Lifshitz method)

The Lifshitz theory [39, 40] is formulated in the framework of stochastic electromagnetic fields. In the basic relation  $\mathbf{D}(\mathbf{x}, \omega) = \mathbf{E}(\mathbf{x}, \omega) + 4\pi \mathbf{P}(\mathbf{x}, \omega)$ , the polarization of matter  $\mathbf{P}(\mathbf{x}, \omega) = \overline{\mathbf{P}}(\mathbf{x}, \omega) + \frac{\mathbf{K}(\mathbf{x}, \omega)}{4\pi}$  is supposed to be the sum of two contributions, a deterministic part  $\overline{\mathbf{P}}$  and a random part  $\frac{\mathbf{K}}{4\pi}$  which has zero average:  $\overline{\frac{\mathbf{K}}{4\pi}} = 0$ . The random part embodies all the quantum fluctuations effects arising from matter and fields. The relation (159) still holds for the averaged field

$$\overline{\mathbf{D}}(\mathbf{x}, \omega) = \epsilon(\mathbf{x}, \omega) \overline{\mathbf{E}}(\mathbf{x}, \omega), \quad (164)$$

where the dielectric function is the same as before, so that for the fluctuating field (159) is replaced by

$$\mathbf{D}(\mathbf{x}, \omega) = \epsilon(\mathbf{x}, \omega) \mathbf{E}(\mathbf{x}, \omega) + \mathbf{K}(\mathbf{x}, \omega). \quad (165)$$



Then the macroscopic Maxwell equations in the form (157), (158) are supposed to hold only for the average fields  $\overline{\mathbf{E}}$  and  $\overline{\mathbf{B}}$ . Taking into account the random polarization in (165), the actual random electric field generated from  $\mathbf{K}$  obeys the equations with source

$$\begin{aligned}\nabla \wedge \mathbf{E}(\mathbf{x}, \omega) &= i \frac{\omega}{c} \mathbf{B}(\mathbf{x}, \omega), \\ \nabla \wedge \mathbf{B}(\mathbf{x}, \omega) &= -i \frac{\omega}{c} \mathbf{D} = -i \frac{\omega}{c} \epsilon(\omega) \mathbf{E}(\mathbf{x}, \omega) - i \frac{\omega}{c} \mathbf{K}(\mathbf{x}, \omega).\end{aligned}\quad (166)$$

The spirit is very much the same as in the Langevin theory for the Brownian motion where systematic effects of the medium (deterministic force and friction) are separated from the stochastic force (having zero average) due to microscopic collisions. As in the Brownian motion theory one has to define the correlations of the random force, due here to the zero point field fluctuations. They are taken of the form

$$\overline{K_i(\mathbf{x}, \omega) K_j(\mathbf{x}', \omega')} = 2\hbar \text{Im}[\epsilon(\omega)] \delta_{ij} \delta(\omega + \omega') \delta(\mathbf{x} - \mathbf{x}'). \quad (167)$$

Different Cartesian coordinates and frequency components of  $\mathbf{K}$  are not correlated and the spatial correlations have zero range. This is equivalent to the white noise assumption for the stochastic force of Brownian motion based on the different time scales for microscopic collisions and macroscopic motion. Here the spatial scales are the intermolecular distances compared to the range of variation of the macroscopic Maxwell fields. The *inhomogeneous* Maxwell equations (166) are solved with the dielectric boundary conditions providing the fields  $\mathbf{E}$  and  $\mathbf{B}$  in terms of the random polarization  $\mathbf{K}$  (together with the dielectric functions and the geometry of the bodies). For two slabs at distance  $d$ , the force per unit area on the first plate is given by the  $xx$  component of the Maxwell stress tensor  $T_{xx}(\mathbf{x}, \omega)|_{\mathbf{x}=0}$  integrated over all frequencies and averaged on the random polarization according to (167)

$$f(d) = - \int d\omega \overline{T_{xx}(\mathbf{x}, \omega)}|_{\mathbf{x}=0}, \quad (168)$$

where

$$T_{xx} = \frac{1}{4\pi} \left[ E_x^2 + B_x^2 - \frac{1}{2}(|\mathbf{E}|^2 + |\mathbf{B}|^2) \right]. \quad (169)$$

