



1 topographic method gives more accurate measures of grain size (mean residual  
2 error -0.0001m). Better results for the image texture method may be precluded by  
3 our choice of texture measure, the scale of analysis or the effects of image blur  
4 resulting from an inadequate camera gimbal. We suggest that at our scale of  
5 assessment, grain size is more strongly related to 3D variation in elevation than to  
6 the 2D textural patterns expressed within the imagery. With on-going improvements,  
7 our novel method has potential as the first grain size quantification approach where a  
8 trade-off between coverage and resolution is not necessary or inherent.

9

## 10 **Introduction**

11 The mapping and quantification of fluvial grain (or substrate) size is important in the  
12 study of fluvial process, within both river science and management. Grain size data  
13 are a key input to hydraulic models, and are essential for quantifying sediment  
14 entrainment, transfer and deposition. Traditional approaches to grain size mapping  
15 typically use qualitative classification schemes such as the Wentworth Scale  
16 (Wentworth, 1922), or quantitative methods, such as in-situ or laboratory based  
17 physical measurement of individual grains, including areal, grid, transect or  
18 volumetric sampling (Wolman, 1954; Hey and Thorne, 1983; Church et al., 1987;  
19 Rice and Church, 1996). Data collection of this type is never spatially continuous,  
20 only sometimes spatially referenced, and rarely covers large spatial areas with great  
21 detail. Furthermore, traditional approaches can be labour-intensive, time consuming  
22 and often make assumptions about the representativeness of the spatially  
23 discontinuous samples over larger areas (Leopold, 1970; Verdú et al., 2005). The  
24 finer grain material is often under-sampled by a grid-by-number approach (Wolman,  
25 1954; Church et al., 1987) and the removal of samples for volumetric analyses in the

1 laboratory can destroy the local patches of habitat that they are aiming to investigate  
2 (e.g. freeze coring; Milan, 1996).

3

4 Since the 1970s, alternative methods of grain size quantification have made use of  
5 remote sensing technologies, fuelled by the need for less subjective approaches,  
6 which are non-invasive, reduce the time and effort spent in the field or laboratory and  
7 provide more continuous spatial coverage at a range of scales. Ongoing advances in  
8 digital photogrammetry, digital image analysis and surveying technologies mean that  
9 there is now an evolving body of remote sensing research for grain size  
10 quantification which makes use of imagery and/or elevation data. An overview is  
11 provided in Table 1. These studies evidence the trade-off between resolution (i.e.  
12 level of detail) and coverage (i.e. extent of survey) which often afflicts remote  
13 sensing methods. Table 1 also highlights that there exist a variety of different ways  
14 for obtaining grain size from imagery or digital elevation data. However, no single  
15 technique has yet proved its value for the rapid quantification of grain size at the  
16 mesoscale; that is, with centimetric spatial resolution over channel lengths from c.  
17 50m to a few hundred metres. However, such outputs would be of great value for  
18 contributing to scientific understanding of fluvial mesohabitats and their applied  
19 management (Frissell et al., 1986; Newson and Newson, 2000).

20

21 In recent years, dramatic development in the technology and applicability of  
22 unmanned aerial vehicles (UAVs) has provided an alternative approach for  
23 quantifying fluvial grain size. UAVs are sometimes also known as 'unmanned aerial  
24 systems' (UAS), 'remotely piloted aircraft systems' (RPAS) or drones. Within this  
25 letter, we focus on the use of small (< 7kg) UAVs used in conjunction with novel

1 'structure from motion' digital photogrammetry (SfM) to derive fully orthorectified and  
2 georeferenced aerial imagery and topographic data. Readers are referred to Smith et  
3 al., (2015) and Eltner et al., (2016) for further detail on these developments.

4  
5 To date, very few published studies have applied UAVs and SfM for quantifying grain  
6 size specifically. Those who have made progress in this area have adapted the  
7 image texture methods of Carbonneau et al., (2004), designed originally for use on  
8 imagery acquired from manned aircraft. Tamminga et al., (2015) acquired 5cm  
9 resolution imagery from a small, rotary-winged UAV over a 1km stretch of the Elbow  
10 River in Canada. Imagery was processed using digital photogrammetry software  
11 EnsoMOSAIC (MosaicMill Ltd, Finland) to create an orthophoto. Image texture, in  
12 the form of standard deviation of spectral values, was computed from this imagery,  
13 using a 1m<sup>2</sup> moving window. Grain size calibration data were acquired using close-  
14 range photo-sieving for 30 small sample plots (1m<sup>2</sup>), where the B axes of 50 clasts  
15 were measured automatically using a Matlab routine. The resulting relationship  
16 between image texture and grain size gave a strong empirical correlation ( $R^2 = 0.82$ ),  
17 which was subsequently used to estimate grain size over the entire area of interest.  
18 Whilst the UAV imagery itself was of hyperspatial resolution (5cm), the nature of their  
19 approach means that Tamminga et al., (2015) were only able to produce grain size  
20 predictions at a much coarser 1m spatial resolution. Furthermore, they present no  
21 associated quantitative error assessment of their predictions.

