

Effective sparse representation of X-Ray medical images

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Abstract

Effective sparse representation of X-Ray medical images within the context of data reduction is considered. The proposed framework is shown to render an enormous reduction in the cardinality of the data set required to represent this class of images at very good quality. The goal is achieved by a) creating a dictionary of suitable elements for the image decomposition in the wavelet domain and b) applying effective greedy strategies for selecting the particular elements which enable the sparse decomposition of the wavelet coefficients. The particularity of the approach is that it can be implemented at very competitive processing time and low memory requirements.

1 Introduction

Within the field of medical imaging for diagnosis, radiology generates huge volumes of data in the form of X-Ray images. Complying with archive provisions legislation, which may require to store the patient's data for up to ten years, represents a demanding burden for hospitals and individual radiology practices. Additionally, the prompt distribution of remote radiology reporting is one of the challenges in teleradiology. As stated in [1], these matters have led several radiological societies to recommend to use irreversible (or 'lossy') compression "in a manner that is visually imperceptible and/or without loss of diagnostic performance".

At least for extensive use, the state of the art for lossy image compression are the JPEG and JPEG2000 standards. Both techniques belong to the category of transformation coding, because are based on a compression scheme that applies an invertible transformation as the first step in the process. JPEG uses the Discrete Cosine Transform (DCT) for that purpose and JPEG2000 the Discrete Wavelet Transform (DWT). Both transformations play the role of reducing the non-negligible points in the transformed domain. The transformation we adopt here for the same purpose is different in essence. Rather than transforming the data into an array of the same dimensionality to disregard some points there, we expand the representation domain and strive to achieve a sparse representation in the extended domain.

Apart from the perceived advantage of sparse representation for image processing applications, such as transformation coding, the emerging theory of compressive sensing has introduced a strong reason to achieve sparsity. Within the compressive sensing structure the number of measurements needed for accurate representation of a signal informational content decreases if the sparsity of the representation improves [2–5].

This Communication presents a framework rendering high sparsity in the representation of X-Ray medical images. This is achieved by:

- (a) Creating a large redundant ‘dictionary’ of suitable elements for the image decomposition in the wavelet domain.
- (b) Applying effective strategies for selecting the particular elements which enable the sparse decomposition of the wavelet coefficients.

The goal is to achieve high sparsity, with high quality reconstruction, at competitive processing time. Comparison of the results arising from the proposed framework with those yielded by the DCT or DWT approximations demonstrates a huge improvement in sparsity.

2 Sparse Image Representation

Let’s start by introducing some notational convention: bold face lower and upper cases are used to represent one dimension (1D) and two dimension (2D) arrays, respectively. Standard mathematical fonts indicate component, e.g., $\mathbf{c} \in \mathbb{R}^K$ is an array of real components, $c(k)$, $k = 1, \dots, K$, and $\mathbf{I} \in \mathbb{R}^{N_x \times N_y}$ an array of real elements, $I(i, j)$, $i = 1, \dots, N_x$, $j = 1, \dots, N_y$.

Restricting considerations to l -bit gray scale images, an image is represented by an array $\mathbf{I} \in \mathbb{R}^{N_x \times N_y}$ the elements of which, called intensity pixels, are given by integer numbers from 0 to $2^l - 1$.

Within the adopted framework for representations using dictionaries an image $\mathbf{I} \in \mathbb{R}^{N_x \times N_y}$ is approximated by a linear decomposition of the form:

$$\mathbf{I}^K = \sum_{k=1}^K c(k) \mathbf{D}_{\ell_k}, \quad (1)$$

where each \mathbf{D}_{ℓ_k} is an element of $\mathbb{R}^{N_x \times N_y}$ normalized to unity, called ‘atom’. The K -atoms in (1) are selected from a redundant set called a dictionary. A *sparse approximation* of \mathbf{I} is an approximation of the form (1) such that the number of K -terms in the decomposition is significantly smaller than $N = N_x N_y$.

