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# Low-Thrust Tour of the Main Belt Asteroids

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This work presents some preliminary results on the low-thrust tour of the main asteroid belt. The asteroids are visited through a series of fly-by's that minimise the total cost of the manoeuvres. The sequence of asteroids to visit and the initial orbit for the spacecraft are chosen based on the Minimum Orbit Intersection Distance (MOID) between the orbit of the asteroids and the orbit of the spacecraft. The transfers between asteroids are designed using a low-thrust analytical model that provides nearly optimal solutions with coast and thrust arcs. The mission analysis is completed with a study of the transfer of the spacecraft from the Earth to the first orbit of the tour.

# Nomenclature

$\mu_{\odot}$	Sun's gravitational parameter
a	Semimajor axis
e	Eccentricity
$r_p$	Radius of perihelion
$r_a$	Radius of aphelion
i	Inclination
$\Omega$	Right ascension of the ascending node
$\omega$	Argument of the perigee
M	Mean anomaly
$\theta$	True anomaly
$P_1$	Second equinoctial element
$P_2$	Third equinoctial element
$Q_1$	Fourth equinoctial element
$Q_2$	Fifth equinoctial element
L	True longitude
$ heta_{ast}^{MOID}$	True anomaly of the asteroids at the critical point of the MOID
$ heta_{sc}^{MOID}$	True anomaly of the spacecraft at the critical point of the MOID
d	Distance between objects at the critical points of the MOID
$T_{ast}^{MOID}$	Time when the asteroid is at $\theta_{ast}^{MOID}$
$t_0$	Initial date for the main belt tour
$\delta$	Tolerance angle for the phasing condition of the MOID
n	Total possible number of asteroid in a sequence
N	Number of selected asteroid in a sequence
$\mathcal{A}$	Sequence of asteroids
${\mathcal T}$	Sequence of time of encounter with asteroids at $\theta_{ast}^{MOID}$
OE	Set of orbital elements
$\Delta V$	Cost of the transfer
$\mathbf{LB}$	Vector of lower boundaries for the optimisation of the $\Delta V$
UB	Vector of upper boundaries for the optimisation of the $\Delta V$
$n_{rev}$	Number of revolutions on the intermediate phasing orbit

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- $T_L$  Time of launch of the spacecraft from Earth
- $T_M$  Time of execution of the impulsive maneuver to reach the initial orbit in the main belt
- **E** Vector of equinoctial elements
- $\mathbf{a}_{LT}$  Low-thrust acceleration vector
- $\epsilon$  Magnitude of the low-thrust acceleration vector
- $\alpha$  Azimuth angle of the thrust vector in a local radial-transveral reference frame
- $\beta$  Elevation angle of the thrust vector in a local radial-transveral reference frame
- m Mass of the spacecraft

 $\mathbf{x}_{LT}$  Optimisable low-thrust solution vector

# I. Introduction

The main belt houses the majority of the asteroids in the Solar System. It extends from 2.1 AU to 4 AU and it is estimated that several million asteroids are contained in it, ranging in size from the 972 km diameter of Ceres down to millimeters. Although larger asteroid are observable from Earth and are easy to identify, the identification and classification of smaller objects still remains an open problem. Furthermore, there is an interest in the characterisation of the larger ones to better understand their composition and evolution from the primordial stages of the Solar System till now.

However, designing a mission to characterise as many asteroids as possible in the main belt is not an easy task. The main difficulty is to identify long sequences of asteroids that can be visited in a given time and with limited  $\Delta V$ . The number of known objects exceeds 100,000 and the number of possible combinations of encounter is unmanageable. The mission currently targeting objects in the main belt, Dawn,<sup>1</sup> is visiting only two, possibly three, proto-planets using low-thrust propulsion.

This paper presents some preliminary results on a more ambitious tour of the asteroid belt using solar electric propulsion. Two different mission scenari are considered. One starts from a shortlist of scientifically interesting targets and proceed with the analysis of all optimal sequences that are achievable with a given time limit and  $\Delta V$  budget. The other leaves the choice of the scientific targets open and simply tries to find the longest sequence of objects in a given time and  $\Delta V$  budgets.

In both cases the spacecraft is transferred to an elliptical orbit with perihelion at the Earth and aphelion at the main belt, to reduce both launch and  $\Delta V$  requirements, each asteroid is visited with one single fly-by. A simple approach is proposed to shortlist asteroids and identify optimal sequences that can be realised with either chemical or electric propulsion. From this shortlist the best solution (longest sequence with lowest  $\Delta V$ ) is re-optimised with electric propulsion. A direct transcription method based on asymptotic analytical solutions to the accelerated Keplerian motion<sup>2</sup> is used to transcribe the optimal control problem that defines the optimal control profile of the engine. The goal is to visit the maximum possible number of asteroids in the main belt within a limited time frame. With the same transcription approach also the transfer from the Earth to the first orbit traversing the main belt is optimised. In this paper we limit our attention to transfer options that do not include swing-by's of the planets of the inner solar system, therefore the transfer from the Earth to the main belt is conceived to exploit at best the use of the launcher and the electric propulsion system. The main contribution of this paper, therefore, is in the investigation of possible sequences of flyby's, within some constraining assumptions on time and launch mass, and their potential to be flown with an electric propulsion system.

The paper is structured as follows: it starts with a description of the proposed solution method in Section II; the results obtained are then presented in Section III and IV and Section V concludes the paper.

