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1 **Oscillations in hydrothermal systems as a source of**
2 **periodic unrest at caldera volcanoes: Multiparameter**
3 **insights from Nisyros, Greece**

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19

19 **Abstract**

20 Unrest at collapse calderas is generally thought to be triggered by the arrival of new
21 magma at shallow depth. But few unrest periods at calderas over the past decades have
22 culminated in volcanic eruptions and the role of hydrothermal processes during unrest is
23 drawing more and more attention. Here we report joint and simultaneous continuous multi-
24 parameter observations made at the restless Nisyros caldera (Greece), which reveal non-
25 steady short-term oscillatory signals. The combined geodetic, gravimetric, seismic and
26 electromagnetic records indicate that the oscillations are associated with
27 thermohydromechanical disturbances of the hydrothermal system. The dominant period of
28 oscillation (40-60 min) indicates short-term processes most likely associated with
29 instabilities in the degassing process. Amplitudes of recorded geodetic and gravimetric
30 signals are comparable to amplitudes observed at other periodically restless calderas. We
31 conclude that shallow aqueous fluid migration can contribute significantly to periodic
32 unrest, explaining the lack of eruptions in many cases of unrest.

33 **Introduction**

34 Several studies have concluded that magma emplacement at depth is the dominant
35 source of caldera unrest [*Newhall and Dzurisin, 1988; Dzurisin, 2003; Wicks, et al., 2006*]
36 causing quantifiable geophysical signals at the surface for weeks, months or even years.
37 But few unrest periods at calderas over the past few decades have culminated in a volcanic
38 eruption and it may be that aqueous fluid migration at depth results in similar signals
39 [*Bonafede and Mazzanti, 1998, Battaglia, et al., 2006*]. Clearly, the dilemma is how to
40 discriminate signals from magma movement from signals originating from fluid flow and
41 thus to assess the likelihood of an impending eruption. Observations of ground deformation

42 are regarded as a standard tool for monitoring reservoir replenishment at depth [*Dzurisin,*
43 2003; *Poland, et al., 2006; Dvorak and Dzurisin, 1997*]. Unfortunately, geodetic
44 observations alone cannot resolve the cause of ground movements [*Wicks, et al., 1998*], but
45 in combination with gravimetric observations the data can shed light on source
46 characteristics [*Battaglia, et al., 2003; Gottsmann, et al., 2006*]. An inherent problem is that
47 periodic measurements may suffer from data aliasing, that is, the obtained times series give
48 a distorted representation of the frequency of mass changes at depth [*Rymer, 1994*]. In
49 many cases, this frequency coincides with the frequency corresponding to the survey
50 interval and thus the real period of signals triggered by dynamic changes in the sub-surface
51 remains ambiguous. Clear evidence for a hydrothermal contribution to geophysical signals
52 recorded during time-lapse observations had been found only in a very limited number of
53 cases [*Todesco, 2005, Tikku, et al., 2006*], and information on the frequency of the
54 kinematics from multiparametric investigations is rare. In this paper we present an
55 integrated multi-parameter geophysical data set collected at the Nisyros caldera in Greece.
56 These data reveal fundamental short-term processes most likely related to instabilities in the
57 degassing process within hydrothermal aquifers. Thermohydromechanical (THM)
58 disturbances are caused by the release and upward migration of hydrothermal fluids
59 inducing oscillatory geophysical signals.

