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1 **Designing a field trial of an equine grass sickness vaccine: a questionnaire-based feasibility**
2 **study**

3
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15

16 **Highlights**

- 17
- 18 ▪ First report of a feasibility study to inform RCT design in veterinary medicine
 - 19 ▪ 73% of practices had attended ≥ 1 equine grass sickness (EGS) case in past 2 years
 - 20 ▪ Higher proportion of EGS-affected premises with recurrent cases in Scotland
 - 21 ▪ 93% of practices would be willing to participate in a field vaccine trial for EGS
 - 22 ▪ Low EGS incidence, client factors and paperwork cited as barriers to participation

23 **Abstract**

24 Without an experimental model of Equine Grass Sickness (EGS), a randomised controlled
25 field trial (RCT) represents the only method of evaluating the efficacy of *Clostridium botulinum* type
26 C vaccination in preventing naturally occurring disease. Clinical trial feasibility is an important aspect
27 of preliminary work undertaken prior to initiating RCTs, estimating parameters that are important for
28 study design. This cross-sectional study aimed to assess the feasibility of conducting a nationwide
29 RCT of a candidate vaccine for EGS based on responses from a sample of British equine veterinary
30 practices ($n = 119/284$).

31 Seventy-three percent of practices had attended ≥ 1 EGS case within the preceding two years
32 (median four cases), and 51.3% regularly attended recurrently affected premises. Veterinary surgeons
33 had greater confidence diagnosing acute/subacute EGS based solely on history and clinical signs

34 compared to chronic EGS. Ninety-one percent of respondents ($n = 103/113$) considered the proposed
35 RCT to be important/very important to equine veterinary research. Ninety-one percent of respondents
36 ($n = 102/112$) indicated preparedness to assist in owner recruitment and 92.9% ($n = 104/112$)
37 indicated willingness to participate in a RCT. The most frequent reasons for practices declining to
38 participate were low incidence of EGS ($n = 4$), did not believe clients would wish to participate ($n =$
39 3) and amount of paperwork/data collection involved ($n = 2$). There was considerable support
40 amongst participating veterinary practices for a RCT evaluating the efficacy of *Clostridium botulinum*
41 vaccination for the prevention of EGS in Britain. Substantial proportions of participating practices
42 would be prepared to participate in the RCT and regularly attended EGS-affected premises that would
43 meet trial inclusion criteria.

44

45 *Keywords:* Clinical trial; Equine grass sickness; Randomised controlled field trial (RCT); Vaccine.

46

47 **Introduction**

48 Equine grass sickness (EGS) is a predominantly fatal neurodegenerative disease affecting
49 grazing equids, first described in eastern Scotland in the early 1900s (Tocher et al., 1923). Britain
50 continues to have the highest incidence of EGS worldwide (Wylie and Proudman, 2009), with
51 reported incidence rates of 2.1-2.3 cases per 100 horse-years at risk on EGS-affected premises
52 (Newton et al., 2004; Ireland et al., 2011) and an estimated prevalence of 3.2% in areas of Scotland
53 (Doxey et al., 1991a).

54

55 It is hypothesised that EGS represents a toxico-infectious form of botulism, with a
56 combination of risk factors resulting in intestinal overgrowth of and neurotoxin production from
57 *Clostridium botulinum* (*C. botulinum*) type C (Newton et al., 2010). Randomised placebo-controlled
58 vaccine field trials conducted in 1922-1923, using an antitoxin-neutralised *C. botulinum* toxin,
59 demonstrated a marked reduction in EGS incidence in vaccinated animals (Tocher, 1924). Lower
60 serum antibody titres to *C. botulinum* type C surface antigens and *C. botulinum* C1 neurotoxin
61 (BoNT/C) were identified in EGS cases compared to controls (Hunter et al., 1999) and a subsequent

62 case-control study reported that increasing antibody titres to *C. botulinum* type C and BoNT/C toxoid
63 were significantly associated with decreased risk of EGS (McCarthy et al., 2004). Additionally, horses
64 previously in contact with an EGS case were reported to be at reduced risk, potentially indicating that
65 non-fatal exposure to the causative agent may induce some degree of resistance (Wood et al., 1998).
66 Currently, there is no model to reproduce EGS experimentally, precluding the use of experimental
67 challenge studies and therefore a field trial represents the only available method to test the hypothesis
68 that *C. botulinum* type C toxico-infection causes EGS and of evaluating the effect of vaccination in
69 the prevention of naturally occurring disease (Hedderson and Newton, 2004).

