

Original citation:

Rand, D. G., Tomlin, D. A., Bear, A., Ludvig, Elliot Andrew and Cohen, J. D.. (2017) Cyclical population dynamics of automatic versus controlled processing. *Psychological Review*, 124 (5). pp. 626-642.

Permanent WRAP URL:

<http://wrap.warwick.ac.uk/88514>

Copyright and reuse:

The Warwick Research Archive Portal (WRAP) makes this work by researchers of the University of Warwick available open access under the following conditions. Copyright © and all moral rights to the version of the paper presented here belong to the individual author(s) and/or other copyright owners. To the extent reasonable and practicable the material made available in WRAP has been checked for eligibility before being made available.

Copies of full items can be used for personal research or study, educational, or not-for-profit purposes without prior permission or charge. Provided that the authors, title and full bibliographic details are credited, a hyperlink and/or URL is given for the original metadata page and the content is not changed in any way.

Publisher's statement:

© 2017, American Psychological Association. This paper is not the copy of record and may not exactly replicate the final, authoritative version of the article. Please do not copy or cite without authors permission. The final article will be available, upon publication, via its DOI: <http://dx.doi.org/10.1037/rev0000079>

A note on versions:

The version presented here may differ from the published version or, version of record, if you wish to cite this item you are advised to consult the publisher's version. Please see the 'permanent WRAP URL' above for details on accessing the published version and note that access may require a subscription.

For more information, please contact the WRAP Team at: wrap@warwick.ac.uk

Cyclical population dynamics of automatic versus controlled processing: An evolutionary pendulum

David G. Rand^{1,2,3*}, Damon Tomlin⁴, Adam Bear¹, Elliot A. Ludvig^{5,6}, Jonathan D. Cohen^{6,7}

¹Department of Psychology, ²Department of Economics, ³School of Management, Yale University, New Haven, CT 06520 USA, ⁴Department of Psychology, University of Colorado, Colorado Springs Colorado Springs, CO 80918 USA, ⁵Department of Psychology, University of Warwick, Coventry, CV4 7AL, UK, ⁶Princeton Neuroscience Institute, ⁷Department of Psychology, Princeton, NJ 08540 USA.

*Corresponding author: David.Rand@Yale.edu

Forthcoming in Psychological Review

Psychologists, neuroscientists, and economists often conceptualize decisions as arising from processes that lie along a continuum from automatic (i.e., “hardwired” or over-learned, but relatively inflexible) to controlled (less efficient and effortful, but more flexible). Control is central to human cognition, and plays a key role in our ability to modify the world to suit our needs. Given its advantages, reliance on controlled processing may seem predestined to increase within the population over time. Here, we examine whether this is so by introducing an evolutionary game theoretic model of agents that vary in their use of automatic versus controlled processes, and in which cognitive processing modifies the environment in which the agents interact. We find that, under a wide range of parameters and model assumptions, cycles emerge in which the prevalence of each type of processing in the population oscillates between two extremes. Rather than inexorably increasing, the emergence of control often creates conditions that lead to its own demise by allowing automaticity to also flourish, thereby undermining the progress made by the initial emergence of controlled processing. We speculate that this observation may have relevance for understanding similar cycles across human history, and may lend insight into some of the circumstances and challenges currently faced by our species.

Keywords: cognitive processing; cognitive control; automaticity; evolution; game theory; mathematical modeling; cyclicity

1. Introduction

Cognitive processes have long been conceptualized as lying along a continuum from automatic to controlled (Allport, 1954; Cohen, Dunbar, & McClelland, 1990; Kahneman & Treisman, 1984; Posner & Snyder, 1975; Schneider & Shiffrin, 1977; Shiffrin & Schneider, 1977). More automatic processes are more “hardwired” or over-learned, which leads to greater efficiency (e.g. greater speed and less effort) at the cost of reduced flexibility and less ability to adjust to the details of the current situation. More controlled processes, conversely, involve more deliberation and thought – requiring greater investment of time and effort, but allowing a greater degree of flexibility and sensitivity to specifics and/or circumstances of the particular decision. This distinction remains a fundamental tenet of cognitive psychology that has continued to be a focus of intensive research (Botvinick & Cohen, 2014; Evans & Stanovich, 2013), influencing thinking in the behavioral and neurobiological sciences more generally (e.g. Cushman (2013); Fudenberg and Levine (2006); Hare, Camerer, and Rangel (2009); (2003); Kahneman (2011); McClure, Laibson, Loewenstein, and Cohen (2004); Miller and Cohen (2001); Rand, Greene, and Nowak (2012); Stanovich and West (2000)).

The remarkable capacity for controlled processing is considered one of the distinguishing characteristics of human cognition. Often termed “cognitive control”, it is critical to every faculty that is considered to be distinctively human, including reasoning, problem solving, planning, and symbolic language, and the role that these play in the formation and function of societies. Given the virtues of controlled processing, and the externalities to which it gives rise (e.g. advanced technologies in virtually in every domain of human function, including agriculture, housing, transportation, communication and large-scale economics), one might imagine that the prevalence of cognitive control among agents in a population would be directly (positively) associated with the fitness of that population. If so, two corollaries would seem to follow: the spread of controlled processing within a population should be inexorable, and that spread should be associated with the inexorable success of the population. Here, we challenge these conclusions on theoretical grounds.

The work we present is inspired in part by observations of human history. Anthropological evidence suggests that many cultures that have developed advanced technologies — presumably evidence of the emergence of cognitive control within at least some proportion of the population — have ultimately met with demise (e.g. Diamond (2005); Richerson, Boyd, and Bettinger (2009); Schwindt et al. (2016); Turner and Sabloff (2012)). It is possible that this demise could have been induced by fully exogenous factors beyond the influence of the population (e.g. environmental shocks). It is also possible, however, that at least in some cases this demise could have arisen from a failure of the population as a whole to act with foresight (i.e., in a manner that controlled processing would seem to make possible) – for example, using new technologies to consume resources in an unsustainable way, leading to exhaustion of the environment or increased vulnerability to environmental shocks.

Although the empirical evidence is at best suggestive, we believe that this possibility of controlled-based success within a population leading to lack-of-control-based failure is intriguing, and warrants formal exploration. In part, this is because it raises a question that has potentially profound consequences for the present circumstances of our species: why do populations comprised of agents with the rational faculties necessary to produce sophisticated technologies sometimes fail to act as rational stewards of such technologies? There are of course many possible responses to this question. One is that modern circumstances themselves refute the assertion: we have not met with, nor are we at risk of, such failure – the risks are either contrived, or are ones

that new technological innovations will resolve. This is a possibility. However, the cost of at least considering the risks of failure seems small, while the danger of failing to accurately identify and respond to that risk seems infinitely greater.

It is in this spirit that we consider potentially fundamental dynamics that may occur as the capacity for cognitive control emerges in a population, spreads, and affects the environment — dynamics that we show can lead to cycles of growth followed by dramatic collapse as easily as they can lead to stability. Describing these dynamics in formal terms may, at the least, lay the foundation for exploring their relevance to the complex circumstances in which the human species presently finds itself. At best, they may help identify factors that could be leveraged to mitigate potential risks, and increase the likelihood of a stable and promising future.

Specifically, we explore the possibility that the increasing prevalence of controlled processing in a population (within and/or across its individuals), and the impact this has on the environment, can lead to initial improvements in the fitness of the population; but that, under a range of circumstances, this growth can sow the seeds of its own demise. We examine several scenarios that can produce this effect – and the reasons for it – using a formal theoretical approach that applies mathematical methods from non-linear dynamical systems analysis and population biology together with numerical methods and computational simulations.

The models we present here implement the distinction between controlled and automatic processing in simple, but principled forms. While they certainly do not capture the full complexity of cognitive processes of which humans are capable, nor the underlying continuity of the spectrum from controlled to automatic processing (e.g., Cohen et al. (1990); Kahneman and Treisman (1984)), the models we present do capture critical distinctions that underlie the dimension of controlled vs. automatic processing. Furthermore, judicious simplification has allowed us to build population models of agents that incorporate this critical dimension of processing. This, in turn, has allowed us to pursue some of the first efforts to incorporate this fundamental construct of automatic versus controlled processing from cognitive psychology into population models, and use these to ask questions about the emergence, impact and evolution of psychological processes at the population level.

2. Prior theoretical work

While formal models have provided insight into the mechanisms underlying automatic and controlled processes and the impact of these processes on individual behavior (Cohen et al., 1990; Miller & Cohen, 2001), these models have not addressed their interaction at the population level over the course of evolutionary timescales (whether cultural or genetic). Conversely, population models have largely ignored the dimension of controlled vs. automatic processing, instead just focusing on the evolution of agents' behaviors rather than the underlying cognitive processes that drive that behavior.

Despite this overall lack of consideration of evolution along the dimension of automatic versus controlled cognition, some work has begun to explore the population dynamics of factors that have much in common with this dimension. For example, Wolf, Doorn, and Weissing (2008) consider the population dynamics that arise from the competition between agents with “unresponsive personalities” that are inflexible in the face of a fluctuating environment (akin to reliance on automatic processing), and agents with “responsive personalities” that are able to change flexibility but must pay a cost to do so (akin to reliance on controlled processing). Their simulated agents face an environment that alternates between two possible states and each agent

must choose between two actions at any given time, with each action optimally matched to one of the two environmental states. Based on the assumption that the benefit of choosing the correct action is decreasing in the number of other agents who also choose that action (i.e. that the benefits of “responsiveness” are negatively frequency dependent), they show that stable coexistence of responsive and unresponsive agents is a robust feature of the resulting population dynamics. Notably, they do not observe any cyclical dynamics in the frequency of responsive versus unresponsive agents.

Another example is the work of Bear and Rand (2016) and Bear, Kagan, and Rand (2017), who examine automatic and controlled processing in the context of the evolution of cooperation. Their agents play Prisoner’s Dilemma games that sometimes are one-shot (such that defection is always payoff-maximizing) and at other times involve future consequences (such that cooperation is payoff-maximizing if the other player also cooperates). Thus, their agents face a varying *social* environment. Agents can either use automatic processing, inflexibly choosing to cooperate or defect without conditioning on game type, or they can pay a cost to use controlled processing and base their action on game type. They find that evolution leads to a population in which automatic and controlled processing stably coexist *within* each individual if games with future consequences are sufficiently likely. That is, the equilibrium strategy is to (i) cooperate when using automatic processing, but (ii) sometimes exercise control (in trials for which the cost of control is sufficiently small) and switch to defection if it turns out the game is 1-shot. Like Wolf et al. (2008), they do not observe cyclical dynamics in the extent of automatic versus controlled processing.

While these models have begun to address the population dynamics of automatic versus controlled processing, they do not present a general framework for studying this issue. Rather, each considers one specific application of the distinction between these types of processing. More importantly, these models omit a key feature of the natural world suggested above: not only can the environment (physical and/or social) determine the adaptive advantage of a particular cognitive style, but the prevalence of that cognitive style within the population may, in turn, impact the environment; that is, there can be feedback between environment and cognition (Cohen, 2005).

The interaction between the *behavior* of agents in a population and the environment has been explored previously in evolutionary models (e.g., *niche construction*; Bergmüller and Taborsky (2010); Kendal, Tehrani, and Odling-Smee (2011); Laland, Odling-Smee, and Feldman (1999)) – but not, to our knowledge, the interaction between agents’ *cognition* and the environment. Our group has recently begun an examination of the influence that such cognition-environment feedback has on the evolutionary dynamics of the balance between controlled and automatic processing in the context of intertemporal choice (Tomlin, Rand, Ludvig, & Cohen, 2015; Toupo, Strogatz, Cohen, & Rand, 2015).