60

60 **Background**

61 The study area is the central caldera of Nisyros Island (Greece; Fig. 1a), which underwent
62 14 cm of ground uplift during a volcano-seismic crisis in the mid-1990s [*Lagios, et al.,*
63 2005]. The caldera is believed to have formed during a large-scale eruption about 25ka
64 before present and was subsequently partly refilled by dacitic domes [*Limburg and*
65 *Varekamp, 1991*]. Historic eruptions are limited to phreatic explosions as evidenced by
66 numerous craters in the south-eastern part of the caldera. Along the caldera floor and the
67 southern caldera wall, surface expressions of an active hydrothermal system include
68 hydrothermal deposits, fumaroles, mud pools and boiling water pools [*Caliro, et al., 2005;*
69 *Chiodini, et al., 2002*]. The current model [*Caliro, et al., 2005*] of the subsurface structure
70 beneath the caldera features i) a magmatic body, at unknown depth, which supplies heat
71 and fluids to the hydrothermal system, (ii) a deep boiling aquifer (situated at more than 900
72 m below sea level), and (iii) shallow reservoir(s) at variable temperatures fed by a
73 mixture of vapor separated by the deep aquifer and meteoric water.
74 *Gottsmann, et al., [2005]* showed that the amplitude of residual gravity changes (corrected
75 for the effect of ground deformation on gravity) observed within the caldera between two
76 measurement campaigns (November 2003 and October 2004) were also detected over time
77 scales of tens of minutes, indicating the presence of fundamental short-term dynamic
78 changes in the sub-surface. Benchmarks located well outside the caldera (on the flanks of
79 the central edifice) did not show such short-term variations. The short-term residual gravity
80 changes found inside the caldera were on the same order of magnitude as gravity variations

81 recorded during traditional time-lapse surveys, for example at the Campi Flegrei caldera
82 [*Berrino, 1994; Gottsmann, et al., 2003*].

83

84

85 **Results from new field experiment and interpretation**

86 In order to obtain a more detailed insight into the short-term subsurface dynamics at
87 the caldera, we devised a 10-day multi-parameter geophysical experiment in May 2006
88 including the following instrumentation and observation frequencies: (i) one automated
89 continuously recording (1 Hz) gravimeter (Lacoste&Romberg model D-41), (ii) two
90 gravimeters (Lacoste&Romberg model G-403 and G-513) manually read at 0.003 Hz for a
91 total of about 30 hours, (iii) 4 Leica GPS 500 receivers (1 Hz), (iv) one Lennartz LE-3D/5s
92 seismometer (125 Hz), (v) one very low frequency (VLF; 15-250 kHz; sampling frequency
93 of 4 Hz) electromagnetic receiver. The instrumentation was deployed jointly in areas
94 previously identified as being affected by short-term changes [*Gottsmann, et al., 2005*] and
95 more than 120 h of simultaneous records were collected. For clarity, we have low-pass (1
96 min) filtered all records. In this paper, we focus on 2 data sets: a 24 hr record on May 16,
97 2006 and a 4 hr record on May 19, 2006. These were selected for the following reasons: (i)
98 on May 16, ground deformation, gravity changes and seismicity were recorded at the same
99 location while the VLF record was obtained ca. 600 m to the south-west, inside a phreatic
100 crater hosting boiling mudpools and fumaroles, enabling a spatial separation of the origins
101 of signals observed by the different instruments (Fig. 1b), (ii) we recorded two teleseismic
102 events that day which allow us to assess the caldera system's response to external triggers

103 (Fig. 1b), (iii) we can employ the data set to monitor an instability in the subsurface
104 dynamics which we interpret to be a key phenomena for the understanding of processes at
105 restless calderas with hydrothermal activity (Fig. 2), and (iv) using both May 16 and 19
106 records, the data enable a direct quantification of the timescale of short-term cyclic
107 oscillations at the caldera (Fig. 3).

108 Figures 1b-c present joint records (continuous gravity, GPS, VLF, seismicity) of May
109 16, 2006, including signals caused by 2 teleseismic events. Note, that all gravimetric data
110 shown is corrected for the effect of Earth and Ocean tides. Focusing on the record
111 preceding the teleseismic events, the continuous gravimetric signal shows a roughly
112 periodic oscillation with maximum amplitudes of 0.015 mGal (Fig. 1c). The GPS data
113 correlates with the gravimetric record (e.g., min 100-250), whereby ground subsidence is
114 matched by gravity decrease. This is the opposite behaviour one would expect if the
115 gravimeter is responding solely to ground deformation (a free air effect results in a gravity
116 increase with ground subsidence). Interestingly though, the GPS record displays several
117 spikes (at $t = 30$ min, 300 min, 450 min and 520 min) indicating relative ground motion of
118 up to 0.15 m whereas the GPS RMS (root mean square error) rarely exceeds 0.04 m.
119 Particularly, the min 445 event is associated with a RMS of less than 0.02 m. We can
120 exclude poor satellite coverage or multipath as sources for the observed ground
121 deformation as well as sidereal effects. Similar short-term ground deformation was
122 recently also observed at the Yellowstone caldera [Tikku, *et al.*, 2003].