70

71 The randomised controlled trial (RCT) is considered as the best instrument to evaluate the
72 effectiveness of medical interventions (Oude Rengerink et al., 2010). Clinical trial feasibility is a
73 process of evaluating the possibility of conducting a particular trial in a specific geographical region
74 (Rajadhyaksha, 2010), and is an important first step in initiating a RCT. In human clinical trials,
75 investigator/site selection questionnaires and feasibility checklists are frequently employed to identify
76 potential trial sites and participants. Feasibility studies are considered to be particularly important for
77 RCTs investigating interventions for rare diseases (Hickey et al., 2010).

78

79 In order to inform the design of a nationwide randomised, placebo-controlled field trial of a
80 candidate vaccine against EGS in Britain, this cross-sectional feasibility study aimed to identify
81 practices attending premises with high EGS incidence rates and to explore attitudes of veterinary
82 surgeons towards the proposed RCT.

83

84 **Materials and methods**

85 *Selection of study sample*

86 Non-probability sampling was used, with all veterinary practices ($n = 200$) registered with a
87 nationwide EGS surveillance scheme covering England, Scotland and Wales (Wylie et al., 2011)
88 being invited to participate. Additionally, from the database of referring veterinary practices held by
89 the Diagnostic Laboratory Services at the Animal Health Trust, a further 84 practices (located in

90 England, Scotland and Wales) with equine clients were identified and the principal partners were
91 invited to participate.

92

93 *Questionnaire design*

94 The self-administered postal questionnaire was designed using an automated data capture
95 system (Autonomy, TeleForm version 10.2) (Supplementary material 1). The questionnaire contained
96 a study synopsis pertaining to the proposed protocol for a nationwide RCT of a candidate vaccine for
97 EGS. The questionnaire was pretested amongst a group of veterinary surgeons, who were not enrolled
98 on the study, and revised in accordance with their comments. The questionnaire was accompanied by
99 a reply-paid envelope and a hand-signed covering letter that assured confidentiality and provided the
100 principal investigator's name, address, telephone number and email address. To maximise response
101 rates, reminder postcards were sent to non-respondents 8 weeks after the initial mailing, followed by a
102 second questionnaire mailing 6 weeks after the reminder postcards to remaining non-respondents.

103

104 *Data analysis*

105 Questionnaire data were scanned and verified using TeleForm then exported to Microsoft
106 Excel. Statistical analyses were performed using commercial software (SPSS version 21). Data are
107 described as medians with interquartile ranges (IQR) for continuous data and as proportions with 95%
108 confidence intervals (CI) for categorical data. Pearson Chi-squared or Fisher's exact tests were used to
109 assess associations between categorical variables. Kruskal-Wallis or Mann-Whitney *U* tests were
110 used to test the statistical significance of differences in median values of continuous variables
111 between categories of categorical variables. Critical probability was set at 0.05.

112

113 **Results**

114 *Description of responses*

115 Of the 284 questionnaires mailed, 119 useable responses (41.9%) were returned, with a
116 further three non-useable responses received from practices declining to participate due to limited
117 numbers of EGS cases seen by the practice. Detailed descriptions of responses and characteristics of

118 responding practices are available as supplementary information (items 2 and 3). Comparison of
119 respondents with non-respondents found no association between response rate and country (England,
120 Scotland or Wales) ($P = 0.40$), type of practice ($P = 0.13$) or registration with the EGS surveillance
121 scheme ($P = 0.10$).

122

123 Of the 119 responding practices, 2.5% ($n = 3$; 0-5.3%) no longer undertook equine work, and
124 these responses were excluded from further data analysis. Thirty-eight percent ($n = 44/116$; 29.1-
125 46.8%) of practices were equine-only; 49.1% ($n = 57$; 40.0-58.2%) were mixed practices with < 50%
126 equine work and 12.9% ($n = 15$; 6.8-19.0%) were mixed practices with $\geq 50\%$ equine work. The
127 majority were first opinion practices (69.8%; $n = 81$; 61.5-78.2%). The number of registered
128 horses/ponies differed with proportion of equine work: equine-only practices had a median of 5,250
129 horses/ponies (IQR 2,075-10,000), mixed practices with $\geq 50\%$ equine work had a median of 4,812
130 horses/ponies (IQR 1,500-13,684) and mixed practices with < 50% equine work had a median of
131 1,000 horses/ponies (IQR 358-2,000) ($P < 0.001$).

