

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30

The parallel tales of microvascular angina and heart failure with preserved ejection fraction: a paradigm shift

Filippo Crea¹ MD, Noel C. Bairey-Merz² MD, John F. Beltrame³ BSc, BMBS, PhD; Juan Carlos Kaski⁴ DSc, MD; Hisao Ogawa⁵ MD; Peter Ong⁶ MD; Udo Sechtem⁶ MD; Hiroaki Shimokawa⁷ MD, PhD; Paolo G. Camici⁸ MD. On behalf of the Coronary Vasomotion Disorders International Study Group (COVADIS)

INSTITUTIONAL AFFILIATIONS:

1. Institute of Cardiology, Catholic University of the Sacred Heart, Rome, Italy
2. Barbra Streisand Women's Heart Center, Cedars-Sinai Heart Institute, Cedars-Sinai Medical Center, Los Angeles, California, USA
3. The Queen Elizabeth Hospital Discipline of Medicine, University of Adelaide, Central Adelaide Local Health Network, Adelaide, South Australia, Australia.
4. Cardiovascular and Cell Sciences Research Institute, St George's, University of London, London, UK.
5. Department of Cardiovascular Medicine, Faculty of Life Science, Graduate School of Medical Sciences, Kumamoto University, Kumamoto, Japan
6. Department of Cardiology, Robert-Bosch-Krankenhaus, Stuttgart, Germany.
7. Department of Cardiovascular Medicine, Tohoku University Graduate School of Medicine, Sendai, Japan.
8. Vita Salute University and San Raffaele Hospital, Milan, Italy.

31
32
33
34
35
36
37
38
39
40
41
42

Filippo Crea, MD
Professor of Cardiology
Director, Department of Cardiovascular Sciences
Catholic University
L.go Vito 1, 00168 Roma
Tel: +39/06/3051166
Fax: +39/06/3055535
E-mail: filippo.crea@unicatt.it

43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

Word count: 1939

Key words: Coronary microvascular dysfunction, Microvascular angina, Heart failure, Heart failure with preserved ejection fraction, Stable coronary artery disease

Parallel tales: microvascular angina and heart failure with preserved ejection fraction

1
2 In the past decade, a growing body of studies has clearly demonstrated that coronary microvascular
3
4 dysfunction (CMD) plays a pivotal role in several cardiovascular diseases¹. In particular, emerging
5
6 evidence suggests that CMD is the main contributor to myocardial ischemia in a large subset of
7
8 patients with chronic stable angina. Indeed, non-obstructive coronary atherosclerosis is observed in
9
10 up to 50% of patients with anginal symptoms and positive stress test results, undergoing diagnostic
11
12 coronary angiography². Thus, the prevalence of microvascular angina (MVA) is higher than
13
14 previously thought and is associated with worse clinical outcome than that observed in
15
16 asymptomatic subjects with a similar burden of risk factors³. The diagnosis of MVA is based on
17
18 the following: 1) symptoms of myocardial ischemia; 2) absence of obstructive coronary artery
19
20 disease; 3) evidence of myocardial ischemia; 4) evidence of impaired coronary microvascular
21
22 function. The reason why the clinical relevance of MVA has previously been overlooked is
23
24 probably because exploration of the coronary microcirculation has been elusive to routine
25
26 diagnostic tools until recently. A parallel “tale” could be told regarding heart failure (HF) with
27
28 preserved ejection fraction (HFpEF). Indeed, HFpEF is observed in about 50% of patients
29
30 presenting with HF symptoms and is characterized by the absence of the hallmark of HF, i.e. a
31
32 reduced EF⁴. As with MVA, patients with HFpEF have a worse clinical outcome compared with
33
34 asymptomatic subjects exhibiting a similar burden of risk factors. The diagnosis of HFpEF is based
35
36 on the following: 1) typical symptoms of HF, 2) typical signs of heart failure, 3) a non-dilated left
37
38 ventricle with normal or only mildly reduced EF, 4) relevant structural heart disease (i.e. left
39
40 ventricular hypertrophy, left atrial enlargement) and/or diastolic dysfunction. In both MVA and
41
42 HFpEF, no therapeutic intervention has hitherto been proven to improve patient outcome; similarly,
43
44 symptomatic treatment is largely empirical.
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

