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### **Evolution of long-lived globular cluster stars**

## II. Sodium abundance variations on the asymptotic giant branch as a function of globular cluster age and metallicity

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#### ABSTRACT

*Context.* Long-lived stars in globular clusters exhibit chemical peculiarities with respect to their halo counterparts. In particular, sodium-enriched stars are identified as belonging to a second stellar population born from cluster material contaminated by the hydrogen-burning ashes of a first stellar population. Their presence and numbers in different locations of the colour-magnitude diagram provide important constraints on the self-enrichment scenarios. In particular, the ratio of Na-poor to Na-rich stars on the asymptotic giant branch (AGB) has recently been found to vary strongly from cluster to cluster (NGC 6752, 47 Tuc, and NGC 2808), while it is relatively constant on the red giant branch (RGB).

Aims. We investigate the impact of both age and metallicity on the theoretical sodium spread along the AGB within the framework of the fast rotating massive star (FRMS) scenario for globular cluster self-enrichment.

*Methods.* We computed evolution models of low-mass stars for four different metallicities ([Fe/H]=-2.2, -1.75, -1.15, -0.5) assuming the initial helium-sodium abundance correlation for second population stars derived from the FRMS models and using mass loss prescriptions on the RGB with two realistic values of the free parameter in the Reimers formula.

*Results.* Based on this grid of models we derive the theoretical critical initial mass for a star born with a given helium, sodium, and metal content that determines whether that star will climb or not the AGB. This allows us to predict the maximum sodium content expected on the AGB for globular clusters as a function of both their metallicity and age. We find that (1) at a given metallicity, younger clusters are expected to host AGB stars exhibiting a larger sodium spread than older clusters and (2) at a given age, higher sodium dispersion along the AGB is predicted in the most metal-poor globular clusters than in the metal-rich ones. We also confirm the strong impact of the mass loss rate in the earlier evolution phases on the Na cut on the AGB: the higher the mass loss, the stronger the trends with age and metallicity.

*Conclusions.* The theoretical trends we obtain provide, in principle, an elegant qualitative explanation to the different sodium spreads that are observed along the AGB in the Galactic globular clusters of different ages and [Fe/H] values. Although it is real, the slope with both age and metallicity is relatively flat, although it steepens when accounting for mass loss variations. Therefore, additional parameters may play a role in inducing cluster to cluster variations, that are difficult to disentangle from existing data.

Key words. globular clusters: general - stars: evolution - stars: abundances - stars: low-mass

### 1. Introduction

The discovery of large sodium (Na) abundance variations from star to star both at the main sequence (MS) turnoff and on the red giant branch (RGB) within Galactic globular clusters (GC; e.g. Cottrell & Da Costa 1981; Gratton et al. 2001) has revolutionized the classical paradigm that describes these systems as large aggregates of coeval stars formed out of homogeneous material. The ubiquitous presence of the Na-O anticorrelation in Galactic and extragalactic GCs (e.g. Carretta et al. 2009a; Mucciarelli et al. 2009; Lind et al. 2011; Larsen et al. 2014), together with the C-N and the Mg-Al anticorrelations (see the review by Gratton et al. 2012, and references therein), are interpreted as a result of self-enrichment of these systems during their early evolution. On the one hand, GC stars with chemical properties similar to those of Galactic field stars are thought to have formed from original proto-cluster material, and are often referred to as first population (or first generation, hereafter 1P) GC stars. On the other hand, GC stars that exhibit different degrees of Na, N, and Al enrichment anticorrelated with O, C, and Mg depletion (so-called second population, 2P, or second generation stars) must have formed from original gas polluted to various degrees by hydrogen-burning ashes ejected by more massive, short-lived, 1P GC stars (e.g. Prantzos et al. 2007).

The frontier between these different chemical populations and their generational connections within individual GCs are far from being clear. Several GC self-enrichment scenarios are competing to explain the observations. Each of them invokes specific "polluters"<sup>1</sup> that imply very different conditions and timelines for the formation of GC stars with the observed chemical pecu-

 $<sup>^1</sup>$  Fast rotating massive stars (FRMS, masses above 25  $M_\odot$ ; Prantzos & Charbonnel 2006; Decressin et al. 2007a,b; Krause et al. 2013), asymptotic giant branch stars (AGB, masses of  $\sim 6.5~M_\odot$ ; Ventura et al. 2001, 2013; D'Ercole et al. 2010), massive binary stars

liarities. While the formation of the two populations is separated by  $\sim 50$  - 100 Myr in the case of the AGB polluter scenario (e.g. D'Ercole et al. 2010), a limited delay lower than  $\sim 3.5$  to 8 Myr is expected in the FRMS polluter scenario (e.g. Krause et al. 2013). Additionally, different assumptions can be made for the stellar initial mass function (IMF) of the different populations. In most cases, "classical" IMF slopes (e.g., Salpeter or Kroupa IMF) are considered for the 1P polluters. This has extreme consequences on the determination of the clusters' IMF, as this implies the loss of most of 1P stars (~ 95%) towards the Galactic halo in order to explain the observed number ratio of lowmass stars along the O-Na distribution (the so-called mass budget problem, see e.g Norris 2004; Prantzos & Charbonnel 2006; Carretta et al. 2010; Schaerer & Charbonnel 2011). However, Charbonnel et al. (2014) propose one variation of the FRMS scenario where only massive stars form from pure original protocluster material, and all low-mass GC stars form from a mixture between H-processed stellar ejecta and proto-cluster material in variable proportions accounting for both the observed Li-Na anti-correlation (e.g. Lind et al. 2009) and the typical percentage of low-sodium stars that are usually classified as 1P stars (~ 30%; Prantzos & Charbonnel 2006; Carretta et al. 2009b; Carretta 2013). This strongly alleviates the mass budget issue, in better agreement with constraints provided by young massive star clusters (Bastian et al. 2014; Hollyhead et al. 2015; Krause et al., submitted) and star counts in the halo of dwarf galaxies (Larsen et al. 2014).

