

1 **Does fine sediment source as well as quantity affect salmonid embryo**
2 **mortality and development?**

3 D. A. Sear, J. I. Jones, A. L. Collins, A. Hulin, N. Burke, S. Bateman, I. Pattison & P.
4 S. Naden

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6

7 **Abstract**

8 Fine sediments are known to be an important cause of increased mortality in benthic
9 spawning fish. To date, most of the research has focussed on the relationship
10 between embryo mortality and the quantity of fine sediment accumulated in the egg
11 pocket. However, recent evidence suggests a) that the source of fine sediment might
12 also be important, and b) that fitness of surviving embryos post-hatch might also be
13 impacted by the accumulation of fine sediments. In this paper, we report an
14 experiment designed to simulate the incubation environment of brown trout (*Salmo*
15 *trutta*) and Atlantic salmon (*Salmo salar*). During the experiment, the incubating
16 embryos were exposed to different quantities of fine (<63 micron) sediment derived
17 from four different sources; agricultural topsoils, damaged road verges, eroding river
18 channel banks and tertiary level treated sewage. Results showed that mass and
19 source are independently important for determining the mortality and fitness of alevin.
20 Differences between species were observed, such that brown trout are less sensitive
21 to mass and source of accumulated sediment. We demonstrate for the first time that
22 sediment source is an additional control on the impact of fine sediment, and that this
23 is primarily controlled by the organic matter content and oxygen consumption of the
24 catchment source material.

25 **Key words**

26 **Sediment Sources, Brown Trout, Atlantic Salmon, Fine sediment, organic**
27 **matter**

28 **Introduction**

29 Excess fine sediment in watercourses (defined in this paper as $<63 \mu\text{m}$) above
30 natural background levels, is recognised as a pollutant, with important consequences
31 for aquatic ecology and ecosystem function (Jones et al. 2011a & b, 2014; Kemp et
32 al. 2011; Collins et al., 2011). Wilkinson and McElroy, (2007) report that agricultural
33 river basin sediment delivery ratios have increased by 10–20% relative to the pre-
34 agricultural landscape, which raises concerns over the environmental and
35 socioeconomic consequences of sediment transfer from agricultural land to
36 downstream aquatic ecosystems (Evans, 2010), adding to threats to food and water
37 security from projected climate change (European Union, 2009). Similarly, evidence
38 from lake and floodplain sediments support concerns over offsite impacts of human
39 activity on the land surface (Foster et al. 2011; Macklin et al. 2010; Collins et al.
40 2012a). This is further supported by studies of the provenance of contemporary fine
41 sediment deposits in river beds (Collins et al. 2010a,b; 2012b,c, 2014) that tend to
42 show the importance of catchment surface sources; the latter often including topsoil
43 eroded from agricultural land. There is also a growing concern over the impact that
44 different sources of sediment have on the aquatic ecosystem, driven in part by
45 legislation set up to protect and enhance the aquatic environment (Collins et al. 2009,
46 2011). As a result, there is a growing recognition that management of sediment at
47 source is the most sustainable option for achieving the targets set by the legislation
48 (Collins and McGonigle 2008; Collins et al. 2009, 2011).

49

50 In fisheries science, impacts of fine sediment have tended to focus on its
51 accumulation within the spawning gravels of salmonids and specifically, the links
52 between the level of fine sediment (usually expressed as a percentage by weight
53 below a given size) and egg mortality (Reiser 1998; Sear et al. 2008). Other research
54 has sought to explain the link between the physical impact of fine sediment and the

55 biological response in embryos; highlighting the reduction in the supply of oxygen
56 (Chapman,1988; Greig et al. 2005a; 2007) or the physical occlusion of micropores on
57 the surface of the egg (Greig et al. 2005b).

58
59 Further research has explored the physical characteristics of the fine sediment,
60 seeking to understand which grain size is most closely linked to the mortality of
61 embryos (e.g. summary in Collins et al. 2011). Thus, Levasseur et al. (2006)
62 concluded that, although very fine sediment (<63 μm = 0.063 mm) was highly
63 detrimental to embryo survival, larger sediment (up to 2.0 mm) had no corresponding
64 effect. Support for this was observed by Greig et al. (2007) in field studies that
65 showed good survival in spawning gravels with high levels of sand accumulation,
66 citing the permeability of sand compared to other sites where silt/clay occluded the
67 flow of oxygenated water to the embryo. Lapointe et al. (2005) have shown in
68 laboratory experiments, how the lethal effects of silt-clay sediments occur when
69 combined with sand-sized fractions. The sand traps the finer particles that would
70 otherwise have moved through the larger interstices between the gravel framework
71 and reduces permeability, and thus oxygen supply rate, to incubating progeny.

72
73 Organic matter content is an important characteristic of fine sediment accumulation in
74 spawning gravels (Collins et al. 2009, 2013, 2014), with two main effects; first, the
75 presence of biological activity driven by organic matter can generate the formation of
76 biofilms, that block the interstitial pores of gravels (Petticrew & Arocena, 2003) and,
77 secondly, decomposition of the organic matter creates an oxygen demand which
78 competes with the demands made by the incubating embryo (Greig et al. 2005a). For
79 Pacific salmon species, Bjornn and Reiser (1991) hypothesized that organic matter
80 accumulation may have deleterious effects on incubating salmon, whilst Petticrew

81 and Rex (2006) report an 18% reduction in intergravel DO following organic matter
82 loading from dying spent salmon.

83

84 Collectively, these observations suggest that sediments with different physical
85 attributes might be expected to have different impacts on incubating embryos. The
86 science of sediment fingerprinting is based on the principle that sediment derived
87 from different sources will be characterised by differing physical or geochemical
88 characteristics (Collins and Walling, 2004; Collins et al. 2010a), thus there is reason
89 to hypothesize that differing sources of sediment will have differing levels of impact
90 on benthic spawning fish.

