

# 1 **Controls on turbulent mixing on the West Antarctic Peninsula shelf**

2 J. Alexander Brearley<sup>1\*</sup>, Michael P. Meredith<sup>1</sup>, Alberto C. Naveira Garabato<sup>2</sup>, Hugh J. Venables<sup>1</sup>  
3 and Mark E. Inall<sup>3,4</sup>

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5 <sup>1</sup> British Antarctic Survey, High Cross, Madingley Road, Cambridge, CB3 0ET, UK.

6 <sup>2</sup> University of Southampton, National Oceanography Centre Southampton, European Way,  
7 Southampton, SO14 3ZH, UK.

8 <sup>3</sup> Scottish Association of Marine Sciences, Scottish Marine Institute, Oban, PA37 1QA, UK.

9 <sup>4</sup> University of Edinburgh, School of Geosciences Edinburgh EH9 3FE, UK.

10 \*Corresponding author [jambre@bas.ac.uk](mailto:jambre@bas.ac.uk)

11

## 12 **Abstract**

13

14 The ocean-to-atmosphere heat budget of the West Antarctic Peninsula is controlled in part by the  
15 upward flux of heat from the warm Circumpolar Deep Water (CDW) layer that resides below  
16 ~200 m to the Antarctic Surface Water (AASW), a water mass which varies strongly on a seasonal  
17 basis. Upwelling and mixing of CDW influence the formation of sea ice in the region and affect  
18 biological productivity and functioning of the ecosystem through their delivery of nutrients. In this  
19 study, 2.5-year time series of both Acoustic Doppler Current Profiler (ADCP) and conductivity-  
20 temperature-depth (CTD) data are used to quantify both the diapycnal diffusivity  $\kappa$  and the vertical  
21 heat flux  $Q$  at the interface between CDW and AASW. Over the period of the study, a mean upward  
22 heat flux of  $\sim 1 \text{ W m}^{-2}$  is estimated, with the largest heat fluxes occurring shortly after the loss of  
23 winter fast ice when the water column is first exposed to wind stress without being strongly  
24 stratified by salinity. Differences in mixing mechanisms between winter and summer seasons are  
25 investigated. Whilst tidally-driven mixing at the study site occurs year-round, but is likely to be  
26 relatively weak, a strong increase in counterclockwise-polarized near-inertial energy (and shear) is

27 observed during the fast-ice-free season, suggesting that the direct impact of storms on the ocean  
28 surface is responsible for much of the observed mixing at the site. Given the rapid reduction in sea-  
29 ice duration in this region in the last 30 years, a shift towards an increasingly wind-dominated  
30 mixing regime may be taking place.

31

## 32 **1. Introduction**

33

34 The intrusion of warm, saline water masses onto polar ocean shelves is believed to be an important  
35 pathway for the delivery of heat to the base of glaciers and/or ice shelves [e.g. Straneo et al., 2012;  
36 Martinson and McKee, 2012; Inall et al., 2014]. In the Antarctic, relatively warm and unmodified  
37 Circumpolar Deep Water (CDW) floods onto the West Antarctic Peninsula (WAP) shelf below  
38 200 m, particularly in the vicinity of deep, glacially-scoured troughs such as the Marguerite Trough  
39 (Fig. 1). Several studies point to eddy shedding, topographic steering and Ekman processes as being  
40 likely candidates for fluxing this water mass landward from the Antarctic Circumpolar Current  
41 (ACC) [Moffat et al., 2009; Klinck and Dinniman, 2010]. However, the heat budget of the shelf  
42 itself is not well constrained, with estimates of both lateral and vertical heat fluxes being poorly  
43 quantified. These fluxes strongly control the interaction between the CDW and the overlying  
44 Antarctic Surface Water (AASW), which in turn can affect the volume of seasonal sea ice formed  
45 and the heat ultimately delivered to the atmosphere [Valkonen et al., 2008] and cryosphere  
46 [Pritchard et al., 2012; Rignot et al., 2013].

47

48 The WAP and its surrounding ice shelves (Fig. 1a) are undergoing rapid changes in environmental  
49 conditions, driven by forcing that includes atmospheric warming [Turner et al., 2005] and increased  
50 wind stresses [Marshall, 2003; Stammerjohn et al., 2008a]. Stronger winds, believed to be  
51 associated with a positive phase of the Southern Annular Mode (SAM), have been linked to reduced  
52 thickness and longevity of sea-ice cover [Stammerjohn et al., 2008b]. In addition, rapid

53 summertime warming of the upper 100 m of the water column has been observed [Meredith and  
54 King, 2005] and over 80% of the glaciers on the WAP shelf are retreating, with retreat rates  
55 increasing [Cook et al., 2005; Cook et al., 2014].

56  
57 Recent evidence from both the Arctic and Antarctic suggest that these oceanographic and sea-ice  
58 changes have the potential to change significantly the diapycnal mixing of heat, salt and nutrients  
59 on polar ocean continental shelves. For instance, loss of sea ice in the Arctic has been linked to  
60 stronger mixing across the base of the pycnocline through the input of near-inertial shear [Rainville  
61 and Woodgate, 2009]. Hyatt et al. [2011] postulate that sea ice within Marguerite Bay on the WAP  
62 continental shelf acts as both a thermal and mechanical barrier during winter, reducing diapycnal  
63 mixing. In addition, a change in near-surface stratification associated with an increase in the length  
64 of the fast-ice-free season may also affect mean values of diapycnal diffusivity  $\kappa$ . This can occur  
65 either by a reduction in the value of  $N^2$  in the Osborn equation (which translates turbulent kinetic  
66 energy dissipation,  $\epsilon$ , into diffusivity  $\kappa$ ) [Osborn, 1980], or through the impact of changing  
67 stratification on internal tides, which have been identified as being important farther north on the  
68 South Scotia Ridge [Padman et al., 2006] and on the continental shelf itself [Wallace et al., 2008].  
69 These changes in diapycnal mixing have the potential to feed back on the volume of sea ice  
70 produced (for example increased upward heat fluxes could reduce further the volume of sea ice, or  
71 reduced albedo could increase the summer heat content of the ocean). Such changes could lead to  
72 large shifts in both upper ocean heat and salt properties and in air-sea fluxes; however the complex  
73 web of feedbacks remains insufficiently understood.

74  
75 This paper uses a time series of co-located moored current velocity and conductivity-temperature-  
76 depth (CTD) measurements collected in Ryder Bay (Fig. 1b) between January 2005 and May 2007  
77 to investigate variability in turbulent diffusivity and vertical heat fluxes over 2.5 years. Background  
78 information about the measurements is given in Clarke et al. [2008], Meredith et al. [2010] and

79 Venables et al. [2013]. These were accompanied by in-situ meteorological and sea ice observations  
80 from the meteorological station at the British Antarctic Survey research station at Rothera, 3 km  
81 distant (Fig. 1b). The focus of the paper is on the time variability of both diapycnal mixing and the  
82 vertical heat fluxes between the CDW and AASW layers, and the relationship between these  
83 quantities and wind- and internal-tide processes that have been conjectured to drive vertical mixing  
84 on the WAP shelf. The role of double diffusive mixing is also considered.

85

## 86 **2. Data**

87

### 88 *2.1. Acoustic Doppler Current Profiler (ADCP) and Conductivity-Temperature-Depth (CTD) Data*

89

90 Horizontal velocities  $u$  and  $v$  were acquired every 15 minutes in 4 m vertical bins, from a moored  
91 75kHz Acoustic Doppler Current Profiler (ADCP) ensonifying the top 200 m of the water column at  
92 a position close to  $67^{\circ} 34'S$ ,  $68^{\circ} 14'W$  for the period 25 January 2005 to 9 April 2007. This data  
93 set, known as the Rothera Time Series (RaTS) Site 1 (Fig. 1b), was fully described by Wallace et al.  
94 [2008]. The instrument was deployed for three separate periods in 520 m of water, these being 25  
95 January 2005 to 15 February 2006 (hereafter known as deployment 1), 17 February 2006 to 16  
96 December 2006 (deployment 2) and 17 December 2006 to 9 April 2007 (deployment 3).

97

98 Accompanying these velocity data, full-depth (520 m) CTD data were acquired at approximately  
99 two-week intervals throughout the year, these measurements being taken either from a small rigid  
100 inflatable boat or through a hole drilled in the fast ice. Full details are given in Venables and  
101 Meredith [2014]. After calibration, temperature  $T$  is accurate to  $0.002^{\circ}C$  and salinity  $S$  to 0.005.

102

### 103 *2.2. Estimating turbulent dissipation $\varepsilon$ and diapycnal diffusivity $\kappa$*

104

105 In the absence of direct microstructure estimates, turbulent kinetic energy dissipation  $\varepsilon$  was  
106 estimated using a finescale parameterization based on wave-wave interaction theory [Gregg et al.,  
107 2003]. The alternative technique of estimating  $\varepsilon$  from Thorpe scales was considered, but the  
108 difficulty of estimating CTD package motion from the hand-winch CTD at Rothera rendered this  
109 technique inappropriate for this data set.

110  
111 Estimates of buoyancy frequency squared ( $N^2$ ) were calculated from the 1 m CTD time series, and  
112 then smoothed vertically using a 10-point median filter and interpolated onto the 15-minute time-  
113 base of the ADCP data. Vertical shear was then evaluated at each timestep, and spectra then  
114 produced of  $\langle V_z / \bar{N} \rangle^2$  (hereafter known as buoyancy-normalized shear). This quantity was then  
115 averaged over one day to reduce noise. There are strong limitations to the application of this scheme  
116 to a coastal shelf environment. These include (amongst other factors) that coastal regions tend to be  
117 close to wave generation sources; that the internal wave field may be non-stationary; that the field  
118 itself does not bear close resemblance to a Garrett-Munk (GM) type spectrum [Garrett and Munk,  
119 1975]; and that mixing may be driven by other processes (e.g. double diffusive convection, direct  
120 convective processes). Thus, there are likely to be significant errors in the estimation of  $\varepsilon$ , possibly  
121 by up to an order of magnitude [Waterman et al., 2013]. However, in the absence of direct estimates  
122 of  $\varepsilon$  from microstructure, we employed the technique primarily as a method of distinguishing  
123 periods of elevated and suppressed mixing. To test one of the key limitations (that of assuming a  
124 GM wavefield), we examined the individual shear spectra from the ADCP (Fig. 2a). These were  
125 bluer than the canonical GM shape, but not grossly different to the spectra in many other literature  
126 estimates that have applied the technique successfully (e.g. Kunze et al. [2006]). We also confined  
127 our estimates of  $N^2$  to the layer between 100 and 200 m, in order to avoid the direct convective  
128 processes that dominate the upper 100 m of the water column. A discussion of the likely impact of  
129 double diffusive processes is given in Section 2.3.

