

1 **Quantification of root water uptake in soil using X-ray Computed Tomography and**
2 **image based modelling.**

3
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20

21 **1. Abstract**

22

23 Spatially averaged models of root-soil interactions are often used to calculate plant water
24 uptake. Using a combination of X-ray Computed Tomography (CT) and image based
25 modelling we tested the accuracy of this spatial averaging by directly calculating plant water
26 uptake for young wheat plants in two soil types. The root system was imaged using X-ray
27 CT at 2, 4, 6, 8 and 12 days after transplanting. The roots were segmented using semi-
28 automated root tracking for speed and reproducibility. The segmented geometries were
29 converted to a mesh suitable for the numerical solution of Richards' equation. Richards'
30 equation was parameterised using existing pore scale studies of soil hydraulic properties in
31 the rhizosphere of wheat plants. Image based modelling allows the spatial distribution of
32 water around the root to be visualised and the fluxes into the root to be calculated. By
33 comparing the results obtained through image based modelling to spatially averaged models,
34 the impact of root architecture and geometry in water uptake was quantified. We observed
35 that the spatially averaged models performed well in comparison to the image based models
36 with <2% difference in uptake. However, the spatial averaging loses important information
37 regarding the spatial distribution of water near the root system.

38

39 **Keywords:** Matric potential; rhizosphere; root water uptake; soil pores; wheat; water release
40 characteristic; X-ray Computed Tomography; image based homogenisation.

41

42 **Abbreviations:**

43 (CT) – Computed Tomography

44

46

47 **2. Introduction**

48 The fundamentals of plant water uptake, in particular the influence of the geometry of micro-
49 scale root-soil interactions, are not fully understood. Further knowledge surrounding the
50 mechanisms behind water flow in soil and into roots is crucial for modelling root water
51 uptake. As plants grow they alter the soil immediately adjacent to the root creating a region
52 known as the rhizosphere (Hiltner, 1904) through a combination of mechanical compression
53 of the soil (Dexter, 1987; Whalley et al., 2013; Whalley et al., 2005), creation of biopores
54 (Stirzaker et al., 1996) and exudation of chemical compounds such as mucilage (Czarnes et
55 al., 2000) which, in turn, enhances microbial growth (Gregory, 2006). The role of the
56 rhizosphere in terms of water retention and uptake has been the subject of a great number of
57 studies . In dry conditions it is found that the rhizosphere is wetter than the surrounding soil,
58 whilst in wet conditions the rhizosphere is drier than the surrounding soil (Carminati, 2012;
59 Moradi et al., 2011). Other studies suggest rhizosphere soil may be wetter than bulk soil
60 (Young, 1995) due to the formation of a coherent sheath of soil permeated by mucilage and
61 root hairs, known as the rhizosheath (Gregory, 2006). Small quantities of water are released
62 from the root to the rhizosheath at night while the root absorbs water from the rhizosheath
63 during the day (Walker et al., 2003). The soil around a root and the processes that take place
64 to form the rhizosphere soil clearly have a significant influence on root water uptake.
65 However, currently we cannot mechanistically predict the role that root geometry plays in
66 water uptake. This is due to the difficulties associated with imaging and quantifying roots,
67 soil, and water simultaneously for growing root systems.

68

69 In order to improve understanding and provide a detailed description of water movement in
70 and around the rhizosphere, research has generally focused on a combination of imaging and
71 image based modelling studies (Daly et al., 2015). It is possible to use X-ray CT to quantify
72 soil structure, water and air filled pore space (Rogasik et al., 1999) and, from the images
73 generated, model partially saturated hydraulic conductivity in bulk soil (Tracy et al., 2015).
74 Recently 3-dimensional (3D) segmented root architectures of faba bean (*Vicia faba* L.) have
75 been used in a root-soil water movement model to determine the hydrodynamics of root water
76 uptake in a split pot system (Koebernick et al., 2015). At the plant root scale, it is not
77 computationally feasible to resolve the pore geometry in detail and averaged models for flow
78 and transport are often used (Hornung, 1997; Keller, 1980; Richards, 1931). Formally, these
79 models can be derived from the underlying pore scale models using mathematical techniques
80 such as homogenisation (Cioranescu and Donato, 1999; Pavliotis and Stuart, 2008).
81 Homogenisation methods are based around the idea that the behaviour of a system can be
82 calculated by solving underlying equations on a representative region of soil. From a
83 physical point of view, this method provides averaged equations and the means to derive the
84 value of physical constants on which these equations depend based on the observed X-ray CT
85 images. These methods are well suited to flow problems in soil and have been developed for
86 single porosity materials (Hornung, 1997; Keller, 1980), double porosity materials (Arbogast
87 and Lehr, 2006; Panfilov, 2000), porous media containing large separations in pore sizes
88 (Arbogast and Lehr, 2006; Daly and Roose, 2014), and multi-fluid systems (Daly and Roose,
89 2015).

90

91 There are numerous models for root water uptake available in the literature, (see the reviews
92 by Roose and Schnepf, (2008), Vereecken et al., (2016) and references therein). An early
93 model by Landsberg and Fowkes (1978) considered water movement in a single root with the

94 soil potential known a-priori. Rowse et al., (1978) modelled the spatial distribution of soil
95 water as a function of depth and considered a spatially averaged uptake term to describe
96 extraction of water by plant roots. Roose and Fowler (2004) were one of the first to consider
97 the coupling of these two approaches, *i.e.*, calculating both soil moisture and water movement
98 in the root. Their approach was based on a carefully derived uptake term averaged in the
99 horizontal direction coupled to a model for root growth. Spatially explicit models for root
100 water uptake are relatively recent and are based on 2D imaged or idealised architectures
101 (Doussan et al., 2006). Such models have also been realised in three dimensions (Koebernick
102 et al., 2015). In these models root water uptake is calculated through a sink term which
103 effectively averages over a small volume, $0.5 \times 0.5 \times 0.25 \text{ cm}^3$ in the case of Koebernick et al.,
104 (2015). There is a clear need to evaluate the effects of this sort of averaging and quantify
105 how it affects models for root water uptake.

106

107 In this paper we address this question at the plant root scale. Our aim is to quantify the role
108 that root geometry has on water uptake and how spatial averaging of root properties can
109 affect the measured uptake. Throughout this paper we use the term ‘root geometry’ to refer
110 to the complete root architecture rather than individual roots. We compare water uptake
111 predicted by the spatially averaged model of Roose and Fowler, (2004), which is
112 representative of averaged uptake models, and one which explicitly takes the root geometry
113 into account. In order to facilitate the most direct comparison we parameterise the averaged
114 model directly from the X-ray CT data through a single effective sink term. The equations
115 are solved using finite element modelling to directly capture the influence of root geometry
116 on uptake of water at the soil-root interface.

