

# Parity Separation: A Scientifically Proven Method for Permanent Weight Loss\*

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## Abstract

Given an edge-weighted graph  $G$ , let  $\text{PerfMatch}(G)$  denote the weighted sum over all perfect matchings  $M$  in  $G$ , weighting each matching  $M$  by the product of weights of edges in  $M$ . If  $G$  is unweighted, this plainly counts the perfect matchings of  $G$ .

In this paper, we introduce parity separation, a new method for reducing  $\text{PerfMatch}$  to unweighted instances: For graphs  $G$  with edge-weights 1 and  $-1$ , we construct two unweighted graphs  $G_1$  and  $G_2$  such that  $\text{PerfMatch}(G) = \text{PerfMatch}(G_1) - \text{PerfMatch}(G_2)$ . This yields a novel weight removal technique for counting perfect matchings, in addition to those known from classical  $\#P$ -hardness proofs. Our technique is based upon the Holant framework and matchgates. We derive the following applications:

Firstly, an alternative  $\#P$ -completeness proof for counting unweighted perfect matchings.

Secondly,  $C=P$ -completeness for deciding whether two given unweighted graphs have the same number of perfect matchings. To the best of our knowledge, this is the first  $C=P$ -completeness result for the “equality-testing version” of any natural counting problem that is not already  $\#P$ -hard under parsimonious reductions.

Thirdly, an alternative tight lower bound for counting unweighted perfect matchings under the counting exponential-time hypothesis  $\#ETH$ .

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## 1 Introduction

The problem of counting perfect matchings has played a central role in counting complexity since Valiant [27] introduced the class  $\#P$  and established  $\#P$ -completeness of counting perfect matchings in unweighted bipartite graphs. This problem was previously already considered in statistical physics [26, 21, 22] and Valiant’s computational hardness result explains the lack of progress encountered in this area for finding efficient algorithms for counting perfect matchings.

As complexity theorists, we can appreciate this seminal  $\#P$ -completeness result from another perspective: The problem of counting perfect matchings in *unweighted* graphs presented the first example of a natural hard counting problem with an easy decision version, since Edmond’s classical algorithm [14] allows to decide in polynomial time whether a graph

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contains *at least one* perfect matching. This showed exemplarily that the complexity-theoretic study of counting problems amounts to more than merely checking whether NP-hardness proofs for decision problems carry over to their counting versions.

For instance, a fundamental peculiarity of counting problems that is not shared by decision problems are *cancellations*: In (weighted) counting problems, witness structures may cancel each other out, and this can have strong effects on the complexity of the problem. The most prominent example of this phenomenon might be the situation of the *determinant* and the *permanent*, both summing over the same permutations, however with different weights. This results in the permanent being #P-complete by Valiant’s result, whereas the determinant can be computed in polynomial time. The *accidental* and *holographic* algorithms introduced by Valiant [28, 29] provide examples for further and more unexpected cancellations that render counting problems easy.

However, cancellations are also crucial for negative results: In many #P-hardness proofs, such as [4, 2, 3], we first define an intermediate variant of the target problem on weights  $\pm 1$ . Examples for this strategy include the original reduction from #SAT to counting unweighted perfect matchings [27]: In this setting, let  $G$  be a graph with edge-weights  $w : E(G) \rightarrow \{-1, 1\}$ , let  $\mathcal{PM}[G]$  denote its set of perfect matchings, and define

$$\text{PerfMatch}(G) := \sum_{M \in \mathcal{PM}[G]} \prod_{e \in M} w(e). \quad (1)$$

Given an instance to this weighted problem, that is, a graph  $G$  derived from a 3-CNF formula, its space of witness structures  $\mathcal{PM}[G]$  can then be partitioned into “good” structures that correspond to satisfying assignments, and “bad” structures that could be called combinatorial noise. By careful construction of such a graph  $G$  on edge-weights  $\pm 1$ , we can ensure that bad structures come in pairs of weight  $+1$  and  $-1$ , thus canceling out, whereas good structures all have weight  $+1$ .

To conclude #P-completeness of counting unweighted perfect matchings, it remains to simulate the weight  $-1$  from the intermediate problem. This can be achieved by several techniques, which we survey in the next part of the introduction. Let us however first point out that the main contribution of this paper is a novel technique for precisely this part of the reduction: Using a method we call *parity separation*, we reduce the computation of  $\text{PerfMatch}(G)$  for a  $\pm 1$ -weighted graph  $G$  to the difference of  $\text{PerfMatch}$  for two unweighted graphs, that is, to the difference of two numbers of perfect matchings.

► **Lemma 1** (Parity Separation). *Let  $G$  be a graph on  $n$  vertices and  $m$  edges that is weighted by a function  $w : E(G) \rightarrow \{-1, 1\}$ . Then we can construct in time  $\mathcal{O}(n + m)$  two unweighted graphs  $G_1$  and  $G_2$ , each on  $\mathcal{O}(n + m)$  vertices and edges, such that*

$$\text{PerfMatch}(G) = \text{PerfMatch}(G_1) - \text{PerfMatch}(G_2). \quad (2)$$

Intuitively speaking, this allows us to “collect” positive and negative terms of  $\text{PerfMatch}(G)$  for  $\pm 1$ -weighted graphs. This way, we can reduce the effect of cancellations incurred *within*  $\text{PerfMatch}$  to a mere difference *outside* of  $\text{PerfMatch}$ .

In the remainder of this introduction, we present parity separation in more detail and demonstrate three applications that can be derived from it: Firstly, and not surprisingly, we obtain a new #P-completeness proof for counting perfect matchings. Secondly, we can show  $\text{C=P}$ -completeness of deciding whether two graphs have the same number of perfect matchings. Thirdly, we also obtain tight lower bounds under the exponential-time hypothesis.

## 1.1 #P-completeness via parity separation

To put parity separation into context, we first recapitulate Valiant's #P-hardness result for counting perfect matchings in more detail. Let us denote the problem of evaluating PerfMatch on graphs with edge-weights from  $A \subseteq \mathbb{Q}$  by  $\text{PerfMatch}^A$ . For consistency with [13], we write  $\text{PerfMatch}^{0,1}$  for the problem of counting perfect matchings in unweighted graphs. That is, we explicitly include  $0 \in A$  for  $\text{PerfMatch}^A$ , although zero-weight edges could be simply deleted.