Despite their differences, all the self-enrichment scenarios predict that the 2P GC stars that are enriched in sodium were born with higher helium content than 1P stars, as helium is the main product of hydrogen burning. This has been confirmed by direct spectroscopic measurements for a few horizontal branch (HB) stars in the GC NGC 2808 (Marino et al. 2014). The implication of initial helium enhancement on the HB morphology has been extensively discussed in the literature (e.g. D'Antona et al. 2010; Chantereau et al. 2015, and references therein). Helium variations are also invoked to explain (at least partly) the peculiar photometric patterns (multiple sequences and/or spreads at the turnoff and/or along the subgiant and giant branches) in the colour-magnitude diagram (CMD) of several GCs (Milone et al. 2012; Marino et al. 2012; Piotto et al. 2012; Monelli et al. 2013). However, the degree of He enrichment with respect to that of Na strongly varies from one potential polluter to the other<sup>2</sup>.

In this series of papers we investigate the impact of helium enrichment associated with the other abundance peculiarities in Na, O, Mg, Al, C, and N on the properties of low-mass GC stars all along their evolution, and the implications on GC CMD. In Paper I we presented a large grid of stellar evolution models for low-mass stars (initial masses between 0.3 and 1.0  $M_{\odot}$ ) with an initial helium mass fraction between 0.248 and 0.8 computed

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for [Fe/H]=-1.75 (close to the value of one of the best studied GC, NGC 6752). With these models we explored the implications of an extreme helium spread on the main stellar properties (evolution paths, lifetimes, chemical nature of the white dwarf remnants, and mass and helium content of stars in different areas of the Hertzsprung-Russel diagram, HRD). In Charbonnel et al. (2013, hereafter C13), we used this grid of stellar models to interpret the apparent lack of Na-rich AGB stars in NGC 6752, which was considered a serious problem for stellar evolution theory when it was discovered (Campbell et al. 2013). We showed in particular that the He-Na correlation needed to explain the AGB data in this GC corresponds to the one predicted by the FRMS scenario, but that it is at odds with the le lack of correlation predicted in the AGB pollution scenario.

Here we complete the study of C13. We investigate in particular the impact of both metallicity and age on the spread in Na expected along the AGB for He-enriched stars, when assuming the initial He-Na correlation resulting from the FRMS model and Reimers mass loss prescription (with two different values of the  $\eta$  parameter) for low-mass evolved stars. In § 2 we present the input physics of the low-mass stellar models we have computed for the present purpose, which cover the range in [Fe/H] between -2.2 and -0.5. In § 3 we describe how helium and metallicity affect the passage on the AGB for a star of given mass (we focus on the example of a 0.75 M<sub>o</sub> model).

We use our grid of models in § 4 to derive the critical initial mass for a star born with a given initial helium mass fraction and [Fe/H] to climb or not the AGB. This allows us to draw a theoretical AGB / no-AGB limit as a function of initial stellar mass and helium content for four values of [Fe/H] (-2,2,-1.75, -1.15, and -0.5). We then derive the theoretical sodium spread expected along the AGB as a function of GC metallicity and age (between 9 and 13.4 Gyr, which covers the age range of Galactic GCs). We discuss open issues, and compare the theoretical predictions to observations in § 5.

### 2. Stellar evolution models. Assumptions

### 2.1. Basic input physics

We compute evolution models of low-mass stars with the code STAREVOL assuming the same input physics as in Paper I and C13. We refer to these papers for references and details on the equation of state, opacities, nuclear reaction rates, and mixing length parameter for convection.

Mass loss is accounted for by following the Reimers (1975) prescription up to the end of central He-burning with the  $\eta$  parameter equal to 0.5 (i.e., close to the median value of 0.477  $\pm$  0.070 for GC RGB stars according to McDonald & Zijlstra 2015), and following the Vassiliadis & Wood (1993) prescription in the more advanced phases. For the two extreme metallicities of the present grid ([Fe/H] = -2.2 and -0.5) we investigate the impact of a higher  $\eta$  parameter (0.65, maximum value inferred by McDonald & Zijlstra 2015) in the Reimers formula for the stellar models that are close to the AGB / no-AGB limit (§ 2.4).

We do not include atomic diffusion, rotation, or overshooting in the computations. Models are built for four values of [Fe/H] (-2.2, -1.75, -1.15, and -0.5). The chemical composition we assume for the 1P and 2P models is described below. The mass range and the step in mass of the models of the grid are given in § 4.1.

<sup>(</sup>masses between  $\sim 10$  and 20  $M_{\odot}$ ; de Mink et al. 2009), or supermassive stars (masses  $\sim 10^4~M_{\odot}$ ; Denissenkov & Hartwick 2014).

 $<sup>^2\,</sup>$  In the AGB scenario, all 2P stars spanning a large range in Na are expected to have very similar He (maximum  $\sim 0.36$  - 0.38 in mass fraction). This is due to the fact that He enrichment of the envelope of intermediate-mass stars results from the second dredge-up on the early-AGB, which is independent of the mass of the AGB progenitor (e.g. Forestini & Charbonnel 1997; Doherty et al. 2014) and occurs before the TP-AGB where hot bottom burning might affect the abundances of Na. On the other hand in the FRMS scenario the Na and He enrichment are correlated as they both result from hydrogen-burning on the main sequence (see Chantereau et al. 2015, hereafter Paper I, and references therein).