132

133 *Veterinary surgeon experience of EGS*

134 The majority of respondents reported that their practice had attended ≥ 1 EGS case within the
135 preceding two years (73.0%; $n = 84/115$; 64.9-81.1%; Figure 1), with a median of four cases (IQR 2-
136 7; range 1-20). A greater proportion of equine-only practices (90.7%; $n = 39/43$; 82.0-99.4%)
137 reported attending ≥ 1 EGS case within the preceding two years compared to mixed practices (61.6%;
138 $n = 45/73$; 50.5-72.8%) ($P = 0.002$). Using the estimated total number of registered horses/ponies, the
139 median EGS period prevalence for the preceding two years was 0.08% (IQR 0.005-0.25%; range 0-
140 3.0%). The period prevalence in Scotland was higher (median 0.5%; IQR 0.25-1.0%) compared to
141 England (median 0.05%; IQR 0.005-0.17%) or Wales (median 0.03%; IQR 0.005-0.07%) ($P = 0.005$).
142 Excluding practices reporting no EGS cases in the previous two years, the median period prevalence
143 was 0.12% (IQR 0.02-0.3%; range 0.009-3.0%).

144

145 The majority of respondents indicated they could readily identify premises attended by their
146 practice that had been affected by EGS within the preceding two years (68.8%; $n = 77/112$; 60.2-
147 77.3%), with a greater proportion of equine-only practices (81.0%; $n = 34/42$; 69.1-92.8%) able to
148 identify EGS-affected premises compared to mixed practices (61.4%; $n = 43/70$; 50.0-72.8%) ($P =$
149 0.03). Fifty-one percent of respondents ($n = 56/109$; 42.0-60.8%) indicated their practice regularly
150 attended premises recurrently affected by EGS. There was an association between country and
151 recurrent premises ($P = 0.001$), with 92.3% of practices in Scotland ($n = 12/13$; 77.8-100%) able to
152 identify recurrent premises, compared to 47.8% of practices in England ($n = 44/92$; 37.6-58.0%) and
153 none of the practices in Wales ($n = 0/4$; 0-0.49%).

154

155 The majority of respondents reported that their practice provided recommendations for
156 management on EGS-affected premises. The most frequently recommended preventive management
157 strategies were minimising pasture disturbance, removal of horses/ponies from affected fields for a
158 specified period of time and prioritising preventive measures at high risk times of year and/or for high
159 risk groups of horses/ponies (Table 1).

160

161 Respondents indicated that veterinary surgeons within their practice were more confident
162 diagnosing the acute or subacute clinical subtypes of EGS based solely on history and clinical signs
163 compared to cases of chronic EGS (Table 2). For diagnosis of acute/subacute EGS, a greater
164 proportion of respondents from equine-only practices (81.4%; $n = 35/43$; 69.8-93.0%) indicated that
165 veterinary surgeons within their practice were confident/very confident based solely on history and
166 clinical signs compared to respondents from mixed practices (63.0%; $n = 46/73$; 51.9-74.1%) ($P =$
167 0.04). Similarly, for diagnosis of chronic EGS, a greater proportion of respondents from equine-only
168 practices (69.8%; $n = 30/43$; 56.0-83.5%) indicated that veterinary surgeons within their practice were
169 confident/very confident based solely on history and clinical signs compared to respondents from
170 mixed practices (45.1%; $n = 32/71$; 33.5-56.6%) ($P = 0.01$). For diagnosis of acute/subacute EGS, the
171 median number of EGS cases attended within the preceding two years was greater where veterinary
172 surgeons were reported to be confident/very confident compared to those reported to be not/somewhat

173 confident (median 4 cases, IQ 2 – 7 cases and median 2, IQ 0 – 3 cases, respectively) ($P < 0.001$).
174 The median number of EGS cases attended within the preceding two years was also greater where
175 veterinary surgeons were reported to be confident/very confident in the clinical diagnosis of chronic
176 EGS compared to those reported to be not/somewhat confident (median 5 cases, IQ 2 – 9 cases and
177 median 2, IQ 0 – 4 cases, respectively) ($P < 0.001$). The most frequently reported *ante-mortem*
178 ancillary diagnostic tests used in the investigation of suspected cases of EGS were phenylephrine eye
179 drops and routine haematology and biochemistry (Table 2).

180

181 *Potential participation in EGS vaccine RCT*

182 When asked about the feasibility of undertaking certain aspects of clinical assessments,
183 treatment administration and data collection, the majority of respondents indicated that the proposed
184 RCT protocol would be feasible (Table 3).

185

186 Overall, 99.1% of respondents ($n = 111/112$; 97.4-100%) indicated willingness to participate
187 in the RCT if a client registered with their practice wished to enrol, and 72.3% of respondents ($n =$
188 $81/112$; 64.0-80.6%) indicated that they would recommend participation in the RCT to all clients
189 keeping horses/ponies on EGS-affected premises, with a further 26.8% ($n = 30/112$; 18.6-35.0%)
190 indicating that they would recommend participation to selected equine clients.

