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Techniques and issues in breath and clinical sample headspace analysis for disease diagnosis

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Abstract

Analysis of volatile organic compounds (VOCs) from breath or clinical samples for disease diagnosis is an attractive proposition because it is non-invasive and rapid. There are numerous studies showing its potential, yet there are barriers to its development. Sampling and sample handling is difficult, and when coupled with a variety of analytical instrumentation, the same samples can give different results. Background air and the environment a person has been exposed to can greatly affect the VOCs emitted by the body, however this is not an easy problem to solve. This review investigates the use of VOCs in disease diagnosis, the analytical techniques employed and the problems associated with sample handling and standardization. It then suggests the barriers to future development.

Keywords

VOCs, breath analysis, biomarkers, SIFT-MS, GC-MS, headspace analysis, disease diagnosis, PTR-MS, e-nose, spectroscopy,

Executive summary

Introduction

- It has been known for centuries that some diseases have an odour associated with them
- Modern volatile organic compound (VOC) analysis for disease diagnosis has arisen from this

The origin of VOCs

- VOCs arise from normal or abnormal metabolic processes in the body and from the bacteria that live in or on the body
- Some illnesses results in a difference of the profile of VOCs emitted in breath or from other body fluids
- Infectious disease may also produce a change in the profile of VOCs.
- VOCs also arise from the body through exposure to them in the environment

33 *Sampling and handling considerations*

- 34 • A major difficulty in using VOCs in diagnosing or detecting disease is being able to
35 handle and store them
- 36 • Whole breath may be analysed directly if it is possible to get the patient to the
37 instrument
- 38 • If it is not possible to do this, samples need to be stored
- 39 • Whole breath can be stored in sample bags or evacuated metal canisters
- 40 • If whole breath cannot be stored, sorbent methods such as SPME (solid phase micro-
41 extraction) or the use of sorbent tubes can be coupled with analytical techniques
42 such as gas chromatography mass-spectrometry
- 43 • These indirect methods are sensitive but not all compounds may be detected and
44 quantified.

45 *Techniques*

- 46 • Trace gas analysis mass spectrometric techniques offer rapid and direct analysis but
47 are often cumbersome and expensive
- 48 • Laser based spectroscopic techniques are rapid and direct and may be used instead
49 of mass spectrometry for some compounds
- 50 • Non-specific sensors may be assembled into an array called an electronic nose,
51 which respond to different odours by producing a complex signal. E-noses are rapid,
52 portable and relatively inexpensive but cannot identify individual compounds.
- 53 • The most widely used technique is a combination of gas chromatography (GC) and
54 mass spectrometry (MS). Sample components are separated by GC and then
55 identified by MS. This is a powerful technique, but it is slow, cumbersome and
56 expensive.

57 *Backgrounds*

- 58 • The environment to which a subject has been exposed will contribute its own VOCs,
59 and these will be exhaled or excreted by the body for some time after, depending on
60 their retention co-efficient in the body.
- 61 • There needs to be some way of accounting for the variation in background
62 environments to which subjects are exposed.
- 63 • There is no perfect way of accounting for background air, but several methods have
64 been tried, for example by analyzing the background and subtracting those VOC
65 concentrations, calculating retention co-efficients for compounds of interest, or
66 selecting matched controls who have lived in a similar environment.

67 *Standardisation*

- 68 • There are no acknowledged standardized ways of taking, handling, storing and
69 analyzing breath and clinical fluid samples for VOC analysis
- 70 • As there are many different methods for taking and analyzing samples,
71 standardization methods need to focus on ensuring that each method should give
72 the same results when analyzing identical samples.
- 73 • This can be achieved through using standardised artificial breath test mixtures and
74 validating methods against these.

75 *Future perspective*

- 76 • VOC analysis for disease diagnosis is promising but progress is slow
- 77 • Standardization is necessary
- 78 • Profiles from multiple compounds is likely to be more robust at diagnosis than the
79 use of individual marker compounds
- 80 • Properly validated statistical methods are needed to ensure findings are robust and
81 repeatable
- 82 • This approach has great potential but further work is needed to ensure it is at least
83 as robust and accurate as existing diagnostic techniques
- 84

85 **Key references**

86 *References of considerable interest ***

87 [10], [43]. These articles are of particular interest as they summarise the knowledge
88 available of the range of VOCs that are generated from various body fluids

89 [128] This article explains the need for standardization in breath sampling.