117

118 **3. Materials and Methods**

119

120 *3.1. Sample preparation*

121 Soil was obtained from The University of Nottingham experimental farm at Bunny,
122 Nottinghamshire, UK (52.52° N, 1.07° W). The soils used in this study were a Eutric
123 Cambisol (Newport series, loamy sand) and an Argillic Pelosol (Worcester series, clay loam).
124 Particle size analysis for the two soils was: 83% sand, 13% clay, 4% silt for the Newport
125 series and 36% sand, 33% clay, 31% silt for the Worcester series. Typical organic matter
126 contents were 2.3% for the Newport series and 5.5% for the Worcester series (Mooney and
127 Morris, 2008). Loose soil was collected from each site in sample bags, the soil was dried,
128 sieved to <2 mm and packed into columns at a bulk density of 1.2 Mg m⁻³. The columns were
129 80 mm high, had diameter of 50 mm and had mesh attached to the bottom to allow free
130 drainage. The soil was mixed to distribute the different sized soil particles evenly before
131 pouring it in small quantities into the columns. After compacting the soil in ten separate
132 layers per column, the surface was lightly scarified to ensure homogeneous packing and
133 hydraulic continuity within the column (Lewis and Sjostrom, 2010). The soil columns were
134 saturated slowly by standing them in a tray of water to enable wetting from the base for 12 h.
135 The columns were then allowed to drain freely for 48 h (Veihmeyer and Hendrickson, 1931),
136 to replicate a soil moisture content close to a typical field capacity of a soil e.g. two days after
137 a rainfall event. All columns were weighed and maintained at this weight throughout the
138 experiment by adding the required volume of water daily to the top of the column to ensure
139 soil moisture content remained near a notional field capacity. The columns were planted with
140 a single wheat seed (*cv.* Zebedee) that had been pre-germinated on wet tissue paper for two
141 days and grown for 12 days in a growth room with a 16 hr day at 24°C and a 8 hr night at
142 18°C with a humidity of 50%. As the soils were extracted from frequently fertilised
143 agricultural fields and the experimental growth period was short, no additional nutrients were

144 added to the columns. The samples were then imaged using X-ray CT at 0, 2, 4, 6, 8, and 12
145 days after transplanting (see section 3.2). Samples that had not been scanned, but set up
146 identically, were also destructively analysed to determine any potential harmful effects on
147 plant growth of the X-ray CT scanning. To ensure that the time taken for scanning did not
148 impact on the plant growth, the samples were scanned during their night cycle. Also the
149 plants that were not scanned were taken out of the growth room for the same amount of time
150 as the pots that were scanned to ensure that any observable differences could be only
151 attributed to scanning and not a result of the slight changes in environmental conditions.

152

153 At the end of the growth period the roots were washed from the soil and analysed using
154 WinRHIZO™ 2002c scanning equipment and software to determine root volume and surface
155 area, total root length and root diameter. Studies have shown that the X-ray dose received by
156 the scanned samples had no discernible effect on root phenotypic traits (Zappala et al., 2013).
157 This was confirmed by using WinRHIZO™ to scan plants which had undergone X-ray CT
158 and control samples which had not.

159

160 **3.2. X-ray Computed Tomography and image analysis**

161 X-ray CT scanning was performed using a Phoenix Nanotom 180NF (GE Sensing &
162 Inspection Technologies GmbH, Wunstorf, Germany). The scanner consisted of a 180 kV
163 nanofocus X-ray tube fitted with a diamond transmission target and a 5-megapixel (2316 x
164 2316 pixels) flat panel detector (Hamamatsu Photonics KK, Shizuoka, Japan). The whole soil
165 column was scanned at 0, 2, 4, 6, 8 and 12 days after transplanting. A maximum X-ray
166 energy of 130 kV and 140 μ A with a copper filter of 0.05 mm was used to scan each soil

167 core. A total of 1200 projection images were acquired over a 360° rotation. The resulting
168 isotropic voxel edge length was 30 µm and total scan time was 40 minutes per core. Two
169 small aluminium and copper reference objects (< 1 mm²) were attached to the side of the soil
170 core to assist with image calibration and alignment during image analysis. Reconstruction of
171 the projection images to produce 3D volumetric data sets was performed using the software
172 `datos|rec` (GE Sensing & Inspection Technologies GmbH, Wunstorf, Germany).

173

174 The reconstructed X-ray CT volumes were visualised and quantified using VG StudioMAX[®]
175 2.2 (Volume Graphics GmbH, Heidelberg, Germany). Roots were segmented using a
176 combination of the semi-automated root tracking software RooTrak (Mairhofer et al., 2012)
177 followed by segmentation in VG StudioMAX[®] 2.2. Image stacks of the extracted volumes
178 for each phase were exported and subsequently analysed.

179

180 **3.3. Model preparation**

181 In order to produce a smoothed geometry, from which computational meshes could be
182 generated, several pre-processing steps were conducted. First the exported image stacks were
183 down sampled to reduce the resolution of the scans by a factor of 4. This process combines
184 pixels, smoothing out small features and noise present in the segmented images. Finally, a
185 three pixel median filter was applied to the data to create smooth representation of the root
186 segmented from the surrounding soil. To remove any artefacts from the segmented image the
187 root geometry was skeletonized and a connected volume analysis was used to remove any
188 sections of root which did not connect to the top slice. The skeletonized root geometry was
189 then dilated to the average root radius to provide a geometry on which the simulations could

190 be performed. This smoothing process has the benefit of removing small artefacts which
191 could affect mesh generation. However, it will also alter the root geometry, in particular the
192 surface area. This variation, in addition to the finite resolution of the X-ray CT imaging and
193 segmentation procedures, means that it is not possible to determine absolute water uptake
194 with 100% accuracy, (Tracy et al., 2015). These sources of error will be absolute errors and
195 will not affect relative water uptake across different time points or simulation methods in this
196 study.

197

198 A computational mesh was generated based on the root geometries using Simpleware 7.0, a
199 commercial software package used to generate finite element and surface meshes from the
200 imaged data. The mesh generated was designed for Comsol Multiphysics and was created
201 using the FE-FREE algorithm to allow Simpleware the maximum control over the elements
202 whilst minimizing the memory usage of the mesh. The meshes consisted of *circa*. 1,500,000
203 elements and contained segmented boundaries which described the root surface, the soil-air
204 interface and the pot surface.

205

206 **3.4. Root water uptake**

207 **3.4.1. A priori estimates**

208 To determine the appropriate conditions to apply on the root surface we first consider the
209 movement of water within the root. Based on a cylindrical root approximation it has been
210 shown that root water uptake falls into one of three distinct regimes (Roose and Fowler,
211 2004): large thick roots, medium roots or small thin roots. These regimes are described by a

212 different boundary condition on the root surface and are dependent on the geometrical
213 properties of the root itself through the dimensionless parameter

$$\kappa^2 = \frac{2\pi a L^2 k_r}{k_z}, \quad (1)$$

214 which quantifies the importance of the radial water transport with respect to axial water
215 transport through the root. Here L is the root length, a is the root radius, k_r is the radial
216 hydraulic conductivity of the root and k_z is the axial hydraulic conductivity of the root. For
217 the cases of small thin roots, $\kappa^2 \gg 1$ and large thick roots, $\kappa^2 \ll 1$, the root surface boundary
218 condition can be simplified.

