

1     **Novel thermoresponsive assemblies of co-grafted natural and synthetic**  
2                                   **polymers for water purification**

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15

16 **ABSTRACT**

17 Water contamination is a global concern and its purification is essential to ensure a healthy life.  
18 The current approach to purify water is reduction of impurities to acceptable levels. One of the  
19 ways in which this can be achieved is by use of water soluble synthetic polymers that are able to  
20 extract organic contaminants, while polymers that are biodegradable can be used to extract toxic  
21 metals from water. In this paper we present a blend of composite polymers that are able to  
22 extract both these types of contaminants (organic and metallic) simultaneously by the principle of  
23 adsorption at LCST. These composite polymers have been synthesized by grafting polymers such  
24 as poly(N,N-diethylacrylamide), poly(N-isopropylacrylamide) and poly(N-vinylcaprolactum) on  
25 to the natural polymer chitosan or its derivatives giving smart graft polymeric assemblies (GPA).  
26 One such graft polymer, GPA-2 exhibits excellent adsorption properties and is able to remove  
27 metal ions such as cadmium, cobalt, copper, lead, iron as well as organic impurities like  
28 chlorophenol and phthalic anhydride. Studies reveal that 6 mg/ml of the polymer GPA-2 is able to  
29 effect a 100% removal of the two organic impurities - chlorophenol (50 ppm) and phthalic  
30 anhydride (70 ppm) from water, while complete removal of the three heavy metal ions ( $\text{Cu}^{+2}$ ,  
31  $\text{Co}^{+2}$  and  $\text{Cd}^{+2}$ ) together at 30 ppm concentration has been achieved with 7.5 mg/ml conc. of  
32 GPA-2. The reduction in level of impurities along with recyclability and reproducibility in the  
33 elimination spectrum makes these assemblies promising materials in water treatment

34

35 **KEYWORDS:** Graft polymers, gel permeation chromatography (GPC), lower critical solution  
36 temperature (LCST), thermoresponsive assemblies, water treatment.

37

## 38 INTRODUCTION

39 Thermoresponsive polymers exhibit a number of interesting and atypical properties. These  
40 polymers change their structure and properties in response to external chemical and/or physical  
41 stimuli and are referred to as “intelligent” or “smart” materials. At the macroscopic level these  
42 changes manifest as a precipitate from the solution (Galaev *et al.* 1999). As this particular  
43 behaviour occurs in aqueous solutions, these polymers have attracted the attention of the  
44 biotechnology, medical and pharmaceutical industries (Aguilar *et al.* 2007). Thermoresponsive  
45 polymers display a critical solution temperature in water in which the phase of the polymer  
46 changes according to its composition. The lower critical solution temperature (LCST) describes  
47 the temperature at which a polymer solution changes from a monophasic to a biphasic state.  
48 Below LCST the polymer is soluble due to hydrogen bonding with water, whereas above the  
49 LCST (cloud point) hydrophobic interactions between the polymer molecules cause the polymer  
50 to precipitate out (Aguilar *et al.* 2007).

51 The group of polymers that exhibit this behaviour (LCST) is the poly(N-substituted acrylamide)  
52 family. Poly(N-isopropylacrylamide) (PNIPAM) has been the most explored temperature  
53 sensitive polymer. It shows an LCST close to body temperature (32°C). The related polymer  
54 such as poly(N,N-diethylacrylamide) (PNDEAA) possesses an LCST in the range 26-35°C while  
55 poly(dimethylaminoethylmethacrylate) (PDMAEMA) has an LCST close to 50°C (Qui and Park  
56 2001). Another polymer demonstrating temperature sensitive behaviour is poly(N-  
57 vinylcaprolactum), (PNVCL, LCST 32-34°C). It is a nontoxic, water-soluble, thermoresponsive  
58 polymer that belongs to the class of poly(N-vinylamide) group polymers. Thermoresponsive  
59 polymers with LCST close to body temperature have been used to make hydrogels (Tsao *et al.*  
60 2010), interpenetrating networks (IPN) (Zhang *et al.* 2004), micelles (Cheng *et al.* 2009) and  
61 polymerosomes (Lee *et al.* 2010) for drug delivery. These polymers have also been used in liquid  
62 chromatography (Tan *et al.* 2012), gene delivery (Li *et al.* 2003) and tissue engineering (Stile and  
63 Healy 2001). A promising application of thermoresponsive polymers is the removal of organic  
64 pollutants from waste water (Saitoh *et al.* 1997). However, the practical application of such  
65 thermoresponsive polymers is limited due to their non-biodegradability. Grafting these synthetic  
66 polymers onto natural polymers can expand the scope and application of these polymers. By  
67 grafting synthetic polymers onto natural polymer backbones, the final grafts gain new properties  
68 that are a cumulative of the individual parent polymers (Ruel-Gariapy *et al.* 2004). Grafting  
69 offers a versatile means to yield polymers with new surface functionalities, without affecting the  
70 bulk properties (Bhattacharaya *et al.* 2004). Apart from the various advantages of grafting, new  
71 attributes like ‘bio-degradability’ can be imbibed into the new structure. This may solve some of

72 the problems of environmental pollution caused by polymers that resist bio-degradation. Thus,  
73 grafting non-biodegradable polymers with natural polymers can extend the scope and applications  
74 of these novel assemblies.

75 The natural polymer chitosan is of immense interest due to the various functional groups it  
76 possesses. These groups can be modified to alter some physical properties particularly increase  
77 in water solubility. The functional groups also provide various sites where other polymers can be  
78 grafted by simple coupling reactions. Chitosan has a unique ability to adsorb metal ions, dyes,  
79 phenols, substituted phenols, different anions and miscellaneous pollutants such as pesticides and  
80 fungicides from water (Bhatnagar and Sillanpaa 2009), beside it also has antimicrobial property  
81 (Qin *et al.*2006). There are reports of its use to adsorb dyes such as methyl orange (Saha *et al.*  
82 2010). Chitosan grafted with thermoresponsive polymer has already been reported for  
83 application in drug delivery (Zhang *et al.* 2006) and for cultivation of chondrocytes and meniscus  
84 cells (Chen and Cheng 2006). However there is a lot of unexplored potential for the application  
85 of these graft polymers in water purification.

86 The major drive of the current study is to design novel copolymers by hybridization of  
87 thermoresponsive synthetic polymers with the natural polymer chitosan via graft polymerization.  
88 These thermoresponsive graft assemblies have a unique and exclusive property of adsorption of  
89 organic and inorganic impurities both simultaneously that begins at the LCST which is around  
90 room temperature. This innovative synergistic attribute of the two polymers coupled with their  
91 reproducibility and elimination spectrum makes them likely candidates as substitutes for the  
92 conventional techniques used for water purification.

## 93 **MATERIALS AND METHODS**

### 94 ***Materials***

95 The natural polymer chitosan was obtained from the Central Institute of Fishing Technology,  
96 India; the monomers N-isopropylacrylamide (NIPAAM) from SLN Pharmachem, India; N,N'-  
97 diethylacrylamide (NDEAA) and N-vinylcaprolactum (NVCL) from TCI Chemicals, Japan.  
98 Azobisisobutyronitrile (AIBN), the free radical initiator was purchased from Spectrochem Pvt. Ltd.,  
99 India. Mercaptopropionic acid (MPA) used as the chain terminating agent as well as the linker  
100 group was procured from Sisco Research Laboratories, India. The coupling agent N,N'-  
101 dicyclohexylcarbodiimide (DCC) was from Spectrochem India Pvt. Ltd. N,N,N',N'-  
102 tetramethylethylenediamine (TEMED) from S. D. Fine chemicals, India was used as the reaction  
103 accelerator. The dialysis membrane with a molecular weight cut off 12,000 Da was obtained  
104 from Hi-media, India.