The problem of how to select from a given dictionary the sparsest possible representation of a signal is a NP-hard problem [6]. In practical applications one looks for ‘tractable sparse’ solutions. The mathematical methods which are used for this purpose are either based on the minimization of the l_1 -norm [7, 8] or are greedy strategies which evolve by stepwise selection of atoms from the dictionary [9–19]. Greedy strategies are better suited for practical applications. In particular, in this work we consider algorithms which have been shown effective for approximating by partitioning [20, 21].

2.1 Approximation of X-Ray medical images by partitioning

As will be illustrated in Sec.2.6, a characteristic of X-Ray medical images is that are frequently sparse in the wavelet domain. Such images can be best approximated in that domain, even using the classic DCT. Certainly, when the DCT approach is applied in the wavelet domain (what is termed DCT-W in Sec.2.6) the sparsity results improve significantly upon those obtained when the same approach is applied directly on the intensity image”. Approximations in the wavelet domain entails to:

- (i) Apply a wavelet transform to the image, i.e. to convert the intensity image \mathbf{I} into a transformed array \mathbf{U} .
- (ii) Approximate the array \mathbf{U} .
- (iii) Invert the approximated array to recover the approximated intensity image.

The effectiveness of our proposal is based on (a) the suitability of the proposed dictionary and (b) the selection approach for approximating the transformed image by dividing it into small blocks \mathbf{U}_q , $q = 1, \dots, Q$, which we refer to as a ‘partition’ of the array \mathbf{U} . Without loss of generality the blocks are assumed to be square of size $N_b \times N_b$. A graphical illustration of a partition is given in Fig.1.

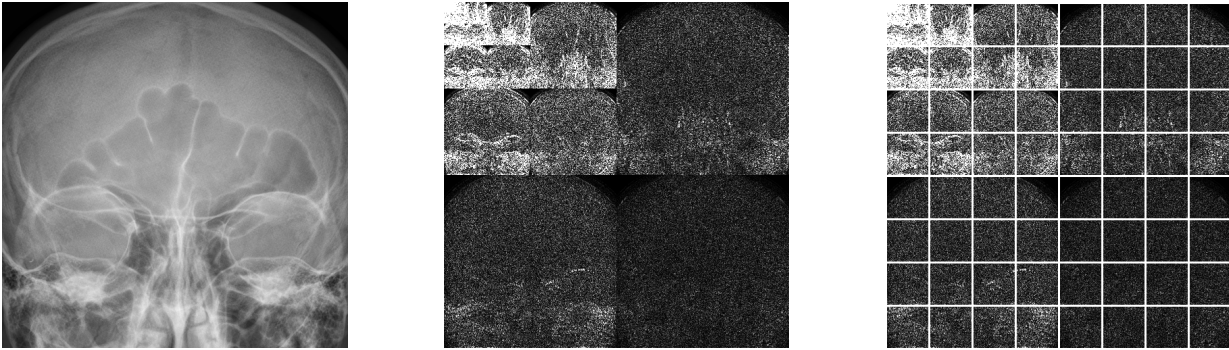


Figure 1: The first image is the X-Ray intensity array and the second one the magnitude of its 5 level wavelet transform. The third image is the illustration of a partition in the wavelet domain.

We restrict the dictionary to be separable, i.e., a 2D dictionary $\mathcal{D} = \{\mathbf{D}_i \in \mathbb{R}^{N_b \times N_b}\}_{i=1}^M$, which is obtained as the tensor product $\mathcal{D} = \mathcal{D}^x \otimes \mathcal{D}^y$ of two 1D dictionaries $\mathcal{D}^x = \{\mathbf{d}_n^x \in \mathbb{R}^{N_b}\}_{n=1}^{M_x}$ and $\mathcal{D}^y = \{\mathbf{d}_m^y \in \mathbb{R}^{N_b}\}_{m=1}^{M_y}$, with $M_x M_y = M$. This represents an important saving in storage. Indeed, instead of having to store a $N_b^2 \times M$ array, only two arrays of size $N_b \times M_x$ and $N_b \times M_y$ are to be stored. The reduction in computer memory requirements allows us to work with large dictionaries.