90 [112] This shows the huge range of VOCs which may be analysed as instruments have
91 improving sensitivity, however with complex data sets, use of this information is harder.

92 *References of interest **

93 These articles are of interest because they give examples of where VOC analysis can be used
94 in diagnosis: [15], [40].

95 [125] is important because it gives an effective method for dealing with background air,
96 although only for known compounds.

97

98 **Introduction**

99 The ancient Greeks were known to use the odour of volatile organic compounds emitted
100 from breath and body fluids as an aid to diagnosis [1], but it wasn't until Linus Pauling and

101 co-workers [2] condensed human breath and analysed its constituents using gas
102 chromatography that modern breath analysis began. Linus Pauling was also involved in the
103 early analysis of volatile organic compounds (VOCs) from urine in the 1970s [3,4]. It was still
104 another decade or two before it really took off, but since the mid 1990s, there has been a
105 very rapid development of analytical instrumentation to enable breath analysis to expand
106 [5-8]. In actual fact, VOCs and other trace gases such as ammonia and hydrogen cyanide
107 (which for the purposes of this article are included when VOCs are mentioned) are emitted
108 from all body fluids and tissues, for example breath, urine, faeces, skin, sputum, blood,
109 serum, pus, aspirates, tissue, lavage etc. Selecting the appropriate medium for analysis is
110 important and the choice depends on a number of factors. These include the particular
111 disease or condition, ease of sampling, whether samples can be analysed directly or must be
112 stored, the requirement for measuring individual compounds or a whole range, to name but
113 a few. It is also likely that analysis of more than one sample type yields better results than
114 just looking at breath, for example [9]

115

116 **The origin of VOCs**

117 A whole range of trace gases and volatile organic compounds are emitted by the body
118 continuously, through exhalation, through skin or from urine or faeces. There are several
119 potential origins of these compounds. Firstly, many VOCs arise from normal metabolism.
120 The body contains thousands of different molecules arising from all the biochemical
121 pathways, and many of these compounds are either gases (for example ammonia) or are
122 volatile enough to form a vapour at body temperature. These compounds travel around
123 the body in blood, and where blood meets the alveoli in the lungs, rapid gas exchange and
124 diffusion means that gases and VOCs are exhaled. Similarly, when capillaries are in contact
125 with skin, gas exchange occurs. VOCs are also excreted as part of the chemical composition
126 of urine or faeces. Thus it can be seen that through normal metabolism, the healthy body
127 produces a whole range of different compounds at different concentrations [10].

128 When illness occurs, metabolism can alter the profile of trace gases and VOCs [11,12]. For
129 example, untreated diabetes leads to a build-up of blood glucose which cannot enter the
130 cells where it is needed. In response, the body starts to metabolise fat, which then leads to
131 an increase in ketone bodies in blood [13]. Some of these are very volatile, and may be
132 detected on breath, in blood or urine. So it is clear that different profiles of metabolites
133 (including volatile metabolites) occur through illness. Cancer is another condition where the
134 VOC profile may change. This may be because various metabolic pathways are expressed to
135 a greater or lesser extent in a cancer cell compared with a normal cell. In addition, the pH of
136 the cell and its surrounding medium may change, thus rendering the relative acid/base
137 equilibria of various compounds change hence various volatile species may increase or
138 decrease merely as a result of pH. So you would expect to see more organic acids in the
139 volatile form when the pH is lower, for example with acetic acid, CH_3COOH , and its

140 equilibrium with the acetate ion, CH_3COO^- would be shifted to have more of the CH_3COOH
141 species, which is volatile, while CH_3COO^- is not. Conversely, at a lower pH, the
142 concentration of ammonia as NH_3 would be lower than NH_4^+ , for example. There have been
143 numerous studies describing differences in VOC profile in cancer [14] e.g. colo rectal cancer
144 [15-18], lung cancer [19-22], breast cancer [23,24] other cancers [25-29].

