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van Hoppe, Moniek J.C., Dy, Mary Abigail, van den Einden, Marion and Iyengar, Arati (2016) SkydancerPlex: A novel STR multiplex validated for forensic use in the hen harrier (Circus cyaneus). Forensic Science International: Genetics, 22 . pp. 100-109. ISSN 1872-4973

It is advisable to refer to the publisher's version if you intend to cite from the work. http://dx.doi.org/10.1016/j.fsigen.2016.02.003

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1 SkydancerPlex: a novel STR multiplex validated for forensic use in the hen harrier

2 (Circus cyaneus)

3 4

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- 13 KEYWORDS:
- 14 Hen Harrier; Non-Human DNA; Validation; STR Multiplex Kit; SWGDAM; ISFG

16 ABSTRACT

17 The hen harrier (Circus cyaneus) is a bird of prey which is heavily persecuted in the UK because it preys on the game bird red grouse (Lagopus lagopus scoticus). To help investigations into illegal 18 killings of hen harrier, a STR multiplex kit containing eight short tandem repeat (STR) markers and a 19 20 chromohelicase DNA binding protein 1 (CHD 1) sexing marker was developed. The multiplex kit was tested for species specificity, sensitivity, robustness, precision, accuracy and stability. Full profiles 21 were obtained with as little as 0.25 ng of template DNA. Concurrent development of an allelic ladder 22 23 to ensure reliable and accurate allele designation across laboratories makes the SkydancerPlex the first forensic DNA profiling system in a species of wildlife to be fully validated according to SWGDAM 24 and ISFG recommendations. An average profile frequency of 3.67 x 10-8, a PID estimate of 5.3 x 10-9 25 26 and a PID-SIB estimate of 9.7 x 10⁻⁴ make the SkydancerPlex an extremely powerful kit for 27 individualisation.

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29 1. INTRODUCTION

30 The hen harrier is a species of raptor commonly found in Europe, Asia and North America. Although 31 categorised as "Least Concern" on the IUCN Red List of Threatened Species[™] due to its extremely 32 large geographical range and fairly large global population size, the hen harrier is facing serious 33 problems in the United Kingdom. Substantial declines in numbers have been observed in the last few 34 decades due to habitat loss [1,2] but also as a direct result of illegal persecution on grouse moors [3-35 5]. Hen harriers are ground nesting and breed on large open areas with low vegetation such as 36 upland heather moorlands where they prey on small mammals and birds such as red grouse [6]. 37 Large areas of heather moorlands in northern England and parts of southern and eastern Scotland 38 are managed by private landowners for driven red grouse shooting and predation by high numbers of hen harriers has been shown to reduce red grouse density, resulting in smaller numbers of shooting 39 40 bags [7-9]. Despite being protected by law since 1954 and more recently under the Wildlife and 41 Countryside Act 1981, hen harriers continue to be killed illegally and declining numbers have resulted 42 in the species being included on the red-list of birds of conservation concern in the UK [10]. Due to 43 heavy persecution, the hen harrier is on the brink of extirpation from England, with only four pairs 44 breeding in 2014 [11]. The Royal Society for the Protection of Birds (RSPB) carried out the Skydancer 45 Project between 2011 and 2015 and is currently undertaking the hen harrier LIFE project (running 46 until 2019) in attempts to secure the hen harrier's future in the UK using a host of activities including 47 satellite tagging of birds, nest protection schemes, ground monitoring, liaising with stakeholders and 48 bringing awareness. While 2015 has been the most successful breeding season since 2010 for the 49 hen harrier in England, with 6 successful nests resulting in 18 new fledged chicks, 5 male hen harriers 50 disappeared mysteriously with consequent nest failures [12]. A DNA based tool to identify individual 51 hen harriers would be advantageous in the battle against illegal persecution of hen harriers.

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53 STR loci have become the most commonly used genetic marker for DNA based individualisation. 54 Tetranucleotide STRs are preferred because their stutter percentages are much lower (15%) 55 compared to di- and trinucleotides (30%) [13]. A total of 23 tri and tetranucleotide STR markers have 56 recently been described in the hen harrier [14]. Using a selection of 8 of these markers and a 57 previously described sex identification marker [15], we present here the development of a multiplex 58 kit for the hen harrier validated for forensic use according to the Scientific Working Group for DNA 59 Analysis Methods (SWGDAM) guidelines for DNA analysis methods [16]. An allelic ladder was also 60 developed to assist in the designation of alleles from unknown samples as recommended by the 61 International Society for Forensic Genetics (ISFG) for the use of non-human (animal) DNA in forensic 62 genetic investigations [17].

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65 2. MATERIALS and METHODS

67 2.1 Marker selection

Eight STR markers with three or more alleles in the screened population sample (n = 63) were 68 incorporated into a multiplex (Table 1). Previously described primers for the chromohelicase DNA 69 70 binding protein 1 (CHD 1) gene found on avian Z and W sex chromosomes were also added 71 (HHRFLPFOR 5'-AGACTGGCAATTACTATATGC-3' HHCHD1REV 5'and 72 TCAATTCCCCTTTTATTGATCC-3') [15]. In addition, since two substitutions were reported within the 73 HHRFLPFOR primer binding site between the Z and W sequences [15], another forward primer HHRFLPSUBSFOR 5'-AGACTGTCAATTCCTATATGC-3' was added to balance amplification of Z 74 75 and W CHD 1 products.