### II. Mission Analysis

In this work two databases of objects in the main belt are considered. The first database (Database 1) is composed of 101,993 objects. The second database (Database 2) includes a selection of 424 objects of particular scientific interest. These are, among others, active objects (main belt comets, mass losing asteroids), objects of extreme sizes (both small and big) and extreme shapes, fast rotators and binaries or triples. The orbital distribution of the objects of the two database is shown in Figures 1 and 2. Note that, although the complete Database 1 contains also asteroids with perihelion at Jupiter, in this analysis we will restrict our attention to asteroids that are part of the main belt.



Figure 1: *a-e* and *a-i* distribution of the selected objects in the main belt for Database 1.



Figure 2: *a-e* and *a-i* distribution of the selected objects in the main belt for Database 2.

For both databases, the design of the mission is divided in five steps. These are briefly introduced in the following and described in more details in the next subections:

- 1. Analysis of the Minimum Orbit Intersection Distance (MOID) between different possible initial orbits of the spacecraft in the main belt and the orbits of all the asteroids in the database (Subsection A)
- 2. Analysis of the initial orbit of the spacecraft in the main belt and of the sequence of asteroids to visit using the results obtained from the computation of the MOID and a model with impulsive transfer between asteroids (Subsection B)
- 3. Optimisation of the time of the impulsive manuevers and of the time of the encounters with the asteroids to reduce the  $\Delta V$  associated to the mission (Subsection C)
- 4. Study of the transfer from the Earth to the main belt (Subsection D)
- 5. Optimisation of the low-thrust transfer to the main belt and of the tour of the selected sequence of asteroids (Subsection E)

The tour of the main belt is assumed to start on the 01/01/2030 with a maximum duration of 5 years.

# A. Minimum Orbit Intersection Distance

In order to identify the initial orbit of the spacecraft in the main belt and the sequence of asteroids to encounter, the Minimum Orbit Intersection Distance (MOID)<sup>34</sup> between all the asteroids in the database and different possible initial orbits of the spacecraft is computed. The MOID is defined as a measure for the geometric distance between the orbits of two objects. The computation of the MOID is realised using the Fortran code made public available online from the Department of Mathematics of the University of Pisa.<sup>6</sup> This computation returns, for each pair spacecraft's orbit-asteroids's orbit, the minimum, maximum and saddle points of the distance between the two orbits. These critical points are identified by the true anomalies  $\theta_{ast}^{MOID}$  and  $\theta_{sc}^{MOID}$  of the two objects on their orbit and by the distance between them at the critical points, d. In this study only points with d < 0.01 AU are considered. The computation of the MOID does not consider the positions that the asteroids and spacecraft occupy on their orbits.<sup>5</sup> This means that an encounter between asteroids and spacecraft can not actually take place if the two bodies are not, at the same time, at  $\theta_{ast}^{MOID}$  and  $\theta_{sc}^{MOID}$ . In order to check which encounters at the MOID can be realised, the following phasing analysis is applied:

• For each couple spacecraft's orbit- asteroid's orbit with d < 0.01 AU, the times when the asteroid is at  $\theta_{ast}^{MOID}$  are computed, starting from the initial date 01/01/2030,  $t_0 = 10957.5$  MJD2000. These times, that repeat at interval equal to the orbital period of the asteroids, are identified as  $T_{ast}^{MOID}$ .

- Different initial mean anomalies  $M_0$  in the range [0, 360) deg, at steps of 1 deg, are considered for the spacecraft on its orbit, with initial date  $t_0$ .
- Kepler's equation is solved to obtain the true anomaly of the spacecraft at  $T_{sc}^{MOID}$ ,  $\theta_{sc}(T_{ast}^{MOID})$ , starting from  $M_0$  at  $t_0$ . If the following condition is satisfied

$$|\theta_{sc}(T_{ast}^{MOID}) - \theta_{sc}^{MOID}| < \delta \tag{1}$$

then the encounters between asteroids and spacecraft, at distance d < 0.01 AU is demonstrated to actually take place at time  $T_{ast}^{MOID}$ , with  $\delta$  an appropriate small angle.

### B. Study of possible sequences of asteroids

At the end of the process defined in the previous subsection, for each value of  $M_0$ , a list of asteroids that encounter the spacecraft at distance lower than 0.01 AU is available. The next step consists in computing the  $\Delta V$  required to visit these objects, with a fly-by realised at distance equal to 0 AU, when the asteroids are at  $\theta_{ast}^{MOID}$ . The  $\Delta v$  is estimated by connecting pairs of asteroids with Lambert's arcs.<sup>7</sup>

The first transfer takes place from the point defined by  $M_0$  at  $t_0$ , on the orbit of the spacecraft, to the point defined by  $\theta_{ast}^{MOID}$  for the first asteroid in the list, at time  $T_{ast}^{MOID}$ . Each subsequent transfer takes place from the previous asteroid to the next asteroid in the list, at their critical points. However, encountering each asteroid in the sequence could be too expensive in terms of  $\Delta V$ . The study, therefore, tries to identify sequences that can be realised with a maximum allowable total  $\Delta v$ .