### 105 ***Methods***

106 ***Synthesis of thermoresponsive polymers*** (Fig. 1A)

107 Monomer of NDEAA/NIPAAM/NVCL, 2g was dissolved in 20 ml ethanol and 0.5 ml 3-  
108 mercaptopropionic acid (MPA) added to it. Then 0.05 g AIBN was added to the reaction  
109 mixture. The reaction mixture was heated at 70°C for 24 hours under nitrogen atmosphere with  
110 continuous stirring. After this period, the solvent was evaporated under vacuum using a rotary  
111 evaporator (Buchi, Switzerland). The modified thermoresponsive polymers (PNDEAA-  
112 MPA/PNIPAAM-MPA/PNVCL-MPA) were then isolated with diethyl ether (80 ml) and dried  
113 overnight in a vacuum desiccator.

114 ***Modification of natural polymer chitosan***

115 ***6-O-Carboxymethylatedchitosan (O-CMC)*** (Fig. 1B)

116 Chitosan, 2g was soaked in 30 ml NaOH solution (50% w/v) at -18°C for 48 hours. After two  
117 days it was thawed and 10 ml of isopropyl alcohol added to it. A solution of monochloroacetic  
118 acid (6.25 g) in 25 ml of isopropyl alcohol was added to the chitosan solution drop wise with  
119 continuous stirring. After complete addition, the mixture was stirred at 25°C for 8 hours using an  
120 overhead stirrer. The temperature of the reaction mixture was maintained at 25°C using a water  
121 bath. After 8 hours, 200 ml of distilled water was added and the mixture stirred rigorously; any  
122 undissolved matter was filtered off. The pH of the filtrate was then adjusted to 7.0 using  
123 hydrochloric acid when a clear solution was obtained. The product was then precipitated with  
124 absolute ethanol; it was filtered and dried under vacuum. In case of the carboxymethyl  
125 derivative, the temperature of the reaction was maintained at 25°C (Mourya *et al.* 2010), higher  
126 temperatures result in substitution at the amino group of chitosan.

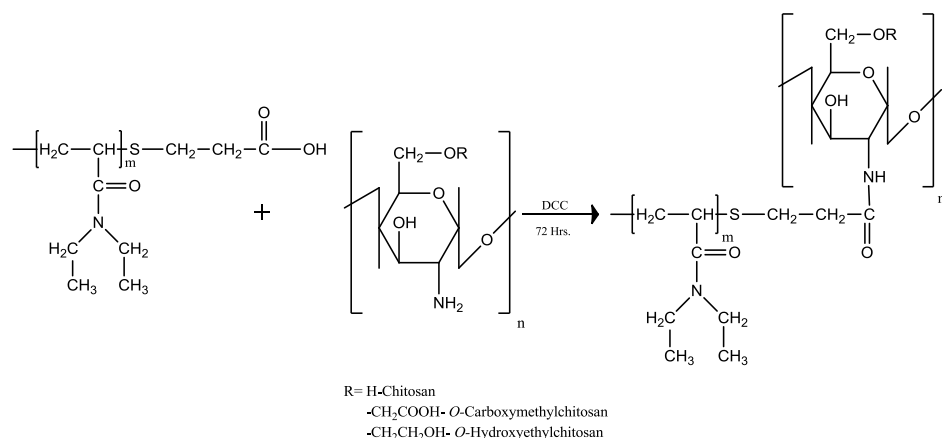
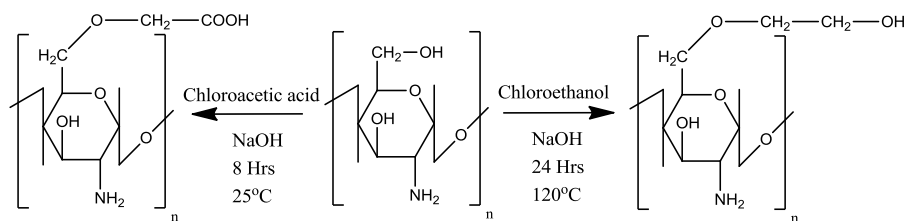
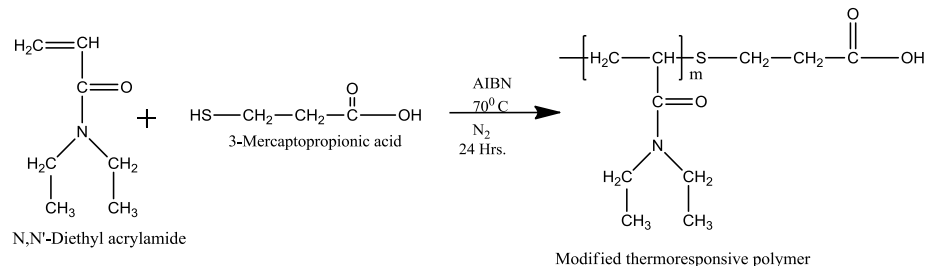
127 ***Hydroxyethylchitosan (HEC)*** (Fig. 1B)

128 Chitosan, 2g was soaked in 30 ml NaOH solution (50% w/v) at -18°C for 48 hours. After two  
129 days it was thawed, then 8 ml of isopropyl alcohol was added and mixed thoroughly. To this  
130 mixture, 16 ml of chloroethanol was added with continuous stirring. The reaction mixture was  
131 then heated to 120°C for 24 hours with continuous stirring. After 24 hours, 200 ml of distilled  
132 water was added and the mixture was stirred rigorously, any undissolved matter was filtered off.  
133 The pH of the filtrate was adjusted to 7.0 using hydrochloric acid when a clear solution was  
134 obtained. The derivative was then precipitated with absolute ethanol, which was filtered and  
135 dried under vacuum.

136 ***Synthesis of thermoresponsive grafts of chitosan and its derivatives (GP)*** (Fig. 1C):

137 All grafted polymers were prepared by coupling chitosan or its derivatives with PNDEAA-  
138 MPA/PNIPAAM-MPA/PNVCL-MPA using N,N'-dicyclohexylcarbodiimide (DCC) as the  
139 coupling reagent. For these reactions, PNDEAA-MPA/PNIPAAM-MPA/PNVCL-MPA (1 g)

140 was dissolved in cold distilled water (10 ml) and DCC (0.2 g) was added to the solution to  
141 activate the –COOH groups. Chitosan (0.05 g) was dissolved in 2.5 M acetic acid (10 ml) while  
142 O-CMC (0.05 g) and HEC (0.05 g) were dissolved in 10 ml distilled water with stirring and these  
143 were added drop wise respectively to the PNDEAA-MPA/PNIPAAM-MPA/PNVCL-MPA  
144 polymeric solutions activated by DCC. The reaction mixture was then stirred at 22-25°C for a  
145 period of 72 hours, after which the solution was filtered and dialysed for four days in a membrane  
146 with a molecular weight cut off 12,000 Da. Subsequently, the solutions were lyophilized to  
147 obtain the graft polymers as free flowing powders.  
148 Maximum yield was obtained when chitosan or its derivatives were reacted with modified  
149 thermoresponsive polymers in the ratio of 1:20.



150

151 **Fig. 1.** (A) Synthesis of PNDEAA-COOH, (B) Modification of Chitosan and (C) Graft reaction

152 **FT-IR analysis**

153 Potassium bromide (KBr) discs with the graft assemblies were prepared using an electrically  
 154 operated KBr press (model HP-15). IR spectra were recorded on a Jasco 5300 Fourier transform  
 155 spectrophotometer with a resolution of 4 cm<sup>-1</sup>.