76 77

Table 1. Locus information for the SkydancerPlex. Primer sequences for STR loci are detailed in [14].

Locus Name	Repeat Motif	Fluorophore	Allelic size range in bp	Final primer Concentration (µM)	
HHBswB220w	(AAT) ₁₅	6-FAM	86-110	0.1	
43895	(AGAT) ₁₂	6-FAM	148-176	0.2	
HH09-C1	(AAAC) ₃ GAAC (AAAC) ₅	6-FAM	254-266	0.2	
55457	(AAAC) ₈	HEX	105-113	0.2	
HH11-G7	(CAGCTTTCTTT) ₁₀	HEX	132-199	0.2	
CHD 1	-	HEX	212, 219	0.2	
22316	(AAAG) ₁₀	HEX	240-291	0.2	
62369	(AAAC) ₁₁	NED	112-133	0.2	
00703	(AGAT) ₁₁	NED	174-207	0.2	

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79 2.2 Samples

80 DNA from the following types of samples were used for the development and optimisation of the 81 multiplex assay: female and male hen harrier tissue, hen harrier egg shell fragments, hen harrier naturally shed feathers, hen harrier buccal swabs, domestic dog (Canis lupus familiaris) buccal swab, 82 pheasant (Phasianus colchicus) tissue, blood from two blue tits (Cyanistes caeruleus) and 83 commercially available human (Homo sapiens) male control 2800 (Promega). DNA from tissue and 84 egg shell fragments was extracted using the DNeasy® Blood and Tissue Kit (Qiagen) as 85 recommended by the manufacturer with the following modification in tissue: 4 µl RNase A (100mg/ml) 86 87 was added after overnight incubation with proteinase K and prior to column binding and incubated at 88 room temperature for 2 minutes. The hen harrier feather was extracted using the DNeasy[®] Blood and 89 Tissue Kit (Qiagen) according to the user-developed protocol for the purification of total DNA from 90 feathers with the following modifications: all volumes were doubled except for AW1 and AW2 buffer 91 and 1 µg carrier RNA (Qiagen) was added prior to column binding. DNA from hen harrier and dog 92 buccal swabs was extracted using the QIAamp® DNA Mini Kit (Qiagen) with the following modifications: samples were incubated for 2.5 hours at 56 °C and 1 µg carrier RNA (Qiagen) was 93 94 added prior to column binding. DNA from blue tit blood was extracted as part of a separate project 95 (Smith J.A., unpublished).

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97 2.3 Multiplex PCR amplification

The *SkydancerPlex* was optimized and validated in a 12.5 µl reaction volume using 6.25 µl Multiplex PCR Mastermix (Qiagen), 1.25 µl Q-Solution (Qiagen), 1.25 µl of the primer mix (all at 2µM except HHBswB220w at 1 µM, see table 1), 1.0 ng DNA template and PCR-grade H₂O to volume. PCR was carried out on Applied Biosystems 2720 Thermal Cyclers using the following conditions: 15 min activation step at 95 °C followed by 25 cycles of 30 s at 95 °C, 90 s at 55 °C, 30 s at 72 °C and final extension for 1 hour at 60 °C.

105 2.4 Capillary electrophoresis and data analysis

Fragment analysis of PCR products was performed on an Applied Biosystems 3500 Genetic Analyser using POP-6[™] polymer and virtual filter D after spectral calibration using the DS-30 matrix standard (Applied Biosystems). Samples were prepared by adding 9.7 µl Hi-Di[™] Formamide (Applied Biosystems) and 0.3 µl GeneScan[™] 500 ROX[™] Size Standard (Applied Biosystems) to 1 µl PCR product. The allelic ladder (see section 2.6) was prepared by adding 9.7 µl Hi-Di[™] Formamide and 0.3 µl GeneScan[™] 500 ROX[™] Size Standard to 2 µl allelic ladder. Data was analysed using GeneMapper[®]*ID-X* software version 1.2 with a minimum detection threshold of 50 rfu.

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114 2.6 Allele sequencing and allelic ladder construction

