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Influence of the copper content on the optical properties of CZTSe thin films

M.V. Yakushev,^{1,2,3} M.A. Sulimov,² J. Márquez-Prieto,⁴ I. Forbes,⁴ J. Krustok,⁵ P.R. Edwards,¹ V.D. Zhivulko,⁶ O.M. Borodavchenko,⁶ A.V. Mudryi,⁶ and R.W. Martin¹

¹ Department of Physics, SUPA, University of Strathclyde, Glasgow G4 0NG, UK

² Ural Federal University, Ekaterinburg, 620002, Russia

³ Institute of Solid State Chemistry of the Urals branch of the Russian Academy of Sciences, Ekaterinburg 620990, Russia

⁴ NPAG, Department of Physics and Electrical Engineering, Northumbria University, Newcastle upon Tyne NE1 8ST, UK

⁵ Tallinn University of Technology, Ehitajate tee 5, Tallinn 19086, Estonia

⁶ Scientific-Practical Material Research Centre of the National Academy of Sciences of Belarus, P. Brovki 19, Minsk 220072, Belarus

Abstract

We present an optical spectroscopy study of $\text{Cu}_2\text{ZnSnSe}_4$ (CZTSe) thin films deposited on Mo/glass substrates. The $[\text{Cu}]/[\text{Zn}+\text{Sn}]$ ratio in these films varies from nearly stoichiometric to strongly Cu deficient and Zn rich. Increasing Cu deficiency and Zn excess widens the bandgap E_g , determined using photoluminescence excitation (PLE) at 4.2 K, from 0.99 eV to 1.03 eV and blue shifts the dominant band in the photoluminescence (PL) spectra from 0.83 eV to 0.95 eV. The PL spectra of the near stoichiometric film reveal two bands: a dominant band centred at 0.83 eV and a lower intensity one at 0.93 eV. The temperature and excitation intensity dependence of the PL spectra help to identify the recombination mechanisms of the observed emission bands as free-to-bound: recombination of free electrons with holes localised at acceptors affected by randomly distributed potential fluctuations. Both the mean

depth of such fluctuations, determined by analysing the shape of the dominant bands, and the broadening energy, estimated from the PLE spectra, become smaller with increasing Cu deficiency and Zn excess which also widens E_g due to an improved ordering of the Cu/Zn atoms. These changes in the elemental composition induce a significant blue shift of the PL bands exceeding the E_g widening. This is attributed to a change of the dominant acceptor for a shallow one, and is beneficial for the solar cell performance. Film regions with a higher degree of Cu/Zn ordering are present in the near stoichiometric film generating the second PL band at 0.93 eV.

Corresponding author: michael.yakushev@strath.ac.uk tel. +44 141 548 3374

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1 **1. Introduction**

2 $\text{Cu}_2\text{ZnSnSe}_4$ (CZTSe) is a semiconductor compound used in thin-film solar cells as the
3 absorber layer [1]. The structural and electronic properties of this material are similar to those
4 of $\text{Cu}(\text{InGa})\text{Se}_2$ (CIGS), successfully used in one of the leading thin film solar cell
5 technologies [2,3], while CZTSe holds the additional advantages of having elements which
6 have low costs, low toxicity and are abundant in the earth's crust. These factors provide a
7 firm foundation for the CZTSe-based photovoltaic (PV) solar cells, which currently show
8 record conversion efficiencies in excess of 11% [4] for the pure selenide version of the
9 material. The p-type doping of CZTSe is assumed to be governed by its intrinsic defects
10 [2,5]. Therefore understanding of the electronic properties in general and defect chemistry in
11 particular is vital for the development of CZTSe-based solar cells. However, currently the
12 knowledge of such properties mostly comes from theoretical studies based on density
13 functional theory [5,6]. To become a reliable support for technology developers these
14 theoretical findings should be verified by experimental evidence [2].

15 The main drawback of CZTSe-based solar cells is thought to be the small value of the
16 open circuit voltage (V_{OC}) [2, 7]. Its deficit for CZTSe PV measured as $E_g/q - V_{\text{OC}}$, where E_g
17 is the bandgap and q the elementary charge, is significantly greater than that in good CIGS-
18 based devices [8]. The origin of this deficit is attributed to bulk defects in the CZTSe
19 absorber as well as to peculiarities of its lattice structure [1] which can have a degree of
20 Cu/Zn randomisation on the cation sub-lattice [9]. This randomisation can significantly
21 reduce the effective bandgap, but its degree can be controlled by low temperature post-
22 growth annealing [10, 11] and the elemental composition [12]. It is important to find the
23 particular technological parameters which can control the randomisation.

24 One of the most sensitive spectroscopic techniques to study the electronic properties of
25 semiconductors in general and in particular defects with energy levels in the bandgap is

1 photoluminescence (PL) [13]. The PL spectra of CZTSe films with small copper excess
2 reveal rather narrow peaks assigned to donor-acceptor pair (DAP) recombination mechanisms
3 and excitonic features [14]. However in films with Cu deficiency and Zn excess (at elemental
4 compositions used in high performance solar cells) low temperature PL spectra reveal a
5 single dominant band at spectral energies lower than those of DAP. Such bands are assigned
6 to band tail related recombination mechanisms [15-18]. The band tails are considered to play
7 an important role in the recombination processes of solar cell grade CZTSe thin films [3, 16,
8 19, 20].

9 According to Gokmen et al. [19] the band-tails are induced by antisite defects $Zn_{Cu}+Cu_{Zn}$
10 and are mainly responsible for the V_{OC} deficit. However reported estimates of the mean depth
11 of potential fluctuations in thin films of $Cu_2ZnSn(SSe)_4$ do not show much changes with
12 varying elemental composition [20] and solar cell performances [18] suggesting that the
13 nature of defects and their influence on solar cell performance require further investigation.