130

131 The daily buoyancy-normalized vertical shear spectra  $\langle V_z / \bar{N} \rangle^2$  (which were corrected for range  
 132 averaging and finite differencing as in Polzin et al. [2002]) were integrated between wavelengths of  
 133 80 m and 130 m (Fig. 2a,b) – note that the depth range 10-200 m was used for this calculation.  
 134 Dissipation rate  $\varepsilon$  was then estimated from the ratio of the normalized shear to the integrated shear  
 135 from a theoretical Garrett-Munk spectrum (Fig. 2c), as follows:

$$137 \quad \varepsilon = \varepsilon_0 \frac{f}{f_0} \frac{\cosh^{-1}(N/f)}{\cosh^{-1}(N_0/f_0)} \frac{\langle V_z / \bar{N} \rangle^2}{\langle V_{z_{GM}} / N_0 \rangle^2} h_1(R_\omega)$$

138 (1)

139 In this equation,  $\varepsilon_0 = 7.8 \times 10^{-10} \text{ W kg}^{-1}$  is the background turbulent dissipation of a GM internal  
 140 wave spectrum at latitude  $30^\circ$  in stratification  $N_0 = 5.24 \times 10^{-3} \text{ rad s}^{-1}$  [Garrett and Munk, 1975].  
 141 The absolute values of the Coriolis parameter at the latitude of interest ( $67.6^\circ\text{S}$ ),  $f$ , and at  $30^\circ$  ( $f_0$ )  
 142 were  $1.34 \times 10^{-4} \text{ s}^{-1}$  and  $7.3 \times 10^{-5} \text{ s}^{-1}$  respectively.

143  
 144 The function  $h_1(R_\omega)$ , which accounts for the dominant frequency in the observed wavefield, is  
 145 defined as:

$$146 \quad h_1(R_\omega) = \frac{3(R_\omega + 1)}{2\sqrt{2R_\omega}\sqrt{R_\omega - 1}}$$

147 (2)

148 and is a function of the shear-to-strain ratio  $R_\omega$  [Polzin et al., 1995]. In this study, we used the  
 149 instances of concurrent RaTS CTD and moored ADCP data to estimate the time-evolving  $R_\omega$ . No  
 150 clear seasonality in shear-to-strain ratios was observed, with the largest value of 20.6 occurring in  
 151 May 2006 and the smallest value of 6.2 occurring in March 2005. The mean value for the  
 152 measurement period was 11.2, though we used the time-varying value in our calculations. We  
 153 acknowledge that uncertainty in this parameter represents a significant source of uncertainty in our  
 154 calculations (changing the shear-to-strain ratio from 6 to 20 would change the value of  $\varepsilon$  by a factor

155 of  $\sim 2$ ). Several papers in the Arctic have also shown that errors in  $R_m$  can equate to significant  
156 deviations from microstructure-derived mixing rates (e.g. Wijesekera et al. [1993], Levine et al.  
157 [1987]).

158  
159 Another important caveat to the parameterization is that we evaluated the vertical wavenumber  
160 shear spectra between wavelengths of 80 m and 130 m (in order to obtain a stable estimate of  
161 spectral power), but only calculated  $N$  between the depths of 100 m and 200 m, as the stratification  
162 of the upper 100 m was strongly affected by seasonal surface heat fluxes (which thus violates the  
163 conditions of the parameterization). An alternative approach would have been to use shorter  
164 integration limits for the shear (e.g. 25–100 m); however the resultant spectra were more strongly  
165 affected by high-frequency noise in this integration range and we thus considered those estimates  
166 less reliable than those obtained from the method chosen.

167  
168 Finally, we also estimated the likely effect of instrumental noise on the shear variance spectra, to  
169 ensure that this did not significantly affect our estimate of  $\varepsilon$  within the wavenumber limits of  
170 integration. The specified noise level of the ADCP specified by the manufacturer is  $3 \text{ cm s}^{-1}$ , but  
171 inspection of individual velocity spectra (shown later in Fig. 5a) suggested the real noise floor was  
172  $\sim 2 \text{ cm s}^{-1}$ . Experimentation with a range of noise levels suggested no significant alteration in the  
173 spectral level until values of noise reach  $\sim 6 \text{ cm s}^{-1}$ , which was much larger than our estimate of  
174 actual noise.

175  
176 Diapycnal diffusivity (Fig. 2d) was estimated from the Osborn relationship as  $\kappa = \Gamma\varepsilon/N^2$ , where  $\Gamma$  is  
177 the mixing efficiency, defining the ratio of the final change in potential energy relative to the kinetic  
178 energy lost (taken to be 0.2 [Oskey, 1982]). Note that this is strictly distinct from the dissipation  
179 flux coefficient  $\Gamma_d$  [Osborn, 1980], though the two values are commonly assumed to be numerically  
180 equivalent.

181

182 The vertical heat flux calculation (Fig. 2e) is detailed in Section 4.

183

### 184 2.3. Double diffusive parameters

185

186 In order to estimate the effect of double diffusion on turbulent mixing (a process which is not  
187 captured by the finescale parameterization outlined in Fig. 2b), density ratios and Turner angles  
188 were estimated for each CTD profile from January 2005 to April 2007. As vertical gradients of heat  
189 and salt generally have compensating effects on density in many RaTS profiles (cold and fresh  
190 surface water overlying warm and salty CDW), one might expect the conditions for double  
191 diffusive layering [Schmitt, 1988] to be satisfied. The vertical inverse density ratio  $R_\rho$ , which  
192 expresses the relative importance of temperature to that of salinity in causing density change, is  
193 expressed as:

194

$$195 \quad R_\rho = \frac{\beta \partial_z S}{\alpha \partial_z T} \quad (3)$$

196 where  $\partial_z T$  is the vertical temperature gradient,  $\partial_z S$  is the vertical salinity gradient and  $\alpha$  and  $\beta$  are  
197 the thermal expansion and haline contraction coefficients respectively. This value can alternatively  
198 be expressed as a Turner angle  $Tu$  [Ruddick, 1983], as follows:

199

$$200 \quad Tu = \tan^{-1} \frac{(\alpha \partial_z T + \beta \partial_z S)}{(\alpha \partial_z T - \beta \partial_z S)} \quad (4)$$

201

202 Angles between  $-90^\circ$  and  $-45^\circ$  (or  $1 < R_\rho < \infty$ ) indicate a temperature-destabilizing regime (liable to  
203 the development of well-mixed layers separated by sharp jumps in  $T$  and  $S$ , strongest at values  
204 closest to 1), whilst for  $45^\circ < Tu < 90^\circ$  ( $0 < R_\rho < 1$ ) the water column is destabilized by salt and is  
205 susceptible to salt finger development. A variety of vertical difference widths (between 1 m and



206 50 m) were used to test the sensitivity of the calculation to the choice of  $T/S$  gradient; the value of  
207  $T_u$  was not significantly affected by this choice. Many of the profiles were characterized by  $-90^\circ <$   
208  $T_u < -45^\circ$  (two representative examples in both summer and winter are shown in Fig. 3), implying  
209 that the potential for double diffusive instability was present. During the period of the ADCP  
210 deployment, 14 of the 88 profiles showed evidence of partially-developed thermohaline steps in the  
211 pycnocline, typically less than 10 m in vertical extent (e.g. between 130 m and 200 m in Fig. 3a-c).  
212 However, there was no clear evidence of seasonality in the prevalence of double diffusive activity  
213 (fast-ice-covered vs. non-fast-ice covered periods). The stepped profiles had inverse density ratios  
214 of between 3 and 5, implying relatively weak double diffusive layering (Fig. 3d,e). The vertical size  
215 of the steps observed is similar to those found in the Palmer-Long Term Ecological Record time  
216 series by Smith and Klinck [2002], who estimated that they were responsible for an upward heat  
217 flux of up to  $5 \text{ W m}^{-2}$ , and that they were important for maintaining upward heat fluxes during  
218 winter whilst maintaining the water column stratification. However, in our data, there appeared to  
219 be no clear preferential depth at which these features develop, and it was not clear whether they  
220 have formed through in-situ diffusive processes or not. Instead, these features may have been  
221 advected into Ryder Bay from Marguerite Bay to the south, or alternatively they may have formed  
222 by mixing along the coastal boundary, with subsequent movement into the interior of the Bay.  
223

224 From examination of the RaTS CTD data, we noted that these steps do not tend to persist from one  
225 profile to the next (a few days apart), implying that the structure was relatively quickly broken  
226 down by water column shear or other processes (if they are double diffusive at all). In line with  
227 other studies of this part of the WAP (e.g. Howard et al. [2004]), this implies that shear instability is  
228 the more important mixing mechanism as compared to double diffusion. However, this stands in  
229 contrast to the Weddell Sea, where double diffusive activity is more prevalent and contributes  
230 significantly (up to  $2 \text{ W m}^{-2}$ ) to the diapycnal heat flux (e.g. Muench et al. [1990], Robertson et al.  
231 [1995]). Whilst our time series data in Ryder Bay supports the notion that double diffusion is likely

232 small compared with shear instability, higher-resolution time series measurements and more  
233 extensive CTD coverage within the Bay is required to confirm the mechanistic origin of these  
234 features. We thus do not consider these processes further in this study.

235

#### 236 *2.4. Meteorological measurements*

237

238 Concurrent hourly time series of wind speed/direction and surface temperature were acquired from  
239 the meteorological station at Rothera, located ~3 km east of the mooring deployment location (Fig.  
240 1b). Daily observer estimates of sea-ice cover within Ryder Bay were made, based on type of ice  
241 (brash, grease, pancake, pack, fast) and ice score (representing tenths of cover, from 0/10 to 10/10).  
242 Whilst the necessity of personnel changeover yielded some inter-observer variability, the inter-  
243 seasonal changes are much larger than these differences.

244

### 245 **3. Hydrographic context**

246

247 The annual and interannual hydrographic changes for the period 2005-2007 are shown in Fig. 4a-c.  
248 A full description of these data, as part of the full RaTS time series, is given in Venables and  
249 Meredith [2014]. Here we provide a brief summary of the characteristics pertinent to this study.

250

251 During the entire 2005–2007 period, the CDW was separated from the overlying, seasonally-variant  
252 AASW by a permanent halocline located between 100 m and 200 m (Fig. 4b). During each summer,  
253 a relatively shallow thermocline developed due to short wave solar input from the surface (Fig. 4a);  
254 the depth of this thermocline differed between the three years with deeper penetration of heat in  
255 2006 and 2007 compared with 2005. This process was accompanied by the production of a seasonal  
256 halocline through local ice melt (Fig. 4b). By early March, sensible heat losses reduced the near-  
257 surface temperature, but the maximum in temperature at 50 m did not occur until April/May. As

258 summer transitioned into autumn, large sensible and latent heat losses drove the formation of winter  
259 mixed layers, which reached up to 100 m in August 2005 and ~70 m in August 2006 (Fig. 4a-c). In  
260 addition, salinity increased as summer meltwater advects away and/or brine was rejected from  
261 reforming sea ice (Fig. 4d). This refreezing process extracted the heat input into the water column  
262 above the summertime temperature minimum. Periodically, this surface-driven mixing extended  
263 sufficiently deep to cause heat loss from the CDW layer to the surface, though 2005-2007 were  
264 characterized as years of generally shallow mixing, according to the analysis of Venables and  
265 Meredith [2014]. This is an important factor when considering the use here of an internal wave-  
266 based mixing parameterization, as it implies that direct convective processes do not strongly affect  
267 the 100–200 m layer.