### 2.2. Chemical composition of first population stars

The initial helium mass fraction  $Y_{ini}$  of 1P GC stars at a given metallicity is obtained with the relation  $Y_{ini} = Y_0 + (\Delta Y / \Delta Z) \times Z$ , where Z is the heavy element mass fraction. The primordial helium mass fraction  $Y_0$  chosen is equal to 0.2479 (Coc et al. 2004). The slope  $\Delta Y / \Delta Z$  is derived from the solar<sup>3</sup> and primordial helium abundances and is equal to 1.62. We include  $\alpha$ -enhancement depending on [Fe/H] following Carretta et al. (2010). For the other elements we adopt a solar-scaled composition using Grevesse & Noels (1993) to be consistent with the chemical composition of the FRMS models of Decressin et al. (2007a). The corresponding values for Z, [ $\alpha$ /Fe], and  $Y_{ini}$  are given in Table 1.

[Fe/H]	Ζ	$[\alpha/\text{Fe}]$	Y <sub>ini</sub> (1P)
-0.5	$7.9  imes 10^{-3}$	+0.2	0.255
-1.15	$2.1 \times 10^{-3}$	+0.3	0.249
-1.75	$5.4 \times 10^{-4}$	+0.3	0.248
-2.2	$2.1\times10^{-4}$	+0.35	0.248

**Table 1.** Heavy element mass fraction Z,  $\alpha$ -enrichment, and helium mass fraction of the first population stars for the different values of [Fe/H] of the present grid of stellar models

#### 2.3. Chemical composition of second population stars

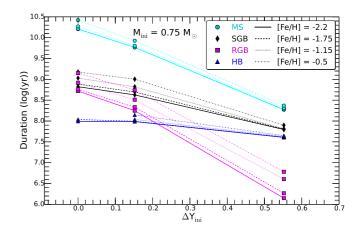
For the initial chemical composition of 2P stars we adopt the correlation between He and Na abundances predicted by the FRMS models of Decressin et al. (2007b) accounting for dilution; the abundances of C, N, O, Mg, and Al are scaled accordingly. We refer to Paper I and C13 for details (see e.g. Fig.1 in C13 and in Paper I). For each value of [Fe/H], we compute models of 2P stars with Y<sub>ini</sub> equal to 0.26, 0.27, 0.3, 0.33, 0.37, 0.4, 0.425, and 0.45. This last value corresponds to a Na enrichment  $\Delta$ [Na/Fe] of 0.84 dex with respect to 1P stars. In Paper I, 2P models were computed with Y<sub>ini</sub> as high as 0.8. However, when the initial He mass fraction is higher than 0.5, stars that reach the AGB phase have lifetimes that are shorter than the age of the youngest Galactic GCs. Therefore such models are not relevant for the purpose of the present study and are not presented here, except for the specific and illustrative case of the 0.75  $M_{\odot}$  models discussed in § 3.

### 2.4. A definition of the AGB / no-AGB limit

In this paper we consider two different criteria for a star to be counted as an AGB. We first take the same AGB criterion as in C13, i.e. we count both thermally pulsing AGB (TP-AGB) stars and those stars that make an excursion on the early-AGB without necessarily undergoing thermal pulses (TP) before moving towards the planetary nebulae phase. As a second criterion, we consider that a star can be counted as an AGB only when the corresponding model undergoes at least two helium thermal pulses (TP) after the end of the early-AGB, which is a more conservative definition. Since the lifetime of the TP-AGB is much shorter than the total duration of the AGB sequence (i.e., between the end of central He-burning and the end of the last TP; e.g. Forestini & Charbonnel 1997; Herwig 2005; Karakas 2005; Marigo et al. 2013), we are aware that this second criterion might be too strict from the point of view of the star counts for low-luminosity AGB stars. However, we introduce it in order to avoid contamination by RGB stars in star counts on the upper AGB.

## 3. Impact of initial helium and metal content on a 0.75 $M_{\odot}$ star

The influence of the initial composition of a star on its evolution and fate is described at length in the literature. In Paper I, we quantified for the first time how very high initial helium content (Y<sub>ini</sub> between 0.4 and 0.8) modifies both the stellar lifetime and the evolution path within the HRD for a specific value of [Fe/H] equal to -1.75. Here we note the main points and the dependency with [Fe/H]. For illustration purposes, we present theoretical predictions for the 0.75  $M_{\odot}$  case for which we computed the four considered metallicity models with three different initial helium mass fractions: Y<sub>ini</sub> (1P), and Y<sub>ini</sub> (1P)+ $\Delta$ Y<sub>ini</sub>,  $\Delta$ Y<sub>ini</sub> being chosen equal to 0.152 and 0.552 for the sake of homogeneity. The definitions of the different evolution phases are the same as in Paper I (see their § 4), except for the additional and more conservative limit we adopt here (§ 2.4) for the AGB phase.