22  
23 A similar approach was taken by de Haas et al., (2014) as part of a study exploring  
24 the evolution of alluvial fan surfaces. UAV imagery was collected at a resolution of 4-  
25 6cm and processed using SfM and the texture approach of Carbonneau et al.,

1 (2012) to produce grain size outputs at 0.7m resolution of an area covering  
2 0.745km<sup>2</sup>. Relative motion blur was found to affect UAV image quality, and was  
3 attributed to a combination of cloudy conditions (which reduced light levels and  
4 therefore necessitated increased exposure times) and wind gusts. Blurred parts of  
5 the resulting orthophoto artificially reduced image texture outputs and adversely  
6 affected the calibration with grain size. As a result, such areas were excluded from  
7 the calibration. Validation of the model using independent grain size data is not  
8 presented by de Haas et al., (2014) which again prohibits an understanding of the  
9 accuracy of this texture approach and limits any real comparison against existing  
10 techniques.

11

12 These papers highlight a need for robust and quantitative testing of grain size  
13 estimations produced using UAVs and SfM. In addition, the development and  
14 evaluation of alternative approaches which are less affected by spectral issues are of  
15 interest. For example, the development of topographic analysis methods for grain  
16 size estimation using terrestrial laser scanner data (e.g. Heritage and Milan, 2009;  
17 Brasington et al., 2012) may be applicable to UAV imagery, as topographic data in  
18 the form of dense point clouds are one of the outputs from SfM. Westoby et al.,  
19 (2015) applied a UAV and SfM derived point cloud roughness approach to grain size  
20 quantification of an Antarctic moraine, but were unable to obtain a strong calibration  
21 relationship ( $R^2 = 0.225$ ) between the standard deviation of elevation (i.e. roughness)  
22 and patch-scale  $D_{50}$  measures (i.e. grain size). They report a mean grain size  
23 estimation error of -2.90mm based on only five validation points, and do not report  
24 the precision of their results. Woodget et al., (2016) provide an initial pilot study in a  
25 fluvial setting, where topographic point cloud roughness data were successfully used

1 for grain size prediction ( $R^2 = 0.7712$ , mean error = -0.01mm, precision = 16.4mm).  
2 We build on these results within this letter, using different and more comprehensive  
3 ground validation data. Our aim is to provide a quantitative assessment of the  
4 accuracy and precision of grain size predictions made using (a) an image texture  
5 approach and (b) a topographic (point cloud roughness) approach, based on  
6 imagery acquired using a small UAV and processed using SfM.

7

## 8 **Site location**

9 We selected a c.120m long reach of Coledale Beck, a gravel-bed river located near  
10 Braithwaite, Cumbria for this research. The chosen reach comprises a meandering  
11 pool-riffle system, with a bed composed predominantly of cobbles and boulders. The  
12 channel features a number of large unvegetated point bars and opposing steep,  
13 undercut banks. Variable subaerial grain sizes and a safe and accessible location for  
14 UAV flying made this a suitable site. Furthermore, the sediment dynamics of  
15 Coledale Beck are of interest due to their downstream impacts on Bassenthwaite  
16 Lake. The lake is designated as a National Nature Reserve and a Site of Special  
17 Scientific Interest, partly due to its rare vendace (*Coregonus vandesius*) fish  
18 population. The spawning grounds of this species are particularly sensitive to  
19 changes in the quantity and quality of sediment within the lake. Increasing siltation of  
20 the lake is thought to be partially responsible for the significant decline and  
21 subsequent extinction of the vendace population (Orr and Brown, 2004). As a result,  
22 methods capable of mapping and monitoring the evolution of sediment distribution  
23 within inflowing streams hold potential for habitat evaluation and informing  
24 management strategies.

25

## 1 **Data acquisition and processing**

### 2 *Site set-up*

3 Prior to data collection at Coledale, we established four permanent markers at the  
4 outer extents of the area of interest, using wooden stakes and circular survey  
5 markers. All subsequent data collected using a Leica Builder 500 total station  
6 (expected accuracy c. 1.5mm) were referenced to these markers using an arbitrary  
7 local co-ordinate system.