219

220 We parameterise our model based on a typical X-ray CT scan of a 12 day old plant and used
221 $k_r = 1.3 \times 10^{-13} \text{m s}^{-1} \text{Pa}$ (Jones et al., 1983), $k_z = 2 \times 10^{-16} \text{m}^4 \text{s}^{-1} \text{Pa}^{-1}$ (Payvandi et al.,
222 2014; Percival, 1921). We find, for a typical root radius of 0.39 mm (13 voxels) and root
223 length of 60 mm (2000 voxels), $\kappa^2 = 0.0107$ corresponding to large thick roots with an
224 internal pressure

$$p_r = p_0 + \rho g z, \quad (2)$$

225

226 where p_0 is the pressure applied by the plant with $p_0 = -1$ MPa during the day, (Passioura,
227 1983), and $p_0 = 0$ MPa at night, ρ is the density of water and g the acceleration due to
228 gravity (Roose and Fowler, 2004). These approximations are valid for cylindrical roots
229 aligned along the z -axis. However, the approximation $\kappa^2 \ll 1$ remains valid as long as the
230 roots do not deviate significantly from a cylindrical geometry. Any deviations in the root
231 geometry from a cylindrical shape will induce an error in the approximation. We can
232 approximate the error induced by this by calculating the size of the z dependent term in

233 equation (2). In this case $|p_0| = 1 \text{ MPa}$ and $\rho g L \approx 500 \text{ Pa}$, where $L \approx 50 \text{ mm}$ is the root
234 length we have $p_0 \gg \rho g L$, so the variation in root pressure across the geometry will be small
235 and we can approximate equation (2) as

$$p_r = p_0. \quad (3)$$

236

237 Hence, there will have to be significant deviation of the root from a cylindrical geometry for
238 there to be any noticeable effect on the root pressure.

239

240 3.4.2. Richards' equation

241 To model the flow of water around the root we use Richards' equation for partially saturated
242 flow (Richards, 1931). This equation is parameterized by the water release curve and the
243 saturation dependent hydraulic conductivity, which we will characterize using the well-
244 known Van-Genuchten Mualem model (Mualem, 1976; Van Genuchten, 1980). For
245 compactness we will assume the same notation as used in (Roose and Fowler, 2004) and will
246 present only the final equations and main assumptions used in this manuscript.

247

248 We assume that the soil geometry is homogeneous. Hence, we are able to describe the water
249 content in terms of relative saturation, which, assuming conservation of mass can be written
250 as

$$\phi \frac{\partial S}{\partial t} + \nabla \cdot \mathbf{u} = 0 \quad (4)$$

251

252 where S is the average relative water saturation defined as the total volume of water per unit
 253 pore space, ϕ is the porosity of the soil and \mathbf{u} is the water velocity. In terms of saturation the
 254 fluid flux can be written as

$$\mathbf{u} = -[D_0 D(S) \nabla S - K_s K(S) \hat{\mathbf{e}}_z] \quad (5)$$

255

256 where

$$K(S) = S^{1/2} \left[1 - \left(1 - S^{1/m} \right)^m \right]^2, \quad (6)$$

$$D(S) = S^{\frac{1}{2} - \frac{1}{m}} \left[\left(1 - S^{1/m} \right)^m + \left(1 - S^{1/m} \right)^{-m} - 2 \right], \quad (7)$$

257

258 $D_0 = \frac{p_c k_s}{\mu} \left(\frac{1-m}{m} \right)$, $K_s = \frac{\rho g k_s}{\mu}$, ρ and μ are the density and viscosity of water respectively, m is
 259 the Van-Genuchten parameter (Van Genuchten, 1980), g is the acceleration due to gravity, p_c
 260 is a characteristic suction pressure, k_s is the saturated water permeability and $\hat{\mathbf{e}}_z$ is a unit
 261 vector in the direction of gravity. The mathematical symbols, their meaning and units are
 262 summarised in Table 1.

263

264 The root exerts a suction pressure given by equation (3) on the soil. This induces a pressure
 265 drop across the soil and acts to draw water into the root. This pressure is related to the
 266 suction through the Van-Genuchten equation (Van Genuchten, 1980) which, on the surface
 267 of the root, can be written as

$$-\hat{\mathbf{n}} \cdot [D_0 D(S) \nabla S - K_s K(S) \hat{\mathbf{e}}_z] = k_r (p_c f(S) - p_0), \quad (8)$$

268

269 where $\hat{\mathbf{n}}$ is the unit normal to the root surface and

$$f(S) = \left(S^{-\frac{1}{m}} - 1 \right)^{1-m}. \quad (9)$$

270

271 The remaining external boundaries are assumed to be impermeable to fluid, hence we write
 272 $\hat{\mathbf{n}} \cdot \mathbf{u} = 0$ on the outer pot boundary. The boundary condition at the bottom is $\hat{\mathbf{n}} \cdot \mathbf{u} = K(S)$,
 273 i.e., the only water flux at the bottom of the pot is due to gravity and at the top $\hat{\mathbf{n}} \cdot \mathbf{u} = q_s$
 274 where $q_s(t)$ is the flux of water into the soil. We use, as an initial condition, $S = 0.5$
 275 corresponding to a plant which has been recently watered and consider the case $q_s(t) = 0$.

276

277 The parameters used in these equations are taken from the literature and previous studies on
 278 soil water imaging. Specifically we use $k_r = 1.3 \times 10^{-13} \text{m s}^{-1} \text{Pa}^{-1}$ (Jones et al., 1983),
 279 $k_z = 2 \times 10^{-16} \text{m}^4 \text{s}^{-1} \text{Pa}^{-1}$ (Payvandi et al., 2014; Percival, 1921). The soil water
 280 diffusivity, D_0 , is taken directly from the literature and is set to $D_0 = 4.37 \times 10^{-6} \text{m}^2 \text{s}^{-1}$
 281 (Van Genuchten, 1980). The hydraulic conductivity, K_s , and the Van-Genuchten parameter,
 282 m , are taken from Daly et al. (2015) for the two different soil types. Specifically we use
 283 $m = 0.415$ and $K_s = 1.09 \times 10^{-5} \text{m s}^{-1}$ for the clay loam and $m = 0.397$ and $K_s =$
 284 $2.46 \times 10^{-5} \text{m s}^{-1}$ for the loamy sand soil.

285

286 The equations described above are implemented directly on the numerical meshes generated
 287 by Simpleware. Water uptake is simulated for a period of one day to calculate uptake over a
 288 single day-night cycle which consists of a 16 hour day and 8 hour night corresponding to the
 289 growth conditions. At night water uptake is assumed to be zero and evaporation is assumed
 290 to be zero throughout the simulation. The equations were solved using Comsol Multiphysics
 291 and are implemented as a general form partial differential equation. The simulations were

292 run on a single 16 processor node of the Iridis 4 supercomputing cluster at the University of
 293 Southampton to calculate the water profile in the soil and root water uptake. Resource usage
 294 varied dependent on the complexity of the root geometry, the most expensive simulations
 295 used ≈ 90 Gb of memory and ran in under 60 hours.

296

297 **3.4.3. Comparison with spatially averaged model**

298 In order to quantify the effects of including the root architecture explicitly we compare our
 299 results to the averaged model developed in Roose and Fowler (2004). This averaged model is
 300 based on the observation that, for sufficiently small inter-root spacing, any saturation
 301 gradients in the horizontal direction will equilibrate sufficiently quickly that variations in this
 302 direction may be neglected. The averaged model is derived by assuming that the uptake
 303 properties of the root system are equal across the whole root surface. This does not mean that
 304 the uptake across the root is equal. Rather, it is dependent on the soil water pressure which
 305 may vary with depth. Hence, the one dimensional equation for root water uptake is given by

$$\phi \frac{\partial S}{\partial t} + \nabla \cdot [D_0 D(S) \nabla S - K_s K(S) \hat{e}_z] = A_{eff} k_r (p_c f(S) - p_0), \quad (10)$$

306

307 where $A_{eff} = \frac{A_r}{L_r A_p}$, A_r is the root surface area, A_p is the cross sectional area of the pot and L_r
 308 is the root length. For direct comparison with the image based method these equations are
 309 solved in Comsol Multiphysics using the same implementation method as described above.