When the temperature is different from zero, (167) is replaced by the statement of the fluctuation-dissipation theorem (taking into account quantum and thermal fluctuations)

$$\overline{K_i(\mathbf{x}, \omega) K_j(\mathbf{x}', \omega')} = 2\hbar \text{Im}[\epsilon(\omega)] \delta_{i,j} \delta(\omega + \omega') \delta(\mathbf{x} - \mathbf{x}') \coth\left(\frac{\beta\hbar\omega}{2}\right) \quad (170)$$

which reduces to (167) as  $T \rightarrow 0$ . This is the way  $\hbar$  and  $T$  enter in the theory.

#### 4.5.3. Source theory (Schwinger's method)

J. Schwinger, together with a number of physicists, were dissatisfied by derivations of the Casimir force relying on the mechanism of vacuum fluctuations. He proposed to derive the results of the Lifshitz theory from a “more sound” basis where electromagnetic vacuum fluctuations are not invoked [41]. The description (following [4], Secs. 7.4, 7.5) starts now at a microscopic level by noting that a dielectric medium is characterized by a microscopic polarization density  $\hat{\mathbf{P}}(\mathbf{r}, t) = \sum_i \boldsymbol{\nu}_i(t) \delta(\mathbf{r} - \mathbf{r}_i)$ , where  $\boldsymbol{\nu}_i(t)$  are the induced dipole moments of atoms located at positions  $\mathbf{r}_i$ . These dipoles act as a source of a (microscopic) electric field obtained by solving the Maxwell equations yielding

$$\hat{E}_s^\mu(\mathbf{r}, t) = 8\pi \int d\mathbf{r}' dt' G^{\mu\sigma}(\mathbf{r}, t | \mathbf{r}', t') \hat{P}^\sigma(\mathbf{r}', t'), \quad (171)$$

where  $G$  is a Green function. The total electric field  $\hat{\mathbf{E}}_0 + \hat{\mathbf{E}}_s$  is the sum of a free quantum field  $\hat{\mathbf{E}}_0$  plus the field  $\hat{\mathbf{E}}_s$  due to the dipole and the total energy

$$\begin{aligned} U &= -\frac{1}{2} \int d\mathbf{r} \langle \hat{\mathbf{P}}(\mathbf{r}, t) \cdot \hat{\mathbf{E}}(\mathbf{r}, t) \rangle \\ &= -\frac{1}{2} \int d\mathbf{r} \langle (\hat{\mathbf{E}}^+(\mathbf{r}, t) \cdot \hat{\mathbf{P}}(\mathbf{r}, t) + \hat{\mathbf{P}}(\mathbf{r}, t) \cdot \hat{\mathbf{E}}^-(\mathbf{r}, t)) \rangle \end{aligned} \quad (172)$$

is understood as the average energy of these dipoles in the field that they themselves produce. Here  $\hat{\mathbf{E}}^+(\mathbf{r}, t)$ ,  $\hat{\mathbf{E}}^-(\mathbf{r}, t)$  denote the creation and annihilation parts of the field (see (137)). The average is taken on the vacuum of photons and on the state of the atomic variables<sup>17</sup>. The order of operator in (172) is in principle irrelevant since matter and field operators refer to independent degrees of freedom and thus have zero equal time commutators. The choice of order is however not innocent in subsequent calculations. Here the choice is normal order, placing the annihilators to the right of the creators. It is then evident that there is no contribution of the vacuum field

<sup>17</sup> In a stationary state  $U$  will be time independent.

in (172) since  $a_{\mathbf{k}\lambda}|0\rangle = 0$ ,  $\langle 0|a_{\mathbf{k}\lambda}^* = 0$ . Then inserting the positive and negative frequency parts of (171) in (172) gives

$$U = -8\pi\text{Re} \left\{ \int d\mathbf{r} \int d\mathbf{r}' \int dt' G^{+, \mu\sigma}(\mathbf{r}, t|\mathbf{r}', t') \langle \hat{P}^\mu(\mathbf{r}, t) \hat{P}^\sigma(\mathbf{r}', t') \rangle \right\} \quad (173)$$

with  $G^+$  the positive frequency part of the Green function.

