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Citation for published version:

Borthwick, A, Su, L, Miao, C & Duan, Q 2017, 'Wavelet-based variability of Yellow River discharge at 500-, 100-, and 50-year timescales' Gondwana Research.

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Peer reviewed version

Published In:

Gondwana Research

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1 **Wavelet-based variability of Yellow River discharge at 500-,**
2 **100-, and 50-year timescales**

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13 **Highlights for reviews [a maximum of 85 characters, including spaces, per highlight]**

14 (1) Spatial and temporal variabilities of streamflow in the YR are investigated.

15 (2) Periodic changes of the streamflow are identified at 500-, 100-, and 50-year timescales.

16 (3) Periodicities are continuous at spatial scale and short timescales.

17 (4) Strong connection with the Niño 3.4, the AO, and sunspots.

18

19 **Abstract:** Water scarcity in the Yellow River, China, has become increasingly severe over the past
20 half century. In this paper, wavelet transform analysis was used to detect the variability of natural,
21 observed, and reconstructed streamflow in the Yellow River at 500-, 100-, and 50-year timescales.
22 The periodicity of the streamflow series and the co-varying relationships between streamflow and
23 atmospheric circulation indices / sunspot number were assessed by means of continuous wavelet
24 transform (CWT) and wavelet coherence transform (WTC) analyses. The CWT results showed
25 intermittent oscillations in streamflow with increasing periodicities of 1–6 years at all timescales.
26 Significant multidecadal and century-scale periodicities were identified in the 500-year
27 streamflow series. The WTC results showed intermittent interannual covariance of streamflow
28 with atmospheric circulation indices and sunspots. At the 50-year timescale, there were significant
29 decadal oscillations between streamflow and the Arctic Oscillation (AO) and the Pacific Decadal
30 Oscillation (PDO), and bidecadal oscillations with the PDO. At the 100-year timescale, there were
31 significant decadal oscillations between streamflow and Niño 3.4, the AO, and sunspots. At the
32 500-year timescale, streamflow in the middle reaches of the Yellow River showed prominent
33 covariance with the AO with an approximately 32-year periodicity, and with sunspots with an
34 approximately 80-year periodicity. Atmospheric circulation indices modulate streamflow by
35 affecting temperature and precipitation. Sunspots impact streamflow variability by influencing
36 atmospheric circulation, resulting in abundant precipitation. In general, for both the CWT and the
37 WTC results, the periodicities were spatially continuous, with a few gradual changes from
38 upstream to downstream resulting from the varied topography and runoff. At the temporal scale,
39 the periodicities were generally continuous over short timescales and discontinuous over longer
40 timescales.

41

42 **Keywords:** Yellow River; streamflow; periodicity; wavelet transform

43

44 **1. Introduction**

45 Water is essential for the survival of all organisms, including human beings (Oki and Kanae,
46 2006). Water availability is vital for human health, economic activity, ecosystem function, and
47 geophysical processes (Milly *et al.*, 2005). Nevertheless, during the past five decades, water
48 scarcity has begun to accelerate across the world. It is estimated that by 2025 as many as 5 billion

49 people – 60% of the 8 billion world population – will be living in countries suffering from water
50 stress (Arnell, 1999). Therefore, the changing characteristics and optimal management of water
51 resources have received great attention in recent decades.

52 From a resource perspective, runoff is an important component of the hydrological cycle and
53 can be used as a measure of sustainable water availability (Milly *et al.*, 2005). The temporal
54 distribution of global runoff is extremely non-uniform, exacerbating the severe challenge posed by
55 shrinking water resources. Substantial research effort has therefore been focused on streamflow
56 and its fluctuations, including streamflow circulation, river flow oscillations, discharge predictions,
57 etc. (Miao and Ni, 2009).

58 For a better understanding of fluctuations in streamflow, one can perform a decomposition of
59 the runoff time series into time–frequency space to determine the dominant modes of variability
60 and how such modes vary with time (Torrence and Compo, 1998). Time series in the geosciences
61 generally show sporadic periodicities which are often caused by intermittent climatic oscillations.
62 Most traditional mathematical methods that examine periodicities in the frequency domain, such
63 as Fourier analysis, implicitly assume that the underlying processes are stationary in time
64 (Grinsted *et al.* 2004), which is not the case in reality for most geo-scientific time series.
65 Windowed Fourier transforms can be used but are limited by the arbitrary fixed length of the
66 window (Torrence and Compo,1998), and there is a tradeoff between temporal and spatial
67 resolution because of Heisenberg's uncertainty principle. Wavelet analysis, however, has an
68 advantage over the more classic techniques because it is not restricted by an assumption of
69 stationarity (Cazelles *et al.*, 2008). Wavelet analysis is a scale-independent, robust approach for
70 decomposing time series into finer scales and is able to identify localized, scattered periodicities
71 (Coulibaly and Burn, 2005).

72 Wavelet analysis has been widely applied in hydrology since the 1980s (Kumar and
73 Foufoula-Georgiou, 1997). Labat *et al.* (2004) used a statistical wavelet-based method to
74 reconstruct the monthly discharges of the world's largest rivers. They showed that there was a long
75 dry period in global runoff between 1900 and 1940, followed by a succession of alternating
76 15-year-long dry and humid periods. This reconstruction displayed more pronounced amplitudes
77 in the dry and humid periods compared with previous estimates. Labat (2008) further analyzed the
78 changes in discharge of 55 large rivers globally using a Morlet continuous wavelet-based analysis,

79 and demonstrated that large-river runoff exhibits El-Niño/Southern Oscillation (ENSO)-related
80 and North Atlantic Oscillation (NAO)-related interannual variability, decadal variability, and
81 multidecadal 40–50-year fluctuations. Smith *et al.* (1998) applied wavelet spectral analyses to the
82 daily discharge records for 91 rivers in five different climate regions across the U.S., and found
83 that the spectral curves were very similar within a region but differed between regions. Labat *et al.*
84 (2000) applied the continuous Morlet wavelet transform and a multi-resolution orthogonal
85 analysis to rainfall rates and runoffs for karst springs at two locations in France, with the data
86 sampled at different rates. The results showed that both rainfall rate and karst spring runoff were
87 characterized by highly non-stationary and scale-dependent behavior. Runoff was influenced by
88 the large nonlinearities in the karstic system and by the time structure of the rainfall
89 rates. Gaucherel (2002) exploited the benefits of the continuous wavelet transform (CWT) to
90 detect new periodicities in the flow curves for basins in French Guiana. Their results showed that
91 the so-called ‘short March summer’ (a temporary reduction in rain over the Guiana Shield during
92 the rainy season) was due to the influence of the Atlantic Ocean on the continent. Nourani *et al.*
93 (2013) used a wavelet transform to identify dynamic, multi-scale features in the runoff time series
94 and to remove noise. The results showed that the application of the wavelet transform increased
95 the performance of the feed-forward neural network (FFNN) rainfall–runoff models in predicting
96 runoff peak values. Nalley *et al.* (2013) adopted the discrete wavelet transform (DWT) technique
97 to detect trends in the mean surface air temperature over southern regions of Ontario and Quebec,
98 Canada, for the period 1967 – 2006. They found that high-frequency components ranging from 2
99 to 12 months were more prominent in the higher resolution data. Pathak *et al.* (2016) used DWT
100 to analyze variability in seasonal temperature, precipitation, and streamflow in the Midwestern
101 United States. The results indicated an upward trend in temperature, with different periodicities
102 being effective for detecting trends in different seasons and different hydrological components.
103 Yarleque *et al.* (2016) adopted a high-resolution spatiotemporal wavelet reconstruction method to
104 assess the spatial variability in precipitation over complex mountain terrain at multiple
105 spatiotemporal scales; the validation study demonstrated the good overall performance of the
106 wavelet method. CWT and wavelet transform coherence (WTC) analyses were applied by Niu and
107 Chen (2016) to the hydrological time series (runoff, soil moisture, and evapotranspiration) for the
108 ten sub-basins that make up the Pearl River basin in South China for the period 1952 – 2000. They

109 found that runoff had greatest correlation with precipitation variability and least with
110 evapotranspiration, and that the attenuation of runoff in response to precipitation variability
111 occurred mainly within a timescale of two years.

112 One-dimensional CWT focuses only on a single variable (e.g. streamflow). Water discharge
113 is an essential part of any sensible hydrological system, and is also strongly associated with
114 internal climate feedback mechanisms (Miao et al., 2016), such as the known atmospheric
115 circulation modes, and external forcings, such as solar activity (Hao *et al.*, 2008). Knowledge of
116 the spatially and temporally non-stationary behavior of streamflow is vital for a better
117 understanding of the complicated hydrology–climate relationship and the dynamics of the
118 hydrological cycle (Coulibaly and Burn, 2005), which in turn will assist with accurate prediction
119 of water runoff.

120 To achieve this objective, two-dimensional wavelet transforms have been widely used [e.g.
121 the cross wavelet transform (XWT) and wavelet transform coherence (WTC)]. The
122 two-dimensional approach has the advantage of being able to detect transient associations between
123 the studied non-stationary signals in both the time and frequency domains simultaneously (Yu et
124 al., 2015). Calculated from two CWTs, the XWT exposes regions with high common power and
125 further reveals information about the phase relationship. The WTC is also calculated from two
126 CWTs and can quantify the degree of linear relationship between two series (e.g. precipitation and
127 ENSO) in both the time and frequency domains. XWT reveals high common power; WTC finds
128 locally phase-locked behavior.

129 Labat (2010) used XWT to study the relationship between annual continental freshwater
130 discharge and five climate indices over the period 1876 – 1994. The study revealed temporal
131 correlations between discharge and indices for five continents, sometimes over the entire time
132 interval but more often over restricted intervals. Coulibaly and Burn (2005) used XWT to gain
133 insights into the dynamic relationship between seasonal streamflow and the dominant modes of
134 climate variability in the Northern Hemisphere: the wavelet cross-spectra revealed strong climate–
135 streamflow covariance in the 2–6-year period after 1950, regardless of climatic index or season.
136 Briciu and Mihăilă (2014) used WTC to study the influence of climatic oscillations and sunspot
137 number on river flows in Romania, Ukraine, and Moldova. The WTC analysis showed that there
138 was an approximately 11-year periodicity between sunspots and river flow, which indicates the

139 constant influence of solar activity on climatic oscillations and rivers. Gobena and Gan (2009)
140 used WTC to identify links between primary Pacific climate variability modes and low-frequency
141 hydroclimatic variability in the South Saskatchewan River basin in Canada. At the interannual
142 scale, Gobena and Gan observed strong coherency but inconsistent phase differences between
143 streamflow and the Niño 3 index, the Pacific–North America pattern (PNA), and the Pacific
144 Decadal Oscillation (PDO). At the interdecadal scale, the PDO and streamflow exhibited
145 consistently strong coherence with a stable phase difference of 180° at scales greater than 20
146 years. Nalley et al. (2016) applied WTC to analyze the relationships between streamflow data
147 collected from the southern regions of Quebec and Ontario over 55 years and the ENSO, the PDO,
148 and the Arctic Oscillation (AO). WTC analyses showed that the inter-annual influence of the
149 ENSO and the NAO occurred at 2 – 6 year periodicities, and that the influence of the PDO
150 occurred at periodicities up to 8 years and exceeding 16 years. Keener et al. (2010) used both
151 XWT and WTC to identify and quantify the significance of a teleconnection between sea surface
152 temperatures (SST) associated with the Niño 3.4 index and streamflow in the Little River
153 watershed in Georgia, USA. The strongest 3–7 year shared power was observed between SST and
154 streamflow data, whereas the strongest co-variance was observed between SST and NO₃ load data.
155 Wang et al. (2013) used XWT and WTC to assess the possible relationships between monthly
156 extreme headwater flow in the Tarim River basin, climatic indices, and regional climate. The
157 results showed that different circulation indices may influence the trends in hydrological extremes
158 in different rivers. Tamaddun et al. (2016) used XWT and WTC to analyze the interaction between
159 streamflow in the western United States and climate indices over the period 1951 – 2010. The
160 results showed that changes in streamflows were coincident with both the ENSO and the PDO, but
161 in different time-scale bands and at various time intervals. The ENSO was strongly correlated with
162 streamflow in the 10 – 12 years band whereas the PDO was strongly correlated with streamflow in
163 the 8 – 10 years and > 16 years bands.