145 VOCs may also be produced as a result of infection. Bacteria, fungi and parasites all have
146 their own metabolism and thus their own profile of VOCs and trace gases. When they infect
147 the body, it is thus reasonable to expect that the VOC profile will change with the degree of
148 infection [30]. In addition, the response of the body (host response) in fighting the infection
149 may also change the volatile metabolites produced [31]. In addition, the host's own
150 metabolism may alter the chemical profile produced by the bacteria. Infection in this case
151 also includes the colonisation of the gastrointestinal tract (and other body cavities and
152 surfaces) by trillions of bacteria which have a major impact on the VOC profile [32]

153 These bacteria are generally benign, and many are even beneficial, but they produce many
154 of the VOCs and trace gases that may be detected on breath, from skin, or from the
155 headspace of blood, urine and faeces.

156 Examples of infections causing a change in VOC profile are tuberculosis [33], mycobacteria
157 infection [31], infections causing ventilator associated pneumonia [34], respiratory disease
158 [35]. Gastrointestinal disease may be due to a change in the gut flora, or some pathology of
159 the gut or a combination of both, and these have been shown to give distinct VOC profiles
160 from headspace of urine or faeces as well as breath [36-40] [41].

161 Finally, VOCs arise in the environment. They are produced by plants, food, man-made
162 products or processes (diesel exhausts for example) and if inhaled or ingested, they will then
163 circulate in the blood [42]. In the case of environmental origin of VOCs on breath, there is
164 no simple way of dealing with this so that the background air can be excluded in analysis.
165 This is discussed in more detail later.

166 A major review of all the volatile compounds emanating from the body has recently been
167 produced, and this covers all sources of VOCs described above [43].

168

169 **Sampling and handling considerations**

170 Capturing, handling and storing VOCs and trace gases is a major challenge [44]. Unless
171 analysed directly, e.g. using an instrument that can analyse breath in real time [45], the
172 VOCs and gases need to be captured, concentrated and then stored. Ideally, storage should
173 be at a very low temperature to reduce the loss of the VOCs, and the samples should be
174 stored as soon after being taken as possible. In the case of liquid or solid samples (e.g.
175 urine, blood, faeces, pus, aspirates etc.), this is fairly straightforward. Samples should

176 immediately be placed in an appropriate container and frozen, preferably to -80°C or lower.
177 The container should be clean, and should produce no VOCs which could interfere with
178 analysis, and obviously should not change its characteristics with the temperature change
179 and storage. It is known that freezing samples can change their VOC composition [46], but
180 unless every sample can be analysed immediately in the same way, all samples should be
181 frozen immediately.

182 When breath is to be sampled but cannot be analysed immediately, it is necessary to store
183 it. It can either be stored as whole breath, or if the VOCs are extracted, it can be condensed
184 and stored. If whole breath is stored, there are a number of issues to consider. These
185 include cost, integrity, storage time and simplicity, and also which part of the breath is
186 sampled. Generally, it is desirable to avoid measuring the dead-space of air in the upper
187 respiratory tract and concentrate on end tidal breath. These issues are described in detail in
188 [44]. Probably the simplest and cheapest way of storing whole breath is in breath bags.
189 These can be made of a variety of materials, and range from a few cents/pennies etc. for
190 Nalophan, to the much more expensive Tedlar bags. Other materials such as Kynar and
191 Flexfilm [47], polyvinyl fluoride and polyester aluminium [48] have also been used. Because
192 of the cost, Nalophan is disposable, but as Tedlar is much more expensive, most people try
193 and re-use Tedlar bags, which means a very thorough cleaning regime is required. However,
194 it is difficult to remove all traces of previous samples, even with this. In addition, Tedlar
195 produces a number of VOCs of its own which may contaminate the samples. Despite this,
196 Tedlar is often the sample bag of choice because generally, samples may be stored in Tedlar
197 for longer than in Nalophan or other sample bag materials, as Nalophan tends to be slightly
198 porous so diffusive losses occur. Adsorption onto the walls of the bag also occurs [49]. So if
199 samples cannot be analysed within a few hours, then Tedlar may be better [50]. There have
200 been many studies looking at the relative merits of these sampling bags [47-49,51,52] and
201 the choice of bag will come down to budget, analytes of interest and necessary storage
202 time.