Sequences that satisfy the limit on the  $\Delta v$  are generated with a tree search on a binary tree. A generic sequence of n asteroids is identified by a vector **b** of length n composed of 0's and 1's. A 1 means that the asteroid is in the sequence (a flyby is performed) while a 0 means that the asteroid is not in the sequence (no flyby). As a results,  $2^n$  sequences are possible, each characterised by a different number of asteroids and different values of  $\Delta V$ . An enumerative approach to evaluate all the  $2^n$  possibilities is not practical when n is large. Thus a deterministic branch and prune approach (BPA) is applied. The BPA incrementally builds a binary tree in which each level corresponds to one of the n components in **b** and each branch is a sequence. At each level each branch is divided in two subbranches one with leaf with value 1 and one with leaf with value 0. Then each partial branch is evaluated. If the  $\Delta V$  associated to the partial branch exceeds a given threshold the whole branch is discarded.

After this process, for each value of  $M_0$  on the initial orbit, the vector **b** is translated into a list of asteroids  $\mathcal{A} = \{A_1, A_2, A_3, \ldots, A_N\}$ , with  $N \leq n$  and  $\Delta V < \Delta V_{max}$ . The initial orbit of the spacecraft is defined through its orbital elements:  $\mathcal{OE} = \{r_p, r_a, i, \Omega, \omega\}$ , where  $r_p$  is the radius of perihelion,  $r_a$  is the radius of aphelion,  $\Omega$  is the right ascension of the ascending node and  $\omega$  is the argument of perigee and its mean anomaly  $M_0$  at time  $t_0$ . The date of the encounters are defined as  $\mathcal{T} = \{T_1, T_2, \cdots, T_N\}$ .

[insert binary tree figure]

### C. Optimisation of the sequence of asteroids

The solution found at the previous step assumes that the encounters with the asteroids take place when they are at their critical true anomaly,  $\theta_{ast}^{MOID}$ , starting from an initial orbit identified by  $\mathcal{OE}$ . A better solution might however exist and could be found by changing some of the parameters of the initial orbit  $\mathcal{OE}$ or changing the date of encounter with the asteroids, that is by encountering the asteroids not exactly at  $\theta_{ast}^{MOID}$ . In order to find a better solution, a global optimisation problem is solved, in which the objective is the minimisation of the  $\Delta V$  for the transfer. The upper and lower bounderies for the global optimisation problem are defined by the vectors **LB** and **UB**:

$$\mathbf{LB} = [M_0 - \Delta M_0, r_p - \Delta r_p, r_a - \Delta r_a, \omega - \Delta \omega, T_1 - \Delta T_1, T_2 - \Delta T_2, \dots, T_n - \Delta T_n]^T$$
(2)

$$\mathbf{UB} = [M_0 + \Delta M_0, r_p + \Delta r_p, r_a + \Delta r_a, \omega + \Delta \omega, T_1 + \Delta T_1, T_2 + \Delta T_2, \dots, T_n + \Delta T_n]^T$$
(3)

The global search is realised using the global optimiser Multi Population Adaptive Inflationary Differential Evolution Algorithm (MP-AIDEA).<sup>10</sup> MP-AIDEA is a multi-population adaptive stochastic optimiser which combines Differential Evolution  $(DE)^8$  with the working principles of Monotonic Basin Hopping Algorithm (MBH).<sup>9</sup>

#### D. Transfer to the Main Belt

This section describes the transfer strategy from the Earth to the first orbit in the main belt, identified by its orbital elements  $\mathcal{OE} = \{a, e, i, \Omega, \omega, M_0, t_0\}$ . The transfer is realised by injecting the spacecraft into an intermediate phasing orbit, characterised by orbital elements  $\mathcal{OE}_{int} = \{a_{int}, e_{int}, i, \Omega, \omega\}$  and orbital period  $T_{int}$ . The  $\Delta V$  required for the launch,  $\Delta V_L$  is computed as:

$$\Delta V_L = \sqrt{2\frac{\mu_{\odot}}{r_{\oplus}} - \frac{\mu_{\odot}}{a_{int}}} - \sqrt{\frac{\mu_{\odot}}{r_{\oplus}}}$$
(4)

where  $\mu_{\odot}$  is the Sun's planetary constant and  $r_{\oplus}$  is the Sun-Earth distance.

The spacecraft remains on the intermediate phasing orbit for an integer number  $n_{rev}$  of revolutions. After  $n_{rev}$  revolutions, when the spacecraft is at the perihelion of the intermediate orbit, a  $\Delta V$  is applied to reach the final orbit:

$$\Delta V_M = \sqrt{2\frac{\mu_{\odot}}{r_{\oplus}} - \frac{\mu_{\odot}}{a}} - \sqrt{2\frac{\mu_{\odot}}{r_{\oplus}} - \frac{\mu_{\odot}}{a_{int}}} \tag{5}$$

The spacecraft moves then for a time:

$$\Delta T = \frac{M_0 - M_p}{n} \tag{6}$$

on the orbit  $\mathcal{OE}$ . In the previous equation  $M_0$  is the mean anomaly on the first orbit in the main belt at  $t_0$ ,  $M_p = 0$  deg is the mean anomaly at perihelion and n is the mean motion of the orbit. For every value of  $n_{rev}$ ,  $T_{int}$  has to be such that at the computed time of the launch,  $T_L$ :

$$T_L = t_0 - \Delta T - n_{rev} T_{int} \tag{7}$$

the Earth is at the perihelion of the orbit  $O\mathcal{E}$ . This allows one to identify the value of  $T_{int}$ , and therefore the intermediate phasing orbit  $O\mathcal{E}_{int}$ , for every  $O\mathcal{E}$  and  $n_{rev}$ .