In this work, agents foraging for goods could engage in either automatic or controlled processing as they chose how much of those goods to consume and competed with their fellow agents for access to those goods. While automatic processing led to the immediate consumption of goods (and maximal instantaneous individual fitness), control – and the associated capacity for forethought and planning – allowed agents to make better use of the resources they acquired by consuming them in an optimal way (leading to higher long-term fitness). However, because control required time and effort, automatic processing led agents to be more likely to acquire goods during competitions. Furthermore, the intensity of competition and the abundance of resources (and therefore the importance of planning for the future) were allowed to vary based

on the extent of controlled processing in the population. This feedback gave rise to cyclic dynamics under a robust set of parameters using both agent-based simulations (Tomlin et al., 2015) and differential equation modeling (Toupo et al., 2015), with populations alternating between high and low prevalence of controlled processing. However, like previous work, these models did not present a general analysis of the balance between automatic and controlled processing, but instead made a number of domain-specific assumptions tailored to the details of intertemporal decision-making in a particular context.

3. The present work

In this paper, we present a set of models that capture key features of automatic versus controlled processing, and their interactions with the environment, in a way that is fully general and not tied to any particular implementation. We begin with the simplest possible formulation (Minimal Model, Section 4), in which controlled processing generates higher fitness than automatic processing, but also carries a cost (which can vary based on the fraction of the population engaging in automatic processing). The Minimal Model consists of two differential equations respectively characterizing the population (fraction of agents engaging in controlled vs. automatic processing) and the environment (extent to which controlling processing outperforms automatic processing) that are coupled with some lag. We examine the dynamics of this model in detail. We then demonstrate the robustness of the conclusions from this Minimal Model by considering a series of additional models that add complexity in varying ways, and show that all of these extensions also exhibit cyclical dynamics (Section 5).

4. Minimal model

4.1 *Automatic versus controlled processing*

The minimal model of automatic versus controlled processing focuses on the trade-off between efficiency and flexibility of processing. Specifically, we assume that automatic processing supports efficient and typically effective behavior, achieved by encoding “pre-compiled” responses that are optimally adapted to a particular set of circumstances, but are slow to develop or change. In contrast, we assume controlled processing supports a more flexible range of responses that can adjust more quickly to changes in contingencies and thereby generate advantageous responses under a wider range of conditions, but that this comes at a cost (as discussed further below). This distinction bears a close relationship to the distinction between compiled (efficient but rigid) and interpreted (slower, more demanding, but more flexible) procedures in computer science. In an evolutionary context, the dimension aligns with different time scales of adaptation — automatic processing over longer (developmental, and/or traditional evolutionary) time scales, and controlled over much shorter (circumstance-by-circumstance).¹

We capture the advantage of flexibility conferred by control by stipulating that controlled processing results in a payoff from decision-making normalized to value 1, and automatic processing results in a discounted payoff of $1 - p$ (with $0 < p < 1$). The flexibility of controlled

¹ We should emphasize that automatic and controlled processing are not necessarily always in conflict: both modes of processing can arrive at the same response. In the work reported here, however, we focus on competition between these two extremes of processing, as there is mounting evidence that they may indeed compete in determining responses (e.g., Evans and Stanovich (2013); Greene, Nystrom, Engell, Darley, and Cohen (2004); McClure et al. (2004)) and we seek to understand the influence that such a trade-off has at the population level.

processing, however, comes at a cost (e.g., Daw, O'Doherty, Dayan, Seymour, & Dolan, 2006; Gershman, Horvitz, & Tenenbaum, 2015; Griffiths, Lieder, & Goodman, 2015; Keramati, Dezfouli, & Piray, 2011; Posner & Snyder, 1975; Shenhav, Botvinick, & Cohen, 2013): it requires time and effort to attend to the relevant information and compute the optimal course of action that, at the least, imposes an opportunity cost with regard to other potentially advantageous behaviors (Kurzban, Duckworth, Kable, & Myers, 2013). To model this, we impose a fixed cost c upon the use of controlled processing.

For simplicity, in the Minimal Model we consider the evolutionary dynamics of a population of agents that act exclusively in either a controlled or automatic manner. Specifically, let x be the fraction of the population that is controlled ($0 \leq x \leq 1$), and therefore $1 - x$ be the fraction that is automatic. In the absence of cognition-environment feedback, the fitness of controlled agents is $f_c = 1 - c$ (the decision-making payoff of 1 minus the cost of control, c), and the fitness of automatic agents is $f_a = 1 - p$ (the inferior decision-making payoff of $1 - p$, but with no additional cost).

Thus, there are two environmental parameters that describe the nature of the world in which the agents operate: p , capturing the factors that favor the value of flexible controlled processing relative to inflexible automatic processing (e.g., how stable the environment is, how plentiful resources are, etc), and c , capturing how costly it is to exert cognitive control.

4.2 Evolutionary dynamics

Within this simple framework, we allow the frequency of controlled agents x in the population to evolve according to the replicator equation (Hofbauer & Sigmund, 1998). The replicator equation implements a fairly general population dynamic, whereby the strategy with the higher payoff becomes more common in the population over time. This dynamic can equally well describe evolution that is genetic or cultural (e.g., in which social learning leads people to propagate successful behaviors observed in others).

For our system, the replicator equation is specified by

$$\dot{x} = x(f_c - \phi),$$

where ϕ is the average fitness of the population,

$$\phi = x f_c + (1 - x) f_a.$$

Our subsequent analyses will use ϕ as a proxy for population size, since the replicator equation does not directly describe the size of the population (only the fraction of the population that is automatic versus controlled). Furthermore, in Section 5.5 we consider an agent based model in which the population size does vary, and show equivalent results.

4.3 Cognition-environment feedback

To incorporate cognition-environment feedback, we allow the prevalence of automatic versus controlled processing in the population x to influence both p and c ; that is, both p and c vary as a function of x .

4.3.1 Feedback of x on p

Our characterization of the effect of x on p is used to capture the influence that externalities of controlled processing can have on the environment (and therefore on the relative advantage of the flexibility allowed by control). For example, technological advances are an external consequence of the proliferation of controlled processing in the human population, and this has had clear consequences on our environment: abundance of food and shelter, fluidity and scope of social interaction, etc. Here, we begin by considering the simplest case in which such externalities close the gap between automatic and controlled processing: by making resources more plentiful and thus stabilizing the environment, the innovations created by controlled processing reduce the importance of being able to flexibly adapt and plan for the future. Automatic processing, conversely, can undermine many of these benefits (e.g., due to overconsumption, ill-advised, over-use and/or inefficient use of resources, etc.).

To capture these influences, we link the value of p inversely to the fraction of controlled agents in the population x : as control increases in the population, the advantage of being controlled decreases. Because it takes time both for the externalities associated with controlled agents to develop and for any deleterious effects of automatic processing to undermine the advantages of these externalities, we incorporate lag into the feedback between p and x . Specifically, we implement the additional differential equation

$$\dot{p} = \frac{((1 - x) - p)}{\tau_p}$$

such that p always moves in direct opposition to x (i.e. towards the current value of $1 - x$), but with some time lag parameterized by τ_p .

4.3.2 Feedback of x on c

Feedback of x on c is used to capture the influence that the prevalence of automatic processing can have on the cost of cognitive control. We focus primarily on the case in which the presence of more automatic agents reduces the relative advantage of controlled agents. For example, automatic agents may respond more quickly or efficiently (outcompeting controlled agents for access to resources) and/or consume resources without regard to future need, thereby diminishing resources upon which controlled agents had planned to rely and, as a result, increasing the relative cost of being controlled. At the same time, the costs of control might be decreased by a greater preponderance of controlled agents in the population. For example, this may give controlled agents a greater opportunity to form coalitions or design institutions that facilitate or reward the use of control, or selectively sanction automatic agents (which reduces the relative cost of being controlled).²

The prevalence of control in the population, x , is likely to impact the cost of control c on a much faster time scale than the rate at which the externalities of control impact p (the relative advantage it has over automatic processing, as described above). This is because the former

² Note that these effects all involve costs that weigh more heavily on controlled or automatic agents (i.e. affect the relative fitness of control). Effects that reduce the fitness of both types of agents equally do not alter the model's dynamics, because the replicator equation is driven by how each strategy's fitness compares to the average fitness (and so adding or subtracting a constant from all payoffs has no effect).

typically emerges from direct interactions between individuals, or potentially from influences on the environment that occur relatively quickly (e.g., depletion through consumption) compared to environmental influences that affect p (e.g., technological development and growth). Therefore, for simplicity, and in keeping with these assumptions, we implement the feedback of x on c as instantaneous (in the Two-lag Model we consider the more complex case where feedback of x on c is lagged).

Specifically, we modify the fitness of controlled agents f_c such that an additional cost $w(1 - x)$ is added, where w is the intensity of the impact of the population state on the cost of control, yielding $f_c = 1 - (c + w(1 - x))$.

Although we focus on the case in which the presence of automatic agents increases the cost of control, this formulation can equally well describe the opposite case in which the presence of automatic agents *decreases* the cost of control. Such a situation might result from technologies or behaviors that, when employed by controlled agents, leverage the short-sighted behavior of automatic agents for the personal gain of the controlled agents (for example, in the domain of intertemporal choice, the design and sale of products providing instant gratification, but long-term costs – products that would primarily appeal to agents engaging in automatic processing). These situations correspond to cases in which $w < 0$, which leads to the fitness of controls f_c increasing with the frequency of automatic agents $1 - x$.

4.4 Results

The Minimal Model is specified by the following system of two ODEs:

$$\dot{x} = x(f_c - \phi) = x \left(\left(1 - (c + w(1 - x)) \right) - \left(x \left(1 - (c + w(1 - x)) \right) + (1 - x)(1 - p) \right) \right)$$

$$\dot{p} = \frac{((1 - x) - p)}{\tau_p}$$

with $c > 0$ and $\tau_p > 0$.

Analyzing this system shows the existence of up to three fixed points.³ There are always fixed points at $[x = 0, p = 1]$ (exclusively automatic agents in an inhospitable world) and $[x = 1, p = 0]$ (exclusively controlled agents in a hospitable world). When $c + w < 1$, there is a third (interior) fixed point at $\left[x = \frac{1-c-w}{1-w}, p = \frac{c}{1-w} \right]$ where automatic and controlled agents coexist.

These fixed points exhibit different stability characteristics. First, the fixed point $[x = 1, p = 0]$ is never stable given that $c > 0$. That is, in a maximally hospitable world, automatic processing is just as successful as controlled processing because when $p = 0$ there is no advantage of control. Thus, as long as there is any cost to control ($c > 0$), automatics will outperform controls.

When $c + w > 1$, the fixed point $[x = 0, p = 1]$ is stable, and the interior fixed point is not relevant (i.e. lies outside the interval $[0,1]$). In this case, the cost of control in an entirely

³ Fixed points are $[x, p]$ pairs at which $\dot{x} = \dot{p} = 0$, such that when at a fixed point the system will remain there. A fixed point is *stable* if the system returns to the fixed point when perturbed away, and *unstable* if even a tiny perturbation causes the system to leave the fixed point. Thus, it is the identification of stable fixed points that is our goal for understanding potential evolutionary outcomes.

automatic population, $c + w$, is larger than the relative advantage of controlled over automatic processing in a maximally inhospitable world, 1. Under these conditions, controlled agents are at an insurmountable disadvantage and unable to proliferate.