14 The bandgap value E_g is essential for the development of solar cells as well as for correct
15 interpretation of PL spectra. One of the few techniques providing E_g for non-transparent films
16 is photoluminescence excitation (PLE) spectroscopy. This technique can be used to determine
17 E_g in films deposited on Mo-coated glass and does not require a p-n junction [18,19].

18 An understanding of the electronic and optical properties is important for the science-
19 based design of electronic devices but a significant practical help for technology developers
20 can be provided by the correlation of such properties with solar cell parameters. The
21 influence of the copper to zinc ratio $[Cu]/[Zn]$ on the structural properties of CZTSe and
22 correlation of this ratio with the solar cell performance have recently been reported in [21];
23 however this paper did not analyse the influence of $[Cu]/[Zn]$ on the optical properties.

24 In our paper we present a detailed optical spectroscopy study of thin films of CZTSe,
25 deposited on Mo/glass substrates, with different copper and zinc contents. We identify

1 observed optical transitions, compare their spectral positions with the bandgaps, estimate the
2 mean depth of potential fluctuations and correlate the optical properties with the principal
3 parameters of solar cells fabricated using these films.

4

5 **2. Experimental details**

6 Metallic precursors, simultaneously deposited on Mo-coated soda-lime glass substrates by
7 magnetron sputtering of high-purity copper (Cu), zinc (Zn) and tin (Sn) at room temperature,
8 were selenised in selenium vapour/nitrogen atmosphere using a two-stage thermal annealing
9 process (5 minutes at 300 °C followed by 15 minutes at 500 °C). Additional information on
10 the film fabrication process can be found elsewhere [21,22,23].

11 The PL measurements were carried out using a 1 m focal length single grating
12 monochromator and the 514 nm line of a 300 mW Ar⁺ laser. A closed-cycle helium cryostat
13 was employed to measure temperature dependence of the PL spectra from 6 K to 300 K. An
14 InGaAs photomultiplier tube was used to detect the PL signal in the spectral region from 0.9
15 μm to 1.7 μm.

16 The PLE measurements were carried out using a 0.6 m focal length single grating
17 monochromator with, an InGaAs photodiode sensitive in the region from 0.9 μm to 1.9 μm
18 and a liquid helium bath cryostat. A combination of a 400 W halogen tungsten lamp with
19 0.3 m focal length single grating monochromator was used for excitation. The PLE spectra
20 were recorded by detecting the signal at the energy near the maximum intensity of the
21 corresponding PL band: 0.83 eV for film 1, 0.90 eV for film 2 and 0.95 eV for film 3. More
22 experimental details can be found in ref. [14,15,18].

23 The elemental composition of three films grown using the same technological process
24 from metallic precursors was examined using a combination of energy dispersive x-ray
25 (EDX) and x-ray fluorescence (XRF) techniques and was reported earlier [21]. The

1 [Cu]/[Zn+Sn] and [Zn]/[Sn] ratios in the films labeled in [21] as 1, 2 and 3 demonstrate a
2 gradual increase in the copper deficiency and zinc excess as shown in Table 1.

3 The structural properties and the presence of secondary phases, studied using room
4 temperature Raman scattering and X-ray diffraction (XRD) as well as parameters (the open
5 circuit voltage V_{oc} , short circuit current I_{sc} , fill factor F and conversion efficiency η) of the
6 solar cells fabricated of these films have been reported earlier [21]. The relevant parameters
7 are added to Table 1.

8

9 **3. Results:**

10 **a. PL and PLE spectra**

11 The PL spectra of the three films, measured at 6 K and an excitation power density of 0.33
12 W/cm^2 , are shown in Fig.1, with intensities normalised with respect to the dominant bands.
13 These spectra are dominated by broad bands with maxima at 0.83 eV (film 1), 0.90 eV (film
14 2) and 0.95 eV (film 3). The rise of the intensity from the low energy side of the bands is
15 rather gentle in comparison with the more abrupt fall from the high energy side. The full
16 width at half maximum (FWHM) of these bands decreases from 96 meV for film 1 and 92
17 meV for film 2 to 90 meV for film 3. The maximum intensity of the dominant bands in film 1
18 is much lower (by a factor of 400) than those in film 2 and 3 whose maximum intensities are
19 close.

20 The film 1 PL spectrum also reveals a low intensity band at 0.93 eV. Its maximum
21 intensity is about one order of magnitude smaller than that of the dominant band whereas the
22 FWHM of 85 meV is slightly smaller than that of the dominant band. Oscillations associated
23 with water vapour absorption can be seen in the spectra at 0.9 eV.

1 Our PL measurements of CZTSe thin films deposited on bare glass substrates
2 simultaneously with those on Mo/glass in the present study demonstrate a broad dominant
3 band very similar to those in [18, 21].

4 The excitation intensity dependence of the PL spectra for the three films is shown in Fig.2.
5 Assuming the integrated intensity $I(P)$ under the dominant band to be dependent on the
6 excitation laser power P as $I \sim P^k$ we determine k power coefficients measuring the gradient
7 of log-log plots of $I(P)$. Values of k 0.79, 0.94 and 0.99 for films 1, 2 and 3, respectively, are
8 shown in Table 2. Radiative recombination of charge carriers localised at defects with energy
9 levels below the bandgap is assigned for k values smaller than unity. At k greater than unity
10 the recombination does not involve localisation at defects [24,25]. In the chalcopyrites and
11 kesterites k greater than unity can be expected for the band-to-band (BB) mechanism:
12 recombination of free electrons from the conduction band with free holes from the valence
13 band [25,26]. The BB transition was observed in the PL spectra of CZTSe from cryogenic
14 temperatures up to 300 K [18, 22]. It was suggested that it can be present in the dominant
15 band as a non-resolved component increasing the k value. The k value of the second band at
16 0.93 eV in the PL spectra of film 1 is 0.93. It is closer to k for film 3 rather than to that for the
17 dominant band of film 1.