156 **<sup>1</sup>H-NMR characterization**

157 NMR spectra of the polymer GPA-2 was recorded on a Brüker 800 MHz NMR spectrometer.  
 158 The samples were dissolved in 0.9ml of H<sub>2</sub>O and 0.1ml of D<sub>2</sub>O. To simplify the spectrum the  
 159 NMR was also recorded in 100% D<sub>2</sub>O.

160 ***Molecular weight determination***

161 ***Gel permeation chromatography (GPC)***

162 GPC was used to estimate the average molecular weight of the polymers using a Varian ProStar  
163 HPLC instrument. All analyses were performed with a PL Gel 5  $\mu\text{m}$  column. A special system  
164 was created for this analysis with a pressure of 4000 psi, injection volume of 20  $\mu\text{l}$  and a flow rate  
165 of 1 ml/min. Each analysis was run for 35 minutes. The samples were injected into the column  
166 using a straight edged syringe and each sample was analysed thrice. A Varian ProStar ultraviolet-  
167 photodiode array (UV-PDA) detector was used for the detection of the polymer at 245nm.

168 A calibration with polystyrene standards was performed using the same method and mobile  
169 phase.

170 A calibration plot of  $t_R$  along the X-axis versus  $\log M$  on the Y-axis was drawn for the  
171 polystyrene standards. The slope and intercept were calculated from the graph.

172 From the slope and intercept of the calibration curve, the number average ( $M_n$ ), weight average  
173 molecular weight ( $M_w$ ) and polydispersity (PD) were calculated using the following equations

$$\bar{M}_n = \frac{\sum N_i M_i}{\sum N_i}$$
$$\bar{M}_w = \frac{\sum N_i M_i^2}{\sum N_i M_i}$$
$$PD = \frac{M_w}{M_n}$$

174 Where  $N_i$  is the number of moles with molecular weight  $M_i$ ;  $N_i$  and  $M_i$  being determined from the  
175 following equations

176  $\log M_i = \text{slope} \times t_R + \text{intercept}$  with slope = -0.38 and intercept = 9.92 this gives

177  $\log M_i = -0.38 \times t_R + 9.92$

178  $N_i = \text{absorbance} - \text{base line}$

179 ***Determination of specific surface area and pore distribution***

180 Brunauer-Emmett-Teller (BET) Surface Area Analysis and Barrett-Joyner-Halenda (BJH) pore  
181 size and volume analysis were performed on a Metrohm India Belsorp mini II instrument. The  
182 adsorption and desorption of nitrogen onto the polymer was studied. The measuring range of the  
183 instrument for surface area was  $0.01 \text{ m}^2\text{g}^{-1}$  and pore size 0.35-200 nm. A fixed weight of the  
184 sample was loaded into a glass tube and it was degassed for a period of 3 hours at  $110^\circ\text{C}$  at a  
185 pressure of  $10^{-2}$  kPa. The sample was weighed again to give the true weight of the sample. The  
186 sample was then loaded into the instrument and the analysis carried out.

187 It must be emphasised here that the surface area measured in the solid state does not truly  
188 reflect the adsorption potential of these polymers. Since the adsorption of impurities occurs at the



189 LCST of the polymers when they are present as a suspension in solution and in this state have a  
190 far greater surface area than when present in the solid state.

### 191 ***Evaluation of adsorption potential***

192 Common impurities in effluents from industries include organic compounds like chlorophenols,  
193 benzopyrenes, polyaromatic hydrocarbons (PAHs), alkylphenols, phthalate esters etc. 2-  
194 Chlorophenol and phthalic anhydride were selected for studying the ability of these co-polymers  
195 to adsorb organic impurities from water. Adsorption of the impurities was evaluated by UV-  
196 visible spectroscopy.

197 Two different concentrations of chlorophenol with absorbance in the linear range of Beer-  
198 Lambert law (30 ppm and 50 ppm) were selected and these solutions were treated with the graft  
199 polymer assemblies. Each polymer about 10 mg was dissolved in each of the selected  
200 concentration of chlorophenol and the solutions heated above the LCST of the polymer for 30  
201 minutes. The solutions were then filtered to remove the precipitated polymer and the absorbance  
202 of the final solution was then measured by UV at 273 nm.

203 Similarly, 40 ppm and 70 ppm solutions of phthalic anhydride were treated with 10 mg of the  
204 graft polymer assemblies and the UV absorbance measured at 284 nm.

205 It was also of interest to test if the polymers have any preferential adsorption of one impurity in  
206 presence of other impurities. To gauge the adsorption potential for impurities present  
207 simultaneously, an HPLC method was adopted using an Agilent zorbax column and a Jasco PU-  
208 2080 binary pump system to determine the amount of impurities extracted by the polymers. The  
209 mobile phase used for analysis was methanol:water (45:55), pH 3.3 adjusted with 0.05%  
210 phosphoric acid and the wavelength used for detection was 256 nm.

### 211 ***For inorganic impurities***

212 *Evaluation of potential for adsorption of iron (Seeling et al. 2003)*

213 A UV-Visible spectrophotometric method was developed for the quantitative determination of  
214 iron in water. Iron is a concern as several Pharmacopoeias define limits for iron in water used for  
215 pharmaceutical preparations. A solution of ferric ammonium sulphate (weight equivalent to 100  
216 mg of iron) was used as the standard iron solution. To determine the amount of iron in a sample,  
217 citric acid and thioglycolic acid were added to the solution, this was followed by alkalinisation to  
218 around pH 8 with concentrated ammonia solution when a pink colour is obtained. The intensity  
219 of the colour which corresponds to the amount of iron in the solution can be determined by  
220 measuring the intensity at  $\lambda_{\max}$  535 nm. The principle of the assay is based on the conversion of  
221 iron from ferric to ferrous state by thioglycolic acid, which subsequently complexes to give a  
222 ferrous thioglycolate complex that is pink in colour in the presence of ammonia. Since, iron

223 precipitates in the presence of ammonia, citric acid is added which forms ammonium citrate that  
224 maintains iron in a soluble and free state.

225 Two concentrations of 8 ppm and 12 ppm of iron were selected since these values fall in the  
226 range that obeys Beer-Lambert law. These solutions were treated with the graft polymer  
227 assemblies (10 mg) and then heated above the LCST of the polymer for 30 minutes. The  
228 precipitate formed was filtered off and the filtrate was treated with thioglycolic acid in presence  
229 of citric acid and ammonia, where the intensity of the pink colour was read at 535 nm.

230 *Evaluation of potential for adsorption of lead* (Jamaluddin *et al.* 2006)

231 Quantitative determination of lead in water was assessed by a UV-visible spectrophotometric  
232 method. The importance of lead removal from water is very well recognized not only by the  
233 pharmacopoeias but also by various authorities supplying potable water across the globe. For the  
234 assay, lead nitrate (corresponding to 10 mg of lead) was taken to prepare the standard lead  
235 solution. Lead was determined in the solution by complexing it with dithezone in presence of  
236 acetate buffer (pH 5). The colour produced was measured at  $\lambda_{\max}$  496 nm and the absorbance  
237 corresponds to the amount of lead present in the solution.

238 Two concentrations 6 ppm and 10 ppm were selected and complexed with the dye solution which  
239 was followed by recording the absorbance of the sample. Simultaneously, the solutions were also  
240 treated with 10 mg of the graft polymer assemblies and the solutions heated above the LCST for  
241 30 minutes, the solutions were then filtered off to remove the precipitated polymer. The filtered  
242 solutions obtained were complexed with dithezone to determine the content of lead.