#### E. Low-Thrust Optimisation

The outcome of the sequence finder and optimisation with MP-AIDEA is a sequence of transfer legs characterised by a departure heliocentric position, an end heliocentric position, a transfer time and a departure  $\Delta V$ . The low-thrust optimisation process determines, for each transfer leg, an optimal control history, for the low-thrust engine, to depart from one asteroid and reach the following asteroid in the sequence at a given time. In this study, a variant of the direct analytical multiple shooting algorithm proposed by Zuiani<sup>2</sup> and implemented in the software code FABLE (FAst Boundary-value Low-thrust Estimator) is used. The transfer leg is split into a predefined sequence of  $n_{LT}$  finite coast and thrust arcs. Each *s*-th arc is represented by a vector of equinoctial parameters  $\mathbf{E}_s = [a_s, P_{1,s}, P_{2,s}, Q_{1,s}, Q_{2,s}, L_s]^T$ , plus, in case of thrust arc, the low-thrust acceleration components,  $a_r$ ,  $a_t$  and  $a_h$  expressed in a local radial-transversal reference frame as:<sup>2</sup>

$$\mathbf{a}_{LT,s} = \begin{cases} a_r \\ a_t \\ a_h \end{cases}_s = \begin{cases} \epsilon_i \cos \alpha_i \cos \beta_i \\ \epsilon_i \sin \alpha_i \cos \beta_i \\ \epsilon_i \sin \beta_i \end{cases}$$
(8)

where  $\alpha_s$ ,  $\beta_s$  and  $\epsilon_s$  are, respectively, the azimuth, elevation and modulus of the acceleration and  $\epsilon_s = F_s/m_s$ is the ratio between thrust  $F_s$  and mass of the spacecraft  $m_s$ .

The trajectory is then analytical propagated backward from the end point and forward for the departure point (Figure 3). The motion is assumed purely Keplerian along coast arcs while thrust arcs are analytical propagated using the asymptotic expansion solutions proposed in the work of Zuiani and Vasile.<sup>11</sup> Each arc begins and ends at an On/Off control node, where On nodes define the switching point from a coast to a thrust arc and Off nodes define the switching point from a thrust to a coast arc (see Figure 3). Therefore, thrust arcs are defined by a set of orbital elements at an On node,  $E_s^{ON}$ , and coast arcs are defined by a set of orbital elements at an On node,  $E_s^{OFF}$ .

For the trajectories considered in this study, the angle  $\beta$  is set to zero, since the transfers are all on the ecliptic plane. The azimuth angles  $\alpha_s$  are instead optimisation variables while the modulus  $\epsilon$  of the



Figure 3: Segmentation of the trajectory into coast legs (black) and thrust legs (red).

acceleration depends only on the mass of the spacecraft. The mass of the spacecraft is conservatively kept constant over each transfer and updated at the end of the transfer according to the propellant mass spent to realise that transfer.

The optimisable vector for each transfer is, therefore, defined by the azimuth angles  $\alpha_s$ , for each thrust arc, and the equinoctial elements at each On and Off point:

$$\mathbf{x}_{LT} = [\alpha_1, \mathbf{E}_1^{ON}, \mathbf{E}_1^{OFF}, \alpha_2, \mathbf{E}_2^{ON}, \mathbf{E}_2^{OFF}, \alpha_{n_{LT}}, \mathbf{E}_{n_{LT}}^{ON}, \mathbf{E}_{n_{LT}}^{OFF}]^T$$
(9)

where  $n_{LT}$  is the number of thrust and coast arcs.

The optimisation problem is formulated as a non-linear programming problem whose objective is the total  $\Delta V$  for each transfer

$$\min_{\mathbf{x}_{LT}} \Delta V = \sum_{s} \epsilon_s \Delta t_s \left( \mathbf{x}_{LT} \right), \tag{10}$$

where  $\Delta t_s(\mathbf{x}_{LT})$  is the time length of each thrust arc, subject to the following constraints:

$$\begin{cases} \left(\mathbf{E}_{1}^{ON}\right)^{+} = \mathbf{E}_{1}^{ON} \\ \left(\mathbf{E}_{s}^{OFF}\right)^{+} = \mathbf{E}_{s}^{OFF} & s = 1, \dots, n_{LT}/2 \\ \left(\mathbf{E}_{s}^{ON}\right)^{-} = \mathbf{E}_{s}^{ON} & s = n_{LT}/2 + 1, \dots, n_{LT} \\ \left(\mathbf{E}_{nLT/2+1}^{ON}\right)^{+} = \left(\mathbf{E}_{nLT/2+1}^{ON}\right)^{-} \\ \left(\mathbf{E}_{nLT}^{OFF}\right)^{-} = \mathbf{E}_{nLT}^{OFF} \\ \sum_{s=1}^{n-1} \Delta t_{s} = ToF \end{cases}$$

$$(11)$$

The plus and minus signs in the constraints equations indicate respectively the forward integration leg and the backward integration leg. The non-linear programming problem is solved using the Matlab<sup>®</sup> fmincon-interior-point algorithm.

# III. Results Database 1

At first optimal tours are generated using Database 1. This section presents the results of the scan of all possible sequences with estimated cost lower than 1 km/s, and the low-thrust optimisation of the most promising solution.

#### A. Minimum Orbit Intersection Distance

The MOID is computed between all the asteroids in the database and different orbits of the spacecraft identified by the orbital elements in Table 1. The spacecraft orbits are elliptical, with perihelion at the Earth and aphelion in a given range of distances from the Sun.