18 There is a clear tendency of an increase in k as $[Cu]/[Zn+Sn]$ becomes smaller from film 1
19 to 3. The determined values of k suggest that the dominant PL bands are mostly associated
20 with recombination involving defect levels within the bandgap.

21 The dominant bands in all three films as well as the band at 0.93 eV for film 1 show
22 significant shifts to higher energies with increasing laser power, whereas their shape in
23 general and FWHM in particular do not change. The j – shift, the rate of the shift per decade
24 of the laser power change, increases from 13 meV to 16 meV per decade for films 1 and 2
25 and then falls to 12 meV per decade for films 3.

1 Fig.3 shows the temperature dependence of the PL spectra shown on a logarithmic scale.
2 In the PL spectra of film 1, shown in Fig.3(a), the dominant band at 0.83 eV and the second
3 band at 0.93 eV quench by 100 K revealing a broad and low intensity emission band at 0.78
4 eV. The first signs of the band appear in the 80 K spectrum of film 1 but the low energy cut
5 off limit of the detector might be modifying its shape. The high level of noise along with the
6 low intensity prevents reliable information to be obtained for this peak. Both dominant bands
7 in film 2 and 3 persist up to a temperature of 200 K. At higher temperatures both spectra
8 show broad and rather low intensity bands at 0.98 eV and 1.02 eV, respectively. Earlier [18,
9 22] such bands have been assigned to BB recombination.

10 With rising temperature the dominant bands reveal clear red shifts in all three films. Such
11 temperature red shifts as well as the j-shift values and the characteristic asymmetric shape of
12 these bands can be taken as evidence of a band tail related mechanism underlying these
13 emission bands [25]. In highly doped semiconductors the electron and hole densities of states
14 below the conduction band energy E_c , and/or above the valence band energy E_v , form band
15 tails. When the concentration of charged defects is high such tails can originate from spatial
16 potential fluctuations [25, 26] or from spatial fluctuations of the bandgap [27]. There is no
17 clear evidence reported so far on which of the above origins is correct for CZTSe. However
18 the theory of spatial potential fluctuations has been well adopted for the interpretation of PL
19 spectra. Their shape, excitation intensity and temperature dependences [25] carry a number of
20 characteristic signs which can be found in the PL spectra observed in this study. They all
21 suggest that the observed band tails originate from spatial potential fluctuations. Therefore
22 we used the theory proposed in [25] to analyse the recombination mechanisms of the PL
23 bands in this study.

1 Fig.4 shows the PLE spectra, measured to determine the bandgap of the films at 4.2 K, in
2 comparison with PL spectra for the three films. The low energy side of the PLE spectra,
3 representing the absorbance $\alpha(E)$ has been fitted with sigmoidal functions proposed in [28]:

$$\alpha(h\nu) = \alpha_0/[1 + \exp(E_g - h\nu)/\Delta E], \quad (1)$$

4
5
6
7 where $h\nu$ is the photon energy, α_0 vertical scale parameter and ΔE a broadening energy [29].
8 These fits are shown in Fig.4 as red solid lines. The determined values of the bandgap are
9 (0.99 ± 0.01) eV, (1.02 ± 0.01) eV and (1.03 ± 0.01) eV, for films 1, 2 and 3, respectively, are
10 presented in Table 2. Such a considerable increase of the bandgap by 40 meV from film 1 to
11 film 3 is followed by a significant decrease of the broadening energy from 29 meV to 20
12 meV.

13 14 **b. Analysis of PL spectra**

15
16 The kesterite thin films used for the absorber layer in high performance solar cells are
17 considered to be highly doped and compensated semiconductors [2,3,30]. The condition for
18 high doping (defined as the average distances between defects being smaller than their Bohr
19 radii) may be satisfied in the kesterites for electrons but not for holes. This is because the
20 mass of the electrons (the density of states, DOS, mass of electrons $m_e^* = 0.08m_0$, where m_0 is
21 the mass of free electron) is much smaller than that of the holes (the DOS mass of holes $m_h^* =$
22 $0.21m_0$) [31]. The proximity of defects causes an overlap of their donor wave-functions
23 whereas their acceptors are not degenerate and holes can be considered to be classical
24 particles [25,32]. This model is also used for the interpretation of PL spectra of the
25 chalcopyrites [26,33,34].

1 According to [26] there are two likely transitions we can expect in the low temperature PL
 2 spectra of the kesterites: (1) band-to-tail (BT) transition - the recombination of holes,
 3 localised at acceptor-like states of the valence band tail, with free electrons from the
 4 conduction band and (2) free-to-bound (FB) transition – the recombination of free electrons
 5 with holes localised at acceptors, which are deeper than the mean energy depth of potential
 6 fluctuations. The energy levels of these acceptors are affected by the valence band tails.
 7 Therefore the characteristic features of the BT bands - shape, significant j-shift and red shift
 8 with temperature rise dependence can also be found in the FB bands [25,33,35].