The common soil hypothesis

1
2 Based on the above considerations the question arises as to whether these parallel “tales” of MVA
3
4 and HFpEF represent two extreme clinical presentations of a disease continuum (Figure). This
5
6 tantalizing question is justified by the results of recent studies showing that CMD can be
7
8 demonstrated not only in MVA but also in HFpEF^{5,6}. The hypothesis of a common soil for these
9
10 two conditions appears to be endorsed by the clinical observation that dyspnoea is present in a large
11
12 proportion of patients with MVA and, vice versa, angina-like symptoms are reported in about 50%
13
14 of those with HFpEF⁷. Several triggers have been identified to contribute to CMD, including
15
16 traditional risk factors such as smoking, hypertension and diabetes as well as chronic inflammatory
17
18 diseases, such as chronic obstructive pulmonary disease, chronic kidney disease and auto-immune
19
20 conditions. CMD has been well documented in MVA and is responsible for the reduced coronary
21
22 flow reserve (CFR) frequently observed in this condition.
23
24
25
26
27

28 Recent studies suggest that CMD might play a key role also in HFpEF. Indeed, endothelial
29
30 activation/dysfunction reduce nitric oxide (NO) bioavailability, cyclic guanosine monophosphate
31
32 content, and protein kinase G in adjacent cardiomyocytes⁸. These changes are known to favour
33
34 hypertrophy and fibrosis contributing to diastolic dysfunction. The importance of inflammation in
35
36 the induction of cardiac fibrosis and HF has recently been convincingly demonstrated. TGF
37
38 (transforming growth factor)- β is likely to play a major role in this setting, as suggested by the
39
40 observation that disruption of TGF-signalling attenuates pressure overload-induced interstitial
41
42 fibrosis in the heart⁹. Furthermore, endothelial dysfunction contributes to cardiac fibrosis via the
43
44 reduced bioavailability of NO, known to exert direct anti-fibrotic effects involving the cyclic
45
46 guanosine monophosphate pathway¹⁰. Finally, NO deprivation favours endothelial cells conversion
47
48 to a mesenchymal cell type that can gives rise to fibroblasts¹¹. Thus, a cross-talk between the
49
50 endothelium and the surrounding myocardium seems to play a key role in the pathogenesis of
51
52 HFpEF.
53
54
55
56
57
58
59
60
61
62
63
64
65

Modulating factors

1
2 A critical question is why if there is a “common soil” nurturing the development of MVA and
3
4 HFpEF or by the same token, a continuum of disease, angina prevails at one extreme of the
5
6 spectrum of clinical presentations (MVA) while dyspnoea prevails at the other extreme (HFpEF). A
7
8 first response to this intriguing question comes from a large body of evidence suggesting that in
9
10 patients with MVA two important additional alterations contributing to angina severity are: 1)
11
12 hyper-reactivity of smooth muscle cells to constrictor stimuli in coronary microvessels; 2) enhanced
13
14 perception of cardiac algogenic stimuli. Indeed, a large percentage of patients with MVA develop
15
16 coronary microvascular spasm, anginal pain and ST-segment depression following the
17
18 intracoronary administration of acetylcholine (ACh)¹². Of note, recent clinical evidence indicates
19
20 that coronary microvascular spasm in patients with CMD can cause subtle contractile abnormalities,
21
22 and can be associated with mild elevations of high sensitivity cTn¹³. Although still a working
23
24 hypothesis at present, it is tempting to speculate that coronary “microvascular” and “epicardial”
25
26 spasm have a similar origin. Indeed, we have convincing experimental and clinical evidence that
27
28 enhanced Rho-kinase activity in vascular smooth muscle cells - not in endothelial cells - plays a
29
30 major pathogenic role¹⁴. In MVA, the presence of microvascular spasm helps explaining why a
31
32 sizeable proportion of patients report predominantly angina at rest, or a combination of rest and
33
34 effort-related angina. The importance of enhanced pain perception was initially proposed in 1988
35
36 by Shapiro et al. and subsequently confirmed by other investigators. Using positron emission
37
38 tomography to measure changes in regional cerebral blood flow as an index of neuronal activity,
39
40 Rosen et al. provided evidence that altered central neural handling of afferent signals may
41
42 contribute to the abnormal pain perception in patients with MVA. More recently, Valeriani et al.
43
44 demonstrated abnormal cortical pain processing in patients with MVA. This was characterized by
45
46 inadequate habituation to pain which might be the main cause of enhanced cardiac pain perception
47
48 and also account for the symptomatic improvement observed in these patients using tricyclics and
49
50 adenosine antagonists like theophylline¹⁵. It is worth noting that in MVA reduced CFR, hyper-
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