For sequencing of alleles, when available, homozygous individuals were used in singleplex PCRs with 115 unlabelled primers. Amplification was carried out in a 20 µl reaction volume containing 10.0 µl 116 ThermoPrime 2x ReddyMix PCR Master Mix (ThermoFisher Scientific), 0.5 µM forward and reverse 117 118 primer, 1.5 mM MgCl₂, 2-20 ng DNA template and PCR-grade H₂O to volume. Cycling parameters 119 were 95 °C for 3 min, 25 cycles of 95 °C for 1 min, 55 °C for 1 min and 72 °C for 1 min, followed by 72 °C for 15 min. Products were purified using the MinElute PCR Purification kit (QIAGEN, Hilden 120 121 Germany) according to the manufacturer's protocol. Reactions amplifying two alleles from heterozygous individuals were run on large 20 x 20 cm agarose gels for purification. Alleles separated 122 123 by >20bp were run on a 2.5 % gel at 200 Volts for 2.5 hours while those separated by 11 to 20 bp and 124 <10 bp were run on 3 % and 4 % gels respectively at 165 Volts for 4 hours. Bands were excised from 125 the gel using a sterile scalpel blade and purified using the GenCatch Advanced Gel Extraction Kit (Epoch Life Sciences, Texas, USA) or an EZNA Gel Extraction Kit (Omega Bio-Tek Inc., Georgia, 126 USA) according to manufacturer's protocols. The BigDye® Terminator v3.1 Cycle Sequencing Kit 127 (ThermoFisher Scientific) was used for cycle sequencing and products were purified using an ethanol 128 precipitation with 10 mM EDTA, 0.3 M NaOAc (pH 4.6) and 20 µg glycogen. Sequencing was carried 129 130 out on an ABI3500 genetic analyser (ThermoFisher Scientific) and sequence data analysed using 131 BioEdit software version 7.1.7 [18].

132

133 For construction of the allelic ladder, individual alleles were isolated after separate singleplex PCR amplification in 12.5 µl containing 6.25 µl ThermoPrime 2x ReddyMix PCR Master Mix, 0.5 µM of 134 labelled forward and unlabelled reverse primers and 3mM MgCl₂. Cycling parameters were 95 °C for 135 3 min, 25 cycles of 95 °C for 1 min, 55 °C for 1 min and 72 °C for 1 min, followed by 60 °C for 1 136 hour. Following capillary electrophoresis of 1 ul PCR product with 12 ul Hi-Di Formamide and 0.3 ul 137 GeneScan[™] 500 ROX[™] Size Standard (ThermoFisher Scientific), a working stock of each allele was 138 139 prepared at approximately 500 rfu/µl. Dilutions of 1:10, 1:1000 and 1:100,000 were then prepared 140 from each working stock and 1 µl used in another 12.5 µl singleplex PCR [19]. Capillary electrophoresis was again carried out using 1 µl of the amplified product and the reaction that yielded 141 high peak height intensities and no minus-A products or other artefacts were selected for 142 143 incorporation into the ladder. For the 00703 locus, a 1:10,000 dilution was found to be optimal. The 144 allelic ladder was finally assembled such that the volume of the allele product added to a 1 ml total 145 volume resulted in approximately 500 rfu across all alleles in 2 µl volume. A total of 55 alleles were 146 combined into the ladder. Sizing data from twenty-four injections of the allelic ladder was collected to 147 determine precision with allele calling. To facilitate inter-laboratory testing, samples of the allelic 148 ladder could be made available to other laboratories (please contact corresponding author).

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151 2.7. Developmental validation

153 A developmental validation was performed on the *SkydancerPlex* using SWGDAM guidelines [16].

155 2.7.1 Characterization of STR markers

Mendelian inheritance was examined through parentage verification of one family where a shed feather from an adult female and buccal swabs from four chicks were available. In addition, sibling samples from four nests were genotyped as described in sections 2.3 and 2.4 and genotypes of the parents inferred.

160

161 2.7.2 Species Specificity

Primer specificity was determined using 3-10 ng of DNA from two other bird species (pheasant and blue tit) and from the domestic dog. For the human sample, a total of 3 ng DNA was used in the PCR

164 followed by capillary electrophoresis as described in sections 2.3 and 2.4.

166 2.7.3 Sensitivity

167 Sensitivity of the STR multiplex was evaluated using DNA from female and male hen harrier tissue. 168 Samples were first diluted to a starting concentration of 1 ng/ μ l, followed by a serial dilution down to 169 0.062 ng/ μ l. Multiplex PCR amplification of 1 μ l of diluted sample was carried out using conditions 170 described in sections 2.3 and 2.4.

171 172 2.7.4 Stability

Artificially degraded DNA was used to assess stability of the multiplex. Female and male DNA samples degraded using DNAse I for the following times were used: 0 min (no DNAse I added), 5 min, 10 min and 30 min at 20.0 ng/µl, 10.0 ng/µl, 5.0 ng/µl, 2.0 ng/µl, 1.0 ng/µl, 0.5 ng/µl and 0.25 ng/µl were used (see [15] for details). Samples were then amplified using the multiplex PCR and capillary electrophoresis conditions described in sections 2.3 and 2.4.

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179 2.7.5 Precision and accuracy

The precision and accuracy of the multiplex was established through repeatability and reproducibility studies. The multiplex was tested by the same two operators on two separate days using PCR and capillary electrophoresis conditions described in sections 2.3 and 2.4. Three different thermal cyclers were also tested: a 2720 Thermal Cycler (ThermoFisher Scientific), a GeneAmp[®] PCR System 9700 (ThermoFisher Scientific) and a Veriti[®] 96-Well Thermal Cycler (ThermoFisher Scientific). Triplicate samples were used for each Thermal Cycler using conditions described in sections 2.3 and 2.4.