8

### 9 *UAV survey*

10 We flew a Draganflyer X6 rotary-winged UAV over the site at an altitude of c. 30m  
11 above ground level. Flight control was entirely manual due to the lack of an autopilot  
12 function. The UAV was mounted with a small, consumer grade digital camera  
13 (Panasonic Lumix DMC-LX3) held in a 1-axis brushless gimbal. The survey was  
14 conducted in July 2013 during dry, bright and calm weather conditions. We  
15 distributed 25 ground control points (GCPs) prior to the UAV survey, ensuring they  
16 were positioned to represent adequately the variation in topography across the site.  
17 The GCPs were constructed from thin, black PVC sheeting, marked in a cross  
18 pattern with white paint and, once positioned, were surveyed using the total station  
19 relative to the local co-ordinate system (using the permanent markers). The relatively  
20 short battery life on the UAV (c. 6 minutes) meant that three flights were required to  
21 cover the site with sufficient redundancy for subsequent processing using SfM. We  
22 acquired a total of 88 convergent images from the UAV, of which we discarded 24  
23 due to blurring or unsuitable coverage. The use of imagery collected at convergent  
24 view angles, in conjunction with the use of well distributed GCPs, helps to reduce the  
25 risk of systematic 'doming' or 'dishing' errors within the resulting topographic data,

1 which can occur as a consequence of inadequate self-calibrations of the camera  
2 lens models within the subsequent SfM process (Chandler et al., 2005; Wackrow  
3 and Chandler, 2011; Javernick et al., 2014; James and Robson, 2014; Woodget et  
4 al., 2015; Eltner et al., 2016). Whilst the small scale of the ground truth validation  
5 plots we use here (see subsequent section on ‘Ground truth data’) means that the  
6 effects of poor camera self-calibrations on our results are likely to be minimal, it is  
7 worth establishing good practice in this regard, especially if multiple applications of  
8 the data are intended.

9

#### 10 *Structure from motion digital photogrammetry*

11 We imported the 64 chosen images into Agisoft’s PhotoScan Professional digital  
12 photogrammetry software, and processed them to create a c. 1cm resolution  
13 orthophoto, a c. 2cm resolution digital elevation model (DEM), and dense 3D point  
14 cloud, all referenced to the local co-ordinate system using the GCPs and permanent  
15 markers. For further detail on the SfM process, readers are referred to Fonstad et al.,  
16 (2013), Smith et al., (2015) and Eltner et al., (2016).

17

#### 18 *Ground truth data*

19 For ground truthing purposes, we established 23 grain size sample plots along four  
20 exposed bars at Coledale Beck (Figure 1). Each plot measured 40cm x 40cm. This  
21 plot size was sufficiently large as to encompass the largest clasts within the field site,  
22 but sufficiently small to ensure substrate size was as uniform as possible within the  
23 plot itself. For each plot, a scaled, close-range photograph (e.g. Figure 1c) was  
24 acquired using a handheld camera. These photographs were then georeferenced in  
25 GIS to the site coordinate system, using a total station survey of each plot’s four



1 corners. Within these plots, a sample of clasts was selected for measurement using  
2 a 5cm x 5cm regular grid. Clasts falling beneath each grid node had their A- and B-  
3 axis dimensions measured from the scaled photograph, unless they were deemed  
4 unsuitable for measurement. Unsuitable clasts were those which were too small to  
5 measure at a scale of 1:1, those which were largely obscured by other clasts, and  
6 those which were not included fully within the photograph. Based on these data, we  
7 computed grain size statistics for each plot, including the mean,  $D_{50}$  (grain size of the  
8 50<sup>th</sup> percentile, or the median) and the  $D_{84}$  (grain size of the 84<sup>th</sup> percentile). We did  
9 not collect any ground truth data in submerged areas and therefore our subsequent  
10 analyses are valid for subaerial gravel surfaces only.