191

192 The majority of respondents (85.8%; $n = 91/106$; 79.2-92.5%) indicated that if they owned a
193 horse/pony they would be willing to enrol them in the RCT. Similarly, 85.5% of respondents ($n =$
194 $94/110$; 78.9-92.0%) indicated that they would be prepared to enrol a horse/pony owned by a family
195 member or close friend in the RCT. Respondents believed the proposed RCT was of greatest
196 importance for equine veterinary research, with a lower proportion of respondents considering the
197 RCT was important to their practice equine population (Figure 2). Should a vaccine demonstrated to
198 be effective in the prevention of EGS be available, 48.6% of respondents ($n = 54/111$; 39.3-57.9%)
199 would recommend its use to all equine clients registered with their practice. A further 37.8% ($n =$
200 $42/111$; 28.8-46.9%) would recommend vaccination to all clients keeping horses/ponies on EGS-

201 affected premises and 11.7% ($n = 13/111$; 5.7-17.7%) would recommend vaccination to selected
202 clients.

203

204 Ninety-one percent of respondents ($n = 102/112$; 85.8-96.4%) indicated preparedness to assist
205 in recruitment of owners for the RCT. Overall, 92.9% of respondents ($n = 104/112$; 88.1-97.6%)
206 indicated willingness to participate in the RCT. Reasons given by the eight negative respondents
207 were: the RCT was not relevant to practice caseload/low EGS incidence ($n = 4$); they did not believe
208 clients would wish to participate ($n = 3$); they considered that there would be too much paperwork
209 involved ($n = 2$); the RCT was too great a time commitment ($n = 1$); they were not interested in the
210 RCT/EGS ($n = 1$); concerns over causal association between *C. botulinum* type C and EGS and
211 limited available safety data ($n = 1$); and forthcoming personnel changes at the practice ($n = 1$).

212

213 Discussion

214 Clinical trial feasibility studies are not widely used in veterinary medicine, yet a site
215 feasibility survey represents a small expenditure, in terms of both time and financial cost, and can
216 provide invaluable information to inform RCT study design. The key findings from this feasibility
217 study are that the majority of participating veterinary practices could readily identify EGS-affected
218 premises and would be prepared to consider entering animals under their care into an RCT
219 investigating the efficacy of *C. botulinum* type C vaccination in the prevention of naturally occurring
220 EGS. This study also provided important information about reasons why veterinary surgeons may not
221 wish to enter this trial. To the authors' knowledge, this is the first report of using a site feasibility
222 study to inform the design of a RCT in veterinary medicine.

223

224 Non-probability sampling was used to identify the accessible population of veterinary
225 practices invited to participate in this study, thereby introducing selection bias. However, purposive
226 sampling of all veterinary practices registered with the EGS surveillance scheme (Wylie et al., 2011)
227 facilitated assessment of the usefulness of the scheme for recruitment of horses to the proposed EGS
228 RCT. The useable response rate to the postal questionnaires of 41.9% was disappointing and may

229 have introduced further selection bias; however it is comparable to response rates achieved in other
230 questionnaire surveys of equine veterinary surgeons (Savage et al., 1998; Price et al., 2002; Hewson et
231 al., 2007; Mair and White, 2008).

232

233 With the aim of maximising response rate and minimising non-response bias, many elements
234 of the tailored design method (Dillman, 2007) were utilised in the administration of this survey.
235 These included the use of personalised cover letters, sending questionnaires by first class post and
236 providing non-respondents with a second copy of the questionnaire, which were all reported in a
237 systematic review as methods that significantly increase response rates to postal questionnaires
238 (Edwards et al., 2002). The risk of errors introduced by responder bias is a well-recognised limitation
239 of all questionnaire-based research and in this study respondents are likely to be individuals with a
240 particular interest in EGS, and not, therefore, a representative sample of the equine veterinary
241 profession in the UK. Although not statistically significant, comparison of respondents with non-
242 respondents identified that a greater proportion of practices registered with the EGS surveillance
243 scheme responded than other practices invited to participate in the study. However, it is unlikely that
244 practices rarely attending cases of EGS would elect to register with the surveillance scheme and
245 practices in regions with lower EGS incidence are likely to be under-represented in this study.
246 Although this degree of response bias precludes direct extrapolation of this study's findings to all
247 veterinary practices undertaking equine work in Britain, it does support use of the surveillance scheme
248 in recruiting practices as site investigators for the proposed EGS RCT.