123 The gravity record associated with this event shows a small local maximum, yet the
124 seismic record indicates a clear spike in the intensity data. Gravimetric data reduction for
125 the effect of ground deformation assuming a Bouguer density of 2100 kg/m³ for caldera fill
126 rocks, results in a residual gravity waveform with average amplitudes of 0.02 mGal (Fig.

127 2a). The 450 min event, however, translates into a maximum gravity amplitude of 0.030
128 mGal. So far, all instrumentation deployed at the same location responded to the min 450
129 event, but what can be learned from the VLF data recorded inside the phreatic crater?
130 Figure 2b shows the 20.8 kHz In Phase record together with seismic intensity. We observe
131 a clear break in slope in the VLF record, coinciding with the seismic intensity peak around
132 450 min. But it is not for another 18 min, before the VLF signal peak indicates a clear
133 change in the electric structure of the ground. Given the low electrical resistivity of the
134 ground, the depth penetration of the 20.8 kHz electromagnetic signal is estimated to be
135 about 35 m. We thus infer the event detected in the GPS, gravimetric and seismic record
136 translates into a change of the secondary induced electromagnetic field below the crater
137 floor. A similar response is also observable at 490 min, again coinciding with a peak in
138 seismic intensity. Unfortunately, no GPS data is available for this event, but the observed
139 gravity data shows a small local minimum. After 500 min, the VLF data indicates stable
140 electromagnetic properties of the shallow subsurface, that seem to be unaffected by
141 subsequent peaks in seismic intensity (whose waveforms seem to indicate an anthropogenic
142 origin).

143 The seismic waveforms of the 450 (Fig. 2b), 480 and 490 min events suggest tremor
144 episodes rather than discrete events with a sharp onset and look similar to seismic records
145 from the caldera [Caliro, *et al.*, 2005], which were interpreted to reflect instabilities in the
146 degassing process at shallow depth (400-800 m below caldera floor). However, since our
147 seismic setup does not allow us to constrain their depth, we cannot exclude the deep
148 hydrothermal aquifer inferred to be located between 1300 and 1800 m below the caldera
149 floor as the source region for these seismic signals. Caliro and coworkers [Caliro, *et al.*,
150 2005] found evidence for the interaction of hydrothermal/magmatic fluids with their host
151 rocks at that depth from long-period (LP) seismic events. We have so far not detected

152 discrete single LP events in the record of May 16, but low frequency energy (below 2 Hz)
153 is present in the continuous seismic record. Similar tremor waveforms were observed
154 during degassing activity at open conduit volcanoes such as Stromboli [*Ripepe, et al.*,
155 2002], Erta Ale [*Jones, et al.*, 2006] and Ambrym [*Carniel, et al.*, 2003], and interpreted as
156 the superposition of a series of discrete bursts, an interpretation that we also propose here.
157 We thus associate aforementioned bursts with instabilities during magmatic degassing, but
158 cannot provide unambiguous information on their source location. In another study, using a
159 multiday gravimetric record, Tikku et al. (2006) interpret variations in microseismicity
160 recorded in an active geyser basin at the Yellowstone caldera (USA) as tremor induced by
161 fluid flow.