### First step: From #SAT to $\text{PerfMatch}^{-1,0,1}$

It is shown in [27, Lemma 3.1] that  $\text{PerfMatch}^W$  is #P-hard for  $W := \{-1, 0, 1, 2, 3\}$ . More precisely, from a 3-CNF formula  $\varphi$ , a number  $t(\varphi) \in \mathbb{N}$  and a bipartite graph  $G = G(\varphi)$  on weights  $W$  are constructed in polynomial time, such that

$$\#\text{SAT}(\varphi) = \frac{\text{PerfMatch}(G)}{4^{t(\varphi)}}, \quad (3)$$

This however only yields hardness for a weighted generalization of counting perfect matchings. To obtain a useful reduction source for further problems, it is crucial to reduce  $\text{PerfMatch}^W$  to  $\text{PerfMatch}^{0,1}$ , as reductions from PerfMatch to other problems would otherwise need to take care of the weights in  $W$ , which is particularly problematic for the edge-weight  $-1$  in the case of unweighted reduction targets.

In fact, the weight  $-1$  is the only problem we encounter: Edges  $e$  of positive integer edge-weight  $w$  can be simulated easily by replacing  $e$  with  $w$  parallel edges of unit weight, possibly subdividing edges twice to obtain simple graphs. This trick however clearly does not apply for the weight  $-1$ , so we need a different strategy.

### Second step: From $\text{PerfMatch}^{-1,0,1}$ to $\text{PerfMatch}^{0,1}$

By now, two different strategies are known for removing the weight  $-1$ , which we briefly survey in the following. Let  $G$  be a graph with  $n$  vertices and  $m > 0$  edges, all on weights  $-1$  and  $1$ .

- **Modular arithmetic:** Variations of the following approach were originally used by Valiant [27] and later by Zanko [32] and Ben-Dor and Halevi [1]: Write  $M = 2^m + 1$  and observe that  $\text{PerfMatch}(G) < M$ . We can hence replace the weight  $-1$  by the positive integer  $M - 1$  to obtain a graph  $G'$  satisfying  $\text{PerfMatch}(G) \equiv \text{PerfMatch}(G') \pmod{M}$ . The weight  $M - 1$  can be simulated by a gadget as in the previous paragraph, and using a more involved construction [32], it can be seen that a gadget on  $\mathcal{O}(m)$  vertices and edges suffices, yielding a total number of  $\mathcal{O}(nm)$  vertices and  $\mathcal{O}(m^2)$  edges in  $G'$ . Then we compute  $\text{PerfMatch}(G') \pmod{M}$  and obtain  $\text{PerfMatch}(G)$ , as we may assume from (3) that  $\text{PerfMatch}(G) \geq 0$ . In total, we obtain one reduction image for  $\text{PerfMatch}^{0,1}$  on  $\mathcal{O}(nm)$  vertices and  $\mathcal{O}(m^2)$  edges.
- **Polynomial interpolation:** An alternative technique for removing the edge-weight  $-1$  from  $G$  is to replace it by an indeterminate  $x$ . This gives rise to a graph  $G_x$  on edge-weights  $\{1, x\}$  for which  $\text{PerfMatch}(G_x)$  is a polynomial  $p(x) \in \mathbb{Z}[x]$  of degree at most  $n/2$ . We can evaluate  $p(i)$  for  $i \in \{0, \dots, n/2\}$  by substituting  $x \leftarrow i$  in  $G_x$  and simulating this positive weight by a gadget as discussed before. This allows us to recover  $p(-1) = \text{PerfMatch}(G)$  via Lagrangian interpolation. In total, using gadgets as in [32, 13], we obtain  $\mathcal{O}(n)$  reduction images for  $\text{PerfMatch}^{0,1}$  on  $\mathcal{O}(m \log n)$  vertices and  $\mathcal{O}(m \log n + m)$  edges each.

Both weight removal techniques allow to reduce  $\text{PerfMatch}^{-1,0,1}$  to  $\text{PerfMatch}^{0,1}$  and thus complete the  $\#P$ -completeness proof of the latter problem. Note however that both approaches map weighted graphs  $G$  with  $m$  edges to unweighted graphs with a super-linear number of edges. Using parity separation, we obtain a third way of performing the weight removal step, which differs substantially from both approaches mentioned before and features only constant blowup:

- **Parity separation:** Using Lemma 1, compute two unweighted graphs  $G_1$  and  $G_2$  from  $G$  such that  $\text{PerfMatch}(G)$  is the mere difference of  $\text{PerfMatch}(G_1)$  and  $\text{PerfMatch}(G_2)$ . In total, we obtain 2 reduction images for  $\text{PerfMatch}^{0,1}$  on  $\mathcal{O}(n + m)$  vertices and edges.

Together with the first step, this implies an alternative  $\#P$ -completeness proof for the problem  $\text{PerfMatch}^{0,1}$  of counting perfect matchings in unweighted graphs. For the sake of completeness, we also include a self-contained reduction from  $\#\text{SAT}$  to  $\text{PerfMatch}^{-1,0,1}$ .

- **Theorem 2.**  $\text{PerfMatch}^{0,1}$  is  $\#P$ -complete under polynomial-time Turing reductions.

## 1.2 $C=P$ -completeness via parity separation

Apart from an alternative  $\#P$ -completeness proof, Lemma 1 also yields implications for the structural complexity of  $\text{PerfMatch}$ : We show that deciding whether two unweighted graphs have the same number of perfect matchings is complete for the complexity class  $C=P$ , which was introduced in [25, 31] and further elaborated in [16, 15].

To define  $C=P$ , let us associate the following language  $A=_$  with each counting problem  $A \in \#P$ : The inputs to  $A=_$  are pairs  $(x, y)$  of instances to  $A$ , and we are asked to determine whether  $A(x) = A(y)$  holds. We can then define<sup>1</sup> the class  $C=P := \{A=_ \mid A \in \#P\}$ .