For $q = 1, \dots, Q$ every element \mathbf{U}_q is approximated by an *atomic decomposition* as below:

$$\mathbf{U}_q^{k_q} = \sum_{n=1}^{k_q} c^{k_q, q}(n) \mathbf{d}_{\ell_n^{x, q}}^x (\mathbf{d}_{\ell_n^{y, q}}^y)^T, \quad (2)$$

where $(\mathbf{d}_{\ell_n^{y, q}}^y)^T$ indicates the transpose of $\mathbf{d}_{\ell_n^{y, q}}^y \in \mathbb{R}^{N_b}$ and the index $\ell_n^{y, q}$ is the element in the set $\{1, 2, \dots, M_y\}$ corresponding to the label of the atom in the dictionary \mathcal{D}^y contributing to the n -th term in the approximation of the q -th block. The approximated array \mathbf{U}^K is the result of assembling the approximated blocks, i.e., $\mathbf{U}^K = \hat{\mathbf{J}}_{q=1}^Q \mathbf{U}_q^{k_q}$, where $K = \sum_{q=1}^Q k_q$ and $\hat{\mathbf{J}}$ stands for the assembling operator, which reconstructs $\mathbf{U}^K \in \mathbb{R}^{N_x \times N_y}$ from the Q disjoint blocks $\mathbf{U}_q^{k_q} \in \mathbb{R}^{N_b \times N_b}$.

The Sparsity Ratio (SR) arising from the approximation is defined as

$$\text{SR} = \frac{N_x N_y}{K} = \frac{Q N_b^2}{K}.$$

Our goal is to produce an effective high quality approximation with a high value of SR.

The quality of an image approximation is quantified by the Mean Structural SIMilarity (MSSIM) index [22, 23] and the classical Peak Signal-to-Noise Ratio (PSNR), calculated as

$$\text{PSNR} = 10 \log_{10} \left(\frac{(2^l - 1)^2}{\text{MSE}} \right), \quad \text{MSE} = \frac{\|\mathbf{I} - \mathbf{I}^K\|_F^2}{N_x N_y},$$

where l is the number of bits used to represent the intensity of the pixels and $\|\cdot\|_F^2$ indicates the Frobenius norm induced by the Frobenius inner product, which for $\mathbf{G}_1 \in \mathbb{R}^{N_x \times N_y}$ and $\mathbf{G}_2 \in \mathbb{R}^{N_x \times N_y}$ is defined as

$$\langle \mathbf{G}_1, \mathbf{G}_2 \rangle_F = \sum_{i,j=1}^{N_x, N_y} G_1(i, j) G_2(i, j). \quad (3)$$

Consequently,

$$\|\mathbf{G}_1\|_F^2 = \sum_{i,j=1}^{N_x, N_y} |G_1(i, j)|^2. \quad (4)$$

We aim at achieving high PSNR and MSSIM very close to one. The question then arises as to how to decide on the number of atoms, k_q , for approximating each block in the partition. One possibility is to approximate each block totally independently of the other blocks and up to a fixed tolerance error. This possibility has the advantage of enabling straightforward parallelization with multiprocessors. Nevertheless, linking the approximation of all the blocks through a global constraint on sparsity, or quality, usually amounts to improving sparsity results [20, 21].

2.2 Effective greedy strategy for approximating by partitioning

The common step of the techniques we consider for constructing approximations of the form (2) is the stepwise selection of atoms for each block q . On setting $k_q = 0$ and $\mathbf{R}_q^0 = \mathbf{U}_q$ at iteration $k_q + 1$ the algorithm selects the indices $\ell_{k_q+1}^{x,q}$ and $\ell_{k_q+1}^{y,q}$ as follows:

$$\ell_{k_q+1}^{x,q}, \ell_{k_q+1}^{y,q} = \arg \max_{\substack{n=1, \dots, M_x \\ m=1, \dots, M_y}} \left| \langle \mathbf{d}_n^x, \mathbf{R}_q^{k_q} \mathbf{d}_m^y \rangle_F \right|, \quad (5)$$