**Fig. 1.** Duration of the different evolution phases up to the end of central helium burning for the 0.75  $M_{\odot}$  models as a function of initial helium enrichment for the four different values of [Fe/H] (see colours and symbols in inset; MS = main sequence; SGB = subgiant branch; RGB = red giant branch; HB = horizontal branch). The abscissa  $\Delta Y_{ini}$  is the difference between the initial helium mass fraction of a given model and that of 1P stars at the considered metallicity

### 3.1. Stellar lifetime

Helium enrichment in the initial chemical composition of 2P stars reduces the Thomson scattering opacity and increases the mean molecular weight within the stellar interior with respect to the 1P case. This modifies the evolution path in the HRD; helium-rich stars of a given initial mass evolve at a higher effective temperature and luminosity than their helium-normal counterparts. These effects are reinforced when [Fe/H] decreases, as

 $<sup>^3</sup>$  For the solar value we use the helium mass fraction obtained by calibrating a 1  $M_{\odot}, Z_{\odot}$  standard model in both luminosity and radius at the age of 4.57 Gyr, using the Grevesse & Noels (1993) mixture as in our present computations.

this further decreases stellar opacity. Consequently, the duration of the MS, the subgiant branch (SGB), and the RGB are shortened when higher  $Y_{ini}$  and lower [Fe/H] are considered. This is quantified for the  $0.75M_{\odot}$  models in Fig. 1 where we plot the duration of the different evolution phases as a function of initial He enrichment for the considered [Fe/H] values. For the age range covered by Galactic GCs (typically between ~ 9 and 13 Gyr), the only  $0.75M_{\odot}$  stars that are still active are those with relatively low helium enrichment, while all the others have already finished their lives and remain only in the form of white dwarfs (see below).

### 3.2. Central helium burning

### 3.2.1. To ignite (or not) central helium burning and the nature of the white dwarf remnants

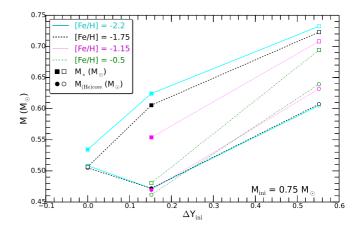
The domain in initial stellar mass and helium mass fraction where stars ignite central helium burning is discussed in Paper I for [Fe/H] equal to -1.75 (see their Fig. 16): The higher  $Y_{ini}$ , the lower the critical initial stellar mass for a star to burn helium. Additionally for a given  $Y_{ini}$ , the minimum stellar mass to ignite helium decreases with metallicity. Therefore, changing [Fe/H] induces a shift in the (M;  $Y_{ini}$ ) limit for a star to finish its life as an He or CO white dwarf (WD).

For the 0.75  $M_{\odot}$  case, the 1P models (i.e.  $\Delta Y_{ini} = 0$ ) computed with the highest [Fe/H] values (-0.5 and -1.15) actually end their lives as helium WDs, whereas those computed with the lowest metallicities ignite helium in their core at the tip of the RGB ([Fe/H] =-2.2), or while crossing the HRD from the RGB tip towards high effective temperature (hot-flasher, [Fe/H] = -1.75). For the two other values of  $\Delta Y_{ini}$  assumed here, all the 0.75  $M_{\odot}$  models reach the conditions to burn helium whatever the [Fe/H] value and therefore finish their lives as CO WD (Fig. 4).

For a given initial stellar mass, central helium ignition occurs at lower luminosity on the RGB when  $Y_{ini}$  increases, as a result of lower degeneracy and higher temperature of the helium core. Additionally, the total stellar mass at the time of central helium ignition is higher when higher  $Y_{ini}$  values are considered, as shown in Fig. 2 for the 0.75  $M_{\odot}$  models. This results from more modest amount of mass lost by the stellar wind on the RGB, due to shorter RGB lifetime and lower luminosity reached at the RGB tip when  $Y_{ini}$  increases. Again, all these effects are reinforced when [Fe/H] is lower.

### 3.2.2. Modes of helium ignition and properties on the horizontal branch

The mode of helium ignition for a given initial stellar mass depends on the combination between  $Y_{ini}$  and [Fe/H] that both affect the degree of degeneracy of the helium core. On the one hand, for the highest helium enrichment considered here ( $\Delta Y_{ini} = 0.552$ ) all the 0.75  $M_{\odot}$  models ignite helium in non-degenerate conditions independently of the metallicity we assume. In this regime, the stars arrive on the zero age horizontal branch (ZAHB) with both higher total mass and core mass (and as a consequence with higher effective temperature) when  $Y_{ini}$  increases. On the other hand, when the initial helium content is lower ( $\Delta Y_{ini} = 0$  and 0.152) the 0.75  $M_{\odot}$  models that ignite helium undergo the helium flash at the RGB tip (or while crossing the HRD); they arrive on the ZAHB with a lower effective temperature when  $Y_{ini}$  increases, due to their higher total mass and lower ratio between the core and the envelop masses. The



**Fig. 2.** Total stellar mass and mass of the helium core (squares and circles respectively) of the 0.75  $M_{\odot}$  models at the arrival on the ZAHB as a function of  $\Delta Y_{ini}$  and for the different metallicities (colour-coded, see inset). For the highest [Fe/H] values (-1.15 and -0.5), the 1P models (i.e.  $\Delta Y_{ini}$  =0) do not ignite central He burning and therefore do not appear on the plot. Full and open symbols correspond respectively to the models that do or do not reach the TP-AGB phase (and undergo at least two thermal pulses) after central helium burning

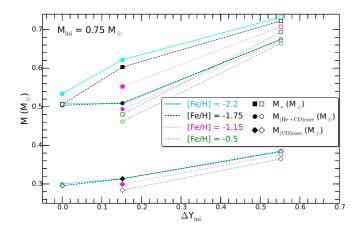
dependency with metallicity of these effects on the mass of the star and of its core on the ZAHB is illustrated in Fig. 2.

Finally, the duration of the central helium burning phase decreases with metallicity, and it varies by  $\sim 63$  % over the investigated range in  $\Delta Y_{ini}$  for the 0.75 M<sub> $\odot$ </sub> case (Fig. 1).