For instance, it is clear that  $\#\text{SAT}_=$ , the problem that asks whether two 3-CNF formulas have the same number of satisfying assignments, is  $C=P$ -complete under polynomial-time many-one reductions. In fact,  $C=P$ -completeness holds for every problem  $A=_$  whose counting version  $\#A$  is  $\#P$ -complete under parsimonious reductions. We recall the notion of parsimonious (and other) reductions in Definition 5.

The relationship between  $C=P$  and other complexity classes has been studied in structural complexity theory, and several results are surveyed in [15]. For instance, we clearly have  $\text{coNP} \subseteq C=P$ , and using the witness isolation technique [30], we see that  $\text{NP}$  is contained in  $C=P$  under randomized reductions. Let us also observe that  $\text{NP}^{\#P} \subseteq \text{NP}^{C=P}$ : Whenever we issue an oracle call to  $\#P$ , we may instead guess the output number, and then check whether we guessed correctly by using the  $C=P$  oracle.

To the best of the author's knowledge, no *natural*  $C=P$ -complete problem  $A=_$  is known whose counting version  $A$  is *not*  $\#P$ -complete under parsimonious reductions.<sup>2</sup> It is clear that the problem  $\text{PerfMatch}^{0,1}$  of counting unweighted perfect matchings cannot be  $\#P$ -complete under parsimonious reductions, unless  $P = \text{NP}$ . Therefore, the following completeness

<sup>1</sup> We deviate here from the standard definition of  $C=P$ , according to which we have  $L \in C=P$  iff the following holds: There is a polynomial-time nondeterministic Turing machine  $M$  such that  $x \in L$  iff the numbers of accepting and rejecting computation paths of  $M(x)$  are equal. It can be verified easily that this is equivalent to our definition.

<sup>2</sup> Here, we stressed *natural*, because we can easily construct artificial  $C=P$ -complete problems  $A=_$  whose counting version  $\#A$  admits no parsimonious reduction from  $\#\text{SAT}$ : Consider as an example the counting problem  $\#\text{SAT}'$  that asks to count satisfying assignments, incremented by 1. If  $\#\text{SAT}'$  had a parsimonious reduction from  $\#\text{SAT}$ , then every CNF-formula would be satisfiable. On the other hand, the reduction from  $\#\text{SAT}_=$  to  $\#\text{SAT}'_=$  is trivial.

result for  $\text{PerfMatch}_{=}^{0,1}$  seems relevant for structural complexity theory, as it establishes a  $\text{C}_{=}P$ -variant of Valiant's result.

► **Theorem 3.**  $\text{PerfMatch}_{=}^{0,1}$  (deciding whether two unweighted graphs have the same number of perfect matchings) is  $\text{C}_{=}P$ -complete under polynomial-time many-one reductions.

To prove this theorem, we first reduce instances  $(\varphi, \varphi')$  for  $\#\text{SAT}_{=}$  to  $\pm 1$ -weighted graphs  $G$  that satisfy  $\text{PerfMatch}(G) = 0$  iff  $\#\text{SAT}(\varphi) = \#\text{SAT}(\varphi')$ . This requires a modification of the first step in the  $\#P$ -hardness reduction, which is however supported easily by our alternative proof. Then we apply Lemma 1 on the graph  $G$  to obtain unweighted graphs  $G_1$  and  $G_2$  satisfying (2). In particular, their numbers of perfect matchings agree iff  $\text{PerfMatch}(G)$  vanishes, that is, iff  $(\varphi, \varphi')$  is a yes-instance for  $\#\text{SAT}_{=}$ .

To conclude this subsection, we note that the complexity of a similar problem was posed as an open question in [8]: Given two directed acyclic graphs, decide whether their numbers of topological orderings agree. It was shown in [5] that counting topological orderings is  $\#P$ -complete under Turing reductions, but the decision version is trivial for DAGs. Our result for  $\text{PerfMatch}_{=}^{0,1}$  might be useful to prove  $\text{C}_{=}P$ -completeness for this and other problems. For instance, a reduction was recently found [24] from  $\text{PerfMatch}_{=}^{0,1}$  to deciding whether two formulas in 2-CNF are satisfied by the same number of assignments.

### 1.3 Tight lower bounds via parity separation

We turn our attention to conditional *quantitative* lower bounds: A relatively new subfield in computational complexity makes use of assumptions stronger than  $P \neq NP$  or  $FP \neq \#P$  to prove tight (exponential) lower bounds on the running times needed to solve computational problems. A popular such assumption is the exponential-time hypothesis ETH, introduced by Impagliazzo et al. [19, 20], which states that the satisfiability of  $n$ -variable formulas  $\varphi$  in 3-CNF cannot be decided in time  $2^{o(n)}$ . For counting problems, an analogous variant  $\#\text{ETH}$  was introduced by Dell et al. [13], and it postulates the same for the problem of counting satisfying assignments to  $\varphi$ .

Assuming ETH, it was shown for a vast body of popular decision problems that the known exponential-time exact algorithms are somewhat optimal: For instance, there is a trivial  $2^{\mathcal{O}(m)}$  time algorithm for finding a Hamiltonian cycle (or various other structures) in an  $m$ -edge graph, but  $2^{o(m)}$  time algorithms would refute ETH. See [23] for a nice survey.

Similar lower bounds were shown for counting problems under  $\#\text{ETH}$ , see [17, 18, 13], and a very recent paper [9] introduced *block interpolation*, an approach to make the technique of polynomial interpolation (as seen in the second step of Section 1.1) compatible with tight lower bounds under  $\#\text{ETH}$ . For several problems, that of counting perfect matchings being among them, block interpolation gave the first tight  $2^{\Omega(m)}$  lower bounds under  $\#\text{ETH}$ .

When applying this framework to  $\text{PerfMatch}_{=}^{0,1}$ , we would first reduce  $\#\text{SAT}$  on  $n$ -variable 3-CNFs  $\varphi$  to instances  $G = G(\varphi)$  for  $\text{PerfMatch}_{=}^{-1,0,1}$  with  $\mathcal{O}(n)$  edges as in the first step of the  $\#P$ -hardness proof. Then we apply the block interpolation technique to reduce  $G$  to  $2^{o(n)}$  unweighted instances  $G'$  for  $\text{PerfMatch}_{=}^{0,1}$  with  $\mathcal{O}(n)$  edges. While this sub-exponential number of instances is compatible with the goal of proving tight lower bounds, it leaves open the natural question whether the same reduction could be achieved with only polynomially many oracle calls on graphs with  $\mathcal{O}(n)$  edges.