268

269 The overall current structure within Ryder Bay is much less well understood, and this remains an  
270 important source of uncertainty in this study as it means the role of advective heat fluxes is largely  
271 unknown. Low-pass filtering the current meter data at 3 days and averaging over the length of the  
272 time series yielded a small residual mean flow, which peaked at  $\sim 3 \text{ cm s}^{-1}$  at 90 m, and was oriented  
273 towards the northeast, with smaller velocities at deeper and shallower levels. The Bay may be  
274 affected during the summer and autumn months by the Antarctic Peninsula Coastal Current  
275 (APCC), which runs northeast to southwest along the west coast of Adelaide Island (Fig. 1) with a  
276 volume transport of  $\sim 0.32 \text{ Sv}$  [Moffat et al., 2008], peak velocities of  $0.3\text{--}0.4 \text{ m s}^{-1}$  and a freshwater  
277 transport of  $126 \pm 50 \text{ km}^3 \text{ yr}^{-1}$ . However, the routes of the current within Marguerite Bay itself  
278 remain unclear, so it was not easy to ascertain whether the small observed residual current was part  
279 of this system. Details of the dominant modes of current variability within the Bay (primarily  
280 resulting from winds and diurnal tides) are detailed in Section 5.1.

281

#### 282 **4. Time series of inferred diffusivity and heat fluxes**

283

284 The time series of  $\langle V_z/N \rangle^2$ , and estimated  $\varepsilon$  and  $\kappa$  in the depth range 100–200 m are shown in Fig.  
285 2b-d. Indicated on the figures are periods covered by full fast ice and those that are not (hereafter  
286 referred to as ‘fast-ice-free’). There was both seasonal and interannual variability in the time series,  
287 with minimum values of  $\langle V_z/N \rangle^2$  and  $\varepsilon$  typically occurring during periods of fast ice cover (Fig.  
288 2b,c), particularly in winter 2006. In contrast, elevated (but more variable) values of  $\langle V_z/N \rangle^2$  and  $\varepsilon$   
289 occurred when fast ice was absent, with significant maxima occurring in February and April 2005,  
290 May to June 2006 and March to April 2007. Comparing mean  $\varepsilon$  values for the fast-ice-covered and  
291 fast-ice-free periods of 2006 for example, yielded values of  $3.38 \times 10^{-9} \text{ W kg}^{-1}$  and  $5.04 \times 10^{-9}$   
292  $\text{W kg}^{-1}$  respectively. Peaks in January 2005 and 2007 appeared to be associated with wind acting  
293 on the water column before the formation of summertime stratification (see Section 5 for more  
294 details of mechanisms). Converting the  $\varepsilon$  values into  $\kappa$  using the Osborn relationship yielded a more  
295 complex pattern, largely because of the effect of enhanced summertime stratification compared with  
296 wintertime values. Elevated  $\kappa$  was still observed in May to June 2006 and March to April 2007, but,  
297 in general, the periods of low mixing during the fast-ice-free season were not strongly different to  
298 those with fast ice present, when measured using  $\kappa$ . The pattern was controlled by the interplay of  
299 short-term changes of internal wave shear (e.g. the large maxima in May to June 2006 in Fig. 2b)  
300 and seasonal changes in stratification ( $N^2$  increased shortly after the start of each summer season  
301 (Fig. 4c) due to surface heating and ice melt [Venables and Meredith, 2014]). Two particular  
302 periods of enhanced diffusivity were observed in May to June 2006 and March to April 2007. Each  
303 of these appeared to be associated with enhanced wind stresses (see Section 5 below) that injected  
304 near-inertial shear into the water column. The missing values during December 2005 were due to a  
305 deep-drafted iceberg (80 m deep) occupying the site, causing spurious ADCP returns. These data  
306 were thus excluded.

307

308 Vertical heat fluxes  $Q$  were estimated as:

309

310 
$$Q = -\rho_0 c_p \kappa \frac{\partial \theta}{\partial z} \tag{5}$$

311

312 where  $\rho_0$  is the reference density ( $1025 \text{ kg m}^{-3}$ ),  $c_p$  is the specific heat capacity at constant pressure  
313 ( $4000 \text{ J kg}^{-1} \text{ }^\circ\text{C}^{-1}$ ),  $\theta$  is the potential temperature and  $\kappa$  is the diapycnal diffusivity. A time series of  
314 mean  $Q$  between 100 m and 200 m is shown in Fig. 2e, estimated using the mean temperature  
315 gradient between these depth horizons and a two-weekly smoothed  $\kappa$  interpolated onto the times of  
316 the RaTS CTD profiles. Changes in  $Q$  in this depth range were largely governed by variability in  $\kappa$ ,  
317 as the mean temperature gradient only varied by a factor of two (from  $0.008^\circ\text{C m}^{-1}$  to  $0.019^\circ\text{C m}^{-1}$ ),  
318 whilst  $\kappa$  varied by an order of magnitude (from  $0.1 \times 10^{-4} \text{ m}^2 \text{ s}^{-1}$  to  $2 \times 10^{-4} \text{ m}^2 \text{ s}^{-1}$ ). The mean  
319 upward heat flux was  $\sim 1 \text{ W m}^{-2}$ , with the largest values of  $\sim 2 \text{ W m}^{-2}$  generally occurring early in  
320 each summer season. Nevertheless, heat fluxes exceeding  $0.5 \text{ W m}^{-2}$  were observed year round (Fig.  
321 2e), implying that a source of shear was present in all months of the year. Wintertime values did  
322 sometimes exceed the lowest summertime values, though most of the largest values ( $>1.5 \text{ W m}^{-2}$ )  
323 occurred in the fast-ice-free months, particularly at the beginning of each fast-ice-free period. These  
324 early summertime maxima were brought about through the alignment of three separate processes:  
325 the relatively strong velocity shear that occurred during the fast-ice-free season, the relatively weak  
326 stratification that occurred before surface temperatures have risen and ice melt had freshened the  
327 surface layers (Fig. 4a,b), and the relatively strong negative 100-200 m temperature gradient.

328

329 Assuming a latent heat of melting of sea ice of  $2.92 \times 10^5 \text{ J kg}^{-1}$  (for a sea ice salinity of 5) and  
330  $Q = 1.0 \text{ W m}^{-2}$ , the upward flux of heat from the CDW layer could melt  $\sim 0.11 \text{ m}$  of sea ice over one  
331 year. The total annual formation rate is estimated as 1.9–2.9 m [Venables and Meredith, 2014].  
332 Changes in the diapycnal diffusivity in response to reducing sea-ice extent may therefore have a  
333 small positive feedback on the rate of sea-ice loss, though other significant processes are also at  
334 play here. For example, the heat content in the upper 200 m is dominated primarily by surface  
335 fluxes (and possibly also lateral advection), meaning that effects of reducing sea ice are likely to be

336 modest. Changes would be most likely to occur in response to enhanced wind stress on the ocean  
337 surface promoting an increase in near-inertial shear during the winter months when the water  
338 column stratification is already relatively low. However, other feedbacks also have to be taken into  
339 account, such as the so-called thermal barrier effect [Martinson and Ianuzzi, 2008], which contends  
340 that the heat content of the halocline acts to stabilize the water column by its ability to melt sea ice.  
341 In the following section, we document the controls on mixing during both winter and summer  
342 seasons and identify the extent to which tides and winds may promote shear instabilities in the zone  
343 between the CDW and AASW.

344  
345 Howard et al. [2004], who invoked an alternative Richardson Number-based parameterization of  
346 mixing, estimated that heat fluxes in Marguerite Bay during the autumn of 2001 were smaller than  
347  $2 \text{ W m}^{-2}$ . In addition, they found that double diffusive processes make only a small contribution to  
348 the heat flux ( $0.2\text{--}0.4 \text{ W m}^{-2}$ ). Smith and Klinck [2002], in contrast, estimated larger shelf-averaged  
349 double diffusive heat fluxes of  $5 \text{ W m}^{-2}$ , but did not consider the role of shear instability in detail.  
350 In addition, Ross and Lavery [2010] reported a dissipation rate of  $10^{-9} \text{ W kg}^{-1}$  farther north on the  
351 Peninsula (between Renaud and Anvers Islands), which is a similar order of magnitude to our  
352 results.

353

## 354 **5. Controls on Mixing**

355

356 Wind-driven near-inertial motions [Howard et al., 2004] and locally-generated internal tides  
357 [Wallace et al., 2008] have both been proposed as potential mixing mechanisms at the RaTS site. In  
358 this section we isolate the dominant processes and investigate how these contribute to the observed  
359 seasonality and variability both in heat fluxes and  $\kappa$ .

360

### 361 *5.1. Velocity spectra*

362

363 Mean power spectra (Welch estimation, 8 Hamming windows of 30-40 days, 50% overlap) for  $u$   
364 and  $v$  velocities in the top 200 m are displayed in Fig. 5a-d for deployment periods 1 and 2, and  
365 divided into periods with and without fast ice cover. The most significant peak is centered on the  
366 diurnal frequencies (K1 and O1), with smaller secondary semidiurnal peaks (M2/S2). Harmonic  
367 analysis (using t-tide) of these velocities (Fig. 6a-c) revealed K1 and O1 tides with similar  
368 structures [Foreman, 1978; Pawlowitz et al., 2002]. The combined barotropic plus baroclinic  
369 component of K1 and O1 (Fig. 6a,b) had a minimum major-axis magnitude of  $0.4 \text{ cm s}^{-1}$  around  
370 60 m depth ( $\pm 0.3 \text{ cm s}^{-1}$ ), increasing to  $\sim 1 \text{ cm s}^{-1}$  ( $\pm 0.3 \text{ cm s}^{-1}$ ) at 150–200 m. In addition, there  
371 were a number of clear phase reversals in both diurnal and semidiurnal tides (Fig. 6d-f), suggesting  
372 the presence of complex internal tides. Note that for many of the periods of data that we analyzed  
373 (e.g. the fast-ice-free parts of all three years, and the fast-ice-covered part of 2006), the time period  
374 was not sufficiently long to separate out the K1 tide (23.93 h) from P1 (24.07 h). However,  
375 harmonic analysis of those periods that were sufficiently long to separate out K1 and P1 (e.g. the  
376 whole Year 1 deployment) revealed relatively weak P1 tidal velocities ( $0.3 \pm 0.2 \text{ cm s}^{-1}$ ) that were  
377 largely barotropic, implying that P1 tides were not as significant as O1/K1 in shear generation.  
378 However, we acknowledge the signal-to-noise ratio here was low.

379

380 The magnitude of the tidal velocities was generally higher in the fast-ice-free season, with peak  
381 diurnal tidal velocities exceeding  $1.5 \text{ cm s}^{-1}$  during the fast-ice-free part of 2005. However, the  
382 magnitudes of the M2 tidal velocities were much weaker (Fig. 6c) and less depth-variant (maximum  
383 values  $< 0.6 \text{ cm s}^{-1}$ ), suggesting tides of this frequency only provided a weak source of shear. Whilst  
384 the average shear magnitude of even the diurnal tides was modest, there were periods within the  
385 time series where tidal shears were significantly larger over portions of the water column than the  
386 average values suggest, being comparable with the local values of  $N^2$ . This means that diurnal tides

387 likely sustained a weak upward flux throughout the months when fast-ice was present at the site,  
388 though the largest upward fluxes of heat were not observed at these times (Fig. 2e).

389

390 The orientation of the tidal ellipses for K1 and O1 was generally east-west, reflecting the movement  
391 of water into and out of the bay, with a general preference (though not exclusively) for the tidal  
392 ellipses to be counter-clockwise oriented (Fig. 6e,f). Note that the K1 tide has a longer period  
393 (23.93 h) than the local inertial period (12.95 h), implying that any tidal shear generated at these  
394 frequencies will not be freely propagating. However, as previously outlined by Wallace et al.  
395 [2008], there are sufficient local generation sites close to Rothera for the K1 barotropic tide to  
396 excite baroclinic waves (their Fig. 14).