where $\mathbf{R}_q^{k_q} = \mathbf{U}_q - \mathbf{U}_q^{k_q}$. For the calculation of $\mathbf{R}_q^{k_q}$ we find the coefficients in (2) through the orthogonal projection onto the subspace of selected atoms $\mathbb{V}_{k_q} = \text{span}\{\mathbf{d}_{\ell_n^{x,q}}^x (\mathbf{d}_{\ell_n^{y,q}}^y)^T\}_{n=1}^{k_q}$, which is equivalent to the minimization of $\|\mathbf{R}_q^{k_q}\|_F$. For the effective calculation of the projections we may choose two different routes, depending on the size of the blocks in the partition.

- i) Adaptive biorthogonalization of the selected atoms $\mathbf{A}_n^{k_q, q} = \mathbf{d}_{\ell_n^{x,q}}^x (\mathbf{d}_{\ell_n^{y,q}}^y)^T \in \mathbb{R}^{N_b \times N_b}$, $n = 1, \dots, k_q$. This route gives rise to what is known as the Orthogonal Matching Pursuit (OMP) approach [10]. Our implementation for separable dictionaries in 2D, termed OMP2D [24], involves the orthogonalization and re-orthogonalization of the selected atoms $\{\mathbf{A}_n^{k_q, q}\}_{n=1}^{k_q}$, producing the orthogonal set $\{\mathbf{W}_n^{k_q, q}, \in \mathbb{R}^{N_b \times N_b}\}_{n=1}^{k_q}$, which allows for an effective calculation of the set $\{\mathbf{B}_n^{k_q, q} \in \mathbb{R}^{N_b \times N_b}\}_{n=1}^{k_q}$. The elements of this set are biorthogonal to the atoms $\{\mathbf{A}_n^{k_q, q}\}_{n=1}^{k_q}$ and are used to compute the coefficients in (2) as

$$c_n^{k_q, q} = \langle \mathbf{B}_n^{k_q, q}, \mathbf{I}_q \rangle_F. \quad (6)$$

- ii) The Self Projected Matching Pursuit (SPMP) methodology [25], which uses the seminal Matching Pursuit (MP) method [9] as a mean to calculate orthogonal projections.

The implementation details of the above described OMP2D method are given in [24] (Appendix A). Such an implementation is very effective up to some block size. For larger blocks the use of the SPMP method, which in 2D for a separable dictionary is referred to as SPMP2D [25], is advised. Because it fully exploits the separability of dictionaries, the SPMP2D method is less demanding in terms of computer memory even though theoretically equivalent to OMP2D. Full details for its implementation are given in [25]. The algorithm to realize the self projection step is sketched below.

Suppose that for approximating the block q the selection process has chosen k_q linearly independent atoms labeled by the pair of indices $\{\ell_n^{x,q}, \ell_n^{y,q}\}_{n=1}^{k_q}$ and let $\tilde{\mathbf{U}}$ be an atomic decomposition of the form

$$\tilde{\mathbf{U}}_q^{k_q} = \sum_{n=1}^{k_q} a^q(n) \mathbf{d}_{\ell_n^{x,q}}^x (\mathbf{d}_{\ell_n^{y,q}}^y)^T, \quad (7)$$

where the coefficients $a^q(n)$, $n = 1, \dots, k_q$ are arbitrary numbers. Every array $\tilde{\mathbf{U}}_q \in \mathbb{R}^{N_b \times N_b}$ can be expressed as

$$\tilde{\mathbf{U}}_q = \tilde{\mathbf{U}}_q^{k_q} + \tilde{\mathbf{R}}. \quad (8)$$

For $\tilde{\mathbf{U}}_q^{k_q}$ to be the optimal representation of $\tilde{\mathbf{U}}_q$ in $\mathbb{V}_{k_q} = \text{span}\{\mathbf{d}_{\ell_n^{x,q}}^x (\mathbf{d}_{\ell_n^{y,q}}^y)^T\}_{n=1}^{k_q}$, in the sense of minimizing the norm of the residue $\tilde{\mathbf{R}}$, it should be true that $\hat{P}_{\mathbb{V}_{k_q}} \tilde{\mathbf{R}} = 0$. The SPMP2D method fulfills this property by approximating $\tilde{\mathbf{R}}$ in \mathbb{V}_{k_q} , via the MP method, and subtracting that component from $\tilde{\mathbf{R}}$. The next algorithm describes the procedure.

