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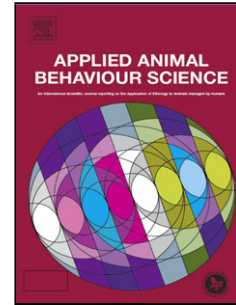
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1 **Effects of analgesic intervention on behavioural responses to Low**
2 **Atmospheric Pressure Stunning**

3

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28 **Highlights**

- 29 • A novel stunning system uses hypobaric hypoxia to render poultry unconscious.
- 30 • We investigated whether an opioid analgesic affected behavioural responses to this
- 31 process.
- 32 • Evidence for pain was limited and observed responses relate primarily to hypoxia.
- 33 • This approach appears to be equivalent in welfare terms to stunning with inert gases.
- 34 • These findings contribute to a wider welfare assessment of low atmospheric pressure
- 35 stunning.

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Short title: Effects of pain relief on hypobaric hypoxia in chickens

Abstract

Worldwide, more than 50 billion chickens are killed annually for food production so their welfare at slaughter is an important concern. Low Atmospheric Pressure Stunning (LAPS) is a novel approach to pre-slaughter stunning of poultry in which birds are rendered unconscious by gradually reducing oxygen tension in the atmosphere to achieve a progressive anoxia (hypobaric hypoxia). Advantages of this approach over electrical stunning are that birds are not shackled while conscious and all birds are reliably and irreversibly stunned. However, concerns remain that birds undergoing LAPS could experience discomfort or pain. Here we investigated whether subjecting birds to LAPS with and without administration of an opioid analgesic (butorphanol) affected behavioural responses. A blocking design was used in which pairs of birds receiving either analgesic or sham treatment were allocated to three types (analgesic/analgesic, analgesic/sham, or sham/sham). In line with previous studies, birds showed a consistent sequence of behaviours during LAPS: ataxia, loss of posture, clonic/tonic convulsions, leg paddling and motionless. Overall, administration of butorphanol had no effect on the range and patterning of behavioural responses during LAPS, but there were some differences in behaviour latencies, counts and durations. For example, latencies to ataxia, mandibulation and deep inhalation were delayed by analgesic treatment, however the duration of ataxia and other behaviours related to loss of consciousness were unaffected. Fewer birds receiving analgesia showed jumping and slow wing flapping behaviour compared to controls, which suggests these may be pain related. These behaviours after the onset of ataxia and the results may reflect a smoother induction to unconsciousness in analgised birds. Collectively, the results do not provide convincing evidence that birds undergoing LAPS are experiencing pain. While there were effects of analgesia on some aspects of behaviour, these could be

84 explained by potential sedative, dysphoric and physiological side effects of butorphanol. The
85 behavioural responses to LAPS appear to be primarily related to exposure to anoxia rather
86 than hypobaric conditions, and thus in terms of welfare, this stunning method may be
87 equivalent to controlled atmosphere stunning with inert gases.

88

89 **Keywords:** Hypobaric hypoxia, low atmosphere pressure stunning, pain, animal welfare,
90 humane slaughter, broiler

91

92 1. Introduction

93 Low Atmospheric Pressure Stunning (LAPS) is a novel approach to pre-slaughter stunning of
94 poultry in which birds are rendered unconscious by gradually reducing air pressure and thus
95 oxygen tension to achieve a progressive hypobaric hypoxia. LAPS shares many of the
96 welfare advantages of controlled atmosphere stunning (CAS) systems, which use exposure
97 to hypoxic and/or hypercapnic gas mixtures, reliably and irreversibly stunning birds in their
98 transport crates (Vizzier-Thaxton et al., 2010; Johnson, 2013). A major benefit of CAS
99 systems and the LAPS system is that they avoid the considerable stress and pain of
100 shackling of conscious birds (Gentle and Tilston, 2000) and 100% of the chickens are
101 rendered insensible before shackling and bleeding. By contrast, electrical stunning is
102 associated with various welfare issues such as shackling of conscious birds, pre-stun shocks
103 and the risk of inadequate stunning (Raj, 2006). LAPS is in routine commercial use at a
104 poultry processing plant in Arkansas, having been given 'no objection' status by both the
105 United States Department for Agriculture (USDA) in 2010 and the Canadian Food Inspection
106 Agency in 2013. While there has been much research to determine humane gas mixtures for
107 CAS (e.g. McKeegan et al., 2007; Johnson, 2013; Joseph et al., 2013), less is known about
108 the welfare impact of LAPS.

109

110 Previous work investigating the induction of unconsciousness in hypoxic gas environments
111 (Woolley and Gentle 1988; Raj et al., 1991) suggests that the approach has promise, and the

112 gradual nature of LAPS avoids obvious concerns related to the welfare consequences of
113 rapid decompression (Close et al., 1996; AVMA 2013). Previously, Purswell et al., (2007)
114 identified process variables for a suitable decompression and some aspects of behaviour,
115 corticosterone responses, meat quality and pathology have been investigated (Battula et al.,
116 2008; Vizzier-Thaxton et al., 2010). Electroencephalogram (EEG) and electrocardiogram
117 (ECG) responses of broilers undergoing LAPS were reported by McKeegan et al. (2013),
118 where the process was associated with changes in the EEG pattern (highly significant
119 increases in total power, decreases in median frequency and progressive increases in slow
120 wave activity), indicating a gradual loss of consciousness. Recently, a detailed behavioural
121 study described the responses of broilers undergoing LAPS and reported a consistent
122 sequence of behaviours: ataxia, loss of posture, clonic and tonic convulsions and leg
123 paddling (Mackie and McKeegan, 2016). Additional responses were observed in a
124 proportion of birds such as mandibulation (repetitive and rapid opening and closing of the bill,
125 32% of birds), headshaking (76% of birds) and open bill breathing (74% of birds). Based on
126 loss of posture (on average at 84 s), the data suggest that birds are in a conscious state for
127 longer during LAPS than in controlled atmosphere stunning with inert gases (McKeegan et
128 al., 2007a; Abeyesinghe et al., 2007), other behavioural responses are equivalent. Given that
129 headshaking, mandibulation and open bill breathing are all seen during exposure to anoxic
130 gases (normobaric hypoxia) and LAPS (hypobaric hypoxia), it is difficult to conclude whether
131 they are a response to hypoxia or decompression, or both. Concerns remain that some of
132 the behavioural responses observed could be pain related, possibly resulting from painful
133 expansion of trapped air in body cavities. Vizzier-Thaxton et al. (2010) noted that the
134 anatomy and function of the avian respiratory tract with interconnecting airsacs and lungs
135 makes it unlikely that significant amounts of gas would be trapped in the abdomen, while
136 hemorrhagic lesions were found in the lungs, brain, and heart of animals undergoing rapid
137 decompression (Van Liere, 1943).

