

# The stability of mental toughness across situations: taking a social-cognitive approach

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# Abstract

24	The present study adopted a social-cognitive perspective to explore the
25	stability of mental toughness. Specifically, the purpose of this study was two-fold: (a)
26	to explore possible fluctuations in mental toughness across situations; and (b) to
27	identify the cognitions, affect, and behaviors associated with perceived mental
28	toughness and mental weakness. Participants were tennis players (n=12) based full
29	time in an elite performance academy and were aged between 14 and 20 years ( $M_{age} =$
30	16.5; $SD = 2.66$ ). Players were interviewed and transcribed interviews were analyzed
31	using a thematic analysis (Braun & Clarke, 2006). Three researchers searched for
32	themes across the interview data and reached consensus on the coding of raw data and
33	subsequent categorization of data into themes. Players identified a variety of
34	competition (e.g., opponents, pressure) and training (e.g., consistency, intensity)
35	related situations requiring mental toughness. Findings indicated that players could be
36	mentally tough in some situations but mentally weak in other situations suggesting
37	that mental toughness can fluctuate. In addition, players identified different
38	cognitions, affect, and behaviors when they perceived mental toughness and mental
39	weakness. Regarding coping strategies, findings confirm the important role of
40	confidence in mental toughness and should remain central to interventions designed to
41	build mental toughness. To conclude, it is anticipated that findings generated can be
42	used as a platform to develop context-rich mental toughness training interventions.
43 44 45	Key Words: Mental toughness, mental weakness, stability, coping

# 46 The Stability of Mental Toughness Across Situations: Taking a Social-Cognitive 47 Approach

48 Coaches and others involved in developing talent have come to realize that to 49 be successful (especially at the highest levels of competition) one needs both physical 50 and mental skills. The importance of mental skills is highlighted in an article over 25 51 years ago (Gould, Hodge, Petersen, & Petlichkoff, 1987), which found that 82% of 52 coaches rated mental toughness the most important psychological attribute in 53 determining wrestling success. However, only 9% felt that they were successful in 54 developing mental toughness in their athletes. The key role of mental toughness has 55 been seen in the applied work of Loehr (1995) who attempted to train athletes to 56 become more mentally tough. Loehr's applied work and the empirical finding noted 57 earlier and others like it, eventually led to the empirical study of the construct of 58 mental toughness (Jones, Hanton, & Connaughton, 2002). In this initial seminal 59 research, Jones et al. interviewed 10 elite athletes in either a focus group or semi-60 structured interviews looking for attributes that were associated with mental 61 toughness. Of the 12 attributes reported as being associated with mental toughness, 62 the notion of coping appeared to be central to the conceptualization of mental 63 toughness, and as such included, "coping better than your opponents when faced with 64 demands that sport places on performers" (cf. Jones et al., 2002, p. 209). In a follow 65 up study with super-elite athletes (e.g., Olympic medalists), Jones and colleagues 66 (2007) reported 30 attributes and generated a framework that provided a temporal 67 foundation of how these mental toughness attributes could be utilized (i.e., attitude, 68 training, competition, post-competition).

69 Subsequent to these seminal studies on mental toughness, numerous studies
70 have been conducted investigating the definition of mental toughness (e.g., Coulter,

71	Mallett, & Gucciardi, 2010; Guccaridi, Gordon, & Dimmick (2008; 2009a; Thelwell,
72	Weston, & Greelees, 2005), development of mental toughness across time (e.g., Bull,
73	Shamrock, James, & Brooks, 2005; Connaughton, Hanton, Jones, & Wadey 2008;
74	Connaughton, Thelwell, & Hanton, 2011), building mental toughness (Butt,
75	Weinberg, & Culp, 2010; Gucciardi & Mallet, 2010; Weinberg & Butt, 2011;
76	Weinberg, Butt, & Culp, 2011), and theoretical explanations for mental toughness
77	(Gucciardi, Gordon, & Dimmock, 2008; Harmison, 2011). In addition, this research
78	exploring mental toughness has been conducted with a range of samples including
79	super-elite, elite, collegiate, and youth. Collectively, this range of participants
80	indicates that mental toughness is required across many achieving sport performers,
81	not just elite athletes. From a conceptual perspective, one area that continues to be
82	debated is whether mental toughness is more of a personality disposition (trait-like)
83	and thus consistent across situations, or more variable across situations and thus more
84	state-like. While some researchers have viewed mental toughness as an important
85	dimension of personality and a necessary trait or quality for successful performance,
86	other researchers (Bull et al., 2005; Thelwell et al., 2005) and the experience of
87	practitioners (e.g., Goldberg, 1998; Loehr, 1995) have suggested that mental
88	toughness can be taught and learned, and thus change across situations. Along these
89	lines, advances in knowledge have been made with exploring sport specific situations
90	requiring mental toughness. As one example, Gucciardi and colleagues (2008)
91	explored under what conditions mental toughness attributes are necessary (i.e.,
92	situations requiring mental toughness), and also identified key behaviors used.
93	Findings identified that both positively and negatively perceived situations required
94	mental toughness. To capture these further developments in mental toughness,

95 Gucciardi et al. (2009a) provided a new definition of mental toughness that has since

been refined by Coulter and colleagues (2010; p.715):