186187 2.7.6 Case-type samples

188 In order to assess samples that may typically be encountered in forensic casework, the multiplex was 189 tested on DNA from a wide range of samples. For tissue and buccal swab DNA, conditions described 190 in section 2.3 were used; for egg shell fragments and feather samples, between 3 and 20 ng DNA 191 template was used with all other conditions as described in sections 2.3 and 2.4.

192193 2.7.7 Population studies

Results from Hardy-Weinberg and linkage disequilibrium tests for the STR markers have been reported in a previous study [14]. Forensic parameters were obtained using PowerStats v1.2 [20].
Probability of Identity (P_{ID}) (unbiased) and Probability of Identify among siblings (P_{ID-SIB}) [21] were obtained using GIMLET v1.3.3 [22] and F_{ST} estimates were obtained using Arlequin v3.5.2.2 [23].

198 199 2.7.8 PCR-based studies

A few modifications were made to the cycling parameters recommended in the Qiagen Multiplex PCR Master Mix protocol. Extension time was reduced from 90 sec to 30 sec since maximum amplicon size was <300 bp and the number of cycles was reduced from the recommended 30-45 to 25. As the Qiagen Multiplex PCR Master Mix can be used with or without Q-Solution (Qiagen), a test was carried out. The sample was amplified in duplicate with and without Q-Solution using conditions described in sections 2.3 and 2.4 and the average peak height ratio for heterozygote loci calculated.

206

Initial tests with the *HHRFLPFOR* and *HHCHD1REV* CHD 1 primers resulted in imbalanced 212 and
 219 bp peaks in female individuals, necessitating the addition of an additional forward primer
 HHRFLPSUBSFOR (see section 2.1).

210

Primer concentrations for the HHBswB220w locus had to be halved in the primer mix in order to balance peak heights across all loci (Table 1).

213

214 For the developmental validation, the multiplex was tested on an ABI 2720 Thermal Cycler using two further PCR buffers: AmpliTaq Gold (ThermoFisher Scientific) and 2x Platinum Multiplex PCR 215 Mastermix (ThermoFisher Scientific). Multiplex PCR Mastermix and 2x Platinum Multiplex PCR 216 Mastermix amplification was carried out using the PCR conditions described in section 2.3. AmpliTag 217 Gold amplification was carried out in a 12.5 µl reaction, using 1x PCR buffer, 1.25 mM dNTPs, 3.0 218 219 mM MgCl₂, 1 Unit AmpliTaq Gold Polymerase, 1.25 µl of the primer mix, 1.0 ng female DNA and 220 PCR-grade H₂O to volume using cycling conditions described in section 2.3. Capillary electrophoresis was carried out as described in section 2.4. 221

222

226 3. RESULTS

227 228

229 3.1 Selection of STR markers

All selected STR markers (except for HH11-G7) appear within the list of possible tetranucleotide 230 motifs described by Jin et al. [24]. HHBswB220w, 43895, 55457, HH11-G7 and 62369 are simple 231 STR loci with no interruptions or substitutions in repeat motifs between the different alleles (Table 2). 232 Allele 6 of marker HH09-C1 contains six consecutive AAAC repeats, while alleles 7, 8 and 9 contain a 233 substitution within the repeat motif as shown in Table 2. This has also been observed in 00703, where 234 235 the first AGAT repeat in alleles 14 and 15 is AGGT. Genotyping of an adult female and four nestlings and four families of siblings provided support for Mendelian inheritance across all STR loci (data not 236 237 shown).

238 239

Table 2. Repeat motifs in se	quenced alleles of eight STR loci. Alleles	marked * have not been sequenced.
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6-FA	M		HEX		NED			
	Size (bp)	Repeat		Size (bp)	Repeat		Size (bp)	Repeat
HHB	swB220)w	55457			6236	9	
9	83	(AAT)9	6*	105	-	9*	112	-
10*	86	-	7	109	(AAAC)7	10	116	(AAAC)10
11*	89	-	8	113	(AAAC)8	11	120	(AAAC)11
12	92	(AAT)12	HH11-(G7		12	124	(AAAC)12
13	95	(AAT)13	6	132	(CAGCTTTCTTT)6	13	129	(AAAC)13
14	98	(AAT)14	7*	143	-	14*	133	-
15	101	(AAT)15	8	155	(CAGCTTTCTTT)8	0070	3	
16*	104	-	9	166	(CAGCTTTCTTT)9	7*	175	-
17*	107	-	10	177	(CAGCTTTCTTT)10	8	179	(AGAT)8
18*	110	-	11	188	(CAGCTTTCTTT)11	9	183	(AGAT)9
4389	95		12 199 (CAGCTTTCTTT)12		10	187	(AGAT)10	
11	148	(AGAT)11	22316			11 191		(AGAT)11
12	152	(AGAT)12	9	240	(AAAG)9	12	195	(AGAT)12
13	156	(AGAT)13	10	244	(AAAG)10	13	199	(AGAT)13
14	160	(AGAT)14	11	248	(AAAG)11	14 203 AGGT (AG		AGGT (AGAT)13
15	164	(AGAT)15	12	252	(AAAG)12	15 207 AGGT (A		AGGT (AGAT)14
18*	176	-	14	260	(AAAG)14			
HH0	9-C1		15	264	(AAAG)15			
6	254	(AAAC)6	16	268	(AAAG)16			
7	258	(AAAC)3 GAAC (AAAC)3	17	272	(AAAG)17			
8	262	(AAAC)3 GAAC (AAAC)4	17(2)	272	(AAAG)4 AGAG (AAAG)12			
9	266	(AAAC)3 GAAC (AAAC)5	18	275	(AAAG)18	(AAAG)18		
			19	279	(AAAG)19			
			19(2)	279	(AAAG)4 AGAG (AAAG)14			
			20	283	(AAAG)20			
			21	287	(AAAG)21			
			22*	291	-			