310 In order to compare the two methods we define the difference in cumulative uptake as

$$e = \frac{2(I_A - I_I)}{(I_I + I_A)} \quad (11)$$

311 where I_A and I_I are the total uptake for the averaged model and the image based model
312 respectively.

313

314 **3.4.4. Statistical Analysis**

315 The results obtained experimentally were analysed by general analysis of variance
316 (ANOVA) containing soil type, time period and all possible interactions as explanatory
317 variables using Genstat 15.1 (VSN International, UK). The probability of significance P ,
318 with a threshold value of ($P < 0.05$), corresponding to a 95% confidence limit, was calculated
319 and is used as a measure of significance of results obtained.

320

321 **4. Results & Discussion**

322

323 **4.1. Soil pore geometry**

324 No significant changes in soil volume from the imaging method were recorded across the
325 experiment, confirming structural changes were due to alterations in the pore size distribution
326 (Figure 1). Throughout the 12 days the average volume of air, imaged in the form of
327 macropores, remained approximately constant in the loamy sand soil (Figure 1). Whilst there
328 was large variation within treatment from day 2 until day 8, the average volume of imaged air
329 filled pores was greater in the loamy sand soil than the clay soil ($P < 0.01$). However, at day 12
330 this trend switched, so that the average air filled pore volume in a clay sample was 4268 mm^3
331 compared to just 3130 mm^3 in the loamy sand soil. From a visual inspection of the X-ray CT
332 images this increase in air filled pore volume at day 12, after the samples have undergone
333 several wetting and drying cycles, is attributed to crack formation in the clay soil due to its

334 swelling and shrinking properties (see supplementary figures 1 and 2 for greyscale images)
335 and is potentially linked to soil drying through root water uptake.

336

337 4.2. Root system architecture

338 The scanned root architectures for plants grown in the loamy sand and clay loam are shown
339 in Figure 2 and Figure 3 respectively. No significant differences in root measurements were
340 found between samples that had undergone X-ray CT scanning and those that had not
341 ($P>0.05$), suggesting no harmful effects of X-ray dose on the plants (see supplementary table
342 S1 for details). Root volumes as quantified by WinRHIZO™ were greater for plants grown
343 in clay soil than for those grown in loamy sand soil ($P<0.05$). However, no significant
344 differences were observed in root volume measured using X-ray CT. It would not be useful
345 to draw comparisons between root measurements obtained via destructive root sampling
346 (WinRHIZO™) and the non-destructive X-ray CT scanning due to the inherent differences in
347 the techniques (e.g. 2D vs. 3D, in soil and without soil etc.), (Tracy et al., 2012). Using X-ray
348 CT we observed a significant difference (710 mm^2 vs. 455 mm^2 ; $P<0.05$) in root surface area
349 for plants grown in a clay loam compared to the loamy sand soil (Figure 1). Based on the CT
350 images the majority of growth took place in the first four days. Ideally, a higher frequency of
351 scans at this point in the root development would have facilitated a clearer picture of root
352 growth. However, due to the cost and time taken to scan and process this data we were not
353 able to obtain additional scans in the first four days.

354

355 We did not observe fine lateral roots in the CT scans due to the resolution. However, it is
356 known that the axial conductivity of the xylem scales with the fourth power of the root radius

357 (Payvandi et al., 2014; Sevanto, 2014; Thompson and Holbrook, 2003). As a result, water
358 movement in fine laterals will be much slower than the primary roots. Hence, it has been
359 suggested that fine laterals are less important in terms of water uptake (Roose and Fowler,
360 2004). The increase in measured root mass comes directly from an increase in the primary
361 roots. Over the course of the experiments the roots did not become pot bound; this was
362 evidenced through measuring maximum width and depth of the root system. The average
363 width at day 12 was 39 mm, which was less than the pot diameter of 50 mm, and the average
364 depth at day 12 was 47 mm, which was less than the pot depth of 80 mm.

365

366 4.3. **Root water uptake**

367 Over the 12 day experiment the watering regime remained constant. However, at day 8 a
368 reduction in water content was measured via imaging (Figure 1; $P < 0.001$). It is possible that,
369 at day 8, the plant stopped being reliant on seed reserves and began capturing resources from
370 the soil (Kennedy et al., 2004). However, we observed that this reduction in water content
371 disappeared at day 12. It is possible that a temporary increase in the rate of water uptake
372 occurs at this time, possibly related to the formation of lateral roots. However there is not
373 sufficient evidence to confirm this and the dip may simply be a result of
374 imaging/segmentation errors or minor differences in the watering regime. Hence, further
375 investigation is needed to quantify these effects.

376

377 To quantify the regions from which water has been taken we consider the numerical
378 simulations. We visualised the water distribution within the soil by calculating regions of
379 equal saturation. As we are considering a 3D dataset the regions of equal saturation (S) will

380 show up as surfaces. We visualised these surfaces at different times after watering in Figure
381 4, Figure 5 and the supplementary material. These surfaces are plotted for a single plant at 2,
382 4, 6, 8 and 12 days after planting for three different times within the uptake cycle. A clear
383 depletion in water content was observed over the course of a day.

384

385 In addition, the simulations show that water content is lower near the roots generating a net
386 flux of water towards the plant. This lower moisture content in the region immediately
387 adjacent to the root is in line with the observation that water content in the rhizosphere is
388 lower than the moisture content far from the root (Carminati, 2012; Moradi et al., 2011).
389 However, we note that in these simulations we do not explicitly treat the soil adjacent to the
390 root differently to the soil far from the root. This effect is more pronounced in the clay soil
391 (Figure 5), than the loamy sand (Figure 4) and can be seen by the density of the equal
392 saturation surfaces in the figures.

393

394 In order to quantify the uptake rate and total uptake of the roots over the course of the day-
395 night, we calculated the flux and cumulative uptake, averaged over all replicates, for the clay
396 loam and loamy sand soils (Figure 6 and supplementary material). The largest change in
397 water uptake, based on simulation, occurs in the first four days of root development. We note
398 that, due to the watering regime, these changes will not be echoed in the volumetric water
399 content, Figure 1. Whilst there are still changes after this point, these are not as pronounced.
400 We do not observe any dip in water uptake at day 8. This suggests that the observed decrease
401 in volumetric water content is due to processes which are not being measured. Whilst it is
402 tempting to attribute this difference to the presence of fine laterals, this does not explain the
403 disappearance of this dip at day 12. In addition, any fine laterals, which are not observed in

404 the X-ray CT imaging, will be significantly smaller than the primary roots observed.
405 Therefore, their conductivity, and contribution to uptake, would be significantly smaller than
406 that of the primary roots.