97 Mental toughness is the presence of some or the entire collection of 98 experientially developed and inherent values, attitudes, emotions, cognitions, 99 and behaviors that influence the way in which an individual approaches, 100 responds to, and appraises both negatively and positively construed pressures, 101 challenges, and adversities to consistently achieve his or her goals. 102 Complementing this definition, Coulter and colleagues conducted an investigation 103 with athletes, coaches and parents in Australian soccer to explore mental toughness 104 situations, cognitions and behaviors. Findings suggested that mentally tough athletes 105 are able to deal with performance difficulties as well as thrive within challenging 106 competitive situations. More recently, Slack, Butt, Maynard, and Olusoga (2014) 107 examined mental toughness attributes in English Premier League football officials 108 and considered the specific mental toughness cognitions and behaviors deployed in 109 situations demanding mental toughness. Collectively, research findings to date 110 highlight some overlapping situations requiring mental toughness as well as some of 111 the key cognitions (e.g., tactical awareness) and behaviors (e.g., strong body 112 language) associated with being mentally tough. While information on mentally tough 113 cognitions and behaviors has generated some strategies for building mental toughness 114 and designing interventions (e.g., Slack, Maynard, Butt, & Olusoga, 2015), research 115 has yet to consider whether cognitions and behaviors are different when athletes are 116 not mentally tough. Indeed, questions remain as to whether an athlete's mental 117 toughness is changeable (i.e., fluctuates) depending on situations, and thus, further 118 research has been encouraged to consider aspects of both mental toughness as well as 119 mental weakness (Harmison, 2011).

120 To further understand mental toughness in this area, it has been advocated that 121 the application of social-cognitive models in sport has the potential for advancing, 122 theoretical, empirical, and practical knowledge of constructs such as mental toughness (Harmison, 2011; Smith, 2007). Specifically, Smith suggested that a comprehensive 123 124 social-cognitive model of personality (e.g., Mischel & Shoda, 1995) can serve as a 125 valuable framework to better understand mental skills in sport such as mental 126 toughness. Regarding the background and understanding of social-cognition and 127 personality, for many years the prevailing view among psychologists regarding 128 personality was that behavior was consistent across situations and that personality, not 129 the situational constraints, was the major determinant and predictor of behavior. 130 However, a major shift occurred when Mischel (1968) conducted a thorough review 131 of the empirical literature and found more inconsistency than consistency across 132 situations. This review and controversial findings helped start the person by situation 133 debate that was central to the study of personality for years to come. Emanating from 134 this debate came Mischel's (1973) social-cognitive personality theory where he 135 argued that the goal of personality psychology should focus on the interaction of 136 people and their environments, instead of trying to answer the unsolvable question of 137 whether the person or environment is more important in predicting an individual's 138 future behavior. This initial conceptualization eventually led to the development of 139 the Cognitive-Affective Processing System (CAPS: Mischel & Shoda, 1995). 140 Basically, this model attempts to capture the complex interaction between individuals' 141 whose behavior is relatively stable and the different situations in which they are 142 placed where there tends to be variability in behavior. In essence, the CAPS approach 143 identifies a set of individual variables, referred to as cognitive-affective processing 144 units, and elaborates on how these individual variables interact with the person's

environment to produce the desired behaviors (for a more neurological and
information-processing interpretation of the CAPS approach see Read & Miller,
147 1998).

## 148 Mental Toughness and CAPS

149 In applying the CAPS model to understand mental toughness one has to 150 understand that mental toughness is comprised of a dynamic personality system, 151 which includes certain cognitive-affective components of personality and how 152 these interact with environmental constraints. In essence, it is athletes' affects and 153 cognitions that comprise their mental toughness personality and how these are 154 interconnected to generate athletes' mentally tough behaviors. In pursuing this line 155 of inquiry, Harmison (2011) demonstrated how our knowledge of mental 156 toughness could be further enhanced through the application of the CAPS model. 157 Specifically, profiles were generated that captured an athlete's perceptions of 158 particular situations (e.g., threat vs. challenge) together with the range of 159 subsequent cognitions, affect, behaviors, and coping responses. These profiles 160 were constructed to illustrate perceived mental toughness and mental weakness, 161 and thus, indicates that the same athlete can perceive situations differently and that 162 mental toughness can shift accordingly (i.e., an athlete might not always be 163 mentally tough and can sometimes be mentally weak). Although the CAPS model 164 discusses five different components, the present study will focus on the ABCs 165 (affect, behavior and cognitions) of mental toughness. Focusing on these three 166 units is also in keeping with the most recent definition of mental toughness (cf. 167 Coulter et al., 2010). The ABCs of human functioning was advocated by Vealey 168 and Chase (2008), who saw them as interactive and reciprocally determined, to 169 emphasize their continuous interactional reciprocity. This interactional approach is

consistent with the CAPS approach and will be used as the framework to guide the
present study. Some of the mentally tough cognitions, affects, and behaviors that
have been ascribed to athletes (by athletes themselves as well as their coaches) is
briefly discussed below. **Cognitions.** This component focuses on the different thoughts that athletes

have in different competitive situations and have an important influence on their behaviors. These thoughts can be internal to the athlete and thus are not be heard by anyone else or these thoughts (or self-talk) can be heard by others. In either case, behaviors and performance often follow athletes' cognitions. Some of the cognitions that have been attributed to mentally tough athletes are having a belief in one's self, focusing on the task at hand, focusing on performance rather than outcome, positive self-talk, robust confidence, and positive expectations (e.g.,

182 Coulter et al., 2010).

183 Affects. This component focuses on the feelings and emotions the athletes 184 experience in response to different competitive situations. Failure to handle 185 emotions effectively on the playing field can lead to undesirable consequences and 186 poor performance. These affects can be both psychological (e.g., doubt, worry) and 187 physiological (e.g., increased muscle tension, galvanic skin response) in nature. 188 Coaches and athletes as well as sport psychologists have frequently noted that 189 emotion is central to sports performance (Hanin, 2000). In essence, emotional 190 intensity (or lack of it) has often been cited as being critical to performance 191 outcomes. More specifically, coping effectively with emotions (especially anxiety) 192 has been said to be one of the defining aspects of being mentally tough (Coulter et 193 al., 2010; Jones et al., 2002). The reverse also appears true, in that when athletes

who consistently falter under pressure are considered mentally weak or in thevernacular, a "choker."