138

139 Pain is difficult to assess as it cannot be measured directly, but behaviour is the parameter
140 most often used to assess animal pain (Rutherford 2002) and signs of stress during stunning
141 in poultry include head shaking (Erhardt et al., 1996; Raj, 1996), gasping (Raj and Gregory,
142 1990), yawning (Erhardt et al., 1996), vocalisation (Zeller et al., 1988), sneezing
143 (Hoenderken et al., 1994) and defecating (Morton et al., 1998). Some of these signs may
144 also indicate pain or varying degrees of discomfort, or may reflect physiological responses.
145 Quantitative differences may be significant from a welfare point of view, as well as the time at
146 which they occur during the stunning process.

147
148 Analgesic intervention has been widely used in a range of contexts in animal welfare
149 research, for example to examine pain associated with lameness (e.g. Hocking et al., 1997).
150 It is widely recognised that the abolition of suspected pain related behaviour with analgesic is
151 circumstantial evidence of pain (Rutherford, 2002; Walker et al., 2014). However, analgesic
152 drugs may have behavioural effects unrelated to pain and nociception, and some also have
153 general sedative or side effects. Thus, care must be taken with the choice of agent and the
154 dose applied. The primary objective of this study was to investigate whether subjecting birds
155 to LAPS with and without administration of an opioid analgesic would affect their behavioural
156 responses, especially those suspected to relate to pain and discomfort. Butorphanol was
157 chosen for this trial, as it is a Kappa opioid receptor agonist and a mu opioid receptor
158 antagonist with characterised pharmacokinetics (Guzman et al., 2014) and is the currently
159 recommended opioid for use in birds (Paul-Murphy and Fialkowski, 2001; Paul-Murphy,
160 2013). We used a low-moderate dose (Paul-Murphy, 2013) to minimise sedation and side
161 effects. Broilers were exposed to LAPS in pairs to maximise visibility of their reactions to the
162 process while eliminating isolation stress. A blocking design was used in which birds
163 receiving analgesic or sham treatments were randomly allocated to three types of pairs
164 (analgesic/analgesic, analgesic/sham, or sham/sham)). This robust design, random
165 allocation and blinding of behavioural observers to pair type allowed us to reliably determine

166 the effects of analgesic intervention on behaviour during LAPS, and thus contribute to a
167 thorough welfare assessment of the process.

168

169 **2. Material and methods**

170 *2.1 Animals and housing*

171 Ninety Cobb 500 male broiler chickens (*Gallus gallus domesticus*) from the female breeder
172 line were used in this study. They were sourced from a commercial hatchery and were wing
173 tagged at 4 weeks of age. The birds were housed at the University of Arkansas poultry
174 facilities within a larger single flock split into three groups, reared in three identical
175 environmental chambers (measuring 3.05 X 3.05 m, approximately 100 birds per pen
176 resulted in a stocking density of ~30 kg/m²). Clean pine shavings were used for litter. Single-
177 pass ventilation was maintained at a constant rate of 6 m³/min in all chambers. The
178 photoperiod was 23L:1D for d 1 to 4, and 16L:8D thereafter. Chambers were equipped with 2
179 rows of nipple waterers, and 2 hanging feeders and birds had ad libitum access to feed
180 (standard commercial starter and grower diet) and water. Environmental controls for climate
181 were maintained to follow recommended management practices (Cobb, 2012). Birds and
182 environmental controls were monitored twice daily by trained staff. The trials were
183 undertaken in Arkansas, USA, and therefore were not subject to UK legal requirements
184 through DEFRA or Home Office regulations. The experimental design and animal husbandry
185 was performed following the EU Directive on the Protection of Animals used for Scientific
186 Purposes (EU 2010/63) for guidance. The experiments were specifically authorized by the
187 University of Arkansas Institutional Animal Care and Use Committee (Protocol 15031).

188

189 *2.2 LAPS process*

190 The LAPS chamber was developed by Technocatch LLC in Mississippi, USA the system and
191 the pressure curves applied by the process are patented (Cheek & Cattarazzi, 2010). The
192 chamber, it's monitoring and control systems used in the current study is a scaled down
193 research unit, but is otherwise identical to those used commercially except for manual door

194 operation. The chamber is cylindrical (2.2 m in length and 1.8 m in diameter) and is designed
195 to accommodate a reduced scale transport module (153 cm x 121 cm x 102 cm, three tiers
196 each 23 cm height). The required decompression curve is automatically applied and
197 controlled by a computer and once started, can only be stopped in the case of an
198 emergency. An infra-red camera (130° camera with (2.1mm lens) 18 infra-red illuminators,
199 Model #RVS-507, RVS Systems) was fitted into the chamber to observe the birds (fixed
200 centrally on the front wall of the chamber allowing full view of the relevant tier). A manually
201 operated door is present that allows the entry of the transport module and seals them into the
202 chamber to begin the process. The LAPS cycle takes exactly 280 s and consists of two
203 phases, in the first of which the vacuum chamber pressure is reduced from atmospheric
204 pressure to an absolute vacuum pressure of ~250Torr (~33 kPa) in ~67 s. In the second
205 phase a sliding gate valve is partially closed gradually reducing the effective pumping speed
206 by 'choke flow', to a minimum chamber pressure of ~150Torr (~20 kPa). The rate of
207 reduction of chamber pressure in the second phase is varied in relation to starting ambient
208 temperature and barometric pressure. The reduction in total pressure results in a reduced
209 oxygen partial pressure. At the end of the second phase at 280 s the chamber is returned to
210 atmospheric pressure using a baffled air inlet, prior to the door opening and the exit of the
211 transport module. Because cold air is denser and therefore contains more oxygen than
212 warm air and birds have been shown to respond differently to LAPS at different temperatures
213 (Mackie and McKeegan 2016), slightly different pressure reduction curves must be applied to
214 achieve the same hypobaric effect under different ambient conditions. As discussed by
215 Holloway (unpublished results), water in the LAPS chamber may also lead to modification of
216 the rate of decompression based on temperature. Ambient temperature and humidity were
217 recorded for each LAPS cycle and means were 13.5 ± 0.5 °C and $76.3 \pm 0.6\%$, respectively.
218 In this study, all 45 LAPS runs were carried out within a single temperature setting.