407

408 In order to quantify how the details of the root geometry affected water uptake, we compared
409 the uptake predicted using these models to water uptake predicted by the simplified water
410 uptake model developed by Roose and Fowler (2004). We consider water uptake over a 24
411 hour period. At the start of the simulation the water content is assumed constant over the root
412 system with saturation $S=0.5$ throughout. This is comparable to the growth conditions in the
413 columns which were rewatered to a known weight on a daily basis. The water content would
414 then decrease due to a combination of water uptake and loss via drainage or evaporation over
415 the 24 hour period. To facilitate the most direct comparison of the two methods we have
416 used the root surface area extracted from the X-ray CT data to parameterise the model. This
417 means that we are directly comparing how the geometrical properties of the root systems
418 affect uptake and flux. The averaged and image based models agree well in terms of total
419 uptake, Figure 6 and Figure 7. The difference in cumulative uptake defined in equation (11)
420 is less than 2%, Figure 7.

421

422 In general, the imaged geometry predicts a smaller uptake than the averaged geometry. The
423 largest difference is observed for the older plants, $\approx 1.25\%$ for the plants grown in the sandy
424 loam and $\approx 1\%$ for the plants grown in clay loam. The difference is even smaller for the
425 younger plants $<1\%$ for both soil types. To put this difference in context, the error for
426 Neutron Magnetic Resonance imaging (NMRI) of water uptake is approximately 7%
427 (Scheenen et al., 2000). However, differences in soil pore water measurement between

428 Neutron probes and Time Domain Reflectometry can be as high as 12% (Smethurst et al.,
429 2006). There is also a wealth of information that cannot be investigated using the averaged
430 models. In particular the local distribution of water around the root cannot be investigated by
431 the averaged models. This means that any effect of soil inhomogeneity in the rhizosphere or
432 crack formation, due to soil shrinkage and swelling, will be neglected. Hence, the use of
433 averaged models is reasonable if the quantity of interest is simply the absolute uptake by the
434 root system.

435

436 Image based modelling allows water uptake by plants to be calculated using observed root
437 geometries and, in this study, provides comparable results to the averaged models. However,
438 there are sources of error present in image based modelling which need to be considered
439 carefully when interpreting these results. Firstly, the outputs of the uptake model are, at best,
440 only as accurate as the imaging and segmentation procedures. As it is only possible to model
441 what is observed, the segmented root system does not represent the full root system as fine
442 lateral roots and root hairs will not be captured at the resolution of these scans. Hence, the
443 contribution of these features of the root geometry to plant water uptake will not be captured.
444 However, as the transport of water by plant roots scales with the fourth power of the root
445 radius, we would expect that any sub resolution fine laterals would be insignificant. To
446 quantify this we consider the uptake of roots at the limit of resolution. The roots which we
447 do consider fall into the category of large thick roots, equation (1). Hence, their uptake is
448 limited by the availability of water to the root. For the case of fine laterals of radius $30\ \mu\text{m}$
449 we find $\kappa^2 = 12.6$, where we have scaled k_z to take into account the reduced root radius.
450 This corresponds to small thin roots which have been shown, (Roose and Fowler, 2004), to
451 only take up water in a region of length $L_u \propto 1/\kappa$ near to the base of the roots. Hence, the
452 only contribution to uptake from laterals at the limit of resolution will be a small increase in

453 uptake where they join the primary roots. Whilst it is not possible to precisely quantify this
454 uptake, it is expected to be small compared to the relative errors of imaging, segmentation
455 and meshing. Secondly, whilst every care has been taken to segment the roots in a
456 reproducible and robust way, and every effort taken to minimise minor differences in signal-
457 to-noise ratio between scans, no segmentation procedure is perfect. Finally, the assumptions
458 used in this model such as soil homogeneity, uniform initial conditions and stationary root
459 architecture are not necessarily realistic and will introduce errors into the results. Some of
460 these limitations could be overcome using higher resolution X-ray CT imaging, but the trade-
461 off between sample diameter and achievable resolution would remain, or by adapting the
462 models to consider growing root architectures through interpolation (Daly et al., 2016) or
463 repeated imaging (Koebernick et al., 2015).

464

465 **Conclusions**

466 In this paper we have shown that, for pots of 50 mm diameter, differences in plant water
467 uptake can be observed between a spatially averaged model and an image based model.
468 These differences can be quantified both in terms of uptake rate and cumulative uptake. The
469 difference between the averaged and image based models was less than 2% for all cases
470 considered, this is less than typical experimental error in plant water uptake measurements.
471 The averaging methods were not able to resolve the soil moisture profile in three dimensions
472 meaning that they would be unable to truly capture heterogeneity in the rhizosphere. Hence,
473 whilst averaging is a useful method for quickly estimating water uptake, there is significant
474 information lost which may be important in terms of understanding rhizosphere function.

475

476 There are several assumptions in the image based models and there is room for improvement.
477 In principle the numerical modelling in this paper could be extended to older plants with
478 much larger root systems and could include root growth through an effective growth rate into
479 the model, a method which has been used to study nutrient uptake by root hairs (Daly et al.,
480 2016). However, despite the assumptions present, non-destructive imaging combined with
481 image based modelling remains a powerful tool to not only visualise soil geometry but to
482 quantify the effects of the observable root architecture on plant water uptake.

483

484 **5. Acknowledgements**

485 The authors acknowledge the use of the IRIDIS High Performance Computing Facility, and
486 associated support services at the University of Southampton, in the completion of this work.
487 This project was funded by BBSRC BB/J000868/1, a collaborative project between the
488 Universities of Southampton and Nottingham, PI and overall lead TR. KRD and TR are also
489 funded by ERC consolidation grant 646809DIMR.

490

491 **Data Accessibility**

492 All reconstructed scan data will be available on request by emailing
493 microct@nottingham.ac.uk. For simulation results please email krd103@soton.ac.uk.

494

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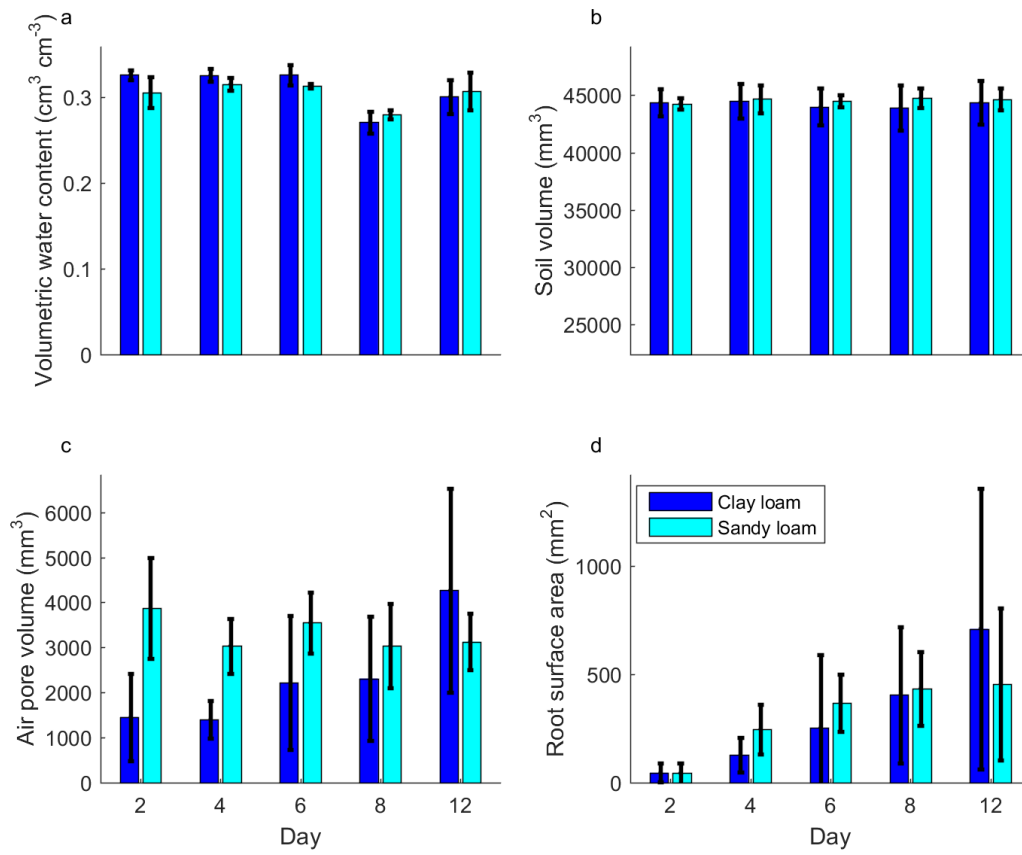
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625