Using Lemma 1, we obtain a strong positive answer to this question: Replacing the application of block interpolation by one of parity separation, we obtain a reduction to merely *two* instances of  $\text{PerfMatch}_{=}^{0,1}$ . And as a synthesis of structural and quantitative complexity, we also obtain a tight lower bound for the equality-testing problem  $\text{PerfMatch}_{=}^{0,1}$ .

► **Theorem 4.** *Unless #ETH fails, the problem  $\text{PerfMatch}^{0,1}$  admits no algorithm with running time  $2^{o(m)}$  on simple graphs with  $m$  edges. Furthermore, the same applies to  $\text{PerfMatch}^{0,1}$  under the decision version ETH.*

## Organization of this paper

The remainder of this paper is structured as follows: In Section 2, we introduce the Holant framework and matchgates, concepts that are crucial to our constructions. These are put to use in Section 3, where we prove Lemma 1, our main result. Its applications, as discussed above, are shown in Section 4.

## 2 Preliminaries

Graphs in this paper may be edge- or vertex-weighted. Given a graph  $G$  and  $v \in V(G)$ , denote the edges incident with  $v$  by  $I(v)$ . If the context of an argument unambiguously determines a graph  $G$ , we write  $n = |V(G)|$  and  $m = |E(G)|$ .

We denote the Hamming weight of strings  $x \in \{0,1\}^*$  by  $\text{hw}(x)$ . Given a statement  $\varphi$ , we let  $[\varphi] = 1$  if  $\varphi$  is true, and  $[\varphi] = 0$  otherwise. For convenience, we recall that several reduction notions are distinguished in the study of counting complexity: The most restrictive notion is that of *parsimonious* (many-one) reductions, which can be slightly relaxed to *weakly parsimonious* reductions. The most permissive notion is that of *Turing* reductions.

► **Definition 5.** Let  $A$  and  $B$  be counting problems. Let  $f : \{0,1\}^* \rightarrow \{0,1\}^*$  and  $g : \{0,1\}^* \rightarrow \mathbb{Q}$  be polynomial-time computable functions. If  $A(x) = g(x) \cdot B(f(x))$  holds for all  $x \in \{0,1\}^*$ , then we call  $(f, g)$  a *weakly parsimonious (polynomial-time) reduction* from  $A$  to  $B$  and write  $A \leq_p B$ . If additionally  $g(x) = 1$  holds for all  $x \in \{0,1\}^*$ , then we call  $f$  *parsimonious* and write  $A \leq_p^{\text{pars}} B$ .

If  $\mathbb{T}$  is a deterministic polynomial-time algorithm that solves  $A$  with an oracle for  $B$ , then we call  $\mathbb{T}$  a *Turing reduction* from  $A$  to  $B$  and write  $A \leq_p^T B$ .

### 2.1 Weighted sums of (perfect) matchings

The quantity  $\text{PerfMatch}$  on edge-weighted graphs, as defined in (1) and [29], will be the central object of investigation in this paper. For intermediate steps, we also consider the quantity  $\text{MatchSum}$  introduced in [29].

► **Definition 6.** For vertex-weighted graphs  $G$  with  $w : V(G) \rightarrow \mathbb{Q}$ , let  $\mathcal{M}[G]$  denote the set of (not necessarily perfect) matchings in  $G$ . Recall that  $\mathcal{PM}[G] \subseteq \mathcal{M}[G]$  denotes the perfect matchings in  $G$ . For  $M \in \mathcal{M}[G]$ , let  $\text{usat}(M)$  denote the set of unmatched vertices in  $M$ . Then we define

$$\text{MatchSum}(G) = \sum_{M \in \mathcal{M}[G]} \prod_{v \in \text{usat}(M)} w(v).$$

Given  $W \subseteq \mathbb{Q}$ , we write  $\text{PerfMatch}^W$  for the problem of evaluating  $\text{PerfMatch}(G)$  on graphs  $G$  with weights  $w : E(G) \rightarrow W$ . Likewise, write  $\text{MatchSum}^W$  on graphs with weights  $w : V(G) \rightarrow W$ . Please note that an edge of weight 0 in  $\text{PerfMatch}$  can be treated as if it were not present, whereas weight 0 at a vertex  $v$  in  $\text{MatchSum}$  signifies that  $v$  must be matched. We can easily reduce  $\text{PerfMatch}^W$  for finite  $W \subseteq \mathbb{Q}$  to  $\text{PerfMatch}^{-1,0,1}$ :

► **Lemma 7** (folklore). *Let  $G$  be edge-weighted by  $w : E(G) \rightarrow \mathbb{Q}$ . Let  $q \in \mathbb{N}$  denote the least common denominator of the weights in  $G$ , and let  $T = \max_{e \in E(G)} q \cdot w(e)$ . Then we can compute a number  $B \in \mathbb{N}$  and an edge-weighted graph  $G'$  on  $\mathcal{O}(n + Tm)$  vertices and edges, all of weight  $\pm 1$ , such that  $\text{PerfMatch}(G) = q^{-B} \cdot \text{PerfMatch}(G')$ .*

## 2.2 Holant problems

We give an introduction to the *Holant framework*, summarizing ideas from [29, 6, 7]. A more detailed introduction to our notation can be found in [11].

► **Definition 8** (adapted from [29]). A *signature graph* is an edge-weighted graph  $\Omega$ , which may feature parallel edges, with a *vertex function*  $f_v : \{0, 1\}^{I(v)} \rightarrow \mathbb{Q}$  at each  $v \in V(\Omega)$ .