249

250 Both equine-only and mixed practices were represented in the study population, with the
251 majority of respondents working in solely first opinion practices. As might be expected, equine-only
252 practices had greater numbers of registered horses, and a larger proportion had attended EGS cases
253 within the study period. *Ante-mortem* diagnosis of EGS is often presumptive, based on a combination
254 of historical epidemiological information and clinical signs. However clinical signs exhibited are
255 often diverse, varying with disease severity, and no clinical sign is pathognomonic for all forms of the
256 disease (Doxey et al., 1991b). In addition, many of the clinical signs observed may also occur in a

257 substantial proportion of colic cases (Doxey et al., 1991b), and it may be difficult to differentiate
258 acute/subacute EGS from other causes of colic particularly in areas where the disease is less prevalent
259 (Milne, 1996). In this study, respondents indicated a greater degree of confidence making a clinical
260 diagnosis of acute/subacute EGS compared to cases of chronic EGS. Veterinary surgeons from
261 equine-only practices were more confident in the clinical diagnosis of EGS compared to those from
262 mixed practices, which may reflect the increased likelihood of these respondents having recent
263 experience of the disease. Furthermore, veterinary surgeons that were confident in diagnosing EGS
264 based solely on history and clinical signs had attended a greater number of EGS cases within the
265 preceding two years.

266

267 Prevalence of EGS was greatest in Scotland, consistent with historical reports (Guthrie, 1940;
268 Gilmour and Jolly, 1974), and in keeping with more recent data, a significantly greater proportion of
269 Scottish practices regularly attended EGS-affected premises with a history of disease recurrence
270 (Wylie et al., 2011). Given the small proportion of respondents indicating that suspected cases of EGS
271 attended by their practice were definitively diagnosed via histopathology, misclassification bias may
272 have resulted in overestimation of EGS prevalence in this study. Additionally, diagnostic suspicion
273 bias, where exposure is taken as a diagnostic criterion, may influence the EGS prevalence reported in
274 the current study (Delgado-Rodríguez and Llorca, 2004; Sackett 1979). For example, veterinary
275 surgeons' knowledge of a horse's prior exposure to EGS risk factors, particularly on recurrently
276 affected premises, may influence their subsequent diagnostic process where EGS is suspected.
277 However, where veterinary surgeons have experience of EGS, diagnostic accuracy based on
278 signalment, historical and clinical findings, is considered to be high (Pirie 2006), and accuracy of
279 clinical diagnosis in cases of chronic EGS has been reported as 100% (Doxey et al., 1998).

280

281 Numerous epidemiological studies have identified an array of risk factors for EGS (recently
282 reviewed by Pirie et al. (2014)), and in the absence of any available preventive healthcare measure
283 current recommendations focus on implementation of management strategies designed to minimise
284 exposure to risk factors. The majority of respondents in this study provided management advice for

285 EGS-affected premises, predominantly pertaining to pasture management, reducing access to the
286 EGS-affected paddock and prioritising preventive management strategies for high risk animals, and
287 particularly where respondents had attended EGS cases within the preceding 2 years. Premises where
288 pasture had been disturbed, for example through construction work or moles, within the previous 12
289 months had higher odd of an EGS case occurring compared to pastures that had not been disturbed
290 (odd ratio 3.4) (McCarthy et al., 2004b), and minimising pasture disturbance and soil exposure was
291 the most frequent recommendation by respondents in this study. Over 30% of respondents advised
292 some degree of restricted grazing of EGS-affected paddocks, consistent with several studies reporting
293 increased risk of EGS occurrence with access to grazing, particularly on pastures with a previous
294 history of EGS (Gilmour and Jolly, 1974; Doxey et al., 1991; Wood et al., 1998; McCarthy et al.,
295 2004a). Previous studies have reported increased risk of EGS in young adults (Gilmour and Jolly,
296 1974; Doxey et al., 1991; Wood et al., 1998; McCarthy et al., 2004a; Newton et al.; 2004), and in
297 animals that have recently moved to new premises or pasture (Gilmour and Jolly, 1974; Doxey et al.,
298 1991; Wood et al., 1998; McCarthy et al., 2004a), and 42% of respondents advised prioritising
299 preventive management strategies for animals within these higher risk groups. It is likely that a
300 substantial proportion of EGS-affected premises will implement preventive management strategies in
301 order to try to reduce the risk of recurrence. his needs to be taken into consideration as a potential bias
302 when designing protocols for any intervention for EGS, including a vaccine RCT. An appropriately
303 conducted RCT, with random treatment group allocation performed at premises level, would facilitate
304 controlling for these management-level risk factors.

305

306 Poor investigator compliance with trial protocols can have important effects on the overall
307 result (Prescott et al., 1999). Poor design of data collection methods, excessive data collection and
308 follow-up have been cited by clinicians as impediments to patient recruitment in human trials (Benson
309 et al., 1991; Coombs et al., 1993). In the current study, of the small number of respondents not willing
310 to participate in the proposed EGS RCT, 25% considered that there would be too much paperwork
311 involved. The majority of respondents indicated that data collection, clinical assessments and
312 treatment administration aspects of the proposed RCT protocol would be feasible for their practice to

313 undertake (Table 3), implying that attaining good compliance with the trial protocol would be
314 achievable. A substantial proportion of respondents indicated that provision of clerical support or
315 additional remuneration would be required for data collection, and that availability of veterinary
316 support for the treatment administration phase would be desirable. Ensuring these factors are
317 considered in both the design and financial requirements for the proposed RCT will help to maximise
318 veterinary investigators' compliance with the trial protocol.