1 reactivity to constrictor stimuli, and enhanced pain perception, may combine differently in different
2 patients thus accounting for the disappointing results of standard angina treatments in many of these
3 patients¹⁶.
4

5
6
7 What about HFpEF? Which mechanisms in addition to endothelial dysfunction might orientate
8
9 towards a phenotype characterized by dyspnoea rather than chest pain? It is conceivable that
10
11 circulating factors might modulate the effects of CMD favouring the production of fibrosis and
12
13 development of LVH. In this setting, fibrocytes, circulating monocyte-derived cells with tissue
14
15 remodelling properties of fibroblasts, might play a modulating role¹⁷. Interestingly, in a murine
16
17 model of cardiac remodelling in which fibrocytes are recruited to chronically injured myocardium,
18
19 treatment of these animals with serum amyloid P decreased fibrocyte accumulation and fibrosis¹⁸.
20
21

22
23
24 One of the main functions attributed to fibrocytes is extra-cellular matrix production, although it is
25
26 possible that these cells may have other actions that are more typically associated with both
27
28 macrophages and fibroblasts. Another modulating factor might be represented by atrial natriuretic
29
30 peptide (ANP). Indeed, recent findings suggest that ANP signaling results in phosphorylation of
31
32 Smad proteins, thus blocking their nuclear translocation and binding to TGF-Smad responsive
33
34 elements in the promoter regions of extra-cellular matrix genes¹⁹. It is also worth considering that,
35
36 as suggested by Pepine et al, cycles of ischemia-reperfusion might impair myocyte relaxation
37
38 causing diastolic dysfunction and HFpEF²⁰. The latter can, in turn, favor myocardial ischemia by
39
40 increasing intramyocardial tension, an important determinant of myocardial oxygen consumption.
41
42 This vicious circle may thus explain why dyspnea is a frequent symptom also in MVA whilst, on
43
44 the other hand, angina is frequent in HFpEF. It may also explain why cTn is occasionally elevated
45
46 in asymptomatic patients who will later go on to develop HFpEF, thus suggesting that subclinical
47
48 ischemia can directly contribute to the pathogenesis of HFpEF²¹. Interestingly, Rho kinase
49
50 inhibition, known to prevent epicardial and microvascular coronary spasm, improves diastolic
51
52 function in hypertensive rats²². Attesting the gradual and progressive nature of these mechanisms,
53
54 patients exhibiting HFpEF tend to be older than those presenting MVA.
55
56
57
58
59
60
61
62
63
64
65

A paradigm shift

1
2 If the common soil hypothesis of MVA and HFpEF is correct, then a paradigm shift is needed, as
3
4 CMD becomes a common diagnostic and therapeutic target for both of them. These two conditions
5
6 have been identified and accepted by the scientific community only recently and rather reluctantly.
7
8 The reason for this may be that these conditions do not exhibit the classic hallmark of ischemic
9
10 heart disease (IHD) and of HF, namely epicardial stenoses and reduced EF, respectively. It is
11
12 nevertheless increasingly acknowledged by the medical community that they represent a substantial
13
14 public health burden because of their high prevalence and guarded prognosis, characterized not only
15
16 by a higher mortality risk as compared to age and sex-matched asymptomatic subjects, but also by a
17
18 high rate of hospital re-admissions.
19
20
21
22