626 **Figures**

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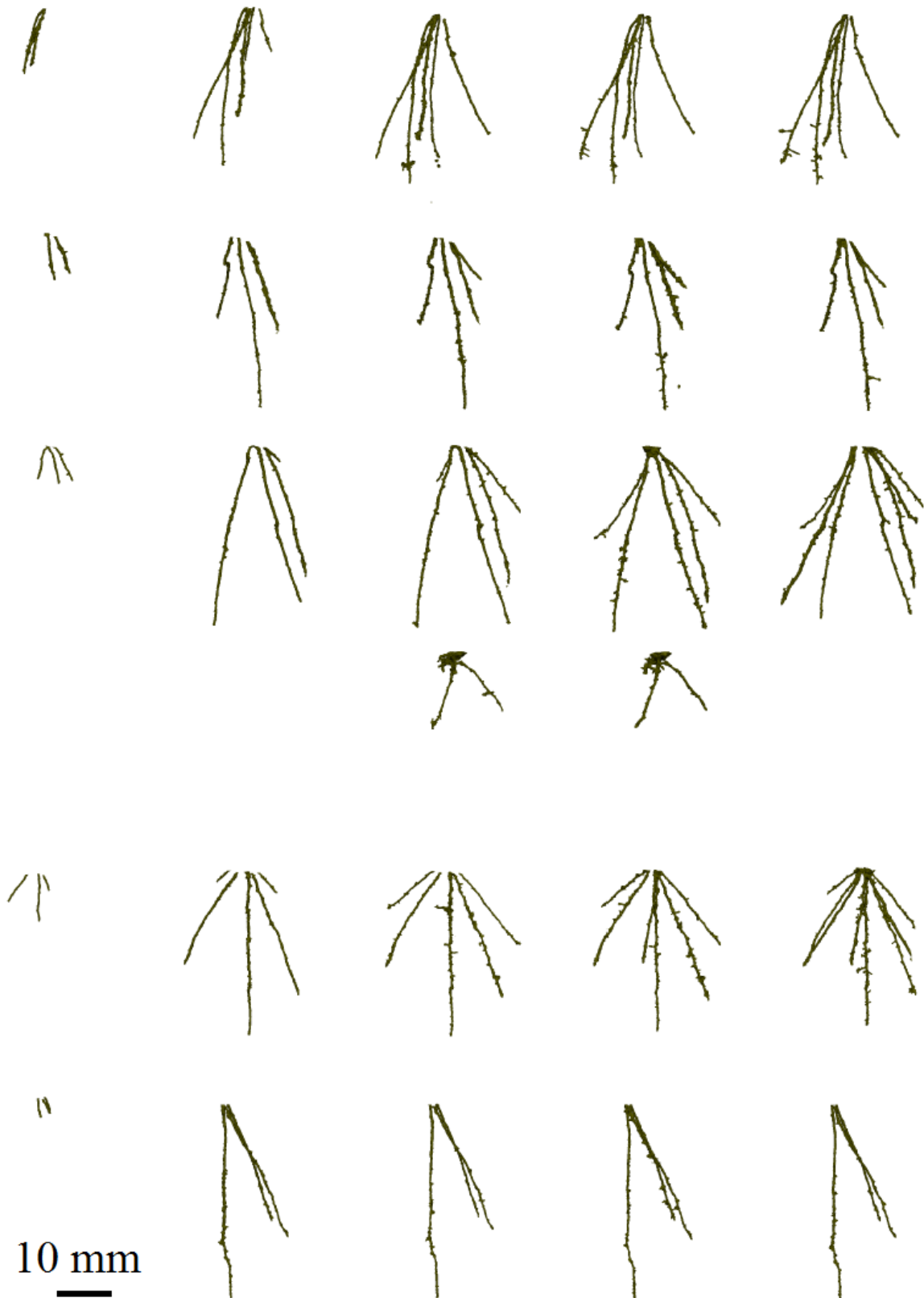
629 **Figure 1** Imaged data for (a) volumetric water content, (b) soil volume, (c) air volume, and (d) root surface area.

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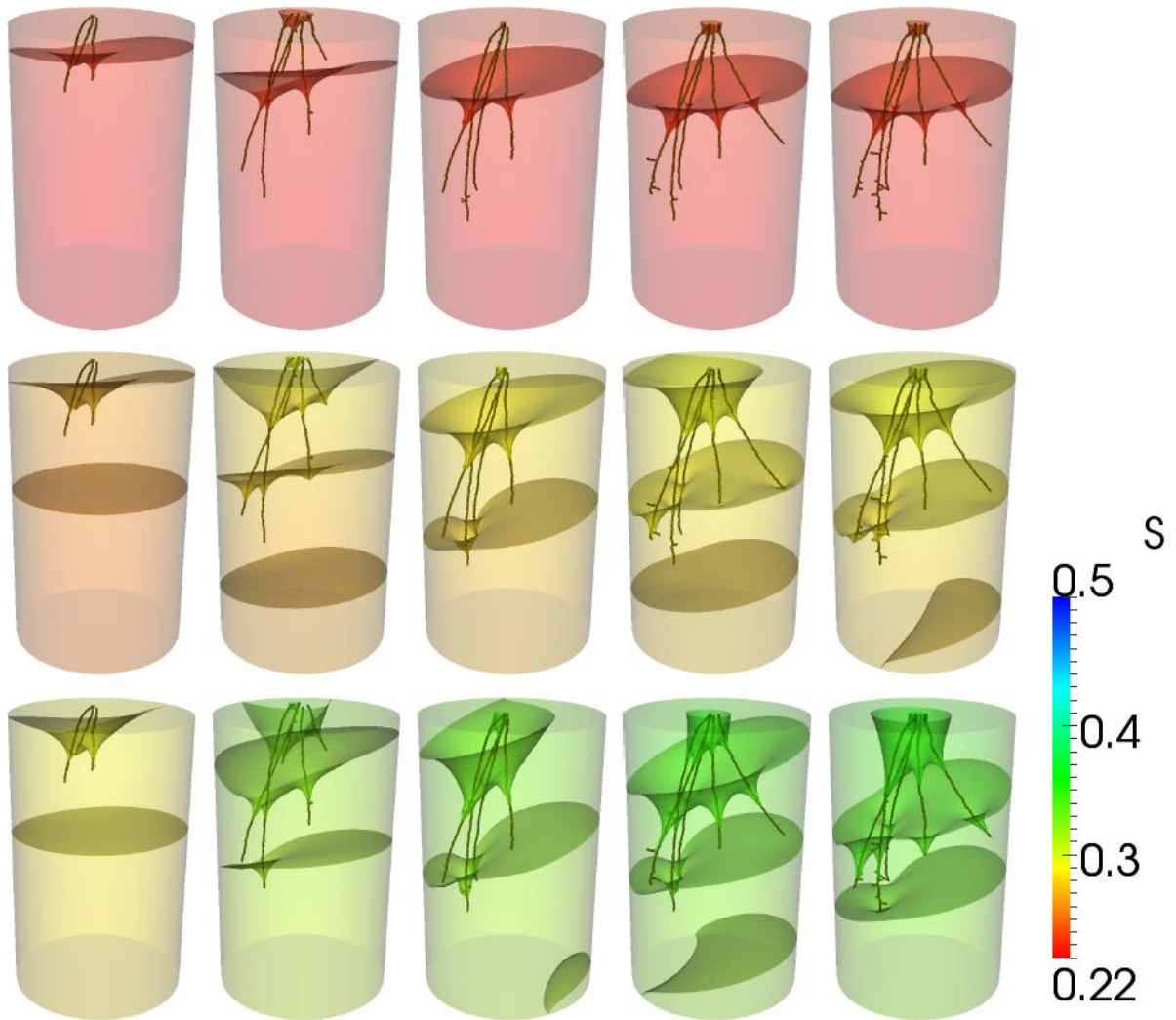
634 Figure 2: Root architectures for roots grown in loamy sand soil. Each row is a different sample. Columns correspond to
635 Day 2, Day 4, Day 6, Day 8, Day 12. Scale bar is 10 mm.