Table 1: Orbital elements of the different possible initial orbits of the spacecraft used for the computation of the MOID with the asteroids of Database 1

Figure 4 shows, for each analysed value of the aphelion  $r_a$  and for different values of  $\omega$ , the number of asteroids with d < 0.01 AU with respect the orbit of the spacecraft. As expected, the higher the aphelion the greater the number of asteroids with d < 0.01 AU, as the spacecraft spends a greater part of its orbital revolution inside the main belt. This is true in the range of  $r_a$  considered in this study. The number of asteroids shown in Figure 4 does not account for the position of asteroids and spacecraft on their orbits. Once the phasing process presented in Subsection II A is applied, the number of asteroids that is possible to encounter with d < 0.01 is reduced. Figure 5 shows the number of asteroids which respect the condition in Equation 1, for different values of  $M_0$  and for the value of  $\omega$  giving the maximum number of asteroids with d < 0.01 AU;  $\delta = 1$  in this case. The number of asteroids with d < 0.01 AU and phasing condition satisfied can be as high as 82, when  $r_a = 2.46$  AU. However, only transfer with a total  $\Delta$  lower than  $\Delta V_{max}$  are considered. The sequence of asteroids that satisfy  $\Delta V < \Delta V_{max}$  are presented in the next section.



Figure 4: Number of asteroids of Database 1 with d < 0.01 AU for different initial orbit of the spacecraft, identified by their aphelion radius  $r_a$ .



Figure 5: Number of asteroids of Database 1 with d < 0.01 AU and phasing condition for asteroids encounter (Equation 1) satisfied.

#### B. Study of the possible sequences of asteroids

Figure 6 shows the  $\Delta V$  required for the tour of the asteroids, as a function of the number N of visited objects. The initial orbit of the spacecraft has  $r_a = 1.86$  AU and  $\omega = 180$  deg and the maximum mission cost is  $\Delta V_{max} = 1$  km/s. Different values of the angle  $\delta$  are considered, from  $\delta = 0.1$  deg to  $\delta = 1$  deg. The figures collect the results obtained for all the possible values of  $M_0$  from 1 to 360 deg, at steps of 1 deg and for each value of N only the first 1000 best solution (the ones with lower  $\Delta V$ ) are shown.

Results from figure 6 show that higher values of  $\delta$  allows one to find solutions with a longer list of asteroids, while still satisfying the condition  $\Delta V < \Delta V_{max}$ . Figure 7 shows the relation between  $\Delta V$  and number of visited asteroids for orbits with different values of  $r_a$ , as defined in Table 1, and different value of  $\delta$ . The value of  $\omega$  for each orbit is the one that allows to visit the maximum possible number of asteroids for that  $r_a$ . As  $r_a$  increases, the maximum number of asteroids that it is possible to visit increases (from 8 for  $r_a = 1.86$  AU to 11 for  $r_a = 2.46$  AU)and the  $\Delta V$  associated to a given number of asteroids N decreases.



Figure 6: Relation between  $\Delta V$  and number of visited asteroids for orbit with  $r_a = 1.86$  AU and different values of  $\delta$ .

#### C. Optimisation of the sequence of asteroids

For each one of the orbits in Figure 7, the solutions with maximum number of visited asteroids and lowest  $\Delta V$  are further optimised using MP-AIDEA. The lower and upper boundaries **LB** and **UB** used for the optimisation with MP-AIDEA are defined by Equations 2 and 3 and the values reported in Table 2.  $\Delta r_p$ ,  $\Delta r_a$  and  $\Delta \omega$  are given as a function of the nominal values,  $r_p$ ,  $r_a$  and  $\omega$ . Each optimised result is obtained after 25 runs of MP-AIDEA, in order to obtain statistically significant results. The number of function evaluations for each run is 50,000.

Table 2: Parameters for the definition of **UB** and **LB** 

$$\frac{\Delta M_0 \text{ [deg]}}{1} \quad \frac{\Delta r_p}{0.01} \quad \frac{\Delta r_a}{\sigma_0} \quad \frac{\Delta \omega}{0.01} \quad \frac{\Delta T_i \text{ [days]}}{10}$$

The results obtained are shown in Table 3, where also  $r_a$  and  $\omega$ , the number of asteroids visited N and the  $\Delta V$  of the best solution considered (maximum N, lower  $\Delta V$ ) are reported. The reduction in the cost of the tour, from  $\Delta V$  to  $\Delta V_{opt}$ , is more than 50% in some cases.

The solution selected for the low-thrust transfer optimisation is the one characterised by initial orbit with aphelion radius  $r_a = 2.26$  AU, N = 11 and  $\Delta V_{opt} = 0.5293$  km/s. Details of this tour are given in Table 4 and in Figure 8, where the initial orbit of the spacecraft is shown in black and the orbits of the visited asteroids are shown in blue. The names of the visited asteroids and the date of encounter are also shown. Table 4 gives the departure dates obtained before and after the optimisation with MP-AIDEA, the time of flight ToF and the  $\Delta V$  for each single transfer. The associated initial orbit of the spacecraft in the main belt has orbital elements:  $\mathcal{OE}_1 = \{a = 1.6296 \text{ AU}, e = 0.3859, i = 0 \text{ deg}, \Omega = 0 \text{ deg}, \omega = 179.9815 \text{ deg}, M_0 = 242.6178 \text{ deg}, t_0 = 10958.5 \text{ MJD2000}\}$ 

#### D. Transfer to the Main Belt

Two possibilities exist for the transfer from the Earth to the selected orbit  $\mathcal{OE}_1$ , with time of transfer shorter than 5 years. These two transfer possibilities are identified as T1 and T2 and are presented in Table 5. The corresponding orbits are shown in Figures 9 and 10. In Table 5 the times  $T_L$  and  $T_M$  when  $\Delta V_L$  and  $\Delta V_M$ 



Figure 7: Relation between  $\Delta V$  and number of visited asteroids for orbit with different  $r_a$  and for different values of  $\delta$ .