11

## 12 **Data analysis**

### 13 *Image texture*

14 We used the technique developed by Carbonneau et al., (2004) to compute image  
15 texture from the orthophoto output. This empirical approach aims to establish a  
16 statistical correlation between a given measure of image texture and grain size. We  
17 computed image texture using a Matlab (Mathworks Inc.) routine on the red band of  
18 the imagery (this is an arbitrary choice and the method would also work on other  
19 bands). A square moving window with a kernel size of 41 pixels was passed over the  
20 image at intervals of five pixels (the routine requires a kernel size of an uneven  
21 number). A kernel size of 41 pixels is roughly equivalent to a kernel width of 41cm  
22 and was selected based on *a priori* knowledge that maximum clast sizes at Coledale  
23 Beck rarely exceed 40cm. We did not test other window sizes for the purposes of  
24 this short communication, however, we intend to explore this in subsequent  
25 research. We chose the interval size of five pixels as a compromise between detail

1 and processing time. As a result, texture outputs are produced at 5cm resolution, but  
2 this could be altered as necessary. Within each kernel step, a measure of image  
3 texture is calculated and assigned to the central pixel. Image texture can be  
4 measured using a number of different metrics; in this case, we calculated the  
5 'negative image entropy'. This is a measure of image texture calculated using a grey  
6 level co-occurrence matrix (GLCM), i.e. a grey-tone spatial dependence probability  
7 distribution matrix first advocated by Haralick et al., (1973). The matrix provides the  
8 probabilities of all pairwise  $(i, j)$  combinations of pixel grey levels occurring within the  
9 specified moving window. The outputs are a function of the angular relationship  
10 between a single pixel and its neighbours ( $V$ ), and the distance between them (the  
11 inter-pixel sampling distance,  $D$ ). Negative image entropy provides a measure of  
12 randomness or the disorder of pixel values and is calculated according to Equation  
13 1;

$$\text{Negative Entropy} = \sum_{i,j} P_{i,j} (-\log P_{i,j})$$

16 *Equation 1*

17 *(after Haralick 1979)*

18  
19 Where  $P$  is the co-occurrence matrix of the image within each step of the moving  
20 window, based on the number of times that cells with grey levels  $i$  and  $j$  occur in two  
21 pixels separated by set distance  $D$  and direction  $V$ , divided by the total number of  
22 pixel pairs. We chose to use negative image entropy to compute image texture  
23 because the logarithmic component of algorithm (Equation 1) normalises extremes,  
24 thereby enhancing small variations in texture. Dugdale et al., (2010) suggested that  
25 entropy is therefore an appropriate measure to use where grain sizes are relatively

1 small, as they are at our site, because small grain sizes tend to produce poorly  
2 defined light-dark boundaries. Other image texture operators are available however,  
3 and will be explored further in future.

4

5 The output is a map of negative image entropy, where higher values are returned for  
6 more textured or heterogeneous parts of the image and lower values for smoother or  
7 more homogeneous areas (Figure 3a). This image texture map was then imported  
8 into GIS to permit statistical comparison with the ground-truthing sample plots using  
9 linear regression.

10

#### 11 *Topographic point cloud roughness*

12 We exported the dense point cloud of the Coledale site from PhotoScan Pro (Agisoft  
13 LLC) to the open source CloudCompare software ([www.danielgm.net/cc/](http://www.danielgm.net/cc/)), and  
14 assessed the need for detrending, filtering and smoothing of the cloud. Detrending  
15 was found to be unnecessary but filtering and smoothing were required to reduce  
16 noise within the cloud (Figure 2). This noise can introduce roughness to the point  
17 cloud which does not result directly from grain size and therefore must be removed.  
18 A filtering and smoothing procedure was written in-house. We filtered the cloud by  
19 taking the mean of the interquartile range in elevation within 6mm x 6mm cells and  
20 smoothed the cloud by averaging the elevation values of each point by considering  
21 the elevation of all other points within a 2.5cm radius moving window. We performed  
22 a visual sensitivity check on the filtering cell size and smoothing window size, to  
23 ensure that sufficient noise was removed whilst preserving as much of the  
24 topographic detail within the cloud as possible.