162 We present the following model to explain the observed signals:

163 i) The tremor result from a sudden THM disturbance of the hydrothermal
164 system triggering, or being caused by, a pressure variation. An effective cause
165 of pressure variations is the non-steady degassing of the deep magma
166 reservoir, feeding a deep-seated boiling aquifer at temperatures of around 340
167 °C [*Caliro et al.*, 2005 and references therein]. Supercritical fluids are a very
168 effective source for sudden volume variations translating into abrupt pressure
169 changes. In our model, a sudden pressure increase by, for example
170 anomalous, degassing at depth translates into elastic surface deformation. The
171 associated gravity increase is predominantly caused by the Bouguer effect of
172 deformation, and the resulting propagation of density boundaries in a planar
173 reservoir [*Walsh and Rice, 1979, Battaglia, et al.*, 2006].

- 174 ii) The THM disturbance causing the tremor signal is explained by the
175 coalescence and rise of bubbles.
- 176 iii) Pressurisation dissipates by the upwards release of fluids and vapor (two-
177 phase flow) along (newly created) fractures and faults resulting in ground
178 subsidence and residual gravity decrease.
- 179 iv) Vapor and fluid separated from the deeper high temperature aquifer recharge
180 the shallower, lower temperature reservoirs, where their arrival changes the
181 electrical properties of the crater fill as seen by the VLF measurements.

182 We perform a rough calculation using the inferred source depth and the delay time of
183 the electromagnetic signal to quantify average ascent speeds of the two-phase flow.
184 Since the source depth cannot be unambiguously constrained (most likely the shallow
185 or deep hydrothermal system), we present a possible range of speeds from 0.4 m/s to
186 1.4 m/s. These speeds are on the order of magnitude found for nonpropagative THM
187 disturbances and pressure shock waves [*Revil, et al.*, 2003].

188

189 **Conclusions**

190 Our analysis presents the first quantitative study of the background dynamic
191 processes at a restless caldera. The dominant period of oscillation (40-60 min, Fig. 2d and
192 3b) indicates short-term processes most likely associated with instabilities in the degassing
193 process, whereby bubbles coalesce and rise through a complex hydrothermal system. These
194 processes constitute the majority of geophysical signals recorded at the ground surface and

195 hence dominate activity at this restless caldera. Given the number of phreatic craters
196 formed in the caldera in historic times, hydrothermal explosions pose a serious hazard on
197 the island. With several hundreds of day visitors to the hydrothermal area during the
198 summer months, a significant number of people are at direct risk from sudden catastrophic
199 discharges. The trigger mechanisms of such instabilities in the hydrostatic liquid column
200 are still poorly understood, and forecasting of phreatic activity is intrinsically difficult and
201 associated with a high degree of uncertainty. Integrated data sets such as those presented
202 here may help identify key parameters and their dynamic range during background mode,
203 which may enable forecasting when a system develops from background activity to a state
204 where catastrophic discharge is to expected. Aqueous fluid migration must be regarded as
205 an important causative mechanism for unrest and efforts should be made to obtain multi-
206 parameter continuous time series. Magmatic signals must exceed shallow hydrothermal
207 signals in order to be seen during geophysical monitoring programs.

208

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216

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- 283
- 284
- 285

285 FIGURE CAPTIONS

286 Figure 1: a. Colour-coded digital elevation model (in m) of Nisyros Island, Greece, located
287 at 36.57°N and 27.18°E in the Aegean Sea. Cross and triangle indicate approximate
288 locations of instrumentation on May 16 and 19, 2006, respectively. b-c. Joint records
289 (continuous gravity, GPS, VLF, seismicity) of May 16, 2006, including b. signals caused
290 by the arrival of surface waves at min 659 from a $M_w=7.4$ seismic event (10:39 UTC) at the
291 Kermadec Islands [USGS, 2006] and a $M_w=6.8$ earthquake in the Nias region of Indonesia
292 about 5 hours later [USGS, 2006] (time of teleseismic events are marked by red stars). The
293 energy of the first event dissipates quicker in the seismic record than in the gravimetric
294 record due to the excitation of the gravimeter by the Earth's eigenmodes. The VLF In Phase
295 (20.8 kHz) record displays a break in slope about 15-20 min later indicating a change in the
296 electrical properties of the subsurface. c. Periodic oscillations in observed gravity and GPS
297 data over approximately 10 h including several spikes and troughs in the GPS record,
298 which cannot be explained by artefacts or poor satellite coverage. GPS data is reported
299 relative to a reference located outside the caldera at. The GPS RMS (root mean square)
300 error is below 0.03 m for these events.