Iterative orthogonal projection

Given a set of previously selected atoms $\{\mathbf{d}_{\ell_n^{x,q}}^x (\mathbf{d}_{\ell_n^{y,q}}^y)^T\}_{n=1}^{k_q}$ set $\mathbf{T}^0 = 0$, $\tilde{\mathbf{R}}^0 = \tilde{\mathbf{R}}$, $j = 1$ and at each iteration apply the steps below:

- Select the pair of indices such that

$$\ell_j^{x,q}, \ell_j^{y,q} = \arg \max_{\substack{n=1, \dots, k_q \\ m=1, \dots, k_q}} \left| \left\langle \mathbf{d}_{\ell_n^{x,q}}^x, \tilde{\mathbf{R}}^{j-1} \mathbf{d}_{\ell_m^{y,q}}^y \right\rangle_F \right|. \quad (9)$$

- Compute:

$$\begin{aligned} t(j) &= \left\langle \mathbf{d}_{\ell_j^{x,q}}^x, \tilde{\mathbf{R}}^{j-1} \mathbf{d}_{\ell_j^{y,q}}^y \right\rangle, \\ \tilde{\mathbf{R}}^j &= \tilde{\mathbf{R}}^{j-1} - t(j) \mathbf{d}_{\ell_j^{x,q}}^x (\mathbf{d}_{\ell_j^{y,q}}^y)^T, \\ \mathbf{T}^j &= \mathbf{T}^{j-1} + t(j) \mathbf{d}_{\ell_j^{x,q}}^x (\mathbf{d}_{\ell_j^{y,q}}^y)^T. \end{aligned}$$

- Set $j \leftarrow j + 1$ and repeat the process until for a given tolerance error ϵ the condition $\|\mathbf{T}^j - \mathbf{T}^{j-1}\|_F < \epsilon$ is reached.

The asymptotic exponential convergence of $\mathbf{T}^j \rightarrow \hat{P}_{\mathbb{V}_{k_q}} \tilde{\mathbf{R}}$ is proven in [26].

2.3 Ranking blocks for the order in their approximation

Especially when an approximation by partitioning is realized in the wavelet domain, and the image is sparse in that domain, it is convenient to impose a global condition on sparsity or quality. This introduces a hierarchized sequence in which the blocks are approximated. The procedure is termed Hierarchized Block Wise (HBW) implementation of greedy strategies [20, 21].

Assuming that $\ell_{k_q+1}^{x,q}$ and $\ell_{k_q+1}^{y,q}$, $q = 1, \dots, Q$ are the indices resulting from (5), the block to be approximated in the next iteration corresponds to the value q^* such that

$$q^* = \arg \max_{q=1, \dots, Q} \left| \left\langle \mathbf{d}_{\ell_{k_q+1}^{x,q}}^x, \mathbf{R}_q^{k_q} \mathbf{d}_{\ell_{k_q+1}^{y,q}}^y \right\rangle \right|.$$

This implies an increment in complexity, with respect to the identical strategy without ranking the blocks, of a factor $KO(Q)$, with $O(Q)$ accounting for the complexity's order for finding the maximum element of an array of length Q . As will be illustrated by the results in Table 1, the extra computational cost is in many cases compensated by the improvement of sparsity. However, the storage requirement of the HBW-OMP2D approach is elevated. Notice that, in the implementation of OMP2D discussed above, the HBW version needs to store at least the orthogonal sets $\{\mathbf{W}_n^{k_q,q} \in \mathbb{R}^{N_b \times N_b}\}_{n=1}^{k_q}$ for each of the blocks in the partition, only for the realization of the orthogonal projection. An implementation of the same strategy, requiring much less computer memory, realizes the orthogonal projection via the above iterative projection algorithm. Such an approach is the HBW version of the SPMP2D method (HBW-SPMP2D) [26].