9 The low-energy side of bands associated with BT recombination is determined by the
 10 density of states (DOS) of the valence band tail ρ_v [25, 26,36] which at low temperatures can
 11 be described as follows:

$$12 \quad \rho_v(\varepsilon) \sim \exp(-\varepsilon / \gamma), \quad (2)$$

13 where γ is the mean energy depth of the potential energy fluctuations of the valence band and
 14 ε is the energy from the valence band top. Thus if the dominant bands is the BT
 15 recombination the low energy side can be used to estimate γ [26, 36].

16 An alternative interpretation of the dominant band nature could be to assign it to the FB
 17 recombination.

18 The FB recombination includes both the recombination of free electrons with holes
 19 captured at acceptors and holes first captured at the valence band tail and then captured at
 20 acceptors. Due to potential fluctuations the hole density of states for the FB transitions is
 21 spread at the acceptor's level:

$$22 \quad \rho_a(\varepsilon) = (N_a / \sqrt{2\pi\gamma}) \exp[-(\varepsilon - I_a)^2 / 2\gamma^2], \quad (3)$$

23 where I_a is the acceptor ionisation energy whereas N_a is its concentration [25, 33]. Therefore
 24 the hole density of states at the valence band tail also determines the shape of the low energy
 25 side of the FB band, and its shape can also be used to determine γ . To find out if the dominant

1 band is the BT or FB we have to carry out temperature quenching analysis and find the
 2 activation energy. If the activation energy is close to γ then the band can be assigned to the
 3 BT transition. However if the activation energy is significantly greater than γ then the band is
 4 more likely to be the FB transition.

5 For accurate analysis of the bands their shape $I(h\nu)$ was fitted with the empirical
 6 asymmetric double sigmoidal function (DSF) proposed in [26] for bands associated with
 7 band-tail recombination:

8

$$9 \quad I(h\nu) = A \left\{ 1 + \exp \left[- \frac{(h\nu - E_1)}{W_1} \right] \right\}^{-1} * \left\{ 1 - \left(1 + \exp \left[- \frac{(h\nu - E_2)}{W_2} \right] \right)^{-1} \right\}, \quad (4)$$

10 where A, E_1 , E_2 , W_1 and W_2 are fitting parameters representing the low energy (E_1 , W_1) and
 11 high energy (E_2 , W_2) sides. Examples of fitting are shown in Fig.5. It can be seen that the best
 12 fits of DSF reasonably describe the low energy side of the dominant bands whereas the high
 13 energy side of the bands for film 2 and especially for film 3 goes slightly higher than the DSF
 14 fits. However at temperatures above 90 K the DSF fits to the high energy side also become
 15 very accurate. We speculate that this may be due to the presence of low intensity PL bands
 16 merging with the dominant bands and quenching at 90 K.

17 We can use the fitted shapes to improve the accuracy of calculations of the temperature
 18 dependence of the band integral intensity for the two bands in film 1 by subtracting one of the
 19 bands and analysing separately the temperature and excitation intensity dependencies of the
 20 other. However the low intensity and high level of noise of the 0.93 eV band resulted in a low
 21 accuracy of the fitting and does not allow analysis of its shape and to obtain reliable values of
 22 W_1 , W_2 and γ .

1 The average depth of potential fluctuations in the band tails of 39, 29 and 24 meV,
 2 estimated from the low energy sides of the dominant bands in the 6 K PL spectra of films 1, 2
 3 and 3, respectively, are shown in Table 2. The accuracy of these values is lower for the
 4 dominant band of film 1 due to lower intensity of the PL emission. The presence of
 5 oscillations associated with water vapour also introduces additional error in the fitting for
 6 film 2. Therefore the most reliable value of γ is found for film 2. The decrease in the
 7 [Cu]/[Zn+Sn] ratio from film 1 to 3 is followed by a reduction of γ .

8 The temperature dependence of W_1 in the 3 films is shown in Fig.6(a). It can be seen that
 9 for each film W_1 does not change up to about 50 K and its value is close to the corresponding
 10 values of γ for that film. Similar temperature dependencies of W_1 have been reported in [26]
 11 for the chalcopyrites.

12 The temperature dependence of the spectral energy of the PL intensity maxima $E_{\max}(T)$ for
 13 the observed bands is presented in Fig.6(b). It can be seen that with rising temperature all the
 14 bands shift to lower energy. The greatest shifts reveal bands in the spectra of films 2 and 3.
 15 The film 1 bands both demonstrate red shifts confirming that they both are associated with
 16 band tails, however their shifts are significantly smaller than those of films 2 and 3.

17 At low temperatures the valence band-tail states act very similar to hydrogen-like acceptor
 18 states. They localise holes which can however recombine with free electrons from the
 19 degenerate donor states in the conduction band. Once the temperature rises shallow states of
 20 the band-tails release their holes whereas holes captured by deeper states stay localised
 21 resulting in a red shift of the bands which can be seen in Fig. 3 and Fig. 6(b). At low
 22 temperatures the temperature dependence of the BT and FB band maxima $E_{\max}(T)$ can be
 23 described as [25]:

24

$$25 \quad E_{\max}(T) = E_{\max}(6\text{ K}) - kT \ln[N_v / (p + \theta n)] , \quad (5)$$

1 Where N_v is the valence band effective density of states, n is the concentrations of free
 2 electrons, p is the concentrations of free holes, θ is the ratio of electron to hole probabilities
 3 to be captured at the localised state. At low temperatures and low excitation intensities
 4 increasing temperature induces red shifts to the band. The minimum value of $E_{\max}(T)$ depends
 5 on the carrier concentration which in turn depends on the excitation intensity. Higher
 6 excitation intensities and carrier concentrations reduce the red shift of $E_{\max}(T)$ because of
 7 higher n and p .