When $c + w < 1$ (that is, when the cost of control in an entirely automatic population is less than control's advantage in a maximally inhospitable world), the fixed point at $[x = 0, p = 1]$ becomes unstable and the interior fixed point becomes relevant (i.e. enters the interval $[0,1]$). Everywhere in this region, we observe coexistence between automatic and controlled processing. Thus, coexistence is a robust feature of this model, as it has been in other models that did not involve feedback between agents' cognition and the environment (Bear et al., 2017; Bear & Rand, 2016; Wolf et al., 2008). As long as the costs of control are not so large as to prevent controlled processing from emerging in the first place (i.e. to prevent controlled agents from invading the "state of nature" of automatic agents in an inhospitable world), both automatic and controlled processing will persist.

Interestingly, however, the dynamics of this coexistence depend on how quickly the prevalence of control in the population x diminishes the relative advantage of controlled processing p (as captured by the lag parameter τ_p). There is a critical value of τ_p ,

$$\tau_p^* = \frac{(1 - w)^2}{cw(1 - c - w)}$$

around which the dynamics change.

When $\tau_p < \tau_p^*$ such that change occurs sufficiently quickly, the interior fixed point is stable and the population settles there. At $\tau_p = \tau_p^*$, however, the interior fixed point becomes unstable and a limit cycle is born (i.e. a Hopf bifurcation occurs). Thus, when $\tau_p > \tau_p^*$ (i.e., feedback from x on p is sufficiently lagged, the proliferation of control occurs more quickly than the rate at which this diminishes its advantage), and we observe persistent cycles in the relative balance of automatic and controlled processing – unlike prior models lacking cognition-environment feedback. A representative example of these cyclical dynamics is shown in Figure 1.

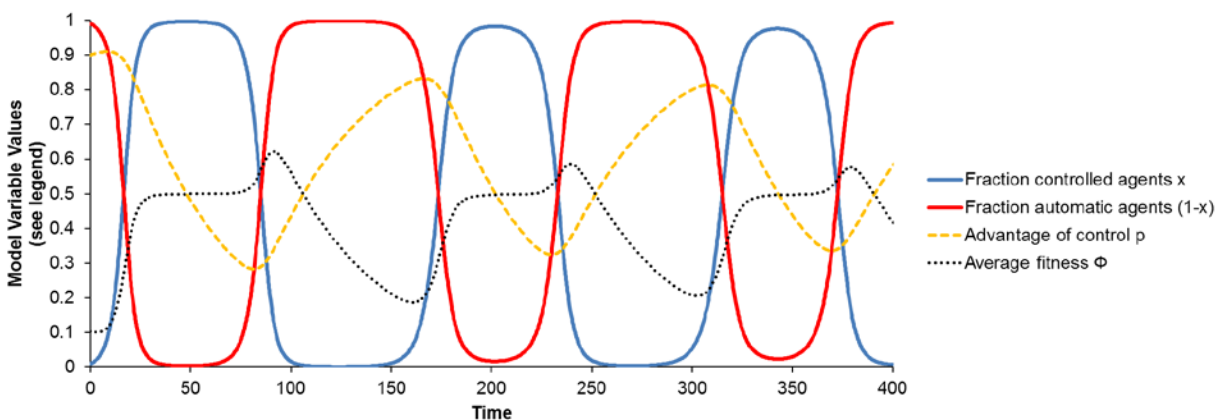


Figure 1. Persistent cycles of automaticity and control emerge from the Minimal Model. Shown are the values of x , $1 - x$, p , and ϕ as a function of time. The results were generated using numerical integration of the Minimal Model ODEs using $w = 0.15$, $c = 0.5$, and $\tau_p = 50$, and initial conditions $x = 0.01$, $p = 0.9$.

The cycles shown in Figure 1 exhibit several distinct phases:

1. The population begins with dominance by automatic agents (i.e., x is small), in an inhospitable environment (i.e., p is large). This population is small in size (i.e. has low average fitness).
2. Controlled agents outperform automatic agents because of the advantage controlled processing has over processing in inhospitable environments. Thus, x increases and correspondingly, subject to some lag, p decreases. The population's size increases as the controlled agents outperform the automatic agents and average fitness increases.
3. With time, the externalities of control associated with the prevalence of controlled agents in the population (i.e. large x) lead to a progressively more hospitable environment, and p continues to decrease (with the associated increase in the fitness that would be achieved by an automatic agent).
4. Once p becomes sufficiently small, automatic processing becomes successful enough that the cost of control outweighs the relative benefit of controlled processing. Thus automatic agents begin to outperform controlled agents and proliferate, and automatic agents come to dominate the population (x decreases).
5. Soon, however, the decreasing level of control in the population (small x) causes p to increase. This causes the fitness of the predominantly automatic population to fall, leading to a population crash.
6. This returns the system to its initial point, with a small population of automatic agents in an inhospitable world, and the cycle begins anew.⁴

Figure 2 illustrates the conditions necessary for such limit cycles to occur – in particular, the minimum amount of feedback lag required to induce a limit cycle, τ_p^* . It is easiest to get limit cycles (i.e. the least amount of lag is required) when the fixed cost of control, c , is small and the population state's influence over the cost of control, w , is large. Furthermore, the $\tau_p > \tau_p^*$ condition indicates that $w, c > 0$ is required for limit cycles (whereas only $c > 0$ is required for stable coexistence). This shows that in the Minimal Model, the prevalence of automatic processing in the population must negatively impact the cost of control ($w > 0$) in order to generate cycles – no impact ($w = 0$) or a positive impact ($w < 0$) can lead to coexistence but not to cycles (although as we will see below, this particular result is not totally general: it is possible for cycles to arise with $w < 0$ using the Threshold Model's alternative formulation of cognition-environment feedback).

⁴ It is important to note that when using the replicator equation, the fraction of controlled agents x can become arbitrarily small without actually reaching zero. Therefore, after the environment destabilizes and the population crashes, control is always able to re-invade. In reality, however, populations are finite and thus actual extinction may occur at the end of one of the downward spirals (although mutation and migration may also reintroduce control into an entirely automatic finite population, seeding a new cycle).

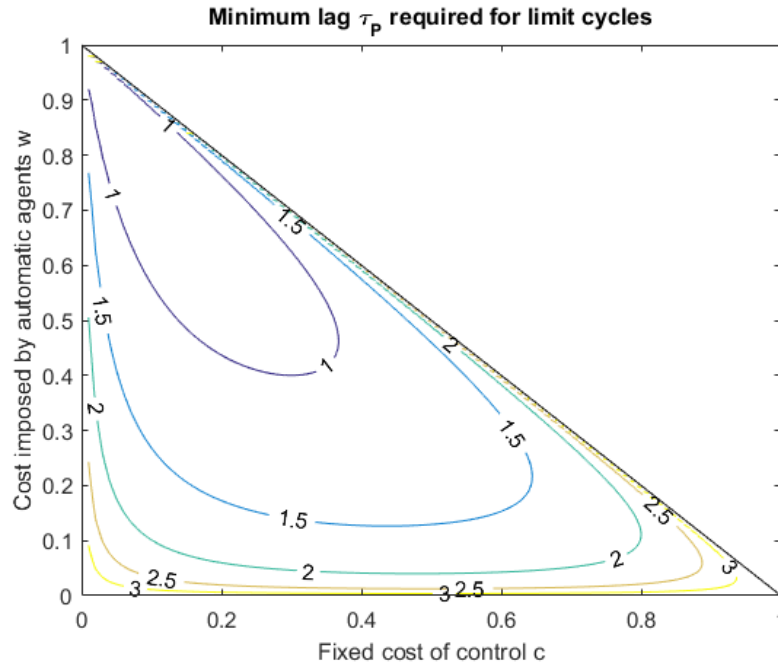


Figure 2. The extent to which there must be lag in the impact of the population composition x on the advantage of control p , parameterized by τ_p , in order to observe limit cycles varies depending on both the fixed cost of control c and the cost imposed on control by automatic agents w . Cycles always emerge with sufficient lag (i.e. if τ_p is sufficiently large), provided that $c, w > 0$. Shown is a contour plot of $\frac{(1-w)^2}{cw(1-c-w)}$, the minimum τ_p required for limit cycles, with \log_{10} -transformed values indicated along contour lines (up to 10^3). Recall that $c < (1 - w)$ is required for there to be an interior fixed point, and therefore no data exist in the upper right half of the figure.

Why must there be sufficient lag in the influence of x on p for cycles to emerge? The answer involves hysteresis: The lag creates inertia in p , which prevents the population from settling on the interior equilibrium. When automatic agents are initially common and the environment is inhospitable (and automatic processing consequently performs poorly), controlled agents begin to proliferate. If the relative advantage of control p diminishes rapidly enough, the population reaches equilibrium (i.e. reaches a state in which automatic and controlled processing have the same fitness). But if there is sufficient lag, the relative advantage of controlled processing remains relatively high as control proliferates, allowing the frequency of control to *exceed* the value it would occupy in the interior equilibrium. Once the advantage of controlled processing finally falls far enough, the system swings back in the opposite direction: automatics proliferate and enjoy a period of success, allowing the level of control to drop below that of the interior fixed point, thus reinitiating the cycle.

In sum, we find that not only is coexistence between automatic and controlled agents a robust feature of this model, but so is cyclicity. As long as there is cognition-environment feedback, with a sufficient lag in the impact of that effect on the relative advantage of controlled processing, and the cost of control is not too large, persistent cycles emerge: the population alternates between periods of dominance by automatic and controlled processing, and the population fitness (and thus size) fluctuates accordingly. It seems reasonable to imagine that such

lags may be characteristic of real-world systems; that is, the pace at which the negative secondary consequence of newly developed technologies accrue (e.g., bacterial resistance, or environmental damage from resource use) typically lags behind the initial positive impact of those technologies (i.e., protection from infection, or energy availability).

5. Robustness across model specifications

It is reasonable to ask whether the results observed for the Minimal Model are specific to the simplicity and/or specific assumptions of that model. To address this, we consider a series of related but more complex models that modify the Minimal Model in a number of different ways, and show that all of these produce results that are qualitatively equivalent to those of the Minimal Model.

The first of these is the Two-lag Model (Section 5.1), which addresses the possibility that lag exists not only in the effects of control on the environment, but also in the effects of automaticity on the cost of control. Although the impact of automatic agents on the cost of control is likely to occur relatively quickly, because it emerges from direct interactions between individuals (e.g., competition) or short-term influences on the environment (e.g., consumption), it cannot literally be instantaneous (as assumed in the Minimal Model). The Two-lag Model assesses the impact of incorporating this extra lag by adding a third differential equation to the Minimal Model characterizing the extent to which automatic agents directly impact the fitness of controlled agents (also coupled to the population state with a lag).

The second extension is the Consumption Model (Section 5.2), which considers the possibility that automatic agents impact the cost of control via their consumption (rather than just their presence): While some forms of impact on the cost of control – such as competition to acquire resources – likely depend on the *number* of other agents (prevalence), other forms – such as the shortsighted exploitation of resources by automatic agents that controlled agents had been expecting to be available in the future – depends on the total amount consumed by automatic agents (i.e. the product of the number of automatic agents and the amount each of those agents consume). To examine such interactions, the Consumption Model alters the Minimal Model’s implementation of how automatic agents influence the cost of control, such that this influence is weighted by the fitness (as a proxy for consumption behavior) of the automatic agents.