219

220 *2.3 Experimental procedure*

221 The experimental birds were randomly selected from the flock by a random number
222 generator (Microsoft Excel 2010) based on wing tag number. They were systematically and
223 equally allocated via a Latin-Square design across two treatments (analgesic - A, sham - S)
224 and then allocated by individual wing tag number into three types of blocked pairs
225 (analgesic/analgesic (AA), analgesic/sham (AS), and sham/sham (SS)) and pair kill order
226 following a Graeco Latin-Square design (Martin & Bateson 2007). There were 15
227 replications of each block (AA, AS, and SS), each containing a pair of birds. The birds
228 underwent LAPS in 45 consecutive pairs over two days (day 1 = 23 pairs; day 2 = 22 pairs)
229 at 36-37 days of age (mean bodyweight 2.30 ± 0.12 kg). To mimic commercial transport and
230 lairage conditions, experimental birds for each day were removed from the flock and
231 transported and held in poultry transport crates (97 x 58 x 27 cm, maximum 8 birds per crate)
232 prior to LAPS. Thus birds had food and water withdrawn for between 2-6 hrs before LAPS,
233 dependent on the pair kill order.

234
235 In sequential order, bird pairs were removed from the transport crates and weighed.
236 Dependent on their pre-determined treatment, birds were injected with either butorphanol
237 ('Dolorex', butorphanol tartrate 10mg/ml, Merk) delivered IM in the right thigh at 1mg/kg or
238 saline (veterinary 0.9% Sodium Chloride Injection, Hospira Inc.) delivered IM in the right thigh
239 at equivalent volume to the analgesic treatment based on bird weight. Treatments were
240 staggered to provide a consistent 30 minute interval between injection and LAPS. At the time
241 of injection one bird per pair had its wing tip feathers marked by a black permanent marker
242 (Sharpie® Magnum chisel tip); this marking was to allow better visualisation of individuals
243 during behavioural observations and was randomly allocated by wing tag number,
244 irrespective of treatment. Birds were then housed within their pairs in separate cardboard pet
245 carriers (28 x 35 x 46 cm) until transferred into the LAPS chamber by hand. Each pair of
246 birds was placed in the top right tier (1.53 x 1.21 x 0.23 m) of the US poultry container within
247 the LAPS chamber. Soft polystyrene dividers were used to position the two birds at the front
248 of the tier (available space 0.76 x 1.21 x 0.23 m, resulting in a stocking density of 5.0 Kg/m²

249 based on average bird weight of 2.3 kg), in order to minimise damage to the birds when
250 convulsing and reduce the risk of birds from disappearing from camera view during the LAPS
251 cycle. Once the birds had been placed in the tier, the chamber door was closed and sealed
252 and the LAPS cycle started. During the trials, the birds were watched in real time on a
253 monitor to check for unexpected behaviour. Video footage was recorded on a digital video
254 recorder (Datavideo M# DN300) to allow behavioural observations to be conducted later,
255 continuous recordings from 5 s prior to the start of LAPS to 5 s after the end of the cycle
256 were obtained for each pair of birds. On completion of the LAPS cycle, the birds were
257 removed from the chamber and reflexes were immediately assessed (e.g. presence of
258 rhythmic breathing, nictitating membrane) to confirm death.

259

260 *2.4 Behavioural Observations*

261 An ethogram was developed based on previous behavioural work on LAPS (Mackie &
262 McKeegan, 2016) as well as CAS research (Lambooj et al., 1999; Coenen et al., 2009)
263 (Table 1). Behaviours for both birds in each pair were recorded using The Noldus Observer
264 XT 11.0 programme by a single observer who was blinded to pair number, block type and
265 individual bird treatment. Behavioural variables measured included latencies, counts, total
266 durations, bout durations and bout counts; see Table 1 for specific measures for each
267 behaviour. Birds which went out of sight for more than 10% of the total observation time
268 (280 s) were excluded from the data set. Reasons for birds going out of sight were that they
269 moved behind the other bird or to the far end of the chamber. Data was exported from
270 Observer to Microsoft Excel 2010.

271

272 *2.5 Statistical analysis*

273 All data were summarised in Microsoft Excel (2010) spread sheets and analysed using
274 Genstat (14th Edition). Statistical significance was based on F statistics and $P < 0.05$
275 threshold level. Summary graphs and statistics were produced at bird level. Statistical
276 comparisons of behavioural variables were conducted via Generalised Linear Mixed Models

277 (GLMM) (Poisson distribution) or Linear Mixed Models (LLM) (normal distribution) dependent
278 on the data distributions for each variable. Data transformations were attempted when
279 necessary via Logarithm function. All models included bird ID, companion bird ID and pair
280 block type as random effects. All fixed effects were treated as factors and all interactions
281 between factors were included in maximal models. All models included treatment, pair order,
282 and marked bird as fixed effects and bird weight, ambient temperature, ambient humidity as
283 covariates. Correlations between variables and fixed effects were performed as Pearson's
284 Correlations for parametric data, and Spearman's Rank Correlations for non-transformable
285 non parametric data. For behaviours which were not exhibited by all birds, the effect of
286 treatment on the proportions of birds showing the behaviour was compared with Chi Square
287 tests using two by two contingency tables.

