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DOI: 10.1002/cctc.201((will be completed by the editorial staff))

Direct Synthesis of Cyclic Imides from Carboxylic Anhydrides and Amines by Nb2O⁵ as a Water-tolerant Lewis acid Catalyst

Md. A. Ali,^[a] Sondomoyee K. Moromi,^[a] Abeda S. Touchy,^[a] Ken-ichi Shimizu*^[a,b]

Dedication ((optional))

Abstract: In the 20 types of heterogeneous and homogenous catalysts screened, $Nb₂O₅$ shows the highest activity for synthesis of *N*-phenylsuccinimide by dehydrative condensation of succinic anhydride and aniline. $Nb₂O₅$ is applicable to the direct imidation a wide range of carboxylic anhydrides with NH₃ or amines with various functional groups and is reusable. Kinetic studies show that Lewis acid catalysis of $Nb₂O₅$ is more water-tolerant than a Lewis acidic oxide $(TiO₂)$ and a homogeneous Lewis acid $(ZrCl₄)$, which results in higher yield of imides by $Nb₂O₅$.

Cyclic imides and their derivatives are an important class of substrates for biological and chemical applications^[1,2] and used as intermediates in the industrial production of drugs, dyes and polymers.^[1a,1b,2] However, sustainable synthetic methods of cyclic imides from readily available starting materials are limited. General methods for synthesis of cyclic imides are the dehydrative condensation of a dicarboxylic acid^[3] or its anhydride^[3f,4,5] with an amine under harsh conditions (250-380 °C, \sim 330 bar)^[3a,b] or under microwave heating,^[5] and the cyclization of an amic acid with the help of acidic reagents or in the presence of excess amount of promoter (Lewis acid, base, dehydrating agent). These methods suffer from some of the drawbacks of low atom-efficiency, limited substrate scope, production of stoichiometric amount of byproducts, and need of special procedure (microwave heating). New synthetic routs from nitriles,^[7] halides,^[8] alkynes,^[9] aryl boronic acids,^[10] aromatic amides,^[11] aliphatic amides,^[12] cyclic amines^[13] have been developed, but these homogeneous catalytic methods have drawbacks of low atom-efficiency, narrow substrate scope, needs of toxic reagents or additives, and difficulties in catalyst/products separation and catalyst reuse. For example, a reusable heterogeneous catalytic system by Pd/C^[8d] suffers from needs of halides and CO as less environmentally benign reagents. One of the most effective synthesis of cyclic imides via dehydrogenative coupling of diols and amines (or nitriles) catalyzed by a Ru complex^[1a,14] still suffers from limited

[a] *Md. A. Ali, S. K. Moromi, Dr. A. S. Touchy,. Prof. Dr. K. Shimizu Institute for Catalysis, Hokkaido University, N-21, W-10, Sapporo (Japan) E-mail: kshimizu@cat.hokudai.ac.jp*

[b] *Prof. Dr. K. Shimizu Elements Strategy Initiative for Catalysis and Batteries, Kyoto*

University, Katsura, Kyoto 615-8520 (Japan)

substrate scope of diols and amines.

Catalytic synthesis of cyclic imides by condensation of cyclic anhydrides with amines is one of the most deriable route. A few catalytic methods using TaCl₅/SiO₂^[15a,15b] or DABCO^[15c] were reported to synthesize cyclic imides from cyclic anhydrides with amines. These methods^[15] suffer from some of the drawbacks such as quite limited substrate scope, no results on the catalyst reuse, and needs of large catalyst loading and special method (microwave heating).^[15a,15b] Potentially, the reaction is catalyzed by Lewis acid, but co-presence of water as byproduct can suppress Lewis acidity by hindering coordination. Inspired by recent reports that several metal oxides, such as $Nb₂O₅$, $^[6a]$ act as water-tolerant Lewis acid</sup> catalysts,^[16] we have recently reported that $Nb₂O₅$ acts as water-tolerant Lewis acid catalyst for direct imidation of dicarboxylic acids with amines^[17] and direct amidation of esters with amines.^[18] We reported our preliminary results on cyclic imides synthesis from cyclic anhydride, [17] but detailed catalytic properties such as substrate scope and kinetic studies were not reported. Here, we report a general catalytic method of direct cyclic imides synthesis from cyclic anhydride with amines (or ammonia) under solvent-free conditions.