397

398 Decomposing the mooring velocity spectra into its rotary components (Fig. 7a,b) revealed that,  
399 during the fast-ice-free months, there was a significant enhancement of rotation of both senses at all  
400 depths compared with the fast-ice-covered months (as denoted by the more intense green/orange  
401 colours in Fig. 7a,b compared with 7c,d). However, the counterclockwise (CCW) rotation was  
402 enhanced more strongly than the clockwise (CW). This enhancement was particularly strong around  
403 the inertial frequency. In contrast, during the fast-ice-free months, the difference between CCW and  
404 CW was much smaller (Fig. 7c,d). This pattern is shown more clearly in the rotary coefficient plot  
405 (Fig. 8a), which expresses the ratio of clockwise to counterclockwise energy in the ADCP data  
406 (technically  $\log_{10}(CW/CCW)$ ). This ratio exhibited large negative values during the fast-ice-free  
407 season, particularly between 0.9 and 1.5  $f$  (Fig. 8b). Furthermore, these peaks generally  
408 corresponded to observed maxima in local wind speed (e.g. in May, June and July 2006, Fig. 8c, but  
409 also in February and March 2005 – not shown). Correlation statistics between the wind stress and  
410 rotary coefficient are presented below.

411



412 To illustrate the relative importance of wind and diurnal tidal forcing in generating water column  
413 shear, Figs. 8d and 8e show band-pass filtered current speeds for the second deployment period for  
414 the near-inertial and diurnal bands respectively. Near-inertial velocities in the fast-ice-free season  
415 (Fig. 8d) peaked at 3-5 cm s<sup>-1</sup>, with the strongest velocities coinciding with the periods of strongest  
416 local wind speeds (Fig. 8c). At these times, the strongest near-inertial velocities were concentrated  
417 in the near-surface layers, but commonly penetrated beyond 150 m depth (e.g. in April, May and  
418 July 2006). These velocities were significantly weaker in the fast-ice-covered season (generally 1-  
419 3 cm s<sup>-1</sup>) and showed no direct correlation with the wind field. In comparison, the diurnal tidal  
420 current speeds (Fig. 8e) were significantly weaker (typically 1–2 cm s<sup>-1</sup>), with a pronounced 13.5  
421 day signal (associated with the spring-neap cycle of K1+O1). Tidal velocities were also suppressed  
422 in the ice-free season (as previously observed in Fig. 6), most likely due to stratification changes  
423 within the water column (Fig. 4c).

424

425 This dominance of CCW rotation was concentrated at frequencies slightly higher than the inertial  
426 frequency, but was apparent across a broad range from 0.9-1.7  $f$  (see blue shading in Fig. 8a). This  
427 suggests a significant input of near-inertial wind energy into the water column that is strongly  
428 suppressed during periods of fast ice within Ryder Bay. The reason for the CCW energy being  
429 concentrated at frequencies slightly higher than the inertial is likely to be the strong summertime  
430 stratification and likely small horizontal wavenumber of near-inertial waves (Ryder Bay is only ~  
431 10 km wide). Following Pollard [1970], the frequency of near-inertial waves,  $\sigma$ , is expressed as  
432  $\sigma = f(1 + k^2 N^2 / f^2 n^2)^{0.5}$ , where  $k$  is the horizontal wavenumber of the wave and  $n$  is the vertical  
433 wavenumber for the relevant mode. Assuming  $k = 6.28 \times 10^{-4} \text{ m}^{-1}$  (corresponding to a horizontal  
434 scale of 10 km, the approximate diameter of Ryder Bay),  $n = 0.0628 \text{ m}^{-1}$  (corresponding to a  
435 vertical scale of 100 m) and  $N^2 = 1 \times 10^{-4} \text{ s}^{-1}$  (Fig. 4c),  $\sigma = 1.24 f$  or ~10.5 h. This estimate matches  
436 well with the observed frequency of maximum CCW polarization during fast-ice-free periods. In  
437 further support of this interpretation is the fact that, when a near-inertial peak was observed during

438 the ice-covered months (e.g. in September 2006) possibly through propagation of energy from  
439 nearby regions with less ice cover, the frequency of maximum CCW polarization was closer to  $f$ ,  
440 because of the weaker stratification during the winter months ( $\sim 5 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$ , Fig. 4c). This alters  
441 the effective frequency of near-inertial waves to  $\sim 1.13f$  or a period of 11.5 h, which corresponds  
442 well to the observed peak in CCW energy at that time.

443

444 An alternative interpretation for the increased CCW polarization during periods without fast ice  
445 would be a change in the polarization of the M2 tidal component. However, examination of the tidal  
446 ellipses into their CW- and CCW-rotating components (Fig. 6d-f) revealed no large changes in tidal  
447 polarization on a seasonal basis (the tidal shear was actually *less* CCW-polarized during fast-ice-  
448 free periods). The enhanced CCW rotation during periods without fast ice was clearly evident when  
449 the rotary coefficients ( $\log_{10}(\text{CCW}/\text{CW})$ ) were inspected (Fig. 8a). Even short periods of fast-ice-  
450 free conditions (e.g. in early July 2006) showed strongly positive rotary coefficients. This implied  
451 that the spectral content of the internal wave field at the site was strongly dictated by local ice  
452 conditions, which, under favourable stratification (and temperature gradient) conditions, had a  
453 profound impact on turbulent diffusivity and vertical heat fluxes.

454

455 We then considered the extent to which the observed changes in rotary coefficient within the fast-  
456 ice-free season were determined by input of energy by the local winds. In both 2006 and 2007, there  
457 appeared to be a pattern of enhanced  $\varepsilon$  at the start of the season, followed by reduced values in the  
458 middle of the summer season, and enhanced values again in April-May. Examining the time series  
459 suggested that this pattern was primarily caused by changing wind stress (as the strongest winds in  
460 both these years occurred at the start and end of the summer season). However, to test whether  
461 changing wind stresses did increase CCW-polarized near-inertial energy in the water column, time  
462 series of wind stress  $\tau = \rho C_D U^2$  (where  $C_D$  is the drag coefficient and  $U$  is horizontal wind speed)  
463 from the Rothera meteorological station were correlated in time with the rotary coefficients of

464 current velocity during the fast-ice-free months. For this calculation,  $U$  was low-pass filtered (with  
465 cutoffs varying between 0.5 and 10 days) and rotary coefficients at near-inertial frequencies were  
466 evaluated over a moving 30-day period during the fast-ice-free months.  $C_D$  was calculated as

467  $\frac{0.29 + 3.1/U + 7.7/U^2}{1000}$  for wind speeds less than  $6 \text{ m s}^{-1}$  and  $0.60 + 0.070U_{10}$  for wind speeds

468 greater than  $6 \text{ m s}^{-1}$  [Yelland and Taylor, 1996]. Note that although this is strictly an open ocean  
469 parameterization, the results were not sensitive to whether  $C_D$  is calculated using his method, or  
470 prescribed as a fixed value.

471

472 Correlations between local 10-day low pass filtered wind stress and rotary coefficient averaged  
473 between 1 and  $1.7f$  were stronger during the fast-ice-free periods than during periods with fast ice  
474 present, with alignment of peaks in, e.g. May and July 2006 (Fig. 8b,c). However, the correlations  
475 only reached statistical significance at the 90% confidence level during the 2005 fast-ice-free  
476 season ( $R=-0.35$ ,  $p=0.06$ ). The weakness of the correlation may reflect variations in the  
477 effectiveness of the transfer of momentum to the upper ocean in differing ice conditions and the fact  
478 that inertial motions are more effectively transmitted to the water column at times of sudden  
479 acceleration/deceleration in wind speed (see Section 5.2 below). In contrast, there was no  
480 correlation at the 90% confidence level between wind stress and rotary coefficient for periods when  
481 fast ice was present at the site, confirming that fast ice provided an effective barrier to the  
482 transmission of locally generated near-inertial motions into the water column. Full statistics are  
483 provided in Table 1.

484

485 To test whether inertial motions in the bay were responsive to winds integrated over a wider region  
486 than just the bay itself, the correlations were repeated using 7-day low pass filtered winds from  
487 ECMWF Interim Reanalysis for the period of the mooring deployment [Dee et al. 2011] (Fig. 8c).  
488 These winds were averaged over a one-degree box around the RaTS site. No significant correlations  
489 were found during fast-ice-covered or fast-ice-free seasons (Table 1). This suggests that near-

490 inertial motions were largely responsive to local wind conditions and are not generated in distant  
491 regions (>100 km away) with subsequent propagation into Ryder Bay.

492

## 493 *5.2. Shear spectra and analysis*

494

495 Power spectra of the shear variance time series (from which the time series of  $\varepsilon$  and  $\kappa$  were derived)  
496 are displayed in Fig. 9. During the period of study, there was a clear modulation at O1 and K1 tidal  
497 frequencies, primarily due to the baroclinic nature of the tide outlined in Section 5.1. No other  
498 statistically significant peaks appeared, but there was a difference in spectral shape between periods  
499 with and without fast ice cover. Periods without fast ice had higher spectral power at all periods  
500 between the subinertial (10 days) and  $\sim 3$  cpd, with particular enhancement close to (and at  
501 frequencies slightly higher than) the inertial frequency, as far to  $2f$  in 2005/6, and as far as  $4f$  in  
502 2006 and 2007 (Fig. 9a,b). This was consistent with the velocity spectra and implies that there was  
503 significantly stronger near-inertial shear during periods when the site is fast-ice-free.

504

505 To illustrate the processes occurring more clearly, we focused on the  $u$  and  $v$  wind, ADCP  
506 velocities and shear vectors for the ten-day period around 24 May 2006 (Fig. 10), when  $\varepsilon$  was  
507 particularly large ( $2.3 \times 10^{-8} \text{ W kg}^{-1}$ ). In particular, we considered the magnitude and direction of  
508 shear between the depth ranges of 0-100 m and 100–200 m. During two periods when the shear  
509 variance (as quantified by the finescale parameterization) was strongly enhanced (around 20 May  
510 and 24 May), there were very strong velocity shears between the surface layer (down to 80 to  
511 100 m) and the underlying waters. These shears rapidly alternated (Figs. 10a and 10b), and the  
512 shear vector moved through  $360^\circ$  every inertial period (Fig. 10b).

513

514 This was a period of highly variable wind stress forcing (Fig. 10c-d), which began with a westerly  
515 wind rotating in a cyclonic direction, ultimately producing a peak in northeastward wind stress by

516 20 May (with wind speeds exceeding  $22 \text{ m s}^{-1}$ ). Over the next four days, the wind stress first  
517 rotated anticyclonically back to the northwest and then weakened. Between 24 May and 27 May,  
518 there followed a period of strongly variable winds, alternating between northerly wind speeds of  
519  $\sim 10 \text{ m s}^{-1}$  and southerlies of a similar magnitude over the course of just a few hours. Over the same  
520 period, the wind acquired an easterly component (Fig. 10c). These strong and highly variable  
521 stresses appear to be particularly effective at generating near-inertial motion (and shear), promoting  
522 enhanced turbulence and vertical mixing (as shown through the high values of  $\varepsilon$  at this time). This  
523 is shown clearly in the shear direction plot (Fig. 10b), which exhibited CCW motion of periods of  
524  $\sim 12$  hours on 20, 21, 23, 24, 26 and 28 May, as shown by the sloping black lines. This CCW motion  
525 was commonly intermittent (e.g. on 26 and 28 May), possibly owing to the presence of nearby  
526 lateral boundaries within Ryder Bay, but sometimes was present over several inertial periods (e.g.  
527 on 23 and 24 May). There was no obvious relationship between the direction of rotation of the wind  
528 vector and the response in shear variance, either in this example or in other periods of enhanced  
529 shear.