23
24 Thus, a first important challenge facing the scientific community is to devise strategies for the early
25
26 diagnosis of CMD. The latter can be helped by the non-invasive assessment of CFR using
27
28 transthoracic Doppler echocardiography, cardiac magnetic resonance or positron emission
29
30 tomography. Furthermore, the demonstration of coronary microvascular spasm with intracoronary
31
32 Ach, as well as measurements of coronary blood flow and the index of microcirculatory resistance
33
34 during coronary angiography, may provide additional diagnostic information. A second challenge is
35
36 the standardization of the diagnostic criteria for MVA and HFpEF. Efforts should be directed
37
38 toward an accurate definition of these two conditions and this should be reflected in international
39
40 guidelines as appropriate. A third challenge is the identification of new biomarkers for the diagnosis
41
42 and risk stratification of MVA and HFpEF. Recent studies suggest that among patients with MVA,
43
44 a lower CFR is associated with worse clinical outcomes, and suitable biomarkers are needed for
45
46 prospective studies in larger cohorts of patients. Similarly, in patients with HFpEF, serum levels of
47
48 certain biomarkers appear to correlate with diastolic load, although very limited evidence is
49
50 available on the ability of such biomarkers to provide real diagnostic and prognostic information.
51
52 Analogous considerations apply to recent techniques developed for the identification of interstitial
53
54 myocardial fibrosis by cardiac magnetic resonance.
55
56
57
58
59
60
61
62
63
64
65

Therapeutic implications

1
2 One of the future major challenges is the identification of effective evidence-based treatments for
3
4 these conditions. Pharmacological agents currently available, that were developed to target large
5
6 epicardial vessels and left ventricular dysfunction, are generally ineffective in controlling symptoms
7
8 in patients with MVA and HFpEF and there is scarce evidence to ascertain whether they provide
9
10 prognostic benefits in these patients.
11
12

13
14 As the common soil of MVA and HFpEF is CMD, the latter should be the main therapeutic target
15
16 for both conditions. Indeed, it is unlikely that one single treatment will be beneficial in all patients
17
18 since the mechanisms of microvascular dysfunction are multiple. Consequently, it is important to
19
20 develop therapeutic strategies that tackle both the functional and structural abnormalities underlying
21
22 CMD. One crucial objective is to continue the fight against coronary risk factors both through the
23
24 implementation of lifestyle changes and the use of drugs such as statins that have been shown to
25
26 improve endothelial dysfunction. If the prevailing mechanism is smooth muscle cell hyper-
27
28 reactivity, then old and new vasodilators like Rho-kinase inhibitors might reduce the ischemic
29
30 burden. In the subset in which the prevailing mechanism is vascular remodelling, ACE-inhibitors
31
32 have been proved to be effective, particularly in hypertensive patients. In those cases where the
33
34 prevailing mechanism is myocardial fibrosis, aldosterone antagonists and phosphodiesterase-
35
36 5 inhibitors might be of help. Finally, in the patients in whom the prevailing mechanism is
37
38 advanced coronary microvascular rarefaction cell therapy might be considered.
39
40
41
42
43
44
45

46 In conclusion, we advocate action to develop appropriate diagnostic and therapeutic strategies for
47
48 tackling these “new” diseases in the years to come.
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