203 A more expensive option is the use of evacuated metal canisters which have been used in
204 environmental exposure breath analysis [53-55]. Because these are expensive and difficult
205 to clean, they are no longer used much in breath analysis.

206 If it is not possible or desirable to store whole breath, a sorbent material may be used which
207 extracts the VOCs from the whole breath. There are several sorbent materials that may be
208 used, and this can either be within a thermal desorption (TD) or sorbent tube, or using a
209 technique such as solid phase microextraction (SPME) [56-60] or needle trap device [61].
210 SPME involves using a very small microfiber and inserting it into the headspace for a fixed
211 amount of time to absorb the VOCs. Although very sensitive, it generally adsorbs some
212 compounds preferentially over others, and as soon as removed from the headspace, may
213 start to desorb the samples. It is also not particularly robust, and great care must be applied
214 in handling the fibre. It is also not quantitative unless very specific steps are taken where

215 standards are used and the marker compounds are known; the relative concentrations of
 216 other compounds present should also be known as they will affect binding. However, SPME
 217 may be used to trap very low concentration compounds. Generally the use of TD tubes is
 218 more robust, and once samples are collected, the TD tubes may be capped and stored for
 219 weeks prior to analysis. TD tubes are also more sensitive [62] and again, accurate
 220 quantification is difficult, although slightly easier than with SPME. Great care must be taken
 221 in choosing the sorbent, and in many cases, dual or even triple bed sorbents are used in the
 222 same tube to capture the range of compounds. Some sorbents are better at lower
 223 molecular weight compounds, some higher molecular weight, and others may be better for
 224 aromatic or sulphide compounds, for example. From this it follows that to make best use of
 225 this technology, some idea of the types of compound expected is needed. Examples of
 226 where sorbent tubes techniques have been used to sample breath are in a study of patients
 227 with impaired respiratory function [63], or in a study for collecting breath from frail patients
 228 [64].

229 These sorbent techniques are very sensitive, and when coupled with GC-MS, compounds
 230 may be desorbed from the sorbent material (usually by heating), and then separated by gas
 231 chromatography (GC), followed by identification and quantification by mass spectrometry
 232 (MS). The advantages of doing this are that it is very sensitive, compound identification is
 233 possible through separation and mass detection, and samples may readily be collected,
 234 concentrated and stored. However, it is slow, indirect requiring several steps, and not
 235 always quantitative unless great care has been taken with sorbents and VOC amounts.

236 A summary of breath sampling techniques may be found in table 1.

237 Table 1. Summary of exhaled breath sampling techniques giving their main advantages and
 238 disadvantages

Technique	Main Advantage(s)	Main Disadvantage(s)	Reference
Direct analysis	Direct so no loss of sample integrity	Need to get equipment to patient	45
Sample bags	Diffusive losses; short storage times	Cheap and simple	47-52
Evacuated metal canisters	Re-useable; longer storage possible	Expensive; difficult to clean	53-55
Thermal desorption	Sensitive; long sample storage times	Choice of sorbent crucial; not all compounds adsorbed; quantification difficult	62-64
SPME	Very sensitive	Fragile; quantification very difficult	56-60

239

240

241 **Techniques**

242 There is a very wide range of techniques for the analysis for individual VOCs or VOC profiles
243 from a sample. These range from sophisticated and expensive techniques that can analyse
244 samples of breath or headspace directly in real time, such as selected ion flow tube mass
245 spectrometry (SIFT-MS) [65-80] or proton transfer mass spectrometry (PTR-MS) [67,81-85],
246 to techniques which do not identify or analyse individual components but look at patterns,
247 for example gas sensor arrays (electronic nose) [35,86-93]. If compound identification is
248 required, it is essential to use a mass spectrometric technique, and preferably one that is
249 coupled with a separation technique to avoid complicated spectra, for example gas-
250 chromatography-mass spectrometry.