240

241 3.2 Species specificity

The primers showed high species specificity since no amplicons were observed using pheasant, blue tit, dog or human DNA (data not shown).

244

245 3.3 Sensitivity

A full STR profile was obtained down to 0.125 ng using female DNA (Figure 1). At 0.125 ng, the 212 bp fragment of the sexing marker (CHD 1) dropped just below the 50 rfu threshold but was still clearly

present (indicated by a red arrow). DNA from the male hen harrier resulted in a full profile including

CHD 1 down to a template concentration of 0.25 ng. At 0.125 ng, peak heights for markers 22316,

250 62369 and 00703 dropped below the 50 rfu threshold but were still present (indicated by red arrows,

Figure 1) but a validated lower limit for a full DNA profile was determined to be 0.25 ng.



Figure 1. Electropherograms after sensitivity testing of *SkydancerPlex* using a range of template DNA amounts. Peaks indicated by red arrows have dropped below the 50 rfu threshold. Note that panels have different rfu scales.

255 3.4 Stability

With artificially degraded DNA, full profiles were obtained in both female and male samples with 20.0 ng DNA after 5 and 10 minutes DNase I treatment. With lower amounts of DNA and increasing times of DNase I treatment, peak heights of larger alleles (>240 bp) began to drop below the rfu threshold followed by those of medium sized alleles (132 – 219 bp) (Table 3).

260 261

Table 3. Results of stability testing using artificially degraded DNA showing amplification success for alleles across all loci.

			L	501			
		HH11-G7			HH11-G7		
	B220	43895		B220	43895		
Template	55457	00703	HH09-C1	55457	00703	HH09-C1	
DNA	62369	CHD 1	22316	62369	CHD 1	22316	
	83 – 133 bp	132 – 219 bp	> 240 bp	83 – 133 bp	132 – 219 bp	> 240 bp	
	Female DNA,	0 min		Male DNA, 0	min		
20.0 ng	5/5	8/8	4/4	6/6	6/6	3/3	
10.0 ng	5/5	8/8	4/4	6/6	6/6	3/3	
5.0 ng	5/5	8/8	4/4	6/6	6/6	3/3	
2.0 ng	5/5	8/8	4/4	6/6	6/6	3/3	
1.0 ng	5/5	8/8	4/4	6/6	6/6	3/3	
0.5 ng	5/5	8/8	4/4	5/6	6/6	3/3	
0.25 ng	5/5	8/8	4/4	5/6	6/6	3/3	
	Female DNA,	5 min		Male DNA, 5	min		
20.0 ng	5/5	8/8	4/4	6/6	6/6	3/3	
10.0 ng	5/5	8/8	4/4	6/6	6/6	3/3	
5.0 ng	5/5	7/8	3/4	6/6	3/6	1/3	
2.0 ng	5/5	6/8	1/4	6/6	1/6	0/3	
1.0 ng	3/5	0/8	0/4	3/6	0/6	0/3	
0.5 ng	1/5	0/8	0/4	1/6	0/6	0/3	
0.25 ng	0/5	0/8	0/4	0/6	0/6	0/3	
	Female DNA, 10 min			Male DNA, 10 min			
20.0 ng	5/5	8/8	4/4	6/6	6/6	3/3	
10.0 ng	5/5	6/8	2/4	6/6	6/6	1/3	
5.0 ng	5/5	4/8	0/4	6/6	1/6	0/3	
2.0 ng	3/5	1/8	0/4	4/6	0/6	0/3	
1.0 ng	2/5	0/8	0/4	2/6	0/6	0/3	
0.5 ng	0/5	0/8	0/4	0/6	0/6	0/3	
0.25 ng	0/5	0/8	0/4	0/6	0/6	0/3	
	Female DNA, 30 min			Male DNA, 30 min			
20.0 ng	0/5	0/8	0/4	4/6	0/6	0/3	
10.0 ng	0/5	0/8	0/4	3/6	0/6	0/3	
5.0 ng	0/5	0/8	0/4	1/6	0/6	0/3	
2.0 ng	0/5	0/8	0/4	0/6	0/6	0/3	
1.0 ng	0/5	0/8	0/4	0/6	0/6	0/3	
0.5 ng	0/5	0/8	0/4	0/6	0/6	0/3	
0.25 ng	0/5	0/8	0/4	0/6	0/6	0/3	