319

320 In a survey of healthcare professionals, 17% indicated that scientifically uninteresting trials
321 were an impediment to recruitment (Foley and Moertel, 1991). Questions addressed by RCTs should
322 be interesting and relevant to practice (Fletcher et al., 2012) and of sufficient importance to clinicians
323 for them to be willing to take part and comply with protocol requirements (Prescott et al., 1999).
324 While only 49% of respondents in the current study considered a nationwide RCT of a candidate
325 vaccine against EGS would be important to their own practice, 91% considered that this RCT would
326 be important to equine veterinary research.

327

328 The majority of respondents indicated willingness to assist in recruitment of horse owners for
329 the proposed RCT, and a large proportion indicated that they would recommend participation to
330 owners registered with their practice should one of their clients enrol in the trial. The reasons given by
331 those not wishing to aid in the recruitment phase were consistent with factors frequently reported to
332 act as barriers to the recruitment activity of clinicians in human clinical trials (Prescott et al., 1999;
333 Ross et al., 1999). In order to assess the overall acceptability of the proposed RCT, respondents were
334 asked whether or not they would enrol their own animal, or a horse/pony owned by a family member
335 or close friend, with the majority responding positively to both scenarios.

336

337 Ninety-three percent of respondents indicated willingness to participate in the RCT, with a
338 greater proportion indicating that they would take part should a client wish to enrol. Most respondents
339 to the current study indicated that they would recommend the use of vaccination in the prevention of
340 EGS, should an effective vaccine be available. As with barriers to recruitment, reasons given by

341 respondents for not wishing to participate in the proposed RCT were broadly similar to factors
342 affecting clinician decisions regarding taking part in clinical trials (Prescott et al., 1999; Ross et al.,
343 1999). Clinician concerns about adverse effects of treatment or the burden to patients were cited as
344 important factors in deciding whether or not to take part in cancer clinical trials (Foley and Moertel,
345 1991). While these factors were considered important barriers by a very low number of respondents in
346 this study, ensuring safety data are available and addressing protocol-related barriers to owner
347 participation should be incorporated in the design of the proposed RCT.

348

349 **Conclusions**

350 The results of this study indicate that undertaking a RCT evaluating the efficacy of *C.*
351 *botulinum* vaccination for the prevention of EGS in Britain would be feasible, with considerable
352 support for such a trial demonstrated amongst participating veterinary surgeons. Results provided an
353 estimate of the proportions of practices attending EGS-affected premises that would meet inclusion
354 criteria and would be prepared to participate in the proposed RCT, both of which were high despite a
355 low overall estimated prevalence of EGS. The study also provided information regarding aspects of
356 trial design that might make it more acceptable.

357

358 **Conflict of interest statement**

359 None of the authors of this paper has a financial or personal relationship with other people or
360 organisations that could inappropriately influence or bias the content of the paper. With the exception
361 of institutional ethical approval from the Animal Health Trust Clinical Research Ethics Committee
362 (AHT09-2012), funding sources had no involvement in study design, conduct of the study, analysis
363 and interpretation of data, preparation of the manuscript or in the decision to submit the article for
364 publication.

365

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 371 Association (ROA) and Thoroughbred Breeders' Association (TBA). Preliminary results were
 372 presented as a poster presentation at the 14th conference of the International Symposium for
 373 Veterinary Epidemiology and Economics (ISVEE), Mérida, 3rd-7th November 2015.