25

1 Next, we used CloudCompare's inbuilt roughness tool to compute roughness values  
2 for each point in the smoothed and filtered cloud (CloudCompare, 2016). Roughness  
3 is defined as the shortest distance between each point in the cloud and the ordinary  
4 least squares best fitting plane computed on the nearest neighbours of that point,  
5 which fall within a spherical kernel of a specified size. This means that for each point  
6 in the cloud, a different ordinary least squares best fitting plane is generated, and  
7 thus a single roughness value is computed for each and every point within the cloud.  
8 The only case where this does not occur is when less than four points are present  
9 within the kernel, because a minimum number of three points are required to  
10 compute the least squares best fitting plane in addition to the one point for which  
11 roughness is being calculated. We found that only c. 0.0003% of kernels featured  
12 fewer than four points, with kernels comprising a maximum of 11,910 points and an  
13 average of 5668 points. A kernel radius of 20cm was chosen (i.e. a kernel width of  
14 40cm), again based on *a priori* knowledge of typical grain sizes at Coledale Beck  
15 and to be comparable with the ground surface areas covered by the image texture  
16 interrogation window (41cm x 41cm) and validation plots (40cm x 40cm). Lastly, we  
17 created a raster of roughness outputs by averaging the roughness values computed  
18 for points in the cloud within 3cm pixels (Figure 3b). Sensitivity testing showed that  
19 rasterisation of the roughness data at smaller pixel sizes produced holes in the data  
20 where point density was low. A pixel size of 3cm therefore provided a good  
21 compromise for maximising resolution and minimising interpolation. We exported the  
22 raster to ArcGIS (ESRI, Inc.) and computed roughness statistics on a plot by plot  
23 basis for subsequent linear regression against the ground truth data.

24

25 *Jack knife analysis*

1 Linear regressions of image texture and roughness with grain size for each of our  
2 sample plots provide calibration relationships for predicting grain size over the wider  
3 area of interest. Validation is also required to assess the accuracy and precision of  
4 grain size estimates. We validated our calibration relationships using a jack knife  
5 approach (Quenouille 1949; Tukey 1958), an iterative method which excludes one  
6 ground truth plot at a time, and uses the linear regression equation based on the  
7 remaining plots to predict grain size for the excluded plot. We compared the  
8 measured grain size for each plot to the equivalent predicted grain size, to assess  
9 the strength of the predictive relationship. Measured grain sizes were also subtracted  
10 from the predicted grain sizes on a plot by plot basis to obtain residual error values.  
11 The average and standard deviation of the residuals for all plots are taken to  
12 represent the overall accuracy and precision of grain size estimates.

13

## 14 **Results**

15 Calibration and validation relationships for grain size predictions using image texture  
16 and roughness approaches are presented in Tables 2-3 and Figures 4-5. We found  
17 that maximum negative entropy correlated against average A axis length (Figure 4a)  
18 and average roughness values correlated against  $D_{84}$  of the B axes (Figure 4b)  
19 produced the strongest calibration relationships, as indicated by the co-efficients of  
20 determination in Table 2. Our results demonstrate that using the data for this site and  
21 at this scale, the point cloud roughness approach to grain size estimation gives both  
22 stronger calibration and validation relationships, as indicated by the slope and  $R^2$   
23 values in Table 3. Furthermore, Table 3 shows that grain sizes predicted using the  
24 roughness method are more than an order of magnitude more accurate than those  
25 predicted using the image texture method, as indicated by the mean of residual

1 errors. Precision, represented by the standard deviation of residual errors, is greater  
2 than 0.01m for both approaches.

3

#### 4 **Discussion**

5 Within this paper we have, for the first time, quantified the accuracy and reliability of  
6 an image texture *and* a topographic point cloud roughness approach to grain size  
7 quantification using UAV imagery and digital photogrammetry. The high resolution,  
8 quantitative, objective, spatially continuous, spatially explicit results are computed  
9 easily and have potential to aid our understanding of sediment dynamics and habitat  
10 heterogeneity at the mesoscale within a riverscape style framework (Fausch et al.,  
11 2002). However, our results raise three important and interlinked questions;

12

13 ***(1) Why does our image texture approach not produce calibration relationships***  
14 ***of similar strength to those reported by others (e.g. de Haas et al., 2014,***  
15 ***Tamminga et al., 2015)?***

16 Weak calibration and validation relationships between image texture and grain size,  
17 and poor residual errors, may be a consequence of various factors, including (a) the  
18 use of an inappropriate texture operator, (b) the use of an inappropriate scale of  
19 analysis (i.e. kernel size and interval step), and/or (c) because image texture is also  
20 influenced by factors other than grain size. We have not explored variations in (a) or  
21 (b) for the purpose of this short communication, instead basing our choice of  
22 operator and scale of analysis on the findings of others (e.g. Carbonneau et al.,  
23 2004; Dugdale et al., 2010) and *a priori* knowledge of grain sizes at this site.  
24 However, the successful application of an image texture approach, based on UAV