196 Behaviors. Although not studied as extensively as cognitions and affects, it 197 appears that mentally tough athletes exhibit certain types of behaviors. For 198 example, with input from coaches, Hardy, Bell, and Beattie (2013), identified a 199 number of specific behaviors typical of mentally tough athletes. In particular, a 200 variety of adverse situations were identified in which athletes were able to show 201 consistent/high-level performance. In addition, other behaviors noted in different 202 studies included persisting in the face of failure, performing well/playing despite 203 injury, and displaying consistently high levels of energy.

204 In summary, according to the CAPS and ABC approaches to behavior, mental 205 toughness depends on how individuals perceive situations, which will determine their 206 cognitions, affects, and behaviors. While some research has documented a wide range 207 of situations requiring mental toughness and associated cognitions and behaviors, 208 little knowledge is available on whether athletes are mentally tough all of the time. 209 The present study therefore adopted a social-cognitive perspective to explore the 210 stability of mental toughness. Specifically, the purpose of this study was two-fold: (a) 211 to explore possible fluctuations in mental toughness across situations; and (b) to 212 identify the cognitions, affect, and behaviors associated with perceived mental 213 toughness and mental weakness. 214 Method

This study was designed to understand mental toughness through participant's own lived experiences and was therefore theoretically underpinned by key principles of a phenomenological approach (i.e., the study of subjective experiences in relation to the phenomenon being explored) (Langdridge, 2007). Accordingly, this study

adopted a qualitative design and individual interviews were considered the most

appropriate method of data collection.

#### 221 Participants and Sampling

222 The participants were 12 high-end developing tennis players (i.e., State or 223 National ranking) based full time in an elite performance academy in the USA. Players were aged between 14 and 20 years ( $M_{age} = 16.5$ ; SD = 2.66). Participants 224 225 were purposefully selected to participate in the study (Patton, 2002). Specifically, 226 players were required to have been participating in competitive tennis (i.e., ranking 227 system) for at least 3 years and continuing to progress within or towards the National 228 ranking system. A development-level sample was identified because previous 229 research on mental toughness has predominantly focused on elite athletes at the 230 pinnacle of their careers and often involved a retropsective recall design. To date, 231 relatively little mental toughness information is available on developmental athletes 232 competing in their sport, despite existing literature indicating that athletes develop 233 mental toughness across all stages of their careers and consider the construct to be one 234 of the most important psychological attributes to possess (e.g., Butt et al., 2010; 235 Connaughton et al., 2008).

#### 236 **Procedures**

Following institutional ethics approval, the Lead Sport Psychology Consultant (SPC) at the tennis Academy was contacted to discuss the study and obtain information on the Academy's procedures for gaining permission to conduct the research. Following permission from the Academy Director the research team worked with the Lead SPC who facilitated the process to obtain parental consent and then to arrange meetings with the players and opportunities to discuss the study in detail and obtain volunteers to participate. Pilot interviews were conducted and then discussed

244 by members of the research team. It was particularly important that questions were 245 phrased in an understandable manner because of the younger ages of some of the 246 players. As one example, questions asking players how they perceived a particular 247 situation was followed up with a rephrased version such as "what were your initial 248 views and thoughts about being in this situation?" to help understanding. The guide 249 also included probe questions that elicited open discussion (Patton, 2002). After each 250 pilot, the interview protocol was refined accordingly, and this feedback also served to 251 facilitate the preparations of the interviewer. Player interviews were conducted 252 following written consent and were conducted face to face and at the player's daily 253 coaching venue which was considered the most appropriate and comfortable 254 envrionment. At the time of data collection, all participants were currently competing, 255 and striving towards achieving higher-rankings.

### 256 Interview Guide

257 A semi-structured interview guide comprising open ended questions was 258 developed and was broadly informed by social-cognitive models in sport (e.g., the 259 Cognitive-Affective Processing System model (CAPS; Mischel & Shoda, 1995). 260 Specifically, the interview guide was designed to include some consistent categories 261 to be explored but also prompted open discussion and encouraged conversation that 262 was not restricted by the interview guide. At the start of the interviews, players were 263 asked to describe their understanding of mental toughness (i.e., what it is, what 264 players they thought were mentally tough and why). Following this intial discussion 265 the interviewer reiterated and added further information to facilitate understanding, 266 which was in line with the defintion of mental toughness generated by Coulter and 267 colleagues (2010), albeit a user-friendly version while still capturing the key aspects 268 of it.

269	The interview guide addressed the following main content areas: (a) players'
270	tennis background and playing experiences (e.g., can you tell me about your tennis
271	experiences since coming to the Academy?); (b) players' views on mental toughness
272	and their mental toughness situations in tennis (e.g., could you tell me about situations
273	in tennis where you show mental toughness?); (c) players' experiences of being
274	mentally tough in tennis (e.g., could you explain how you perceived this situation?
275	can you describe the thoughts you were having?); (d) players' experiences of not
276	being mentally tough in tennis (tell me about situations in tennis when you didn't feel
277	you were showing mental toughness, could you explain how not being mentally tough
278	shows up in your tennis game?); and (e) strategies used to help players be mentally
279	tough (e.g., can you tell me about any strategies you use to help you to be mentally
280	tough and when you use these strategies). Interviews ranged from 40 to 70 minutes in
281	length and were audio recorded and then transcribed.