243 *Inductively coupled Plasma-Atomic Emission Spectrometry (ICP-AES) for analysis of water*  
244 *samples treated by graft polymers.*

245 The three ions - cadmium (Cd), cobalt (Co) and copper (Cu) were analyzed with a Jobin Yvon  
246 ICP-AES instrument.

247 Solutions of 20 and 30 ppm of cadmium (Cd) or cobalt (Co) or copper (Cu) were prepared in 5 ml  
248 of water. Graft polymer assemblies of 30 mg were dissolved in each of the solutions containing  
249 the metal ions. The sample in a sealed vial was heated at 40-45°C for 30 minutes in a water bath.  
250 After heating, the precipitated polymer was filtered using a 0.45  $\mu\text{m}$  syringe filter to remove  
251 impurities adsorbed on it. The filtered solution was analysed, without any further treatment with  
252 an ICP-AES spectrometer. The instrument was calibrated with standard solutions of Cd, Co and  
253 Cu of 50 ppm concentration.

#### 254 **Reusability and recycling ability of the grafted polymers**

255 The reusability and recycling ability was measured by finding the number of times a fixed  
256 amount of the graft polymer could be used to bring down the level of an impurity each time from

257 a fresh solution of the impurity to 50% of its initial value. This was measured as follows: a fixed  
258 amount of polymer (30 mg) was selected and treated with a known concentration of chlorophenol  
259 as impurity (30 ppm) over a range of temperatures and varied time intervals. On recovering the  
260 polymer after the first treatment, the percent decrease in the concentration of the impurity was  
261 determined by UV and the filtered polymer was subjected to a second cycle of usage by again  
262 dissolving it in a fresh impurity solution (30 ppm). The solution was heated for various time and  
263 temperature intervals and filtered thereafter followed by determination of the absorbance. This  
264 cycle was repeated 5 times till there was 50% decrease of the absorbance from its initial value.

## 265 **RESULTS AND DISCUSSIONS**

### 266 *FT-IR analysis*

267 FT-IR was used to confirm both the progress of the reactions and the structures of the desired  
268 products. Comparison of the FT-IR of the monomer NIPAAM the starting material, and the  
269 polymer PNIPAAM, shows an additional peak at  $1711\text{ cm}^{-1}$ , corresponding to the carboxylic  
270 group of 3-mercaptopropionic acid in PNIPAAM. Likewise in PNDEAA and PNVCL, the  
271 carboxylic group appears at  $1718\text{ cm}^{-1}$  and  $1719\text{ cm}^{-1}$  respectively.

272 Comparison of the FT-IR spectra of chitosan and its carboxymethyl derivative reveals a sharp  
273 peak at  $1725\text{ cm}^{-1}$  which confirms the presence of the carboxylic acid group in the carboxymethyl  
274 derivative. Similarly, a well resolved peak for the hydroxyl group ( $3284\text{ cm}^{-1}$ ) is observed in  
275 HEC which is distinct from the -OH groups ( $3446\text{ cm}^{-1}$ ) in chitosan.

276 The formation of the amide bond in the graft copolymers is confirmed by the peaks in the range  
277  $1635\text{-}1650\text{ cm}^{-1}$  and also by the disappearance of the acid peak as seen in Fig. 2A for PNIPAAM  
278 graft carboxymethylchitosan (GPA-2).

### 279 *Determination of the Lower Critical Solution Temperature (LCST) and the effect of* 280 *temperature and pH on the grafted polymer*

281 LCST was initially determined by the cloud point method, which involved visual examination  
282 and was done by linearly increasing the temperature of a 2.5% solution of grafted polymer from  
283  $20^{\circ}\text{C}$  to  $40^{\circ}\text{C}$ . All the graft polymer assemblies show excellent solubility in water at lower  
284 temperatures. When the temperature is increased the solutions eventually turn turbid. The  
285 temperature at which the polymer solution just turns turbid is noted as the cloud point and this  
286 temperature is expressed as the LCST. The LCST values so obtained were confirmed using a  
287 Mettler (Toledo) DSC 822 apparatus. Fig. 2B gives the thermogram of PNIPAAM grafted on to  
288 carboxymethylchitosan and Table 1 summarises all the DSC events for the various graft  
289 polymers. It was observed that there is a shift of the thermogram towards higher temperature for  
290 the graft polymers (GPA-1 to GPA-8) compared with the individual thermoresponsive polymer.

291 The shift signifies an increase in the LCST value that can be attributed to an increase in  
 292 hydrophilic properties of the resultant graft polymers.

293 The LCST values observed are independent of the pH of the medium. This was confirmed by  
 294 observing the same cloud point at LCST for media at three different pH (4, 7 and 10).

295 **Table 1. DSC events for the graft polymers**

Thermogram		Graft assemblies					
Event		PNDEAA	PNDEAA	PNIPAAM	PNIPAAM	PNVCL	PNVCL
		-CMC	-HEC	-CMC	-HEC	-CMC	-HEC
Endotherm	Onset	31.74	35.23	41.69	43.38	33.56	31.02
	Peak	53.98	75.94	66.31	70.02	61.94	41.02
	End point	88.96	122.28	100.66	114.92	94.62	62.59
Exotherm	Onset	247.17	304.30	258.73	261.48	-	252.77
	Peak	278.02	317.76	282.73	329.59	-	326.87
	End point	323.22	335.68	317.16	355.13	-	381.88

296 (-) Missing values because the melting point of the polymer is beyond the range of temperature  
 297 studied

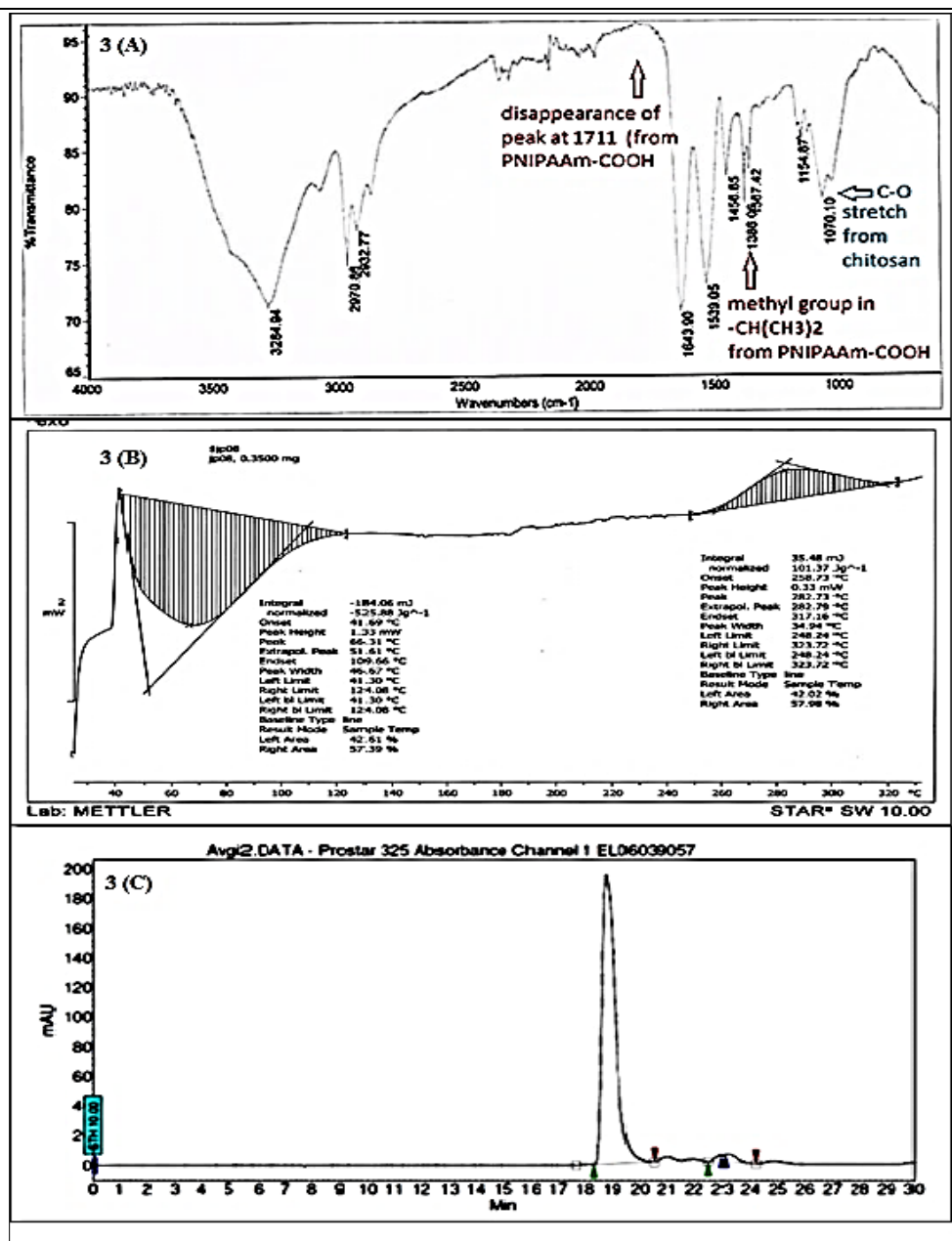
298 **Gel Permeation Chromatography (GPC)**