1 imagery or otherwise, will require further investigation of factors (a) and (b). This is  
2 especially true given the scale-dependent nature of the image based texture method.  
3  
4 In terms of (c), other factors influencing image texture might include the use of  
5 blurred imagery, the effects of local topographic shadowing and the presence of  
6 vegetation or water. Relative motion blur, a consequence of (i) increased exposure  
7 times resulting from cloudy conditions and (ii) wind gusts, are noted by de Haas et  
8 al., (2014) as a significant problem in predicting grain sizes using image texture.  
9 They note that quantitative correction of relative motion blur could not be conducted  
10 because their fixed-wing UAV was not equipped with the accelerometers necessary  
11 to provide correction data. The UAV used by de Haas et al., (2014) lacked a gimbal  
12 altogether (P. Carbonneau, *pers. comm.*), making image acquisition significantly  
13 more rudimentary than when using the 3-axis stabilisation mounts often available  
14 today. As a result, the approach of de Haas et al., (2014) is to side-step the issue by  
15 excluding any blurred sections of the orthophoto from further analysis, to achieve  
16 strong calibrations with grain size ( $R^2 = 0.82$ ). However, such manual interventions  
17 can be time consuming and may result in inadequate site coverage or necessitate  
18 extra field time. Furthermore, the issue of image blurring remains unaddressed.  
19 Tamminga et al., (2015) find that shadows also disrupt calibration relationships by  
20 introducing high texture values in areas of pronounced topographic relief and  
21 vegetation, which in turn result in erroneously high grain size predictions. However,  
22 the 3-axis stabilised gimbal used on their Aeryon Scout UAV helps to reduce image  
23 blur, permitting another strong calibration with grain size ( $R^2 = 0.82$ ). In this paper,  
24 we use a basic 1-axis camera gimbal on our UAV, which was flown in calm wind  
25 conditions. Whilst efforts were made to remove blurred images before

1 photogrammetric processing, areas of blurring are evident on the resulting  
2 orthophoto (Figure 6), which is then used to develop the empirical calibration with  
3 grain size. Alongside the minor influence of vegetation presence within some of the  
4 ground truth plots, we expect that this gimbal is a key reason for the poorer  
5 calibration with grain size than reported elsewhere. However, further dedicated  
6 testing is required to prove this, and subsequently to reduce the incidence of blurring  
7 or improve our ability to detect and eliminate it from images. Sieberth et al., 2013  
8 and Sieberth et al., 2016, provide some initial work on blur detection and removal.

9

10 ***(2) Why does our topographic approach using point cloud roughness perform***  
11 ***so much better than our image texture approach (Table 3)?***

12 Our topographic (point cloud roughness) method was conceived out of a need to  
13 move away from the adverse effects of blurred UAV imagery. Given that exactly the  
14 same UAV imagery is used as input for both texture and roughness approaches  
15 though, we might expect the roughness approach to be adversely affected by blur  
16 too. The SfM-photogrammetry process computes indirect measures of elevations  
17 using UAV image parallax, to create a point cloud. Thus, where image quality is poor  
18 (e.g. due to blurring) or lacking in texture (e.g. spectrally homogeneous areas) then  
19 greater amounts of noise (i.e. erroneous point matches) are likely to be observed  
20 within the point cloud. More generally, we would expect other factors to influence the  
21 point cloud roughness-grain size relationship, including;

- 22 • Presence of vegetation – where topographic variation in the point cloud is not  
23 a result of variation in grain size.



- 1 • Interstitial spaces between large clasts which are occupied by smaller clasts -  
2 where topographic variation is high within the extent of the kernel but grain  
3 size is low.
- 4 • Complex levels of topographic variation over short distances – where  
5 features such as footprints introduce variation which does not result from  
6 grain size and cannot be removed easily by detrending.
- 7 • Packing and imbrication of clasts – where partially buried clasts do not  
8 produce the same topographic signature as exposed clasts of equivalent  
9 size, a well-known issue for a number of grain size quantification methods  
10 (e.g. Church et al., 1987; Sime and Ferguson, 2003; Heritage and Milan,  
11 2009; Picco et al., 2013).

12 Despite these complicating factors, we are still able to predict grain sizes with  
13 exceptionally low mean residual errors (<1mm). This may be because the listed  
14 factors do not have a significant impact in the location of our ground truth plots, or  
15 that their effect is instead observed in the less encouraging precision metric  
16 (standard deviation >10mm). We also believe that the smoothing and filtering  
17 procedures described earlier are partly responsible for this success of our  
18 topographic point cloud roughness approach. However, the generic nature of the two  
19 different methods we have tested here also deserves attention. According to  
20 Buscombe (2016), roughness can be defined as “*a measure of the statistical*  
21 *variation in the distribution of topographic relief of a surface*”, and texture as “*the*  
22 *frequency of change and arrangement of roughness*” (p.93). In other words, we  
23 might consider topographic roughness (i.e. point cloud roughness) to be a function of  
24 variation in all three dimensions, whilst image texture relates to variation solely in the  
25 horizontal dimension. Thus, at the mesoscale level of assessment we consider here,