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639 Figure 3: Root architectures for roots grown in clay loam soil. Each row is a different sample. Columns correspond to
640 Day 2, Day 4, Day 6, Day 8, Day 12. Scale bar is 10 mm.

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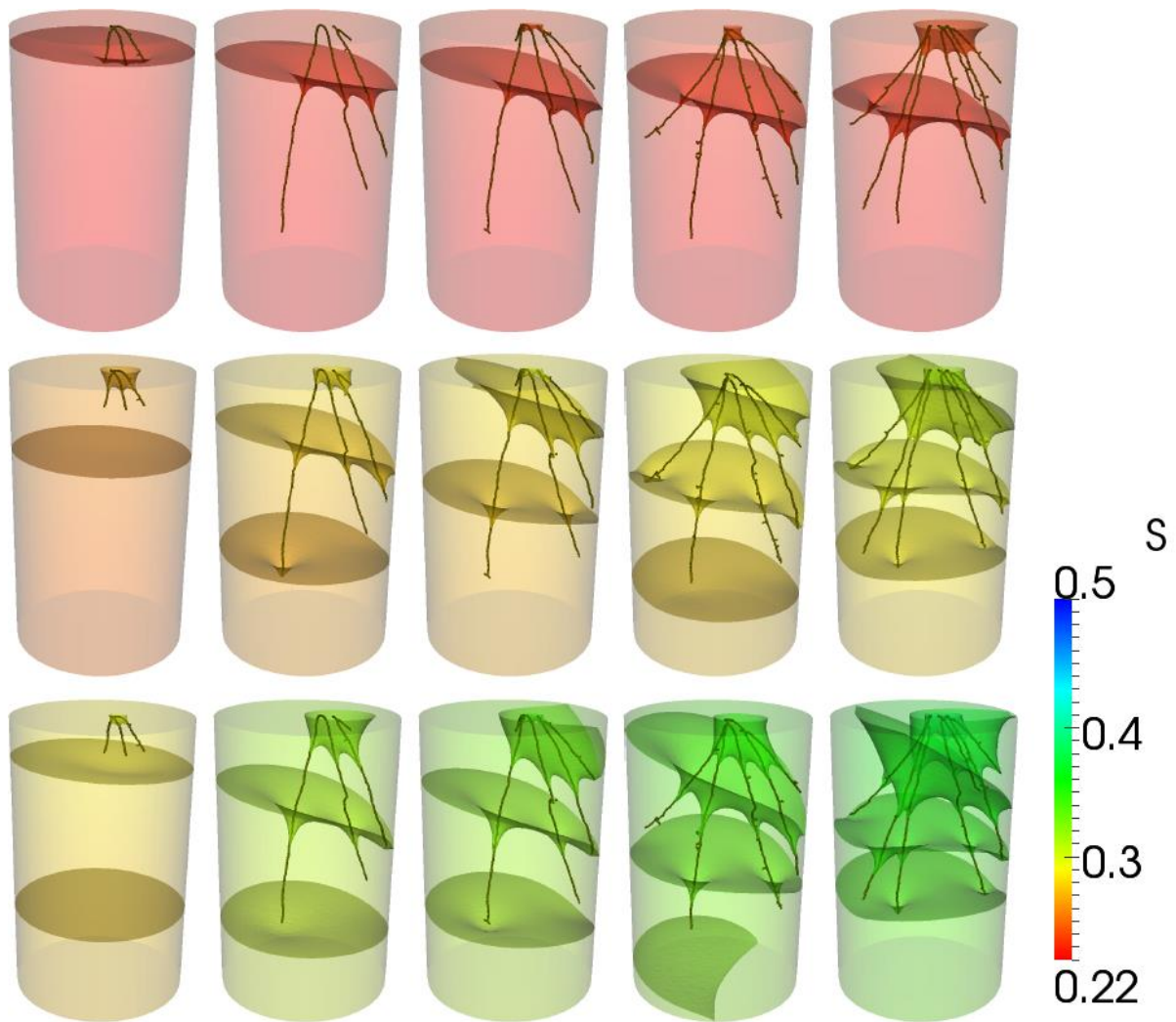


643

644 **Figure 4: Water saturation (S) in loamy sand soil for a growing root system. Left to right shows the root system at 2, 4, 6,**
645 **8 and 12 days post transplanting. Images from top to bottom show half an hour of simulation, 6 hours of simulation and**
646 **12 hours of simulation. The images show the total geometry modelled, *i.e.*, the pot (50 mm diameter, 80 mm height)**
647 **with the root architecture inside. The surfaces show regions of equal saturation with the colour representing the**
648 **saturation at that point within the pot.**