The third is the Threshold Model (Section 5.3), which considers the robustness of the findings of the earlier models to how, precisely, the cognitive-environment feedback is implemented. While the Minimal Model considers gradual changes in the environment based on the population makeup, it is also possible that feedback occurs via a non-linear “tipping point,” such that the environment swings from improving to degrading once the level of control drops below a critical level. To examine such a scenario, the Threshold Model changes the coupling between population and environment, replacing the graduated dynamic of the previous models (in which the environment tracked the population state in a continuous way) with a discrete threshold dynamic.

Fourth is the Multiprocess Agent Model (Section 5.4), which allows for agents that are not dedicated automatic or controlled processors, but instead can use both modes of processing. Although some people may rely relatively more on automatic versus controlled processing (Barrett, Tugade, & Engle, 2004; Hofmann, Gschwendner, Friese, Wiers, & Schmitt, 2008), it is

clearly not the case that people rely wholly on one or the other type of process (as assumed for simplicity by the previous models). Thus, the Multiprocess Agent Model asks whether the simpler models' findings are robust to the more realistic assumption that people engage in both automatic and controlled processing. It does so by introducing an agent-based simulation implementation of the Minimal Model in which agents probabilistically engage in either automatic or controlled processing in any given interaction.

Finally, the Variable Population Size Model (Section 5.5) examines the robustness of our findings to allowing changes in the absolute size of the population, rather than the prior models' approach of examining changes in the *relative* frequency of automatic versus controlled agents. Furthermore, this model considers another externality of control: in addition to stabilizing the environment (and therefore reducing the relative advantage of control's flexibility), controlled processing and associated technological innovation increases the carrying capacity (i.e. maximum population size the environment can support). To examine the effect of these factors, the Variable Population Size Model modifies the agent-based simulations of the Multiprocess Agent Model to allow the population to vary in size, constrained by the frequency of controlled processing,

5.1 Two-lag Model

In the Minimal Model, we assumed that the prevalence of automaticity in the population $(1 - x)$ impacted the cost of control instantaneously by specifying the cost of control in f_c to be $c + w(1 - x)$. Here we show that extending the model to the case in which this feedback, like the influence of x on the advantage of controlled processing p , is also lagged yields similar results. To do so, we specify the cost of control in f_c to be $c + w$, and add a differential equation for dw/dt whereby w changes to follow $(1 - x)$ with lag (in the same way that p follows $(1 - x)$ in the dp/dt equation of the Minimal Model). Furthermore, we specify dw/dt such that w need not vary fully between 0 and 1, but rather varies between 0 and some maximum value w_{Max} (with $0 < w_{Max} \leq 1$). For maximal generality, we also modify the dp/dt equation to have a maximum value p_{Max} (with $0 < p_{Max} \leq 1$; the Minimal Model implicitly uses $p_M = 1$).

This gives us the following three-dimensional system:

$$\begin{aligned}\dot{x} &= x((1 - (c + w)) - (x(1 - (c + w)) + (1 - x)(1 - p))) \\ \dot{p} &= \frac{((1 - x)p_{Max} - p)}{\tau_p} \\ \dot{w} &= \frac{((1 - x)w_{Max} - w)}{\tau_w}\end{aligned}$$

Analyzing this system, we find a potential interior fixed point analogous to that in the Minimal Model at $x = \frac{p_{Max} - w_{Max} - c}{p_{Max} - w_{Max}}$, $p = \frac{c p_{Max}}{p_{Max} - w_{Max}}$, $w = \frac{c w_{Max}}{p_{Max} - w_{Max}}$, which is relevant (i.e. on the interval $[0,1]$) when $p_{Max} > c + w_{Max}$. The interpretation of this condition is straightforward: the maximum possible advantage of control must be larger than the maximum possible total cost of control (fixed cost + cost imposed by automatics).

As in the Minimal Model, a stability analysis finds that this interior fixed point can become unstable and give birth to a limit cycle via a Hopf bifurcation. Figure 3 shows a representative example of the dynamics of the 3-dimensional system that exhibits a series of phases similar to those in the simpler 2-dimensional Minimal Model.

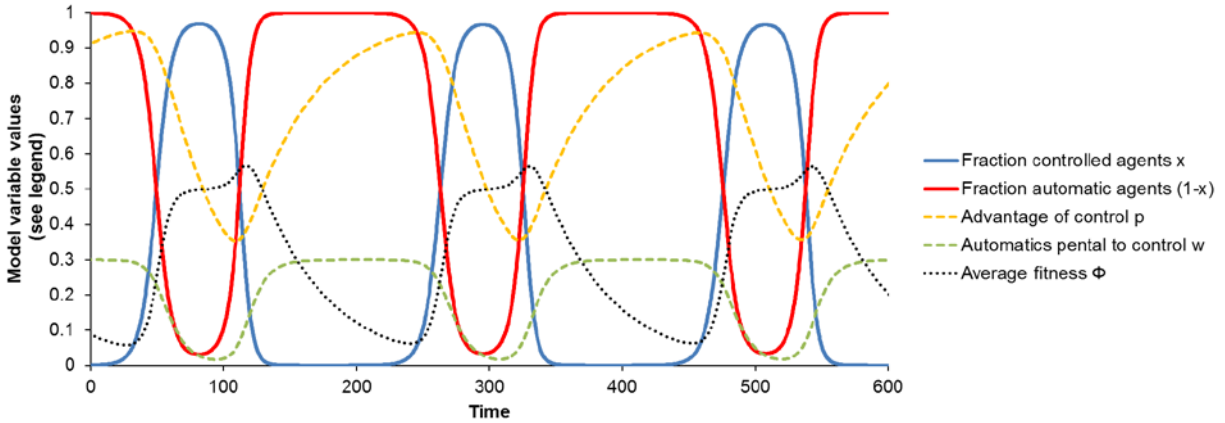


Figure 3. Persistent cycles of automaticity and control also emerge when both forms of feedback are lagged in the Two-lag Model. Shown are the values of x , $1 - x$, p , w , and ϕ as a function of time. Results were generated using numerical integration of the Two-lag Model ODEs using $c = .5$, $w_{Max} = .3$, $p_{Max} = 1$, $\tau_p = 50$, $\tau_w = 10$, and initial conditions $x = 0.01$, $p = 0.9$, $w = 0.1$.

Although we can analytically derive the exact condition required for the limit cycle to exist, this condition is too complex to be readily interpretable. However, to give a sense of its implications, Figure 4 shows where limit cycles occur in the $[\tau_p, \tau_w]$ plane for different values of c and w_{Max} (fixing $p_{Max} = 1$, as in the Minimal Model). The most salient feature of Figure 4 is that, in order for limit cycles to occur, $\tau_p \gg \tau_w$ must be satisfied; that is, the prevalence of control in the population x must influence the relative advantage of controlled processing p substantially more slowly than the prevalence of automaticity in the population influences the cost of control w . We also see that the minimum lag required for cycling, as measured by the slope of the line in Figure 4, decreases as the maximum cost imposed by automatics w_{Max} increases.

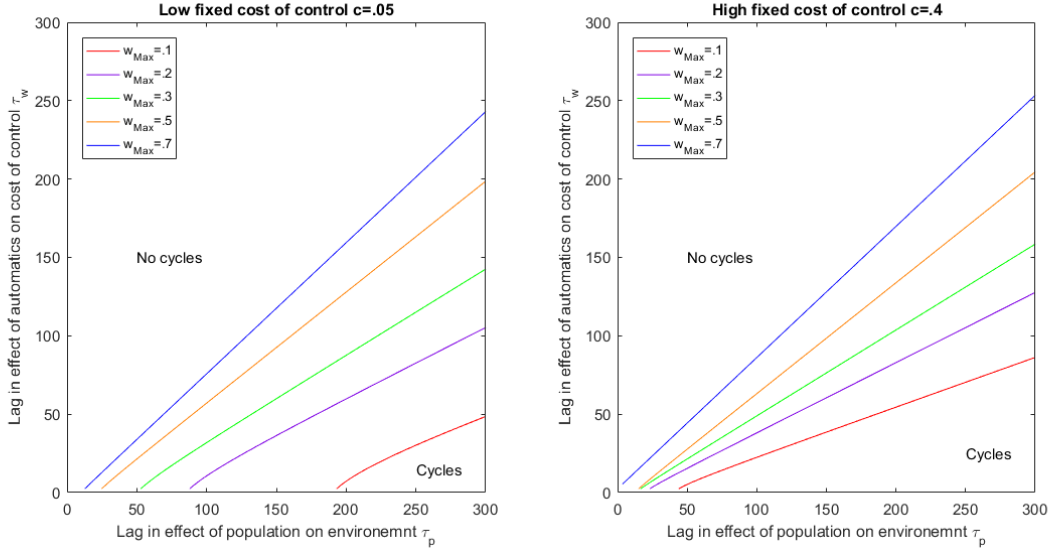


Figure 4. For limit cycles to occur, as in the Minimal Model, the impact of the population on the environment must be substantially more lagged than the population's impact on the cost of control ($\tau_p > \tau_w$). Shown is the Hopf bifurcation curve in the $[\tau_p, \tau_w]$ plane for different values of c and w_{Max} (fixing $p_{Max} = 1$). For a given set of parameters, limit cycles occur for $[\tau_p, \tau_w]$ pairs below the corresponding line.

In sum, the Two-lag Model demonstrates that the results of the Minimal Model are robust to accounting for the fact that, in reality, the processes through which automatic agents increase the cost of control need not involve instantaneous feedback. For example, if automatic agents consume resources that controlled agents had planned to rely on in the future, the consequences of the behavior of current automatic agents will not be felt by controlled agents until some time in the future (i.e., when they attempt to use the already-consumed resources).

5.2 Consumption Model

In the Minimal Model and the Two-lag model, automatic agents influenced the cost of control in direct proportion to their frequency in the population. Here we examine the consequence of having automatic agents impact the cost of control via their consumption rather than simply their prevalence. Specifically, we link the cost of control to the product of the proportion of the population that is automatic $1 - x$ and the average fitness (capturing consumption) of the automatic agents $1 - p$. Thus we replace the Minimal Model's cost of control term in f_c of $c + w(1 - x)$ with the alternative formulation $c + w(1 - p)(1 - x)$. This yields the following system of two ODEs:

$$\dot{x} = x \left(\left(1 - (c + w(1 - p)(1 - x)) \right) - \left(x \left(1 - (c + w(1 - p)(1 - x)) \right) + (1 - x)(1 - p) \right) \right)$$

$$\dot{p} = \frac{((1 - x) - p)}{\tau_p}$$

Analyzing this system shows that although the analytic expressions are now even more complex (and thus harder to directly interpret), we again observe regimes in which there is coexistence between automatic and controlled agents, as well as ones in which there are limit cycles. Both coexistence and limit cycles are more robust than in the Minimal Model. Coexistence is more robust in that no homogeneous population make-up is ever stable in the Consumption Model: we only find coexistence or limit cycles. As in the Minimal Model, if automatic agents increase the cost of control ($w > 0$) then limit cycles can occur if cognition-environment feedback is sufficiently lagged (i.e. τ_p exceeds a specified threshold). Figure 5 illustrates the minimum amount of feedback lag required to induce a limit cycle. Because coexistence is more robust in the Consumption Model than the Minimal Model, limit cycles are also more robust: they can occur no matter how large the magnitude of w (unlike in the Minimal Model, which requires $w < 1 - c$ for either coexistence or limit cycles). Nonetheless, as in the Minimal Model, it is easiest to get limit cycles when the fixed cost of control c is small but the cost imposed by automaticity w is large.