251 Direct analysis is difficult but can be done with SIFT-MS [65-68,75,80] and PTR-MS [67,81-
252 84]. Direct analysis using these mass spectrometric methods does not allow absolute
253 identification, because compounds in samples are not separated (unlike in GC-MS, where
254 retention index as well as ions generated aids identification), and the soft chemical
255 ionisation may yield a number of ions which may arise from more than one compound.
256 Despite this, the direct methodology offers the opportunity for quantification where
257 compounds are identified, particularly with SIFT-MS [76,94]. It is a little more complicated
258 with PTR-MS, with the variation in E/N (field strength in the drift tube) but quantification is
259 in some cases possible particularly for low molecular mass compounds, and certainly with
260 the use of calibration gases for specific compounds. In SIFT-MS and PTR-MS, the sample is
261 presented to the instrument, and then reacted with a precursor ion. For SIFT-MS, a choice
262 of H_3O^+ , NO^+ or O_2^+ is possible; PTR-MS generally uses hydronium ions, H_3O^+ , but newer
263 instruments enable the use of other precursor ions. Ions are generated according to their
264 reactions with the precursors and then these product ions may then be separated by a mass
265 spectrometer, typically a quadrupole for SIFT-MS, and quadrupole or time-of-flight, TOF, for
266 PTR-MS. Whole spectra may be looked at if one is interested in looking for the range of
267 compounds present in either breath or headspace. Alternatively the instrument could be
268 set up to look for one or more specific compounds without scanning the whole spectrum,
269 which would enable more accurate quantification.

270 Laser based spectroscopic techniques have also been used for real time direct analysis of
271 breath laser based techniques [95-99] and may offer a replacement for mass spectrometric
272 techniques in the future. Similarly, ion mobility spectrometry (IMS) has also been used in
273 real time analysis of breath [20,100,101]. It is relatively low cost, however it cannot identify
274 individual compounds with certainty, although it could indicate the potential identity of
275 species based on how the sample components behave in the electric field. It has also been
276 used by the military in personal equipment for detecting the deployment of chemical
277 weapons [102].

278 Other gas sensor techniques may also be used in direct analysis of breath or headspace, but
279 these tend to be non-specific. This includes various types of so-called electronic nose, which

280 use an array of sensors of various types [35,86-93]. Originally, electronic noses contained
281 between 10 and 40 sensors, but newer technology means that a very high number of
282 sensors can be included in a small array. These sensors respond differently to the various
283 components in a sample, and a complex array of signals is generated. By comparing signals
284 from different classes of samples (e.g. breath samples from those with a particular illness
285 and those without), patterns emerge which may enable differences associated with the
286 disease to be identified. There are problems with some sensors in e-nose devices – drift
287 over time, fouling and memory effects [103]. An increasing number of sensor elements or
288 spectral data means increasing complexity in multivariate statistical methods to interrogate
289 and process the data, but such techniques are also developing [15,36,37,104-108].

290 Further developments in sensors means that some relatively low cost sensors are becoming
291 increasingly sophisticated, and they can be made more sensitive and also selective. Long
292 period grating optical fibre sensors may be produced now, which are specific for individual
293 components [109-112]. These can be assembled into an array to produce a low cost
294 alternative to mass spectrometry, although in using a limited number of specific sensor
295 elements means the need to know exactly which compounds should be measured and
296 cannot be used for volatile biomarker discovery. These sensors also enable on-line analysis
297 and could conceivably be used in a point of care device, or even personal breath analysis
298 tool, for instance like one that can monitor asthma and nitric oxide [113].

299 The most widely used technique for off-line or indirect analysis of samples is probably gas
300 chromatography-mass spectrometry (GC-MS) [11,34,36,59,114-122]. Although this
301 technique is relatively slow and indirect, it is also very powerful. If samples are
302 concentrated, for example by using a sorbent such as a thermal desorption tube or a SPME
303 fibre, desorption of this can then deposit the concentrated sample onto a chromatographic
304 capillary column. This can then separate sample components, which may then be detected
305 sequentially according to chemical and physical properties (e.g. size, volatility and
306 hydrophobicity). A further development in this area is the use of GCxGC MS, which deals
307 with the problem of co-elution of compounds, where it is difficult to identify species. This is
308 a very sensitive technique that can detect many more compounds in any sample [114,123],
309 but it is expensive and generates much more complex spectra

310 Apart from being able to detect components present in the parts-per-trillion-by volume
311 range (pptv), GC-MS is the best technique for identifying the individual components of a
312 sample. It is quantitative if standards are run for individual compounds, but it is difficult to
313 make it quantitative for compounds during biomarker discovery due to the complexity of
314 the sample and the absorption/desorption differences on the sorbent between individual
315 components.