8 Arrhenius analysis of the temperature quenching of the dominant bands and the band at
 9 0.93 eV in the PL spectra of film 1 was carried out using their integrated intensities.
 10 Arrhenius plots of the resulting intensities for the three films are shown in Fig. 7. The best
 11 fits have been achieved for a single recombination channel and assuming a temperature
 12 dependence of the hole capture cross section proposed in [37]:

13

$$14 \quad I(T) = I / [1 + A_1 T^{3/2} + A_2 T^{3/2} \exp(-E_a/k_B T)], \quad (6)$$

15

16 where I is the integrated intensity of the analysed band at 6 K, the lowest temperature
 17 considered temperature, A_1 and A_2 are process rate parameters and E_a is activation energy.

18 Similar activation energies of 90 meV were determined for the dominant band and the one
 19 at 0.93 eV in the PL spectra of film 1.

20 For the dominant bands in the PL spectra of film 2 and 3 activation energies of 98 meV
 21 and 63 meV were found. These activation energies along with their error corridors are shown
 22 in Table 2.

23 All the determined activation energies are greater than γ indicating that all the three
 24 dominant bands as well as the band at 0.93 eV in the PL spectra of film 1 are likely to be
 25 related to FB transitions, the recombination of free electrons with holes localised by

1 conventional acceptors with bandgap energy level position spread by spatial potential
2 fluctuations.

3

4 **3. Discussion**

5 The gradual fall of the copper content from film 1 to film 3 along with a simultaneous rise in
6 that of zinc (i.e. decreasing the $[Cu]/[Zn+Sn]$ ratio and increasing the $[Zn]/[Sn]$ one) suggests
7 high concentrations of the A-type defects (charge compensated defect clusters $V_{Cu}+Zn_{Cu}$) in
8 films 2 and 3 [38]. These changes of the elemental composition results in a dramatic 17%
9 improvement in the solar cell efficiency defined by more than 18% rise in V_{oc} whereas J_{sc} in
10 the solar cell based on film 3 shows a 5% fall in comparison with that for the cell based on
11 film 1 [21].

12 Let us try to analyse these changes and correlate them with the optical spectroscopy
13 parameters presented in the previous chapter.

14 One of the most important electronic properties of semiconductors for an absorber layer is
15 E_g . The bandgap defines limitations for open circuit voltage. However V_{oc} also depends on
16 the absorber material transport properties such as doping level and carrier lifetime
17 determining the open circuit voltage deficit [2]. The reduction of the $[Cu]/[Zn+Sn]$ ratio from
18 film 1 to 3 results in an increase of the bandgap by 40 meV from 0.99 eV to 1.03 eV
19 suggesting that the rise of V_{oc} by 67 meV [21] in the cell based on film 3 should also be
20 attributed to improvements in the transport properties. The PLE bandgap values measured at
21 6 K are slightly smaller than those determined at room temperature [21] from external
22 quantum efficiency (EQE) spectra. This mismatch can be attributed to the difference in the
23 measurement techniques. Also the technological processes, used for the fabrication of solar
24 cell such as KCN etching, chemical bath deposition of CdS and magnetron sputtering
25 deposition of ZnO, can modify the material due to unintentional annealing or/and inter-

1 diffusion between the CZTSe and CdS layers. However the room temperature EQE-measured
2 values of E_g demonstrate the similar trend of increasing from film 1 to film 3.

3 The reduction of $[Cu]/[Zn+Sn]$ is followed by blue shifts of the spectral position of the
4 dominant band from 0.83 eV to 0.95 eV. In the literature the spectral position of such bands
5 in CZTSe can be found within the energy range from 0.8 eV to 0.96 eV [15-18, 39]. This
6 scatter is assigned to the Cu/Zn disorder degree reducing the bandgap and red shifting the
7 dominant band in the PL spectra [11]. Paris et al. [12] show that the Cu/Zn disorder can also
8 be suppressed by shifting the elemental composition towards copper deficiency
9 experimentally confirming the theoretical prediction that $Zn_{Cu}+Cu_{Zn}$ defects reduce the
10 bandgap whereas $Zn_{Cu}+V_{Cu}$ defects increase it [40,41]. Therefore the observed increases of
11 the bandgap can be attributed to a decrease in the population of $Zn_{Cu}+Cu_{Zn}$ and an increase in
12 that of V_{Cu} and $Zn_{Cu}+V_{Cu}$ suppressing the Cu/Zn randomisation. At high $[Cu]/[Zn+Sn]$ in film
13 1 the bandgap of 0.99 eV and the spectral position of the dominant band at 0.83 eV suggest a
14 high level of Cu/Zn disorder whereas at low $[Cu]/[Zn+Sn]$ in film 3 the bandgap of 1.032 eV
15 and the spectral position of the dominant band at 0.95 eV suggest a low level of disorder.

16 The increase in E_g should induce a blue shift in the PL band, which is indeed observed in
17 our PL spectra. The 120 meV total blue shift of the dominant PL band, caused by the
18 elemental composition changes, exceeds the 40 meV increase of E_g . Blue shifts have been
19 observed in the PL spectra of CZTSe films after low temperature annealing increasing the
20 degree of Cu/Zn ordering [11]. These shifts were found to be close to the corresponding
21 changes in E_g . Although the rise in the degree of Cu/Zn ordering does increase V_{OC} it did not
22 improve the V_{OC} deficit [42]. In our study we cannot calculate the deficit of V_{OC} because the
23 solar cell parameters in Table 1 have been measured [21] at room temperature whereas our
24 optical measurements were carried out at 6 K.