530

531 This contrasts strongly with periods in the time series when fast ice was present (Fig. 11). During  
532 those periods, the magnitude of  $\varepsilon$  was typically weaker, and the shear that did exist tended to be  
533 driven primarily by the K1 and O1 internal tides discussed previously. This can be seen in Fig. 11a  
534 for the period 4 June to 13 June 2005. This was a period of very low shear variance and  $\varepsilon$  (Fig.  
535 2b,c), with the lowest values centered on 10 June. During this period, the transmission of near-  
536 inertial activity from the wind was blocked by the presence of fast ice at the site and, as a result, no  
537 clear correlation was seen between either  $u$  or  $v$  wind (Figs. 11c,d) and the magnitude of  $\varepsilon$ . Instead,  
538 the dominant source of shear in the water column was related to a baroclinic tide of diurnal period  
539 (Figs. 11a,b), which typically had weaker velocities than the near-inertial motions. At this period of  
540 the time series, this produced clear CCW rotation of period close to 24 hours. After 11 June, this  
541 became a CW rotation, due to the changing phase of the O1 and K1 tides.

542

543 No clear correlation was seen between either  $u$  or  $v$  wind and the magnitude of  $\varepsilon$  between 4 and 13  
544 June. Therefore, it is probable that the diurnal tide sustained weaker mixing when wind forcing was  
545 absent, through a cascade of this subinertial energy to higher frequencies, where it ultimately breaks  
546 down as turbulence.

547

## 548 **6. Conclusions and Implications**

549

550 Through analysis of a 2.5 year time series of ADCP data, combined with co-located CTD and wind  
551 measurements, a clear difference was observed in the controls on diapycnal mixing between periods  
552 when fast ice was present at this site on the West Antarctic Peninsula and when it was absent.

553 Whilst shear variance during the fast-ice-covered season was modulated dominantly by diurnal tidal  
554 motions (dominantly K1 and O1), a broad increase in near-inertial kinetic energy and near-inertial  
555 shear was observed during the fast-ice-free period, which is consistent with wind-forced motions  
556 being a significant additional source of shear in the water column. This conclusion was supported  
557 by the observed correlation between CCW motions throughout the upper 200 m of the water  
558 column and observed wind speeds at the site, with a case study at the site (Section 5.2) suggesting  
559 that the strongest mixing events might be triggered by rapid changes in wind speed/direction that  
560 provide the necessary instantaneous acceleration for the generation of near-inertial internal waves  
561 and shear.

562

563 This result has important implications in the context of the rapid environmental changes that are  
564 occurring around the Peninsula. Venables and Meredith [2014] already postulated that years with  
565 reduced winter fast ice were subject to increased mixing and a concomitant reduction in  
566 stratification during the following summer. During the period 2005–2007, there was no statistically  
567 significant difference in upward heat flux between winter and summer seasons, because the

568 increased mechanical energy input to the water column during summer was offset by the stronger  
569 summertime stratification and a less favourable temperature gradient during that period (Fig. 4a,c).  
570 However, the rapid reduction in sea-ice duration in recent years will allow more mechanical energy  
571 input at a period of the year when the direction of the surface heat flux is reversed (i.e. ocean to  
572 atmosphere) and when stratification is significantly weaker due to the erosion of the strong  
573 summertime halocline. This may mean that direct deep mixing events, such as those observed by  
574 Venables and Meredith [2014] during 2008–2013, are likely to become more common. In addition,  
575 the increase in storm activity on the shelf through the persistent positive SAM index [Lefebvre et  
576 al., 2004; Thompson and Solomon, 2002] might further increase the mechanical energy available  
577 for turbulent mixing. An increase in  $\kappa$  may also significantly impact the fluxes of important  
578 nutrients from the CDW (e.g. nitrate) into the surface water layers.

579

580 Whilst we have identified two important mechanisms controlling turbulent mixing, a number of  
581 questions remain unanswered that will be addressed in future work. A significant source of  
582 uncertainty in this study is the use of the finescale shear-based parameterization to determine  $\varepsilon$ .  
583 Much improved estimates of diapycnal diffusivity and heat fluxes will be available once  
584 comprehensive microstructure data are available for this region, which will also allow us to assess  
585 the role of double diffusive processes in more detail. Secondly, we are not clear how representative  
586 processes at this site are of conditions on the wider shelf. For instance, we note that tidal velocities  
587 within Ryder Bay are particularly weak; climatic changes in water column stratification in regions  
588 of the shelf with stronger tides (e.g. near the shelf break) may impact the structure of internal tides  
589 leading to a change in tidally-driven mixing. Finally, the alteration in sensible heat fluxes due to  
590 changes in wintertime atmospheric temperatures, which have increased by 1°C per decade [Turner  
591 et al., 2005], will also be a strong control on ocean-to-atmosphere fluxes and upper ocean  
592 stratification. These will all need to be quantified and understood fully to predict the climatic and  
593 ecological consequences of future heat flux changes in the Antarctic surface waters.

594

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596

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767

## 768 ***Figures***

769

770 Figure 1: (a) Location of Rothera station on the West Antarctic Peninsula shelf, with Marguerite  
771 Trough indicated. 500 m and 1000 m isobaths from the International Bathymetric Chart of the

772 Southern Ocean (IBCSO) are indicated; (b) Position of the RaTS mooring within Ryder Bay. The  
773 location of the British Antarctic Survey base at Rothera, from which the meteorological and ice  
774 observations were collected, is marked. The CTD measurements, acquired at roughly two-week  
775 intervals, were made as close as practicable to the mooring location (see Venables et al. [2014]).  
776 Bathymetry is indicated.

777

778 Figure 2: (a) Example buoyancy-normalized shear spectra calculated over the top 200 m of the  
779 water column for March 2005, without the Polzin et al. [2002] corrections applied. The  
780 wavenumber integration limits used in the finescale parameterization are marked with vertical  
781 dashed lines, and the GM spectrum is also indicated with the horizontal dashed line; (b) Daily time  
782 series of buoyancy-normalized shear variance  $\langle V_z/N \rangle^2$ , integrated between 80 m and 130 m.  
783 Periods of fast ice cover are marked with gray bars. Note the general enhancement during periods  
784 when fast ice is absent; (c) Daily time series of turbulent kinetic energy dissipation rate  $\varepsilon$  (in  $\text{W kg}^{-1}$ )  
785 between 100 and 200 m depth computed using the Gregg et al. [2003] parameterization described  
786 in the text; (d) Daily time series of the diapycnal diffusivity  $\kappa$  in the same depth range. Note that the  
787 seasonality was less pronounced as the higher shear during the ice free periods was compensated to  
788 some degree by the increased stratification compared with the winter months; (e) Time series of  
789 vertical heat flux (positive upwards) estimated from two-weekly averaged  $\kappa$  and the mean vertical  
790 temperature gradient between 100 m and 200 m from the RaTS CTD measurements. Values were  
791 generally enhanced at the start of each ice-free period and in June 2006 and March/April 2007.

792

793 Figure 3: Examples of possible double diffusive activity within RaTS CTD profiles. Panels (a), (b)  
794 and (c) show example raw summer (red) and winter (blue) potential temperature, salinity and  
795 potential density profiles. The summer (red) profile was from 24 January 2006, whilst the winter  
796 (blue) profile was from 17 September 2005. Partially developed step-like features of vertical scale  
797 5-10 m were found between 130 m and 200 m depth in each profile. These features corresponded to

798 inverse density ratios (panel d) of around 3-5 (or Turner angles (panel e) of around  $-60^\circ$ ),  
799 suggesting relatively weak double diffusive convection may be present. However, these steps did  
800 not seem to persist between profiles separated by a few days, suggesting that they are broken down  
801 relatively rapidly by shear instabilities.

802

803 Figure 4: (a) Time series of potential temperature for January 2005 to June 2007 from RaTS CTD  
804 data, with potential density  $\sigma_\theta$  (in  $\text{kg m}^{-3}$ ) overlaid; (b) As panel (a), but for salinity; (c) As panel  
805 (a), but for buoyancy frequency squared  $N^2$ . Values of  $N^2$  were smoothed in depth using a 10-point  
806 filter. Note the strong summertime stratification in the upper 100 m and the deep winter mixed  
807 layers; (d) Percentage sea-ice cover and type from daily in-situ observations of Ryder Bay for the  
808 same period. The period with fast ice present is shorter in 2006 than in 2005. Antarctic Surface  
809 Water and Circumpolar Deep Water is marked in panels (a) and (b).

810

811 Figure 5: (a) Welch power spectra (8 overlapping Hamming windows) for depth averaged  $u$  for the  
812 deployment period 25 January 2005 to 15 February 2006, divided into fast-ice-covered and fast-ice  
813 free periods; (b) As panel (a), but for  $v$ ; (c) As panel (a) but for the deployment period 17 February  
814 2006 to 16 December 2006; (d) As panel (b) but for the deployment period 17 February 2006 to 16  
815 December 2006. In each plot, 95% confidence intervals on the spectral density estimate are  
816 indicated with error bars. Above 5 cpd, the spectra are band-averaged over 10 points.

817

818 Figure 6: (a) Depth structure of K1 tidal amplitudes for the first deployment period. 95%  
819 confidence intervals are indicated; (b) As panel (a) but for O1 amplitudes; (c) As panel (a) but for  
820 M2 amplitudes; (d) K1 tidal ellipses for the deployment period 25 January 2005 to 15 February  
821 2006, separated into fast-ice-free and fast ice covered portions; (e) As panel (d) but for O1; (f) As  
822 panel (d), but for M2. The arrows show the direction of the rotation, and their position on the ellipse  
823 shows the phase point.



824

825 Figure 7: Rotary spectra of velocities ( $\log_{10}$  scale); (a) CCW spectra for fast-ice-free portion of the  
826 second deployment period. (b) As panel (a), but CW rotation; (c) As panel (a), but for the fast-ice-  
827 covered portion of the second deployment period; (d) As panel (b), but for the fast-ice-covered  
828 portion of the second deployment period.

829

830 Figure 8: (a) Depth-averaged rotary coefficients ( $\log_{10}(\text{CW}/\text{CCW})$ ) evaluated from 30-day  
831 overlapping spectra evaluated each day for the second deployment period. Blue values indicate a  
832 dominance of counterclockwise energy. Times of fast ice cover are indicated, alongside K1, O1,  
833 M2 and S2 tidal frequencies, and the inertial frequency  $f$ ; (b) Rotary coefficient integrated between  
834  $0.9$  and  $1.5f$  for the same time period. Periods of fast ice cover are indicated in gray blocks. (c)  
835 Seven-day low pass filtered wind speed (in m/s) for the second deployment period, from Rothera  
836 meteorological station data (red line) and ECMWF ERA-Interim Reanalysis (blue line). The raw  
837 data from which these filtered time series are derived are displayed in light red and light blue, and  
838 periods of fast ice cover are indicated; (d)  $0.9$ - $1.5f$  band-pass filtered near-inertial current speeds for  
839 the second deployment period, with fast-ice-covered periods marked; (e) 23-26 h band-pass filtered  
840 diurnal tidal velocities for the second deployment period, with fast-ice-covered periods marked.