2.4 HBW Pruning

In this section we consider the downgrading of a given approximation when carried out in a HBW manner. To this end, firstly the approximation of each block is realized, up to the same tolerance error, totally independently of the other blocks. This leaves room for the possibility of parallelization of the block approximation when multiprocessors are available. The outcome of this stage is an approximation of the form (2) for every block $q = 1, \dots, Q$. The second stage consists in slightly downgrading the approximation by pruning some of the coefficients in the atomic decomposition of the blocks, in a HBW fashion. As mentioned in the previous section, the HBW version of a greedy strategy for approximating by partitioning ranks the blocks for their sequential approximation. The optimized way of downgrading an approximation in a HBW manner is termed HBW Backwards Optimized Orthogonal Matching Pursuit (HBW-BOOMP) [21]. For large images this approach is demanding in terms of storage. A method with less memory requirements, though not optimized, is termed HBW Backwards Self Projected Matching pursuit (HBW-BSPMP) [26]. This method downgrades an approximation by disregarding some coefficients in a HBW fashion. Given the approximation of a partition as in (2), the HBW-BSPMP algorithm in 2D (HBW-BSPMP2D) iterates as follows:

- 1) For $q = 1, \dots, Q$ select the ‘potential’ coefficient $c^q(j^q)$ to be eliminated from the atomic decomposition of every block q , according to the criterion:

$$j^q = \arg \min_{n=1, \dots, k_q} |c^q(n)|^2. \quad (10)$$

- 2) Select the block q^\diamond such that

$$q^\diamond = \arg \min_{q=1, \dots, Q} |c^q(j^q)|^2$$

and downgrade the atomic decomposition of the block q^\diamond by removing the atoms corresponding to the indices $\ell_{j^{q^\diamond}}^{x,q^\diamond}, \ell_{j^{q^\diamond}}^{y,q^\diamond}$. This produces the additional residual component $c^{q^\diamond}(j^{q^\diamond})\mathbf{d}_{\ell_{j^{q^\diamond}}^{x,q^\diamond}}^x(\mathbf{d}_{\ell_{j^{q^\diamond}}^{y,q^\diamond}}^y)^T$.

- 3) Approximate $c^{q^\diamond}(j^{q^\diamond})\mathbf{d}_{\ell_{j^{q^\diamond}}^{x,q^\diamond}}^x(\mathbf{d}_{\ell_{j^{q^\diamond}}^{y,q^\diamond}}^y)^T$ in $\text{span}\{\mathbf{d}_{\ell_n^{x,q^\diamond}}^x(\mathbf{d}_{\ell_n^{y,q^\diamond}}^y)^T\}_{n=1, n \neq j^{q^\diamond}}^{k_{q^\diamond}}$ using the projection algorithm given in Sec. 2.2 to obtain

$$\hat{P}_{\mathbb{V}_{k_q}} \left(c^{q^\diamond}(j^{q^\diamond})\mathbf{d}_{\ell_{j^{q^\diamond}}^{x,q^\diamond}}^x(\mathbf{d}_{\ell_{j^{q^\diamond}}^{y,q^\diamond}}^y)^T \right) = \sum_{i=1}^{k_q} t(i)\mathbf{d}_{\ell_n^{x,q^\diamond}}^x(\mathbf{d}_{\ell_n^{y,q^\diamond}}^y)^T.$$

Update the coefficients in the atomic decomposition of the block q^\diamond as

$$\{c^{q^\diamond}(n)\}_{n=1, n \neq j^{q^\diamond}}^{k_{q^\diamond}} \leftarrow \{c^{q^\diamond}(n) + t(n)\}_{n=1, n \neq j^{q^\diamond}}^{k_{q^\diamond}}.$$