316 *Choosing the most suitable technique*

317 So which technique should be used? This obviously comes down to a question of
 318 availability/budget, but generally if biomarker discovery is desired, then a mass
 319 spectrometric technique with a compound separation method, such as GC-MS, is best.
 320 However if the aim is to be able to distinguish between volatile profiles from a sample, a
 321 technique which can use multiple variables, for instance m/z or sensor array responses to
 322 produce a profile of the sample, composed of multiple compounds, then any technique may
 323 be used, coupled with suitable multivariate statistical approach. However, even if a
 324 diagnostic profile is found and is robust enough for clinical use, knowledge of the major
 325 compounds contributing to the differences in profile is highly desirable. This means that use
 326 of mass spectrometric methods in the discovery stage is ideal, and then when the
 327 compounds responsible for the change in disease state are known, then point of care
 328 devices which are less expensive and more portable are better. In the discovery stage, the
 329 use of multiple techniques which exploits the advantages of each will give the best results.
 330 For instance, the ability to directly analyse a sample and obtain quantitative data (e.g. with
 331 SIFT-MS or PTR-MS) can be used in conjunction with GC-MS which is more sensitive and is
 332 better at compound identification but is slower and not so directly quantitative.

333 The choice will also depend on whether the sample must be analysed directly, or whether
 334 samples may be taken and stored for subsequent analysis.

335 For the analysis of a small number of individual compounds, then any technique capable of
 336 being sufficiently selective is acceptable. This includes a variety of gas sensors, optic fibre
 337 sensors, IMS, mass spectrometry etc. A summary of the main techniques is given in table 2.

338

339 Table 2. Summary of analysis techniques giving their main advantages and disadvantages

Technique	Main Advantage(s)	Main Disadvantage(s)	Reference
Direct trace gas mass spectrometry	Direct, rapid	Expensive, not always easy to take to the patient	65-84, 94
Gas sensors (e-nose)	Direct, rapid, inexpensive	Non-specific; cannot identify compounds	35, 86-93, 103
Laser based spectroscopic techniques	Direct, rapid	Relatively expensive	95-99
Ion mobility spectrometry	Rapid; relatively inexpensive	Cannot identify unknown compounds	20, 100-101
Long period grating optic fibre sensors	Can be made specific & low cost; rapid	Not for biomarker discovery	109-112
GC-MS (with TD or SPME)	Sensitive; good for compound identification	Expensive, slow	11, 34, 36, 59, 114-123

340

341 **Backgrounds**

342 Something that breath analysis researchers in particular have been concerned about for
343 some time is the background air and its effect on the components of breath. It is well known
344 that inhaling compounds from the environment means that these compounds are exhaled
345 for some time after. How long the compounds will be exhaled for depends on factors such
346 as the concentration of the compound inhaled and the duration of exposure, the chemical
347 and physical nature of the compound – its molecular mass, volatility, how fat soluble it is
348 etc.; the body mass index of the individual. Because there are so many variables, it is very
349 difficult to adequately deal with this problem. Different groups have various ways of dealing
350 with this. For instance, Michael Phillips [124-126] uses the concept of alveolar gradient
351 which involves measuring the background air and looking at the difference between the
352 concentrations of various species in the air and in the breath. Although this helps in some
353 way, it is not accurate for all VOCs [127]. Other researchers insist that subjects giving breath
354 samples inhale clean air for a minimum period prior to providing a breath sample, but this
355 cannot reduce the levels of all exogenous compounds in breath. This is much less effective
356 for hydrophobic compounds and where patients have a high BMI, or where the
357 concentration of the compound is high. Schubert et al [128] has shown that the approach of
358 applying a simple background subtraction, where the concentration of the species in
359 background is subtracted from that in breath, is not effective, and substances where
360 concentrations in inspired breath is higher than 5% of expired concentrations should not be
361 used as breath markers. The best, but complicated option, is to apply retention coefficients
362 for individual compounds in the background air [127]. This requires knowledge of the
363 presence of such biomarkers and their retention coefficients. None of these are entirely
364 satisfactory because of the complexity of the problem, and people may have been exposed
365 to a number of different backgrounds with different concentrations of compound in inspired
366 air that may affect the breath prior to a breath sample being given.