Table 3: Optimisation of the  $\Delta V$  of the longest sequence of asteroids for each value of  $r_a$ 

$r_a$ [AU]	$\omega$ [deg]	N	$\delta$ [deg]	$\Delta V \; [\rm km/s]$	$\Delta V_{opt} \; [\rm km/s]$
1.86	180	8	2.5	0.9702	0.7127
1.91	180	8	2.5	0.8269	0.4741
1.96	180	9	1.5	0.9862	0.6349
2.01	190	9	1	0.9008	0.4209
2.06	190	9	0.6	0.9107	0.5519
2.16	200	10	0.6	0.8632	0.5825
2.26	180	11	0.5	0.9982	0.5293
2.36	190	10	0.2	0.9387	0.5111
2.46	190	11	0.2	0.9342	0.6689

are applied, the corresponding  $\Delta V$  and the orbital elements of the intermediate phasing orbit are given. Both these transfer orbits are considered for the low-thrust optimisation of the mission.

	Targeted	Don Data	Optimised	ToF [dave]	Optimised	$\Delta V [lm/s]$	Optimised
	Asteroid	Dep. Date	Dep. Date	IOF [days]	ToF [days]	$\Delta v$ [KIII/S]	$\Delta V \; [m/s]$
	$2004~\mathrm{EL5}$	1/1/2030	1/1/2030	465.16	466.20	17.46	17.20
	$2001~\mathrm{SK}280$	11/4/2031	12/4/2031	147.04	146.23	97.35	51.23
	$2014~\mathrm{UA99}$	5/9/2031	5/9/2031	46.22	45.93	104.11	5.75
	$1994 \ AN15$	21/10/2031	21/10/2031	152.09	152.29	120.68	19.17
	2005  OA8	21/3/2032	21/3/2032	370.66	371.17	54.09	28.47
	$2001~\mathrm{SB16}$	27/3/2033	27/3/2033	72.72	72.60	31.88	14.94
	1997 WH10	7/6/2033	8/6/2033	196.05	196.12	37.41	1.83
	$1997 \ \mathrm{WU26}$	20/12/2033	21/12/2033	131.25	131.43	120.14	115.26
	$2002~\mathrm{NO54}$	1/5/2034	1/5/2034	389.46	388.66	22.62	6.04
	$2002~{\rm JO71}$	25/5/2035	25/5/2035	57.33	57.65	154.36	142.04
	$2000~\mathrm{UR65}$	21/7/2035	22/7/2035	30.98	30.82	238.17	127.39
Tot.						998.27	529.32

Table 4: Selected solution for the main belt tour for Database 1



Figure 8: Selected solution for the main belt tour for Database 1

#### Е. Low-thrust optimisation

The electrical engine considered in this study has a thrust magnitude equal to F = 0.15 N and a specific impulse  $I_{sp} = 3000$  s. The initial mass of the spacecraft at launch is assumed to be  $m_0 = 1000$  kg.

The  $\Delta V$  required to realise the transfer to  $\mathcal{OE}_1$  and the tour of the asteroids is shown in Table 6, together with the propellant consumption  $m_{prop}$  and the initial and final mass,  $m_0$  and  $m_f$ , for the two phases of the mission (transfer to  $\mathcal{OE}_1$  and tour of the asteroids). Both the possible transfer options defined in Table

Table 5: Transfers to the orbit characterised by orbital elements  $\mathcal{OE}_1$  with transfer time shorter than 5 years

	$T_L$	$\Delta V_L \; [\rm km/s]$	$a_{int}$ [AU]	$e_{int}$	$n_{rev}$	$T_M$	$\Delta V_M \; [{\rm km/s}]$	$\Delta T$ [days]
T1	21/03/2027	2.7531	1.2398	0.1934	1	06/08/2028	2.5319	512.06
T2	21/03/2025	4.1130	1.4189	0.2952	2	06/08/2028	1.1721	512.06
1.5 1 - 0.5 - -0.5 - -1 - -1.5	-1 -0.5 0	0.5 1 1.5 x [AU]	Sun Earth's orbit Final orbit 2 2.5	1	1 0 [/IYI] 7 -0 -1		0 0.5 1 1.5 × [AU]	01/01/2030 Sun Earth's orbit - Intermediate orbit Final orbit 5 2 2.5

Figure 9: Orbits for transfer option T1 from Earth to orbit  $\mathcal{OE}_1$ 

Figure 10: Orbits for transfer option T2 from Earth to orbit  $\mathcal{OE}_1$ .