1 our results suggest that grain size is more strongly related to variation in 3D  
2 topographic relief, than it is to the horizontal arrangement of roughness as expressed  
3 by the image texture. Whether this pattern holds true at different scales of  
4 assessment is uncertain, and deserves further research. Texture may prove to be a  
5 better predictor of horizontal patterns, such as the rate of change in grain size or  
6 bedforms, or of grain shape, orientation, inclination, spacing or clustering.

7

### 8 ***(3) Which remote sensing approach is “best” for quantifying fluvial grain size?***

9 The simple answer to this question is that it depends on the application at hand. The  
10 accuracy and precision of our results for our novel topographic (point cloud  
11 roughness) method indicates that they are roughly in line with or better than other  
12 remote sensing approaches for grain size quantification (Table 1), including other  
13 UAV based approaches (e.g. Westoby et al., 2015). The spatial resolution of our  
14 outputs is also finer than those approaches with similar mean accuracy levels (Table  
15 1). However, we note that the slope of the observed versus predicted relationship for  
16 point cloud roughness (0.777, Figure 5) is lower than those reported by Carbonneau  
17 et al., (2004) and Carbonneau et al., (2005b) for the use of an image texture  
18 approach on imagery of a different scale acquired from a manned aircraft (Table 1).  
19 We anticipate that platform stability and image clarity may be responsible for this  
20 difference. Ultimately, the choice of the “best” method for quantifying fluvial substrate  
21 size will be determined by the specific requirements of a given application, including  
22 the required scale, spatial coverage, accuracy, precision, data acquisition and  
23 processing times and costs. At present, our point cloud roughness approach is best  
24 suited to studies requiring coverage of up to c. 1km channel length with spatial  
25 resolutions of a few centimetres, where multiple flight passes can be undertaken in

1 order to acquire convergent imagery for SfM processing (whereas the texture  
2 approach can be conducted on a single image). With rapid and on-going  
3 developments in UAV, gimbal, sensor and software technology as well as associated  
4 processing algorithms, we anticipate that covering larger areas with greater detail  
5 and at lower costs will only become more practicable with time.

6

### 7 *Future work*

8 Future research should aim to reduce the impact of image blur on both image texture  
9 and point cloud roughness approaches. For example, we intend to compare the  
10 results obtained using different camera gimbals and conduct sensitivity analysis to  
11 determine the optimal kernel sizes and operators for calculating image texture and  
12 point cloud roughness. Further consideration of scale and quantification of the range  
13 of grain sizes which can be predicted accurately and reliably is also of importance.  
14 For instance, the use of the 2.5cm radius smoothing kernel means that reliable  
15 prediction of grain sizes smaller than 5cm is compromised at present. A reduction of  
16 image blur should reduce point cloud noise and thereby permit a smaller smoothing  
17 kernel size to be used and enable prediction of smaller grain sizes. Additionally, we  
18 might obtain different results by using imagery of different resolutions over different  
19 spatial scales. Such enhanced research is necessary to help us fully understand the  
20 potential for upscaling and transferability of this method to different fluvial settings  
21 and other environments, including submerged areas.

22

### 23 **Conclusion**

24 Within this letter, we have provided an initial quantitative assessment of two different  
25 approaches to subaerial gravel size measurement using UAV imagery processed

1 with SfM digital photogrammetry. We flew a rotary-winged UAV over a gravel bed  
2 river in the English Lake District and processed the resultant imagery into an  
3 orthophoto, DEM and point cloud. We developed an empirical relationship between  
4 grain size validation data and (a) a measure of image texture and (b) topographic  
5 roughness of the SfM point cloud. Our error assessment reveals poor calibration and  
6 validation results for the texture approach, as well as poor accuracy and precision of  
7 grain size estimates. We suspect this may result from the use of blurred imagery  
8 caused by an inadequate camera gimbal, the use of a suboptimal texture operator or  
9 window size, or that the texture method is not well suited to studies at the  
10 mesoscale. Conversely, point cloud roughness is much better correlated with grain  
11 size at this scale of assessment and produces much lower mean errors. Whilst  
12 smoothing and filtering of the point cloud has permitted very accurate grain size  
13 estimations on a plot-by-plot basis, precision is weaker, highlighting the need for  
14 improvements to the reliability of this roughness method. The use of either technique  
15 requires careful consideration of (a) potential error sources and (b) the appropriate  
16 scales at which each method can be applied. With further work in these areas, the  
17 methods we have presented here have potential to be of value to a range of  
18 research and management applications, both within fluvial systems and beyond.