288

289 **3. Results**

290 No birds showed any signs of life at the end of the LAPS cycle (absence of rhythmic
291 breathing, absence of corneal or palpebral reflex (EFSA 2013). A total of 17/90 birds went
292 out of sight at some point during observations (by treatment: A = 9; S = 8), with mean total
293 out of sight durations of 29.4 ± 10.9 s for analgesic birds ($10.5 \pm 3.9\%$ of total observation
294 time) and 90.8 ± 33.1 s for sham birds ($33.1 \pm 11.6\%$ of total observation time). Based on
295 exclusion criteria (>50% of observation time out of sight), 3 sham birds were removed from
296 analysis to avoid bias. The birds showed a consistent sequence of behaviours during LAPS:
297 ataxia, loss of posture, clonic/tonic convulsions, leg paddling and motionless. Clonic
298 convulsions, sitting, lying, ataxia, loss of posture, loss of jaw tone and motionless were
299 observed in all birds as they underwent LAPS. No birds were observed performing escape
300 behaviour, pecking or panting.

301

302 Almost all birds (83/90) exhibited vigilance behaviour at the onset of LAPS, and the total
303 duration, bout duration and number of bouts this behaviour was increased in birds receiving
304 analgesic (total mean duration 37.1 s compared to 30.5 s in controls, Table 2). The mean

305 latency to show mandibulation was delayed by analgesic treatment (18.8 s vs. 25.5 s, Table
306 3), while saline treated birds exhibited more counts of mandibulation than analgesic treated
307 birds (mandibulations per bird ranged from 1-12, mean count 2.7 vs. 2.1, Table 4). Mean
308 counts of headshaking were higher in analgesic treated birds compared to saline treated
309 birds (headshakes per bird ranged from 1-7, mean counts 2.4 with analgesic compared to
310 1.7 in controls, Table 4). Total duration of standing was higher in analgesic treated birds
311 (16.0 s compared to 12.3 s in saline birds, Table 2) there were also longer standing bout
312 durations with analgesic (13.1 s compared to 7.5 s, Table 2).

313

314 Analgesic treatment affected the latency to ataxia but the effect in terms of time difference
315 was small (44.5 s for birds receiving analgesia compared to 41.8 s for sham treated birds,
316 overall range 21.4 – 65.2 s, Table 3). Analgesic treatment had no effect on the duration of
317 ataxia (Table 2). Analgesic treatment also had no effect on other latencies related to the
318 onset of unconsciousness (loss of posture or loss of jaw tone; Table 3). Figure 1 shows the
319 patterning of key behaviours relating to loss of consciousness in the first 100 s of LAPS
320 according to treatment, indicating the sequence of behaviour and showing that analgesia
321 treatment was associated with a delay in the latency of some behaviours, but had no effect
322 on latency to loss of consciousness, as indicated by loss of jaw tone and loss of posture.
323 Jumping was not seen until birds started to show ataxia and loss of posture (mean latency
324 55.4 ± 1.4 s); this was seen in fewer birds receiving analgesic compared to those receiving
325 saline (46.5% vs. 67.5%; Table 5). Saline treated birds also exhibited more jumps than
326 analgesic treated birds (jumps per bird ranged from 1-3, mean count 1.0 compared to 0.5,
327 Table 4).

328

329 Slow wing flapping was seen in significantly more birds receiving saline (74%) than analgesic
330 (47%, Table 5), but longer bout durations were observed with analgesic (Table 2). Longer
331 and more bouts of tonic convulsions were also observed in birds received analgesic, but
332 latencies and overall durations were unaffected (Table 3, Table 2). There were no effects of

333 analgesic on clonic convulsions. Frequency of bouts and bout durations of lying were
334 increased in birds receiving analgesic (75.2 s) compared to controls (72.7 s, Table 2). The
335 total duration of leg paddling was affected by treatment, with analgised birds exhibiting longer
336 durations (9.1 s compared to 6.8 s in saline birds). Latency, bout duration and bout
337 frequency of leg paddling was unaffected by treatment, as was latency to become
338 motionless.

339
340 The latency to the first deep inhalation behaviour was 82.5 s in saline treated birds, greater
341 compared to 101.8 s analgesic treated birds (Table 3), but counts of this behaviour (counts
342 per bird ranged from 1-8) were not affected by treatment (Table 2). The duration of open bill
343 breathing bouts was shorter in analgesic treated birds (8.1 s compared to 6.8 s in saline
344 treated birds, Table 2). Only four birds vocalised; three of the vocalisations occurred during
345 clonic convulsions suggesting that they may have been involuntary. The fourth bird vocalised
346 once at 14 s into LAPS.

347
348 Fixed effects had minimal influence on behaviour latencies; however some factors affected
349 certain behaviours. Bird weight affected latency to ataxia ($F_{1,84} = 7.77$, $p = 0.021$) and
350 mandibulation ($F_{1,41} = 17.7$, $p < 0.001$) and was negatively correlated with both, but not
351 significantly ($r = -0.109$, $p = 0.322$ and $r = -0.123$, $p = 0.428$, respectively). The onset of
352 open-bill breathing ($F_{1,67} = 8.63$, $p = 0.005$) and deep inhalation ($F_{1,48} = 9.41$, $p = 0.002$) were
353 positively related to bodyweight, with significant positive correlation with first deep inhalation
354 ($r = 0.354$, $p = 0.014$). Ambient temperature had no effect on the majority of behavioural
355 latencies except for time to become motionless ($F_{1,84} = 5.51$, $p = 0.022$) which was non-
356 significantly negatively correlated ($r = -0.098$, $p = 0.373$). Latency to slow wing flapping was
357 also related to ambient temperature ($F_{1,51} = 2.33$, $p < 0.001$) with a non-significant positive
358 correlation ($r = 0.075$, $p = 0.600$). In terms of behaviour durations, fixed effects did not
359 explain a significant proportion of the data except for ambient temperature ($F_{1,64} = 5.00$, $p =$
360 0.028) and humidity ($F_{1,64} = 4.26$, $p = 0.042$) which were related to the durations of tonic

361 convulsions, although neither had significant correlations ($r = 0.178$, $p = 0.159$; $r = -0.138$, p
362 $= 0.278$ respectively).