#### 282 Data Analysis

283 Interview transcripts were analyzed using a thematic analysis and followed 284 principles advocated by Braun and Clarke (2006). Thematic analysis was selected 285 because of it's flexible nature which can include deductive and inductive aspects of 286 data analysis (Tracy, 2010). At the outset, an initial sweep of the data was conducted 287 to identify the main categories consistent with the social-cognitive models in sport 288 (i.e., cognitions, affect, behaviors). Following this process, an inductive analysis 289 continued which involved identifying individual meaning units (i.e., raw data themes 290 characterizing players' mental toughness situations and experiences), which were then 291 assessed for similarities and grouped accordingly. This process led to the 292 development of lower-order themes and eventually higher-order themes. In addition, 293 with regard to players' mental toughness situations and experiences, raw data themes

294 (i.e., quotes from transcripts) were extracted to capture mental toughness and mental 295 weakness responses. During the theme development and grouping stages of analysis it 296 was important for the research team to discuss the meaning of the raw data units to 297 establish understanding of the content rather than descriptive labeling alone (Tracy, 298 2010). To ensure trustworthiness of data analysis and the subsequent conclusions 299 drawn, the methods of analyst triangulation and member checking (Patton, 2002) 300 were selected. Specifically to triangulate the data, the researchers met over a 4-week 301 period to discuss the data and reach agreement on the final themes. Finally, 302 participant member checks (Lincoln & Guba, 2000) were conducted whereby 303 participants viewed their transcripts and were asked to write in any additional 304 information to ensure data credibility. Participants made no further changes to 305 transcripts.

306

# Results

307 The purposes of the present study were to explore possible fluctuations in 308 mental toughness across situations, and to identify the cognitions, affect, and 309 behaviors associated with perceived mental toughness and mental weakness. High-310 end performance tennis players were interviewed about their perceptions of mental 311 toughness and specifically situations they frequently face that require them to be 312 mentally tough. From an initial sweep of the data, it was clear that all players 313 perceived mental toughness to be important for performance and this view was 314 characterized by phrases such as "I need mental toughness to keep on fighting", "I 315 definitely get to more balls when I'm mentally tough" and "mental toughness is 316 important to win, it's a sign I fully believe in myself". In reiterating the importance of 317 belief, another player discussed "if you are mentally tough and you believe you are 318 mentally tough then you go into a match thinking you have a good chance to win, and

that helps me in my performance." Similarly, another player explained that mental

320 toughness has a positive influence on performance because it helps produce

321 consistency, as they stated:

If mental toughness is going up and down then that doesn't help performance, you need to stay mentally tough cause if it's up and down then consistency will be up and down, in tennis you have to be pretty consistent... you can't be good one week and not the next.

#### 326 Situations Eliciting Mental Toughness or Weakness

327 During the interviews, when players discussed various situations that they 328 perceived as requiring mental toughness it was clear that players could be mentally 329 tough in some situations but mentally weak during other situations, and thus, 330 indicating that mental toughness can fluctuate. The majority of situations identified 331 were specific to the competition environment (23 raw data themes) although players 332 also discussed some situations that occurred in training (13 raw data themes). The 333 higher-and-lower order themes are presented in Figure 1. This next section provides 334 examples of how mental toughness can change in varying situations (i.e., from game 335 to game and sometimes during the same game). When referring to fluctuations in 336 mental toughness, one player described his mental toughness to be a "roller coaster", 337 It can be a roller coaster, because it's literally up and down. I'll have one game where I'm focused and then I'm playing one point at a time ... you can see it 338 339 [mental toughness] in my eves. But then the next game, I've sailed four shots 340 in a row to the fence ... When I get mentally weak it doesn't even cross my 341 mind to hopefully try and bring it back. 342 Similarly, another player talked about a critical moment in tennis in the form of losing

a lead and attributed this event to not being mentally tough enough to "close out the

game" and "getting too excited about winning." In knowing the importance of being
mentally tough in critical moments, this same player further explained, "I keep
working on it [mental toughness]. Mental toughness gives me that belief that I can
finish it out ... and sometimes I have stayed focused and calm, taking each point one
at a time."

349 When discussing specific game situations, some players described how their 350 mental toughness could change during the same match. One player explained that 351 while he can start a match mentally tough he can often become mentally weak during 352 it, "It was so important to start mentally tough, getting everything back, I won the first 353 set like that, but then I stopped playing ... I started thinking why he's playing so good 354 now. I couldn't get it back." In contrast, another player described feeling mentally 355 weak early on in the game but was able to gain mental toughness when she needed it 356 most, as this player discussed,

I wasn't feeling it at the start ... I was playing semi finals and I lost the first set and I was down 4-1 in the second, I had to fight ... I got confident from one point, got over it, I kept going ... I came back and I won the match in the third set.

361 Similarly, another player discussed,

I was playing a third set tie-breaker, I know I have to win that point. To win that match I had to be mentally tough ... stay positive when I got behind ... I was behind and like everything was against me, the opponent was playing well, I had bad luck, the weather ... but I had to dig deep, found my way back into the match, to win it.