367 Rather than finding a way of dealing with the background directly, an alternative may be the
368 use of appropriate controls. At the same time that a sample is taken from a patient or
369 subject, a sample should be taken from a control person who has been subjected to similar
370 backgrounds, and is as closely as possible be matched to the subject. This could be the
371 partner of the individual, for example. Other studies have used medical personnel for this
372 purpose, but that is less satisfactory as medical facilities typically have high background
373 levels of VOCs, and thus medical personnel may have been subjected to these backgrounds
374 to a greater extent than subjects. It is clear that this is a difficult problem, and backgrounds
375 should always be carefully taken into account when a breath analysis study is conducted.
376 This is also likely to have an impact on blood and urine VOCs as the origin of exogenous
377 VOCs from the headspace of these fluids may also be inhaled air. Table 3 summarises the
378 techniques for dealing with background air.

379 Table 3. Summary of techniques for dealing with background air, giving their main
 380 advantages and disadvantages

Technique	Main Advantage(s)	Main Disadvantage(s)	Reference
Alveolar gradient	Requires simple measurement of background air	Not accurate for many compounds	124-127
Inhaling clean air	Easy to do; requires no further measurements	Ineffective for many compounds	128
Retention coefficients	Effective	Complicated and only useful for known compounds	127
Use of appropriate controls	Will cope with problem of variable retention coefficients	Not easy to recruit appropriate controls; doubles analysis required	

381

382 **Standardisation**

383 Analysis of breath and the headspace of body fluids has been a growing field of endeavour
 384 since the 1970s, and as previously discussed, there are many techniques used. However,
 385 results from these investigations often do not correlate with each other, and one reason for
 386 this is that there is no accepted standard for sampling and analysis. To make progress in the
 387 area of VOC analysis for disease diagnosis, the importance of standardising methods for
 388 sampling and analysis of breath is being recognised [129-132]. What has not been noted is
 389 the requirement for standardisation of all samples for VOC analysis, but this is equally
 390 important.

391 *Breath analysis*

392 There are several aspects to this. The first is where is the sample taken from? Should it be
 393 the mouth, or nose or a combination of both? The mouth contains its own flora which
 394 produce VOCs, so measuring from the mouth alone will mean that these will change the
 395 sample [133-136]. In some cases, mouth VOCs are important, but if systemic VOCs are
 396 important, e.g. where a condition at a distant site is to be monitored, then avoiding the
 397 contamination from mouth flora is important. This is the case with monitoring HCN in the
 398 lungs from *Pseudomonas aeruginosa* in cystic fibrosis patients [137]. Sometimes, the origin
 399 of specific VOCs is sought, in which case, both should be analysed in turn [138].

400 Secondly, which part of the breath should be taken? Should it be whole breath, end-tidal
 401 breath? The answer to this depends on the degree of accuracy and precision required.
 402 Most methods for analysing VOCs in breath cannot do the analysis with any great accuracy
 403 and precision. Repeat samples, even of direct breath, often differ, depending on the
 404 compound being analysed and the background [139,140]; factors such as rate and volume of
 405 exhalation may also have an effect [141]. The variation between the concentration of VOCs

406 in whole breath and end tidal may not be close to this, so how important is it that methods
407 require the complexity of a mechanism for excluding dead-space in the respiratory system
408 and consider only end tidal breath? This would depend on the necessary precision for the
409 analysis of a compound. If it is a compound where the presence or absence is important,
410 this matters less, however if small variations in concentration show clinically relevant
411 information, then the additional precision may be important.

412 Methods for ensuring only end-tidal breath is taken involve switching mechanisms which
413 may check CO₂ composition of breath and then use a valve system to divert the required
414 part of breath, discarding the dead space [34,142]. These methods are more complicated
415 but can ensure that only a specific part of the breath is taken. However, one study [143]
416 shows comparatively low relative standard deviation between successive bag fills of whole
417 breath, so perhaps accepting whole breath, with apparently better reproducibility but less
418 emphasis on control, is an acceptable option.