363

364 The majority of fixed effects and interactions had no significant effect on the total counts of
365 behaviour including jumping, mandibulation, head shaking or deep inhalation behaviours.

366 The only significant effects were between mandibulation and bird weight ($F_{1,44} = 3.11$, $p =$
367 0.008), ambient humidity ($F_{1,44} = 7.68$, $p = 0.007$) and ambient temperature ($F_{1,44} = 6.42$, $p =$
368 0.011), as well as between headshaking and ambient humidity ($F_{1,51} = 5.22$, $p = 0.025$).

369

370 4. Discussion

371 A consistent series of behavioural responses were seen during LAPS, similar to previous
372 reports (Vizzier-Thaxton et al., 2010; Mackie and McKeegan 2016). The responses also
373 closely resembled those observed during exposure to controlled atmosphere stunning with
374 inert gases such as Argon and Nitrogen (Raj et al., 1991; Gerritzen et al., 2000; McKeegan
375 et al., 2007). Previously, EFSA (2004) opined that “anoxia is not aversive to poultry and
376 does not induce any signs of respiratory distress prior to loss of consciousness”. Mackie and
377 McKeegan (2016) discussed the welfare implications of behavioural responses to LAPS but
378 noted that further work would be required to determine if any of them are specifically pain
379 related. Our expectation was that the most likely pain related behaviours would be
380 headshaking, vocalisation and escape behaviour. In general, administration of butorphanol
381 had no effect on the type and patterning of behavioural responses during LAPS compared to
382 control birds, but there were differences in behaviour latencies, counts and durations. While
383 bout durations and frequencies of some behaviours were affected by analgesic, total
384 durations were generally unaffected except for vigilance, standing and leg paddling.

385

386 Pain related behaviour in birds has been previously identified in a variety of contexts, and
387 includes active escape/withdrawal, guarding, sick bird posture, freezing and vocalisation
388 (Gentle, 2011; Paul-Murphy, 2013). Since these responses were not seen during LAPS, this

389 study presents an opportunity to use analgesic intervention to identify potential pain related
390 behaviour. There is a danger that using the effects of analgesic treatment on behaviour to
391 recognise pain becomes a circular argument (i.e. pain is something removed by an
392 analgesic; an analgesic is something which removes pain; Bateson, 1991). It is also
393 important to note that analgesic drugs may have behavioural effects unrelated to pain and
394 nociception. The analgesic applied in this study was potentially optimal, systemic and
395 centrally acting with proven effectiveness in clinical contexts (Paul-Murphy, 2013).
396 Butorphanol has been shown to have high bioavailability following IM administration in
397 psittacines and raptors (Guzman et al., 2011; Gustaven et al., 2014), though Paul-Murphy
398 (2013) notes that dosage of butorphanol for effective analgesia needs to be balanced with
399 sedation and respiratory depression, which may vary between avian species.

400
401 Latencies to ataxia, mandibulation and deep inhalation were slightly delayed by analgesic
402 treatment, however the duration of ataxia and other behaviours related to loss of
403 consciousness were unaffected. These delayed initial responses raise the question of
404 whether butorphanol had a sedative effect. Previous work administering butorphanol IM to
405 Kestrels at 1, 3 or 6 mg/kg did not change mean sedation-agitation scores, except in at
406 6mg/kg 1.5 hours after injection (Guzman et al., 2014), but responses to this compound are
407 likely to be species specific (Paul-Murphy, 2013). Possible sedation effects of the analgesic
408 are not supported by results showing that analgised birds spent more time vigilant at the start
409 of the LAPS cycle, and the latency to become vigilant was unaffected by treatment. In some
410 species such as dogs (Hofmeister et al., 2006) butorphanol can produce side effects such as
411 dysphoria where the animals appear agitated and disorientated. This could provide an
412 explanation for some of the differences in behaviour seen, but such dysphoric effects have
413 not been reported in birds (Hawkins, 2006). One of the most obvious candidates for pain
414 related behaviour during LAPS is headshaking, which has been previously associated with
415 disorientation, discomfort, respiratory distress (Webster and Fletcher, 2001) or arousal
416 (Hughes, 1983). Nicol et al., (2011) found that head shaking may be a valid indicator of a

417 less preferred environment and high rates of head shaking may indicate poor welfare. Only
418 around half of birds showed this behaviour (as reported previously, Mackie and McKeegan,
419 2016) and the proportion of birds exhibiting the behaviour was unaffected by treatment; in
420 fact its frequency was increased in birds receiving analgesia. This does not fit with it being
421 pain related behaviour abolished by analgesia, and it is possible that the observed increase
422 may be related to dysphoria and/or a sensation of disorientation. Headshaking is also a
423 behaviour that is routinely seen in controlled atmosphere stunning of chickens with both inert
424 and hypercapnic gas mixtures (McKeegan et al 2007; Abeyesinghe et al 2007). Interestingly,
425 birds receiving analgesia spent more time standing at the start of the LAPS cycle. While
426 none of the birds was obviously lame, several sources of leg pain may be present and these
427 may have been relieved by butorphanol in treated birds.

428
429 Administration of butorphanol has been shown to cause lowering of the heart rate, tidal
430 volume, and inspiratory and expiratory times in psittacines (Curro et al., 1994), but such
431 opioid side effects appear to be less pronounced in chickens (Concannon et al., 1995). In
432 contrast to previous work describing behavioural responses to LAPS (Mackie and
433 McKeegan, 2016), in this study we attempted to distinguish between deep inhalation and
434 open bill breathing. Analgesic treatment was associated with a delayed latency to deep
435 inhalation and increased duration of open bill breathing bouts (but not total duration). These
436 differences suggest that there were some physiological side effects of the drug which
437 affected the response to hypobaric hypoxia, possibly due to respiratory depression. While
438 53% of birds performed deep inhalation behaviours, 77 to 83% of birds exhibited open bill
439 breathing and similar responses have been seen in response to controlled atmosphere
440 stunning using hypoxic gas mixtures (e.g. McKeegan et al., 2007) suggesting they probably
441 relate to anoxia.