164 The Yellow River (or Huanghe) is the sixth longest river in the world (Yellow River
165 Conservancy Commission (YRCC), 2012). Northern China has heavy socio-economic dependence
166 on the Yellow River, with about 110 million inhabitants occupying its basin in 2000 (Miao and Ni,
167 2009). For the past half century, the Yellow River has been suffering from water shortages, with
168 the situation compounded by the ever-growing population and flourishing economy (Miao *et al.*,

169 2011; Wu *et al.*, 2017). Since the 1950s, discharge from the Yellow River to the Bohai Sea has
170 steadily decreased owing to decreased precipitation and increased human activities, and is
171 currently at only 20% of the pre-1950s discharge levels (Yang and Sun, 1998; Xu *et al.*, 2010).
172 Equally striking is the number of days in recent decades that the lower reaches of the Yellow River
173 suffered from no-flow or low-flow conditions, whose occurrences rose from fewer than 20 days
174 per year in the 1950s to an astounding 226 days per year in the 1990s (Xu, 2004). Thanks to the
175 Xiaolangdi Dam, which became operational in 1999, there have been zero no-flow days in the
176 lower Yellow River since 2000. The severity of the previous events highlights the importance of
177 optimal management of water resources in the Yellow River basin.

178 Many researchers have applied wavelet analysis to the study of oscillations in streamflow in
179 the Yellow River. Hao *et al.* (2008) analyzed long-term precipitation series (1736 – 2000) for the
180 middle and lower reaches of the Yellow River and its four sub-regions, and found that the
181 precipitation had interannual and interdecadal oscillations of 2–4 years, quasi-22 years, and 70–80
182 years. Sun (2010) used the XWT method to analyze the runoff characteristics and multi-timescale
183 correlations between runoff and climate indices in the Yellow River source region. The results
184 showed that runoff in the source region had interannual and interdecadal periodic variations and
185 the coherence between runoff and climate indices varied seasonally. The relationship between SST
186 and discharge at the Lijin gauging station from 1950 to 2008 was analyzed by XWT and squared
187 wavelet coherence by Liu *et al.* (2011), who found the most significant common power occurred at
188 the 2–8-year timescale. Zhang *et al.* (2012) used both XWT and WTC to describe and identify the
189 climate and river discharge features in the Yellow River source region, and demonstrated that the
190 first instance of zero river discharge (in 1961) related solely to precipitation, whereas the
191 remaining instances resulted from a combination of air temperature and precipitation. He *et al.*
192 (2013) applied CWT to detect the characteristics of variation in natural and observed streamflow
193 series from six hydrologic gauging stations in the Yellow River from 1956 to 2007. The results
194 showed that streamflow at all stations other than the Guide station had the strongest periodicity at
195 19 – 21 years on a 52-year timescale. Huang *et al.* (2015) explored the correlations between ENSO
196 events and Nonparametric Multivariate Standardized Drought Index (NMSDI) variations from
197 1953 to 2012 in the Yellow River basin using XWT. The results showed that ENSO events
198 exhibited a statistically significant negative correlation with NMSDI variations, suggesting that

199 these variations have a strong impact on the evolution of drought. Wang and Sun (2016) used
200 CWT to analyze the multi-timescale characteristics and coupling between runoff and sediment in
201 Lower Yellow River over the period 1950 – 2005. They found that the main periods of runoff were
202 essentially consistent with the main periods of sediment discharge. Wei et al. (2016) applied
203 wavelet transforms to detect periodic variations in streamflow and suspended sediment discharge
204 at eight hydrological stations along the mainstream of the Yellow River between 1950 and 2013,
205 and found many oscillation cycles resulting in alternating wet/dry and high/low
206 sediment-discharge periods.

207 Existing research has mostly focused on individual streamflow (e.g. Yang *et al.*, 1998; Lan,
208 2001; Miao and Ni, 2009; He *et al.*, 2013). Water discharge is highly associated with known
209 atmospheric circulation modes and external forcing such as solar activity; so it is also necessary to
210 analyze the co-varying relationships between streamflow and climatic indices such as Niño 3.4,
211 the AO, the PDO, and sunspots. Wavelet analysis can be used to investigate the coherence
212 between streamflow and climatic variables, as well as individual streamflow periodicities. More
213 importantly, previous studies of the Yellow River mainly dealt with streamflow series from
214 different hydrological stations separately (e.g. Lan *et al.*, 2001; Yang *et al.*, 2004; Miao *et al.*, 2010)
215 and rarely focused on comparison and analysis of results across different spatial regions. A
216 comprehensive analysis of the spatial characteristics of streamflow is essential for overall
217 management of water resources across the entire Yellow River basin. Furthermore, previous
218 research on the Yellow River has tended to concentrate on data from the last half century (e.g. Liu
219 et al., 2011; Milliman et al., 2008; Huang *et al.*, 2015). Longer time series are of vital significance
220 for periodicity analyses because they contain richer information, enabling a more effective
221 analysis.

222 There is no doubt that periodicity analyses depend heavily on the accuracy of the data used.
223 Instrumental records of the Yellow River streamflow prior to the 20th Century do not exist and the
224 implementation of instrumental records did not become popular in the region until the 1950s.
225 Therefore, instrumental records can reflect only a fraction of variability for this regions. However,
226 to understand better the dynamic distribution and evolution of streamflow patterns in the Yellow
227 River, it is essential to assess the relationships between the streamflow and climatic variations on a
228 long time scale (Liu *et al.*, 2010). To solve this dilemma, hydro-meteorological reconstruction has

229 been utilized effectively in many areas of the world (Littell et al., 2016; Lough *et al.*, 2015; Zhang
230 et al., 2015; Liu *et al.*, 2010; Shiau *et al.*, 2007; Gedalof *et al.*, 2004; Brito-Castillo *et al.*, 2003;
231 Woodhouse, 2001; Hidalgo *et al.*, 2000; Wang *et al.*, 1999). Many kinds of reconstruction
232 methods have been applied in hydro-meteorology. For example, tree ring width has been
233 successfully applied to reconstruct high-resolution hydrological data (e.g. precipitation and runoff)
234 worldwide (Littell et al., 2016; Sun *et al.*, 2013; Liu *et al.*, 2010; Gou et al., 2010; Qin et al., 2004;
235 Woodhouse, 2001; Li and Yuan,1997); coral fluorescence has been used to reconstruct river mouth
236 runoff and nearshore rainfall globally (Lough *et al.*, 2015; Grove *et al.*, 2013; Isdale et al.,1998;
237 Isdale,1984); annually laminated stalagmites have been used to reconstruct precipitation, runoff,
238 and flood events (González-Lemos *et al.*, 2015; Xu, 2015a; Xu, 2015b; Tan *et al.*, 2014; Yadava
239 and Ramesh, 2005); information from gazetteers and corresponding historical documents has also
240 been widely used for reconstructing proxy indicator series (Zhang et al., 2015; Xiao et al., 2015;
241 Ye and Fang, 2014; Fang et al., 2012). Abundant hydrological descriptions from a large number of
242 historical documents are available for the Yellow River dating back hundreds of years (Zhang et
243 al., 2015), providing researchers the opportunity to reconstruct the Yellow River runoff.

244 In this paper, we use CWT to assess the periodicity of streamflow in the Yellow River,
245 including natural streamflow, observed streamflow, and reconstructed streamflow, over three
246 different timescales, ranging from roughly half a century to almost five centuries. Additionally, we
247 use wavelet coherence analysis to test for co-varying relationships between streamflow and
248 atmospheric circulation indices (Niño 3.4, AO, PDO), and between streamflow and sunspots. The
249 paper is organized as follows. First, we describe the study area and the data. Second, we describe
250 the methods used, including the CWT and the WTC. Third, we present the results and a discussion
251 of the wavelet analysis. Finally, we discuss our conclusions.

252

253 **2. Study area and data**

254 **2.1 Study area**

255 The Yellow River is located at 96°E – 119°E longitude and 32°N – 42°N latitude (Miao et al.,
256 2010) and originates in the Bayan Har mountains of the Qinghai–Tibet Plateau in western China
257 (as shown in figure 1). Before emptying into the Pacific Ocean near the city of Dongying in
258 Shandong province, the Yellow River flows through nine provinces. It meanders for 5464 km and

259 covers a drainage basin of 795,000 km² (YRCC, 2012). The Yellow River basin is commonly
260 divided into three reaches according to its physical characteristics. The upper reaches begin at the
261 river source and end at Hekou, have a total length of 3472 km, and comprise 51.4% of the total
262 drainage area. The middle reaches are located between Hekou and Huayuankou, are 1206 km long,
263 and comprise 45.7% of the total drainage area. The lower reaches run from Huayuankou to the
264 river mouth, covering a distance of 786 km, and comprise merely 2.9% of the total basin area.

265

266

Figure 1

267

268 2.2 Data

269 2.2.1 Observed streamflow

270 Observed hydrological series from the seven gauging stations listed in Table 1 were used to
271 analyze changes in streamflow characteristics. The streamflow series are of different lengths
272 because the stations used for hydrological monitoring of the Yellow River have changed over time.
273 We used streamflow series for 1956 – 2015 from five stations (Tangnaihai, Lanzhou, Hekou,
274 Huayuankou, and Lijin) to analyze interannual periodicity at the 50-year timescale. To analyze
275 interannual periodicity at the centennial scale, we selected streamflow series for 1919 – 2015 from
276 two stations (Longmen and Sanmenxia).

277

278 2.2.2 Natural streamflow

279 Natural hydrological series of the same length as the observed streamflow series were also
280 used to analyze changes in streamflow characteristics. We used streamflow series for 1956 – 2015
281 from five stations (Tangnaihai, Lanzhou, Hekou, Huayuankou and Lijin) to analyze interannual
282 periodicity at the 50-year timescale. To analyze interannual periodicity at the centennial scale, we
283 selected streamflow series for 1919 – 2015 from two stations (Longmen and Sanmenxia).

284 Natural streamflow is constituted from observed runoff that is measured by hydrological
285 stations at river sections and additional runoff driven by human activities. In this study, natural
286 streamflow was calculated by the YRCC according to the principle of water balance. The
287 calculation procedure is shown below (Li, et al., 2001):

$$W_{na} = W_{ob} + W_{ag+in} + W_{re}$$

288 where W_{na} is natural streamflow; W_{ob} is observed streamflow; W_{ag+in} is the total water
289 consumed by agricultural irrigation, industry, and urban living; and W_{re} is the variation in water
290 stored in the large and medium-sized reservoirs above the river section.