1 The nature of a blue shift of the dominant PL band depends on the recombination type. In
2 disordered CZTSe with the BT recombination blue shift suggests a reduction in the depth of
3 the band tails. For the FB type recombination such a shift manifests a change in the dominant
4 acceptor [25] which is not necessarily accompanied by changes in the band tail depth because
5 the tail can be induced by defects which might not include the dominant acceptors.

6 Subtracting the 40 meV change in the bandgap we obtain a 80 meV blue shift of the band
7 with respect to the bandgap indicating a change of the dominant acceptor for a shallower one.
8 The activation energies, shown in Table 2, reflect ionisation energies of the dominant
9 acceptors responsible for the FB transition assigned to the dominant bands. For film 1 the
10 activation energy is $E_a = 90$ meV. We can speculate that it is consistent with the acceptor
11 Cu_{Zn} which according to [19] induces the band tails. At near-stoichiometric contents of
12 copper and zinc this is supported by theoretical studies [5]. The same dominant acceptor with
13 $E_a = 90$ meV can probably be assigned to the 0.93 eV band in the PL spectra of film 1. The
14 activation energy of $E_a = 98$ meV in film 2 is quite close to that in film 1. Therefore the
15 dominant acceptor in film 2 probably remains the same. However the reduction in the
16 activation energy for film 3 down to $E_a = 63$ meV is indicative of a possible change in the
17 nature of the acceptor. We can speculate that it is the earlier proposed antisite defect Zn_{Sn}
18 [18] which does not induce band tails [19]. At zinc excess and tin deficient conditions its
19 presence is supported by theory [5].

20 This suggestion is also supported by the evolution of the mean energy depth of potential
21 fluctuation (shown in Table 2) which decreases from 39 meV in film 1 to 24 meV in film 3.
22 A similar reduction from film 1 to film 3 in the broadening energy ΔE used to determine E_g
23 from the PLE spectra is an additional confirmation of improvements in the electronic
24 properties of the material.

1 The determined values of γ are close to those estimated earlier [18, 21, 43] but smaller
2 than those for $\text{Cu}_2\text{ZnSn}(\text{SSe})_4$ - based absorbers fabricated using a different technology [20]
3 and used to produce solar cells with conversion efficiencies up to 4.4%. The mean depth of
4 the potential fluctuations γ might not be a universal indicator of the efficiency of solar cells
5 but could be an indicator of the presence of specific defects generating such tails.

6 Assuming high concentrations of $\text{Zn}_{\text{Cu}}+\text{Cu}_{\text{Zn}}$ defect complexes in film 1 and their low
7 concentrations in film 3 we see a correlation of such concentrations with γ and ΔE suggesting
8 that band tails might indeed be induced by the antisite defects Zn_{Cu} and Cu_{Zn} and their defect
9 complex $\text{Zn}_{\text{Cu}}+\text{Cu}_{\text{Zn}}$ as proposed in [3, 19]. With increasing deviation from stoichiometry
10 towards copper deficiency and zinc excess we should have a reduction in the Cu_{Zn} population
11 probably resulting in a saturation of the rise of the γ values reported in [20].

12 As well as the dominant band the film 1 PL spectrum shows a low intensity band at 0.93
13 eV. We speculate that film 1 might contain CZTSe volumes with different degrees of Cu/Zn
14 ordering resulting in different E_g . Comparing the PL intensity of the two bands one can see
15 that the film is dominated by volumes with low degree of Cu/Zn ordering generating the high
16 intensity band at 0.83 eV. Also a small fraction of the film has higher degree of Cu/Zn
17 ordering generating the low intensity band at 0.93 eV. The band at 0.93 eV shifts with a rate
18 of $j = 10$ meV per decade of excitation power which is close to the $j = 11$ meV value for the
19 dominant band of film 3 where the degree of Cu/Zn ordering is high. Also $k = 0.93$ of this
20 band is greater than $k = 0.79$ of the dominant band in the PL spectra of film 1 and
21 corresponds more closely to that of film 3. Fig.6 shows that the red shift of both bands in the
22 PL spectra of film 1 with increasing temperature is smaller than those of other films. Formula
23 (5), describing the dependence of E_{max} of the bands on temperature, suggests that this could
24 be due to higher concentration of charge carriers in film 1.

1 The 5% reduction of J_{sc} in the solar cell based on film 3 in comparison with that for the
2 cell based on film 1 can be explained by a reduction of the photon flux due to the 4% increase
3 in E_g resulting in a 6.5% fall of the upper limit available for the short-circuit current density
4 at air mass 1.5 illumination conditions.

5

6 **6. Conclusion**

7 We have presented a PL and PLE study of CZTSe absorber films, deposited on Mo-coated
8 glass substrates and fabricated by the selenisation at 500 °C of metallic precursors. The Cu
9 deficiency and Zn excess in these films changed from near stoichiometry to strongly Cu
10 deficient and Zn rich.

11 We analyse the shape and spectral position of the PL bands, excitation intensity and
12 temperature dependence as well as their temperature quenching activation energies E_a and
13 compare them with E_g determined using PLE measurements at 4 K.

14 We demonstrate that increasing copper deficiency and zinc excess blue shift the dominant
15 band in the PL spectra from 0.83 eV to 0.95 eV

16 This blue shift in the band, exceeding significantly the widening of E_g , is accompanied by
17 a fall in the mean depth of potential fluctuations γ (from 39 meV to 24 meV) and the
18 broadening energy in the PLE spectra (from 29 meV to 20 meV). Our analysis suggests that
19 (1) the observed PL bands are associated with the recombination of free electrons with holes
20 localised at dominant acceptors affected by randomly distributed potential fluctuations, and
21 that (2) changing elemental composition induces a change in the dominant acceptor type for a
22 shallower one inducing additional reduction of V_{OC} .