442
443 A wide range of behavioural responses were seen in all birds, with a few (e.g. standing)
444 exhibited only in a small proportion of birds and were generally unaffected by treatment.

445 Exceptions to this were slow wing flapping and jumping, both behaviours associated with
446 ataxia and loss of posture. Fewer birds receiving analgesia showed jumping (20 compared
447 to 27) and slow wing flapping behaviour (20 compared to 31) compared to controls, which
448 suggests these may be pain related. The latencies of these behaviours show that they
449 occurred, on average, after the onset of ataxia and they did not appear to be escape
450 behaviours. The results may reflect a smoother induction to unconsciousness in analgised
451 birds, with butorphanol possibly having an effect similar to a premedication.

452
453 No panting behaviour was shown and only 4 birds vocalised, although it was apparent that
454 the three of the vocalisations may have been unconscious forced exhalation by the birds due
455 to simultaneous vigorous wing flapping and clonic convulsions as all but one vocalisation
456 was observed after loss of jaw tone, ataxia and loss of posture had occurred, suggesting the
457 birds were no longer conscious (McKeegan *et al.*, 2013; Sandercock *et al.*, 2014; Martin,
458 2015).

459
460 There were some effects of temperature and humidity but many of the underlying
461 correlations were not significant. The LAPS system operates a series of decompression
462 curves according to ambient temperature, and these have been previously shown to affect
463 some behaviour latencies and durations (Mackie and McKeegan, 2016). In this study, only
464 one curve was applied so determining the effects of ambient temperature and humidity was
465 not our aim. Bird weight effects on ataxia, mandibulation, open bill breathing and deep
466 inhalation were apparent in the current study, but a more powerful factorial study would be
467 needed to investigate these relationships further.

468

469 **5. Conclusion**

470 There are few studies on the side effects of butorphanol in chickens, which limits our ability
471 to draw firm conclusions from this study. Another obvious limitation is the lack of a positive
472 control and thus any conclusions depend on acceptance of the fact that butorphanol is an

473 effective analgesic in chickens. Apart from the ethical concerns raised by deliberate
474 induction of pain, it is not clear what sort of pain model would be relevant to this study. With
475 these limitations in mind, it may still be argued that the results do not provide convincing
476 evidence that birds undergoing LAPS are experiencing pain. While there were effects of
477 analgesia on some aspects of behaviour, and jumping and slow wing flapping was reduced,
478 these effects may be explained by the potential sedative, dysphoric and physiological side
479 effects of butorphanol. In particular, obvious pain related behaviours such as
480 escape/withdrawal and freezing were not seen at all, while others such as head-shaking and
481 vocalisation were not reduced with analgesic intervention during LAPS. EEG data
482 (McKeegan *et al.*, 2013; Martin *et al.*, submitted) demonstrates the maintenance of slow
483 wave EEG patterns induced by darkness in the early part of LAPS (while birds are still
484 conscious); desynchronisation of the EEG resembling 'waking' from sleep would be expected
485 during aversive or painful stimulation (Gentle, 1975). These findings support the notion that
486 during the period of the gradual reduction of pressure in LAPS the behavioural responses
487 seen are primarily related to exposure to hypoxia rather than hypobaric conditions. The
488 patterns of behaviour are also similar to those seen in normobaric hypoxia using inert gases,
489 and thus in terms of welfare, this stunning method could be considered to be equivalent to
490 controlled atmosphere stunning with inert gases.

491

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496

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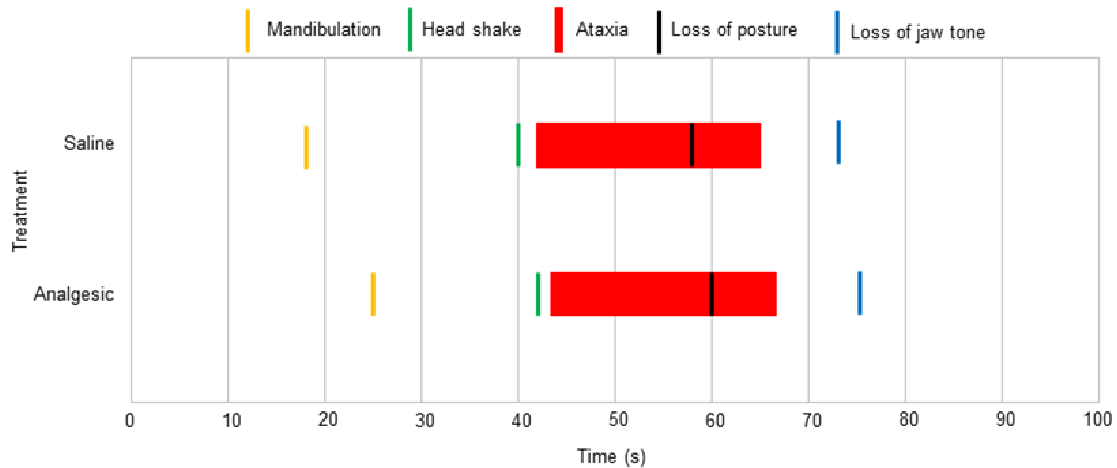
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638
639 **Figure captions**

640

641 **Figure 1** Mean latencies and durations (ataxia only) and the relationship in time of key
 642 behaviours related to loss of consciousness during LAPS in saline and analgesic
 643 treated birds.



644

645

646

647 Tables

648 **Table 1** Ethogram of bird behaviours during LAPS cycle.