91

92 Recent research has started to develop an evidence base for sub-lethal effects of
93 sedimentation on subsequent life stages (Roussel 2007; Burke, 2011; Louhi et al.
94 2011). While studies of incubating salmonids typically estimate survival to
95 emergence, this measure fails to account for the possibility that marginal hyporheic
96 conditions may allow for survival to emergence, but with reduced probability of
97 survival to maturity (Silver et al. 1963; Chapman, 1988). Even at sub-lethal levels of
98 DO, studies have demonstrated smaller and lighter embryos (Youngson et al. 2005;
99 Malcolm et al. 2008), deformity, and delayed hatch and emergence (Alderdice et al.
100 1958; Silver et al., 1963; Shumway et al. 1964). Against this background of potential
101 complexity, laboratory studies have also demonstrated that embryos can endure
102 short periods (7 days) of very low DO ($<2 \text{ mg L}^{-1}$) without noticeable effects,
103 depending on temperature and stage of development (Alderdice et al. 1958; Giest et
104 al. 2006; Ciuhandu et al. 2008).

105

106 Despite these emerging lines of evidence, there is still comparatively little evidence
107 for the effects of sediment load on sub-lethality in salmonids. There is no evidence to

108 date to support the importance of different sediment sources on embryo mortality and
109 fitness. This latter research is required in order to link the growing evidence of source
110 specific sediment loads (e.g. associated with specific risky crops in farming, e.g.
111 maize or winter wheat cropping) to benthic spawning fish (see review by Kemp et al.
112 2011). Therefore, in this paper, we seek to test for the first time; (1) the effects of
113 different sediment source and/or loading on embryo mortality; (2) the effects of
114 different sediment source and/or loading on the development of surviving embryos,
115 and; (3) the differing response of two economically important, benthic spawning
116 salmonid species – brown trout (*Salmo trutta*) and Atlantic salmon (*Salmo salar*). The
117 experimental work was undertaken as a component of a large multi-partner research
118 project examining the impacts of fine sediment on fluvial aquatic ecology.

119

120 **Methods**

121

122 Experimental Facility and Design

123 We conducted experiments at the University of Southampton Chilworth hydraulics
124 laboratory Fish Research Facility from 17th November 2010 – 25th January 2011. The
125 facility is a continuous recirculating system, in which water is fed via two main pipes
126 from a biofiltration system to each of 48 separate tanks (Figure 1). The return water
127 from each tank is collected in a return pipe and passed back into the biofiltration
128 system. The return water is then treated to remove any sediment using fine fabric
129 filters and a sand bed filter, before being passed through a UV and biofiltration
130 system which remove any bacteria or biological material. The water is then
131 recirculated via a chiller unit to control temperature, back through the feeder pipes to
132 each tank. Water is fed into each tank through two inflow pipes, located at the bottom
133 and one close to the top of the tank (Figure 1) with a single outlet pipe located near
134 the surface. The design is similar to that reported by Louhi et al. (2011). Dissolved

135 material, including nutrients, was not removed by the system but their levels were
136 monitored in the feeder tank prior to distribution through the system. Thus, all 48
137 tanks received the same amount and quality of water throughout the experiments.

138

139 To determine whether alevin growth and mortality were affected by fine sediment
140 load and (or) sediment source, we applied sediment from four different sources (river
141 bank, damaged Road verge, agricultural topsoils and treated sewage sludge) at five
142 loads (1% (14 g), 3% (41 g), 6% (82 g), 9% (123 g), 15% (205 g) by wet weight) plus
143 an independent zero sediment control for each source treatment. We applied the
144 same treatment (source x load) to each of 10 separate baskets within a single tank
145 (Figure 1).

146

147 The four different sediment sources were collected from the catchment of the River
148 Ithon, Wales, UK, and were selected based on previous sediment fingerprinting
149 studies that had identified the main contributors as (1) agricultural surface soils, (2)
150 eroding river bank material (sampled from below the surface soil level), (3) damaged
151 Road verges, and (4) final treatment sewage sludge (Collins et al. 2012d). All
152 catchment source material samples were collected in October 2009, corresponding
153 with the start of the salmonid spawning season. The sampling strategy was spatially
154 representative of the River Ithon catchment and the distribution of the key sediment
155 source types therein (see Greig et al., 2007 for further catchment details). All
156 accessible watercourses and their surrounding fields and roads were visited to
157 search for suitable sediment sampling sites. 30 sites were sampled for each of the
158 individual sediment sources. A sample of final treatment sewage sludge was
159 collected from a Sewage Treatment works within the River Ithon catchment. This
160 material represents the final stage of solids treatment and can be released into the

161 environment during overflow periods or as a result of accidental release (cf Collins et
162 al. 2010a, b; 2012a,b).

163

164 All samples from each sediment source type were passed through a $<63 \mu\text{m}$ sieve
165 into buckets. The buckets were then left to stand for 2 days in a dark, temperature
166 controlled environment to allow the sediment to settle. This was to ensure that fine
167 sediment would not be lost during decanting. After this period of settling, excess
168 water was decanted and the remaining slurry was oven dried at 30 degrees for ca. 36
169 hours (or until ready). Higher temperatures were avoided to avert the risk of
170 destroying the organic content of the samples. This process resulted in a damp cake-
171 like mixture for each of the study catchment sediment sources. Sub-samples of the
172 damp sediment were oven dried to determine differences in water content between
173 source samples. This was used to correct the total wet mass applied to each
174 incubation basket within each experimental tank.

175

176 Treatment 2 was defined by the load (mass) of sediment added to the egg zone
177 within each individual incubation basket. The range of quantities of sediment added
178 was based on a national dataset of salmon and trout redd data compiled by the
179 authors. Data from over 83 bulk gravel samples from natural and artificial Atlantic
180 salmon redds were derived from published (Greig et al. 2007; 2005b; Walling et al.
181 2003, Milan et al. 2000; Crisp & Carling 1989) and unpublished sources. A
182 cumulative frequency curve for the proportion of silt-clay accumulated in the redd
183 gravels was plotted and values were extracted to represent the full range of silt/clay
184 accumulation found in natural and artificial spawning redds across England and
185 Wales.