To continue the calculation one has to know the atomic dipole correlation function  $\langle \hat{P}^\mu(\mathbf{r}, t) \hat{P}^\sigma(\mathbf{r}', t') \rangle$ . For this one makes the simplifying assumption that atoms form perfect gases of uncorrelated identical dipoles. To obtain the force one must further adapt the formula (173) to the two slab system and evaluate the Green function in this geometry. Within this scheme one eventually arrives at the Lifshitz main formula without any intervention of vacuum fluctuations.

Other types of ordering can be used in (172), *e.g.* symmetrical ordering where the vector potential is not split in positive and negative frequency parts along the calculation. In that case the Lifshitz force can be seen to arise exclusively from vacuum fluctuations<sup>18</sup>. The final form of the Lifshitz force is, of course, independent of the ordering convention, and can therefore receive different interpretations according to different choices and to the taste of the readers.

## 5. Casimir effect in critical phenomena

### 5.1. Introduction

It is well known that at a critical point or in a phase with broken continuous symmetry, a statistical mechanical system in its bulk phase exhibits long range correlations (decaying algebraically rather than exponentially fast). This can be interpreted as generating a long range effective potential in the system. If this system is now confined to a slab of thickness  $d$  (*e.g.* a liquid film), then the development of these long range fluctuations as  $d \rightarrow \infty$  may be the source of a force of Casimir type between the faces of the slab (film). More precisely the effect is reflected in the behaviour of the finite size corrections to the thermodynamical potential in the confined geometry.

Let  $\phi_L(d, T)$  be the thermodynamical potential for the slab of surface  $L^{\nu-1}$  and thickness  $d$  in dimension  $\nu$  and

$$\varphi(d, T) = \lim_{L \rightarrow \infty} \frac{1}{L^{\nu-1}} \phi_L(d, T) \quad (174)$$

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<sup>18</sup> As an example, the computation of the retarded van der Waals forces from vacuum fluctuations in Section 4.3 involves the symmetrical order, see (142).

the corresponding thermodynamical potential by unit surface. The finite size scaling analysis in the critical regime shows that its large  $d$  asymptotics has usually the form

$$\varphi(d, T) = d\varphi_{\text{bulk}}(T) + \varphi_{\text{surf}}(T) + \frac{\Delta(T)}{d^{\nu-1}} + \dots \quad (175)$$

Here  $\varphi_{\text{bulk}}(T)$  is the potential density in thermodynamic limit and  $\varphi_{\text{surf}}(T)$  is the surface potential correction. The coefficient  $\Delta(T)$  in the third term in (175) is called the *Casimir amplitude*. The *Casimir force* between the slab faces is defined as

$$f(d) = -\partial_d[\varphi(d, T) - d\varphi_{\text{bulk}}(d, T)] = (\nu - 1) \frac{\Delta(T)}{d^\nu} + \dots \quad (176)$$

At a critical point or in phases with long-range correlations generated by the broken symmetry, the value of  $\Delta(T)$  is expected to be non-zero and *universal*, depending only on the system and the boundary condition universality classes. Out of the *critical regime* the finite size corrections are expected to be exponentially small, thus the Casimir amplitude  $\Delta(T) = 0$ . The tractable quantum models with phase transition where these ideas can be checked are not so numerous. A candidate, the free Bose gas that shows the phenomenon of Bose condensation, will serve as an illustration. For a thorough discussion of the subject, see the books [12, 13].