23 The changes in the optical spectroscopy parameters along with improvements in the solar
24 cell performance are consistent with a reduction of the Cu_{Zn} defect population, and a rising
25 concentration of V_{Cu} and $Zn_{Cu}-V_{Cu}$ as well as with increasing ordering of Cu and Zn atoms in

1 the (001) plane of the kesterite structure. However some volumes with higher Cu/Zn order
2 are present in the near stoichiometry film and lead to the observed 0.93 eV PL band.

3

4 **Acknowledgement**

5

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11

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- 1 Table 1. The [Cu]/[Zn+Sn] and [Zn]/[Sn] ratios in the films and parameters of solar cells
- 2 fabricated from them.

| Film | 1 | 2 | 3 |
|---------------------------------------|------|------|------|
| [Cu]/[Zn+Sn] - EDX | 0.86 | 0.75 | 0.66 |
| [Zn]/[Sn] - EDX | 1.00 | 1.17 | 1.24 |
| [Cu]/[Zn+Sn] - XRF | 0.99 | 0.80 | 0.72 |
| [Zn]/[Sn] - XRF | 1.04 | 1.18 | 1.28 |
| V _{oc} (mV) | 367 | 410 | 434 |
| J _{sc} (mA/cm ²) | 33.0 | 31.9 | 31.2 |
| FF | 56.8 | 56.3 | 59.6 |
| η (%) | 6.9 | 7.4 | 8.1 |

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Table 2. Spectral energy and FWHM of the dominant PL band at 6 K, bandgaps E_g , the broadening energies ΔE , average depths of potential fluctuations γ , activation energies E_a of the dominant bands temperature quenching for the films 1, 2 and 3.

| dominant band/film | 1 | 2 | 3 |
|----------------------|----------|------|------|
| E_{\max} (eV) | 0.83 | 0.9 | 0.95 |
| FWHM (meV) | 96 | 92 | 90 |
| j-shift (meV/decade) | 13 10 | 16 | 12 |
| k | 0.79 | 0.94 | 0.99 |
| E_g (eV) | 0.99 | 1.02 | 1.03 |
| ΔE (meV) | 29 | 25 | 20 |
| γ (meV) | 39±4 | 29±2 | 24±1 |
| E_a (meV) | 90±11 | 98±2 | 63±8 |

1 Fig.1. Normalised PL spectra of the films measured at 6 K using similar optical alignments
2 and laser excitation on a linear intensity scale.

3

4 Fig.2. Excitation intensity dependencies of the PL spectra in film 1 (a), film 2 (b) and film 3
5 (c) measured at 6 K, the dependence of the integrated PL intensity I of the observed bands on
6 the excitation laser power P (d).

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8 Fig.3. Temperature dependent PL spectra from film 1 (a), film 2 (b) and film 3 (c).

9

10 Fig.4. PLE spectra (measured at the energy corresponding to the maxima of the dominant PL
11 bands) of film 1 (a), film 2 (b) and film 3 (c) with PL spectra measured at 4.2 K. Red solid
12 lines in the PLE spectra show the fitting results.

13

14 Fig.5. The PL spectra (shown by symbols) of film 1 (a), 2 (b) and 3 (c), taken at 6 K, fitted by
15 asymmetric double sigmoidal functions (shown by red solid lines). The bands at 0.83 eV and
16 0.93 eV in the PL spectrum of film 1 (a) are fitted by the blue and magenta dashed lines,
17 respectively.

18

19 Fig.6. The temperature dependence of W_1 of the dominant bands in the PL spectra of film 1
20 (■), film 2 (●) and film 3 (◆) (a). The temperature dependence of E_{\max} of the bands in the PL
21 spectra of film 1 (for the bands at 0.83 eV - □ and 0.93 eV - ■), film 2 (○) and film 3 (◇) (b).

22

23 Fig.7. Arrhenius plots of the integrated intensities of the bands in the PL spectra of film 1(a),
24 2 (b) and 3(c).

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1 Fig.1

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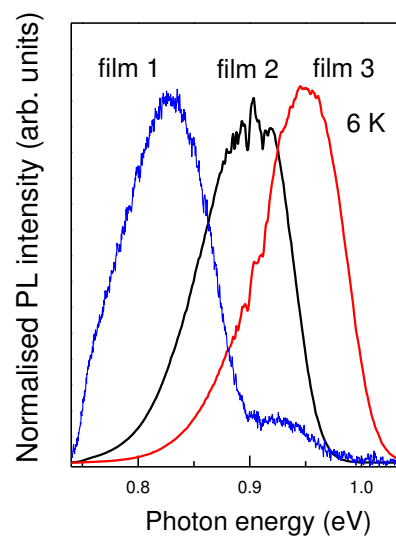
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1 Fig.2