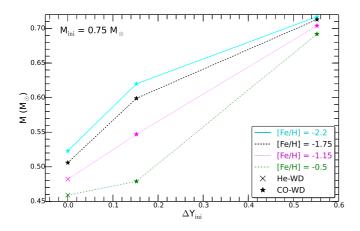
### 3.3. To become or not an AGB

At the end of central helium burning, a minimum envelope mass is required for a star to climb the AGB and to undergo thermal pulses (TP). Additionally, the number of TPs depends on the mass of the stellar layers located above the helium-burning shell (HeBS). For the 0.75  $M_{\odot}$  models the relevant information can be retrieved from Fig. 3 where we plot (at the end of central helium burning) the mass of the CO core, the mass of the CO+HeBS region, and the total remaining mass of the star as a function of helium enrichment for the different values of [Fe/H]. In addition, we use different symbols depending on whether or not a given model becomes an AGB (filled and open symbols, respectively) according to the more conservative definition (i.e., at least two thermal pulses). All of these quantities increase with increasing helium enrichment and decreasing metallicity. Consequently the mass of the layers above the HeBS decreases for very high Y<sub>ini</sub> (above 0.4) and lower [Fe/H].

As a consequence of this behaviour, all the moderately helium-enriched 0.75  $M_{\odot}$  models ( $\Delta Y_{ini} = 0.152$ ) climb the TP-AGB except for the most metal-rich one ([Fe/H] = -0.5) that has an envelope above the HeBS that is too small (in mass) because its longer RGB lifetime enables more mass loss from the wind. However, all the most helium-rich 0.75  $M_{\odot}$  models ( $\Delta Y_{ini} = 0.552$ ) become AGB-manqué (or undergo only one TP) independently of [Fe/H]. In this case all the models develop much more massive CO cores than in the helium-normal case; therefore, they leave the HB with a very narrow stellar envelope that cannot be swollen by the energy released by the contraction of the core and nuclear shell burning after central He-burning. This actually prevents the stars from climbing the TP-AGB.



**Fig. 3.** Total stellar mass, mass of the (He+CO) core, and mass of the CO core (squares, circles, and diamonds respectively) at the end of HB for the 0.75  $M_{\odot}$  models at the different metallicities (colour-coded) as a function of  $\Delta Y_{ini}$ . Full and open symbols correspond respectively to the models that do or do not reach the TP-AGB phase (and undergo at least two thermal pulses) after central helium burning



**Fig. 4.** Mass of the white dwarf remnant for the 0.75  $M_{\odot}$  models as a function of  $\Delta Y_{ini}$  for the different metallicities (colour-coded). Crosses and stars correspond respectively to helium and CO white dwarfs

#### 3.4. Remnants

The nature (He or CO) and the mass of the white dwarfs at the end of the evolution of the different 0.75  $M_{\odot}$  models are presented in Fig. 4. As already discussed in § 3.2.1, He WD are obtained only for the canonical helium abundance and for the most metal-rich initial composition. Even so, these two models have stellar lifetimes that are longer than the Hubble time (Fig. 1). Therefore no He WDs with 0.75  $M_{\odot}$  progenitors are expected to be present today in GCs. However and as discussed in Paper I (see their Fig.16), He WDs are expected to form on much shorter lifetimes for slightly higher initial mass and helium content. Finaly, we note that the theoretical remnant mass strongly increases with both increasing initial helium content and decreasing metallicity, as a result of modified stellar evolution as described above (see Fig. 4).

### Sodium spread on the AGB - Theoretical trends with age and metallicity for the current model assumptions

As discussed in § 3 (see also Paper I and C13), helium-enriched stars have shorter lifetimes for a given initial stellar mass than helium-normal stars; this adds to the impact of initial metallicity on the duration of the different evolution phases. Additionally, above a cut-off value of the initial helium abundance that also depends on metallicity, stars do not climb the AGB and evolve directly to the WD sequence at the end of central helium burning.

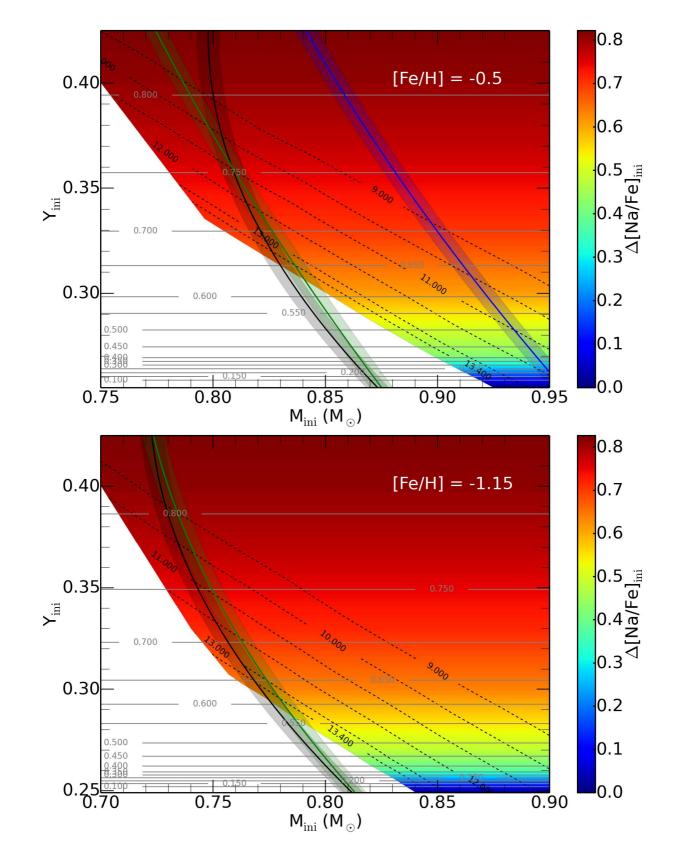
Importantly in the framework of the FRMS scenario for GC self-enrichment a helium-sodium correlation is predicted in the initial composition of 2P stars (§ 2.3). As a consequence, a cut-off value is also expected for the sodium abundance of GC AGB stars, as already discussed in C13 for the specific case of NGC 6752, and as quantified below as a function of age and metal content.