262

263 3.5 Precision and accuracy

264 The multiplex PCR was carried out by two users on two separate days using the female and male DNA. Full profiles were generated each time and allele designation was consistent using the allelic 265 ladder (data not shown). Three different thermal cyclers and three different mastermixes were tested 266 in triplicate using female DNA and full profiles were obtained in every case with consistent allele 267 268 designation using the allelic ladder. Mean rfu values across all alleles in triplicate reactions were similar across all mastermixes: 854 rfu (SD 18.5) for the Qiagen multiplex PCR Mastermix, 786 rfu 269 (SD 65.4) for the Platinum Multiplex PCR Mastermix, and 987 (SD 105.7) for AmpliTag gold. Mean rfu 270 values across all alleles in triplicate reactions across three thermal cyclers using the Qiagen multiplex 271 mastermix were also similar: 854 rfu (SD 18.5) for the 2720 thermal cycler, 1131 rfu (SD 211) for the 272 9700 thermal cycler, and 1009 rfu (SD 107.4) for the Veriti thermal cycler. 273

274

275 3.6 Case-type samples

Full profiles were obtained for tissue, buccal swab and feather samples although the quality of profiles generated from feathers was found to vary considerably. Out of seventeen feather samples selected for testing, six showed no amplification or partial profiles. Only a partial profile was obtained for 1 out of 4 egg shell fragments, with 12 out of 17 alleles showing peak heights above the 50 rfu threshold. No amplicons were observed for the other 3 egg shell fragments (two from the same egg) (data not shown).

282

283 3.7 Population studies

Table 4 shows the allele frequencies observed in 63 individuals from across the UK (England n= 22,
 Scotland n= 29, Wales n= 8 and Isle of Man n= 4). All individuals were unrelated since buccal
 swab/feather samples from only one sibling from each nest was used.

287

Table 4. Allele frequencies for *SkyDancerPlex* STR markers in the UK (n = 63) and descriptive statistics, including the number of alleles (Na), match probability (MP), polymorphism information content (PIC), power of discrimination (PD), power of exclusion (PE), probability of identity (P_{ID}) and probability of identity for siblings (P_{ID-SIB}).

	Allele	B220	43895	HH09-C1	55457	HH11-G7	22316	62369	00703
	6	-	-	0.016	0.008	0.056	-	-	-
	7	-	-	0.347	0.683	0.294	-	-	0.127
	8	-	-	0.202	0.310	0.389	-	-	0.032
	9	-	-	0.435	-	0.167	0.097	0.048	0.087
	10	0.079	-	-	-	0.087	0.056	0.071	0.302
	11	0.127	0.016	-	-	-	0.065	0.143	0.032
	12	0.103	0.198	-	-	0.008	0.145	0.516	0.175
	13	0.119	0.183	-	-	-	-	0.214	0.143
	14	0.032	0.492	-	-	-	0.121	0.008	0.095
	15	0.198	0.103	-	-	-	0.024	-	0.008
	16	0.063	-	-	-	-	0.056	-	-
	17	0.262	-	-	-	-	0.129	-	-
	18	0.016	0.008	-	-	-	0.032	-	-
	19	-	-	-	-	-	0.194	-	-
	20	-	-	-	-	-	0.048	-	-
	21	-	-	-	-	-	0.024	-	-
_	22	-	-	-	-	-	0.008	-	-
	Na	9	6	4	3	6	13	6	9
	MP	0.046	0.152	0.197	0.397	0.122	0.041	0.181	0.074
	PIC	0.83	0.63	0.57	0.35	0.68	0.88	0.62	0.80
	PD	0.954	0.848	0.803	0.603	0.878	0.959	0.819	0.926
	PE	0.482	0.356	0.329	0.122	0.392	0.935	0.433	0.587
	P _{ID}	0.038	0.140	0.186	0.397	0.112	0.018	0.146	0.045
	$P_{ID\text{-}SIB}$	0.341	0.451	0.474	0.632	0.418	0.313	0.459	0.352

²⁹¹

292 Gene diversity (expected heterozygosity) values ranged from 0.442 to 0.896 [14]. Levels of 293 polymorphism and power of discrimination were high and match probability was low across all loci (Table 4). Calculated as the product of MP values across all 8 loci, the average profile frequency was 294 3.67 x 10⁻⁸ (1 in 27.3 million). Overall PID and PID-SIB estimates were 5.3 x 10⁻⁹ and 9.7 x 10⁻⁴ 295 296 respectively. An Fst of 0.022 was estimated when individuals from England, Wales and Isle of Man 297 (n=34) were compared to individuals from Scotland (n=29). Profile frequencies calculated using the 298 Balding & Nichols formula [25] using an F_{ST} of 0.03 were 1 in 644 million for the female DNA profile 299 and 1 in 396 million for the male DNA profile respectively.

- 300
- 301 3.8 Allelic ladder

An allelic ladder comprising 95 % of all alleles i.e. 55 alleles out of 58 observed alleles was developed (Figure 2). Allele 11 of marker 43895, allele 6 of marker 55457 and allele 12 of marker HH11-G7 were not included in the allelic ladder due to unavailability of suitable individuals to isolate the alleles from. A total of 80 % of the alleles (44/55) in the allelic ladder have been sequenced. The remainder (11) were not sequenced since no suitable individuals were available for isolation (heterozygous genotypes had alleles too close in size for successful separation) or small size of amplicon fell within size exclusion range of columns used for purification.