 $Nb₂O₅$ (surface area = 54 m² g⁻¹) was prepared by calcination of niobic acid (supplied by CBMM) at 500 °C for 3 h, and Lewis acidic characteristics of $Nb₂O₅$ were reported in our previous studies.^[17-19]

As listed in Table 1, 20 types of the heterogeneous and homogeneous catalysts were screened for the model imidation of the equimolar amount of succinic anhydride and aniline under s conditions at 140 °C for 15 h (Table 1). Note that the reaction hardly proceeded in the catalyst-free conditions (entry 1). Thus, Table 1 shows the results of catalytic imidation. First, we screened 12 types of simple metal oxides (entries 2-13). Among the metal oxides tested, $Nb₂O₅$ showed the highest yield (90%) of the corresponding imide, *N*-phenyl succimide. Hydrate of $Nb₂O₅$ called niobic acid (entry 3) gave lower yield (22%) than $Nb₂O₅$. Two of the oxides having Lewis acidity $(ZrO₂$ and TiO₂)^[19,20] show moderate yields of 59-65% (entries 4,5). The other oxides, such as $SnO₂$, $γ-Al₂O₃$, $SiO₂$ and CaO, showed low yields of 8-45%. Next, we tested conventional solid acids such as a Lewis acidic clay, $Fe³⁺$ -mont (entry 14), HBEA zeolite (entry 16), and water-tolerant Brønsted acid catalysts, including HZSM5 zeolite with $SiO₂/Al₂O₃$ ratio of 300 (entry 15) and commercial acidic resins (entries $17,18$).^[21] These solid acids gave low to moderate yields (31-60%) of *N*phenyl succimide. Finally, we tested homogeneous Lewis acids^[22] (entries 19-21) including a water-tolerant Lewis acids,^[22c,22d] Sc(OTf)₃ (entry 21). These homogeneous catalysts gave low yields of the product (18-44%).

Table 1. Catalyst screening for synthesis of cyclic imide from anhydrides.

With the most effective catalyst $(Nb₂O₅)$, we tested the model reaction in the absence and the presence of different solvent (Table S1). We found that the solvent-free conditions showed the higher yield than those in the solvent such as toluene and *o*-xylene.

In order to discuss a possible reason why $Nb₂O₅$ showed the high catalytic activity for the model reaction of succinic anhydride with aniline, we studied the kinetic experiments. First, we measured initial rates of the imide formation in the absence and in the presence of $H₂O$ (1, 3 and 5 mmol) using 50 mg of the catalysts. Two heterogeneous Lewis acid catalysts $(Nb₂O₅)$ and TiO₂) and a homogeneous Lewis acid catalyst (ZrCl₄)^[22a] were selected for a comparative purpose. Note that the rates were measured under the conditions where the conversions were below 40%. Figure 1A plots the reaction rates as a function of the initial concentration of water. For all the catalysts, the addition of water decreased the reaction rates, and the rate was lower at higher concentration of water. Figure 1B shows double logarithmic plots for the results in the presence of water in the initial mixture, in which the slope of the line corresponds to the reaction order with respect to water. The reaction orders are -0.11 , -0.34 , -0.50 for $Nb₂O₅$, TiO₂ and

ZrCl4, respectively, which clearly indicate that the negative impact of water increases in the order of $Nb₂O₅ < TiO₂ < ZrCl₄$. Figure 2 compares the time-yield profiles for the imidation in the absence of water. The initial slopes for $Nb₂O₅$, TiO₂ and ZrCl⁴ do not markedly depend on the catalysts, but the final yield after 15 h depends strongly on the catalysts. The yield for Nb2O⁵ monotonically increased with time, while the yields for TiO² and ZrCl⁴ leveled off. Considering that water is produced during the dehydrative condensation reaction, combined with the result that negative impact of water increases in the order of $Nb₂O₅$ < TiO₂ < ZrCl₄ (Figure 1), the result in Figure 2 indicates that the water molecules formed during the reaction inhibit the Lewis acid catalysis of $TiO₂$ and $ZrCl₄$, whereas the water molecules do not markedly inhibit the Lewis acid catalysis of $Nb₂O₅$. In other words, $Nb₂O₅$ is a more watertolerance Lewis acid catalyst than $TiO₂$ and $ZrCl₄$.

Figure 1. Initial rate for imidation of succinic anhydride (1 mmol) with aniline (1 mmol) in the presence of $H₂O$ (0, 1, 3 and 5 mmol) catalyzed by 50 mg of $Nb₂O₅$, TiO₂ or ZrCl₄ as a function of the initial concentration of water.

Figure 2. Time-yield profiles for imidation of succinic anhydride (1 mmol) with aniline (1 mmol) catalyzed by 50 mg of $Nb₂O₅$, TiO₂ or ZrCl₄.