The *Holant* of  $\Omega$  is a particular sum over edge assignments  $x \in \{0, 1\}^{E(\Omega)}$ . We sometimes identify  $x$  with the set  $x^{-1}(1)$  of indices that have value 1 under  $x$ . Given  $S \subseteq E(\Omega)$ , we write  $x|_S$  for the restriction of  $x$  to  $S$ , which is the unique assignment in  $\{0, 1\}^S$  that agrees with  $x$  on  $S$ . Then we define

$$\text{Holant}(\Omega) := \sum_{x \in \{0, 1\}^{E(\Omega)}} \left( \prod_{e \in x} w(e) \right) \left( \prod_{v \in V(\Omega)} f_v(x|_{I(v)}) \right). \quad (4)$$

As a first example, we can reformulate  $\text{PerfMatch}(G)$  easily as the Holant of a signature graph  $\Omega = \Omega(G)$  by declaring  $f_v : \{0, 1\}^{I(v)} \rightarrow \{0, 1\}$  for  $v \in V(G)$  to be the vertex function that maps  $x \in \{0, 1\}^*$  to 1 iff  $\text{hw}(x) = 1$  and to 0 else.

When considering signature graphs  $\Omega$  in the following, we will always assume that  $I(v)$  for each  $v \in V(\Omega)$  is ordered in a fixed (usually implicit) way. This way, if  $v$  is a vertex of degree  $d \in \mathbb{N}$ , we can simply view  $f_v$  as a function  $f_v : \{0, 1\}^d \rightarrow \mathbb{Q}$ , and we call this representation a *signature*.

► **Example 9.** The following are signatures of arity  $k \in \mathbb{N}$  on inputs  $x \in \{0, 1\}^{[k]}$  with  $x = (x_1, \dots, x_k)$ .

$$\begin{aligned} \text{EQ} & : x \mapsto [x_1 = \dots = x_k] \\ \text{HW}_{=1} & : x \mapsto [\text{hw}(x) = 1] \\ \text{HW}_{\leq 1} & : x \mapsto [\text{hw}(x) \leq 1] \\ \text{ODD} & : x \mapsto x_1 \oplus \dots \oplus x_k \\ \text{EVEN} & : x \mapsto 1 \oplus x_1 \oplus \dots \oplus x_k. \end{aligned}$$

We may write, say,  $\text{EQ}_4$  to denote the arity-4 signature **EQ**. Note that these signatures are symmetric, as they depend only upon the Hamming weight on the input.

Similarly as for  $\text{PerfMatch}$ , we can also express  $\text{MatchSum}$  as a Holant problem.

► **Lemma 10.** *Let  $G$  be a graph with vertex-weights  $w : V(G) \rightarrow \mathbb{Q}$ . Then  $\text{MatchSum}(G) = \text{Holant}(\Omega)$  holds with the signature graph  $\Omega$  that is derived from  $G$  by placing  $\text{VTX}_w$  at  $v \in V(G)$  and assigning weight 1 to all edges. Here,  $\text{VTX}_w$  for  $w \in \mathbb{Q}$  is defined as*

$$\text{VTX}_w : x \mapsto \begin{cases} w & \text{if } \text{hw}(x) = 0, \\ 1 & \text{if } \text{hw}(x) = 1, \\ 0 & \text{otherwise.} \end{cases}$$

We can easily reduce edge-weighted Holant problems to unweighted versions as follows.

► **Lemma 11.** Let  $\Omega'$  be defined as follows from  $\Omega$ : Subdivide each edge  $e \in E(\Omega)$  into two edges, assign weight 1 to the obtained subdivision edges, and equip the obtained subdivision vertices with the signature  $\text{EDGE}_{w(e)}$ , where

$$\text{EDGE}_w : x \mapsto \begin{cases} w & \text{if } x = 11, \\ 0 & \text{if } x \in \{01, 10\}, \\ 1 & \text{if } x = 00. \end{cases}$$

Then  $\Omega'$  features only the edge-weight 1, and we have  $\text{Holant}(\Omega) = \text{Holant}(\Omega')$ .

Finally, a signature is called *even* if its support contains only bitstrings of even Hamming weight. The problem  $\#\text{SAT}$  can be rephrased as a Holant problem with even signatures:

► **Lemma 12.** For  $n, m, d \in \mathbb{N}$ , let  $\varphi$  be a  $d$ -CNF formula on variables  $x_1, \dots, x_n$  and clauses  $c_1, \dots, c_m$ . We construct a signature graph  $\Omega$  as follows:

- For each  $i \in [n]$ , let  $r(i)$  denote the number of occurrences of  $x_i$  (as a positive or negative literal) in  $\varphi$ . Create a variable vertex  $v_i$  in  $\Omega$ , with signature  $\text{EQ}_{2r(i)}$ .
- For each  $j \in [m]$ , let  $x_{i_1}, \dots, x_{i_d}$  be the variables that clause  $c_j$  depends upon. We create a clause vertex  $w_j$  in  $\Omega$ , and for  $\kappa \in [d]$ , we add two parallel edges of weight 1 between  $w_j$  and  $v_{i_\kappa}$  as the  $2\kappa - 1$ -th and  $2\kappa$ -th edges in the ordering of  $I(w_j)$ .
- For each  $j \in [m]$ , consider clause  $c_j$  as a Boolean function on variables  $z_1, \dots, z_d$ , where  $z_i$  for  $i \in [d]$  represents the  $i$ -th variable in  $c_j$ . Define a function  $c'_j$  on variables  $y_1, \dots, y_{2d}$  that outputs  $c_j(y_1, y_3, \dots, y_{2d-1})$  if  $y_{2i} = y_{2i-1}$  for all  $i \in [d]$ . On all other inputs, the value of  $c'_j$  is defined to be zero. Assign such a signature  $c'_j$  to the vertex  $w_j$ .

Then we have  $\#\text{SAT}(\varphi) = \text{Holant}(\Omega)$ . Note that  $\Omega$  is a signature graph with  $n + m$  vertices and  $2dm$  edges that features only even signatures and the edge-weight 1.

► **Remark.** The degree of clause vertices above is  $2d$  rather than  $d$  to ensure that their signatures are even. The need for this will become clear in the next subsection.

### 2.3 Gates and matchgates

Given a signature graph  $\Omega$ , we can sometimes simulate vertex functions by gadgets or *gates*, which are signature graphs with so-called *dangling edges* that feature only one endpoint. These notions are borrowed from the  $\mathcal{F}$ -gates in [7]. Matchgates were first considered in [29].