### 5.2. The free Bose gas

Here we report on a joint work [22] with Zagrebnov. We consider a free Bose gas in a slab of thickness  $d$  with faces of surface  $L^2$  and periodic boundary conditions in all directions. As it is well known from the standard treatment of the free Bose gas, the finite volume grand canonical pressure  $\Phi_L(d, T, \mu)$  at temperature  $T$  and chemical potential  $\mu < 0$  is

$$\Phi_L(d, T, \mu) = \frac{1}{\beta} \sum_{\mathbf{k}} \ln[1 - \exp(-\beta(\epsilon(\mathbf{k}) - \mu))] \quad (177)$$

with the wave numbers and energy given by

$$\begin{aligned} k_x &= \frac{2\pi n_x}{d}, \quad k_y = \frac{2\pi n_y}{L}, \quad k_z = \frac{2\pi n_z}{L}, \quad n_x, n_y, n_z \in \mathbb{Z} \\ \epsilon_{\mathbf{k}} &= \frac{\hbar}{2m} \left[ \left( \frac{2\pi n_x}{d} \right)^2 + \left( \frac{2\pi n_y}{L} \right)^2 + \left( \frac{2\pi n_z}{L} \right)^2 \right]. \end{aligned} \quad (178)$$

The potential per unit surface is then

$$\begin{aligned}\varphi(d, T, \mu) &= \lim_{L \rightarrow \infty} \frac{1}{L^2} \Phi_L(d, T, \mu) \\ &= \frac{1}{(2\pi)^2 \beta} \int d\mathbf{q} \sum_{n=-\infty}^{\infty} \ln \left[ 1 - \exp \left( -\beta \epsilon(q) - 2\pi^2 \lambda^2 \left( \frac{n}{d} \right)^2 + \beta \mu \right) \right],\end{aligned}\quad (179)$$

where  $\mathbf{q} = (q_y, q_z)$ ,  $q = |\mathbf{q}|$ , is a two dimensional wave vector,  $\beta \epsilon(q) = \frac{\lambda^2 q^2}{2}$  and  $\lambda = \hbar \sqrt{\beta/m}$  the thermal wave length. Writing in radial coordinates  $\int d\mathbf{q} \dots = 2\pi \int_0^\infty dq q \dots$  and performing an integration by parts one can also write

$$\begin{aligned}\varphi(d, T, \mu) &= -\frac{1}{(2\pi)^2 \beta} \sum_{n=-\infty}^{\infty} \int d\mathbf{q} \frac{\epsilon(q)}{\exp \left[ \beta \epsilon(q) + 2\pi^2 \lambda^2 \left( \frac{n}{d} \right)^2 - \beta \mu \right] - 1} \\ &= -\frac{1}{2\pi \beta \lambda^2} \sum_{n=-\infty}^{\infty} \int_0^\infty dv v \frac{1}{\exp \left[ v + 2\pi^2 \lambda^2 \left( \frac{n}{d} \right)^2 - \beta \mu \right] - 1},\end{aligned}\quad (180)$$

$$\equiv \sum_{n=-\infty}^{\infty} \psi(d^{-1}n), \quad (181)$$

where we have introduced the dimensionless variable  $v = \beta \epsilon(q) = \frac{\lambda^2 q^2}{2}$  and the function

$$\psi(u) = -\frac{1}{2\pi \beta \lambda^2} \int_0^\infty dv v \frac{1}{\exp [v + 2\pi^2 \lambda^2 u^2 - \beta \mu] - 1}. \quad (182)$$

### 5.2.1. The normal phase

The regime  $\mu < 0$  characterizes the normal phase (absence of Bose condensation). In this regime, the function  $\psi(u)$  is infinitely differentiable so that we can represent the sum by the Euler–McLaurin formula. Since  $\psi(u) = \psi(-u)$  and using (18) one has

$$\begin{aligned}\sum_{n=-\infty}^{\infty} \psi(d^{-1}n) &= 2 \sum_{n=0}^{\infty} \psi(d^{-1}n) + \psi(0) = d 2 \int_0^\infty du \psi(u) \\ &\quad - 2 \left[ \frac{B_2}{2!d} \psi^{(1)}(0) + \frac{B_4}{4!d^3} \psi^{(3)}(0) + \frac{B_6}{6!d^5} \psi^{(5)}(0) + \dots \right].\end{aligned}\quad (183)$$