291

292 2.2.3 Reconstructed streamflow

293 On the basis of historical documents of Yellow River flooding in the Qing dynasty, the
294 distribution of droughts and flooding in China during the last 500 years (CMA,1981), and other
295 historical data, Wang *et al.* (1999) used the following six methods to reconstruct the annual
296 discharge series at Sanmenxia station covering the period from 1470 to 1918. (1) The correlation
297 between discharge at Qingtongxia and Shanxian (former name for Sanmenxia) stations was
298 calculated, with the surface level record in Wanjintan benchland (located in Sanmenxia) as a
299 parameter. This method was used only for years when the rising water level was available in both
300 Qingtongxia and Wanjintan. (2) The second method is based on the same correlations as in method
301 (1) but with the drought and flood grades in the Hekou - Sanmenxia basin as a parameter. This
302 method was used for years when the rising water level was available only in Qingtongxia. (3) The
303 coaxial correlation method. A five-variable coaxial correlation diagram was established based on
304 the height of rising water level, the months of peak flood, the times of flooding, the drought and
305 flood grades in the Hekou - Sanmenxia basin, and the reconstructed annual runoff. Reconstructed
306 annual runoff in Sanmenxia could be obtained given the other four variables, which are available
307 from the historical records. This method was used in years when the rising water level was
308 available in Wanjintan. (4) High/low flow ranking method. Reconstructed annual runoff in
309 Sanmenxia was calculated according to the relationship with average precipitation above
310 Sanmenxia station. This method was used in years when the level of water rising was lower in
311 Wanjintan or when there was a lack of data (during the period 1864 – 1900). (5) Charts of
312 dryness/wetness. Reconstructed annual runoff in Sanmenxia was calculated on the basis of the
313 distribution of droughts and floods in China over the past 500 years (CMA, 1981). This method
314 was adopted for 1470 – 1918 when information was unavailable for Qingtongxia and Wanjintan.
315 (6) Analysis of historical documents analysis method. Reconstructed annual runoff in Sanmenxia
316 was deduced according to the historical records for precipitation and floods, and was used only for
317 the years when catastrophic floods occurred (Wang et al., 1999).

318 When several methods could be used, the results were evaluated and the most appropriate
319 value chosen. In a comprehensive analysis, Wang (1999) observed the following principles: (1)
320 select data with the highest consistency; (2) choose data with no missing high/low flow years; (3)
321 match the records with those at Qingtongxia, which lies upstream; (4) analyze long-term high/low
322 flow periods using different approaches. In general, the methods that used correlations between
323 Qingtongxia and Shanxian stations (methods 1 and 2, above) were found to be the most reliable
324 because of the high correlation between the rising water level and natural annual runoff at
325 Qingtongxia (correlation coefficient $r = 0.931$). The coaxial correlation method, the high/low flow
326 ranking method, and the analysis of historical documents were also deemed reliable because of the
327 abundance of available historical information.

328 The YRCC extended Wang *et al.* (1999)'s work and reconstructed the annual streamflow time
329 series at Sanmenxia station for the period 1919 – 2015 using the same methods as for
330 observational gauge records. Studies on long term periodicities often rely on reconstructed data
331 dating back hundreds of years, despite the fact that it is difficult to validate the precision of the
332 reconstructed data because of the lack of early observations. Although there are differences
333 between the observed and reconstructed hydro-meteorological data, the reconstructed data provide
334 a means to overcome the limitations posed by short-term observations and are thus of great
335 importance for water-resource management over long timescales. Here, we use reconstructed data
336 from the Sanmenxia station to analyze the variation characteristics of the Yellow River at the
337 500-year timescale.

338

339 **Table 1**

340

341 2.2.4 Climate indices

342 In addition to analyzing the variation characteristics of the Yellow River streamflow, we also
343 used WTCs to investigate the co-relationships between streamflow and Niño 3.4, the AO, the PDO,
344 and sunspots (Table 1). Niño 3.4 is a representative index for ENSO. An El Niño year occurs when
345 the six-month spatial mean temperature is at least 0.5°C higher than average. If the spatial mean
346 temperature is 0.5°C lower than average, the year is classed as a La Niña year; otherwise, it is
347 classed as a neutral year (Trenberth, 1997). The Niño 3.4 index is the standardized SST anomaly

348 calculated from the HadISST1. It is the area-averaged SST from 5°S – 5°N and 170W° – 120°W
349 over the Pacific (Trenberth, 1997). The AO refers to an opposing pattern of pressure between the
350 Arctic and the northern middle latitudes (Deser, 2000). The AO index is calculated as the
351 projection of monthly mean 1000 mb height anomalies onto the first empirical orthogonal function
352 (EOF) mode poleward of 20-degrees north using reconstructed data from the 20th Century. The
353 PDO is analyzed from monthly SST anomalies poleward of 20°N in the Pacific basin.
354 Sunspots are regions on the solar surface that appear dark because they are cooler than the
355 surrounding photosphere, typically by about 1500 K.

356 Annual streamflow is closely connected to the climate indices during the rainy season, (July
357 to September), and so averaged Niño 3.4, AO, and PDO indices were used for the analyses in this
358 paper. By comparing results based on annual mean indices, summer mean indices (from July to
359 August), and rainy season mean indices (from July to September), we found that results based on
360 rainy season averaged indices were the most satisfactory. Table 1 summarizes the streamflow
361 series and climate indices discussed in the following sections.

362

363 **3. Methods**

364 The periodicity of streamflow series and the co-varying relationships between streamflow
365 and atmospheric circulation indices / sunspots were analyzed using continuous wavelet transform
366 (CWT) and wavelet transform coherence (WTC).

367

368 **3.1 The continuous wavelet transform (CWT)**

369 For a time series x_j of length N with equal sample spacing δt , the CWT $w_i(s)$ at time
370 $t_i=i\delta t$ and scale s can be interpreted as an enhanced version of the discrete Fourier transformation
371 $F(\omega) = \sum_j x_j \exp(i\omega t_j)$ (Kaiser, 1994). The difference is that the periodic exponential $\exp(i\omega t_j)$
372 is substituted by a wavelet $\Psi(t_j - t_i, s)$ (Gedalog *et al.* 2004), which is a function with zero mean
373 and localized in both time and frequency space (Grinsted *et al.*, 2004). The wavelet function can
374 be stretched or contracted by varying the wavelet scale s as well as translated by changing the
375 localized time index t_i . The wavelet is analogous to a band-pass filter applied to the time series.

376 The time series can thus be decomposed dependent on time and scale (Maraun and Kurths,
377 2004):

378
$$W_i(s) = \sum_{j=0}^{N-1} x_j c(s) \Psi_0^* \left(\frac{t_j - t_i}{s} \right) \quad (1)$$

379 where * indicates the complex conjugate and $c(s) = \sqrt{\frac{\delta t}{s}}$ is the normalization factor that results
 380 in Ψ having unit energy. Wavelet power is defined as $|W_i(s)|^2$.

381 Because most practical time series are not cyclical, artifactual edge effects exist. The cone of
 382 influence (COI) is the region of the wavelet spectrum in which edge effects cannot be ignored and
 383 is defined as the e-folding time for the autocorrelation of wavelet power at each scale (Torrence
 384 and Compo, 1998).

385 The significance level of the wavelet spectrum is analyzed against red noise, which is an
 386 appropriate random background spectrum for many geophysical phenomena and which can be
 387 modeled as a univariate lag-1 autoregressive (AR-1) process (Torrence and Compo, 1998). We
 388 used a significance level of $p < 0.05$ to evaluate the statistical significance of our results.

389 The Morlet wavelet is a non-orthogonal, complex function and is a good choice for
 390 achieving a balance between time and frequency. It is defined as

391
$$\psi_0(\eta) = \pi^{-1/4} e^{i\omega_0\eta} e^{-\frac{1}{2}\eta^2} \quad (2)$$

392 where $\omega_0 = 6$ is dimensionless frequency and η is dimensionless time (Torrence and Compo,
 393 1998). Additional details about the CWT are given by Grinsted *et al.* (2004).

394

395 **3.2 Wavelet transform coherence (WTC)**

396 Wavelet transform coherence is a correlation coefficient localized in time and frequency
 397 space, which is used to quantify the degree of linear relationship between two non-stationary
 398 series in time and frequency domains (Cazelles *et al.*, 2008). Given time series X and Y , with
 399 wavelet transforms $W_i^x(s)$ and $W_i^y(s)$, the wavelet coherence can be defined according to
 400 Torrence and Webster (1998) as:

401
$$R_n^2 = \frac{|S(s^{-1}W_i^{XY}(s))|^2}{S(s^{-1}|W_i^X(s)|^2) \cdot S(s^{-1}|W_i^Y(s)|^2)} \quad (3)$$

402 in which S is a smoothing operator defined by the wavelet type used and

403
$$W_i^{XY}(s) = W_i^X(s) \cdot W_i^{Y*}(s) \quad (4)$$

404 where * denotes the complex conjugate. R_n^2 takes a value between 0 and 1, where 0 indicates no
 405 correlation between the two time series and 1 indicates that the two time series are perfectly

406 correlated with each other. The WTC reveals regions in time–frequency space where the two time
407 series co-vary, but do not necessarily have high power (Keener *et al.*, 2010). In the present work,
408 confidence levels were assessed against red-noise backgrounds. Monte Carlo methods were
409 adopted to estimate the statistical significance of the wavelet coherence; the significance level for
410 each scale was calculated solely from values outside the COI. A detailed description of the
411 calculation of WTCs is provided by Grinsted *et al.* (2004), who note that that the desirable
412 features of WTCs come at the cost of slightly reduced localization in time–frequency space.

413

414 **4. Results and discussion**

415 **4.1 Continuous wavelet transform (CWT)**

416 Figure 2 displays the results obtained for the CWT applied to natural streamflow series for
417 the seven hydrological stations of interest along the Yellow River. The thick black contours
418 designate the 5% significance level against red noise and the pale regions indicate the COI, where
419 edge effects may distort the results. Common features occur in the streamflow wavelet patterns at
420 the 50-year timescale. There are intermittent significant inter-annual oscillations at periods of
421 about 2–6 years from the mid-1950s to the mid-1990s. At the 100-year timescale (streamflow at
422 Longmen and Sanmenxia), both series exhibit high power in the 0–5-year band from the
423 mid-1950s to the early 1970s as well as in the ~4-year band in the 1990s. In general, for
424 streamflow assessed at the 50- and 100-year timescales, interannual oscillations occur
425 intermittently throughout the time series, at increasing periodicities. Figure S1 displays the results
426 obtained for the CWT applied to observed streamflow series at the 50- and 100-year timescales.
427 The results for the observed streamflow series resemble those for the natural streamflow series,
428 overall. Nonetheless, there are some differences. First, certain oscillations in the observed
429 streamflow series persist longer (e.g., the 1–5-year periodicity during the 1970s – 1980s at
430 Lanzhou, the ~4-year periodicity in the 1990s at Hekou). Another difference is the emergence of
431 new oscillations in the observed streamflow (e.g., a 2–5-year oscillation in the 1970s at Hekou, a
432 4–5-year oscillation in the 1990s at Huayuankou) and the disappearance of the ~4-year
433 periodicities in both series in the 1990s at the 100-year timescale (streamflow at Longmen and
434 Sanmenxia). For streamflow in the middle reaches of the Yellow River at the 500-year timescale
435 (at Sanmenxia), the CWT reveals high power at periodicities of 1–6 years scattered across the

436 entire time series, but especially from the early 19th C onwards. A small-scale decadal oscillation
437 can be seen in the 1750s, and an intense interdecadal 24–30-year oscillation is apparent from the
438 1580s to the 1640s. Most remarkably, a persistent periodicity of roughly 130 years runs from the
439 1550s to the 2010s, together with a decreasing periodicity from approximately 100 years to about
440 30 years that emerged in the 19th C. These findings are in agreement with those of Lan *et al.* (2001)
441 who used power spectrum and variance analysis methods to analyze annual runoff data for the
442 upper Yellow River above Tangnaihai and found similar cycles of $T = 2, 3, 6, 13,$ and 17 years.
443 The results are also in agreement with Miao and Ni (2009), who used wavelet transforms to
444 analyze streamflow at Sanmenxia since 1470, and found ca 11-, 26-, 67-, and 120-year periods,
445 with the 120-year periodicity being the strongest. The results are also supported by many other
446 studies: Li *et al.* (2009) analyzed the annual runoff series at Sanmenxia station and reported
447 obvious periodic oscillations of 90–100, 50–80, 35–50, 15–35, ~10, and < 10 years; Liu *et al.*
448 (2011) applied wavelet analysis to the water discharge at the Lijin station and found three
449 significant periodicities of 2–4, 5–8, and 10–16 years; Yang and Li (2004) applied Morlet
450 wavelets to annual runoff series for the Yellow River Basin and found oscillations with primary
451 periods of 3–4, 7–9, and 11 years.