186

187 Diploid brown trout eggs were obtained from 10 females fertilized with sperm pooled
188 from five males from the same stock. Wild Atlantic salmon eggs were sourced from 3
189 females fertilised with sperm from 3 males. The unfertilised eggs of both species
190 were transported from the hatchery in ice cooled polystyrene boxes and fertilised at
191 the experimental site. All eggs were water hardened for two hours at 7-9 °C.
192 Twenty-five eggs were deposited evenly on washed gravels (replicating freshly cut
193 redd gravels (Crisp & Carling, 1989)) in an egg basket in a layer 10 cm (Grieg et al.,
194 2007) below the gravel (4–32 mm) surface within 3 hours of fertilization. More
195 washed river gravel was carefully added over the top of the eggs along with a short
196 stainless steel tube for injecting sediment into the egg basket at a later date. Each
197 egg basket consisted of a cylinder open at the surface with 1 mm plastic mesh
198 (diameter 8 cm, height 20 cm). All eggs used in the experiment were of similar initial
199 mass (brown trout mean mass 0.083 ± 0.004 g, $n = 25$; Atlantic salmon mean mass
200 0.092 ± 0.009 g, $n = 25$).

201
202 Ten plastic mesh baskets were placed into each replicated tank and washed gravel
203 carefully placed around them until flush with the surface. This was repeated for all 48
204 tanks giving a total of 480 individual baskets (Figure 1). Prior to egg planting,
205 conductimetric standpipe (see Greig et al. 2005c) readings were made in each
206 gravel-filled basket of three tanks to determine the intra-gravel flow velocity (IGFV)
207 through the egg zone and to test for consistency across the baskets and tanks. Using
208 this data, we set the inflow rate at 1.15 L min^{-1} to achieve a clean gravel IGFV of 849
209 cm hr^{-1} , which replicated conditions in good quality spawning habitat measured at UK
210 field sites by Grieg et al. (2007). Consistency between tanks was good, with a
211 variation of $\pm 71 \text{ cm hr}^{-1}$ (8.76%) between equivalent baskets in each tank.
212 Unfortunately, measurement of IGFV after injection of fine sediments was not
213 possible since the technique requires injection of a saline and alcohol solution which

214 would have affected the survival of the embryos (Greig et al. 2005c). However,
215 measurements of inflow and outflow from each tank after sediment treatment showed
216 no difference between tanks. Thus, any change in IGFV, and hence oxygen supply
217 rate to incubating embryos, was the result of the treatments as planned.

218

219 Physical and Chemical Parameters

220 Water quality was monitored throughout the period of incubation to hatch. Manual
221 sampling of the water entering the tanks was conducted every 3 days; whilst
222 dissolved oxygen (Aandera 4175 Optode, accuracy +/-5%), temperature (Aandera
223 4175 Optode, accuracy +/- 0.5%), water level (Druck PTX1830 Series, accuracy +/-
224 0.06%) and turbidity (Analite 9000, accuracy +/- 1%) were sampled every minute
225 within the feeder tank (i.e. after filtration and biological treatment) and the average
226 logged every 10 minutes on a Delta2 logger. Light levels experienced by each
227 tank/basket were kept constant by covering each tank with a black lid.

228 Eight small baskets containing 50 eggs but no gravels, were placed on the surface of
229 the substrate in the control tanks and monitored every 3 days for embryo
230 development. Records of the number of live, dead and hatched eggs in these
231 baskets were made. These were used as a check on the predicted time of hatching,
232 to determine the end point of the experiment when the sediment filled baskets could
233 be withdrawn.

234

235 After 143 degree days, each tank was isolated in turn and the same quantity and
236 source of fine sediment was injected into each egg basket within the tank via the
237 stainless steel tube. The injected material consisted of a pre-weighed mass of
238 sediment that was blended with 250 mL of water drawn from the incubation tanks.
239 Half the solution was injected into the egg zone and the other half injected into the

240 gravels above the egg zone. This approach was selected to mimic the process of
241 colmation observed in both flume and field conditions (e.g. Sear et al. 2008).
242 Continuous release of sediment into the recirculating water was not feasible as this
243 would have afforded no control over the sediment mass treatment. Injection into each
244 basket reduced the release of fines into the overlying water column; movement of
245 sediment between baskets within each tank would therefore only result from IGFV.
246 Differences between baskets in each tank were quantified at the end of the
247 experiment by measuring the mass of sediment (inorganic and organic) in each of
248 the 480 separate baskets.

249

250 When 50% hatch was reached, each tank was isolated in turn and all ten baskets
251 removed. This occurred after 456 (Brown trout) and 513 (Atlantic salmon) degree
252 days. The sediment from each basket was tipped into counting trays and all live and
253 dead eggs and alevin were identified. A sample of fifteen alevin were taken from
254 baskets 2, 3 and 5 in each tank and where insufficient were available, additionally
255 from baskets 1 and 10. Alevin were preserved in a solution of 4% formaldehyde. The
256 total wet mass and wet yolk sack mass were weighed using a Mettler Toledo AB204-
257 5 balance accurate to 0.0001 g. Each alevin was also measured for length using a
258 Nikon E100 microscope at 50x magnification. Errors in length measurement were
259 checked by repeat measurement and found to be <0.1 mm.

260

261 After removal of the eggs and alevin, the sediment from each basket was wet sieved
262 through a 63 μm sieve and dried to constant mass. The mass of fine sediment <63
263 μm and > 63 μm was recorded for each basket. Organic matter content of the <63
264 μm fraction was determined through loss on ignition (LOI). Samples for LOI were wet
265 sieved to less than 63 μm and oven dried. Crucibles and samples were weighed
266 before and after heating in a carbolite furnace for 2 hours at 550°C. To determine

267 absolute particle size distributions, a single sample of sediment from each tank was
268 sieved at 63 μm using tap water. The <63 μm fraction was retained and dispersed in
269 a 0.05% sodium hexametaphosphate solution. Samples were subsequently
270 ultrasonicated in order to ensure that particles were in suspension. The sediment
271 samples were vigorously shaken and a 30 mL aliquot was used for the grain size
272 measurement. The aliquot was then agitated for 1 hour prior to measurement on a
273 shaker bed. Measurements were made in triplicate, using a Malvern Mastersizer
274 2000.

275

276 Statistical Analyses

277 Although treatments were applied to each basket independently and data from each
278 basket handled separately in the statistical analysis, each set of 10 baskets was
279 nested within a single tank making it potentially difficult to separate any effect of the
280 tank from that of the treatment. This design was chosen as there was a significant
281 concern that we would not be able to apply different levels of sediment treatment to
282 individual baskets randomly within tanks without the treatment applied to one basket
283 potentially affecting neighbouring baskets in some way (particularly where large
284 amounts of organic sediments were added), which would tend to homogenize the
285 treatments. Therefore, we opted for a less statistically robust design (i.e. all baskets
286 within a tank received the same treatment) which gave us more confidence that the
287 baskets would experience the desired treatment. To determine if the tanks had any
288 effect, eight control tanks, to which no sediment was added, were included in the
289 range of treatments tested (see above). These were located at the start and end of
290 each line of tanks to capture any variation based on distance along the line of
291 replicated tanks (Figure 1).