301 Figure 2. a. Residual gravity data and RMS gravity errors and seismic intensity. Gravity
302 data is reduced for the effect of ground deformation assuming a Bouguer density of 2100
303 kg/m^3 for caldera fill rocks, resulting in a periodic oscillation with average amplitudes of
304 0.02 mGal and a peak of 0.03 mGal, coinciding with the burst in seismic intensity at 445
305 min. b. The 20.8 kHz In Phase VLF and seismic intensity records. The 445 min seismic
306 burst is matched by a break in slope in the VLF record (black broken lines) followed by a
307 peak amplitude after a delay time of 18 min. A similar delay is seen after the 490 min event
308 and subsequent to the $M_w=7.4$ teleseism a few hours later (Fig. 1b). c. Example of seismic

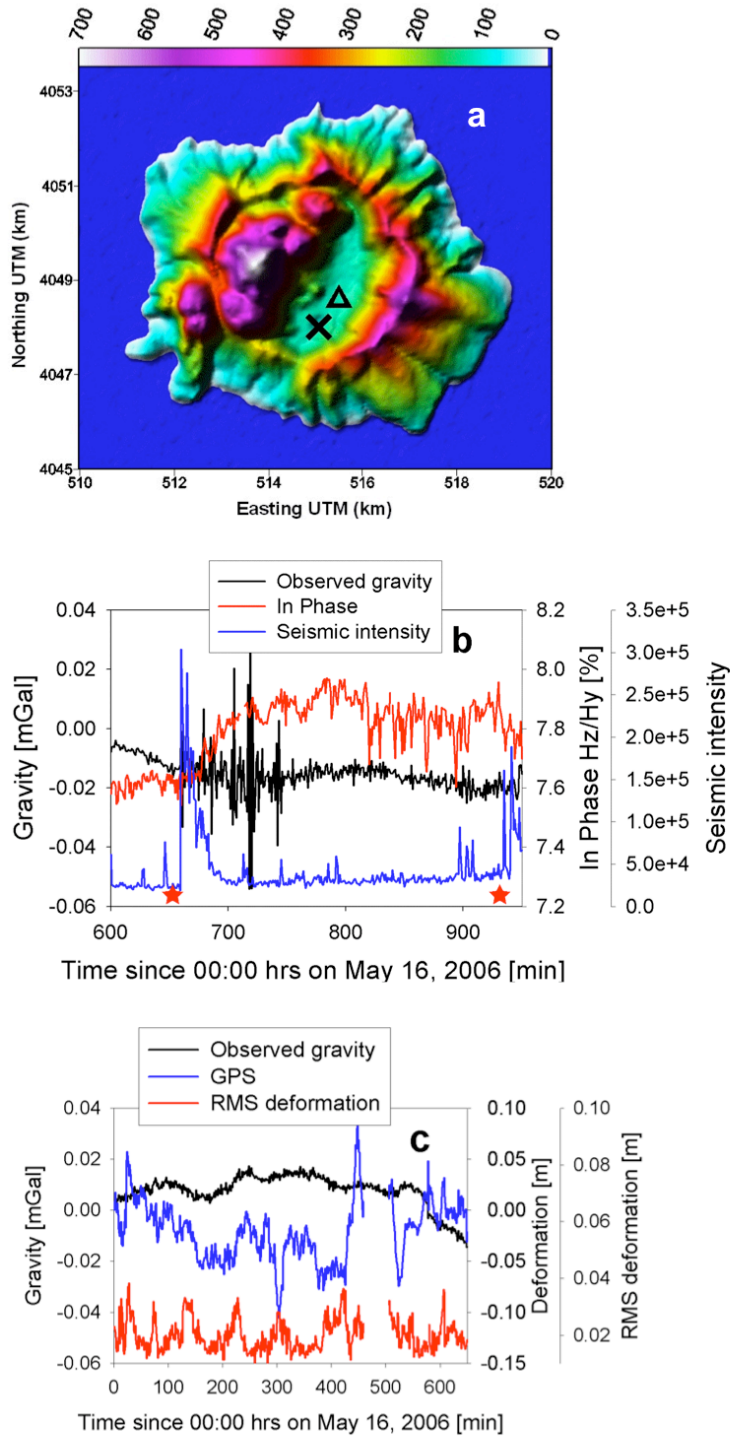
309 tremor signal recorded between 440 and 460 min (“the 450 min event”). The waveform is
310 interpreted to represent the superposition of a series of discrete bursts in the hydrothermal
311 system. d. Fast-Fourier-Transform (FFT) power spectrum of gravity, seismic and VLF In
312 Phase records of the first 10 hours of May 16, 2006. The VLF and seismic time series
313 indicate cyclic oscillatory behavior with a peak power at 43 min also seen, though to a
314 lesser power, in the gravimetric record with a peak at 60 min. Since the gravimeter and
315 GPS receiver were not co-located with the VLF receiver during the experiment, we
316 attribute the differences in the periods to differences in the sub-surface dynamics at the two
317 locations. The seismic record is more global and identifies cycles at either location. See
318 also Figure 3.