### 4.1. Critical (Y<sub>ini</sub>; M<sub>ini</sub>; [Fe/H]) combination for a star to become an AGB

In order to pinpoint the critical initial mass at which a model fails to climb the AGB for each (Y<sub>ini</sub>; [Fe/H]) combination, we first computed a grid of 2P models with the assumptions presented in § 2; the initial masses varied between 0.6 and 0.95 M<sub>☉</sub> with a mass step of 0.05 M<sub>☉</sub>. We then computed additional models with a refined mass step of 0.01 M<sub>☉</sub> around the AGB / no-AGB limit. The critical mass at which a star becomes an AGB is then obtained by interpolating between the closest models that become AGB or not (according to both AGB criteria). In addition, for the two extreme [Fe/H] values we also derive the AGB / no-AGB limit using different values for the  $\eta$  parameter in the Reimers prescription on the RGB.

This leads to the AGB / no-AGB limit curves shown in Figs. 5 and 6 for the different metallicities; the dashed areas correspond to the typical error bar of 0.005  $M_{\odot}$  that is due to the mass step of the grid of models. The black curve is for the case where we consider as AGB stars those that undergo at least two thermal pulses, and the green curve is for the C13 case where stars make an excursion on the early-AGB without necessarily undergoing TP later on (shown only for [Fe/H] = -2.2 and -0.5). Both the black and green cases are for models computed with a value of the parameter  $\eta$  equal to 0.5 in the Reimers prescription for mass loss on the RGB. Finally, the blue curve and blue shaded area in the [Fe/H] = -2.2 and -0.5 plots correspond to the AGB limit (C13 criterion) when  $\eta$  is taken equal to 0.65. Clearly, variations of the mass loss parameter lead to an important shift of the AGB / no-AGB limit, as expected; however the definition of the AGB (TPs or not) has only a very modest impact.

The intersection of the AGB / no-AGB curves with the isochrones plotted in Figs. 5 and 6 provides the maximum ( $Y_{ini}$ ;  $M_{ini}$ ) one might expect for an AGB star at a given age for each value of [Fe/H]. For the three lowest metallicities considered ([Fe/H] = -1.15, -1.75, and -2.2), the cut-off is found at higher Yini value for both younger ages at a given [Fe/H] and lower metallicities at a given age. The [Fe/H] = -0.5 curves does not follow the general trend; indeed in this case and for the mass range considered, the cut-off depends more on the initial stellar mass than on the initial helium content.



**Fig. 5.**  $\Delta$ [Na/Fe]<sub>ini</sub> (colour-coded) as a function of initial stellar mass and initial helium mass fraction for the highest [Fe/H] values considered in this study. Grey horizontal lines denote constant values of Na enrichment  $\Delta$ [Na/Fe]<sub>ini</sub>. The black, green, and blue full lines separate the stars that climb the AGB (to the right of the lines) from those that do not (left), with the shaded areas corresponding to error bars of 0.005 M<sub>o</sub> related to the mass step of the model grid. The black limit corresponds to the most stringent definition of the AGB (a star undergoes at least two thermal pulses), while the green and blue curves are for the C13 definition of the AGB (i.e., early-AGB only is included). The black and green cases on the one hand and the blue case on the other hand are obtained assuming a value of  $\eta$  of 0.5 and 0.65, respectively, in the Reimers mass loss prescription. The black dashed lines are isochrones representing the ages at the end of the helium-burning (in Gyr). The white area corresponds to the domain where stellar models have an age higher than 13.8 Gyr at the end of the helium-burning phase or do not enter that phase

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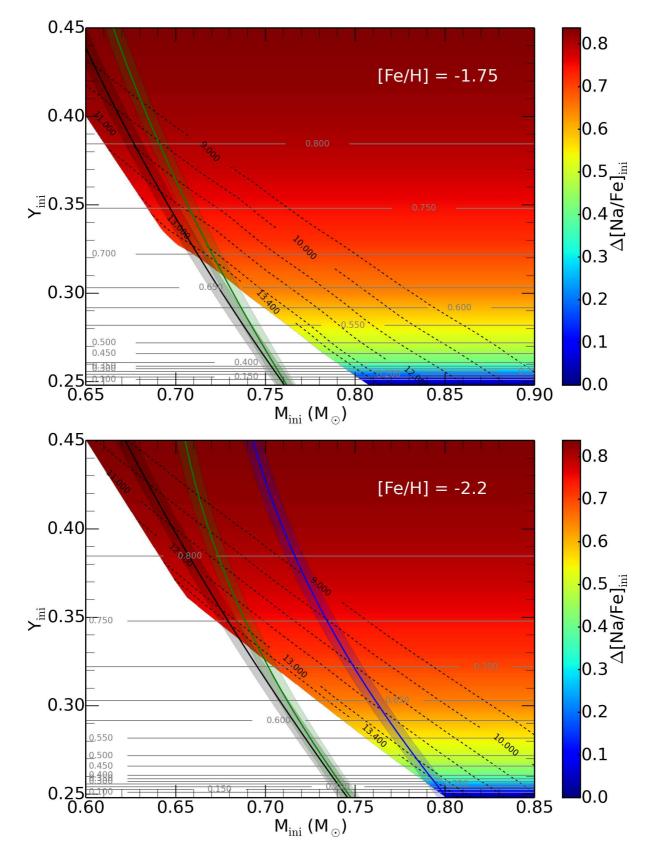