Coming back to the definition (182) of  $\psi(u)$ , one sees that the first term of the large  $d$  expansion (183) equals  $-d p_{\text{bulk}}(T, \mu)$  where  $p_{\text{bulk}}(T, \mu) = -\lim_{d \rightarrow \infty} \lim_{L \rightarrow \infty} \frac{1}{L^2 d} \Phi_L(d, T, \mu)$  is nothing else than the bulk pressure, as it should be. Clearly all odd derivatives of the even function  $\psi(u)$  vanish at the origin, implying that finite size corrections to  $\varphi(d, T, \mu)$  vanish faster than any inverse power of  $d$ ,

$$\varphi(d, T, \mu) = -d p_{\text{bulk}}(T, \mu) + \mathcal{O}\left(\frac{1}{d^r}\right) \quad \text{for all } r > 0, \quad \mu < 0. \quad (184)$$

One therefore concludes that  $\Delta(T, \mu) = 0$ ,  $\mu < 0$  in the normal phase (in the particular case of periodic boundary conditions, there are no surface terms).

### 5.2.2. The condensed phase

Bose condensation occurs in the free gas when the chemical potential is set equal to zero. Then the situation is very different: when  $\mu = 0$  derivatives of  $\psi(u)$  diverge at the origin and one needs a different method. We first expand for  $\mu < 0$  the fraction in (180) (the Bose distribution) in power of the activity  $e^{\beta\mu}$  and perform the  $v$ -integral. This yields

$$\varphi(d, T, \mu) = -\frac{1}{2\pi\beta\lambda^2} \sum_{r=1}^{\infty} \frac{e^{\beta\mu r}}{r^2} \sum_{n=-\infty}^{\infty} \exp\left(-2\pi^2\lambda^2 \left(\frac{n}{d}\right)^2 r\right). \quad (185)$$

The  $n$ -sum can be dealt with a version of the Jacobi identity stating

$$\sum_{n=-\infty}^{\infty} e^{-\pi a n^2} = \frac{1}{\sqrt{a}} \left(1 + 2 \sum_{k=1}^{\infty} e^{-\frac{\pi k^2}{a}}\right), \quad a > 0 \quad (186)$$

so that, setting  $a = \frac{2\pi\lambda^2 r}{d^2}$ , we obtain the following exact representation of the slab potential

$$\begin{aligned} \varphi(d, T, \mu) &= -\frac{d}{\beta(\sqrt{2\pi}\lambda)^3} \sum_{r=1}^{\infty} \left[ \frac{e^{\beta\mu r}}{r^{5/2}} + 2 \sum_{k=1}^{\infty} \exp\left(-\frac{k^2 d^2}{2\lambda^2 r}\right) \right] \\ &= -d p_{\text{bulk}}(T, \mu) - \frac{2d}{\beta(\sqrt{2\pi}\lambda)^3} \sum_{k=1}^{\infty} \sum_{r=1}^{\infty} \frac{e^{\beta\mu r}}{r^{5/2}} \exp\left(-\frac{k^2 d^2}{2\lambda^2 r}\right) \end{aligned} \quad (187)$$

since the first term yields the familiar low fugacity expansion of the bulk pressure. It can be checked that when  $\mu < 0$  the finite size correction term is  $\mathcal{O}(\exp(-\sqrt{-\mu}d/2))$ , thus giving a precise exponentially small estimate of the remainder obtained from the Euler–McLaurin formula (184).