452 At the spatial scale, the periodicity is usually continuous, but there are some gradual changes
453 from upstream to downstream. This is because the Yellow River drains a vast basin of great
454 topographical variety. From west to east, the Yellow River runs across four steppes, namely, the
455 Qinghai-Tibetan Plateau, the Inner Mongolia Plateau, the Loess Plateau, and the North China
456 Plain. There are great differences in runoff along the length of the river basin which also
457 contribute to the spatial characteristics.

458

459 **Figure 2**

460

461 When plotted on a temporal scale, the interannual periodicities are discontinuous for all scale
462 series, which may be linked to variations in precipitation and human activities. Runoff is a
463 dynamic response to complex factors, such as precipitation, temperature, ENSO, sunspots, human
464 activity, etc. Of these factors, precipitation and human activity appear to carry the most weight.
465 Annual precipitation decreased at an average rate of 2.96 mm/yr in the 1970s and 3.82 mm/yr in

466 the 1990s; the annual mean temperature increased by 0.0256 °C/yr between 1951 and 2000, and
467 pan-evaporation showed great fluctuations, from an increase of 0.7 mm/yr during the 1970s, to a
468 sharp decrease of 13.3 mm/yr in the 1980s, to an increase of 5.2 mm/yr in the 1990s (Yang *et al.*,
469 2004). Over the last half century, human activity has greatly influenced streamflow in the Yellow
470 River by water regulation through the construction of dams and reservoirs, the huge consumption
471 of water for irrigation, and water and sediment abstraction. More than a dozen hydropower
472 stations have been built along the main stream of the Yellow river over the last 50 years, and have
473 had a large impact on streamflow (Kong *et al.*, 2016). Moreover, from the 1960s to the 1970s,
474 irrigation water usage increased by 1.05 mm/yr (Yang *et al.*, 2004). From the 1970s onwards,
475 water and soil conservation practices have been applied to the Yellow River, with positive effects
476 having since been recorded. The influence of human activity may be seen in the differences in
477 oscillations at Lanzhou, Hekou, and Huayuankou, which are revealed in the CWT results for the
478 natural and observed streamflow series at the 50-year scale. Taking all these factors into
479 consideration, it is no wonder that the interannual periodicity is discontinuous across all the time
480 series. On the contrary, longer-scale periodicity is continuous, as observed in the 500-year
481 timescale streamflow series.

482

483 **4.2 Wavelet transform coherence (WTC)**

484 Figure 3 shows the WTC results for Niño 3.4 and natural streamflow time series. For
485 streamflow at the 50-year scale, the wavelet coherence with Niño 3.4 highlights mainly
486 interannual covariance, especially over the 5–7 year period and over the 0–2 year period, with
487 complicated phase differences (confirming the complex relationship between streamflow and Niño
488 3.4). Both Niño 3.4 and Yellow River streamflow have significant 2–7-year period oscillations in
489 magnitude, confirming the substantial influence of ENSO on streamflow oscillation at this
490 timescale. The present finding of high coherence at the 0–2, and 5–7-year periods is supported by
491 Labat (2010), who showed that the WTC for Asian freshwater discharge and Niño 3.4 peaked at
492 2–7-year periodicity. Liu *et al.* (2011) also found that the associations between water discharge of
493 the Yellow River into the sea and ENSO signal (SST) at 2–8-year timescale were significant. Hao
494 *et al.* (2008) observed that the precipitation in the middle and lower reaches of the Yellow River
495 had inter-annual oscillations. They also found that the 2–4-year cycle was linked with El Niño

496 events, and the precipitation was lower than normal in an El Niño year or the year after. Similar
497 results have also been reported for the Yangtze River: the WTC between ENSO and annual
498 maximum streamflow of Yangtze River indicated that in 1920–1950 high coherence regions
499 occurred with peaks in the 1-8-year band (Zhang *et al.*, 2007). A physical mechanism may be
500 deduced from observations by Wang *et al.* (2006), who found that global ENSO events directly
501 affect regional precipitation and result in an approximately 51% decrease in Yellow River water
502 discharge to the sea. This is also supported by Fu *et al.* (2007), who reported that average annual
503 precipitation was 86.0 mm higher in La Niña years than in El Niño years, over the long term. Thus,
504 owing to increased precipitation, streamflow in La Niña years was higher than in El Niño years:
505 the difference ranged from 9.2% at Lanzhou station (upstream) to 22% at Lijin station
506 (downstream). Fu *et al.* (2007) also showed that both precipitation and streamflow have temporal
507 and spatial patterns. At the 100-year timescale, streamflow at Longmen and Sanmenxia showed
508 scattered peaks of high interannual coherence with Niño 3.4, especially from the 1940s to the
509 2000s, with complicated patterns of phase difference. In addition, around 1940, there is a
510 significant periodicity of roughly 8–10 years that has an approximately anti-phase relationship (a
511 lag of 4–5 years) in the middle Yellow River. Figure S2 shows the WTC results for Niño 3.4 and
512 the observed streamflow time series, which are similar on the whole to the results for Niño 3.4 and
513 the natural streamflow time series. Nevertheless, some differences are apparent. Significant
514 periodicities of 2–4 years in the 1980s and 6-years in the 1990s at Lanzhou and Hekou, and 3–6
515 years in the 1980s at Huayuankou, are revealed in the WTC results for Niño 3.4 and the observed
516 streamflow time series. However, the annual oscillations in the 1970s and 1990s at Huayuankou
517 and Lijin are more evident in the WTC results for Niño 3.4 and the natural streamflow time series.
518 The differences mostly occur in the past 50 years, so may arise from anthropogenic influences.

519 The WTCs between the AO and natural streamflow over different timescales are depicted in
520 figure 4. For streamflow measured over the 50-year timescale, there is a 4–6-year band of high
521 covariance around the 1970s and the 1990s, and the in-phase relationship indicates a positive
522 correlation. The most prominent power occurs in the 8–12-year oscillation band that stretches
523 from the 1970s to the 1990s, with the AO leading streamflow by approximately 45° (1–1.5 years).

524

525

Figure 3

526 **Figure 4**

527

528 For streamflow over the 100-year timescale, interannual oscillations with an approximately
529 5–6-year periodicity in the 1940s and a 2–6-year periodicity in the 1970s and 1990s all have an
530 approximately in-phase relationship, which means the AO and natural streamflow are positively
531 correlated during these periods. There is also a prominent decadal oscillation from the 1960s to the
532 1980s with the AO leading streamflow by approximately 45° to 90°, which means that streamflow
533 lags behind AO by around 1–1.5 years to 2–3 years during this period. The WTCs between the AO
534 and observed streamflow over different timescales are depicted in figure S3. The duration of
535 decadal periodicities is relatively longer in the results for observed streamflow at Lanzhou, Hekou,
536 Huayuankou, Lijin, Longmen, and Sanmenxia.

537 In the middle Yellow River, scattered periodicities ranging from 1 to 10 years with
538 complicated phase-difference patterns are observed intermittently from the 1870s to the 1970s,
539 with a trend toward increasing periodicity over that time. The most prominent covariance between
540 the AO and streamflow in the middle Yellow River is an approximately 32-year band from the
541 1880s to the 2000s, with AO leading streamflow by 270° (about 24 years); however, half of the
542 region lies in the COI. The results agree with Labat (2010) who found that the decadal and
543 multidecadal variability of Asian freshwater discharge appeared to be driven by the AO. In
544 addition, Gong and Wang (2003) studied winter climate and AO from 1899 to 1994 and found that
545 the AO had a significant influence on both temperature and precipitation at the interdecadal
546 timescale (10–40 years). The physical mechanism behind these results may be linked to the two
547 AO phases: a “positive phase” with relatively low pressure over the polar region and high
548 pressure at mid-latitudes, and a “negative phase”, which shows the opposite pressure pattern. In
549 the positive phase, high pressure at mid-latitudes drives ocean storms farther north (Labat, 2010),
550 producing increases in winter temperatures and precipitation over much of China (Gong and Wang,
551 2003). Jevrejeva and Moore (2001) showed that the AO exhibits power at periods of 2.3, 7.8, and
552 13.9 years, so it is perhaps not surprising that the WTC for streamflow and AO displays
553 interannual and decadal periodicities.

554 The WTCs between the PDO and natural streamflow over different timescales are depicted in
555 figure 5. For natural streamflow at the 50-year timescale, there are intermittent significant

556 oscillations, with periodicities increasing from 1 year in the 1960s, to 2–5 years in the 1970s, to 5–
557 6 years in the 1980s to 1990s, with gradually varying phase differences. Within the COI zone,
558 decadal oscillations appear in the 1950s at upstream stations and in the 1950s to 1970s at
559 downstream stations. For the century-scale time series, scattered interannual periodicities occur
560 across the whole period, with complicated phase-difference patterns. Decadal periodicities occur
561 in the middle stream during the 1920s to 1930s. Bidecadal oscillations may be discerned in the
562 middle stream during the 1980s to 2000s, but fall within the COI zone. The WTCs between the
563 PDO and observed streamflow over different timescales are depicted in Figure S4, and have
564 almost identical characteristics. According to Mantua and Hare (2002), 20th century PDO
565 fluctuations were most energetic at two dominant periodicities: 15–25 years and 50–75 years,
566 when the warm phase and the cold phase were almost equally split. During the cool phase of the
567 PDO, there are typically cooler-than-average temperatures and above-average rainfall (Labat,
568 2010). The decadal and bidecadal oscillations may be explained by the PDO fluctuations. The
569 significant interannual periodicity observed in the WTC for streamflow and the PDO may arise
570 from the fact that runoff is a dynamic combination of complex factors.

571

572 **Figure 5**

573

574 Figure 6 depicts the WTCs for sunspot number and natural streamflow over different
575 timescales. For streamflow at the 50- and 100-year timescales, regions of high covariance are
576 mainly concentrated in scattered interannual bands with periodicity varying from 1 year to 6 years.
577 Phase differences vary according to the different periods. The scattered interannual periodicities
578 may be explained by data from Krivova and Solanki (2002), who showed that sunspot number has
579 had a 1.3-year periodicity since 1749; the interannual periodicities can be regarded as multiples of
580 this 1.3-year period. For streamflow at the 500-year scale, scattered periodicities of about 8–14
581 years occur intermittently during the 1730s–1760s, the 1830s–1900s, and the 1940s–1950s, all
582 with complicated phase differences. In addition, there is prominent covariance with a periodicity
583 of roughly 80 years that runs from the 1760s to the 2000s, with streamflow leading sunspot
584 number by approximately 45°; however, much of this region falls within the COI. The presence of
585 decadal and multidecadal oscillations is supported by Li *et al.* (2009), who showed that runoff in

586 the Yellow River was correlated with sunspot number during the period from 1700 to 2003 at
587 11-year and 60-year timescales. These correlations are reasonable because the numbers of
588 sunspots predominate at 11-year and 60-year periodicities (Li *et al.*, 2009). Figure S5 depicts the
589 WTCs for sunspot number and observed streamflow over different timescales. Figure S5 shows
590 that decadal periodicity of roughly 10–14 years is present in observed streamflow in the lower
591 Yellow River from the 1990s to 2010s, though it should be noted that roughly half these results lie
592 in the COI zone. For observed streamflow at the 100-year timescale, there is high covariance in a
593 1–3-year band from the late 2000s to the early 2010s that has an anti-phase phase difference (i.e. a
594 lag of 0.5 to 1.5 years), but a large portion of these results also lies within the COI zone. A 9–
595 12-year band from the 2000s to the early 2010s also lies inside the COI zone, with sunspot number
596 leading streamflow by 90° (2.25–3 years). Notably, a band with 8–10-year periodicity occurs in
597 the middle Yellow River from the late 1930s to the mid-1950s, with sunspot number leading
598 streamflow by 90° (2–2.5 years). Such oscillations are apparently stronger in the observed
599 streamflow than in the natural streamflow, and may be attributed to the effect of human activities.