292

293 General Linear Models (GLM) were used to perform ANCOVAs to test for the effects
294 of sediment source and quantity, and interactions between these effects on specific
295 response variables of the two fish species using SAS 9.1. Sediment source (d.f. 3)
296 and fish species (d.f. 1) were included as fixed main factors, whereas mass of
297 sediment added (d.f. 1) and mass of sediment recovered (d.f. 1) were included as
298 continuous variables (d.f. 1). The ANCOVA model was species|source|mass. If
299 effects were significant, pairwise comparisons were performed for the class effects
300 species and source using post hoc tests (Tukey's HSD). Significance was set at 0.05
301 in all tests. An initial test was undertaken using both the mass of sediment and mass
302 of organic matter recovered from the baskets as response variables (model =
303 species|source|mass added), to verify that the experimental addition of sediment had
304 been successful. Where sub-lethal measures of alevin performance were used,
305 individuals were nested within the baskets they were incubated in, and basket (d.f. 9)
306 and individual treated as random variables (model = species|source|mass basket
307 individual(basket)). Type III (orthogonal) sums of squares used throughout as these
308 are more appropriate for unbalanced designs and for the assessment of interactions
309 among variables. All data were either arcsine (e.g. % survival) or log transformed to
310 ensure homoscedasticity when necessary.

311

312 It should be noted that in our experimental design, to avoid homogenization of
313 treatments, all the replicates of each sediment source x mass treatment were
314 contained within an individual tank. Hence, any potential effect of the tanks was
315 confounded with treatment. To test for any effect of tank, for each response variable
316 a separate GLM analysis was conducted on the control tanks (n = 4 for each species)
317 to which no sediment was added. Here, the effect of the tanks was compared to the
318 effects of the baskets and, for sub-lethal effects, individuals. In these analyses tank
319 and species were fixed main effects, and basket a random effect nested within tank x

320 species (model = species|tank basket(tank)). Where sub-lethal effects were
321 considered, a further level of hierarchical nesting was included, with individual alevins
322 a random effect nested within baskets (model = species|tank basket(tank)
323 individual(basket)). Where these analyses indicated no significant effect of tank it
324 was assumed that tank had no influence and the replicates of each treatment were
325 assumed independent of tank.

326

327 Where an effect of sediment source on the fish was detected, a further test was
328 undertaken using mass of organic matter recovered (as a continuous variable, d.f. 1),
329 to determine if any effect was attributable to differences in the organic content of
330 sampled material collected from the different catchment sediment sources. In this
331 case the model was as above, but with organic mass recovered from each basket
332 used rather than the mass of sediment added.

333

334 **Results**

335 Characterising Sediment Sources

336 In this analysis, the characteristics of the source material pertinent to the incubation
337 experiment included absolute particle size, organic matter content and for the first
338 time, sediment oxygen demand (SOD both 5 day (labile) and 20 day (refractory)).
339 SOD has been highlighted by Greig et al. (2005b) as influencing the oxygen supply
340 rate to incubating embryo. Physical differences between the study catchment
341 sediment source materials are shown in Table 2. Sewage Treatment Work (STW)
342 sediment had a significantly higher organic matter and Organic carbon content than
343 the other sources ($p = 0.0192$). In terms of absolute particle size, damaged Road
344 verge had the highest clay content (2%), River Bank had no detectable clay content
345 and Agricultural topsoil had the second highest clay content and was the finest
346 sediment source material overall. STW and Road verge had the highest SOD for

347 both 5 day and 20 day tests. Agricultural topsoils had the lowest SOD of all sources
348 tested in the experiment.

349

350 Physical conditions during incubation and hatch

351 The physical conditions within the experimental spawning gravels were constant over
352 time. Monitoring of nitrite, nitrate and ammonia showed a sharp and short (<24
353 hours) increase post sediment injection (Table 1), but levels remained below those
354 reported as critical for incubating salmonids (Westin 1974; Kincheloe et al. 1979;
355 Sonderberg et al. 1983; Timmons et al. 2002;). A decision was taken, one week after
356 injection, to isolate and end the sewage treatment work sediment experiments with >
357 3% (41 g) by mass of sediment introduced, since these were suspected as a
358 potential cause of deterioration in water quality. All eggs recovered from these tanks
359 were found to be dead. Water quality in the recirculation facility continued to remain
360 below critical levels across all replicated tanks for both species.

361

362 A short (<12 hour) increase in turbidity occurred in tanks when sediment was being
363 injected, replicating the pulse of sediment delivery that occurs during natural flood
364 events in river catchments. During sediment injection all fine sediment was contained
365 within the tank being treated, ensuring that baskets in each tank received the same
366 treatment, but no between-tank physical effects of sediment injection were incurred.
367 Water temperature varied with diurnal fluctuations in air temperature, but within a
368 range that was below critical for salmonids (Crisp 1990).

369

370 GLM tests indicated that the sediment injection procedure was successful in
371 producing the target treatment levels within the egg baskets (Table 3, Figure 2). The
372 mass of sediment recovered from the egg baskets did not differ significantly among
373 treatments with different fish species or sediment sources, but did differ in a highly

374 significant manner with the mass added ($p < 0.0001$). The interaction between
375 sediment source and mass added was not significant at the 5% level. The mass of
376 organic matter recovered from the egg baskets did not differ significantly among
377 treatments with different fish species, but again did differ significantly with the mass
378 of sediment added ($p < 0.0001$). In contrast to the total mass of sediment recovered
379 from the egg baskets, there were highly significant differences in the mass of organic
380 matter recovered among the sediment sources, and with the interaction of sources
381 and mass added (Table 3), reflecting differences in the characteristics of the
382 sediment added (see Table 2). Thus, we are confident that the individual baskets in a
383 tank were replicated (i.e. no significant difference in the mass of organic matter or
384 total mass of sediment between baskets in a given tank) but there was a significant
385 difference between tanks (treatments).