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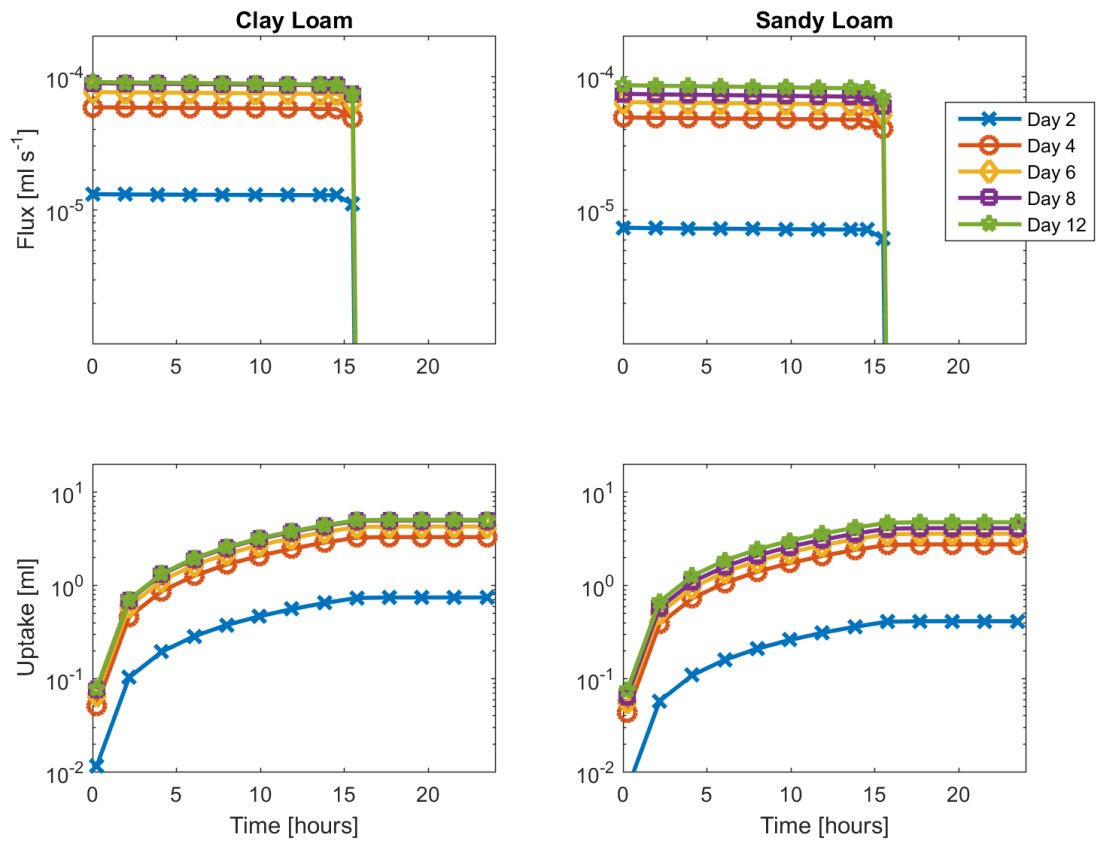


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652 **Figure 5: Water saturation (S) in a clay loam soil for a growing root system. Left to right shows the root system at 2, 4, 6,**
 653 **8 and 12 days post transplanting. Images from top to bottom show half an hour of simulation, 6 hours of simulation and**
 654 **12 hours of simulation. The images show the total geometry modelled, *i.e.*, the pot (50 mm diameter, 80 mm height)**
 655 **with the root architecture inside. The surfaces show regions of equal saturation with the colour representing the**
 656 **saturation at that point within the pot.**

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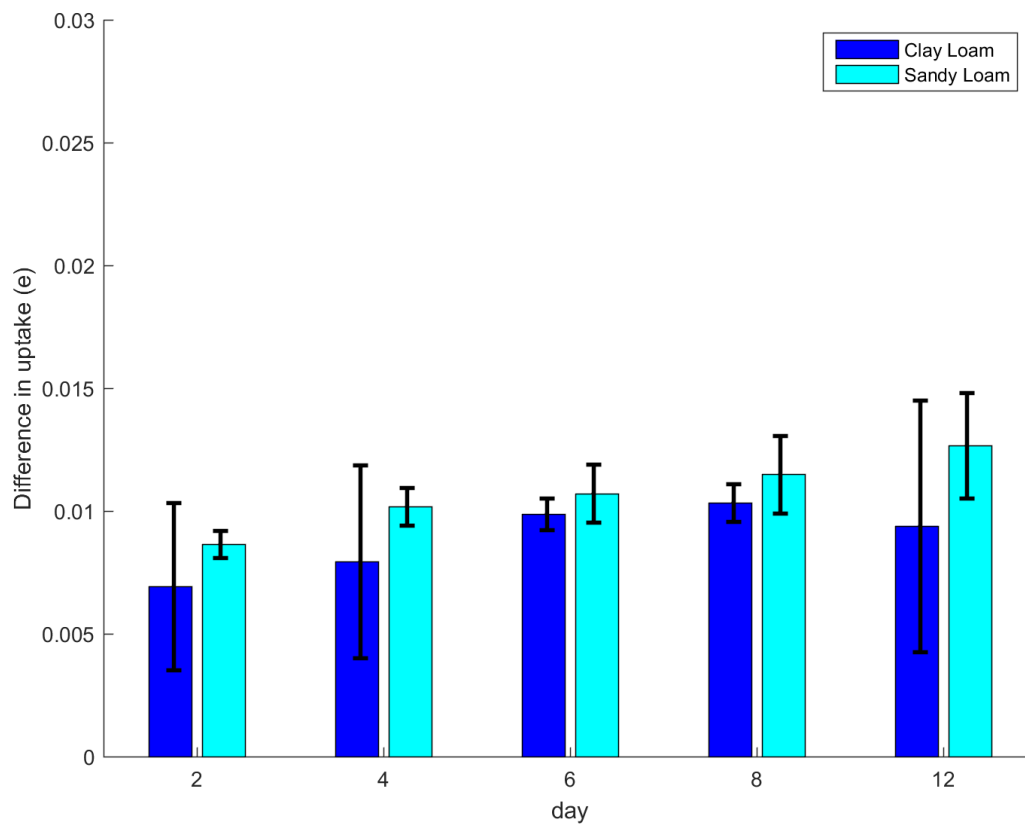


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Figure 6 Water flux (top) and cumulative uptake (bottom) over a single day-night cycle for Clay loam (left) and loamy sand (right) soils. The data has been calculated using the image based modelling approach taking into account the full root geometry. Data is shown for 2, 4, 6, 8 and 12 days post transplantation. .

663



664

665 **Figure 7** Relative difference in cumulative uptake (e), as defined by equation (11). The data shows the difference
666 between the image based and averaged models for clay loam and loamy sand soils.

667

Symbol	Value	Units	Description
K_s	Clay: 1.09×10^{-5} Sand: 2.46×10^{-5}	m s^{-1}	Hydraulic conductivity (Daly et al., 2015)
ϕ	0.4		Soil porosity (Daly et al., 2015)
D_0	4.37×10^{-6}	$\text{m}^2 \text{s}^{-1}$	Soil water diffusivity (Van Genuchten, 1980)
m	Clay: 0.415 Sand: 0.397		Van Genuchten parameter (Daly et al., 2015)
ρ	10^3	kg m^{-3}	Density of water
g	9.8	m s^{-2}	Acceleration due to gravity
p_c	0.02	MPa	Characteristic suction pressure (Van Genuchten, 1980)
p_0	day: -1 night: 0	MPa	Root internal pressure (Passioura, 1983)
k_r	1.3×10^{-13}	$\text{m s}^{-1} \text{Pa}^{-1}$	Radial conductivity (Jones et al., 1983)
k_z	2×10^{-16}	$\text{m}^4 \text{s}^{-1} \text{Pa}^{-1}$	Axial conductivity (Payvandi et al., 2014; Percival, 1921)
L	60×10^{-3}	m	Typical root length (CT images)
a	390×10^{-6}	m	Root radius (CT images)