367 Interestingly, analysis of the transcripts showed that it was players' perceptions of

368 their opponents (e.g., ranking) and pressure (e.g., concerns over the outcome) that

369 often generated mental toughness or mental weakness during competition. In 370 providing an example of these perceptions, one player was able to be mentally tough 371 during a match against an opponent of similar or close ranking, and explained, "I was 372 mentally tough because it was close and I was playing someone about equal to me 373 ... it can come down to who's going to step up, who's gonna have the mental edge that 374 day." Similarly, when discussing opponents and the pressure associated with "getting 375 results", some players attributed their mental weakness to playing an opponent they 376 were "expected to beat", as one player stated, "if I lose to someone who's worse than 377 me, people will start talking ... the outside pressure can get to me and make me 378 mentally weak if I am playing against someone I should beat." Similarly, another 379 player reiterated,

It's when there is pressure, from others, those watching ... I never want to lose to someone I know I should beat, it's like I don't know how to win, or like what to do to win ... it's a mental thing. It's easier to be mentally tough when they're much better than me, I have nothing to lose, just fight and can take one point at a time.

Players also discussed their mental toughness and weakness during training situations. Specifically, these situations focused on consistency (e.g., consistency to perform, no let up) and intensity (e.g., intense practice always, no off-season) (see Figure 1). In the theme of consistency one player discussed the need to be mentally tough "to maintain consistency every week" with regards to performance standards, as he stated,

What you do in practice is what you'll eventually do in matches andtournaments so I work on it [mental toughness] in practice ... if you're

- 393 mentally tough you'll be ready ... but if you are up and down, your394 consistency will be up and down.
- Not all players were able to be mentally tough all of the time in training situations and
  maintaining intensity sometimes generated mental weakness responses, as one player
  explained,
- Sometimes I just feel out of it, not going for every ball and I'm kind of
  looking around and then I know I don't look like I'm mentally ready to be
  there ... there is no off season, practice is a big part of competition and
- 401 tournaments.

402 Another player discussed training and intensity as requiring mental toughness in the

403 following way, "there is pressure, mostly coming from myself, in practice, you have

404 to be focused the whole time and I can be like, have a variety of moods in practice ...

405 I'm not always mentally tough."

406 Cognitions, Affect, and Behaviors

407 Following analysis of the data it was possible to further understand mental

408 toughness and mental weakness through players' perceived associated cognitions,

409 affect, and behaviors. Specifically, players reported different cognitive, affective, and

410 behavioral responses when they perceived themselves to be mentally tough and when

they perceived themselves to be mentally weak (see Figure 1 for the data display of

412 higher-and-lower order themes).

413 Cognitions

In this theme a variety of facilitative cognitions associated with mentaltoughness were discussed. It was clear that these thoughts were positive in nature,

416 were task focused, and also related to one's own performance rather than on

417 opponents or the outcome of the game. These cognitions were characterized by

418	phrases such as, "thoughts about playing well", "focusing on each point", and
419	"playing for me not focusing on the outcome". In contrast, when players considered
420	themselves to be mentally weak, defeatist thoughts relating to the outcome of the
421	match (e.g., worries about losing, outcome thinking on points) were dominant and
422	interpreted as having a debilitating influence on performance. One player explained,
423	Pressure [for the outcome] can make me mentally weak, I'm thinking, if I lose
424	this match people are going to say 'how did she lose to her', lose a couple of
425	points in a row and it can crush my mind, what if I lose?
426	When perceiving mental toughness, thoughts were high in belief and players
427	emphasized positive expectations, as one player explained, "When I'm mentally
428	tough I believe in myself and I think I can win." Similarly, another player stated,
429	"believing in yourself is so comfortable, trusting everything being mentally tough
430	you know how to handle those thoughts, how to talk to yourself." In contrast, mental
431	weakness was characterized by thoughts of self-doubt and a lack of confidence: One
432	player explained, "when you are not feeling mentally tough and you start to think I
433	am not hitting the ball great, that effects how much I believe in myself." Similarly,
434	another player mentioned, "I get critical of myself and I begin to question my shots."
435	Players also discussed distraction related thoughts such as thinking too much about
436	certain shots or allowing factors that they could not control distract or interfere with
437	thoughts when trying to focus on the task at hand.
438	Affect

In this theme of affect a variety of positive feelings associated with being
mentally tough were discussed, such as, enjoyment (e.g., love the game), positive
energy (e.g., energized, stay with it physically), and feeling relaxed. Interestingly,
discussions with players indicated that feeling mentally tough did not prevent them

from feeling nervous in tennis competition but it enabled them to use these nerves in apositive way, as one player mentioned,

I'm able to take it as a good thing, I can tell myself it's normal, everyone gets
nervous but when you're mentally tough being nervous is good because you
want to win ... if you're not nervous that means you don't really care about
your performance.

449 In addition, the impact that feeling positive energy had on tennis performance was

450 explained in the following way, "The feeling ... when you're mentally tough,

451 everything is ... is just working ... it's like the least amount of power or effort you get

452 for most amount of power ... it feels positive and pretty natural."

453 In contrast, mental weakness in response to some tennis situations engendered 454 negative affect for players and were described as feelings of lethargy (e.g., low 455 aggression, lack of energy), feelings of frustration and/ or anger (i.e., psychological 456 responses) and physiological responses such as body tension and increased heart rate. 457 One player explained her feelings of lethargy in the following way, "its just like I 458 don't want to be there, I don't wanna do this, I feel I'm tired ... sometimes you let 459 those feelings get the best of you." In explaining how feelings of frustration could debilitate performance, one player discussed, "It's not being mentally tough, I get 460 461 frustrated and I just bang my racket down, getting mad at myself when I start losing 462 points." Negative physiological responses were also deemed debilitating as one player 463 stated.