► **Definition 13** (adapted from [7]). For disjoint sets  $A, B$ , and for assignments  $x \in \{0, 1\}^A$  and  $y \in \{0, 1\}^B$ , we write  $xy \in \{0, 1\}^{A \cup B}$  for the assignment that agrees with  $x$  on  $A$ , and with  $y$  on  $B$ . We also say that the assignment  $xy$  *extends*  $x$ . A *gate* is a signature graph  $\Gamma$  containing a set  $D \subseteq E(\Gamma)$  of dangling edges, all of which have edge-weight 1. The *signature realized by*  $\Gamma$  is the function  $\text{Sig}(\Gamma) : \{0, 1\}^D \rightarrow \mathbb{Q}$  that maps  $x$  to

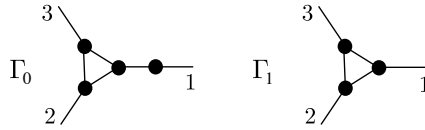
$$\text{Sig}(\Gamma, x) = \sum_{y \in \{0, 1\}^{E(\Gamma) \setminus D}} \left( \prod_{e \in xy} w(e) \right) \left( \prod_{v \in V(\Gamma)} f_v(xy|_{I(v)}) \right). \quad (5)$$

A gate  $\Gamma$  is a *matchgate* if it features only the signature  $\text{HW}_{=1}$ .

In the following, we consider the dangling edges  $D$  of gates  $\Gamma$  to be labelled as  $1, \dots, |D|$ . This way, we can view  $\text{Sig}(\Gamma)$  as a function of type  $\{0, 1\}^{|D|} \rightarrow \mathbb{Q}$  instead of  $\{0, 1\}^D \rightarrow \mathbb{Q}$ . We will use gates to realize required signatures as “gadgets” consisting of other (usually simpler) signatures. Consider the following example, which appeared in [29].



► **Example 14.** It can be verified that  $\text{EVEN}_3$  and  $\text{ODD}_3$  are realized by the matchgates  $\Gamma_0$  and  $\Gamma_1$  below, where all vertices are assigned  $\text{HW}_{-1}$  and all edges have weight 1.



Using this, we can realize the signatures  $\text{ODD}_k$  and  $\text{EVEN}_k$  for any arity  $k \geq 3$  as matchgates, noted also in [29, Theorem 3.3]. This will be required in Section 3.

► **Example 15.** For all  $k \geq 3$ , there exists a gate  $\Gamma_{\text{EVEN}}$  with  $\text{Sig}(\Gamma_{\text{EVEN}}) = \text{EVEN}_k$ . It consists of vertices  $v_1, \dots, v_{k-2}$  equipped with  $\text{EVEN}_3$ , edges  $e_1, \dots, e_{k-3}$  of weight 1, and dangling edges  $[k]$ .



We can likewise realize  $\text{ODD}_k$  by a gate  $\Gamma_{\text{ODD}}$  that is constructed as above, but with  $\text{ODD}_3$  rather than  $\text{EVEN}_3$  at  $v_{k-2}$ .

In the following, we formalize the operation of *inserting* a gate  $\Gamma$  into a signature graph so as to simulate a desired signature. A more detailed version of this operation can be found in Definition 2.10 and Lemma 2.11 of [11].

► **Lemma 16.** Let  $\Omega$  be a signature graph, let  $v \in V(\Omega)$  with  $D = I(v)$  and let  $\Gamma$  be a gate with dangling edges  $D$ . We can insert  $\Gamma$  at  $v$  by deleting  $v$  and keeping  $D$  as dangling edges, and then placing  $\Gamma$  into  $\Omega$  and identifying each dangling edge  $e \in D$  across  $\Gamma$  and  $\Omega$ . If  $\Omega'$  is derived from  $\Omega$  by inserting a gate  $\Gamma$  with  $\text{Sig}(\Gamma) = f_v$  at  $v$ , then  $\text{Holant}(\Omega) = \text{Holant}(\Omega')$ .

By an argument presented in the author’s PhD thesis [11], also used in [12], we can realize every even signature  $f$  by some matchgate  $\Gamma = \Gamma(f)$ . If the image of  $f$  is  $W$ , then  $\Gamma$  contains  $W \cup \{\pm 1, 1/2\}$  as edge-weights. For sake of completeness, we include a self-contained proof in the full version.

► **Lemma 17** ([11, 12]). Let  $\Omega$  be a signature graph on  $n$  vertices and  $m$  edges, with even vertex functions  $\{f_v\}_{v \in V(\Omega)}$  that map into  $W \subseteq \mathbb{Q}$ . Let  $s = \max_{v \in V(\Omega)} |\text{supp}(f_v)|$ . Then we can construct, in linear time, a graph  $G$  on  $\mathcal{O}(n + sm)$  vertices and edges such that  $\text{Holant}(\Omega) = \text{PerfMatch}(G)$ . The edge-weights of  $G$  are  $W \cup \{\pm 1, 1/2\}$ .

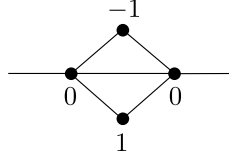
### 3 The parity separation technique

We are ready to prove Lemma 1, our main result. The proof proceeds by establishing, with several intermediate steps, the reduction chain

$$\text{PerfMatch}^{-1,0,1} \leq_p \text{MatchSum}^{-1,0,1} \leq_p^T \text{PerfMatch}^{0,1}. \tag{6}$$

For the first reduction in (6), we apply a gadget  $\Gamma$  realizing the signature  $\text{EDGE}_{-1}$  from Lemma 11 to all edges of weight  $-1$ .

► **Lemma 18.** We have  $\text{EDGE}_{-1} = \text{Sig}(\Gamma)$ , where  $\Gamma$  is the gate below. In  $\Gamma$ , each vertex features the signature  $\text{VTX}_w$  for the number  $w \in \{-1, 0, 1\}$  it is annotated with in the drawing.



This allows us to transform an instance for  $\text{PerfMatch}^{-1,0,1}$  to one for  $\text{MatchSum}^{-1,0,1}$ .