Fig. 6. Same as Fig. 5 for the lowest [Fe/H] values considered in this paper

# 4.2. Theoretical sodium spread on the AGB as a function of metallicity and age, and limitations due to mass loss uncertainties

The intersection of the AGB / no-AGB curves with the isochrones and the iso- $\Delta$ [Na/Fe]<sub>ini</sub> curves in Fig. 5 and 6 allow us to also derive the maximum Na content expected on the AGB as a function of GC age, for the four [Fe/H] values considered. In these figures the colour code corresponds to the sodium enrichment of the 2P models with respect to the sodium abundance of 1P stars of similar metallicity.

From this, we obtain the maximum helium and sodium enrichment of the 2P models that are expected to lie on the AGB (namely,  $\Delta$  Y<sub>ini</sub> (AGB) and  $\Delta$ [Na/Fe]<sub>ini</sub>(AGB)) for GCs of different ages and metallicities. This information is plotted in Fig. 7. The combination of effects on stellar evolution due to helium and metallicity described above together with the correlation between helium and sodium initial enrichment we adopt following the FRMS scenario (§ 2.3) lead to the following results:

- At a given metallicity, younger clusters are expected to host AGB stars exhibiting a larger helium and sodium spread than older clusters.
- At a given age, higher helium and sodium dispersion along the AGB is predicted in the more metal-poor GCs than in the metal-rich ones.
- Both correlations hold for the two values of  $\eta$  used in the Reimers prescription for mass loss. The  $\Delta$ [Na/Fe]<sub>ini</sub>(AGB)-age slope is actually steeper for higher mass loss rates, and it increases with increasing metallicity.

More quantitatively, for  $\eta=0.5$  and according to Fig. 7, the maximum and minimum sodium spreads expected among AGB stars ( $\Delta$ [Na/Fe]<sub>ini</sub>(AGB)), are 0.82 dex at 9 Gyr for [Fe/H] = -2.2, and 0.62 at 13.4 Gyr for [Fe/H] = -1.15, respectively. Since the maximum initial sodium enrichment predicted by the FRMS scenario is  $\Delta$ [Na/Fe]<sub>ini</sub> = 0.86 (this is also the maximum theoretical dispersion on the RGB), we would therefore expect a difference of sodium dispersion between the RGB and AGB between 0.04 dex and 0.25 dex. Since the typical error bar for Na abundance determination on the AGB is of the order of 0.14 dex according to Campbell et al. (2013), the AGB-RGB difference could be detectable only for the old Galactic GCs of intermediate metallicity. Otherwise, the observed [Na/Fe] dispersion on the AGB would be similar to that found on the RGB, as in NGC 104 (Johnson et al. 2015) and NGC 2808 (Wang et al., in prep.), as discussed in § 5.

As shown in Figs. 5, 6, and 7, the cut-off limit in initial helium and sodium abundance and on the stellar mass at which a star climbs the AGB is very sensitive to the mass loss rate adopted along the RGB. This was discussed at length in C13 for the case of NGC 6752<sup>4</sup>. With the present grid of models we are able to quantify the impact of mass loss rate variations when [Fe/H] changes, and find that it actually carries the most important uncertainty when it predicts Na spread on the AGB at a given age and metallicity. Indeed, a change from 0.5 to 0.65 for  $\eta$  drastically decreases the Na cut-off values, especially when age increases. For the youngest GCs, this change of prescription does not have a dramatic impact. At 9 Gyr, the sodium cut-off value decreases by 0.03 dex for [Fe/H] = -2.2 and by 0.1 dex for [Fe/H] = -0.5 when  $\eta$  increases from 0.5 to 0.65. However, at 13.4 Gyr the cut-off value decreases by 0.36 dex for the lowest considered metallicity for the same variation in  $\eta$ . For the highest metallicity this cut-off value drops even more quickly with increasing age when  $\eta$ =0.65, making the highest mass loss parameter unrealistic for metal-rich and old GCs, given that above 12 Gyr no more AGB stars are expected. This agrees well with the conclusions of McDonald & Zijlstra (2015), who derive a median value of 0.477±0.070 for  $\eta$  from a sample of 56 well-studied Galactic GCs, and find only a weak  $\eta$  gradient with metallicity although random spread exists among clusters at any metallicity.

### 4.3. Uncertainties on the dependency of the initial He-Na correlation with metallicity

In the FRMS scenario, 2P stars are expected to form in the immediate vicinity of 1P massive polluters. Therefore, their composition must bear the chemical signatures of their parent "donor" and its local ISM environment. In the present study we assume that the He-Na correlation in the initial abundance of 2P stars is identical at all metallicities. More precisely, we use the abundance prescriptions derived from the H-burning ashes of the 60 and 120  $M_{\odot}$  FRMS models at [Fe/H]=-1.75 of Decressin et al. (2007a) taking into account dilution with pristine gas so as to reproduce the Li-Na anticorrelation observed in NGC 6752. However, the internal temperature of massive stars is modified when metallicity changes, which affects the temperature of the CNO cycle and of the NeNa and MgAl chains. As a consequence, one might expect slightly different correlations between He and Na within the nucleosynthetic yields of 1P polluters of different metallicities. Also, more massive polluters might play a role in the self-enrichment of more metal-poor GCs, which might also slightly affect the extent of the He-Na correlation for 2P stars. These effects will have to be quantified in the future when FRMS models are available for the full metallicity range of Galactic GCs.