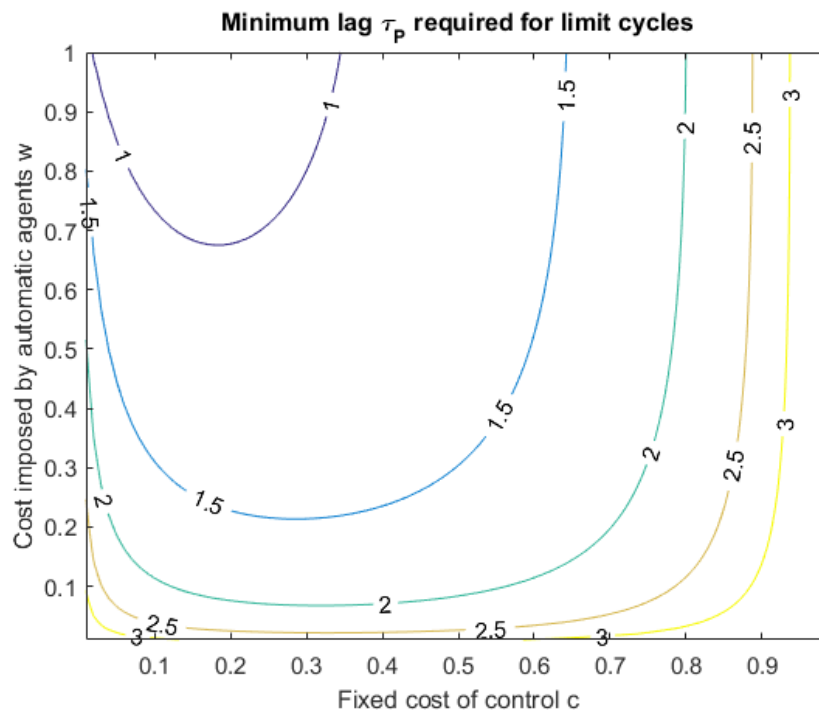


Figure 5. Shown is a contour plot of the minimum τ_p required for limit cycles in the Consumption Model, with \log_{10} -transformed values indicated along contour lines (up to 10^3).

These observations show that the limit cycles observed in the Minimal Model when cognition-environment feedback is sufficiently lagged are robust to the alternative implementation of the cost of control, in the Consumption Model, in which the consumption of automatic agents, rather than their prevalence per se, increases the cost of control.

5.3 Threshold Model

In all of the models presented thus far, cognition-environment feedback was implemented in a continuous form, such that the advantage of controlled processing always moved in opposition to the fraction of the population that was controlled: p followed x , subject to lag. Here, we change the form of this feedback (i.e. the specification of dp/dt) to instead operate via a discrete threshold dynamic.

Specifically, the Threshold Model assumes that excess time/energy is required for controls to invest in technological innovation, and therefore that p decreases if xf_c (the product of the fraction of controlled agents and the fitness of those controlled agents) is greater than a threshold T , and increases if not. In order to keep p bounded on the interval $[0,1]$, we also add a factor of $p(1-p)$ to dp/dt . Combining this alternative formulation of dp/dt with the Consumption Model⁵ presented in the previous section yields the following set of two ODEs:

$$\begin{aligned}\dot{x} &= x(1 - (c + w(1 - p)(1 - x)) - (x(1 - (c + w(1 - p)(1 - x)))) + (1 - x)(1 - p)) \\ \dot{p} &= p(1 - p) \frac{T - x(1 - (c + w(1 - p)(1 - x)))}{\tau_p}\end{aligned}$$

Analyzing this model finds six possible fixed points. However, only three of these fixed points are ever stable. The resulting dynamics depend critically on w , the impact that the consumption of automatic agents has on the cost of control.

When the consumption of automatic agents increases the cost of control ($w > 0$), the results are qualitatively similar to the Minimal Model and the Consumption Model. When $T > 1 - c$, it is very difficult for controlled agents to make the environment more hospitable for automatics (and thereby reduce their own relative advantage p): Even when controls entirely dominate the population, xf_c is not sufficiently large to exceed the threshold T and thereby decrease p . As a result, the only stable fixed point involves the complete dominance of control, $x = 1, p = 0$. However, so long as $T < 1 - c$, there is an interior fixed point at $\left[x = \frac{T(w+1)}{Tw - c + 1}, p = \frac{c + w(1-T)}{1+w} \right]$ which leads to coexistence when $\tau_p < \frac{c + w(1-T)}{1+w}$ and limit cycles (via a Hopf bifurcation) when $\tau_p > \frac{c + w(1-T)}{1+w}$. Thus, as in the other models, lag in the cognition-environment feedback can lead to cycling.⁶

The foregoing analysis focused on the situation in which the consumption of automatic agents increased the cost of control ($0 < w < 1$). However, the behavior of the model is more complex and qualitatively distinct from the previous models when the consumption of automatic agents *decreases* the cost of control ($-1 < w < 0$). For example, controlled agents might profit from the consumption of automatic agents by selling the automatic agents products that exploit their lack of control (e.g. unhealthy but delicious food). It remains the case that $[x = 1, p = 1]$ is stable when $T > 1 - c$. There is also the possibility of another stable fixed point involving the coexistence of automatic and controlled agents at $[x = (w + c)/w, p = 0]$ when $w < -c$ and

⁵ The threshold implementation of the Minimal Model yields much more complex conditions which are intractable, so we focus on the Consumption Model when considering threshold updating of p .

⁶ Numerical simulations indicate the existence of additional limit cycles when $w > 0$ not born out of a Hopf bifurcation, but we do not characterize the details of those limit cycles here.

$T < (w + c)/w$. Here, it is very easy for controlled agents to make life easier for automatics and as a result the advantage of controlled processing disappears (p goes to 0). However, because the consumption of automatic agents benefits controlled agents, some fraction of controls can still survive in the population even in the absence of a decision-making based advantage. (Note that these two fixed points can co-occur, such that there is bistability between them and the initial conditions determine which fixed point evolution favors.)

Finally, when $(w + c)/w < T < 1 - c$, neither of these fixed points is stable and instead we again see the interior fixed point at $\left[x = \frac{T(w + 1)}{Tw - c + 1}, p = \frac{c + w(1 - T)}{1 + w} \right]$ as the only possibility.

Unlike the case when $w > 0$ (or the results from the previous models), this point is *stable* when $\tau_p > \frac{c + w(1 - T)}{1 + w}$ and leads to limit cycles when $\tau_p < \frac{c + w(1 - T)}{1 + w}$. In other words, when automatic consumption benefits control, limit cycles emerge when cognition-environment feedback occurs sufficiently *quickly* rather than sufficiently slowly. Figure 6 shows the critical lag required for cycles, and Figure 7 shows sample cycles arising when $w > 0$ and $w < 0$.

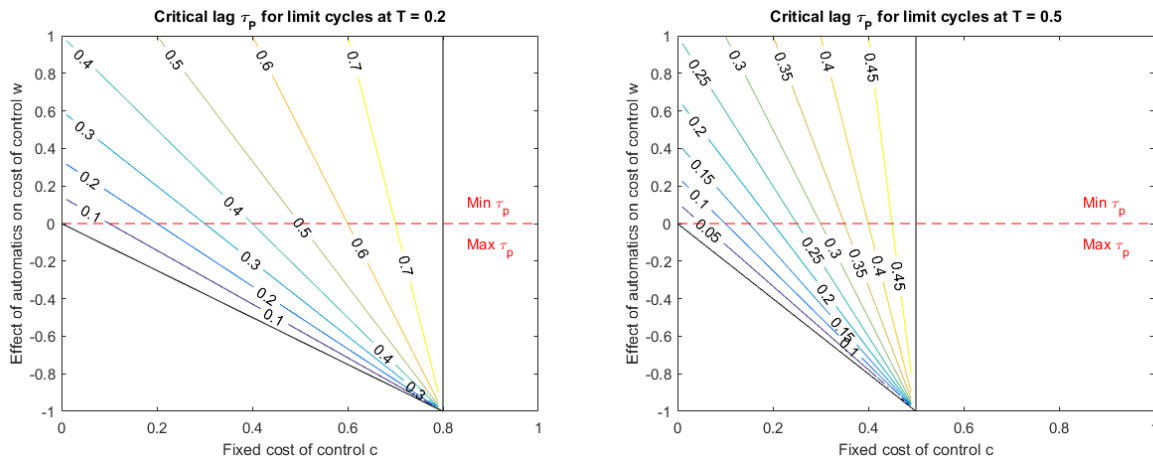


Figure 6. Shown is a contour plot of the critical τ_p required for limit cycles in the Consumption Model, with τ_p values indicated along contour lines. Above the $w = 0$ line (indicated in red), the indicated value is the minimum τ_p required for cycles. Below the $w = 0$ line, the indicated value is the maximum τ_p that allows cycles. Note that because of the modification of the dp/dt equation, absolute magnitudes of τ_p cannot be meaningfully compared with those of the prior models.