600

601

Figure 6

602

603 The results demonstrate that solar activities have complicated effects on runoff in the Yellow River.
604 The sun provides 99.97% of the earth's energy budget (Nurtaev, 2016). Increases in the number of
605 sunspots represent a strengthening of solar activity because sunspots are the source of solar flares.
606 When solar activity intensifies, the increasing number of sunspots radiates more energy to the
607 atmosphere, heating the earth on average the most near the equator and least at the poles (Nurtaev,
608 2016). This energy evaporates water, causes atmospheric convection, and is transported polewards
609 by winds, thus reducing the equator-to-pole temperature gradient. Therefore, the number of
610 sunspots can be taken as an indicator of climate trends. Increasing numbers of sunspots generally
611 lead to strengthening of longitudinal circulations and weakening of latitudinal circulations. The
612 former is conducive to latitudinal air mass movements and formation of precipitation. The latter
613 generally favors hot lows over the Qinghai-Tibet Plateau and accounts for the increase in
614 precipitation and runoff in the upper Yellow River. Conversely, runoff in the headwaters of the
615 Yellow River will decrease when radial winds weaken and zonal winds intensify (Lan *et al.*, 2001).

616 Furthermore, when abnormal solar activity increases, the area covered by Antarctic ice is reduced
617 at least until the following year (Wang *et al.*, 1997). Atmospheric circulation is therefore also
618 affected during the following year, resulting in abundant precipitation. The combined effect will
619 be excess runoff in the Yellow River basin.

620

621 **5. Conclusions**

622 The Yellow River has been suffering from severe water scarcity over the past half century. In
623 this paper, we used CWTs to investigate the periodicity of natural, observed, and reconstructed
624 streamflow in the Yellow River at 500-, 100-, and 50-year timescales. We also used WTCs to
625 assess the covariance of streamflow series with several atmospheric circulation indices and with
626 sunspot number. Our findings are summarized below.

627 At the temporal scale, the CWT results show intermittent oscillations at increasing
628 periodicities from 1 to 6 years in all streamflow series (500-, 100-, and 50-year timescales).
629 Interannual periodicities were discontinuous for all the series; this may be linked to varied
630 precipitation and human activities. Conversely, we observed continuous, longer-scale periodicity
631 at the 500-year timescale. In addition, a significant periodicity of about 24–30 years was also
632 identified at the 500-year timescale. The most remarkable feature of streamflow at the 500-year
633 timescale was a persistent periodicity of roughly 130 years and later oscillations that decreased in
634 periodicity from approximately 100 years to about 30 years.

635 The periodicities in the CWT results were generally spatially continuous. There were some
636 gradual changes from upstream to downstream reaches in the Yellow River as a result of changing
637 topography and varying discharge along the stream.

638 According to the WTC results, streamflow at the 50-year timescale was significantly
639 correlated with atmospheric circulation indices and sunspot number for intermittent interannual
640 periodicities, namely, 0–2 and 5–7 years for Niño 3.4, 4–6 years for the AO, and 1–6 years for the
641 PDO and sunspots. Moreover, streamflow at the 50-year timescale was correlated with the AO (8–
642 12-year periodicity) and with the PDO (brief decadal or bidecadal periodicities). For streamflow at
643 the 100-year timescale, apart from scattered interannual periodicities, there were significant
644 decadal oscillations, with streamflow in the middle Yellow River correlated with Niño 3.4 at
645 periodicity of 8–10 years, and with the AO and sunspots at decadal periodicity. Notably,

646 streamflow at the 500-year timescale was significantly correlated with the AO at a periodicity of
647 approximately 32 years and with sunspots at a periodicity of approximately 80 years.

648 The WTC results indicate that atmospheric circulation indices and sunspots have had
649 substantial impacts on variations in observed, natural, and reconstructed streamflow in the Yellow
650 River over 500-, 100-, and 50-year timescales. Global ENSO events directly affected regional
651 precipitation and resulted in variations in the Yellow River streamflow, with 0–2 and 5–7-year
652 periodicities. Positive AO increased the temperature and precipitation over most of China during
653 the winter; Yellow River streamflow correlated with AO over 4–6-year and 32-year periodicities.
654 During the cool phase of the PDO, there were typically cooler-than-average temperatures and
655 above-average rainfall, resulting in interannual, decadal, and bidecadal fluctuations in streamflow
656 in the Yellow River. Abnormal sunspot activity affected streamflow by influencing both latitudinal
657 and longitudinal atmospheric circulations and by altering the amount of Antarctic ice, which
658 eventually led to abundant precipitation and excess runoff in the Yellow River basin. In this way,
659 sunspot activity affected variation in the Yellow River streamflow over interannual, decadal, and
660 80-year periodicities. In summary, we deduce that atmospheric circulation indices modulate
661 streamflow by affecting temperature and precipitation. Sunspots impact streamflow variability
662 through their effects on atmospheric circulation and resulting abundant precipitation. The CWT
663 and WTC oscillations for observed streamflow were sustained over longer periods, for the most
664 part, than those for natural streamflow, which may be attributed to anthropogenic influences.

665 The WTC periodicities were generally continuous at the spatial scale, with gradual changes
666 evident from upstream to downstream; this is to be expected given that the topography and runoff
667 vary greatly along the stream of the Yellow River. At the temporal scale, periodicities were
668 generally continuous over short timescales but discontinuous over longer timescales.

669

670 **Acknowledgments**

671 Funding for this research was provided by the National Natural Science Foundation of China
672 (no. 41001153), Beijing Higher Education Young Elite Teacher Project and State Key Laboratory
673 of Earth Surface Processes and Resource Ecology.

674

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- 892

Table 1 Streamflow series and climate indices used in the paper

Index		Period	Source
Natural streamflow	Tangnaihai	1956–2015	YRCC
&	Lanzhou	1956–2015	
Observed streamflow	Hekou	1956–2015	
	Huayuankou	1956–2015	
	Lijin	1956–2015	
	Longmen	1919–2015	
	Sanmenxia	1919–2015	
Reconstructed streamflow	Sanmenxia	1470–2015	YRCC, Wang et al. (1999)
Niño 3.4		1870–2015	http://www.noaa.gov/
PDO		1900–2015	
AO		1871–2015	
Sunspot		1700–2015	http://sidc.oma.be/silso/home

896 **Figure Captions**

897 **Figure 1.** The Yellow River basin: location of hydrological stations

898 **Figure 2.** Continuous wavelet transform for the 1956 – 2015 time series (natural streamflow at
899 Tangnaihui, Lanzhou, Hekou, Huayuankou, Lijin), the 1919 – 2015 time series (natural
900 streamflow at Longmen, Sanmenxia), and the 1470 – 2015 time series (reconstructed streamflow
901 at Sanmenxia). Thick contours denote 5% significance levels against red noise. Pale regions
902 denote the cone of influence (COI) where edge effects might distort the results.

903 **Figure 2.** Wavelet transform coherence for Niño 3.4 and natural and reconstructed streamflow.
904 Thick contours denote 5% significance levels against red noise. Pale regions denote the cone of
905 influence (COI) where edge effects might distort the results. Small arrows denote the relative
906 phase relationship (in-phase, arrows point right; anti-phase, arrows point left).

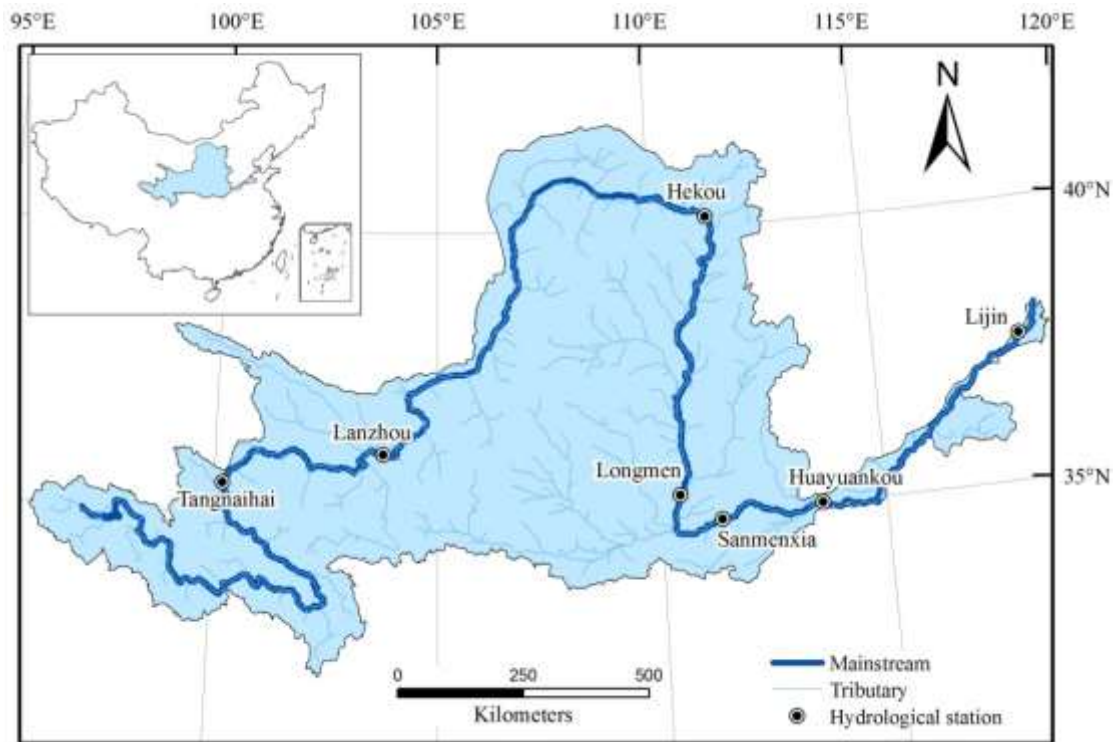
907 **Figure 4.** Wavelet transform coherence for the AO and natural and reconstructed streamflow.
908 Thick contours denote 5% significance levels against red noise. Pale regions denote the cone of
909 influence (COI) where edge effects might distort the results. Small arrows denote the relative
910 phase relationship (in-phase, arrows point right; anti-phase, arrows point left).

911 **Figure 3.** Wavelet transform coherence for the PDO and natural and reconstructed streamflow.
912 Thick contours denote 5% significance levels against red noise. Pale regions denote the cone of
913 influence (COI) where edge effects might distort the results. Small arrows denote the relative
914 phase relationship (in-phase, arrows point right; anti-phase, arrows point left).