► **Lemma 19.** *Let  $G$  be a graph with  $n$  vertices and  $m$  edges, all of weight  $\pm 1$ . Then we can compute a graph  $G'$  on  $\mathcal{O}(n + m)$  edges, with vertices of weight  $\{-1, 0, 1\}$ , such that  $\text{PerfMatch}(G) = \text{MatchSum}(G')$ .*

**Proof.** We assume that  $|V(G)|$  is even, as otherwise  $\text{PerfMatch}(G) = 0$ . First, let  $\Omega$  be the signature graph constructed by assigning  $\text{HW}_{=1}$  to all vertices of  $G$ , and then applying the signature  $\text{EDGE}_{-1}$  as in Lemma 11. We obtain  $\text{PerfMatch}(G) = \text{Holant}(\Omega)$ .

Then realize each occurrence of  $\text{EDGE}_{-1}$  by the gate  $\Gamma$  from Lemma 18. Note that  $\Gamma$  features no edge-weights, and only  $\text{VTX}_w$  for  $w \in \{-1, 0, 1\}$ . We obtain a signature graph  $\Omega'$  whose signatures are all of the type  $\text{VTX}_w$  for  $w \in \{-1, 0, 1\}$ , and which satisfies  $\text{Holant}(\Omega) = \text{Holant}(\Omega')$ . Note that  $\text{HW}_{=1} = \text{VTX}_0$ , so this indeed covers all vertices of  $\Omega'$ .

By Lemma 10, we may equivalently consider  $\text{Holant}(\Omega') = \text{MatchSum}(G')$ , where  $G'$  is a vertex-weighted graph obtained from  $\Omega'$  as follows: Keep all vertices and edges of  $\Omega'$  intact, and if  $v \in V(\Omega')$  features the signature  $\text{VTX}_w$ , for  $w \in \{-1, 0, 1\}$ , then assign the vertex weight  $w$  to  $v$  in  $G'$ . ◀

For the second reduction in (6), we perform the actual act of parity separation: We will split the vertex-weighted graph  $G'$  into an even part  $G_0$  and an odd part  $G_1$ , both unweighted, such that the *perfect* matchings of the even (resp. odd) part correspond bijectively to the matchings of  $G'$  with an even (resp. odd) number of unmatched vertices of weight  $-1$ .<sup>3</sup> Since  $(-1)^{\text{even}} = 1$  and  $(-1)^{\text{odd}} = -1$ , this clearly implies that  $\text{MatchSum}(G)$  is the difference of  $\text{PerfMatch}(G_0)$  and  $\text{PerfMatch}(G_1)$ .

To proceed, we first use the signatures **EVEN** and **ODD** from Example 9 to obtain an alternative reformulation of  $\text{MatchSum}^{-1,0,1}$  as the difference of two Holants.

► **Lemma 20.** *Let  $G'$  be a graph with vertex-weights  $\{-1, 0, 1\}$ . For  $a, b \in \{0, 1\}$ , let  $\Phi_{ab} = \Phi_{ab}(G')$  be the signature graph obtained as follows:*

1. *Assign the signature  $\text{HW}_{=1}$  to all vertices of  $G'$ .*
2. *For  $x \in \{-1, 0, 1\}$ , let  $V_x \subseteq V(G')$  denote the set of vertices of weight  $x$  in  $G'$ . For  $x \in \{-1, 1\}$ , add a vertex  $u_x$  connected to  $V_x$ .*
  - *Assign to  $u_{-1}$  the signature **EVEN** if  $a = 0$ , and assign **ODD** if  $a = 1$ .*
  - *Assign to  $u_1$  the signature **EVEN** if  $b = 0$ , and assign **ODD** if  $b = 1$ .*

*Then we have  $\text{MatchSum}(G') = \text{Holant}(\Phi_{00}) - \text{Holant}(\Phi_{11})$ .*

The second reduction in (6) follows by realizing the signatures **ODD** and **EVEN** appearing in  $\Phi_{00}$  and  $\Phi_{11}$  via matchgates that feature neither edge- nor vertex-weights. Note that the only other appearing signature  $\text{HW}_{=1}$  is trivially realized by such a matchgate.

**Proof of Lemma 1.** Follows from Lemma 19 (to reduce  $\text{PerfMatch}^{-1,0,1}$  to  $\text{MatchSum}^{-1,0,1}$ ) with Lemma 20 (to reformulate  $\text{MatchSum}^{-1,0,1}$  as a Holant problem) and Example 15 (to realize the **ODD** and **EVEN** signatures in the Holant problem by unweighted matchgates). ◀

<sup>3</sup> This step is inspired by a reduction [29, Theorem 3.3] from certain instances of  $\text{MatchSum}$  on planar graphs to  $\text{PerfMatch}$  on planar graphs.

## 4 Parity separation in action

In the final section of this paper, we cover the three applications of parity separation that we discussed in the introduction.

### 4.1 Completeness for #P

We can easily show the #P-completeness of  $\text{PerfMatch}^{0,1}$  via parity separation. To this end, we first express #SAT as a Holant problem on even signature graphs, as seen in Lemma 12. Together with Lemma 17, this yields  $\#\text{SAT} \leq_p \text{PerfMatch}^B$  with  $B = \{-1, 0, 1/2, 1\}$ . We use Lemma 7 to remove the edge-weight  $1/2$ , and finally remove the weight  $-1$  by parity separation as in Lemma 1. Altogether, we obtain the following lemma.

► **Lemma 21.** *Let  $\varphi$  be a 3-CNF formula with  $n$  variables and  $m$  clauses. Then we can compute a number  $T \in \mathbb{N}$  and construct two unweighted graphs  $G_1$  and  $G_2$  on  $\mathcal{O}(n+m)$  vertices and edges, all in time  $\mathcal{O}(n+m)$ , such that  $2^T \cdot \#\text{SAT}(\varphi) = \text{PerfMatch}(G_1) - \text{PerfMatch}(G_2)$ .*

This readily implies Theorem 2, the desired #P-completeness result.