For  $\mu = 0$  the  $r$ -series in (187) is convergent and we can write it in the form

$$\begin{aligned} \frac{1}{d^3} \left[ \frac{1}{d^2} \sum_{r=1}^{\infty} \frac{1}{(r/d^2)^{5/2}} \exp \left( -\frac{k^2}{2\lambda^2} \frac{d^2}{r} \right) \right] &\sim \frac{1}{d^2} \int_0^{\infty} dx \frac{1}{x^{5/2}} \exp \left( -\frac{k^2}{2\lambda^2 x} \right) \\ &= \frac{1}{d^3} \left( \frac{2\lambda^2}{k^2} \right)^{3/2} \int_0^{\infty} dx \frac{1}{x^{5/2}} \exp \left( -\frac{1}{x} \right), \quad d \rightarrow \infty. \end{aligned} \quad (188)$$

The value of the integral is  $\sqrt{\pi}/2$  and when this is inserted in (187), one obtains the final result

$$\varphi(d, T, \mu) = -d p_{\text{bulk}}(T, \mu) - \frac{\zeta(3)}{\pi\beta d^2} + \mathcal{O} \left( \frac{1}{d^3} \right) \quad (189)$$

which yields a non zero Casimir amplitude  $\Delta(T, \mu = 0) = -\frac{\zeta(3)}{\pi\beta}$  according to the general definition (175).

One can impose different boundary conditions on the faces of the slab, *e.g.* Dirichlet,  $k = \frac{\pi(n+1)}{d}$ , or Neumann,  $k = \frac{\pi n}{d}$ ,  $n = 0, 1, 2, \dots$  and find out by the same methods the value  $-\frac{\zeta(3)}{8\pi\beta}$  for the Casimir coefficient in these cases (there are additional surface term contributions which are not present when periodic boundary conditions are used).

Now few remarks and comments are in order.

The grand potential of a free Fermion gas does not have a Casimir term for any value of the chemical potential. Indeed replacing the Bose by the Fermi distribution in (181) gives a corresponding  $\psi(u)$  function (182) that is infinitely differentiable at  $u = 0$  for all  $\mu$ . Since the function and all its derivatives vanish at  $u = 0$  the Euler–MacLaurin formula always yields corrections smaller than any inverse power of  $d$ .

We note that the Casimir terms found in (189) are classical and universal, namely they do not depend on the Planck constant and the particle mass. In fact, for the free Bose-gas, it follows from a simple dimensional analysis that such a term is necessarily of the form  $C k_B T / d^2$  where  $C$  is a numerical constant. It is present at all positive temperature provided that the density  $\rho$  of the gas is higher than the critical density  $\rho_c(\beta)$ . According to common wisdom, the Casimir force is due to Goldstone modes (*i.e.* low energy excitations) that will occur in the bulk limit when a continuous symmetry is spontaneously broken. In the grand canonical free Bose-gas it is explicitly seen that the excitations  $\varepsilon(\mathbf{k}) - \mu$  become gapless when  $\mu = 0$ . It is known that this generates long-range particle–particle correlations

$$\rho^{(2)}(\mathbf{r}_1, \mathbf{r}_2) - \rho^2 \sim \rho_0(T) |\mathbf{r}_1 - \mathbf{r}_2|^{-1}, \quad (190)$$

as  $|\mathbf{r}_1 - \mathbf{r}_2| \rightarrow \infty$  in the condensed phase (London–Placzek formula [42]). Here  $\rho_0$  denotes the Bose-Einstein condensation density of the perfect Bose-gas. Casimir forces are usually attributed to such correlations in the critical regime.

In the case of electromagnetic interactions, the Casimir term is always present as a result of the long range of the forces. In the standard calculation of the zero-temperature Casimir force between perfect conductors (Section 2.2) the Casimir term appears because the third order derivative (19) occurring in the Euler–MacLaurin expansion does not vanish, contrary to the case at hand (183). This is due to the linear form  $\hbar\omega_{\mathbf{k}} = \hbar c|\mathbf{k}|$  of the photon spectrum (non analytic at  $\mathbf{k} = 0$ ). Massive photons  $\hbar\omega_{\mathbf{k}} = c\sqrt{(\hbar|\mathbf{k}|)^2 + (mc)^2}$  do not produce Casimir forces for the same reasons as for fermions.

We have studied in detail the classical electromagnetic Casimir effect in Section 3. In the spirit of the present analysis, one can say that such Coulomb systems are critical at all temperatures because the potential fluctuations are always of a long range, although charge correlations are themselves of a short range as a consequence of screening.

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