299 The gel permeation chromatograms for the thermoresponsive polymer PNIPAAM-COOH is  
 300 shown in Fig. 2C. The number average ( $M_n$ ), weight average molecular weight ( $M_w$ ) and  
 301 polydispersity (PD) of the modified thermoresponsive polymers are given in Table 2. The gel  
 302 permeation chromatograms of these polymers have not yet been reported in the literature.

303

304 **Table 2. GPC analysis data for thermoresponsive polymers**

Polymer	$\Sigma N_i$	$\Sigma N_i M_i$	$\Sigma N_i M_i^2$	$M_n$ (Da)	MW (Da)	PD
<b>PNIPAAM-</b>						
<b>COOH</b>	4534.668	4766834	$5.85 \times 10^9$	1073.030	1201.325	1.1196
<b>PNDEAA-</b>						
<b>COOH</b>	29548.300	37260644	$6.01 \times 10^{10}$	1261.008	1612.249	1.2785



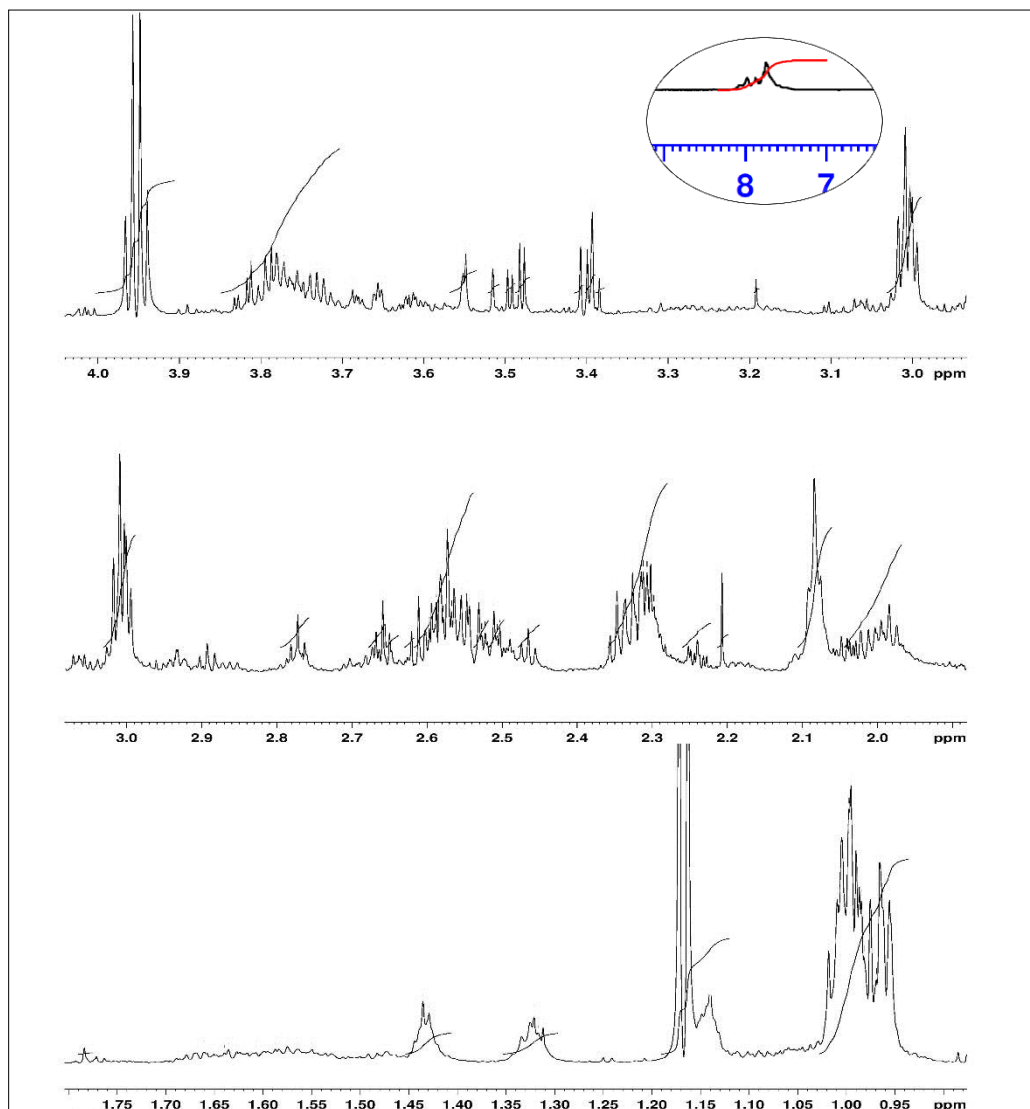
305

306 **Fig. 2** (A) FT-IR of PNIPAAm grafted on carboxymethylchitosan, (B) DSC thermogram for  
 307 PNIPAAm grafted on carboxymethylchitosan and (C) GPC analysis of PNIPAAm-COOH.

308 ***<sup>1</sup>H-NMR characterization***

309 The graft copolymer of N-isopropylacrylamide with carboxymethylchitosan (GPA-2) was further  
 310 characterized by <sup>1</sup>H-NMR spectroscopy (Fig. 3). The peak at δ 7.73 ppm is the NH resonance of  
 311 the amide group in the graft polymer. The peak at δ 3.00 ppm is the methine proton [-CH<sub>2</sub>-CH-  
 312 CO-NHCH(CH<sub>3</sub>)<sub>2</sub>] of N-isopropylacrylamide unit.. The peaks seen from δ 2.01 to 2.77 ppm are  
 313 the hydrogens of the glucosamine unit of chitosan. The resonances at δ 1.30 ppm and δ 1.45 ppm

314 are the methylene hydrogens of the linker group-mercaptopropionic acid. The distinct peak at  $\delta$   
315 1.17 ppm is the methylene  $[-\underline{\text{C}}\text{H}_2-\text{CH}-\text{CO}-\text{NHCH}(\text{CH}_3)_2]$  protons. The peaks from  $\delta$  0.95 to 1.01  
316 ppm are the methyl groups  $[-\text{CH}(\underline{\text{C}}\text{H}_3)_2]$  belonging to the isopropyl groups of the N-  
317 isopropylacrylamide moiety. Thus, the spectrum confirms the structure of GPA-2.