915 **Figure 4.** Wavelet transform coherence for sunspot number and natural and reconstructed
916 streamflow. Thick contours denote 5% significance levels against red noise. Pale regions denote
917 the cone of influence (COI) where edge effects might distort the results. Small arrows denote the
918 relative phase relationship (in-phase, arrows point right; anti-phase, arrows point left).

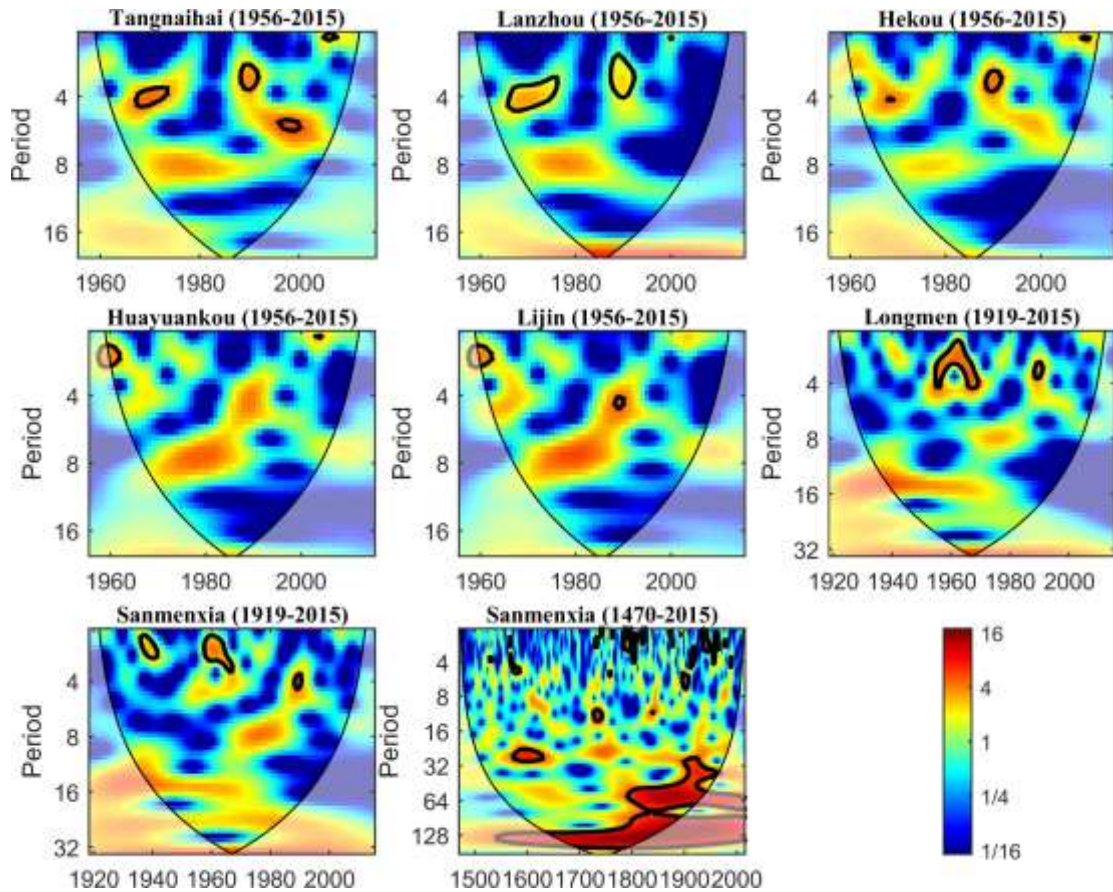
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Figure 5. The Yellow River basin: location of hydrological stations

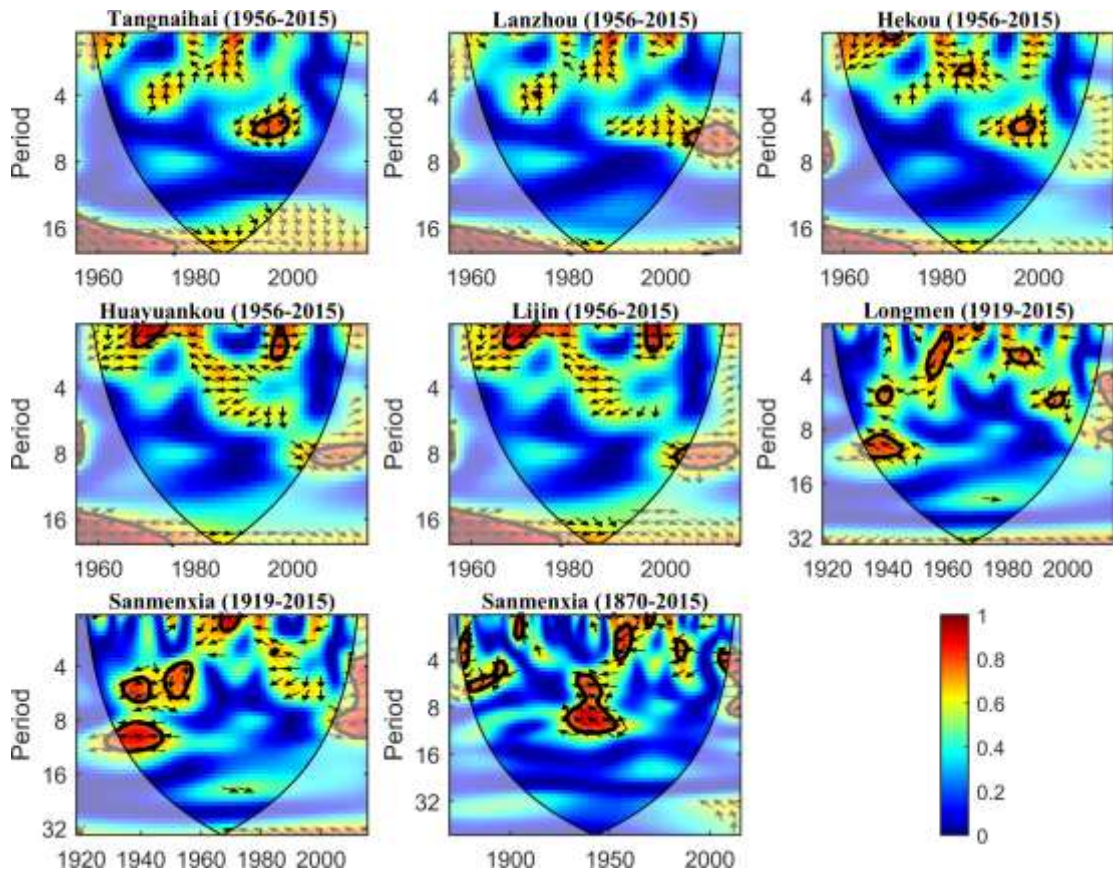


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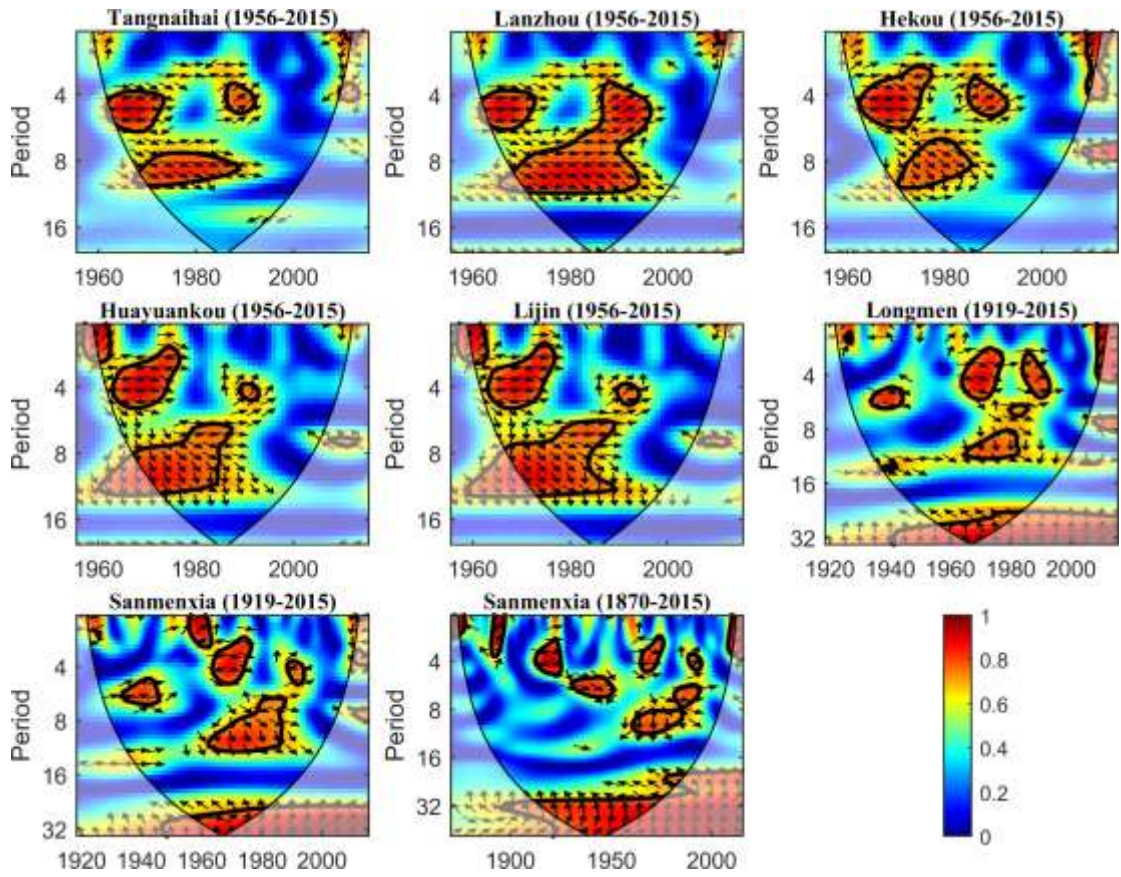
927 **Figure 2.** Continuous wavelet transform for the 1956 – 2015 natural time series (Tangnaihai,
 928 Lanzhou, Hekou, Huayuankou, Lijin), the 1919 – 2015 time series (Longmen, Sanmenxia), and
 929 the 1470 – 2015 natural time series (Sanmenxia). Thick contours denote 5% significance levels
 930 against red noise. Pale regions denote the cone of influence (COI) where edge effects might distort
 931 the results.

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Figure 6. Wavelet transform coherence for Niño 3.4 and natural streamflow. Thick contours denote 5% significance levels against red noise. Pale regions denote the cone of influence (COI) where edge effects might distort the results. Small arrows denote the relative phase relationship (in-phase, arrows point right; anti-phase, arrows point left).