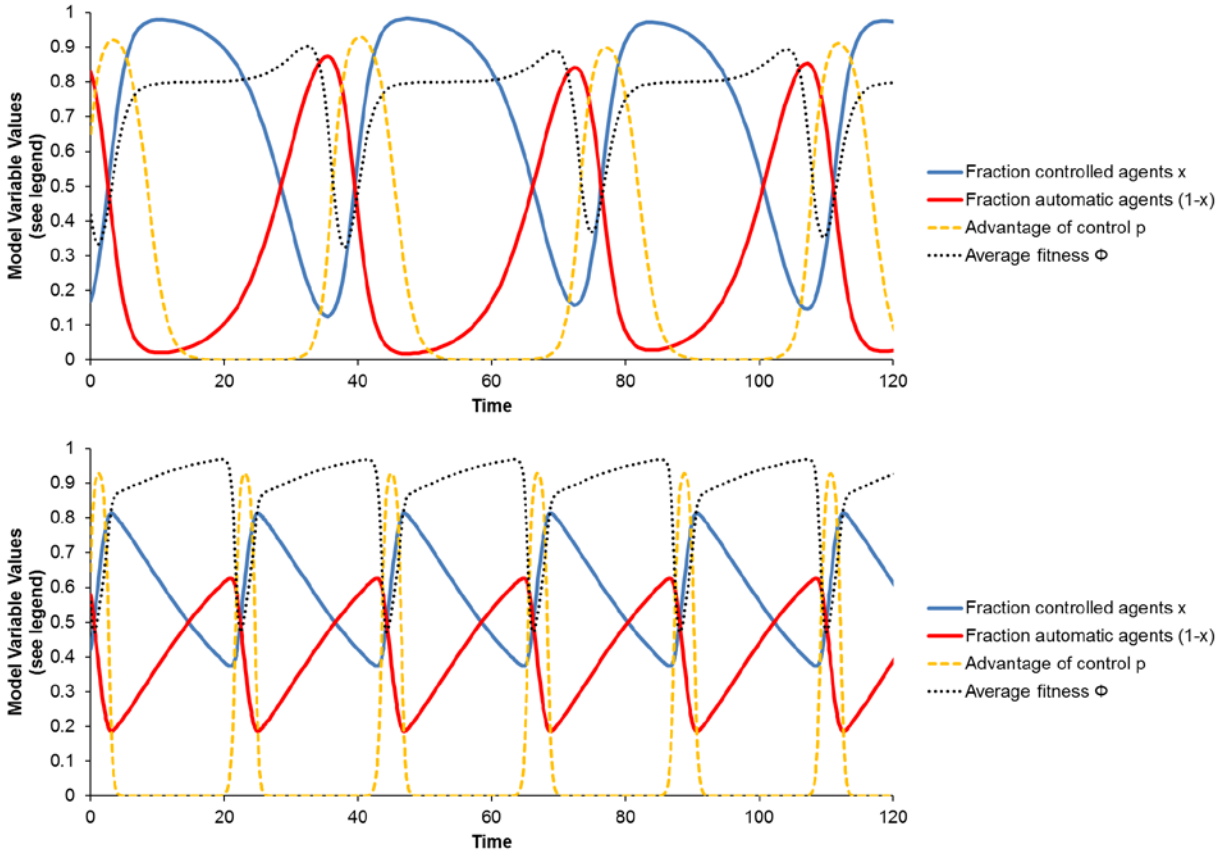


Figure 7. Cyclical dynamics arise from the replicator model with automatics decreasing the cost of cognitive control, provided that p changes sufficiently quickly. Shown are the values of x , $1 - x$, p , ϕ , and the bonus received by controlled agents from automatic agents $p(1 - x)$ as a function of time. Results generated using numerical integration of the two model ODEs using $c = .2, \tau_p = 0.05, T = 0.5$, and (a) $w = 0.2$ versus (b) $w = -0.2$.

In sum, the Threshold Model provides further evidence that the occurrence of limit cycles is robust when automaticity increases the cost of control, and cognition-environment feedback is sufficiently lagged (although here it is easiest to get cycles when both the fixed and variable costs of control are small). Furthermore, the Threshold Model extends the conditions under which limit cycles can emerge, now including situations in which automaticity *decreases* the cost of control (i.e. when controls benefit from the presence of automatics), albeit through a different mechanism in which the cognition-environment feedback must occur quickly rather than slowly. Such a situation might result from technologies or behaviors that, when employed by controlled agents, leverage the short-sighted behavior of automatic agents (for example, in the domain of intertemporal choice, the sale of products providing instant gratification, but long-term costs – products that would primarily appeal to agents engaging in automatic processing).

5.4 Multiprocess Agent Model

In all of the models described so far, agents were binary: they were either exclusively automatic or controlled. Here, following on previous work (Bear et al., 2017; Bear & Rand, 2016; Tomlin et al., 2015), we describe an agent-based model in which each agent exhibits a

probabilistic mix of control and automatic processing. We conducted simulations of this model to examine how the probability of control within agents evolves over the course of generations in response to the same factors implemented in the ODEs described above.

In this model, each agent i implemented controlled processing with an agent-specific probability x_i (and correspondingly implemented automatic processing with an agent-specific probability $1 - x_i$). Adapting the Minimal Model formulation, the fitness of an agent i that exhibited control with probability x_i in a population for which the mean probability of control was $\langle x \rangle$ was given by the sum of the fitness of controlled processing and of automatic processing in the current population and environment, weighted by that agent's probability of engaging in controlled and automatic processing, respectively:

$$f_i = x_i(1 - (c + w(1 - \langle x \rangle))) + (1 - x_i)(1 - p_t)$$

where c is the fixed cost of control, w is the impact of automatic processing on the cost of controlled processing, and p_t is the relative advantage of controlled processing in generation t .

We examined the stochastic evolutionary dynamics of a population of $N = 100$ such agents using the pairwise comparison process (Traulsen, Pacheco, & Nowak, 2007): In each generation, one agent was picked at random to potentially update its strategy, and another agent was picked at random to potentially reproduce. The updater was replaced by a copy of the reproducer with probability $\frac{1}{1 + e^{-s(\pi_T - \pi_L)}}$ where s is the intensity of selection, π_T is the fitness of the potential reproducer (teacher), and π_L is the fitness of the potential updater (learner); otherwise, no change occurred in that generation. Alternatively, with probability u a mutation occurred; in that case, instead of adopting the other agent's strategy, the updater adopted a new strategy sampled from a uniform distribution on the interval $[0,1]$. (Simulations using local mutation produced equivalent results.)

In addition to this standard evolutionary dynamic, we also implemented cognition-environment feedback by updating the advantage of controlled processing in each generation, such that

$$p_t = p_{t-1} + \frac{(1-x) - p_{t-1}}{\tau_p}.$$

Figure 8 shows that this agent-based model, in which agents implemented a probabilistic distribution of controlled versus automatic processing, displays qualitatively similar dynamics to the analytic models described above, in which automatic versus controlled processing was a binary, deterministic variable.

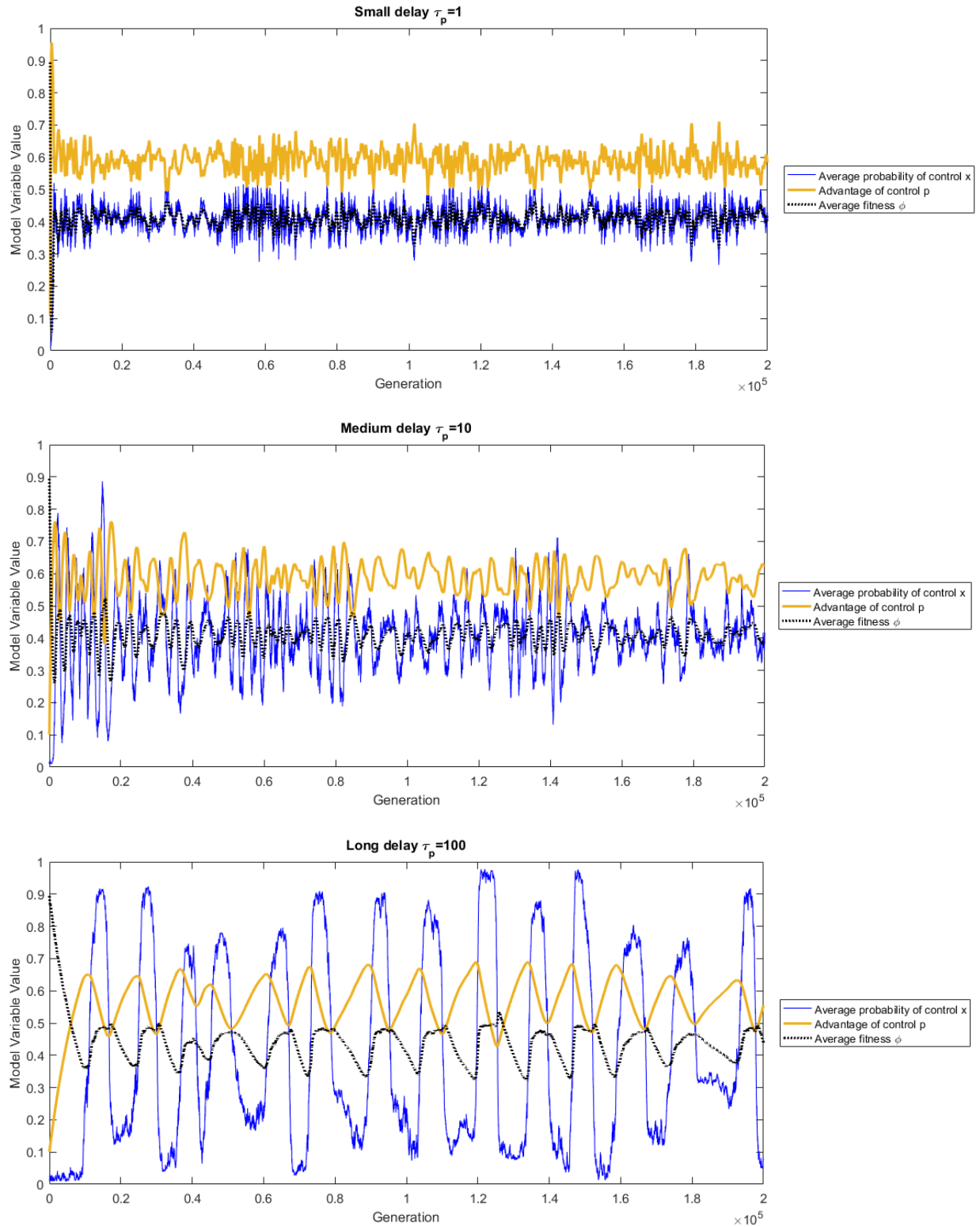


Figure 8. Agent-based simulations of the Multiprocess Agent Model using $N = 100, s = 10, c = 0.5, w = 0.15$, and (A) $\tau_p = 100$, (B) $\tau_p = 1000$ or (C) $\tau_p = 10000$. Shown are the population average value of x , the value of p , and the population average value of ϕ as a function of time.

We see that when τ_p becomes sufficiently large, the dynamics of the system transition from co-existence to oscillations. In this regime, once the agents (which were initialized to use exclusively automatic processing) developed sufficient control and began to improve the environment, environmental richness improved quickly and substantially. This improvement lessened agents' dependence on controlled processing for survival, and therefore automatic processing became more prevalent. This increased prevalence of automatic processing exacerbated the competitive disadvantage of using cognitive control, thus further eroding the frequency of control. Eventually, the prevalence of control was not sufficient to maintain improvements to the environment, thereby returning the environment to its original state and re-initiating the cycle.

In sum, the Multiprocess Agents Model demonstrates that cycles of automaticity and control observed in the analytic models extend to a model in which agents engage probabilistically in both types of processing.

5.5 Variable Population Size Model

In the service of tractability, the models described above did not consider changes in the size of the population, instead examining changes in the *relative* frequency of automatic versus controlled processing. Here, we examine the impact of allowing the size of the population to grow and shrink.

To do so, we define strategies, payoffs, and updating of the environmental parameter p as in the Multiprocess Agent Model, and modify reproduction as follows. At the beginning of each simulation, the population is initialized at size N_0 . Each generation, an agent is selected proportional to fitness to reproduce. With probability u , a mutation occurs and an agent with a random strategy is added to the population; with probability $1 - u$, a copy of the selected agent is added to the population. If the new population size N exceeds the environment's carrying capacity K , agents are selected at random to die until $N \leq K$.

Rather than fixing K at some pre-determined level, we allow K to vary with the population's make-up. Controlled processing's ability to flexibly plan for the future, and to develop technological innovations, suggests that greater levels of control in the population should be associated with a larger carrying capacity: in the same way that control can make the environment more stable (as modeled by feedback on p), it can also make the environment richer and able to support a larger population. To implement this logic, we set $K = N_0 + \sum_{i=1}^N x_i$, such that the carrying capacity is increased above the baseline N_0 by the extent to which agents engage in controlled processing.

Figure 9 shows that the Variable Population Size Model can generate similar cyclical dynamics to those of the previous models. However, the current simulations have the important added ability to directly demonstrate population booms and crashes associated with the rise and fall of controlled processing. As agents become more likely to exercise control the environment's carrying capacity K increases, which in turn leads to an increase in population size N . As in the other models, the increase in control eventually leads to enough of a decrease in p that automaticity can reinvade. As automaticity increases (i.e. control decreases), carrying capacity K decreases, driving the population size back towards its initial baseline level of N_0 .