419 Aside from standardising which part of breath is taken, there are other factors that will
420 affect the measurement. This includes the mechanism and material that transports the
421 breath to the analytical instrument. Even if it is direct analysis, breath will start to condense
422 on any surface which is cool enough, so the pipes/tubing/sampling port should be at a
423 standardised (warm) temperature. The material used should also minimise “sticking” of
424 compounds. Some molecules, for example ammonia, are very “sticky” [144] so the longer
425 the tube/pipe etc., the more the compound will stick and thus not be available for analysis.
426 This can also contaminate later samples.

427 For breath samples that are taken and then stored for subsequent analysis, further
428 standardisation is required. It is not reasonable to expect that every researcher will use
429 exactly the same sampling mask or mouthpiece; instead a way of checking that each
430 method delivers the same results is required. One way of doing this is to use standardised
431 artificial breath. This could involve special calibration vapours which are humid, as is breath,
432 but which deliver known amounts of each analyte at a given temperature; 37°C is best as
433 this is that of breath. Calibration standards can be purchased or standard artificial
434 headspaces or breath can be produced by making aqueous solutions of breath VOCs, putting
435 them in an enclosed sample bag and allowing the aqueous solution and the headspace
436 above it to reach equilibrium. According to Henry’s law, a given concentration in the
437 aqueous phase will be in equilibrium with the headspace above it at a given temperature.
438 Knowing the Henry’s law coefficient for each compound of interest, these artificial
439 headspaces can easily be generated, which will deliver standard concentrations of
440 compounds in a headspace. These artificial breath samples or headspaces can then be
441 presented to analytical devices and the responses assessed against each other.

442

443 *Headspace of body fluids*

444 Generating headspace of body fluids can also yield very different results depending upon
445 how they are treated. In some cases, samples can be analysed immediately; they will need
446 be put in a suitable receptacle, clean gas/air added and a headspace equilibrium allowed to
447 develop. However, generally samples of urine, blood, pus, faeces etc. are collected from a
448 hospital or clinic and cannot be processed immediately, but will quickly degrade if not
449 stored appropriately. In this case, standardised samples treatment and storage protocols
450 should be developed and followed. Freezing samples at -80°C as soon as they are taken will
451 reduce loss of VOCs, however samples can degrade under these conditions [46]. Hence
452 standardised protocols should be developed for the sample type, duration of storage,
453 temperature of storage and storage container. In addition, the protocol for defrosting and
454 preparing the sample for subsequent analysis should also be standardised.

455 Standardisation of sample treatment, storage and use of calibration standards will enable a
456 comparison between studies which should enable this field to be driven further. One of the
457 main issues in the field of VOC analysis for disease diagnosis is that studies do not always
458 give the same results; the lack of standardised protocols means that these different studies
459 are essentially measuring different things.

460

461 **Future perspective**

462 There is an increasing number of studies on the use of VOCs in diagnosing disease, and there
463 are now very many examples of how VOCs can be used to detect various cancers, infections,
464 metabolic conditions, gastro-intestinal disease etc. Despite this, there are very few of these
465 tests that are used routinely in the clinic. Given the potential advantages of VOC profiling for
466 disease diagnosis i.e. that it is non-invasive or minimally invasive, rapid, potentially cost-
467 effective, etc., why have these apparent diagnostic successes not translated to routine
468 clinical analysis? There are likely to be several reasons for this. One is that mentioned
469 above, i.e. there is no single standardised method for breath or clinical fluid headspace
470 sampling and analysis. Another possible reason is that in some cases, there is no real
471 attempt to get clinical buy-in for the method. Clinicians are responsible for the well-being of
472 their patients so would need to be convinced of the effectiveness of a new test. One reason
473 why they haven't been convinced is because studies often only involve clinicians in sample
474 collection and not in the development the technique itself. Secondly, the output of a
475 breath or headspace analysis may be a complex profile which needs interpreting using
476 multivariate statistics rather than with unique individual breath biomarkers. Although the
477 complex profiles may be fully statistically validated, they are often hard to explain, and thus
478 effort and care needs to be taken in communicating their use. In addition, in order for a
479 method to replace an existing screening or diagnostic method, it needs to be at least as
480 good as the method it is replacing in every respect, and superior in at least one respect.
481 Most studies published do not address this but it is essential for progression of this field.

482 However, with the vast increase in published studies showing the use of VOC profiling,
483 surely this is only a matter of time.

484

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