Here it is important to emphasize that despite these quantitative uncertainties, the fact that sodium and helium abundances are correlated for 2P stars is a strong prediction of the FRMS scenario. Instead, in the AGB scenario the helium enrichment of 2P stars is limited (maximum  $Y_{ini}$  of ~ 0.36, Doherty et al. 2014 as a result of second dredge-up in intermediate-mass stars), and it is not directly correlated with the sodium enrichment. In this case, therefore, the Na abundance distribution is expected to be the same on the RGB and AGB whatever the age and the metallicity of the cluster. This is at odds with the observations in NGC 6752 (see below).

### 5. Comparison with observations and conclusions

The trends with age and metallicity described above are based on well-understood stellar physics and are robust qualitatively. However, a number of limitations have to be kept in mind when it comes to quantitatively comparing model predictions with observations. As shown in detail, quantitative results for the Na abundance distribution on the AGB strongly depend on the adopted mass loss rate. Uncertainties on the initial He-Na correlation may also vary from cluster to cluster and with metallicity. Another difficulty to performing quantitative comparison between models and observations comes from the fact that the ages quoted in the literature for GCs are derived with different stellar models to those computed for the present study. Therefore, in Fig. 7 we refrain from plotting the sodium spread that

 $<sup>^4\,</sup>$  Campbell et al. (2013) proposed fitting the sodium distribution along the AGB in NGC 6752 by assuming an increased mass loss rate by a factor of 20 on the HB for all 2P stars. This proposal, which would require mass loss rates of the order  $10^{-9}\,M_\odot\,yr^{-1}$  (i.e., significantly higher than current observational and empirical constraints) was carefully and critically discussed by Cassisi et al. (2014).

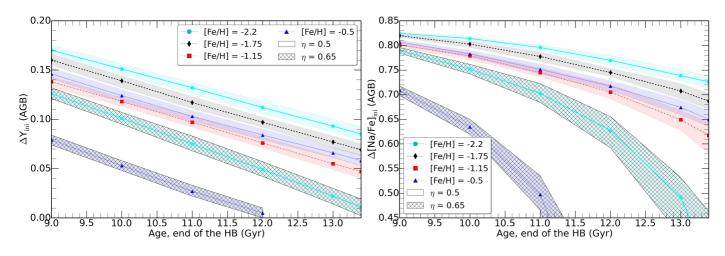


Fig. 7. Maximum spreads in helium and sodium (namely  $\Delta Y_{ini}(AGB)$  and  $\Delta [Na/Fe]_{ini}(AGB)$ ) predicted on the AGB for the four metallicities as a function of the age at the end of the helium-burning phase. The shaded areas are obtained for a value of  $\eta = 0.65$  (shown only for the two extreme [Fe/H] values), while the other coloured areas are for  $\eta = 0.5$  for the four [Fe/H] values considered (see inset)

has only been derived for a limited number of of GCs so far. However, we can note the following qualitative agreement with the trends we obtain.

Model predictions by C13 obtained using FRMS prescriptions for the chemical composition of GC 2P stars were found to agree well (within theoretical and observational errors) with the lack of Na-rich stars discovered by Campbell et al. (2013) in the specific case of NGC 6752. This cluster is relatively metal-poor ([Fe/H]=-1.54, Campbell et al. 2013). It is also relatively old, as estimates of its age vary between  $\sim 12.5 \pm 0.25$  Gyr and 13.4±1.1 Gyr (according to VandenBerg et al. 2013 and Gratton et al. 2003 respectively). Therefore, its position in the age- $\Delta$ [Na/Fe]<sub>ini</sub>(AGB) plane (Fig. 7) is located in the region where the theoretical value for maximum Na enrichment along the AGB drops sharply, and where we expect to see differences between RGB and AGB stars.

In contrast, Johnson et al. (2015) find that the AGB population in 47 Tuc (NGC 104) presents a much larger [Na/Fe] dispersion, which is nearly identical to that found on the RGB. This GC is both more metal-rich ([Fe/H]=-0.73, Johnson et al. 2015) and younger (11.75±0.25, VandenBerg et al. 2013). It would thus lie to the left of NGC 6752 in the age- $\Delta$ [Na/Fe]<sub>ini</sub>(AGB) plane (Fig. 7). In this region, larger AGB sodium dispersion is predicted than in the case of NGC 6752.

Finally, Wang et al. (in prep.) find a similar Na spread on the RGB and AGB of NGC 2808, a relatively young GC (11.00±0.38, VandenBerg et al. 2013) of intermediate metallicity ([Fe/H]=-1.17, Wang et al. 2015). This cluster lies to the left of both NGC 6752 and 47 Tuc in the age- $\Delta$ [Na/Fe]<sub>ini</sub>(AGB) plane (Fig. 7). At this location, RGB and AGB stars are expected to have tovery similar Na dispersion within observational errors.

We can therefore conclude that an initial He-Na correlation like the one assumed in the present study leads to a reasonable solution to different AGB sodium abundances found from cluster to cluster depending on their age and initial metal content. However, cluster to cluster variations are expected, due in particular to mass loss and self-enrichment depending on intrinsic cluster properties (e.g., mass or compactness). This can be easily tested observationally by a detailed chemical analysis of AGB stars in GCs spanning a wide range in both age and metallicity.

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