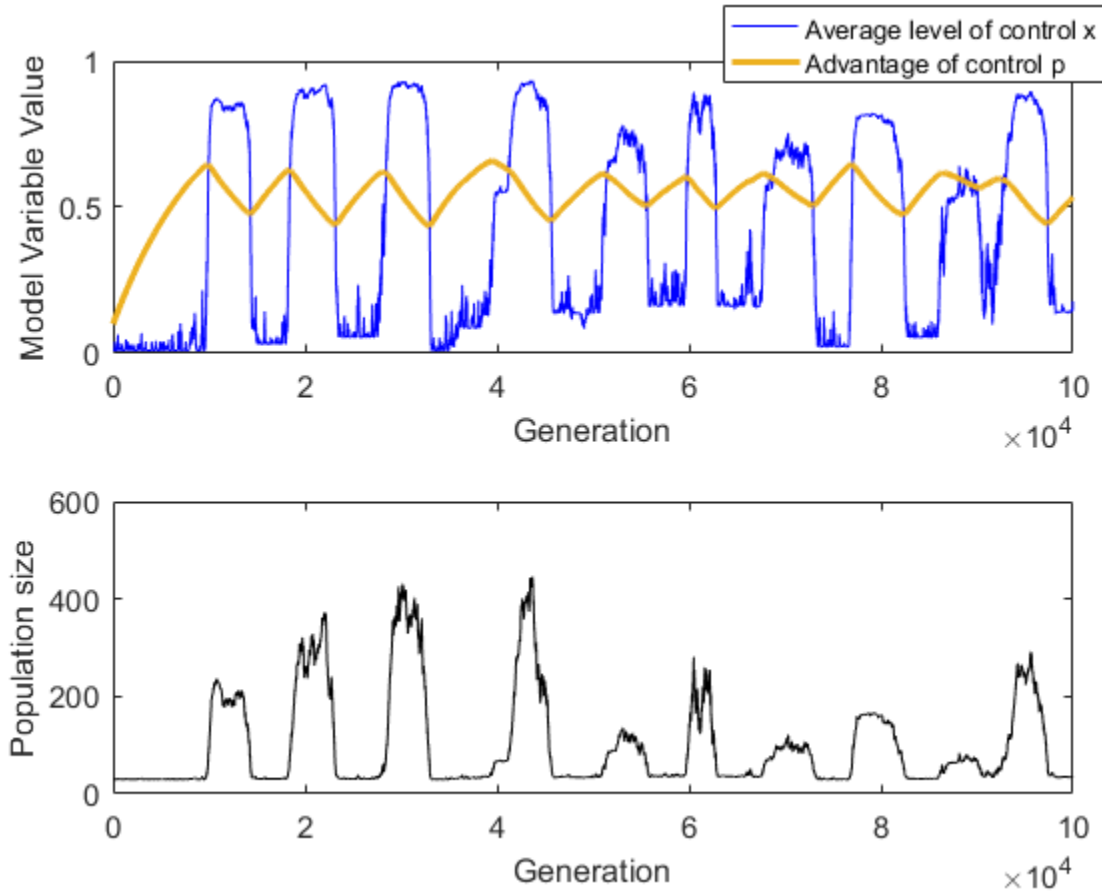


Figure 9. Agent-based simulations of the Variable Population Size Model using $N_0 = 30$, $s = 10$, $c = 0.5$, $w = 0.15$, and $\tau_p = 10000$. Shown are (A) the population average value of x and the value of p , and (B) the population size, both as a function of time.

In sum, the Varying Population Size model shows that the cycles observed in the previous models were not an artifact of considering only relative frequency of automatic versus controlled processing, and provides a demonstration of the impact these oscillations can have on population size.

6. Discussion

We have described a series of models that examine the evolutionary dynamics of mixed populations of agents that implement different forms of cognitive processing along the dimension from automatic to controlled. Our implementation of cognitive processing was designed to capture, as simply as possible, the most fundamental and commonly assumed differences along this dimension: automatic processing that is efficient but rigid, and controlled processing that is costly but flexible (Kahneman, 2011; Schneider & Shiffrin, 1977; Shiffrin & Schneider, 1977). We implemented differences in efficiency by assigning a cost to the use of control, and differences in flexibility by assuming that controlled processing led to a fitness advantage relative to automatic processing in decision-making (e.g. because controls can plan for the future and adapt more

quickly to the exigencies of the situations they encounter). We also implemented cognition-environment feedback by allowing increases in the frequency of controlled processing to make the environment more hospitable – and therefore to reduce the advantage of control.

We found that limit cycles arose in all of the models we considered, and across a wide range of parameters: recurrent boom-and-bust dynamics in which control flourished and the population grew, only to be undermined by an ensuing rise in automaticity, leading to a crash in control and population size. Consistently across models, it was the case that such cycles could arise when (i) the prevalence (or consumption) of automatic agents increased the cost of control, and (ii) there was sufficient lag in the influence of controlled agents on the environment, relative to the rate at which automatic processing imposed its costs on control. This relationship seems like a reasonable approximation of real world relationships: the stabilizing influence of control-based technologies on the environment takes longer to develop and have its impact than the direct costs that automatic agents impose on controlled agents via competition and/or short-sighted consumption. Moreover, we observed that these cyclical dynamics were typically most likely to arise in situations in which controlled processing entailed a relatively small fixed cost, but incurred a large loss of fitness from the presence of automatic agents, conditions that may also align well with some real-world circumstances (for example, the over-use of antibiotics).

There was some divergence across models, however, regarding situations in which automaticity created a benefit for control (rather than imposing a cost). While in most of the models cycles were not possible in this regime (only coexistence), the Threshold Model differed: in that case, cycles were possible provided cognition-environment feedback was sufficiently *fast*. Although this finding appears to be less general across the models we have considered⁷, it is intriguing because it expands the space in which cycles can occur into a domain where controlled agents exploit the weakness of automatic agents. More generally, our results are interesting from a dynamical perspective: although cyclic behavior commonly emerges in evolutionary dynamics of three or more strategies (Szolnoki et al., 2014), here we observe that environmental feedback enables cycles with only two strategies.

It is important to note that the effects we report are independent of whether the underlying mechanisms of evolution are genetic or cultural (Richerson & Boyd, 2005). Thus, they may help explain observations of human societies in the past, and may have relevance to our own time. Anthropologists and archeologists have written about a repeated pattern in human history: the emergence of highly successful societies that expand greatly as a consequence of technological innovation, only to eventually collapse (Diamond, 2005; Richerson et al., 2009; Schwindt et al., 2016; Turner & Sabloff, 2012). Such collapses may have occurred for a number of reasons, including environmental shocks or other factors external to the population, or the overuse of technologies by those (presumably controlled) agents who created them. The models we introduce here suggest another possible route to collapse: even if controlled agents exercise forethought and use the technologies they generate wisely so as not — themselves — to over-exploit the environment, the flourishing of control and its attendant technologies can invite the concomitant flourishing of automaticity, which in turn can increase the likelihood of collapse due to the short-sightedness and inability to adapt to changing environments (including those brought about by the new technologies) that are defining features of automatic processing. These findings illustrate a

⁷ We also found cyclical dynamics in a previously unreported agent-based inter-temporal choice model where automatic agents benefited controlled agents (Tomlin et al, mimeo).

mechanism that may be responsible — at least in part — for cyclical dynamics: the pace at which controlled processing generates benefits, relative to the pace at which automatic “free-riders” impose costs on controlled processing (also see Cohen (2005)). In the present work, we leveraged formal models to demonstrate the plausibility of such cyclical dynamics, and to identify quantitative relationships and boundary conditions for these effects.

The models we describe may also be relevant to modern issues and concerns, providing a quantitative framework within which to consider and potentially address them. For example, the pace at which new antibiotics can be developed is slow relative to the pace at which their overuse can produce harm (particularly to those who exercise restraint). Similarly, the pace at which new forms of energy (and the technologies based on them) can be developed is slow relative to the pace at which their abuse can cause damage, and provide (short-term) relative advantage to those who overconsume. The emergence of these technologies is, without a doubt, a reflection of the uniquely human capacity for cognitive control. Similarly, the behaviors that afflict our society most (e.g., drug addiction and failures to save for retirement) are short-sighted forms of behaviors that are thought to reflect the influence of automatic processing (Angeletos, Laibson, Repetto, Tobacman, & Weinberg, 2001; O'Donoghue & Rabin, 1999; Wiers et al., 2007). It is likely that the same is true for subtler, but potentially just as damaging, behaviors (such as overuse of antibiotics, or overconsumption of nonrenewable resources). Considerable research has been devoted to understanding the dynamics of technological developments and their impact from historical, sociological, economic and environmental perspectives (e.g., Abernathy and Utterback (1978); Mokyr (1992); Perez (2003); Rogers (1962)), but none of these studies appear to take account of the fundamental psychological processes that drive these dynamics. Conversely, considerable research in psychology and neuroscience has addressed the mechanisms underlying the automaticity and control (Daw, Niv, & Dayan, 2005; Hare et al., 2009; McClure et al., 2004), but have not examined how these interact at the population level. The models we have described provide a first step toward bridging these levels of analysis, and suggest that doing so may reveal fundamental principles that yield consistent effects, and factors that may influence these.

In the tradition of theoretical work within evolutionary and population biology, the models we described here are as simple as possible. This simplicity naturally omits potentially important aspects of cognitive function. For example, while it is generally recognized that there is a continuum between automatic and controlled processing, and that the automaticity of many processes is dependent on the context in which they are executed (e.g., Cohen et al. (1990); Kahneman and Treisman (1984)), automatic vs. controlled processing was implemented in binary form in our models. Implementing automatic vs. controlled processing in a more graded and context-sensitive manner, and more nuanced and realistic forms of controlled processing in population-scale models is an important direction for future work. Nonetheless, the robustness of the effects we observed across a variety of model implementations considered here suggest the possibility that these are general properties of the evolution of populations comprised of agents with a heterogeneous mixture of proclivities for automatic vs. controlled processing.

Future work should also investigate the effects of environments with nonuniform spatial structure, in which agents could flexibly adapt to localized distributions of resources to produce “cultural topologies” that may vary in their expression of automatic vs. controlled processing, and cases in which the bias toward automatic vs. controlled processing may anticipate (and attempt to counteract) the risks associated with automatic processing. It will also be informative to develop

models tailored to specific domains in which the dimension of automaticity has been suggested to play an important role, such as we have begun to do for intertemporal choice (Tomlin et al., 2015; Toupo et al., 2015); this might include dietary and other health-related behaviors, savings, and behaviors that impact the environment. Cooperative social dilemmas are another important domain to explore using the current framework, as the individually optimal behavior may not be optimal at the population level and so controlled processing may itself lead to collapse (Rand, 2016) – although socially optimal cooperation can also be individually optimal if, for example, the interactions are stochastically repeated or institutions exist which sanction non-cooperation (Jordan, Peysakhovich, & Rand, 2015), in which case control should support cooperation (Rand, 2016). Finally, an important direction for future work will be to examine domains of function in which the distinction between controlled and automatic processing is not as stark as we have treated it here. As noted in the introduction, it is widely recognized that processes lie along a continuum of automaticity, and that the degree to which processing relies on control depends in large measure on the context in which it occurs. Implementing this more realistic portrayal of control will add considerable complexity to any model, though it may be important for addressing some of the issues discussed above that may be sensitive to the context in which behavior occurs.