Next, we studied effectiveness of the $Nb₂O₅$ -catalyzed imidation of carboxylic anhydrides with amines. The result of gram scale reaction is shown in eqn (1). The reaction of 10 mmol of succinic anhydride with 10 mmol of *n*-octylamine with 50 mg of $Nb₂O₅$ for 67 h gave 79% yield of the corresponding imide. Previously, we reported the number of surface Lewis acid sites on the $Nb₂O₅$ catalyst by pyridine adsorption IR experiment at 200° C.^[17,19] The turnover number (TON) with respect to Lewis acid site of $Nb₂O₅$ (0.056 mmol g⁻¹) was calculated to be 2820.

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Figure 3 shows the reusability of $Nb₂O₅$ for the imidation of succinic anhydride (1 mmol) with *n*-octylamine (1 mmol) for 15 h. After the reaction, 4 mL of 2-propanol was added to the mixture, and the catalyst was separated from the mixture by centrifugation, followed by washing with acetone, and by drying at 90 °C for 3 h. The recovered catalyst was reused for four times without a marked decrease in the yield. ICP-AES analysis of the solution confirmed that the content of Nb in the solution was below the detection limit. From the results, we can conclude that $Nb₂O₅$ is as a reusable heterogeneous catalyst for the title reaction.

Figure 3. Reuse of Nb₂O₅ for imidation of succinic anhydride with *n*-octylamine under the conditions in Scheme 1.

Scheme 1. Substrate scope for imidation of succinic anhydride with different amines.

Scheme 2. Synthesis of phthalimides from phthalic anhydride and various primary amines.

Scheme 3 Synthesis of *N*-substituted cyclic imide from cyclic anhydrides with *n*-octylamine.

Scheme 4 Imidation of cyclic anhydride and ammonia.

Finally, we studied substrate scope for the present catalytic system. Scheme 1 shows the results of imidation of succinic anhydride (1 mmol) with different amines (1 mmol). Under the standard solvent-free conditions using a small amount of $Nb₂O₅$ (0.29 mol% based on the number of Lewis acid sites on $Nb₂O₅^[17,19]$, the mixture was heated at 140 °C for 15 h.

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Anilines with different functional groups (H-, MeO-, Cl-) at *para*position, benzylamines, heteroaromatic amines with pyridyl and furanyl groups, linear and cyclic aliphatic amines and amines with phenyl and hydroxyl groups were converted to the corresponding *N*-aryl imides with good to high isolated yields (65-98%).

The method was also effective for direct synthesis of phthalimides from readily available phthalic anhydride and equimolar amount of amines (Scheme 2). Benzyl amine, heteroaromatic amine, anilines with electron rich and electron poor groups, cyclohexylamine, phenylehtylamine, and *n*octylamine were converted to the corresponding *N*-substituted phthalimides in modarate to high isolated yields (55-92%).

Scheme 3 shows the reactions of *n*-octylamine with various cyclic anhydrides. Gluteric anhydride, 1,8-naphthalic anhydride and 4-nitrophthalic anhydride were transformed to the corresponding *N*-substituted cyclic imides in moderate to high isolated yields (65-88%).

It is important to note that unsubstituted cyclic imides are also synthesized from cyclic anhydrides and ammonia under azeotropic reflux conditions in *n*-octane (Scheme 4). The reactions of succinic anhydride and phthalic anhydride in the closed stainless reactor under 3 bar $NH₃$ at 140 °C resulted in 78% yield succinimide and 81% yield of phthalimide, respectively.

Summarizing the above results, we can conclude that the present catalytic method with $Nb₂O₅$ is widely applicable to the direct imidation of various carboxylic anhydrides with ammonia or amines with various functional groups. To our knowledge, this is the first general catalytic method of imides synthesis from carboxylic anhydrides and amines using a reusable catalyst.

In conclusion, we have found that cyclic imides can be synthesized directly from various cyclic anhydrides with various amines or ammonia using $Nb₂O₅$ as reusable heterogeneous catalyst. This is a simple and general catalytic system for the synthesis of cyclic imides from readily available cyclic anhydrides and amines. Kinetic studies indicate that Lewis acid site of $Nb₂O₅$ has high tolerance to water, which results in high catalytic activity for imidation even in the presence of water formed during the reaction.

Acknowledgements

This work was supported by Grant-in-Aids for Scientific Research B (26289299) from MEXT (Japan), a MEXT program "Elements Strategy Initiative to Form Core Research Center" and a Grant-in-Aid for Scientific Research on Innovative Areas "Nano Informatics" (25106010) from JSPS.

Keywords: amination • ketones • primary amines • Lewis acid

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This paper reports a general catalytic method of direct cyclic imides synthesis from cyclic anhydrides with amines (or ammonia) under solvent-free conditions.

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