Behaviour	Description	Measures
Vigilance	Alert movements of the head, including 'Notice' as defined by Mackie and McKeegan (2016).	Latency duration
Mandibulation	Repetitive and rapid opening and closing of the bill, not associated with inspiration or exhalation.	Counts Latency
Headshake	Rapid lateral head movement.	Counts Latency
Open bill breathing	Gentle rhythmic breathing with bill open, with or without neck extension.	Latency durations
Panting	Rapid rhythmic breathing with bill open with tongue extended	Latency durations
Deep inhalation	Deep non-rhythmic inspiration from the mouth may be accompanied by extension of the neck	Counts Latency
Ataxia	Apparent dizziness, staggering, swaying of body and/or head, attempts to stand/sit or flaps wings to try and regain balance.	Duration Latency
Loss of posture	Unable to regain/maintain a controlled posture.	Latency
Clonic convulsion	Rapid/vigorous movement of the wings, a new bout was defined as following a pause of at least one	Duration Latency

	second.	
Tonic convulsion	Uncontrolled twitching (visible muscular spasms within the body). A new bout was defined as following a pause of at least one second.	Duration Latency
Slow wing flapping	One short burst or prolonged slow/moderate movement of the wings, occurring without any twitching of the body. A new bout was defined by a pause of one second.	Duration Latency
Leg paddling	Involuntary, usually alternating, leg movements in the air or towards the ground depending on the body position of the bird. Leg paddling can also be determined by an alternating upwards and downwards movement of the body if bird is lying sternal. A new bout was defined by a pause of one second.	Duration Latency
Loss of jaw tone	Bill open for more than 2s without deep breathing and/or neck extension.	Latency
Jump	Explosive upwards movement from a sitting/lying position during ataxia.	Counts
Escape	Rapid locomotor behaviours in an apparently conscious attempt to exit the situation	Counts
Peck	Moving head backwards and forwards in a pecking motion.	Counts
Vocalising	Any audible vocal produced by the focal bird (e.g. alarm call or peeping).	Counts Latency
Motionless Sitting	No discernible body or breathing movements. Legs underneath the body cavity and wings relaxed against body wall.	Latency Duration
Standing	Standing with the body fully or partly lifted off of the ground.	Duration
Lying	Lying once posture is lost and not perceived to be purposefully controlling posture.	Duration
Out of sight	Bird was completely out of view.	Duration

649

650

651 **Table 2** Summary statistics (mean, SE, minimum and maximum) of behavioural total
652 durations of bouts and individual bouts during LAPS and statistical differences (F statistic
653 and P value) dependent of A/S treatment. Values within a row with different superscripts
differ significantly at $p < 0.05$.

Measure	Behaviour	N	Analgesic (A)				Saline (S)				F	P
			Me an	SE	Mi n	Ma x	Me an	SE	Mi n	Ma x		
Total duration (combined bouts) (s)	Ataxia*	8 4	23. 7	1.7	4.4	65. 2	23. 5	1.6	3.7	53. 0	0.0 0	0.87 2
	Leg paddling	6 5	9.1 a	1.2	0.3	33. 1	6.8 b	0.8	1.1	17. 5	4.0 4	0.04 8
	Clonic convulsions	8 4	23. 9	1.7	5.3	65. 2	24. 2	1.6	3.6	55. 7	0.0 3	0.86 6
	Tonic convulsions	6 4	12. 6	4.7	2.9	15 2.9	7.8	0.9	0.1	19. 8	1.8 7	0.17 5
	Slow wing-flapping	5 1	2.7	0.3	0.5	6.8	2.8	0.5	0.2	10. 8	0.2 9	0.59 0
	Sitting	8 4	60. 4	3.7	15. 6	16 8.9	59. 0	2.3	37. 4	13 5.9	0.2 5	0.61 8
	Standing	2 2	16. 0 ^a	4.2	0.9	51. 9	12. 3 ^b	2.9	0.6	20. 6	19. 39	<0.0 01

Individual bout duration (s)	Lying	8 2	76. 4	3.0	23. 4	12 0.2	79. 1	3.4	23. 2	12 9.6	0.1 9	0.66 1
	Open-bill breathing	6 3	11. 0	1.3	3.0	36. 4	10. 8	1.2	1.8	40. 5	0.1 0	0.71 6
	Vigilance	8 3	37. 1 ^a	1.6	9.6	57. 9	30. 5 ^b	2.0	6.5	50. 1	14. 50	<0.0 01
	Leg paddling	6 5	6.5	0.7	0.3	17. 8	4.8	0.6	1.1	17. 5	0.1 5	0.70 0
	Clonic convulsions	8 4	7.8	0.4	3.4	15. 5	8.8	0.7	1.6	23. 5	0.0 7	0.78 9
	Tonic convulsions	6 4	10. 9 ^a	4.8	3.0	15 2.9	6.3 b	0.7	0.1	16. 1	7.5 9	0.00 7
	Slow wing- flapping	5 1	3.6 a	0.4	0.5	6.7	2.1 b	0.2	0.2	6.3	6.0 9	0.01 6
	Sitting	8 4	51. 0	4.1	7.8	16 8.9	53. 2	3.2	18. 6	13 5.9	2.2 3	0.13 9
	Standing	2 2	13. 1 ^a	3.8	0.9	51. 9	7.5 b	3.0	0.6	20. 6	15. 65	<0.0 01
	Lying	8 2	72. 7 ^a	3.9	11. 7	12 0.2	75. 2 ^b	4.2	11. 6	12 9.6	18. 53	<0.0 01
	Open-bill breathing	6 3	6.8 a	0.6	3.0	18. 2	8.2 b	0.7	1.0	18. 1	7.8 5	0.00 6
	Vigilance	8 3	32. 7 ^a	2.2	3.2	57. 9	26. 2 ^b	2.5	1.7	50. 1	5.6 2	0.02 0
	Leg paddling	6 5	1.4	0.1	1.0	4.0	1.2	0.1	1.0	2.0	2.5 5	0.11 4
	Clonic convulsions	8 4	1.1	0.1	1.0	2.0	1.1	0.1	1.0	2.0	0.0 2	0.89 0
	Tonic convulsions	6 4	1.1 a	0.1	1.0	2.0	1.0 b	0.0	1.0	1.0	6.1 0	0.01 6
	Slow wing- flapping	5 1	1.3	0.1	1.0	2.0	1.5	0.1	1.0	4.0	1.5 0	0.22 5
Frequency of bouts	Sitting	8 4	3.1	0.2	1.0	7.0	3.1	0.2	1.0	7.0	0.0 2	0.88 1
	Standing	2 2	1.4	0.1	1.0	4.0	1.3	0.1	1.0	3.0	0.8 1	0.37 0
	Lying	8 2	1.2 a	0.1	1.0	3.0	1.3 b	0.1	1.0	3.0	4.4 1	0.03 9
	Open-bill breathing	6 3	1.4	0.1	1.0	3.0	1.6	0.2	1.0	5.0	2.9 5	0.09 0
	Vigilance	8 3	1.6 a	0.1	1.0	3.0	1.3 b	0.1	1.0	3.0	5.6 0	0.02 0