When I'm not mentally tough I can get tension in my arms ... when I'm
nervous when I'm playing I don't play the way I'm supposed to like I always
do. I'm normally an aggressive player and I don't play that way, I don't know
how to win that way ... when I am not mentally tough.

#### 468 **Behaviors**

469 Players discussed a range of effective behaviors associated with mental 470 toughness but also ineffective behaviors associated with mental weakness (see Figure 471 1). Two ways that mental toughness was demonstrated was through behaviors such as 472 moving faster on court (i.e., higher effort) and strong body language (i.e., confidence). 473 Specifically, when players were displaying high effort they described it as "being 474 intense", and "on my toes ready to move fast". One player discussed how he plays 475 with high intensity in this way, "you're never kind of going down, always keeping up 476 my intensity, staying with it all the way whether you win or lose a point." In addition, 477 players displayed confidence by "standing tall", "holding my racket up", and "having 478 a consistent game-face". Some players also discussed how their confidence can show 479 up in their shot selection, as one player stated, "you can see it, you play your game ... 480 I believe I can make this shot and I go for it."

In contrast, when players considered themselves to be mentally weak they discussed displaying behaviors that were perceived to have a negative influence on tennis performance. For example, decreased effort was discussed and described as "not running hard enough and so giving up on points" and "wasting effort by not getting into the right place", as one player explained:

It's when you are struggling, you need it [mental toughness] the most but you
have to work that much harder to get to the ball, probably not really working
that hard but you feel like you are because your body isn't in the right place at
the right time ... you just give up trying to get some [balls] back, stop running.
Similarly, a theme categorized as decline in skill level also emerged associated with
mental weakness behaviors such as "missing easy balls/points", "not hitting the ball
cleanly", and "touch being off." One player explained, "yeah, I couldn't win a point

anymore, it was horrible and I missed every shot, easy shots." Players were also
aware of how their behavior influenced dictating play on the court as they discussed
the pace of play and how this differed when being mentally tough or mentally weak.
For example, one player discussed being forced into fast play when she was mentally
weak, "I lost my game plan. Everything was going way too fast and I never took time
to walk back to the fence to slow it down then that game is done, I needed to have
taken my time."

500 In addition to changes regarding skill level and dictating the pace of play, 501 some players were aware that they adopted a particular game strategy when they were 502 mentally weak. Specifically players identified purposely playing not to lose by a large 503 margin rather than trying to turn the game around, as one player explained, "It's kind 504 of giving it away, playing not to lose, let the opponent lead the point and just let them 505 [opponent] control everything. Get the ball back and hope your opponent misses." 506 In contrast, when players were mentally tough they discussed being assertive on court 507 and were able to dictate the pace of play, as one player explained, 508 When I'm mentally tough I'll take my time on every ball, focus on every 509 point. I won't let myself be rushed because you lose games quickly. When I 510 am tough I don't think ahead, I play every point, ...I'm in control. 511 Similarly, players described "playing every point" as an important aspect of 512 displaying mental toughness and this was characterized by phrases such as "not 513 giving up on points", "fighting for every point", and "play despite pressure". One 514 player explained, "when the going gets tough, if I'm mentally tough I can keep 515 sticking to the task even if things are not going my way. When I'm mentally tough I 516 can find a way to battle through."

517 **Coping Strategies** 

518 As reported earlier, players were aware that their mental toughness could 519 fluctuate in response to a variety of situations (e.g., competition, training). During the 520 interviews players identified coping strategies that they used to protect or regain 521 mental toughness. It was anticipated that players would share a variety of coping 522 strategies because all players at the Academy received some sport psychology support 523 although this support was not specifically targeted at developing mental toughness. 524 The higher-order theme of coping comprised of five lower-order themes: Task focus 525 (e.g., focus on the controllables, focus on process), avoid distraction (e.g., walk away 526 to the towel, turn away from opponent), use of tactics (e.g., attack more, stick to the 527 game plan), maintaining confidence (e.g., acting confident, positive self-talk), and 528 relaxation (e.g., deep breathing, visualization of relaxing scenes). In the theme of task 529 focus players discussed various strategies to help them focus on their own 530 performance and playing each point rather than thinking about the outcome. One 531 player highlighted the importance of "focusing on the controllable aspects of 532 performance and using refocus routines". Players also discussed strategies related to 533 avoiding distraction. Specifically, this theme captured players' views about not 534 wanting to let an opponent cause distraction, as one player stated: 535 Going to the back of the court, looking at strings, so I'm turning away from 536 my opponent so I can focus my mind, I can forget my opponent is there and 537 then its time to focus on what you need to do. 538 Strategies to maintain confidence was also discussed by players and was frequently 539 explained as having a positive relationship with mental toughness. For example, one 540 player discussed "having more confidence enhanced my mental toughness and when 541 I'm feeling tough I exude more confidence". Similarly, another player discussed using 542 her positive body language and attitude as a way to regain mental toughness, "body

- 543 language without a shadow of doubt is by far the most important ... your mental
  544 frame for mental toughness ... just not showing your opponent that you're down or
  545 up."
- 546 In the theme categorized as use of tactics, players engaged in strategies such 547 as "slowing play down", "sticking to their game plan" and "being aware of their 548 strengths and then playing to their strengths". One player stated,
- 549 I know what my strengths are in my game, one thing I do is identify the thing 550 that's working, like if I felt my footwork was good, then I try to increase effort 551 in that up by five per cent. I try to play to my strengths.