309

Alleles were consistently and accurately called across all STR loci with the use of the allelic ladder. Precision testing using 24 separate injections of the allelic ladder resulted in all allele sizes within

- three standard deviations of the mean (SD was no more than 0.036 and 3xSD was no more than
- 313 0.11) making allele bins of mean±0.5 bp highly conservative.
- 314

GeneMapper® ID-X 1.2





Figure 2. Allelic ladder for the SkydancerPlex. Contains 55 out of 58 observed alleles across 8 STR markers.

317

318 3.9 PCR based studies

319 During initial tests with all primer concentrations at 0.2 µM, a peak height of 1700 rfu was observed for 320 the homozygous allele at HHBswB220w compared to an average of 570 rfu for heterozygous alleles 321 in the other 6-FAM labelled loci. The primer concentration for this locus was consequently reduced to 322 0.1 µM. After this adjustment, well balanced profiles were obtained at all 8 STR markers using 1 ng template DNA (Figure 3 and 4 show profiles from female and male individuals respectively). 323 Incorporation of the additional HHRFLPSUBSFOR primer for the CHD-1 marker resulted in more 324 325 balanced Z and W products, although the 219 bp Z band in males occasionally results in split peaks 326 (Figure 4).

320 (Fig 327

As part of the optimisation process, the Multiplex PCR Master Mix (Qiagen) was tested with and without the addition of Q-solution (Qiagen). All peak height ratios were well above the 70 % [26] threshold (88-99%), but the average peak height ratio with the addition of Q-solution was 95.3 % compared to 94.5 % without Q-solution (data not shown).





Figure 3. Profile consisting of 8 STR markers and sexing marker CHD 1 generated using *SkydancerPlex* for the female hen harrier. The sexing marker CHD1 is present as two peaks at 212 and 219 bp in the green panel.





335 336 337

Figure 4. Profile consisting of 8 STR markers and sexing marker CHD 1 generated using *SkydancerPlex* for the male hen harrier. The sexing marker CHD1 is present as a split peak at 219 bp in the green panel.

340 Stutter percentages varied from 1.7 % to 15.6 %. The highest stutter percentages (3.1 % - 15.6 %) 341 were found for marker B220, a trinucleotide repeat, with an average of 8.7 %. The average stutter 342 percentage for the tetranucleotide repeats was 4.9 %. No stutter was observed for marker HH11-G7 with a repeat motif of 11 nucleotides. When comparing the stutter percentages for B220 with the three 343 different PCR buffers, the lowest was observed using Platinum Mastermix (8.4 %), followed by 344 Multiplex PCR Mastermix (8.6 %) and AmpliTag Gold (11.9 %). AmpliTag Gold also showed stutter 345 346 products at markers 22316, 62369 and 00703 (6.6 % - 14.7 %) whereas the other two PCR 347 mastermixes did not. No significant difference in stutter percentage for marker B220 was observed 348 when using different thermal cyclers (8.6 % - 8.8 %). 349

350 4. DISCUSSION

351

352 Most animal STR multiplexes validated for forensic use to date have been for domesticated species 353 such as cats [27], dogs [19] and pigs [28]. Although there are increasing numbers of STR multiplexes 354 being reported for wildlife species e.g. brown bears [29], red deer [30], elephant [31] and tigers [32], they often lack full forensic validation and/or the incorporation of an allelic ladder. The SkydancerPlex 355 is to our knowledge the first STR multiplex for a species of wildlife that includes an allelic ladder and 356 has been fully validated for forensic use using SWGDAM guidelines. It shows high levels of species 357 358 specificity since no amplicons were observed for any of the tested species. However, since crossspecies amplification of STR markers in closely related birds is frequently observed [14, 33-36], it is 359 possible that amplification will be seen in other closely related species such as the Montagu's harrier 360 361 (Circus pygargus) or marsh harrier (Circus aeruginosus). STR markers incorporated within SkydancerPlex exhibit high power of discrimination, with an average profile frequency of 3.67×10^{-8} (1) 362

in 27.3 million) and probability of identity (P_{ID}) of 5.3 x 10⁻⁹. Even the highly conservative estimate of probability of identity among siblings (P_{ID-SIB}) was only 9.7 x 10⁻⁴, making the *SkydancerPlex* a highly useful tool for investigations into cases of illegal persecution of hen harriers. Estimates of profile frequencies for the female and male DNA samples using a conservative 0.03 estimate for F_{ST} in the Balding & Nichols' formula were reassuringly low at 1 in 644 million and 1 in 396 million respectively.