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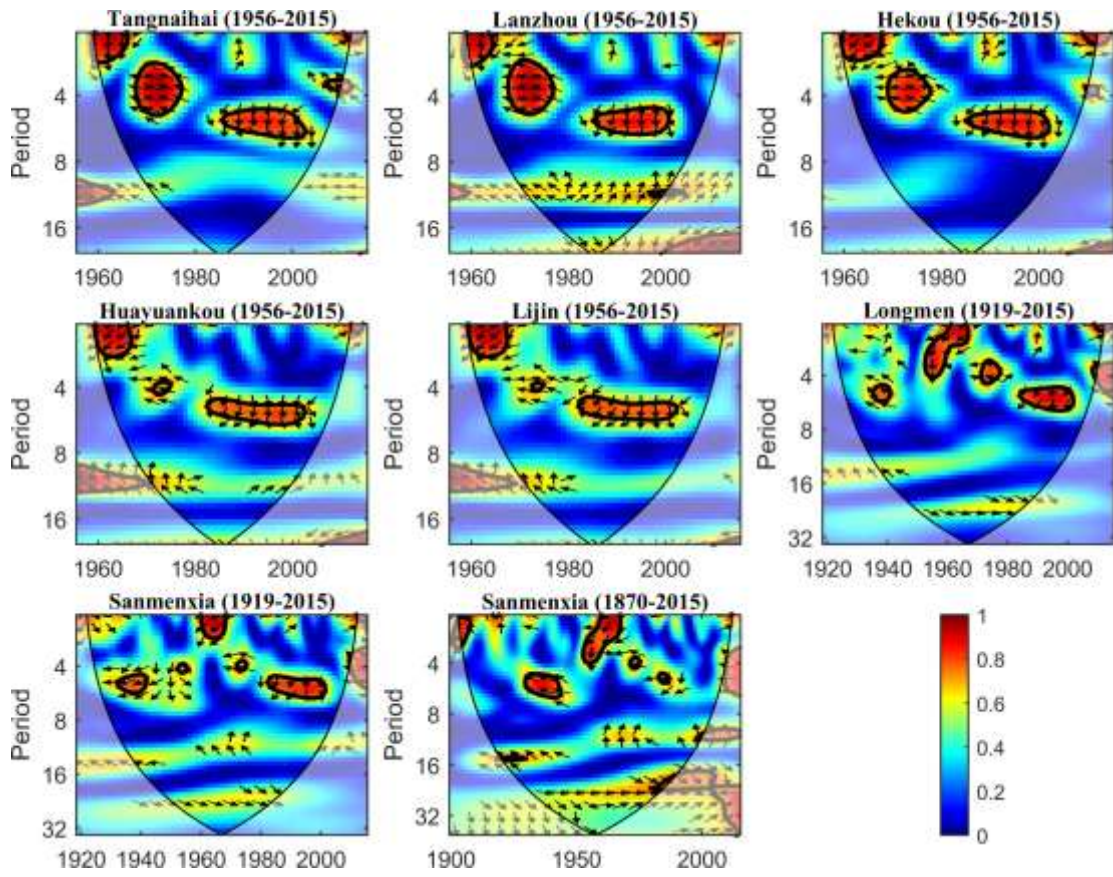
942 **Figure 4.** Wavelet transform coherence for the AO and natural and reconstructed streamflow.

943 Thick contours denote 5% significance levels against red noise. Pale regions denote the cone of

944 influence (COI) where edge effects might distort the results. Small arrows denote the relative

945 phase relationship (in-phase, arrows point right; anti-phase, arrows point left).

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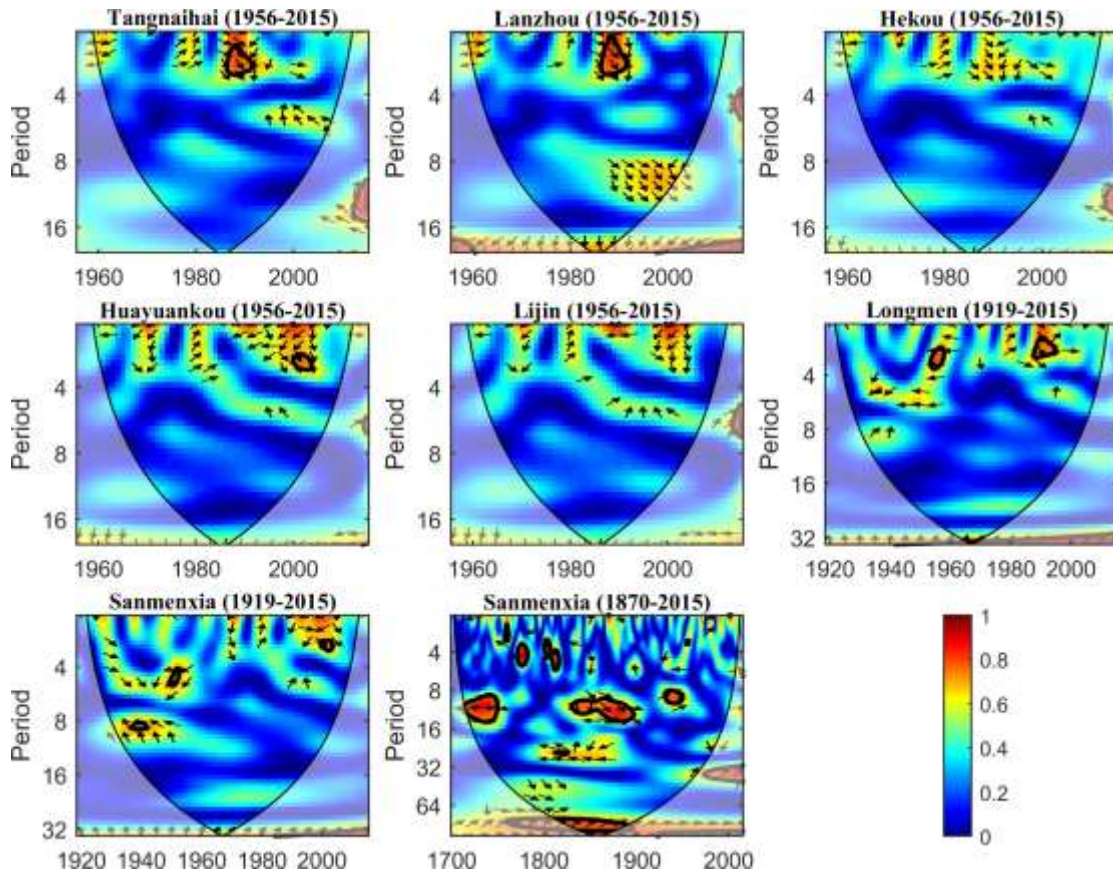
949 **Figure 7.** Wavelet transform coherence for the PDO and natural and reconstructed streamflow.

950 Thick contours denote 5% significance levels against red noise. Pale regions denote the cone of

951 influence (COI) where edge effects might distort the results. Small arrows denote the relative

952 phase relationship (in-phase, arrows point right; anti-phase, arrows point left).

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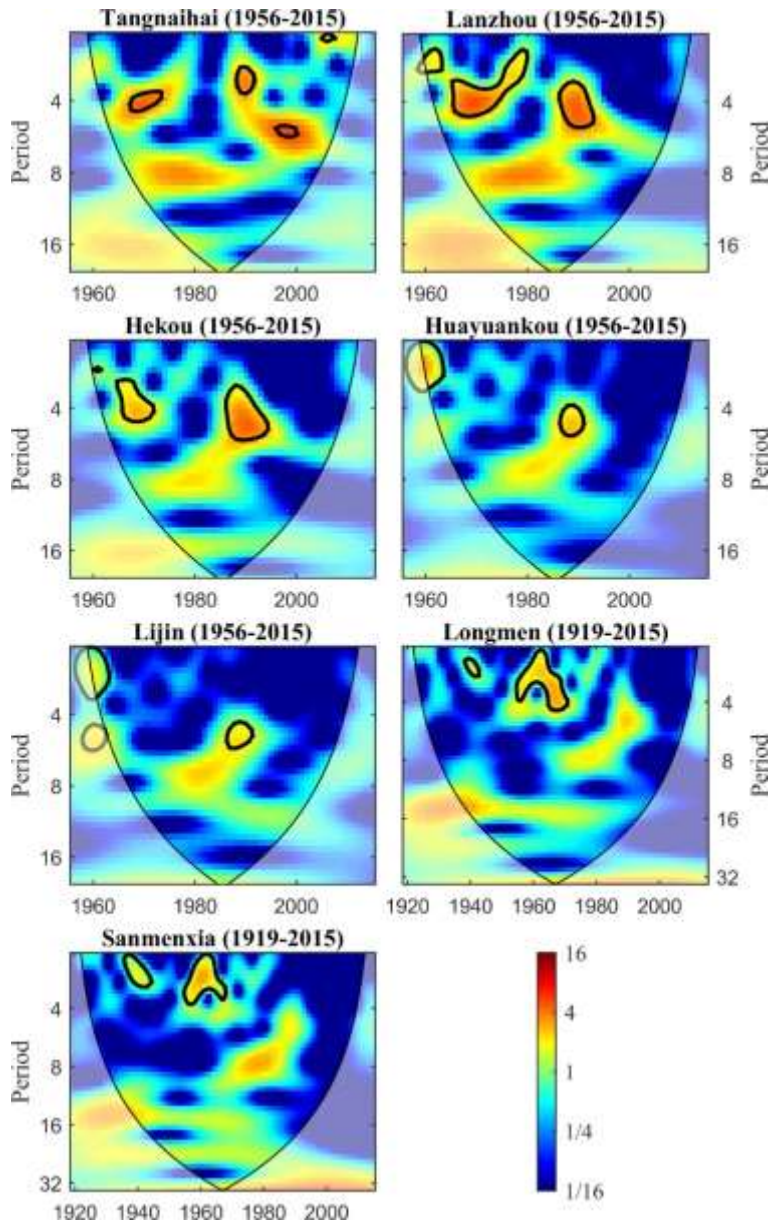


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956 **Figure 8.** Wavelet transform coherence for sunspot number and natural and reconstructed
 957 streamflow. Thick contours denote 5% significance levels against red noise. Pale regions denote
 958 the cone of influence (COI) where edge effects might distort the results. Small arrows denote the
 959 relative phase relationship (in-phase, arrows point right; anti-phase, arrows point left).

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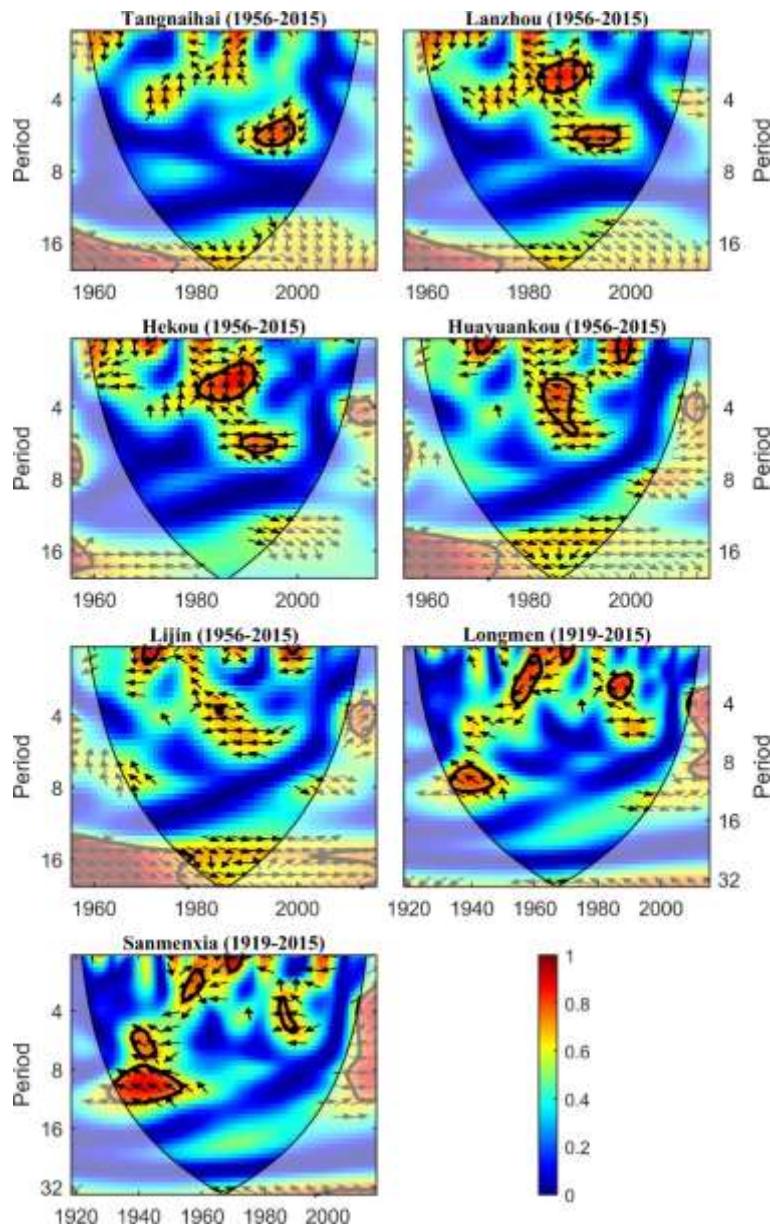


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963 **Figure S1.** Continuous wavelet transform for the 1956 – 2015 observed time series (Tangnaihai,
 964 Lanzhou, Hekou, Huayuankou, Lijin) and the 1919 – 2015 observed time series (Longmen,
 965 Sanmenxia). Thick contours denote 5% significance levels against red noise. Pale regions denote
 966 the cone of influence (COI) where edge effects might distort the results.