318

319

320 **Fig. 3**  $^1\text{H}$  NMR spectra of [GPA-2] recorded in  $\text{D}_2\text{O}$  (100%). Inset figure is the NMR spectrum  
321 recorded in  $\text{D}_2\text{O}:\text{H}_2\text{O}$  (90:10) showing the amide resonance

### 322 *Molecular weight of chitosan from rheology*

323 The intrinsic viscosity was determined for chitosan and the molecular weight was calculated  
324 using the Mark-Houwink equation, with values of 'K' and 'a' taken from literature (Kasaai 2007).  
325 The molecular weight is about 55.6 kDa. The molecular weight of chitosan recorded in the  
326 literature varies from 38 kDa to 2,500 kDa (Li *et al.* 2006). The chitosan used in this project has

327 a molecular weight which is classified in the literature as a low molecular weight chitosan. There  
 328 are distinct advantages in using low molecular weight chitosan, lower the molecular weight  
 329 higher is the solubility in water (Li *et al.* 2006). This is apposite for the intended application.

330 ***Molecular weight of final graft polymers*** (Chen and Cheng 2006)

331 The molecular weights of the final graft polymers were determined using the equation

$$GR = \frac{(W_G - W_C)/MW_{synthetic}}{W_C/MW_C}$$

$$MW_G = MW_C + MW_{synthetic} \cdot GR$$

332 GR- Grafting ratio

333  $W_G$ - final weight of grafted polymer

334  $W_C$  - weight of chitosan

335  $MW_{synthetic}$ - molecular weight of synthetic polymer

336  $MW_C$ - molecular weight of chitosan

337  $MW_G$  – molecular weight of graft polymer

338 Approximate molecular weights obtained for the graft polymers are given in Table 3. The graft  
 339 ratio (GR) is dependent on the amount of thermoresponsive polymer grafted onto the chitosan  
 340 backbone. It is nearly the same for all the graft polymers which can be attributed to the constant  
 341 molecular weight of chitosan and its derivatives and also the similar molecular weights of the  
 342 thermoresponsive polymers as seen by GPC. This suggests the grafting was analogous for all the  
 343 derivatives and the addition of the thermoresponsive polymer to the chitosan backbone was also  
 344 equivalent (Chen and Cheng 2006).

345 **Table 3. Approximate molecular weight for the graft polymers**

Synthetic polymer	Natural polymer	Graft polymeric assembly (GPA)	Molecular weight (Da)
N-Isopropylacrylamide	Chitosan	N-Isopropylacrylamidechitosan (GPA-1)	661,586
N-Isopropylacrylamide	Carboxymethylchitosan	N-Isopropylacrylamide- carboxymethylchitosan (GPA-2)	681,758
N-Isopropylacrylamide	Hydroxyethylchitosan	N-Isopropylacrylamide- hydroxyethylchitosan (GPA-3)	679,656

N,N-Diethylacrylamide	Chitosan	N,N-Diethylacrylamidechitosan <b>(GPA-4)</b>	651,646
N,N-Diethylacrylamide	Carboxymethylchitosan	N,N-Diethylacrylamide- carboxymethylchitosan <b>(GPA-5)</b>	675,564
N,N-Diethylacrylamide	Hydroxyethylchitosan	N,N-Diethylacrylamide- hydroxyethylchitosan <b>(GPA-6)</b>	669,658
N-Vinylcaprolactum	Carboxymethylchitosan	N-Vinylcaprolactum- carboxymethylchitosan <b>(GPA-7)</b>	700,112
N-Vinylcaprolactum	Hydroxyethylchitosan	N-Vinylcaprolactum- hydroxyethylchitosan <b>(GPA-8)</b>	690,452

346

347 ***Surface area and porosity***

348 The surface area and porosity of the graft polymers were calculated from the adsorption isotherms  
 349 obtained by measuring the amount of gas adsorbed across a wide range of relative pressures at a  
 350 constant temperature (liquid nitrogen 77K). Conversely desorption isotherms are obtained by  
 351 measuring the gas removed as the pressure is reduced. Then from appropriate equations, the  
 352 surface area and porosity of the polymers are calculated.

353 The BET specific surface area for GPA-2 is found to be  $0.352 \text{ m}^2\text{g}^{-1}$ . From the BJH plot, the pore  
 354 specific surface area is  $0.366 \text{ m}^2\text{g}^{-1}$ . The polymer GPA-2 has a small surface area compared to  
 355 the conventional adsorbents though it exhibits effective adsorbent properties; this is due to  
 356 efficient chemisorption and high specificity at the given critical solution temperature. At the  
 357 LCST a change in the structural scaffold occurs, where a reversal of the positions of the  
 358 hydrophilic groups and hydrophobic groups on the surface of the polymers (adsorbate) makes  
 359 more groups available increasing adsorbing surface accessible to the adsorbent, thus the  
 360 adsorption power and selectivity. The BJH plot indicates a pore volume of  $0.001 \text{ cm}^3\text{g}^{-1}$  and pore  
 361 radius of 1.2 nm. The pore width for the graft assemblies are in the range of 0.3 to 3.0 nm which  
 362 is seen for many adsorbent materials such as zeolites, activated carbon fibers and carbon  
 363 nanotubes (Dabrowski 2001). The graft polymers with a pore width of 2.4 nm suggests the area  
 364 available for adsorption is the same as for standard adsorbents. To the best of our knowledge this  
 365 is the first report of BET analysis of a graft polymer.



366 ***Zeta potential measurements***

367 Zeta potential was used to measure the charge on chitosan, its derivatives and the graft polymers.  
368 The magnitude of the charge depends on the number of free amino and free carboxyl groups in  
369 the molecule. As the amino groups in chitosan or its derivatives are coupled with the carboxyl  
370 groups of PNDEAA-MPA/ PNIPAAM-MPA/ PNVCL-MPA, the formation of the amide bond  
371 reduces the number of free amino groups, thus decreasing the zeta potential. Therefore, the zeta  
372 potential value for chitosan which is +44.2 mV decreases to +39.8 mV in carboxymethylchitosan,  
373 due to “neutralization” of some of the positive charges on the NH<sub>2</sub> group by the negatively  
374 charged carboxyl groups. A decrease in the zeta potential (Table 4) is also observed for the graft  
375 polymers. In case of the graft polymers, the number of positively charged amino groups  
376 decreases due to the formation of the amide bond between the amino groups in chitosan (or its  
377 derivatives) and the COOH groups of PNDEAA/PNIPAAM/PNVCL. Thus lowering of the zeta  
378 potential occurs as a result of the grafting reaction.