374

375 **Appendix: Supplementary material**

376 Supplementary data associated with this article can be found, in the online version, at doi:

377

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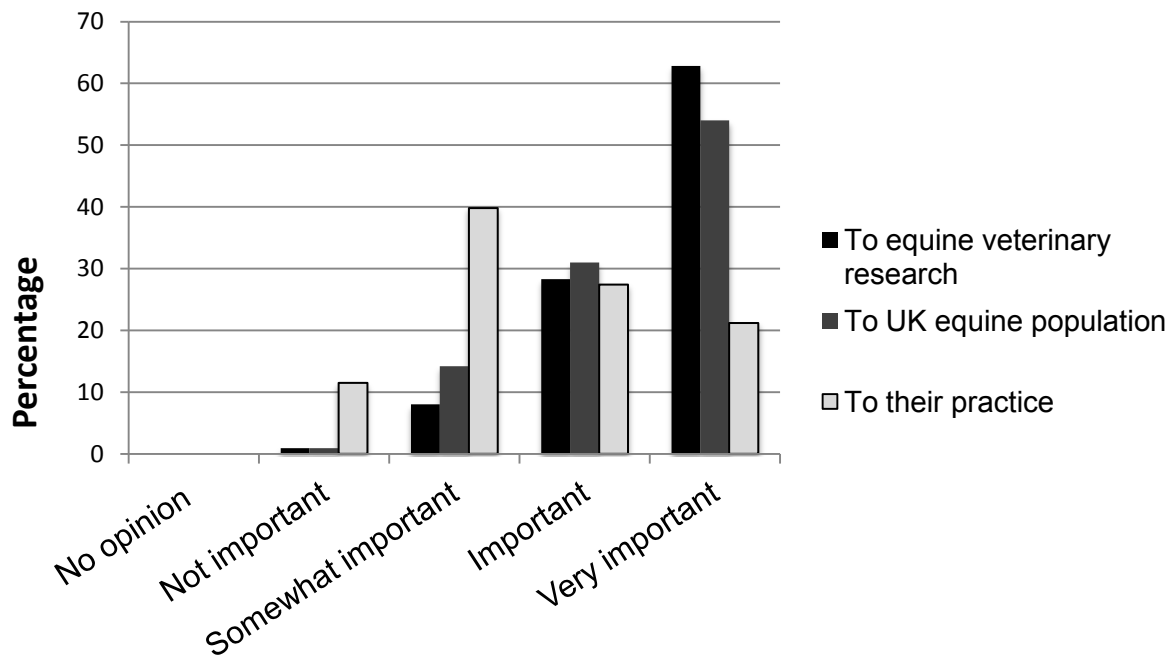
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510 **Figure legends**

511 **Figure 1:** Map of the geographical distribution of veterinary practices, attending no or ≥ 1 EGS cases
512 within the preceding two years, participating a survey of veterinary surgeons in Britain ($n=116$).

513

514 **Figure 2:** Veterinary surgeons' opinions regarding the potential importance of a proposed RCT of a
515 vaccine for the prevention of EGS reported in a survey of veterinary surgeons in Britain ($n=113$).



516

517

518 **Table 1:** Preventive management measures currently recommended for EGS-affected premises in a
 519 survey of veterinary surgeons in Britain ($n=116$).

Preventive management strategies recommended for EGS-affected premises	Frequency (all respondents $n=113$)	Percent (95% CI)	Frequency (only respondents seeing EGS in last 2 years $n=84$)	Percent (95% CI)
None	18	15.9 (9.2-22.7)	7	8.4 (2.4-14.2)
Remove horses permanently from affected paddock/field	21	18.6 (11.4-25.8)	13	15.7 (7.7-23.2)
Remove horses from affected paddock/field for specified time period	59	44.2 (43.0-61.4)	44	53.0 (41.7-63.1)
Reduce time spent grazing affected paddock/field	34	30.1 (21.6-38.5)	30	36.1 (25.5-46.0)
Avoid sudden dietary changes	41	36.3 (27.4-45.1)	34	41.0 (30.0-51.0)
Provide supplementary forage	42	37.2 (28.2-46.1)	37	44.6 (33.4-54.7)
Avoid overuse of ivermectin anthelmintics	17	15.0 (8.5-21.6)	16	19.3 (10.6-27.4)
Co-graze with ruminants	20	17.7 (10.7-24.7)	19	22.9 (13.7-31.6)
Avoid stressful incidents	29	25.7 (17.6-33.7)	22	26.5 (16.8-35.6)
Minimise soil exposure and pasture/soil disturbance	69	61.1 (52.1-70.1)	56	67.5 (56.6-76.7)
Hand removal of faeces (not mechanical)	38	33.6 (24.9-42.3)	29	34.9 (24.4-44.7)
Prioritise preventive measures at high risk times of year	45	39.8 (30.8-48.8)	39	47.0 (35.8-57.1)
Prioritise preventive measures for high risk animals (e.g. young adults, new arrivals)	47	41.6 (32.5-50.7)	40	48.2 (36.9-58.3)
Other preventive measure*	6	5.3 (1.2-9.4)	6	7.2 (1.6-12.6)

520 *Other preventive measures included calling EGS Fund for latest advice; minimise time spent on wet/flooded
 521 areas; monitor stock density vs sward height; move to new premises; mechanical faecal removal employed and
 522 providing supplementary selenium and limestone flour.
 523

524 **Table 2:** Level of confidence in diagnosis of EGS based on case history and clinical signs alone and
 525 ancillary diagnostic test utilised in the investigation of suspected EGS cases reported in a survey of
 526 veterinary surgeons in Britain ($n=116$).