841

842 Figure 9: (a) Power spectrum (Welch estimate, 8 overlapping Hamming windows) of buoyancy  
843 normalized shear variance  $\langle V_z/N \rangle^2$  for fast-ice-covered and fast-ice-free period of the first  
844 deployment; (b) As panel (a) but for the second deployment period. 95% confidence intervals on  
845 the spectral density estimate are indicated with error bars; 10 spectral points are averaged for  
846 frequencies greater than 5 cpd.

847

848 Figure 10: (a) 2-hour low pass filtered ADCP  $v$  velocities ( $\text{cm s}^{-1}$ ) for period around peak in  $\epsilon$  in  
849 May 2006 (indicated with a red star); (b) Direction of shear vector between 0-100 m and 100-200 m

850 for the same time period as panel (a). Counterclockwise rotating shear vectors of period close to the  
 851 inertial frequency  $f$  are marked with black lines; (c) Accompanying hourly  $u$  wind from the Rothera  
 852 meteorological station for the period of panel (d); (f) Accompanying hourly  $v$  wind. The sense of  
 853 rotation of the wind velocity vector is indicated with labels.

854

855 Figure 11: (a) 2-hour low pass filtered ADCP  $u$  velocities ( $\text{cm s}^{-1}$ ) for period around the minimum  
 856 in  $\epsilon$  in June 2005 (indicated with a blue star). Fast ice covered the site during this period, and water  
 857 column shear was dominated by the diurnal tide; (b) Direction of shear for the same time period.  
 858 Counterclockwise- and clockwise-rotating shear vectors of period close to the diurnal tide are  
 859 indicated with black lines; (c) Accompanying hourly  $u$  wind from the Rothera meteorological  
 860 station for the period of panel (d); (f) Accompanying hourly  $v$  wind. The sense of rotation of the  
 861 wind velocity vector is indicated with labels.

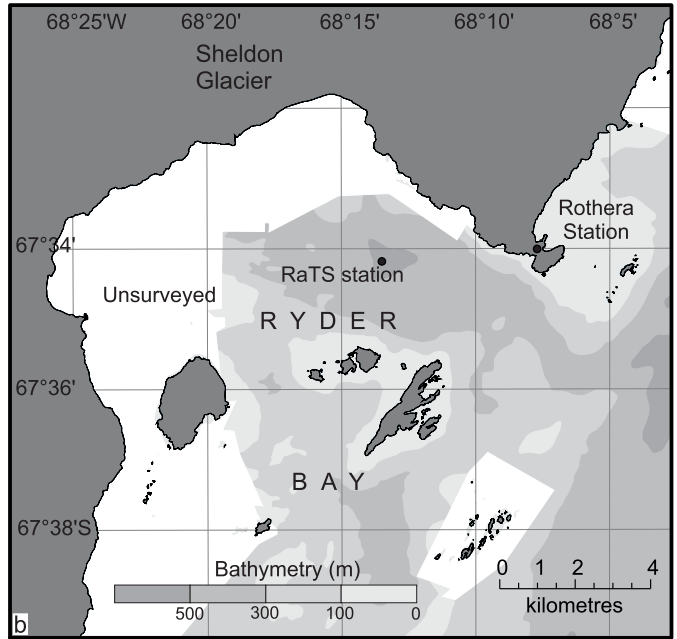
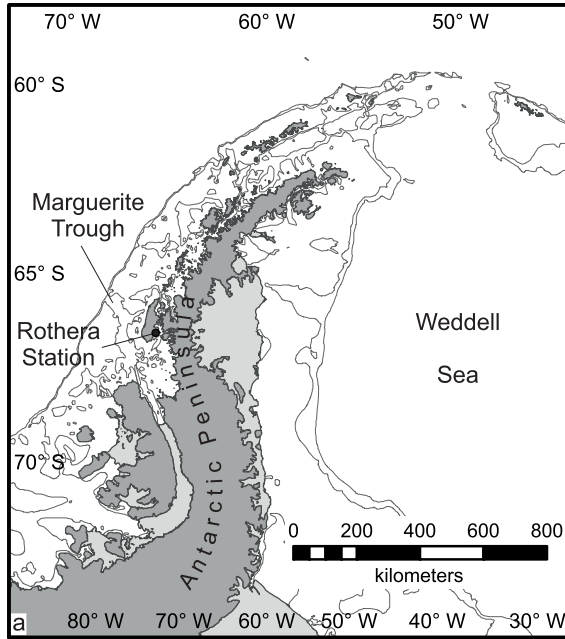
862 **Tables**

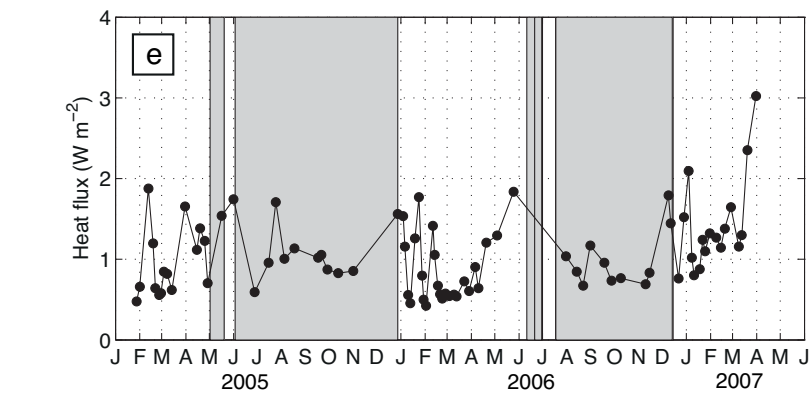
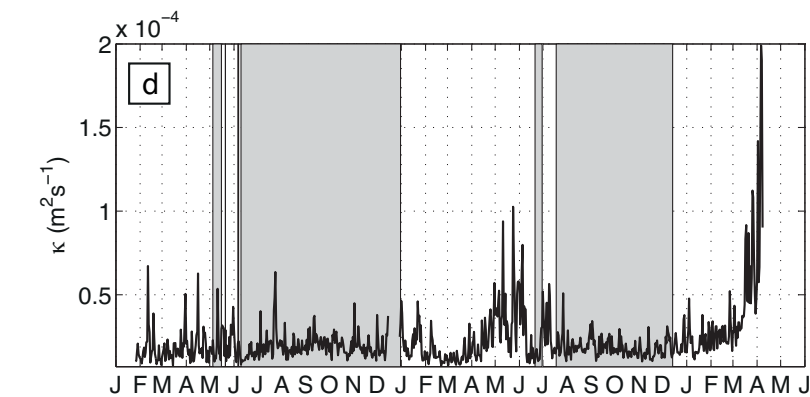
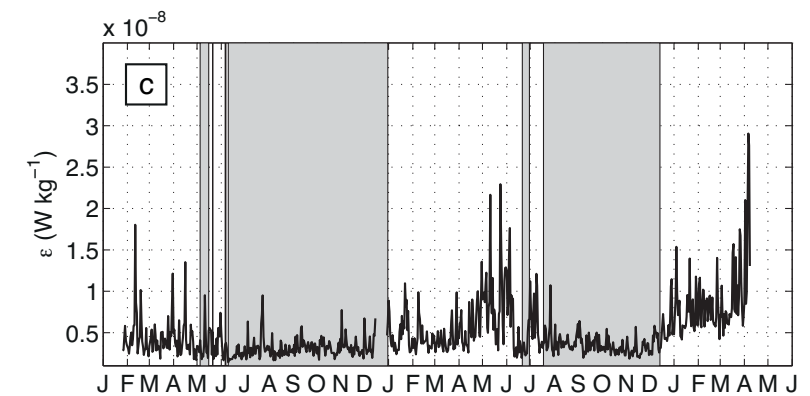
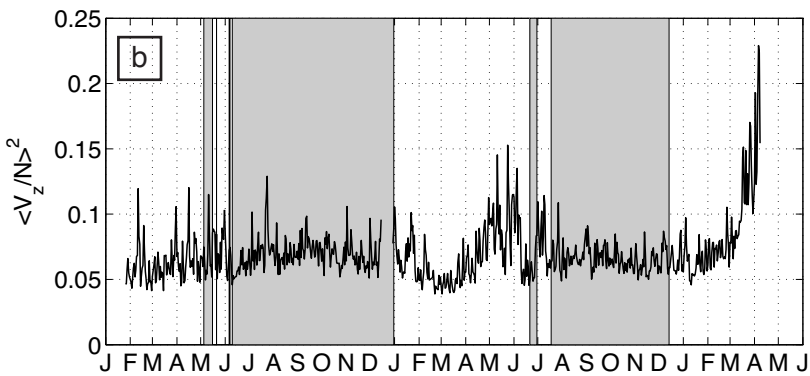
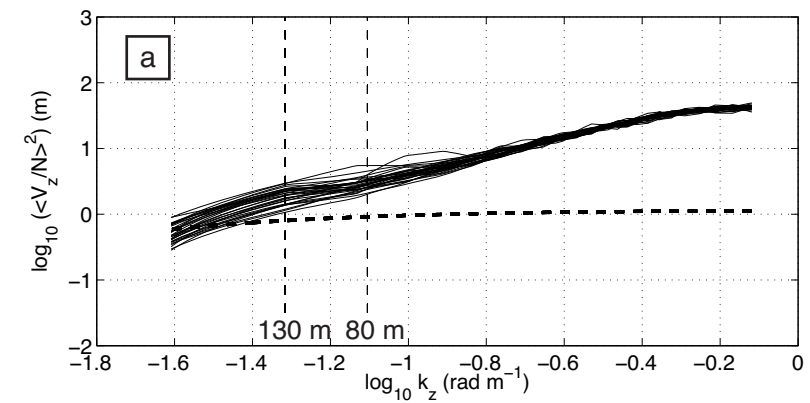
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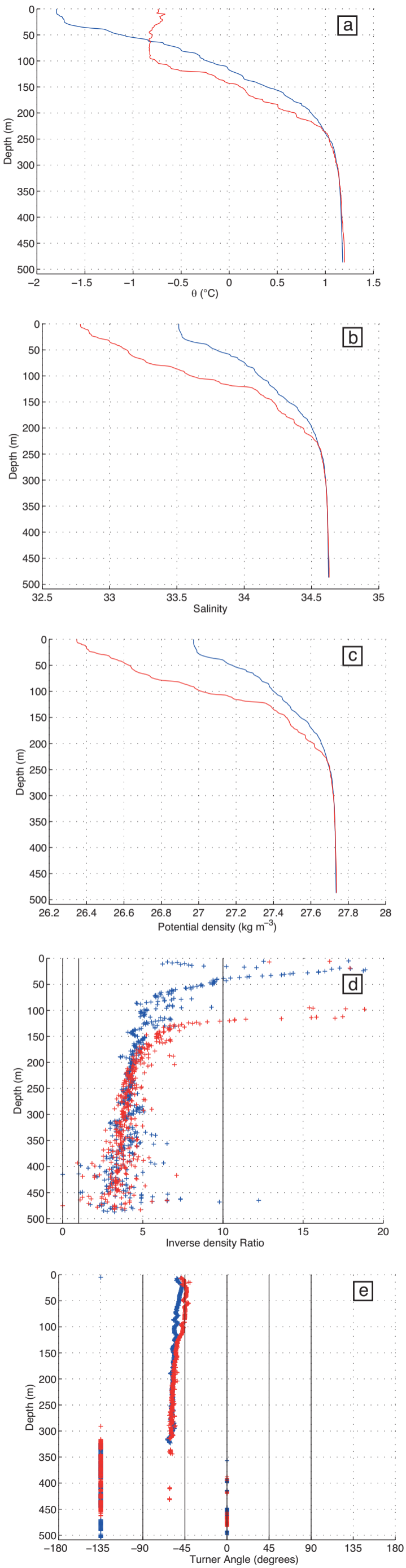
	<b>Rothera wind stress</b>	<b>ECMWF wind stress</b>
Fast-ice-free – deployment 1	<b>-0.35 (0.06)</b>	-0.07 (0.72)
Fast-ice-free – deployment 2	0.26 (0.29)	0.004 (0.98)
Fast-ice-covered – deployment 1	0.04 (0.84)	-0.07 (0.71)
Fast-ice-covered – deployment 2	-0.05 (0.83)	-0.06 (0.79)