### 4.2 Completeness for C=P

For our next application, we apply the parity separation technique to prove Theorem 3. That is, we prove C=P-completeness of the problem  $\text{PerfMatch}_{=}^{0,1}$  that asks, given two unweighted graphs  $G_1$  and  $G_2$ , whether their numbers of perfect matchings agree. We call graphs satisfying this property *equipollent graphs* and will likewise speak of *equipollent formulas* if their numbers of satisfying assignments agree.

**Proof of Theorem 3.** The problem  $\text{PerfMatch}_{=}^{0,1}$  is clearly contained in C=P. For the hardness part, we reduce from the C=P-complete problem #SAT<sub>=</sub> that asks, given 3-CNF formulas  $\varphi$  and  $\varphi'$ , to determine whether they are equipollent. To this end, we construct unweighted graphs  $G$  and  $G'$  that are equipollent if and only if  $\varphi$  and  $\varphi'$  are.

Assume that  $\varphi$  and  $\varphi'$  are defined on the same set of variables  $x_1, \dots, x_n$  and feature the same number  $m$  of clauses. This can be achieved by renaming variables, and by adding dummy variables and clauses. If, say,  $\varphi$  has less variables than  $\varphi'$ , then we can add dummy variables to  $\varphi'$ , together with clauses that ensure that every dummy variable has the same assignment as  $x_1$ . We can also duplicate clauses.

Let  $C_1, \dots, C_m$  and  $C'_1, \dots, C'_m$  denote the clauses in  $\varphi$  and  $\varphi'$ , respectively. We introduce a *selector* variable  $x^*$  and define a formula  $\psi$  on the variable set  $\mathcal{X} = \{x^*, x_1, \dots, x_n\}$ , which has clauses  $D_1, \dots, D_m$  and  $D'_1, \dots, D'_m$ , where  $D_i := (x^* \vee C_i)$  and  $D'_i := (\neg x^* \vee C'_i)$  for  $i \in [m]$ . If  $a(x^*) = 0$  holds in an assignment  $a \in \{0, 1\}^{\mathcal{X}}$ , then all clauses  $D'_1, \dots, D'_m$  are satisfied by  $\neg x^*$ , but in order for  $a$  to satisfy  $\psi$ , the clauses  $D_1, \dots, D_m$  have to be satisfied by  $x_1, \dots, x_n$ . In other words, if  $a$  satisfies  $\psi$  and  $a(x^*) = 0$ , then the restriction of  $a$  to  $x_1, \dots, x_n$  satisfies  $\varphi$ . Likewise, if  $a$  satisfies  $\psi$  and  $a(x^*) = 1$ , then the restriction of  $a$  to  $x_1, \dots, x_n$  satisfies  $\varphi'$ . Hence, we can define the following quantity

$$S := \sum_{a \in \{0,1\}^{\mathcal{X}}} (-1)^{a(x^*)} \cdot [\psi \text{ satisfied by } a]$$

and we observe that  $S = \#\text{SAT}(\varphi) - \#\text{SAT}(\varphi')$ . It is clear that  $S = 0$  if and only if  $\varphi$  and  $\varphi'$  are equipollent. As in Lemma 12, we then express  $S = \text{Holant}(\Omega)$  for a signature graph

$\Omega = \Omega(\psi)$ , with one modification: At the vertex  $v^*$  corresponding to the variable  $x^*$ , we do not use the signature EQ, but rather a modified signature

$$\text{EQ}_- : y \mapsto \begin{cases} -1 & \text{if } y = 1 \dots 1, \\ 1 & \text{if } y = 0 \dots 0, \\ 0 & \text{otherwise.} \end{cases}$$

We realize  $\Omega$  via Lemma 17 to obtain a graph  $G$  on edge-weights  $1/2, \pm 1$ , simulate the edge-weight  $1/2$  via Lemma 7, and obtain an edge-weighted graph  $H$  with weights  $\pm 1$  together with a number  $T \in \mathbb{N}$  such that

$$S = \text{Holant}(\Omega) = 2^{-T} \cdot \text{PerfMatch}(H). \quad (7)$$

Using Lemma 1, we then obtain unweighted graphs  $G$  and  $G'$  such that

$$\text{PerfMatch}(H) = \text{PerfMatch}(G) - \text{PerfMatch}(G'). \quad (8)$$

Then  $G$  and  $G'$  are equipollent iff  $S = 0$ , which in turn holds iff  $\varphi$  and  $\varphi'$  are equipollent. ◀

### 4.3 Tight lower bounds under #ETH

By the exponential-time hypothesis #ETH, there is no  $2^{o(n)}$  time algorithm for counting satisfying assignments to 3-CNF formulas  $\varphi$  with  $n$  variables. Applying the counting version of the so-called sparsification lemma, shown in [13], we may additionally assume that  $\varphi$  features  $m = \mathcal{O}(n)$  clauses. Then Lemma 21 clearly implies the lower bound for  $\text{PerfMatch}^{0,1}$  claimed in Theorem 4.

Concerning  $\text{PerfMatch}^{0,1}$ , it is even easier to prove lower bounds under ETH than to prove its  $\text{C=P}$ -completeness, as we may (i) reduce from SAT rather than  $\text{SAT}_=$ , and (ii) use the more permissive notion of Turing (rather than many-one) reductions: With Lemma 21, we can construct unweighted graphs  $G_1$  and  $G_2$  on  $\mathcal{O}(m)$  vertices and edges that are equipollent iff  $\varphi$  is *unsatisfiable*, thus a  $2^{o(m)}$  time algorithm would contradict ETH. This proves Theorem 4.

## 5 Conclusion and future work

We have added a new method to the known techniques (modular arithmetic and polynomial interpolation) for removing the edge-weight  $-1$  from  $\text{PerfMatch}^{-1,0,1}$ . This method is based on matchgates and the simple observation that  $(-1)^{\text{even}} = 1$  and  $(-1)^{\text{odd}} = -1$ . We obtained non-trivial applications that could not be obtained via the previously known techniques.

Our work leaves some interesting questions open for further investigations. For instance, we could not find a way to show #P-completeness of  $\text{PerfMatch}^{0,1}$  on *bipartite* graphs by parity separation. Is there a complexity-theoretic explanation for this? On another note, can we prove  $\text{C=P}$ -completeness for other “equality-testing” versions of counting problems?

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