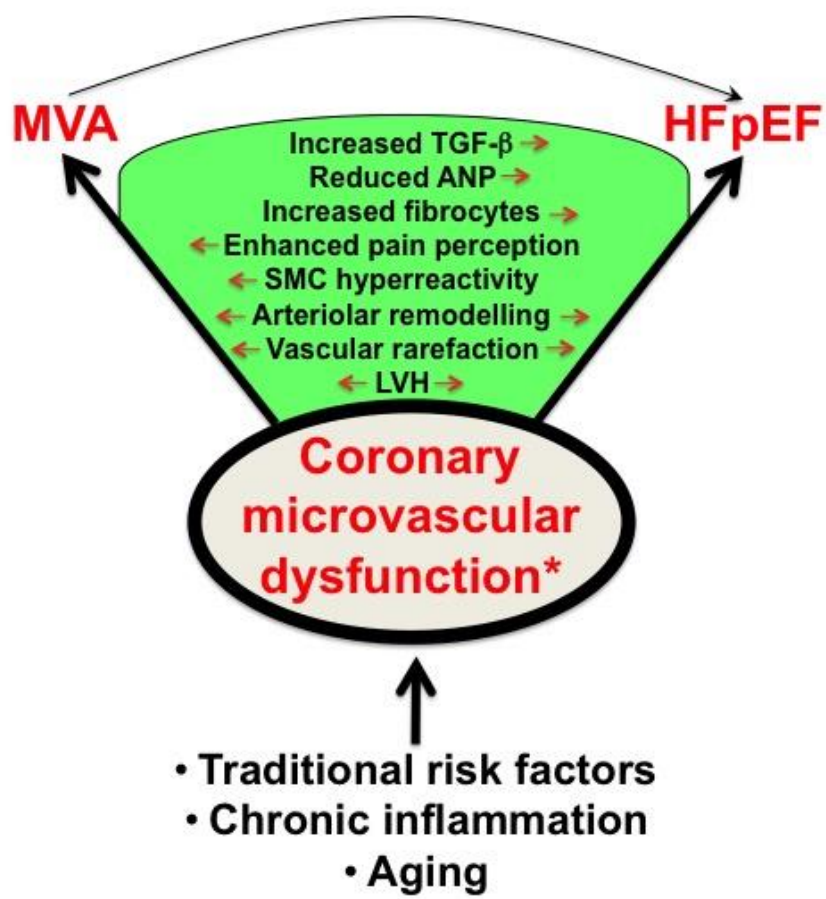


Figure legend

1
2 The figure summarizes the common soil hypothesis for microvascular angina (MVA) and heart failure
3
4 with preserved ejection fraction (HFpEF) including modulating factors, which can orientate at one
5
6 extreme towards MVA and at the other extreme towards. Of note, ischemia per se can promote
7
8 myocardial fibrosis. * Coronary micorvascular dysfunction is characterized by a variable combination
9
10 of endothelial dysfunction, smooth muscle cell hyperreactivity, vascular remodeling, vascular
11
12 rarefaction.
13
14

15 Legend: HFpEF. ANP=atrial natriuretic peptides; LVH=left ventricular hypertrophy; SMC=smooth
16
17 muscle cells; TGF- β =tissue growth factor-beta.
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

References

- 1 Camici PG, Crea F. Coronary microvascular dysfunction. *N Engl J Med* 2007;356:830–840.
- 2 Patel MR, Peterson ED, Dai D, Brennan M, Redberg RF, Anderson V, Brindis RG, Douglas PS. Low diagnostic yield of elective coronary angiography. *N Engl J Med* 2010;362:886–895.
- 3 Gulati M, Cooper-DeHoff RM, McClure C, Johnson BD, Shaw LJ, Handberg EM, Zineh I, Kelsey SF, Arnsdorf MF, Black HR, Pepine CJ, Merz CN. Adverse cardiovascular outcomes in women with nonobstructive coronary artery disease: a report from the women’s ischemia syndrome evaluation study and the St James women take heart project. *Arch Intern Med* 2009;169:843–850.
- 4 Steinberg BA, Zhao X, Heidenreich PA, et al; Get With the Guidelines Scientific Advisory Committee and Investigators. Trends in patients hospitalized with heart failure and preserved left ventricular ejection fraction: prevalence, therapies, and outcomes. *Circulation* 2012;126:65–75.
- 5 Pries AR, Reglin R. Coronary microcirculatory pathophysiology: can we afford it to remain a black box? *Eur Heart J*. 2016 Feb 2. pii: ehv760. [Epub ahead of print].
- 6 Paulus WJ, Tschope C. A novel paradigm for heart failure with preserved ejection fraction: comorbidities drive myocardial dysfunction and remodeling through coronary microvascular endothelial inflammation. *J Am Coll Cardiol* 2013;62:263–271.
- 7 Shah AM, Claggett B, Sweitzer NK, Shah SJ, Anand IS, O’Meara E, Desai AS, Heitner JF, Li G, Fang J, Rouleau J, Zile MR, Markov V, Ryabov V, Reis G, Assmann SF, McKinlay SM, Pitt B, Pfeffer MA, Solomon SD. Cardiac structure and function in heart failure with preserved ejection fraction. Baseline findings from the echocardiographic study of the treatment of preserved cardiac function heart failure with an aldosterone antagonist trial. *circ heart fail* 2014;7:104–115.
- 8 Schulz E, Jansen T, Wenzel P, Daiber A, Münzel T. Nitric oxide, tetrahydrobiopterin, oxidative stress and endothelial dysfunction in hypertension. *Antioxid Redox Signal* 2008;10:1115–1126.
- 9 Kuwahara F, Kai H, Tokuda K, Kai M, Takeshita A, Egashira K, Imaizumi T. Transforming growth factor-beta function blocking prevents myocardial fibrosis and diastolic dysfunction in pressure-overloaded rats. *Circulation*. 2002;106:130–135.
- 10 Vettel C, Lammle S, Ewens S, Cervirgen C, Emons J, Ongherth A, Dewenter M, Lindner D, Westermann D, Nikolaev VO, Lutz S, Zimmermann WH, El-Armouche A. PDE2-mediated cAMP hydrolysis accelerates cardiac fibroblast to myofibroblast conversion and is antagonized by exogenous activation of cGMP signaling pathways. *Am J Physiol Heart Circ Physiol*. 2014;306: H1246–1252.
- 11 O’Riordan E, Mendelev N, Patschan S, Patschan D, Eskander J, Cohen-Gould L, Chander P, Goligorsky MS. Chronic NOS inhibition actuates endothelial-mesenchymal transformation. *Am J Physiol Heart Circ Physiol*. 2007;292:H285–294.
- 12 Ong P, Athanasiadis A, Borgulya G, Mahrholdt H, Kaski JC, Sechtem U. High prevalence of a pathological response to acetylcholine testing in patients with stable angina pectoris and unobstructed coronary arteries. The ACOVA Study (Abnormal COronaryVASomotion in patients with stable angina and unobstructed coronary arteries). *J Am Coll Cardiol* 2023;59:655–662.
- 13 Arrebola-Moreno AL, Arrebola JP, Moral-Ruiz A, Ramirez-Hernandez JA, Melgares-Moreno Ra, Kaski JC. Coronary microvascular spasm triggers transient ischemic left ventricular diastolic abnormalities in patients with chest pain and angiographically normal coronary arteries. *Atherosclerosis* 2014; 236: 207e–214e.
- 14 Shimokawa H. 2014 Williams Harvey Lecture: importance of coronary vasomotion