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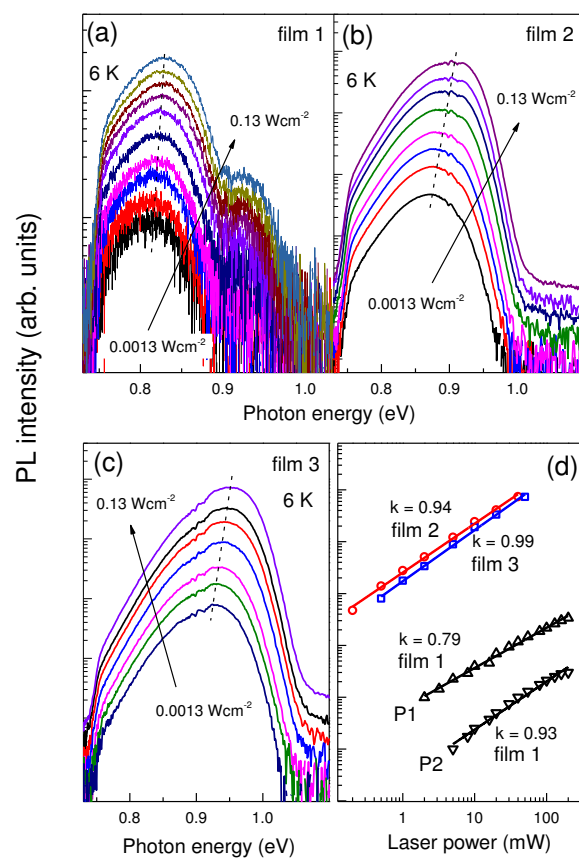
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1 Fig.3

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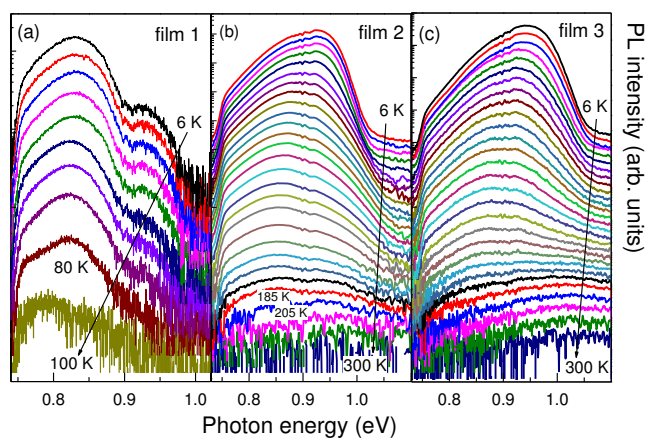
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1 Fig.4

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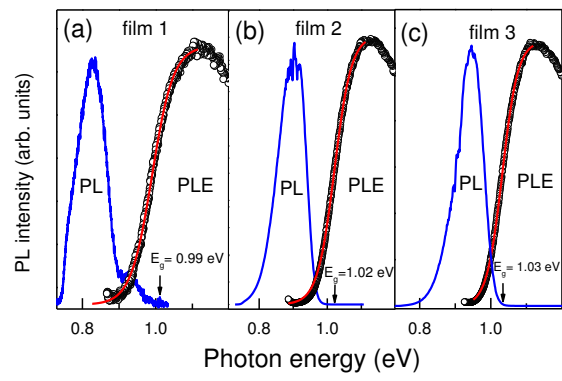
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1 Fig.5

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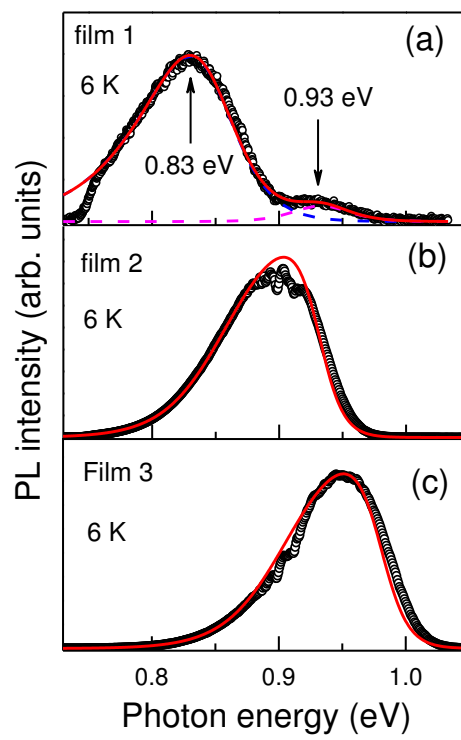
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1 Fig.6

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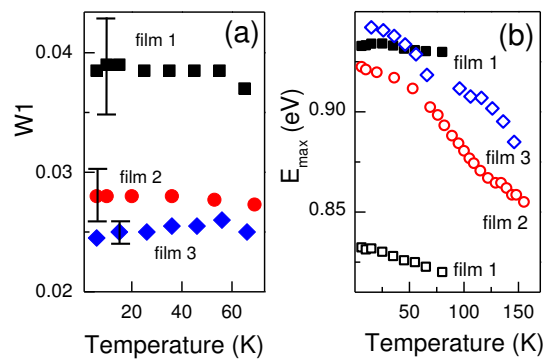
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1 Fig.7

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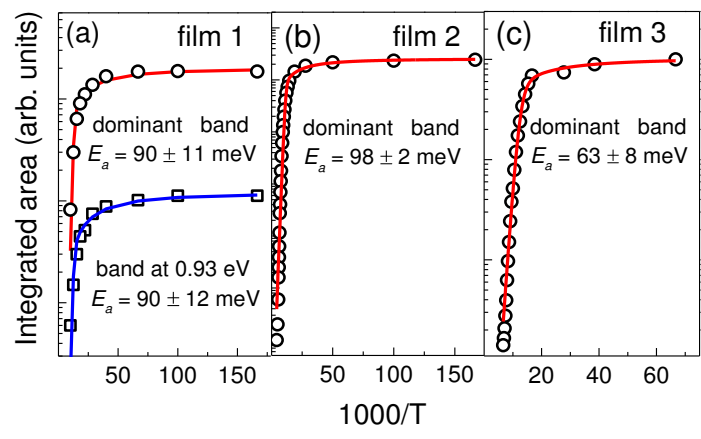
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