319

320 Figure 3. Joint VLF In Phase (20.75 kHz) and observed gravity record obtained at the
321 location marked by a triangle in Figure 1a, in a 4 m deep and 600 m long crack which
322 opened in 2001 [*Lagios, et al., 2005*]. This site is undergoing anomalous CO₂ degassing
323 [*Caliro, et al., 2005*]. The periodic oscillations of both gravity (amplitudes up to 0.02
324 mGal) and VLF data are inversely correlated. The FFT power spectrum is shown in the
325 inset. The dominant period of the gravity cycles is 46 min, matching the periods of VLF
326 and seismic data recorded at May 16 (Fig. 2d). A 46 min cycle is also visible in the VLF
327 data, however its power peaks at 32 min/cycle. These observations are in support of our
328 earlier speculation on the existence of significant short-term oscillations at the caldera
329 [*Gottsmann, et al., 2005*].

330

331



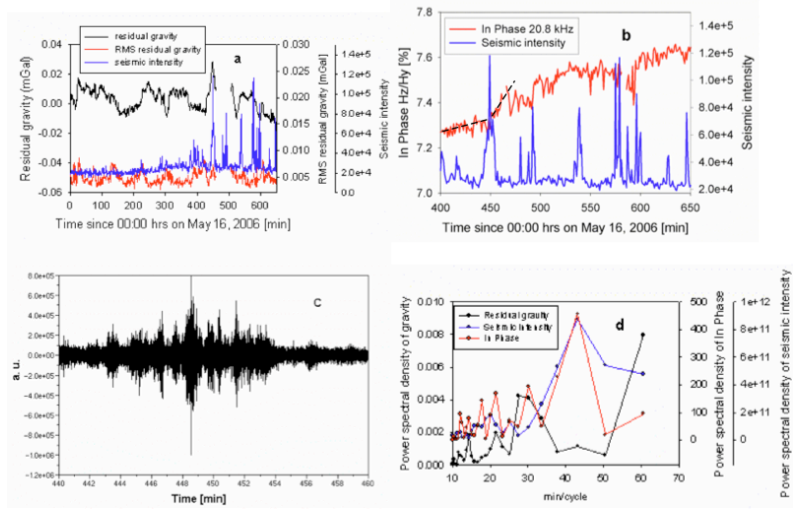


Figure 3