552 Finally, players also engaged in relaxation strategies to regain control such as deep

breathing and visualizing relaxing scenes, as one player explained, "I feel it in my

chest, so I'm taking deep breaths to release it [tension] ... when you are mentally

tough, the nerves are still there but it's easier to use or rid them ... breathing and

556 routines provide that".

557

#### Discussion

558 The purposes of the present study were to explore possible fluctuations in mental 559 toughness across situations, and to identify the cognitions, affect, and behaviors 560 associated with perceived mental toughness and mental weakness. One area of mental 561 toughness that continues to be debated is whether an athlete's mental toughness is 562 changeable (i.e., fluctuates) depending on situations. As such, further research has 563 been encouraged to consider aspects of both mental toughness as well as mental 564 weakness (Harmison, 2011). In line with these thoughts of inquiry, it has been 565 emphasized by some researchers that appropriate theories should be adopted to further 566 understand mental toughness relative to the stability of mental toughness (e.g., Crust, 567 2008; Harmison, 2011). This study offers a novel perspective to view mental

toughness by adopting a social-cognitive framework, and therefore, considers theinteraction of athletes and their changeable environments.

570 In the present study, players identified a range of situations pertaining to 571 competition (e.g., opponents, critical moments) and training (intensity, consistency) 572 that they perceived as requiring mental toughness. Previous research has begun to 573 provide some consistency in findings when considering the temporal nature of mental 574 toughness (e.g., Bull et al., 2005; Slack et al., 2014). Specifically, it has been reported 575 that high-end performers require mental toughness across a range of situations over 576 sustained periods of time (i.e., week in and week out for whole seasons) and also 577 across entire match-days themselves. In support of these findings, players in the 578 present study emphasised the need to be mentally tough for competition and also in 579 training for prolonged periods of time (i.e., season-long). Indeed, the themes of 580 intensity and consistency captures players' perceptions that "there is no off-season" 581 and mental toughness is required to sustain consistency in performance over time. 582 Despite some support for the state-nature of mental toughness, it has been 583 argued by some that mental toughness is not a stable construct and influenced by 584 genetic factors, calling into question, therefore, whether mental toughness can be 585 developed over time (e.g., Horsburgh, Schermer, Veselka, & Vernon, 2009). Unique 586 to the findings of the present study, it was clear that players could be mentally tough 587 in some situations but mentally weak during other situations, and thus, offering 588 empirical support for mental toughness being more of a state-like construct (i.e., that 589 mental toughness can shift depending on the situation). In particular, players discussed fluctuations in mental toughness occurring in different matches and also in 590 591 response to situations occurring in the same match, and attributed these fluctuations to 592 critical game moments (e.g., tie-breaker points) and situations needing composure

593 (e.g., recovering from an error). Being able to identify specific situations where 594 players could potentially be mentally weak during competition can be helpful to 595 practitioners when designing sport-specific mental toughness training programs. 596 Along these lines, it was also an important finding to understand players' perceptions 597 of these mentally tough and weak situations. Specifically, players' changing perceptions of their opponents (e.g., ranking, momentum) and pressure (e.g., concerns 598 599 over the outcome) most often generated fluctuations in perceived mental toughness. 600 Dealing with pressure has long been considered an important attribute of mental 601 toughness and has become an essential ingredient of mental toughness training 602 interventions (cf. Slack, Maynard, Butt, & Olusoga, 2015). Regarding perceptions of 603 pressure, much research exploring competitive anxiety responses has supported the 604 notion that experiencing anxiety symptoms do not always have a negative influence 605 on performance and can be interpreted in a facilitative way (cf. Jones & Swain, 1995). 606 Players in the present study reported that being mentally tough did not take away their 607 nerves (i.e., feeling nerves) but enabled them to perceive and use them in a positive 608 way, and thus, offer further support for facilitative anxiety. Collectively, findings of 609 the present study further highlight the need to equip athletes with the skills to 610 reinterpret their perceptions of pressure and one way that this can be achieved is to 611 gradually expose players to pressure situations in training (Gould & Maynard, 2011). 612 Indeed, it has become a consistent finding in mental toughness research that exposing 613 performers to harsh experiences (i.e., creating pressure) will be beneficial to 614 increasing their mental toughness (e.g., Bell et al., 2013; Weinberg et al., 2011). 615 A second purpose of this study was to identify the cognitions, affect, and 616 behaviors used by the tennis players when perceiving mental toughness and mental 617 weakness. Researchers have recently advocated the application of social-cognitive

models for studying mental toughness (e.g., Harmison, 2011; Smith, 2007). In 618 619 particular, Harmison demonstrated the use of Mischel and Shoda's (1995) Cognitive-620 Affective Processing System (CAPS) as a framework to further our understanding of 621 athletes' mental toughness relative to various situations they encounter. The 622 idiographic profiles generated demonstrated that two athletes (i.e., football players) 623 could perceive situations (i.e., perceptions of an upcoming match) differently, and 624 experience a range of cognitions, affect, behaviors, and coping responses, which 625 could interact to determine mental toughness or mental weakness. To date, research 626 has focused on the constituents of mental toughness and while there is some 627 knowledge on the mental toughness cognitions and behaviors utilized by elite 628 performers (e.g., Gucciardi et al., 2009a; Slack et al., 2014), it has been suggested that 629 characterizing the opposite cognitions and behaviors (i.e., when not mentally tough) is 630 also necessary.