5 are evaluated. Option T2 allows one to obtain a higher final mass at the end of the mission (906.72 kg instead than 867.49 kg) but the transfer time from Earth to  $\mathcal{OE}_1$  is two years longer and the  $\Delta V$  required to the launcher is higher than for option T1. The low-thrust trajectories for the transfer phases of T1 and T2 are shown in Figures 11 and 12, where the coast arcs are represented in gray and the thrust arcs in black. The low-thrust trajectory for the tour phase is shown in Figure 13 for option T1 while Figure 15 shows the variation of a and e during the low-thrust trajectory.

Table 6:  $\Delta V$  and propellant consumption for the low-thrust transfer to **OE**<sub>1</sub> and tour of Database 1

	Transfer to $\mathcal{OE}_1$				Asteroids tour			
	$m_0  [\mathrm{kg}]$	$\Delta V \; [\rm km/s]$	$m_{prop} \; [\mathrm{kg}]$	$m_f \; [\mathrm{kg}]$	$m_0  [\mathrm{kg}]$	$\Delta V \; [\rm km/s]$	$m_{prop} \; [\mathrm{kg}]$	$m_f \; [\mathrm{kg}]$
Τ1	1000	2.4425	79.72	920.28	920.28	1.7368	52.79	867.49
T2	1000	1.1316	37.76	962.24	962.24	1.7472	55.52	906.72

# IV. Results Database 2

The second search for optimal tours considers only the reduced list in Database2. In this section we present the sequences and transfers calculated using the database of scientific interesting asteroids (Database2).

#### A. Minimum Orbit Intersection Distance

The MOID is computed between all the asteroids in the database and different orbits of the spacecraft identified by the orbital elements in Table 7.

Figure 16 shows, for the considered values of  $r_a$ ,  $\omega$  and i, the number of asteroids with d < 0.01 AU with respect to the orbit of the spacecraft. Results show that this number increases with higher  $r_a$  (as expected, since the spacecraft spends a greater part of its orbital period inside the main belt) and with decreasing inclination. As the inclination increases a dependence on the argument of the perigee of the orbit became also evident and large regions where the number of asteroids with d < 0.01 AU is zero appear.



Figure 11: Low-thrust transfer trajectory to  $\mathcal{OE}_1$  using option T1.



Figure 12: Low-thrust transfer trajectory to  $\mathcal{OE}_1$  using option T2.



Figure 13: Low-thrust trajectory for the tour of the asteroids of Database 1.



Figure 14: Variation of semimajor axis and eccentricity during the low-thrust transfer to  $\mathcal{OE}_1$ .



Figure 15: Variation of semimajor axis and eccentricity during low-thrust tour of the objects in Database 1.

Table 7: Orbital elements of the different possible initial orbits of the spacecraft used for the computation of the MOID with the asteroids of Database 2

$r_p \; [\mathrm{AU}]$	$r_a$ [AU]	i  [deg]	$\Omega$ [deg]	$\omega$ [deg]
1	[1.8, 4]	[0, 30]	0	[0, 360]



Figure 16: Number of asteroids in Database 2 with d < 0.01 AU for different initial orbit of the spacecraft

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As in the previous analysis, the number of asteroids shown in the Figure 16 does not account for the position of asteroids and spacecraft on their orbit. Once the phasing process presented in Subsection II A is applied, the number of asteroids that is possible to encounter with d < 0.01 is reduced. In particular, after phasing, two orbits characterised by the highest number of encounters with the asteroids in Database 2 can be identified. The orbital elements of these two orbits (O1 and O2) are given in Table 8.

Table 8: Orbits providing the higher number of encounters with asteroids in Database 2

	$a  [\mathrm{AU}]$	e	$i \; [deg]$	$\Omega$ [deg]	$\omega$ [deg]
01	2.2	0.5455	0	0	220
O2	2.3	0.5652	0	0	315

The number of possible encounters for different values of  $M_0$  from 0 to 360 deg, for the orbits defined in Table 8, is shown in Figure 17. Results show that the maximum number of asteroids that it is possible to visit in 5 years is 8. The cost associated to the mission has to be computed to verify that it is below the limit value of  $\Delta V_{max} = 1$  km/s.



Number of asteroids with d < 0.01 AU and phasing condition satisfied

Figure 17: Number of asteroids with d < 0.01 and phasing condition (Equation 1) satisfied.

#### B. Study of the possible sequence of asteroids

Figures 18 and 19 show the  $\Delta V$  required for the tour of the asteroids in Database 2, as a function of the number N of objects visited, for the two orbits defined in Table 8. The figures collect the results obtained for all the possible values of  $M_0$  from 0 to 360 deg, at steps of 1 deg. Results show that, within the limit of  $\Delta V_{max} = 1 \text{ km/s}$ , the maximum number of asteroids that is possible to visit is N = 3 for O1 and N = 4 for O2.

#### C. Optimisation of the sequence of asteroids

The best solutions in Figures 18 and 19 are optimised with MP-AIDEA. The values for the lower and upper boundaries **LB** and **UB** used for the optimisation are defined in Table 2.

The results obtained are shown in Table 3, where also the number of asteroids visited N and the  $\Delta V$  of the best solution considered are reported. The reduction in the cost of the tour, from  $\Delta V$  to  $\Delta V_{opt}$ , is less important than in Table 3.