379 **Table 4. Zeta potential of individual and graft polymers**

<b>Sr. no.</b>	<b>Polymer</b>	<b>Zeta Potential (mV)</b>
1	Chitosan	44.2
2	Carboxymethylchitosan	39.8
3	Hydroxyethylchitosan	49.7
4	Poly(N-isopropylacrylamide)	11.9
5	Poly(N-isopropylacrylamide) grafted on carboxymethylchitosan	32.8
6	Poly(N-Isopropylacrylamide) grafted on hydroxyethylchitosan	25.1
7	Poly(N,N-diethylacrylamide)	11.1
8	Poly(N,N-diethylacrylamide) grafted on carboxymethylchitosan	35.8
9	Poly(N,N-diethylacrylamide) grafted on hydroxyethylchitosan	40.0
10	Poly(N-vinylcaprolactum)	11.5

11	Poly(N-vinylcaprolactum) grafted on carboxymethylchitosan	34.0
12	Poly(N-vinylcaprolactum) grafted on hydroxyethylchitosan	31.8

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380

381 ***Water treatment and analysis***

382 As discussed earlier thermoresponsive polymers have been shown to be efficient in removal of  
383 organic compounds from water. On the other hand the natural polymer chitosan has the capacity  
384 to remove inorganic ions and various dyes from water. Hence, by grafting a natural polymer to a  
385 thermoresponsive polymer with an LCST at room temperature, we envisioned that such grafts  
386 would have the dual ability to adsorb and extract both organic as well as inorganic impurities  
387 from water by virtue of their balance of hydrophilic and hydrophobic groups. Their LCST value  
388 which is near room temperatures makes them convenient to use without any other elaborate  
389 settings. At or above LCST, a clear decrease in the concentration of impurities is observed after  
390 treatment with all the graft assemblies. However GPA-2 exhibits the highest adsorption properties  
391 and is able to extract impurities from water.

392 ***Removal of organic impurities***

393 The composite polymer GPA-2 is a hybridized macromolecule of a hydrophilic component  
394 (chitosan) and a hydrophobic organic component (poly-N-isopropylacrylamide). The presence of  
395 both hydrophilic and hydrophobic groups in the graft assemblies enables them to adsorb both  
396 organic and inorganic substance, with higher affinity for the former. The uv absorbance spectra  
397 of solutions containing chlorophenol after treatment with the graft polymer GPA-2 is shown in  
398 Fig. 4A. A complete removal of chlorophenol (30 ppm) is achieved with 30 mg of GPA-2, while  
399 60 mg of GPA-2 could eliminate completely a 50 ppm concentration of chlorophenol.

400 Similarly, Fig. 4B shows removal of phthalic anhydride with 10 mg of GPA-2. Complete  
401 removal of phthalic anhydride from a 40 ppm solution is achieved with 25 mg of GPA-2 and the  
402 corresponding value for a 70 ppm solution is 55 mg of GPA-2.

403 Also, HPLC analysis revealed that there is no preferential adsorption of a particular impurity on  
404 the graft polymers and as seen in Fig. 4E the graft assemblies have the potential to extract more  
405 than one impurity equally well when present simultaneously in the solution.

406 ***Removal of inorganic impurities***

407 The adsorption of inorganic impurities of the composite polymer assembly GPA-2 is due to the  
408 unmasking of the hydrophilic chitosan moiety at LCST which naturally has a higher affinity for  
409 metal ions. This property of removal of various metal ions from solution has been studied with  
410 the aid of UV-visible spectroscopy and ICP-AES.

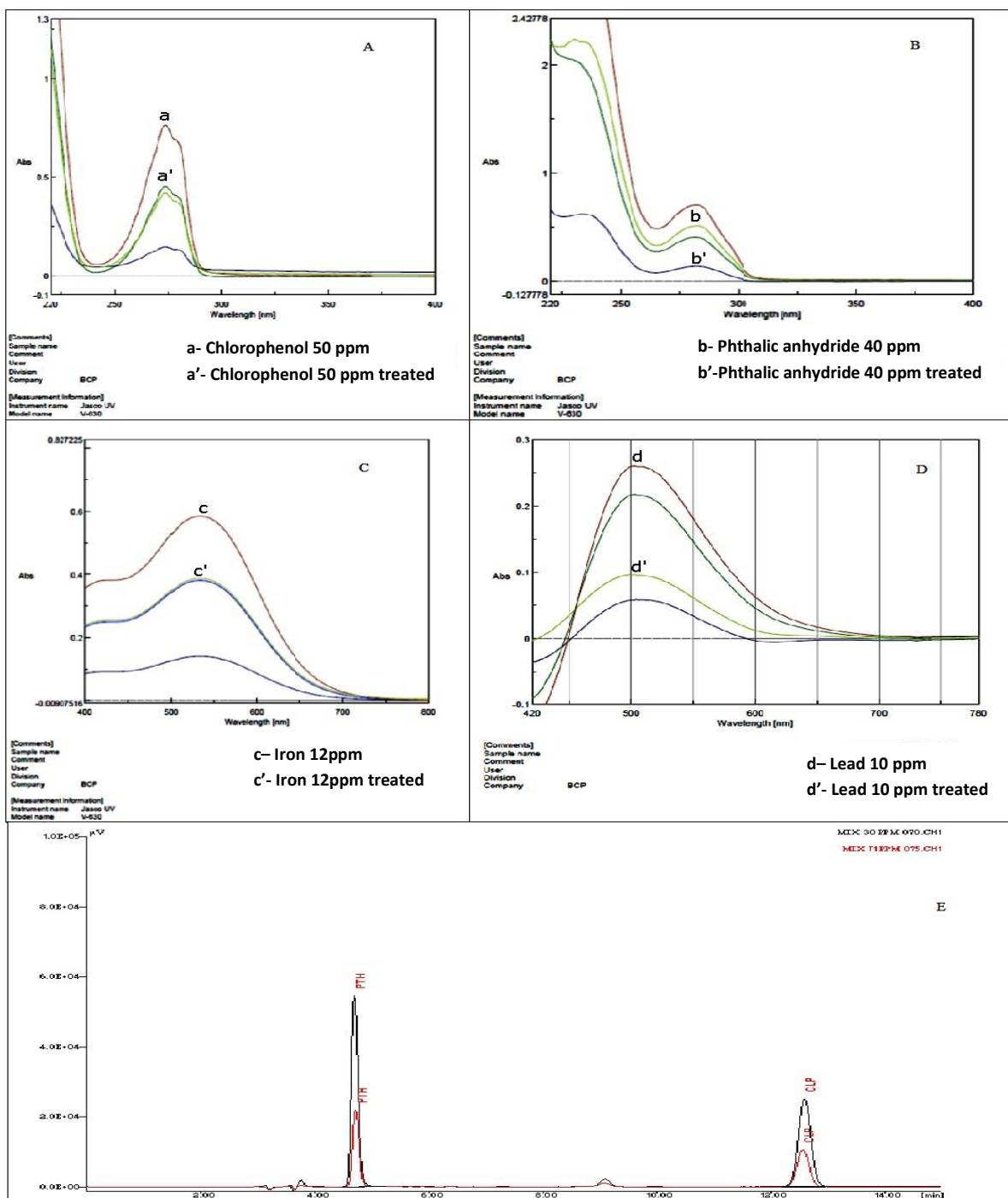
411 *UV-visible absorbance method for detection of iron*

412 The intensity of colour formed is dependent on the amount of iron present in the solution which  
413 was then measured by a UV-visible spectrophotometer. The absorbance value is directly  
414 proportional to the iron content. A decrease in the absorbance is observed for the iron solutions  
415 after treatment with 10 mg of GPA-2 as seen in Fig.4C.

416 For complete removal of iron in 8 ppm and 12 ppm solutions, 20 mg and 30 mg of GPA-2 was  
417 used respectively.

418 *UV-visible absorbance method for detection of lead*

419 Analogous to the determination of iron, the UV-visible spectrophotometric method for the  
420 measurement of lead in the solution reveals a decrease in the absorbance value after treatment  
421 with 10 mg of the GPA-2 as shown in Fig. 4D. For complete removal of lead in 6 ppm and 10  
422 ppm solutions, 15 mg and 25mg of GPA-2 was used respectively.