Level of confidence in diagnosis based on history and clinical signs alone	Acute/ Subacute ($n=116$) Frequency (%; 95% CI)	Chronic ($n=114$) Frequency (%; 95% CI)
Not confident	4 (3.4; 0.1-6.8)	9 (7.9; 2.9-12.8)
Somewhat confident	31 (26.7; 18.7-34.8)	43 (37.7; 28.8-46.6)
Confident	53 (45.7; 36.6-54.8)	44 (38.6; 29.7-47.5)
Very confident	28 (24.1; 16.4-31.9)	18 (15.8; 9.1-22.5)
Ancillary/diagnostic test used in the investigation of suspected EGS cases	Acute/ Subacute ($n=87$) Frequency (%)	Chronic ($n=84$) Frequency (%)
None	2 (2.3; 0-5.4)	4 (4.6; 0.2-9.3)
Phenylephrine eye drops	42 (48.3; 37.8-58.8)	42 (50.0; 39.3-60.7)
Routine haematology/biochemistry	24 (27.6; 18.2-37.0)	31 (35.6; 26.6-47.2)
Nasogastric intubation	23 (26.4; 17.2-35.7)	10 (11.5; 5.0-18.8)
Exploratory laparotomy +/- ileal biopsy	20 (23.0; 14.1-31.8)	21 (24.1; 15.7-34.3)
Rectal examination	17 (19.5; 11.2-27.9)	10 (11.5; 5.0-18.8)
Abdominocentesis	17 (19.5; 11.2-27.9)	16 (18.4; 10.6-27.4)
<i>Post mortem</i> examination	14 (16.1; 8.4-23.8)	5 (5.7; 0.9-11.0)
Abdominal ultrasonography	4 (4.6; 0.2-9.0)	6 (6.9; 1.6-12.6)
Endoscopy	4 (4.6; 0.2-9.0)	4 (4.6; 0.2-9.3)
Rectal biopsy	2 (2.3; 0-5.4)	5 (5.7; 0.9-11.0)
Faecal worm egg count	2 (2.3; 0-5.4)	2 (2.3; 0-5.6)
Other ancillary/diagnostic tests*	3 (3.4; 0-7.3)	11 (12.6; 5.9-20.3)

527 *Other ancillary/diagnostic tests for acute/subacute EGS cases included barium oesophogram, faecal analysis
 528 and lack of response to treatment (all $n=1$); other ancillary/diagnostic tests for chronic EGS cases included
 529 gastroscopy/gastroduodenoscopy ($n=2$), oral glucose absorption test ($n=4$), faecal analysis ($n=2$), barium
 530 oesophogram ($n=1$), full dental examination ($n=1$) and weight loss investigation ($n=1$).
 531

532 **Table 3:** Veterinary surgeons' opinions regarding aspects of data collection, clinical assessment and
 533 treatment administration for a proposed RCT of a vaccine for the prevention of EGS reported in a
 534 survey of veterinary surgeons in Britain ($n=116$).

Veterinary surgeon participation in proposed EGS vaccine field trial (RCT)	Frequency (%; 95% CI)		
	Yes	Yes, with additional support and/or fees provided	No
Would it be feasible for veterinary surgeons at your practice to complete standardised recording forms for each clinical examination during the RCT? ($n=114$)	67 (58.8; 49.7-67.8)	45 (39.5; 30.5-48.4)	2 (1.8; 0-4.2)
Would it be feasible for veterinary surgeons at your practice to complete standardised recording forms for any suspected adverse event during the RCT? ($n=114$)	54 (47.4; 38.2-56.5)	58 (50.9; 41.7-60.1)	2 (1.8; 0-4.2)
Would it be feasible for veterinary surgeons at your practice to inform RCT staff immediately regarding any suspected EGS cases attended? ($n=114$)	114 (100.0; 96.8-100.0)	N/A	0
Would it be feasible for veterinary surgeons at your practice to inform RCT staff immediately regarding any cases of mortality occurring in horses/ponies enrolled in the RCT? ($n=113$)	113 (100.0; 96.8-100.0)	N/A	0
Would your practice be willing to help RCT staff facilitate the collection and transportation of fatal cases of suspected EGS for <i>post mortem</i> examination? ($n=113$)	108 (95.6; 91.8-99.4)	N/A	5 (4.4; 0.6-8.2)
	Yes	Yes, only if accompanied by vet from practice	No
For suspected EGS cases, would your practice allow additional clinical examinations undertaken by RCT staff? ($n=113$)	92 (81.4; 74.2-88.6)	21 (18.6; 11.4-25.8)	0
	All treatments administered by practice vets	All treatments administered by practice vets with support from locums as required	All treatments administered by dedicated, specifically trained RCT locum vet
Which option would you prefer for administration of RCT treatments for horses/ponies under the care of your practice? ($n=110$)	51 (46.4; 37.0-55.7)	57 (51.8; 42.5-61.2)	2 (1.8; 0-4.3)

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