19

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5  
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35

Table 1. An overview of remote sensing methods for quantifying fluvial grain sizes.

| Method                     | Theory  | Typical survey extent             | Typical spatial resolution of grain size estimates | Typical accuracy of grain size estimates | Typical precision of grain size estimates | Typical slope (obs v. pred) | Limitations  | References  |
|----------------------------|---|-----------------------------------|--|--|---|-----------------------------|--|---|
| Close-range photo-sieving  | Manual or automated analyses of photos acquired from tripod-mounted cameras to measure individual grains  | Patch level (microscale)          | < 1cm  | <0.25 phi                                | 0.11-0.25 phi                             | 1.25                        | Segmentation approach can result in over-segmentation of some grains (leading to an underestimation of true grain sizes) and under-segmentation of others (resulting in an overestimation of true grain sizes) | Adams, 1979; Ibbeken and Schleyer, 1986; Butler et al., 2001; Sime and Ferguson, 2003; Graham et al., 2005a; Graham et al., 2005b                                     |
| Statistical image analysis | Use of the frequency (spectral) content of images to quantify grain sizes   | Patch level (microscale)          | <1mm   | <3mm                                     | <3mm                                      | 0.77-1.12                   | Extensive site-specific look-up data required for calibration by some approaches (indicated by *) and scaling is required by all   | Rubin, 2004*; Buscombe, 2008*; Buscombe and Masselink, 2009*; Buscombe et al., 2010; Buscombe and Rubin, 2012; Buscombe 2013  |
| Image textural analysis    | Computed image textural variables are correlated with field measures from small patches   | Reach to catchment level          | c. 1m  | 3-8mm                                    | 13.9-29mm                                 | 1.03-1.23                   | Labour intensive and time consuming collection of field data required for calibration purposes   | Carbonneau et al., 2004; Carbonneau et al., 2005a; Carbonneau et al., 2005b; Verdú et al., 2005   |
| Terrestrial laser scanning | (i) Roughness (standard deviation) of laser-derived point clouds or (ii) segmentation of grey-level images derived from DEMs are used to estimate grain sizes | Patch (microscale) to reach level | c. 5cm   | c. 1mm                                   | 2.34cm                                    | 0.5261                      | Requires significant field and processing efforts to cover large areas (including de-trending)   | McEwan et al., 2000; Entwistle and Fuller, 2009; Heritage and Milan, 2009; Hodge et al., 2009; Brasington et al., 2012; Milan and Heritage, 2012; Rychov et al., 2012 |

Table 2. Co-efficients of determination ( $R^2$  values) for the regression of a range of grain size metrics with maximum image texture and average point cloud roughness. The strongest calibration relationship for each method is highlighted in bold text.

| Grain size metric |        | Image texture (maximum) | Point cloud roughness (average) |
|-------------------|--------|-------------------------|---------------------------------|
| A axis            | D84    | 0.3963                  | 0.7881                          |
|                   | D50    | 0.3812                  | 0.5095                          |
|                   | D mean | <b>0.4787</b>           | 0.7265                          |
| B axis            | D84    | 0.2985                  | <b>0.7987</b>                   |
|                   | D50    | 0.3765                  | 0.7032                          |
|                   | D mean | 0.4400                  | 0.7615                          |

Table 3. Comparison of calibration, validation and residual errors between the image texture and point cloud roughness approaches to grain size quantification.

| Method            |                        | Image texture (maximum) | Point cloud roughness (average) |
|-------------------|------------------------|-------------------------|---------------------------------|
| Grain size metric |                        | Mean of A axis          | D84 of B axis                   |
| Calibration       | $R^2$                  | 0.4787                  | 0.7987                          |
|                   | Slope                  | 0.0005                  | 12.349                          |
|                   | Intercept              | -0.3064                 | -0.0029                         |
| Validation        | $R^2$                  | 0.2169                  | 0.7554                          |
|                   | Slope                  | 0.4393                  | 0.777                           |
|                   | Intercept              | 0.0246                  | 0.0117                          |
| Residual errors   | Mean (m)               | -0.0032                 | -0.0001                         |
|                   | Standard deviation (m) | 0.0262                  | 0.0184                          |