- 4) Shift the indices of the coefficients and atoms in the decomposition corresponding to the block q^\diamond , to allow for the removal of the j^{q^\diamond} -th term.
- 5) Set $k_{q^\diamond} \leftarrow k_{q^\diamond} - 1$ and check if the stopping criterion has been met. Otherwise:

- Select a new potential coefficient to be removed from the atomic decomposition of block q^\diamond using the same criterion as in 1), i.e.,

$$j^{q^\diamond} \leftarrow \arg \min_{n=1, \dots, k_{q^\diamond}} |c^{q^\diamond}(n)|^2.$$

- Repeat steps 2) - 5).

2.5 Constructing suitable dictionaries for X-Ray medical images

The degree of success in achieving high sparsity using a dictionary approach, depends on the suitability of the dictionary. One possibility to produce a ‘good’ dictionary is to learn it from training data. In the last decade a number of techniques for learning dictionaries have been proposed [27–32]. Most techniques, though, are not designed for learning large separable dictionaries. In this work we propose a separable dictionary, which is very easy to construct and allows us to achieve the goals of the paper. In line with previously proposed dictionaries for sparse representation of other types of images [24, 25], it is a mixed dictionary consisting of two classes of sub-dictionaries of different nature:

- I) The trigonometric dictionaries \mathcal{D}_C^x and \mathcal{D}_S^x , defined below,

$$\begin{aligned} \mathcal{D}_C^x &= \{w_c(n) \cos \frac{\pi(2i-1)(n-1)}{2M}, i = 1, \dots, N\}_{n=1}^M \\ \mathcal{D}_S^x &= \{w_s(n) \sin \frac{\pi(2i-1)(n)}{2M}, i = 1, \dots, N\}_{n=1}^M, \end{aligned}$$

where $w_c(n)$ and $w_s(n)$, $n = 1, \dots, M$ are normalization factors.

- II) The dictionary \mathcal{D}_L^x , which is constructed by translation of the prototype atoms in Fig. 2. Notice that those prototypes are ‘Hadamard-like’ atoms, but with support one, two, and three. It is worth commenting that more important than the actual shape of these atoms is their support and the combination with the trigonometric dictionary.

The mixed dictionary \mathcal{D}^x is built as $\mathcal{D}^x = \mathcal{D}_C^x \cup \mathcal{D}_S^x \cup \mathcal{D}_L^x$ and $\mathcal{D}^y = \mathcal{D}^x$. The concomitant 2D dictionary, $\mathcal{D} = \mathcal{D}^x \otimes \mathcal{D}^y$, may be very large, but never needed as such. The graphs in Fig. 3

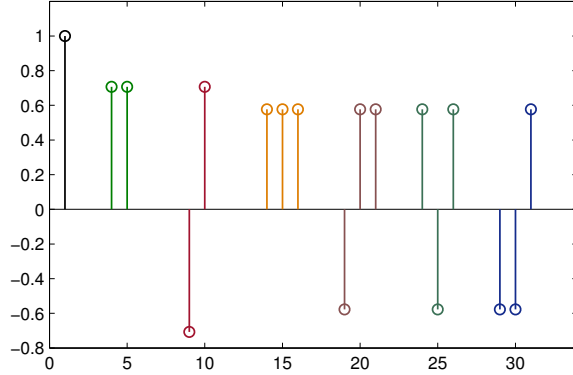


Figure 2: Prototype atoms, which generate the dictionaries \mathcal{D}_L^x by sequential translations of one point. Each prototype is shown in a different color.

depict four different 2D atoms.