In sum, the models we described introduce a fundamental dimension of cognitive function into population-level models, and examine the consequences this has for evolutionary dynamics. Our findings suggest that a robust feature of these dynamics is a cyclic pattern, in which controlled process initially flourishes, but then sets the stage for its own demise. This suggests that the advent of controlled processing in a population sets in motion a “treadmill”, in which the very advances that are afforded by controlled processing simultaneously set in motion regressive forces — engendered by the presence of automatic processing in the population — that must be outweighed and outpaced if the population as a whole is to progress in a steady and/or reach a stable state. It is our fervent hope that further analyses of the sort we have presented here may lead to strategies that will help promote such a positive outcome, and avert the fate that has befallen many previous, advanced cultures.

7. Acknowledgements

The authors thank Rob Boyd and Richard Rand for helpful feedback and discussion, and gratefully acknowledge funding from the Templeton World Charity Foundation (grant no. TWCF0209), the John Templeton Foundation (grant no. 57876) the Defense Advanced Research Projects Agency NGS2 program (grant no. D17AC00005), and the National Institutes of Health (grant no. P30-AG034420). The opinions expressed in this publication are those of the authors and do not necessarily reflect the views of any of the agencies that provided funding.

References

- Abernathy, W. J., & Utterback, J. M. (1978). Patterns of industrial innovation. *Technology review*, 80(7), 40-47.
- Allport, G. W. (1954). *The nature of prejudice*. New York, NY: Addison Wesley.
- Angeletos, G.-M., Laibson, D., Repetto, A., Tobacman, J., & Weinberg, S. (2001). The hyperbolic consumption model: Calibration, simulation, and empirical evaluation. *The Journal of Economic Perspectives*, 15(3), 47-68.
- Barrett, L. F., Tugade, M. M., & Engle, R. W. (2004). Individual differences in working memory capacity and dual-process theories of the mind. *Psychological bulletin*, 130(4), 553-573.
- Bear, A., Kagan, A., & Rand, D. G. (2017). Co-Evolution of Cooperation and Cognition: The Impact of Imperfect Deliberation and Context-Sensitive Intuition. *Proc Roy Soc B*, 284(1851). doi:10.1098/rspb.2016.2326
- Bear, A., & Rand, D. G. (2016). Intuition, deliberation, and the evolution of cooperation. *Proceedings of the National Academy of Sciences*, 113(4), 936-941.
- Bergmüller, R., & Taborsky, M. (2010). Animal personality due to social niche specialisation. *Trends in Ecology & Evolution*, 25(9), 504-511. doi:<http://dx.doi.org/10.1016/j.tree.2010.06.012>
- Botvinick, M. M., & Cohen, J. D. (2014). The computational and neural basis of cognitive control: charted territory and new frontiers. *Cognitive science*, 38(6), 1249-1285.
- Cohen, J. D. (2005). The Vulcanization of the Human Brain: A Neural Perspective on Interactions between Cognition and Emotion. *The Journal of Economic Perspectives*, 19(4), 3-24. doi:10.2307/4134952
- Cohen, J. D., Dunbar, K., & McClelland, J. L. (1990). On the control of automatic processes: a parallel distributed processing account of the Stroop effect. *Psychological review*, 97(3), 332.
- Cushman, F. (2013). Action, Outcome and Value: A Dual-System Framework for Morality. *Personality and Social Psychology Review*, 17(3), 273-292.
- Daw, N. D., Niv, Y., & Dayan, P. (2005). Uncertainty-based competition between prefrontal and dorsolateral striatal systems for behavioral control. *Nature neuroscience*, 8(12), 1704-1711.
- Daw, N. D., O'Doherty, J. P., Dayan, P., Seymour, B., & Dolan, R. J. (2006). Cortical substrates for exploratory decisions in humans. *Nature*, 441(7095), 876-879.
- Diamond, J. (2005). *Collapse: How societies choose to fail or succeed*: Penguin.
- Evans, J. S. B., & Stanovich, K. E. (2013). Dual-process theories of higher cognition advancing the debate. *Perspectives on Psychological Science*, 8(3), 223-241.
- Fudenberg, D., & Levine, D. K. (2006). A dual-self model of impulse control. *The American Economic Review*, 1449-1476.
- Gershman, S. J., Horvitz, E. J., & Tenenbaum, J. B. (2015). Computational rationality: A converging paradigm for intelligence in brains, minds, and machines. *Science*, 349(6245), 273-278.
- Greene, J. D., Nystrom, L. E., Engell, A. D., Darley, J. M., & Cohen, J. D. (2004). The neural bases of cognitive conflict and control in moral judgment. *Neuron*, 44(2), 389-400.
- Griffiths, T. L., Lieder, F., & Goodman, N. D. (2015). Rational use of cognitive resources: Levels of analysis between the computational and the algorithmic. *Topics in cognitive science*, 7(2), 217-229.

- Hare, T. A., Camerer, C. F., & Rangel, A. (2009). Self-control in decision-making involves modulation of the vmPFC valuation system. *Science*, 324(5927), 646-648.
- Hofbauer, J., & Sigmund, K. (1998). *Evolutionary Games and Population Dynamics*. Cambridge: Cambridge University Press.
- Hofmann, W., Gschwendner, T., Friese, M., Wiers, R. W., & Schmitt, M. (2008). Working memory capacity and self-regulatory behavior: toward an individual differences perspective on behavior determination by automatic versus controlled processes. *Journal of personality and social psychology*, 95(4), 962-977.
- Jordan, J. J., Peysakhovich, A., & Rand, D. G. (2015). Why we cooperate. In J. Decety & T. Wheatley (Eds.), *The Moral Brain: Multidisciplinary Perspectives*. Cambridge, MA: MIT Press.
- Kahneman, D. (2003). Maps of bounded rationality: Psychology for behavioral economics. *American Economic Review*, 1449-1475.
- Kahneman, D. (2011). *Thinking, fast and slow*: Macmillan.
- Kahneman, D., & Treisman, A. (1984). Changing views of attention and automaticity. *Varieties of attention*, 1, 29-61.
- Kendal, J., Tehrani, J. J., & Odling-Smee, J. (2011). Human niche construction in interdisciplinary focus. *Philosophical Transactions of the Royal Society of London B: Biological Sciences*, 366(1566), 785-792. doi:10.1098/rstb.2010.0306
- Keramati, M., Dezfouli, A., & Piray, P. (2011). Speed/accuracy trade-off between the habitual and the goal-directed processes. *PLoS Computational Biology*, 7(5), e1002055.
- Kurzban, R., Duckworth, A., Kable, J. W., & Myers, J. (2013). An opportunity cost model of subjective effort and task performance. *Behavioral and brain sciences*, 36(06), 661-679.
- Laland, K. N., Odling-Smee, F. J., & Feldman, M. W. (1999). Evolutionary consequences of niche construction and their implications for ecology. *Proceedings of the National Academy of Sciences*, 96(18), 10242-10247. doi:10.1073/pnas.96.18.10242
- McClure, S. M., Laibson, D. I., Loewenstein, G., & Cohen, J. D. (2004). Separate neural systems value immediate and delayed monetary rewards. *Science*, 306(5695), 503-507.
- Miller, E. K., & Cohen, J. D. (2001). An integrative theory of prefrontal cortex function. *Annual Review of Neuroscience*, 24, 167-202. doi:10.1146/annurev.neuro.24.1.167
- Mokyr, J. (1992). *The lever of riches: Technological creativity and economic progress*: Oxford University Press.
- O'Donoghue, T., & Rabin, M. (1999). Doing it now or later. *American economic review*, 103-124.
- Perez, C. (2003). *Technological revolutions and financial capital*: Edward Elgar Publishing.
- Posner, M. I., & Snyder, C. R. R. (1975). Facilitation and inhibition in the processing of signals. *Attention and performance V*, 669-682.
- Rand, D. G. (2016). Cooperation, fast and slow: Meta-analytic evidence for a theory of social heuristics and self-interested deliberation. *Psychological Science*. doi:10.1177/0956797616654455
- Rand, D. G., Greene, J. D., & Nowak, M. A. (2012). Spontaneous giving and calculated greed. *Nature*, 489(7416), 427-430.
- Richerson, P. J., & Boyd, R. (2005). *Not by genes alone: How culture transformed human evolution*. Chicago: University of Chicago Press.
- Richerson, P. J., Boyd, R., & Bettinger, R. L. (2009). Cultural innovations and demographic change. *Human Biology*, 81(3), 211-235.

- Rogers, E. M. (1962). *Diffusion of innovations*: Simon and Schuster.
- Schneider, W., & Shiffrin, R. M. (1977). Controlled and automatic human information processing: I. Detection, search, and attention. *Psychological review*, 84(1), 1.
- Schwindt, D. M., Bocinsky, R. K., Ortman, S. G., Glowacki, D. M., Varien, M. D., & Kohler, T. A. (2016). The Social Consequences of Climate Change in the Central Mesa Verde Region. *American Antiquity*, 81(1), 74-96.
- Shenhav, A., Botvinick, M. M., & Cohen, J. D. (2013). The expected value of control: an integrative theory of anterior cingulate cortex function. *Neuron*, 79(2), 217-240.
- Shiffrin, R. M., & Schneider, W. (1977). Controlled and automatic human information processing: II. Perceptual learning, automatic attending and a general theory. *Psychological review*, 84(2), 127.
- Stanovich, K. E., & West, R. F. (2000). Advancing the rationality debate. *Behavioral and Brain Sciences*, 23(05), 701-717.
- Szolnoki, A., Mobilia, M., Jiang, L.-L., Szczesny, B., Rucklidge, A. M., & Perc, M. (2014). Cyclic dominance in evolutionary games: a review. *Journal of The Royal Society Interface*, 11(100). doi:10.1098/rsif.2014.0735
- Tomlin, D. A., Rand, D. G., Ludvig, E., & Cohen, J. D. (2015). The evolution and devolution of cognitive control: The costs of deliberation in a competitive world. *Sci. Rep.*, 5, 11002.
- Toupo, D. F. P., Strogatz, S. H., Cohen, J. D., & Rand, D. G. (2015). Evolutionary game dynamics of controlled and automatic decision-making. *Chaos*, 25(7), 073120. doi:doi:<http://dx.doi.org/10.1063/1.4927488>
- Traulsen, A., Pacheco, J. M., & Nowak, M. A. (2007). Pairwise comparison and selection temperature in evolutionary game dynamics. *Journal of Theoretical Biology*, 246(3), 522-529. doi:DOI: 10.1016/j.jtbi.2007.01.002
- Turner, B. L., & Sabloff, J. A. (2012). Classic Period collapse of the Central Maya Lowlands: Insights about human–environment relationships for sustainability. *Proceedings of the National Academy of Sciences*, 109(35), 13908-13914. doi:10.1073/pnas.1210106109
- Wiers, R. W., Bartholow, B. D., van den Wildenberg, E., Thush, C., Engels, R. C., Sher, K. J., . . . Stacy, A. W. (2007). Automatic and controlled processes and the development of addictive behaviors in adolescents: A review and a model. *Pharmacology Biochemistry and Behavior*, 86(2), 263-283.
- Wolf, M., Doorn, G. S. v., & Weissing, F. J. (2008). Evolutionary emergence of responsive and unresponsive personalities. *Proceedings of the National Academy of Sciences*. doi:10.1073/pnas.0805473105