1 abnormalities—from bench to bedside. *Eur Heart J*. 2014;35:3180-3193.

2
3 15 Lanza GA, Crea F. Primary coronary microvascular dysfunction: clinical presentation,
4 pathophysiology, and management. *Circulation* 2010;121:2317–2325.

5
6 16 Crea F, Lanza GA. Treatment of microvascular angina: the need for precision medicine. *Eur*
7 *Heart J*. 2016 Feb 18. pii: ehw021. [Epub ahead of print].

8
9 17 Reilkoff RA, Bucala R, Herzog EL. Fibrocytes: emerging effector cells in chronic inflammation.
10 *Nat Rev Immunol*. 2011;11:427–435.

11
12 18 Pilling D, Buckley CD, Salmon M, Gomer RH. Inhibition of fibrocyte differentiation by serum
13 amyloid P. *J Immunol*. 2003; 171:5537–5546.

14
15 19 Li P, Wang D, Lucas J, Oparil S, Xing D, Cao X, Novak L, Renfrow MB, Chen Y. Atrial
16 natriuretic peptide inhibits transforming growth factor–induced smad signaling and myofibroblast
17 transformation in mouse cardiac fibroblasts. *Circ Res* 2008;102:185-192.

18
19 20 Pepine CJ, Petersen JW, Bairey Merz CN. A microvascular-myocardial diastolic dysfunctional
20 state and risk for mental stress ischemia. A revised concept of ischemia during daily life. *JACC*
21 *Cardiov Imaging* 2014;7:362-365.

22
23 21 Silverman MG, Patel B, Blankstein R, Lima JAC, Blumenthal RS, Khurram Nasir K, Blaha MJ.
24 Impact of race, ethnicity, and multimodality biomarkers on the incidence of new-onset heart failure
25 with preserved ejection fraction (from the multi-ethnic study of atherosclerosis). *Am J Cardiol*
26 2016;117:1474e-1481e.

27
28 22 Fukui S, Fukumoto Y, Suzuki J, Saji K, Nawata J, Tawara S, Shinozaki T, Kagaya Y,
29 Shimokawa H. Long-term inhibition of Rho-kinase ameliorates diastolic heart failure in
30 hypertensive rats. *J Cardiovasc Pharmacol*. 2008;51:317-326.

Figure