- 369 SkydancerPlex showed good sensitivity, with full profiles being obtained down to 0.125 ng for both the female and male DNA although rfu levels fell below the threshold of 50 for several alleles. However, 370 using the allelic ladder, all these allele peaks fell clearly within the respective allelic bins, enabling 371 allelic designation. Further enhancement in sensitivity could be obtained by increasing primer 372 concentrations for HEX and NED labelled primers which showed lower rfu values in comparison to 373 374 FAM labelled primers [37]. All peak height ratios were well above the 70 % threshold across all loci. In 375 the case of the sexing marker, incorporation of an additional forward primer for CHD 1 improved peak 376 height for the 219 bp amplicon. However, the 212 bp and 219 bp amplicons remain unbalanced and 377 further enhancement could be achieved by reducing the HHRFLPSUBSFOR primer concentration. 378 The split peaks seen with the 212 and 219 bp CHD 1 Z chromosome amplicons are unlikely to be the 379 result of incomplete adenylation since full adenylation was obtained for all STR markers with a final extension time of one hour. Addition of a GTTTCTT or 'PIG-tail' to the 5'-end of the reverse primer 380 381 [38] or a complementary oligonucleotide to the unlabelled primer to prevent secondary structure 382 between the labelled single strand of amplicon and unlabelled primer post amplification [39] might 383 resolve this issue.
- 384 385 All STR markers within SkydancerPlex have an amplicon size lower than 300 bp and should be successfully amplified in degraded DNA samples. Most of the human STR kits designed specifically 386 for degraded samples such as AmpFISTR® MiniFiler[™] contain STR loci with amplicon sizes of ≤ 250 387 388 bp [40]. The stability study showed that full profiles could still be obtained after 5 minutes of DNase I 389 treatment using 20.0 and 10.0 ng DNA and after 10 minutes of DNase I treatment using 20.0 ng DNA. 390 With lower amounts of DNA, allele dropout was seen with larger loci e.g. with 5 mins DNase I 391 treatment and 5.0 ng DNA, all smaller alleles (83-133 bp) were successfully amplified while allele 392 dropout was seen in larger alleles (132-219 bp and >240 bp). No amplicons were observed after 5 393 mins DNase I treatment using <0.5 ng DNA and after 10 mins DNase I treatment using <1.0 ng DNA. 394 After 30 mins DNase I treatment, only a few small amplicons (83-133 bp) were detected in the male 395 DNA using high amounts of DNA.

396

397 The SkydancerPlex is very robust producing full profiles using three different PCR mastermixes and 398 thermal cyclers, making it suitable for use in any laboratory. Allele calling can also be standardised 399 across laboratories using the allelic ladder developed during this study. The SkydancerPlex allelic 400 ladder includes 55/58 alleles detected and shows very well balanced peaks. Furthermore, it demonstrates high levels of precision and accuracy with 3xSD consistently <0.12 which is well within 401 402 the standard 0.5bp used for allelic bins. The percentages of stutter observed are very low, with a 403 maximum of 15.6 % observed for a trinucleotide repeat and an average of 4.9 % (range 1.7 % -404 14.7%) for tetranucleotide repeats. This is comparable to stutter percentages for the AmpFISTR® 405 Identifiler[®] Plus kit which vary between 4.0 and 13.6 % [41].

406

407 Several case type samples were successfully used. However, feather samples were variable in terms 408 of DNA profile generated. Higher DNA yields and better PCR amplification success has previously 409 been reported from plucked feathers compared to moulted feathers [42,43]. Feathers also contain the 410 pigment melanin which inhibits PCR amplification [44]. For the egg shell fragment samples, only 1 out 411 of 4 samples produced a partial PCR profile (12 out of 17 alleles at rfu above 50, but 14 out of 17 412 alleles could be called overall). Egg shell contains calcium which is also known to be a PCR inhibitor [44], so DNA profiling of egg shell fragments could be improved if the egg shell is powdered and 413 decalcified with EDTA prior to DNA extraction [45]. 414

415

416 5. CONCLUSION

The *SkydancerPlex* is the first STR multiplex for a species of wildlife that is fully validated according to the ISFG and SWGDAM guidelines. It has high species specificity and sensitivity, is highly robust providing full profiles using several different PCR buffers and thermal cyclers, and the availability of an allelic ladder makes usage across laboratories easier and ensures accurate and consistent allele designation. Furthermore, the *SkydancerPlex* is highly discriminatory, with an average profile frequency of 3.67 x 10^{-8} (1 in 27.3 million) and P_{ID} and P_{ID-SIB} estimates of 5.3 x 10^{-9} and 9.7 x 10^{-4} respectively, highlighting its potential use in forensic investigations.

424 425 ACKNOWLEDGEMENTS

426 The School of Forensic and Applied Sciences at the University of Central Lancashire funded this research. We are grateful to Rob Ogden (Royal Zoological Society of Scotland) and Steve Downing 427 428 (Wildlife Consultant) for assistance with setting up this project. The hen harrier tissue samples came from birds that died of natural causes and was recovered by Steve Downing. We are very grateful to 429 430 the following people for providing hen harrier buccal swab and feather samples: Alan Leitch, Andrew 431 Sanderman, Brian Etheridge, B. Ribbands, Bill Taylor, Chris Sharpe, Dave Sowter, E.R. Meek, Geoff 432 Sheppard, Ian M. Spence, J.A.L. Roberts, Jim Williams, Steve Downing, Steve Murphy, E.J. Williams 433 and Jude Lane. We also thank Judith Smith for providing blue tit, pheasant and dog samples.

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