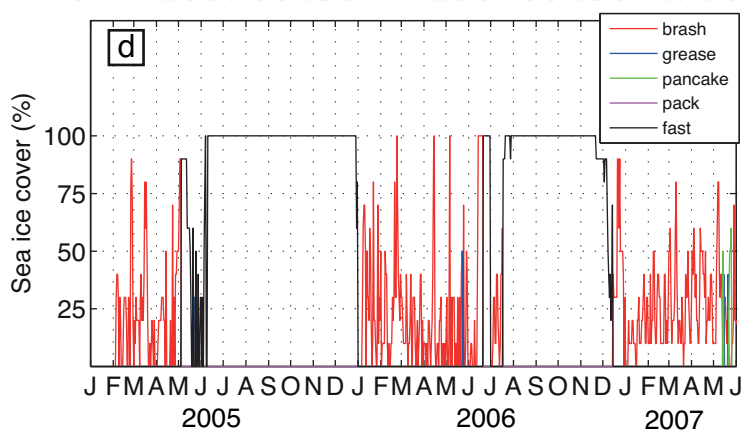
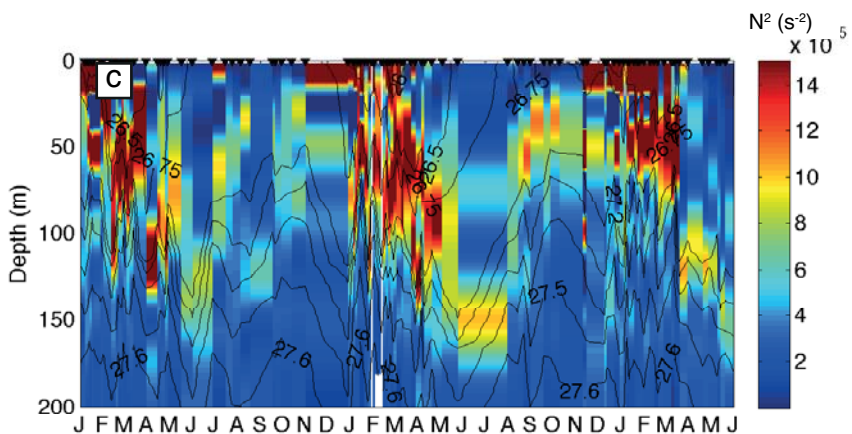
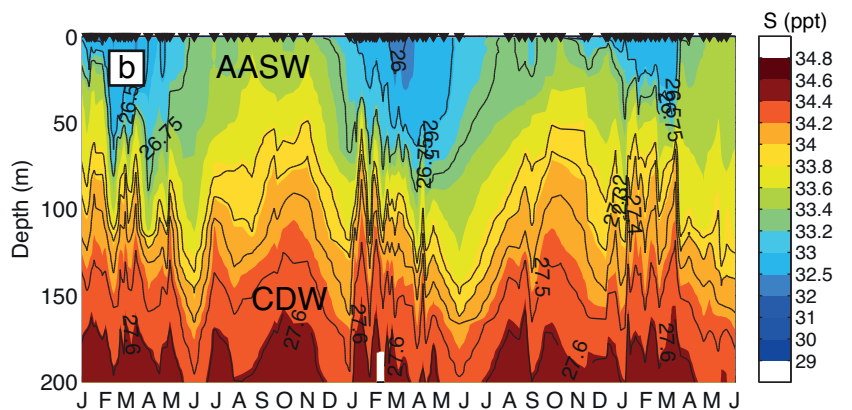
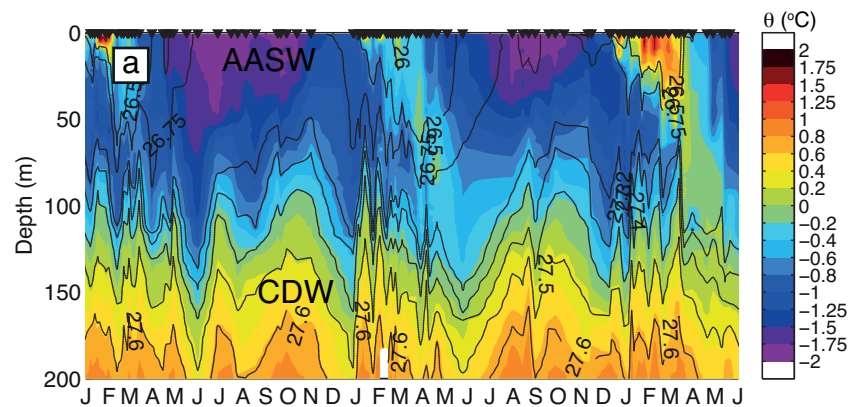
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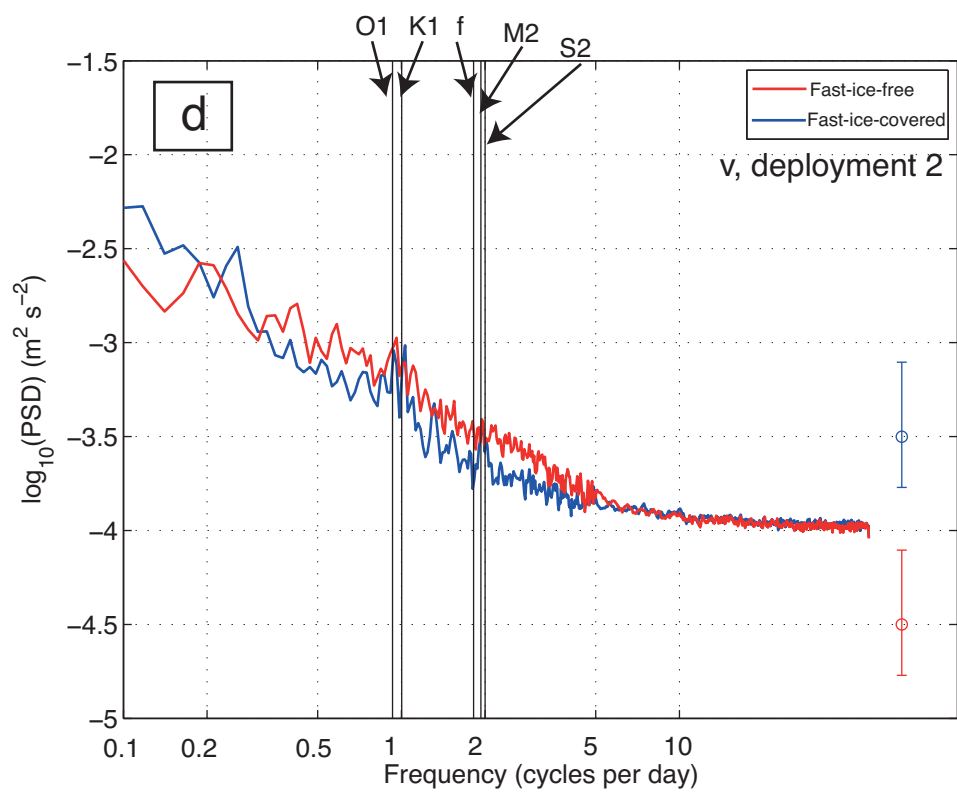
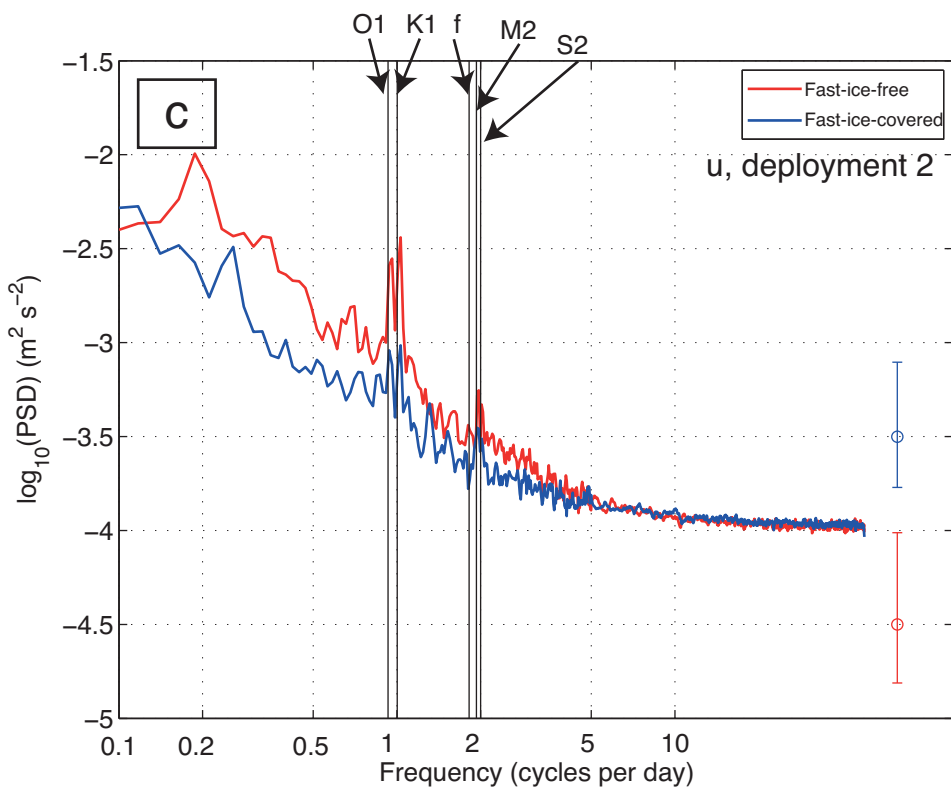
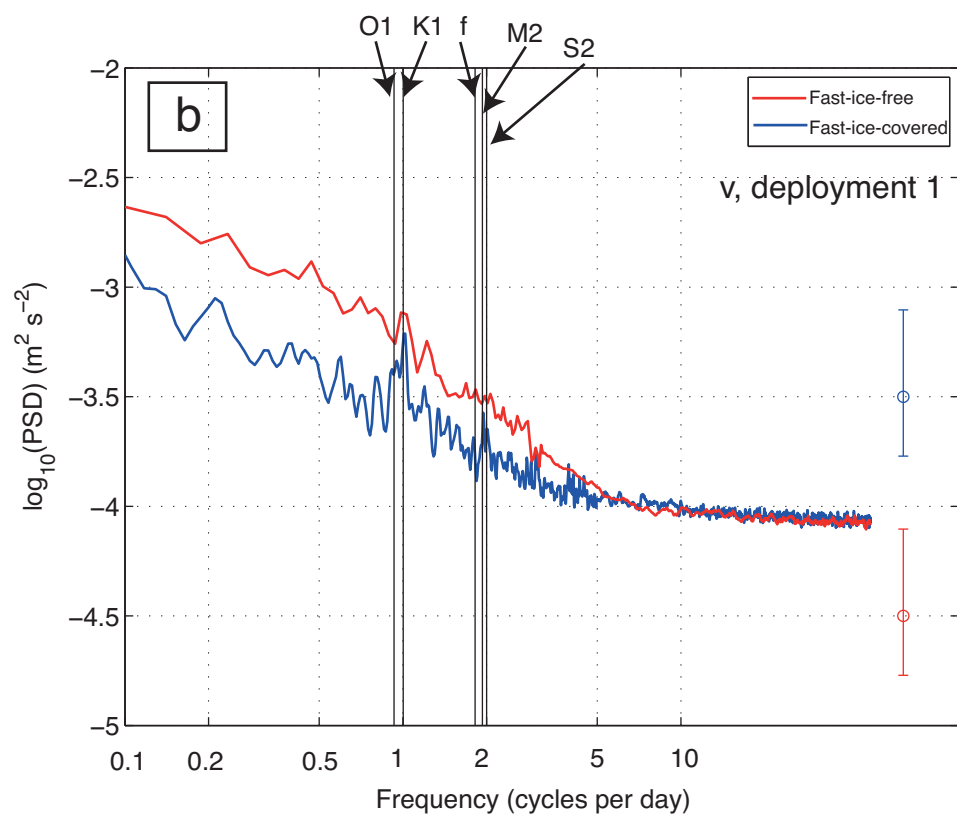
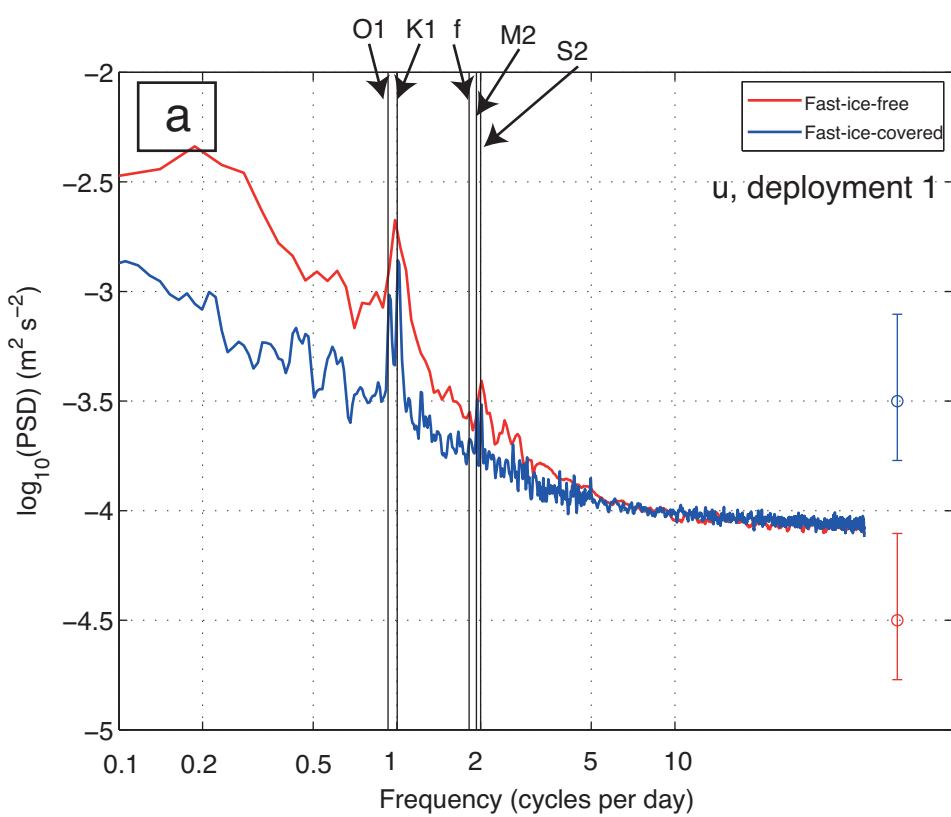
865 Table 1: Correlation coefficients (and  $p$  values) for the relationship between 10-day low pass  
 866 filtered wind stress and depth-averaged rotary coefficient (from the ADCP) in the frequency range  
 867  $1-1.7f$ . Statistically significant values (at 10%) are highlighted in bold. Effective degrees of freedom  
 868 for calculation of the  $p$  value were estimated from the first zero crossing of the autocorrelation  
 869 function.