654 * No individual bout duration for ataxia, as ataxia only occurred in one single bout, therefore
655 descriptive statistics listed under total duration.

656

657 **Table 3** Summary statistics (mean, SE, minimum and maximum) of behavioural latencies
658 during LAPS and statistical differences (F statistic and P value) dependent of treatment.

659 Values within a row with different superscripts differ significantly at $P<0.05$.

Latency to behaviours (s)	N	Analgesic (A)				Saline (S)				F	P
		Mean	SE	Min	Max	Mean	SE	Min	Max		

Ataxia	84	44.5 ^a	1.3	21.4	65.2	41.8 ^b	1.0	28.4	53.0	4.76	0.032
Loss of jaw tone	65	75.1	1.7	61.6	105.1	72.5	1.9	42.1	98.0	0.80	0.375
Motionless	84	144.7	2.5	105.9	180.3	144	2.9	86.1	185.3	0.03	0.870
Leg paddling	65	98.9	3.4	63.4	143.0	92.6	4.3	43.8	155.0	3.93	0.051
Clonic convulsions	85	76.1	2.6	57.2	137.4	78.2	3.3	44.8	147.6	0.49	0.486
Tonic convulsions	64	121.7	3.3	88.5	160.5	119.1	3.5	71.3	163.8	0.23	0.630
Slow wing-flapping	51	64.7	2.5	42.4	91.6	60.8	2.5	6.1	87.5	0.49	0.145
Loss of posture	83	59.6	1.1	46.8	73.8	57.2	0.9	44.0	70.0	3.09	0.083
Mandibulation	41	25.0 ^a	3.2	3.6	72.4	18.8 ^b	3.7	2.8	62.3	7.40	0.008
Head shake	51	42.1	5.4	7.3	107.1	40.5	3.4	6.5	72.4	0.03	0.869
Open-bill breathing	67	64.2	1.7	43.3	84.0	65.3	1.6	51.9	92.1	0.58	0.448
Deep inhalation	48	101.8 ^a	5.1	55.2	141.4	82.5 ^b	7.1	4.7	126.1	15.62	0.001
Vigilance	83	2.3	0.5	0.3	17.8	2.6	0.7	0.1	20.1	0.70	0.406
Vocalisations*	4	62.7	7.1	14.6	94.5	103.9	0.0	103.9	103.9	-	-

660 * No modelling possible for latencies for vocalisations (too few observations (N=4)).

661

662 **Table 4** Summary statistics (mean, SE, minimum and maximum) of behavioural total counts

663 during LAPS and statistical differences (F statistic and P value) dependent of A/S treatment.

664 Values within a row with different superscripts differ significantly at $p < 0.05$.

Behaviour ¹	N ²	Analgesic (A) ³				Saline (S) ³				F statistic	P value
		Mean	SE	Min.	Max.	Mean	SE	Min.	Max.		
Jump	83	0.5 ^a	0.1	0.0	2.0	1.0 ^b	0.1	0.0	3.0	10.93	0.001
Mandibulation	44	2.1 ^a	0.4	1.0	12.0	2.7 ^b	0.5	1.0	8.0	32.33	<0.001
Vocalisation	4	2.0	1.0	1.0	4.0	1.0	0.0	1.0	1.0	-	-
Head shake	51	2.4 ^a	0.3	1.0	7.0	1.7 ^b	0.2	1.0	5.0	8.69	0.004
Deep inhalation	48	2.0	0.3	1.0	8.0	1.9	0.2	1.0	5.0	1.39	0.241

665

666 **Table 5** Frequency table demonstrating the proportions of birds which were observed

667 performing (yes), or were not recorded (missing data) due to being out of sight, total number

668 of birds (total) and the percentage of birds which performed the behaviour (%).

Behaviour	Analgesic				Saline				
	Yes	Missing data	Total	%	Yes	Missing data	Total	%	
Standing	14		2	43	33	8	3	40	20
Leg paddling	32		2	43	74	33	3	42	79
Clonic convulsions	43		2	43	100	42	3	42	100
Tonic convulsions	31		2	43	72	33	3	42	79
Slow-wing flapping	20		2	43	47	31	3	42	74
Notice	42		2	43	98	41	4	41	100
Mandibulation	23		2	43	53	18	4	41	44
Head shaking	23		2	43	53	28	4	41	68
Open-bill breathing	33		2	43	77	34	4	41	83

Deep inhalation	22	3	42	52	26	4	41	63
Jump	20	2	43	47	27	5	40	68
Vocals	3	2	43	7	1	4	41	2
Sitting	43	2	43	100	41	4	41	100
Lying	42	3	42	100	41	4	41	100
Motionless	43	2	43	100	41	4	41	100
loss of jaw tone	30	15	30	100	35	10	35	100
ataxia	43	2	43	100	41	4	31	100
LOP	43	2	43	100	40	5	40	100
Escape	42	3	42	100	42	3	42	100
Peck	42	3	42	100	42	3	42	100
Panting	42	3	42	100	42	3	42	100

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