631 In addressing both mental toughness and weakness, the present study extends 632 current knowledge of mental toughness conceptually and from an applied perspective. 633 In particular, findings indicated that players perceived to experience facilitative 634 cognitions (e.g., control over thoughts, task focus, self-belief), positive affect (e.g., 635 energized, relaxed) and facilitative behaviors (e.g., displaying confidence, assertive 636 play) associated with mental toughness, and these were discussed relative to "playing" 637 well" and "producing winning performances." In contrast, players perceived to 638 experience debilitative cognitions (e.g., outcome thoughts, self-doubt), negative affect 639 (e.g., lethargy), and behaviors (e.g., decreased effort, negative body language) 640 associated with mental weakness. It is important for sport psychology consultants and 641 coaches to have an understanding of these cognitions, affect, and behaviors to be able 642 to help athletes develop awareness of their mental toughness (and mental weakness).

Further, while the aim of the present study was not to investigate mental toughness and it's influence on performance, the findings do begin to offer some preliminary knowledge on the role of mental toughness and performance via an understanding of players' cognitions, affect, and behaviors. However, the underlying mechanisms of mental toughness (i.e., how mental toughness influences performance) still needs to be fully investigated.

649 When viewing players' mentally tough cognitions and coping strategies used 650 to maintain or regain mental toughness, findings of this study indicate that having a 651 strong self-belief is important for mental toughness. Specifically, belief, positive 652 thinking, and focusing on one's own performance were all reported as cognitions 653 associated with being mentally tough while self-doubt was associated with mental 654 weakness. In addition, maintaining confidence (i.e., strengths-focus, positive self-talk, 655 acting confident) was identified as a coping strategy to sustain mental toughness or 656 regain it during fluctuations. Similarly, previous research has reported high self-belief 657 to be the most consistent attribute of mental toughness (e.g., Gucciardi et al., 2008; 658 Jones et al., 2002; 2007). Collectively, findings confirm the important role of 659 confidence when developing mental toughness.

660 Limitations

One limitation to consider in the present study is the domain specific (i.e.,
Academy tennis players) nature of the sample used. That is, because findings might
not transfer to other sports, triangulating these results across other individual and team
sports would provide further understanding of the stability of mental toughness (i.e.,
different situations) and the cognitions, affect and behaviors it elicits. Nonetheless,
previous research has often favored adopting a sport-specific approach to studying
mental toughness because it can offer context-rich knowledge gains theoretically, and

also for practitioners looking to build mental toughness and create an optimal
environment to do so. As one example of sport-specific research, Gucciardi and
colleagues (2008; 2009b; 2009c) conducted a line of research exploring mental
toughness specific to Australian Football. Specifically, an initial study was conducted
to obtain an understanding of what constitutes mental toughness in Australian football
players, which was later followed up with the designing and testing of quantitative
and qualitative mental toughness training interventions.

675 Regarding the sample used, another limitation to note is the level of the 676 players included. In this study, although the players were considered to be 677 participating at a high level (i.e., state and national ranking in full time training at a 678 tennis Academy), they were still in the development phases of their athletic careers. 679 As such, it is likely that they were still developing their mental attributes, including 680 mental toughness. Along these lines, it is also important to note that no objective 681 measures of mental toughness were obtained prior to conducting interviews. Thus, 682 while the findings show that a player's mental toughness can fluctuate across 683 competition and training situations, they did not show exactly how mentally tough 684 each player was with an objective score from a questionnaire.

## 685 Future Research and Applied Implications

Future research might consider longitudinal studies whereby the temporal nature of mental toughness can be further investigated (e.g., season long). Further, while there are some examples of empirical mental toughness training interventions in the literature (e.g., Gucciardi, Gordon, & Dimmock, 2009b; Slack et al., 2015), it remains an important avenue of research to develop such interventions and test their effectiveness over longer periods of time. In particular, gaining an understanding of sport-specific situations and how player's perceive these situations, together with

693 associated cognitions, affect, and behaviors, provides a starting point for which to 694 develop a mental toughness training intervention that can be tailored to the unique 695 needs of developing tennis players. In addition, having identified specific behaviors 696 perceived to be associated with mental toughness (and mental weakness), sport 697 psychology consultants and coaches can begin to observe these behaviors in training 698 and competition to help players become more aware of their mental toughness. Along 699 these lines, findings of this study highlight that athletes' perceptions of pressure-700 related situations can influence the stability of mental toughness (i.e., mental 701 toughness or mental weakness). Recently, research has begun to highlight the 702 potential benefits of pressure training in sporting environments (e.g., Driskell, 703 Sclafani, & Driskell, 2014) and also specific to developing mental toughness (e.g., 704 Bell, Hardy, & Beattie, 2013). Gaining an understanding of match situations which 705 can potentially evoke mental weakness can be integrated into players' training 706 environments to help prepare them better for performing in competition and critical 707 moments. Finally, findings of this study confirm the important role of confidence in 708 mental toughness and should remain central to interventions designed to build mental 709 toughness.

## 710 Conclusions

Findings of the present study offer some support for the state-nature of mental toughness indicating that depending on the situation, and athletes' perceptions of the situation, mental toughness can fluctuate, and can sometimes be perceived as mental weakness. It is important to continue to identify sport-specific situations and how athletes perceive these situations so that appropriate interventions can be implemented. Obtaining an understanding of players' cognitions, affect, and behaviors associated with mental toughness and mental weakness has provided an

718	insight into how fluctuations in mental toughness might influence tennis players'
719	performance. The identified cognitions, affect, and behaviors (for both mental
720	toughness and weakness) can also serve as a platform for which to develop mental
721	toughness training interventions tailored to high-end, developing tennis players.
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#### 832 Mental Toughness

**Mental Weakness** 