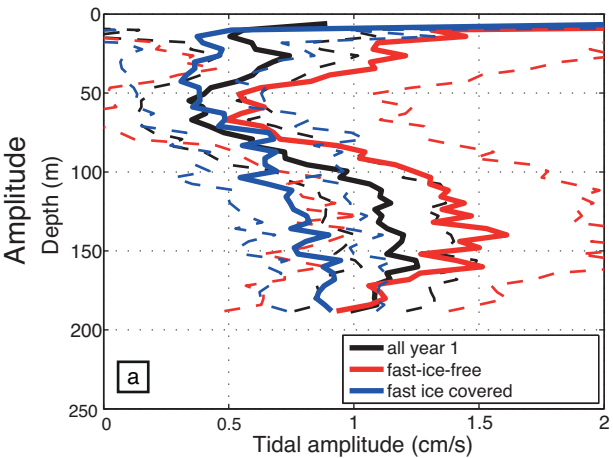




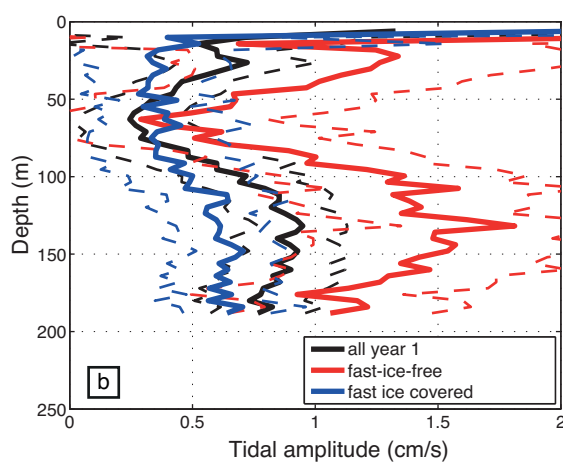




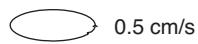
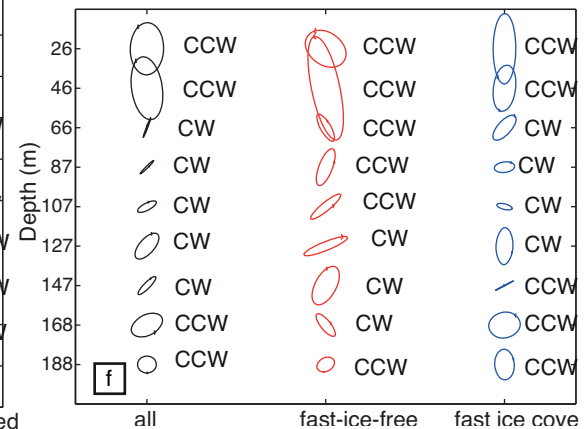
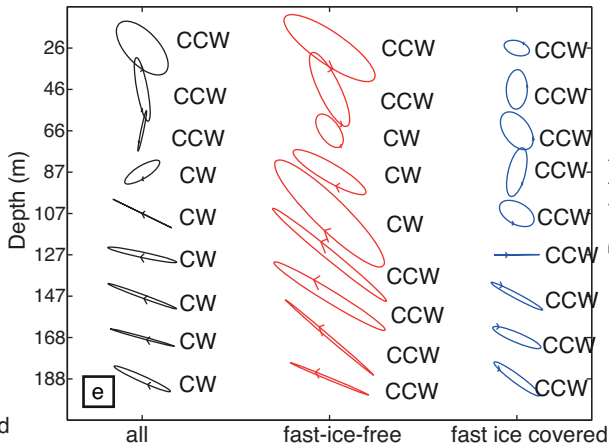
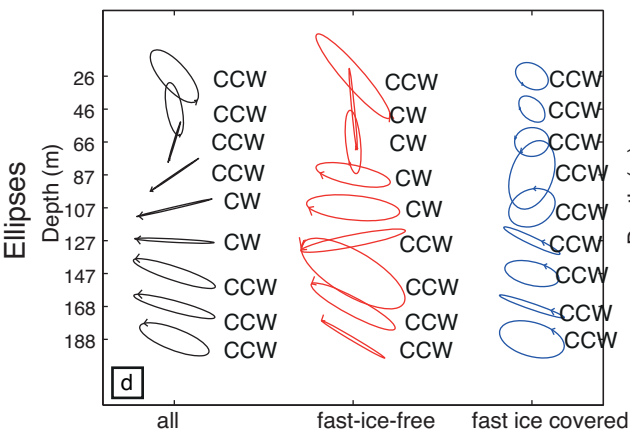
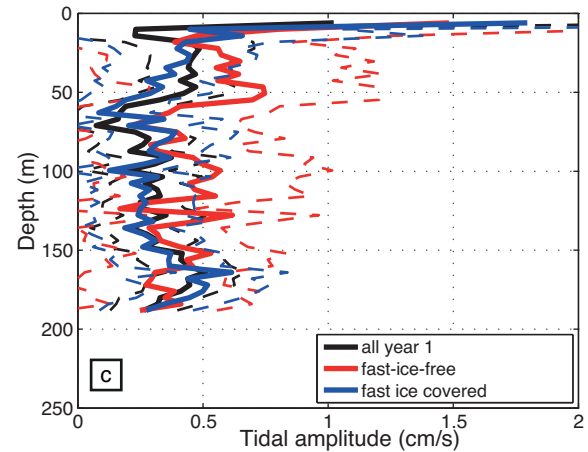
K1 tide



O1 tide

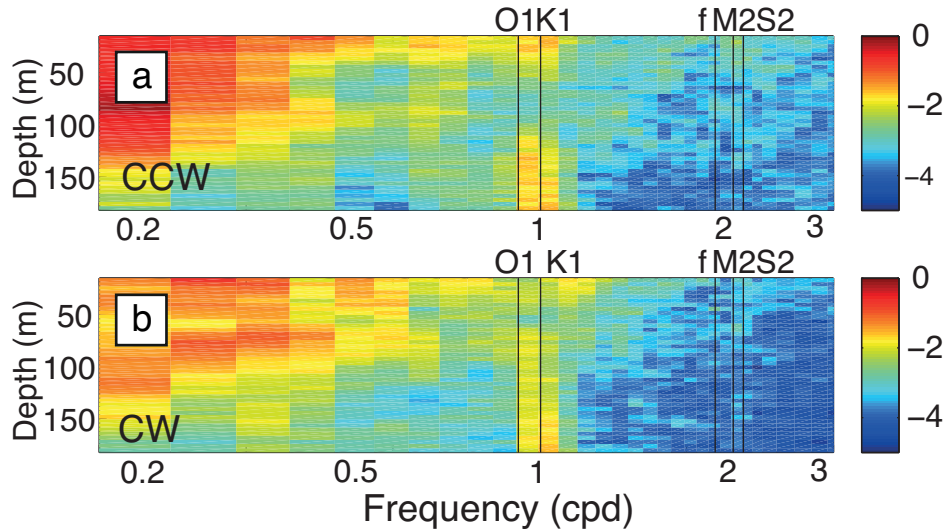


M2 tide





No fast ice



Fast ice covered