386

387 Sediment, Mortality and Survival

388 A GLM test using data from the control tanks indicated a significant difference in
389 survival of the two fish species, but no effect of the tanks or individual egg baskets
390 within the tanks (Table 4A, Figure 3a). Mean mortality of brown trout in the egg
391 baskets in the absence of any additional fine sediment was 9.9% whereas for Atlantic
392 salmon it was 74%. The cause of the increased mortality in salmon resulted from the
393 process of transfer from the hatchery to the Chilworth hydraulics laboratory since all
394 physical variables within the facility were well within published tolerances of the
395 particular species, and in previous experiments, survival had been good (>89%) and
396 control batches at the hatchery showed 10.2% mortality for Atlantic salmon and 2.1%
397 for the brown trout. This difference in survival between species was controlled for in
398 subsequent GLM modelling by including species as a main factor. The results thus
399 highlight where there is a difference between the species. However, where there is a

400 significant interaction with other factors, the inclusion of species in the model
401 indicates that the species are reacting differently to the other factors.

402

403 In addition to the difference in mortality between Atlantic salmon and brown trout, the
404 GLM analysis of the experimental addition of fine sediment indicated significant
405 effects of different sediment sources and of the mass added, together with
406 interactions between mass added and species, mass added and sediment source,
407 and mass added, species and sediment source (Table 4B, Figure 3a and 3b). Figure
408 3a shows how the response of trout differs from Atlantic salmon; while both species
409 show an increase in mortality with increasing fine sediment load, trout show a rapid
410 increase in mortality between 1% and 6% wet mass. Average mortality of salmon
411 eggs increases almost linearly between 1% and 9% wet mass added but,
412 unaccountably, mortality decreases after 9%.

413

414 Tukey's test indicated that mortality was significantly higher with STW sediment
415 compared to all other sources. Furthermore, STW sediment caused an increase in
416 mortality at lower added mass than other sources, whilst damaged road verge
417 material caused the next highest mortality for Atlantic salmon. Complete mortality of
418 both species occurred in the tanks containing >3% by mass STW loadings, which
419 were isolated and closed down earlier in the experiment than the remaining
420 treatments. In addition, there was a significant difference in the response of the two
421 fish species to the mass added of different sources (species*source*mass); a lower
422 mass of STW and damaged road verge sediment was required to cause an increase
423 in mortality for Atlantic salmon than for brown trout (Figure 3b).

424

425 When the mass of organic matter recovered was included as a covariable in the GLM
426 analysis (rather than mass added), the effects of species, source and their interaction

427 on mortality remained significant (Table 4C). There was also a highly significant
428 effect of organic matter and a significant interaction between organic matter and
429 species. However, when the mass of organic matter recovered was included with
430 source (i.e. Organic*Source and Organic*Species*Source), the interactions were not
431 significant. In other words, although there were differences in mortality with different
432 sources, the mass of organic matter recovered was sufficient to explain the
433 differences in mortality between the different sediment sources.

434

435 Sub-lethal affects on Alevin

436 The data from the control tanks again indicated that there was no effect of the tanks
437 or individual egg baskets within the tanks on the three indicators of alevin fitness
438 used, namely; wet mass, length and wet yolk sack mass (Table 5A). For all
439 measures of alevin fitness the differences between the egg baskets and between
440 individuals within egg baskets were not statistically significant.

441

442 The GLM analysis of the experimental addition of fine sediment mass indicated
443 significant differences between the two fish species (Table 5B), with brown trout
444 overall lighter (0.0922 ± 0.0144 g *cf* 0.0949 ± 0.0102 g) and shorter (16.01 ± 0.05 mm *cf*
445 16.97 ± 0.04 mm) and with more yolk sac (0.0596 ± 0.0006 g *cf* 0.0568 ± 0.0004 g) than
446 Atlantic salmon for the same relative incubation time (defined by degree days to 50%
447 hatch in the surface egg baskets). Accounting for the inter-species difference in
448 alevin mass, there were significant differences in the mass of alevin exposed to
449 different sources and masses of injected sediment (Table 5B, Figure 4a and 4d); the
450 more sediment added, the smaller the mass of alevin. The interactions between
451 species and mass of sediment added, and species and source were not significant
452 (Table 5B), indicating that alevin mass of both species reacted similarly to the mass
453 of sediment added (Figure 4a) and the different sources (Figure 4d).

454

455 The interaction between mass of sediment added and source was significant, with a
456 more pronounced reduction in alevin mass with increasing mass of STW sediment
457 added compared to the other sources. A similar response was seen in the mass of
458 yolk sac, with the exception that the interaction between mass of sediment added
459 and source was not significant (Table 5B).

460

461 There were significant differences in alevin length associated with species (as
462 expected trout alevin are shorter), source, mass of sediment added, and the
463 interactions between species and source, mass and species, and mass and source
464 (Table 5B, Figure 4b, 4e). The length of alevin decreased with an increasing mass of
465 sediment added.

466

467 When the mass of organic matter recovered from the egg baskets was included in
468 the GLM model rather than the mass of sediment added, the differences between
469 sources of sediment were not significant for alevin length, not significant for yolk sac
470 mass, and significant for alevin mass (Table 5C). A significant effect of mass of
471 organic matter recovered was apparent for all three measures of alevin fitness, with
472 all three measures declining with increasing mass of organic matter. However, the
473 interaction between the mass of organic matter recovered from the baskets and
474 sources was not significant (Table 5C), indicating that the mass of organic matter
475 recovered was sufficient to explain the differences among the sediment sources.