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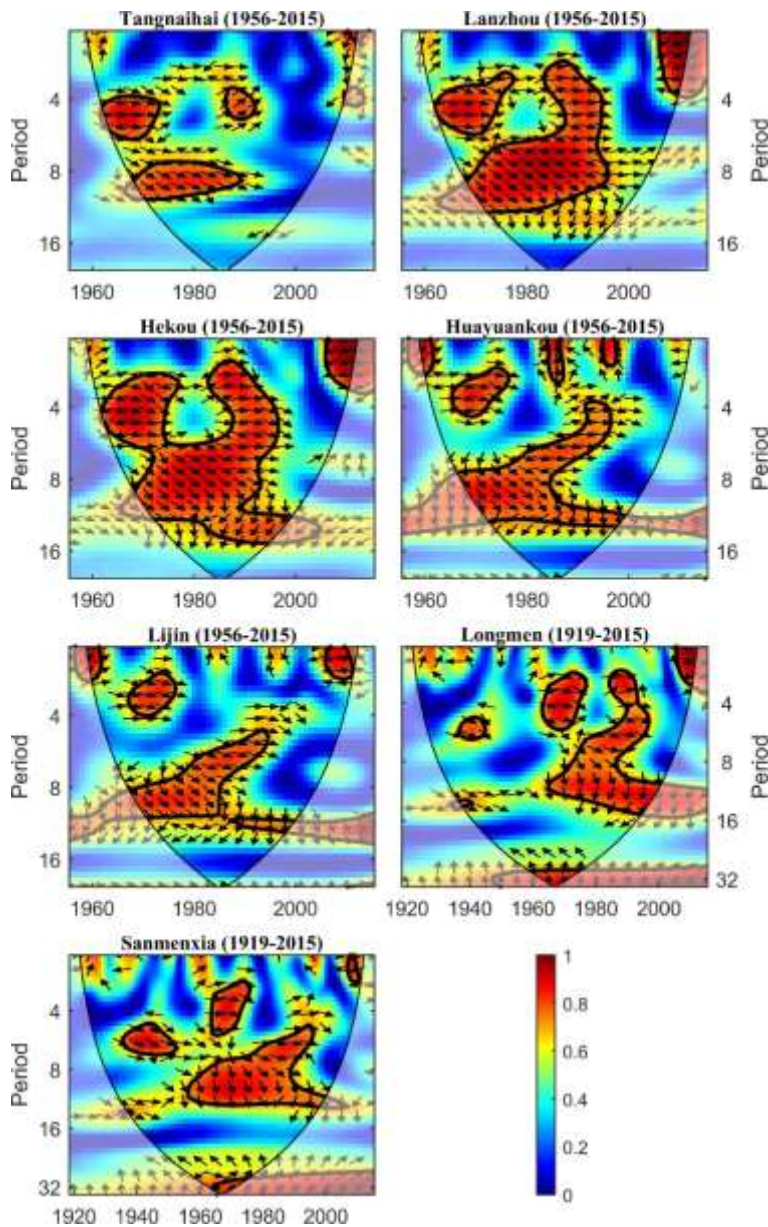


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970 **Figure S2.** Wavelet transform coherence for Niño 3.4 and observed streamflow. Thick contours
 971 denote 5% significance levels against red noise. Pale regions denote the cone of influence (COI)
 972 where edge effects might distort the results. Small arrows denote the relative phase relationship
 973 (in-phase, arrows point right; anti-phase, arrows point left).

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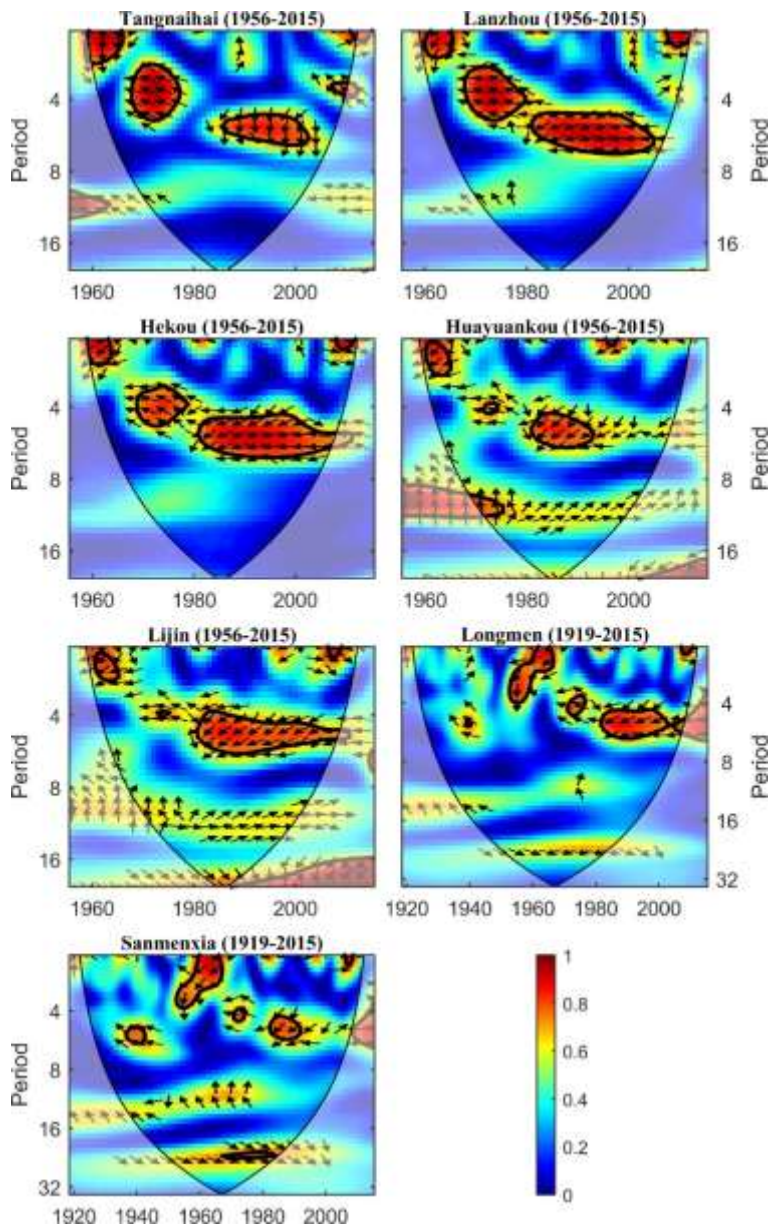


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977 **Figure S3.** Wavelet transform coherence for AO and observed streamflow. Thick contours denote
 978 5% significance levels against red noise. Pale regions denote the cone of influence (COI) where
 979 edge effects might distort the results. Small arrows denote the relative phase relationship (in-phase,
 980 arrows point right; anti-phase, arrows point left).

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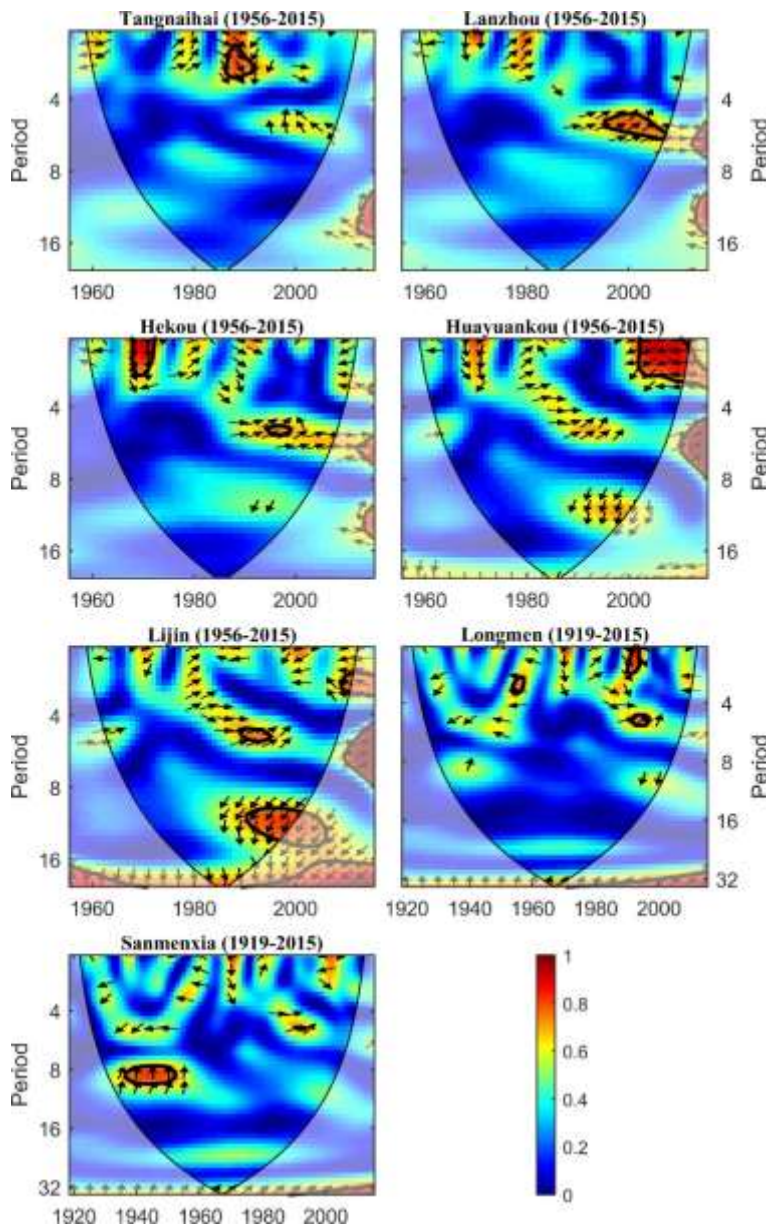
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984 **Figure S4.** Wavelet transform coherence for PDO and observed streamflow. Thick contours
 985 denote 5% significance levels against red noise. Pale regions denote the cone of influence (COI)
 986 where edge effects might distort the results. Small arrows denote the relative phase relationship
 987 (in-phase, arrows point right; anti-phase, arrows point left).

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991 **Figure S5.** Wavelet transform coherence for sunspots and observed streamflow. Thick contours
 992 denote 5% significance levels against red noise. Pale regions denote the cone of influence (COI)
 993 where edge effects might distort the results. Small arrows denote the relative phase relationship
 994 (in-phase, arrows point right; anti-phase, arrows point left).

995