423  
 424 **Fig. 4.** Removal of impurities by the graft polymer [GPA-2]. (A) Absorbance overlay spectra for  
 425 chlorophenol, (B) Absorbance overlay spectra for phthalic anhydride, (C) Absorbance overlay  
 426 spectra for iron, (D) Absorbance overlay spectra for iron and, (E) HPLC overlay chromatograms  
 427 for chlorophenol and phthalic anhydride.  
 428 *Inductively coupled Plasma-Atomic Emission Spectrometry (ICP-AES) for analysis of water*  
 429 *samples treated by graft polymers*

430 ICP-AES is a high sensitivity instrument that can identify metal ions at ppm concentration. The  
 431 calibration curve for the instrument is seen to be linear up to 1000 ppm concentration. After  
 432 treatment with 30 mg of the graft polymer the percentage (%) decrease was calculated by the  
 433 following equation

$$\% \text{ Decrease} = \frac{C_{\text{initial}} - C_{\text{final}}}{C_{\text{initial}}}$$

434 The percent decrease for the three ions Cd, Co and Cu of 20 ppm and 30 ppm concentration after  
 435 treatment with the graft polymers is shown in Table 5. It is clearly evident that there is a decrease  
 436 in the concentration of all three metal ions on treatment with the graft polymers GPA-1 to GPA-8.  
 437 However the maximum capacity of extraction is observed with GPA-2. The percentage removal  
 438 of the ions from their solutions is in the order cadmium > cobalt > copper. We predict the order  
 439 of removal of ions is due to the synergistic effect of the hybridized assembly which is in keeping  
 440 with the following reports. Bassi *et al.* have reported the trend of adsorption for chitosan as  
 441 copper > lead > cadmium (Bassi *et al.* 2000), whereas Saitoh *et al.* have reported the rate of  
 442 adsorption by thermoresponsive polymer to be exclusively higher for cadmium than other metal  
 443 ions (Saitoh *et al.* 2003). Cadmium has been reported as a toxic metal which bioaccumulates in  
 444 organisms and ecosystems. We thereby envision these assemblies to be exploited especially for  
 445 reduction of cadmium in water. Further, GPA-2 has also been studied for its ability to treat  
 446 solutions containing a mixture of the three metals cadmium, cobalt and copper. It is seen that the  
 447 complete removal of all three ions from an aqueous solution (30 ppm) occurs after treatment with  
 448 75 mg of GPA-2.

449 **Table 5. Determination of concentration of metal ions by ICP-AES**

<b>Metal ion</b>	<b>Starting conc. (ppm)</b>	<b>Conc. after treatment (ppm)</b>	<b>%Decrease</b>
Cd	20	12.67	36.7
Co	20	13.36	33.2
Cu	20	15.60	22.0
Cd	30	20.37	32.1
Co	30	21.12	29.6
Cu	30	22.73	24.2

450

451 *Water absorption of the graft polymeric assemblies*

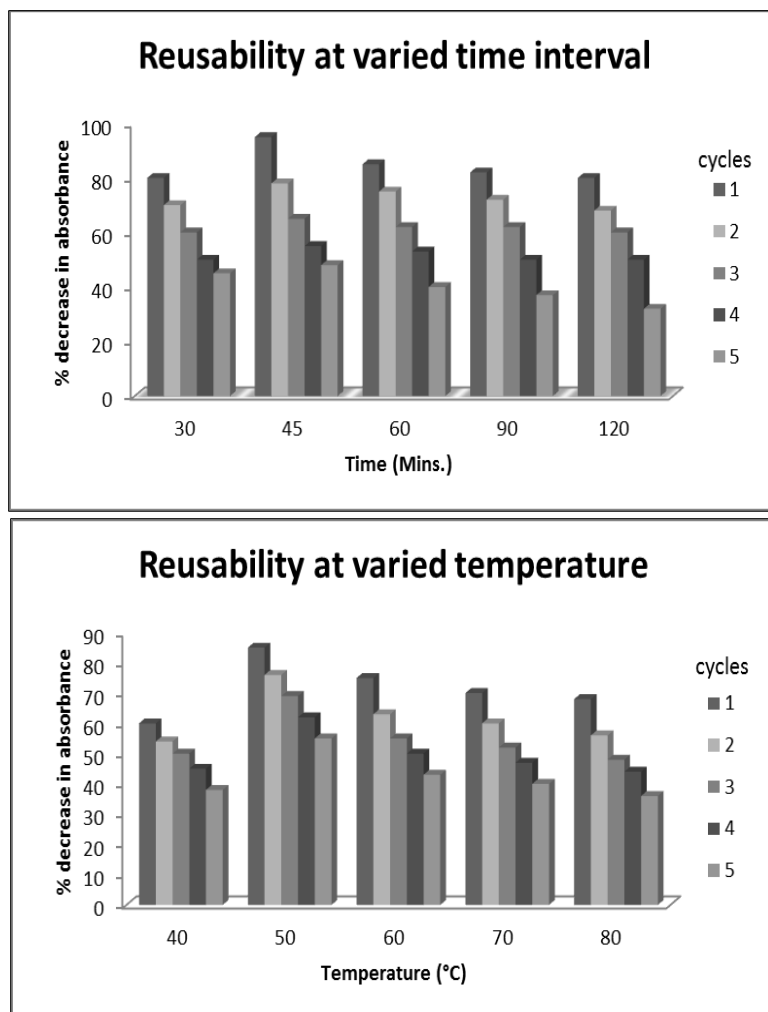
452 The resultant graft polymers indicated no water absorption properties at and above LCST due to  
453 lack of swelling properties in aqueous medium.

454 ***Leaching of adsorbed impurities from the graft polymeric assemblies***

455 To study the leaching effect of the impurities adsorbed onto the surface of the assemblies, the  
456 graft polymeric assemblies post adsorption of the impurities were filtered, dried overnight and  
457 then transferred into deionized water preheated above the LCST. The polymeric assembly was  
458 kept in contact with deionized water for 1 hour and then removed by filtration. The filtrate was  
459 then analyzed for lead to determine if any of this impurity had leached into the solution. The  
460 concentration of lead in the filtrate was below detection indicating that no significant leaching  
461 had occurred

462 ***Reusability and recycling ability of the grafted polymeric assemblies***

463 This study was carried out at varied temperatures and time intervals. It is observed that GPA-2  
464 has the maximum ability to extract “impurities” at 50°C when heated for 45 minutes. From the  
465 data (Fig. 5) it is evident that the optimum operation temperature and time of contact for these  
466 polymers is 45-55°C for a period of 45 minutes. The graft polymers can be used for at least 5  
467 cycles, which indicates their recyclable and reusable properties.



468

469

470

**Fig. 5.** Reproducibility and reusability

471 **CONCLUSIONS**

472 Graft polymers were synthesized in good yields by straight forward procedures and their  
 473 physicochemical attributes determined by IR, <sup>1</sup>H-NMR, GPC, DSC, BET, BJH and zeta potential.  
 474 The solubility of the graft assemblies in water varies with temperature and they are completely  
 475 insoluble at the LCST. All polymers have the capacity to extract both organic as well as  
 476 inorganic impurities from water. Among the synthesized assemblies, PNIPAAm grafted with  
 477 carboxymethylchitosan (GPA-2) exhibits the highest extraction potential. All these assemblies  
 478 have demonstrated an ability to remove significant amount of impurities in “one pass” due to the  
 479 unique combination of the functional groups present. Also, their recycle ability makes them  
 480 potential candidates to be explored in waste water treatment as an alternative to conventional  
 481 techniques being adopted in the pharmaceutical and allied industries.

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