476

477 **Discussion**

478

479 The results provide preliminary evidence for both lethal and sub-lethal effects of
480 silt/clay-sized (<63 μm) fine sediment on pre-emergent salmonid embryos (Lapointe

481 et al. 2005; Sear et al. 2008; Louhi et al. 2011). Increasing the mass of fine sediment
482 resulted in higher mortality in both salmonid species. However, we were unable to
483 find a significant linear relationship between specific size fraction (silt or clay) and
484 mortality. In this respect our findings are similar to those of Louhi et al. (2011) who
485 reported that percentage survival was not related to any specific inorganic absolute
486 grain size. Unlike Louhi et al., (2011), we did find a significant effect of sediment
487 mass on mortality. The absence of an absolute particle size (specifically clay) based
488 effect is counter to the findings of Grieg et al. (2005) and Lapointe et al., (2011) who
489 identified a physically-based rationale for the additional effectiveness of clay via
490 blockage of the micropores on the surface of salmon eggs. The mass of clay
491 reported for all these experiments are similar, but the experimental conditions differ;
492 Greig et al. (2005) measured oxygen uptake in a small container with only 3 eggs
493 directly exposed to clay, whilst Lapointe et al. (2011) and more recently Franssen et
494 al. (2012) demonstrate the importance of a coarser sand sized component that
495 amplifies the effects of silt/clay sized particles by reducing pore sizes and leading to
496 enhanced blocking by fines. It is possible that within the egg baskets used by Louhi
497 et al. (2011) and in this experiment, local concentrations of clay were much lower,
498 resulting in a lower probability of encountering an egg, or a micropore on the egg
499 surface. We demonstrate that in the absence of sand sized particles, concentrations
500 of silt/clay of only 3% by mass result in deleterious effects on both egg mortality and
501 alevin fitness, and that the effect is non-linear in both salmonid fish species.

502

503 Higher sediment load was shown to affect alevin fitness in both brown trout and
504 Atlantic salmon. As sediment mass increased, salmon and trout alevin were lighter,
505 shorter and, in salmon, had a smaller yolk sack mass, whilst in trout, after 6% wet
506 mass of sediment was added, the reduction in yolk sac mass was smaller. Whilst this
507 partly agrees with previous studies of salmonid species, our observation of reduced

508 egg yolk mass runs counter to previous research. Harmor and Garside (1977),
509 Argent and Flebbe (1999) and Youngson et al. (2005), found smaller, lighter alevin
510 with larger residual yolk sacs in conditions of low dissolved oxygen saturation, whilst
511 Louhi et al. (2011) found that yolk sacs in alevin exposed to sedimentation were
512 larger compared to non-sediment controls. Roussel (2007) explained this in terms of
513 a delay in yolk sac absorption under hypoxic conditions – reduced oxygen leads to
514 reduced growth and hence less demand on yolk. Our observations for brown trout
515 and Atlantic salmon differ from these and might be explained by a higher metabolic
516 rate as the alevin attempt to move into more oxygen rich water (Kamler 2002). Thus,
517 whilst growth is reduced due to longer development time, increased metabolism
518 increases the rate of yolk depletion. Alternatively, with a decrease in oxygen supply,
519 metabolic processes can be partly shifted towards less efficient anaerobic processes,
520 less efficient use of resources and therefore greater use of the yolk sac (Kamler
521 2008). At this stage, we do not know the reason for the observed differences in
522 existing experimental outcomes. Differences in body size and amount of yolk at
523 emergence are reported to have fitness consequences (Miller et al. 1988; Andesen
524 1988; Skogland et al. 2011). However, two strategies exist: one which maximises
525 mobility whereby the fry are larger with a small yolk mass and are more effective at
526 predator avoidance, and a second in which smaller fry emerge with a larger yolk
527 sack, and are able to avoid risk of starvation (Skoglund et al. 2011). The effects of
528 fine sediment on brown trout and Atlantic salmon in this experiment are counter to
529 either of these strategies, and their fitness is therefore sub-optimal compared to
530 those incubated in the control treatments.

531

532 The results permit for the first time, comparison between the response of two
533 common salmonid species. The results show that response to sediment load and
534 sediment source are broadly similar between species but with some species

535 specificity; brown trout show a change in response to fine sediment mass at around
536 6% per sediment wet weight. After 6%, rates of mortality, alevin and yolk sac mass
537 loss all decrease, whilst rate of shortening decreases. For Atlantic salmon, such
538 trends are less obvious, but at 9% by wet mass of fines in spawning gravels, rate of
539 mortality decreases and loss of alevin mass increases, whilst rates of change in
540 length and yolk sac mass remain constant. The results show that Atlantic salmon are
541 more sensitive to catchment sediment sources with higher organic matter content
542 than brown trout. The physiological reason for this remains uncertain but may relate
543 to the larger mass of Salmon eggs relative to trout that has been shown to influence
544 oxygen consumption (Einum et al., 2002) and therefore the demand for oxygen from
545 the surrounding spawning habitat.

546

547 For the first time, we report that the source of the fine sediment is a control on
548 embryo mortality and the development of pre-emergence alevin. Of the sediment
549 sources used, STW final treated solids and damaged road verge sediments showed
550 the strongest effects on survival and measures of alevin fitness. The organic matter
551 content of both of these sediment sources sampled in the River Ithon study
552 catchment are high and the resulting oxygen demands (SOD 5 day) exerted by the
553 decomposition of the organics are also the highest of all the sediment sources. We
554 found that the difference in embryo survival and Alevin characteristics between
555 catchment sediment sources was explained by the mass of organic matter
556 recovered. Grieg et al (2005a) highlight how the sediment oxygen demand competes
557 with the egg oxygen demand to lower the oxygen supply rate to embryo, whilst Louhi
558 et al. (2011) found that survival of brown trout was correlated to the mass of fine
559 organic matter. Since organic matter content has been shown in these experiments
560 to have a significant effect on alevin fitness, we hypothesize that this is the main
561 mechanism controlling the effects observed for both species of salmonids incubated

562 in STW and damaged road verge sediment. Here, using a preliminary experiment,
563 we have demonstrated an effect of STW sediment at levels as low as 1% by mass of
564 spawning gravels. Thus, highly organic matter from STWs will be deleterious to
565 benthic spawning salmonids, even at low levels of accumulation in spawning gravels,
566 though less so for brown trout. The implications are that organic matter type (since
567 organic matter is found in all sediment sources) as well as quantity will be an
568 important control on the SOD of infiltrated sediments within salmon redds or the
569 spawning substrate used by other lithophilous species. Indeed, Collins et al. (2013,
570 2014) have recently reported the presence of sewage derived organic matter sources
571 in salmon spawning redds within some rural catchments. The same work has also
572 traced the contributions of sediment-associated organic matter ingressing salmonid
573 redds from other important catchment sources including farm yards or steadings and
574 domestic septic tanks.