The solution selected for the low-thrust optimisation is the one associated to orbit 2 (O2) in Table 8, as it allows to encounters 4 rather than 3 asteroids of Database 2. Details of the transfer are given in Table 10 and in Figure 20. The initial orbit in the main belt is characterised by orbital elements:  $\mathcal{OE}_2 = \{a =$ 2.2998 AU,  $e = 0.5664, i = 0 \deg, \Omega = 0 \deg, \omega = 315.0055 \deg, M_0 = 214.4470 \deg, t_0 = 10958.5 \text{ MJD2000}\}$ 



Figure 18: Relation between  $\Delta V$  and number of visited asteroids for the orbit O1.



Figure 19: Relation between  $\Delta V$  and number of visited asteroids for the orbit O2.

Table 9: Optimisation of the  $\Delta V$  of the longest sequence of asteroids for the two orbits defined in Table 8

Orbit	N	$\delta$ [deg]	$\Delta V \; [\rm km/s]$	$\Delta V_{opt} \; [\rm km/s]$
01	3	5	0.1580	0.1382
O2	4	5	0.4881	0.3945

	Targeted	Dep. Date	Optimised	ToF [days]	Optimised	$\Delta V [km/s]$	Optimised
	Asteroid	Dep. Date	Dep. Date	IOF [uays]	ToF [days]	$\Delta v [\text{KIII}/\text{S}]$	$\Delta V  [{\rm m/s}]$
	Iduna	1/1/2030	1/1/2030	294.25	297.21	80.34	64.50
	Ismene	22/10/2030	25/10/2030	363.64	363.45	147.52	142.59
	Urda	20/10/2031	23/10/2031	207.00	206.48	137.87	130.35
	Alice	14/5/2032	17/5/2032	694.30	702.05	122.39	57.02
Tot.						488.12	394.46

Table 10: Selected solution for the main belt tour for Database 2

#### D. Transfer to the Main Belt

Two possibilities exist for the transfer from the Earth to the selected orbit  $\mathcal{OE}_2$ , with time of transfer shorter than 5 years. The details of these options are given in Table 11 and the orbits are shown in Figure 21. Both these transfer orbits are considered for the low-thrust optimisation of the mission.

Table 11: Transfers to the orbit characterised by orbital elements  $\mathcal{OE}_2$  with transfer time shorter than 5 years

	$T_L$	$\Delta V_L \; [{\rm km/s}]$	$a_{int}$ [AU]	$e_{int}$	$T_1$ [days]	$n_{rev}$	$T_M$	$\Delta V_M \; [\rm km/s]$	$T_2$ [days]
T1	08/08/2026	2.4279	1.2043	0.1697	482.73	1	04/12/2027	5.0505	758.81
T2	08/08/2025	5.8288	1.7534	0.4297	847.77	1	04/12/2027	1.6496	758.81



Figure 20: Selected solution for the main belt tour for Database 2



Figure 21: Transfer 1 to the orbit characterised by orbital elements  $\mathcal{OE}_2$ .



Figure 22: Transfer 2 to the orbit characterised by orbital elements  $\mathcal{OE}_2$ .

#### E. Low-thrust optimisation

The  $\Delta V$  required to realise the transfer to  $\mathcal{OE}_2$  and the tour of the asteroids is shown in Table 12, together with the propellant consumption  $m_{prop}$  and the initial and final mass,  $m_0$  and  $m_f$ , for the two phases of the mission (transfer to  $\mathcal{OE}_2$  and tour of the asteroids). Both the possible transfer options defined in Table 11 are considered. The low-thrust trajectories for the transfer phase T1 and T2 are shown in Figures 23 and 24, with coast arcs in gray and thrust arcs in black. The low-thrust trajectory for the tour phase corresponding to T1 is shown in Figures 25 while Figures 26 and 27 show the variation of a and e during the low-thrust trajectory. The difference in the  $\Delta V$  obtained for the asteroids tour of cases T1 and T2 (0.8919 and 1.6380 km/s) is likely to be due to the convergence to different local minima when optimising the low-thrust transfer.

Table 12:  $\Delta V$  and propellant consumption for the low-thrust transfer to  $OE_2$  and for the asteroids tour of Database 2



Figure 23: Low-thrust transfer trajectory to  $\mathcal{OE}_2$ , option T1.

Figure 24: Low-thrust transfer trajectory to  $\mathcal{OE}_2$ , option T2.



Figure 25: Low-thrust trajectory for the tour of the asteroids of Database 2

# V. Conclusions

The paper presented some preliminary results for a possible low-thrust tour of the main belt. In order to limit the mission time and propellant cost it was decided to limit the analysis only to elliptical orbits with perihelion at the Earth and aphelion in a given range of distances from the Sun. The search for optimal sequences considered two different databases: one containing a large number of unsorted objects and one with a down selection of targets of particular scientific interest. This first analysis showed that, with a threshold of 1 km/s on the preliminary estimation of the  $\Delta V$ , over 11 asteroids can be visited in about five years. The shortlist of scientifically interesting targets is more limited, including only four asteroids in the same time span. However, it was noted that by increasing the  $\delta$  tolerance on the phasing and relaxing



Figure 26: Variation of semimajor axis and eccentricity during the low-thrust transfer to  $\mathcal{OE}_2$ .



Figure 27: Variation of semimajor axis and eccentricity during the low-thrust transfer tour of the asteroids of Database 2.

the constraint on the estimated  $\Delta V$  even longer sequences might be possible with an optimised  $\Delta V$  that is contained below 1 km/s. Furthermore, the launch and transfer strategy in this preliminary analysis do not include any swing-by. More alternative solutions are, therefore, to be expected. This will be the object of a future study.

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