575

576 Lapointe et al. (2005) and Levasseur et al. (2006) have highlighted the importance of
577 sand in trapping silt and clay within the egg zone. The experiments reported in this
578 paper lend support to this observation since without the presence of sand, over
579 $84.0\% \pm 6.8$ of injected silt/clay (based on the difference between injected mass and
580 recovered mass) was transported out of the egg zone by interstitial flow and into the
581 gravels at the bottom of the experimental incubation tanks. This would have
582 increased mortality and reduced alevin fitness due to the higher mass of silt/clay
583 organic matter retained in the egg zone. Thus, catchments producing both sand and
584 silt/clay sized fractions, potentially from different sources (e.g. coarser sands are
585 derived from river banks in the River Ithon study catchment (Burke 2011)), are likely
586 to have a higher risk of deleterious effects on salmonids. Field experiments by Greig
587 et al. (2007) support this hypothesis, observing that the highest accumulation rates of
588 sand supported high rates of egg survival in the absence of silt/clay sized particles in

589 the wash load. Thus, management of different sediment sources may be necessary
590 in order to reduce cumulative impacts of different sediment sizes and organic matter
591 content on salmonid spawning habitats.

592

593 **Conclusion**

594

595 The principal findings of the present study may be summarized as follows. (1) The
596 effect of fine sediment load is different between sediment sources; final treatment
597 sewage and damaged road verge sediments were found to be significantly more
598 deleterious to mortality and alevin fitness than other sources relative to fine sediment
599 free controls. (2) Organic matter is highlighted as a major characteristic controlling
600 the effectiveness of spawning habitat, principally through its effect on oxygen
601 concentration via SOD (5day), and possibly through its effectiveness in blocking
602 pores. (3) The effect of fine sediment load is different between species, although the
603 overall effect is increased mortality and reduced alevin fitness. (4) Fine sediment
604 (<63 μm) has been shown to effect the mortality and fitness of both brown trout and
605 Atlantic salmon embryos. (5) The experiment confirmed the deleterious effects of
606 increasing fine sediment load on both brown trout and Atlantic salmon. This effect is
607 apparent in surviving alevin via reductions in mass, length and yolk sack mass
608 relative to experimental controls.

609

610 The research has two key implications; first, experiments (both laboratory and field)
611 as well as spawning gravel characterisation, should quantify more carefully the
612 physical characteristics of the sediment treatments used; these should include
613 organic matter content, SOD, grainsize and mass. Secondly, further research is
614 needed to better understand the processes by which organic matter influences the
615 supply of oxygen in spawning gravels. Recent organic sediment fingerprinting and

616 apportionment techniques have shown site specificity with different organic matter
617 sources dominating in different catchments (Collins et al. 2013, 2014) reflecting the
618 mix of land use and farming types present.

619

620 The identification of multiple effects of fine sediment also highlights the inadequacy of
621 current metrics and sediment targets which are based on quantity of sediment of a
622 given grain size, or total daily maximum loads (cf. Collins and Anthony, 2008; Collins
623 et al. 2009, 2011). These are based on the assumption that all fine sediments are of
624 equal impact on aquatic ecology. Our research points to specific sediment and
625 species effects. High sediment inorganic sediment loads with low SOD, are likely to
626 be less damaging to trout and salmon, and less damaging than materials derived
627 from high SOD organic sources, although impacts will still occur (e.g. entombing of
628 alevin – Greig et al., 2005a). Resource managers now have evidence to support the
629 development of sediment screening techniques that would enable them to target
630 particular sediment source control strategies in the landscape. Critically, these
631 strategies must not focus solely on the proportion of different sources of fine
632 sediment, but also on the characteristics of the mobilised sediment delivered to rivers
633 from individual sources.

634

635

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637

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916

917 **Tables & Figures**

918

919 Table 1: Water quality summary for the experimental period.

920

921 Table 2: Summary of sediment source characteristics used in the experiments. Note
922 the high levels of organic matter and 5-day Sediment Oxygen Demand associated
923 with the STW and Road verge sources.

924

925 Table 3: Statistical results of General Linear Model of the effect of sediment addition
926 on the total mass and mass of organic matter recovered from the baskets.

927

928 Table 4: Statistical results of General Linear Model of effects of sediment addition on
929 mortality. A) Comparison among the control tanks (0 g sediment added) to determine
930 the influence of tanks and basket (nested within tanks). B) Comparison among
931 experimental treatments to determine the influence of species (i.e. trout or salmon),
932 source of sediment added (i.e. Road verge, agricultural, river bank or sewage works),
933 mass of sediment added and basket. Basket was regarded as a random factor and
934 mass of sediment added as a continuous variable. C) Comparison among
935 experimental treatments to determine the influence of species (i.e. trout or salmon),
936 source of sediment added (i.e. Road verge, agricultural, river bank or sewage works),
937 and mass of organic sediment recovered from the basket. Mass of organic sediment
938 recovered was regarded as a continuous variable.

939

940 Table 5: Statistical results of General Linear Model of effects of sediment addition on
941 the mass, length and mass of yolk sac of surviving alevins. A) Comparison among
942 the control tanks (0 g sediment added) to determine the influence of tanks, basket
943 (nested within tanks), and individual fish (nested within baskets). B) Comparison

944 among experimental treatments to determine the influence of species (i.e. trout or
945 salmon), source of sediment added (i.e. Road verge, agricultural, river bank or
946 sewage works), mass of sediment added, basket, and individual fish (nested within
947 baskets). Both basket and individual fish were regarded as random factors and mass
948 of sediment added as a continuous variable. C) Comparison among experimental
949 treatments to determine the influence of species (i.e. trout or salmon), source of
950 sediment added (i.e. Road verge, agricultural, river bank or sewage works), and
951 mass of organic sediment recovered from the basket. Mass of organic sediment
952 recovered was regarded as a continuous variable.

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954 Figure 1: Chilworth Experimental Spawning facility showing the recirculation system
955 and water quality controls. Diagram also shows details of the individual tanks used to
956 incubate Atlantic salmon and Brown trout eggs.

957

958 Figure 2: Sediment mass treatment showing the mean (bars) and standard deviation
959 of the mean (error bars) of sediment mass injected from the egg baskets after hatch.
960 Missing values refer to STW tanks that were isolated and stopped early (see text for
961 details). Missing bank data (tank 41) occurred due to laboratory error.

962

963 Figure 3: Variation in mean mortality (\pm SE) of brown trout and Atlantic salmon with a)
964 mass of sediment added to the egg baskets and b) source of sediment added to the
965 egg baskets. Letters above means indicate significant differences between sources,
966 upper case for both species, lower case within species.

967

968 Figure 4: Variation in mean (\pm SE) alevin mass (a, d), alevin length (b,e) and yolk sac
969 mass (c, f) of brown trout and Atlantic salmon with a, b, c) variation in mass of
970 sediment added to the egg baskets and d, e, f) variation in the source of sediment

971 added to the egg baskets. Letters above means indicate significant differences
972 between sources, upper case for both species, lower case within species.

973

Table 1

Parameter	Mean	Standard deviation	Range
Temperature (°C)	7.40	0.60	5.43 - 9.37
Dissolved Oxygen (mg L ⁻¹)	10.02	0.23	9.45-11.01
Water Level in reservoir (cm)	37.27	1.72	34.88 - 62.97
pH	7.98	0.17	7.6 - 8.2
NH ₄ ⁺ (mg L-1)	0.27	0.19	0.0 - 0.5
NO ₃ ⁻ (mg L-1)	14.17	13.11	0.0 - 40.0
NO ₂ ⁻ (mg L-1)	0.23	0.31	0.0 - 1.0

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Table 2

Source	% Organic Content (LOI)	% Organic Carbon	5day Sediment Oxygen Demand mgO ₂ /g/day	20day Sediment Oxygen Demand mgO ₂ /g/day	% Silt	% Clay	D ₁₀ (µm)	D ₅₀ (µm)	D ₉₀ (µm)
Sewage Treatment Works (Tertiary Treated Waste)	56.54 (6.62)	60.0 (5.0)	12.97 (2.39)	7.40 (1.92)	99.85	0.15	8.36	24.19	50.05
Road verge	14.53 (0.94)	9.0 (8.0)	10.69 (0.49)	1.34 (0.84)	97.93	2.07	3.53	13.19	39.67
River bank	7.66 (0.69)	3.0 (3.0)	6.83 (2.10)	0.97 (0.39)	100.00	0.00	37.87	49.59	63.49
Agriculture (Field)	14.05 (1.01)	6.0 (7.0)	3.91 (1.18)	0.88 (0.56)	98.08	1.92	3.43	11.92	37.52

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Figures in brackets are 1 standard deviation of mean. For % Organic Carbon figures in brackets are CV. LOI is Loss on Ignition at 550°C

979 Table 3.

	Species		Source		Mass added		Source* Mass added	
	$F_{1,216}$	p	$F_{3,216}$	p	$F_{1,216}$	p	$F_{3,216}$	p
Mass recovered	2.19	0.140	0.81	0.488	2685	<0.0001	2.22	0.0861
Organic mass recovered	1.97	0.161	1093	<0.0001	2820	<0.0001	889	<0.0001

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Table 4.

A)

	Species		Tank		Basket	
	$F_{1,39}$	p	$F_{3,39}$	p	$F_{36,39}$	p
Mortality	368.7	<0.0001	0.64	0.595	0.87	0.667

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B)

	Species		Source		Species*Source		Mass		Mass*Species		Mass*Source		Mass*Species*Source		Basket	
	$F_{1,451}$	p	$F_{3,451}$	p	$F_{3,451}$	p	$F_{1,451}$	p	$F_{1,451}$	p	$F_{3,451}$	p	$F_{3,451}$	p	$F_{9,451}$	p
Mortality	645.9	<0.0001	14.28	<0.0001	2.57	0.054	115.5	<0.0001	13.91	0.0002	99.27	<0.0001	28.12	<0.0001	0.69	0.722

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C)

	Species		Source		Species*Source		Organic		Organic*Species		Organic*Source		Organic*Species*Source	
	$F_{1,211}$	p	$F_{3,211}$	p	$F_{3,211}$	p	$F_{1,211}$	p	$F_{1,211}$	p	$F_{3,211}$	p	$F_{3,211}$	p
Mortality	250.1	<0.0001	138.3	<0.0001	7.28	0.0001	288.06	<0.0001	50.83	<0.0001	0.56	0.647	0.51	0.668

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Table 5.
A)

	Tank		Basket		Tank*Basket		Individual	
	$F_{3,89}$	p	$F_{9,89}$	p	$F_{12,89}$	p	$F_{35,89}$	p
Mass	1.60	0.195	1.39	0.202	0.75	0.628	0.99	0.502
Length	0.68	0.564	1.13	0.350	0.38	0.911	0.78	0.799
Yolk Sac	1.34	0.267	1.08	0.387	1.66	0.129	0.88	0.651

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B)

	Species		Source		Species*Source		Mass		Mass*Species		Mass*Source		Basket		Individual	
	$F_{1,588}$	p	$F_{3,588}$	p	$F_{3,588}$	p	$F_{1,588}$	p	$F_{1,588}$	p	$F_{3,588}$	p	$F_{9,588}$	p	$F_{35,588}$	p
Mass	7.89	0.005	3.04	0.029	0.47	0.702	15.33	<0.0001	2.38	0.123	2.47	0.043	1.36	0.204	0.96	0.536
Length	120.0	<0.0001	2.82	0.038	16.73	<0.0001	12.1	0.0005	2.38	0.035	3.35	0.019	1.43	0.172	0.29	1.000
Yolk Sac	10.73	0.001	4.44	0.004	1.56	0.199	6.58	0.0105	0.00	0.9998	1.51	0.211	1.52	0.135	1.29	0.128

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C)

	Species		Source		Species*Source		Organic		Organic*Species		Organic*Source		Basket		Individual	
	$F_{1,536}$	p	$F_{3,536}$	p	$F_{3,536}$	p	$F_{1,536}$	p	$F_{1,536}$	p	$F_{3,536}$	p	$F_{9,536}$	p	$F_{33,536}$	p
Mass	8.25	0.004	2.65	0.048	1.16	0.325	14.19	0.0002	2.74	0.099	0.22	0.883	1.25	0.262	0.58	0.972
Length	84.91	<0.0001	2.13	0.948	17.14	<0.0001	11.09	0.0009	2.71	0.100	1.15	0.328	1.50	0.144	0.47	0.996
Yolk sac	8.00	0.048	2.21	0.086	0.96	0.412	5.52	0.019	0.01	0.937	0.22	0.882	0.49	0.882	1.29	0.130