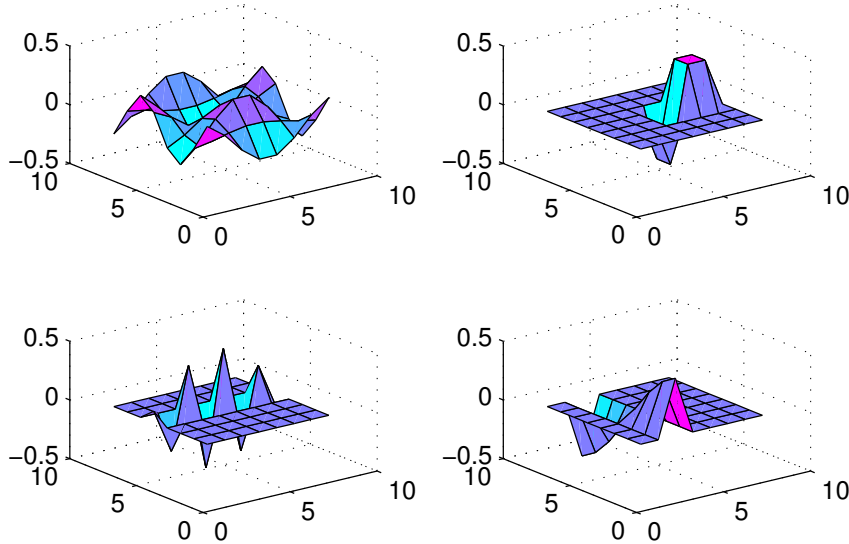


Figure 3: Four different 2D atoms, $\mathbf{d}_{\ell_i}^x (\mathbf{d}_{\ell_j}^y)^T$, with $\mathbf{d}_{\ell_i}^x$ a member of \mathcal{D}_C^x and $\mathbf{d}_{\ell_j}^y$ a member of \mathcal{D}_C^y (top left graph), $\mathbf{d}_{\ell_i}^x$ a member of \mathcal{D}_L^x and $\mathbf{d}_{\ell_j}^y$ a member of \mathcal{D}_L^y (top right graph), $\mathbf{d}_{\ell_i}^x$ a member of \mathcal{D}_L^x and $\mathbf{d}_{\ell_j}^y$ a member of \mathcal{D}_S^y (bottom left graph), $\mathbf{d}_{\ell_i}^x$ a member of \mathcal{D}_C^x and $\mathbf{d}_{\ell_j}^y$ a member of \mathcal{D}_L^y (bottom right graph)

Remark 1: Let’s stress once again that, the approximation of X-Ray images is best realized in the wavelet domain, specially for those images which are sparse in that domain. Once the

approximation of the blocks is concluded, these are assembled to produce the approximated array $\mathbf{U}^K = \hat{\mathbf{J}}_{q=1}^Q \mathbf{U}_q^{k_q}$. Finally, the inverse wavelet transform is applied to convert the array \mathbf{U}^K into the approximation of the intensity image \mathbf{I}^K .

2.6 Numerical Examples

We illustrate now the suitability of the proposed mixed dictionary to produce high quality approximations of the set of X-ray medical images shown in Fig. 4. This set of twenty images is the Lukas 2D 8 bit medical image corpus, available on [33].



Figure 4: Lukas Corpus [33] listed in Table 1. First row: Hand₁, Foot₀, Head₀, Knee₁, Foot₁. Second row: Sinus₀, Hand₀, Head₁, Knee₀, Sinus₁. Third row: Breast₀, Breast₁, Thorax₀, Thorax₁, Leg₀. Fourth row: Leg₁, Pelvis₁, Pelvis₀, Spine₁, Spine₀.

The comparison is performed with respect to the SR achieved by nonlinear thresholding of the DCT and DWT coefficients, respectively, to produce an approximation of the same quality. The quality is set by requiring a value of MSSIM greater than 0.997, and fixing the PSNR as a value for which the DWT approach produces the required MSSIM. The PSNR is more sensitive

to small variations in the approximation than the MSSIM. By fixing the PSNR, at the values given in Table 1, the equivalence of quality is ensured with respect to both measures. Certainly, in its original size is not possible to distinguish the actual images from their approximations with any of the approaches.

The first column of Table 1 lists the images in Fig. 4 and the second column their sizes. The third column displays the value of PSNR. The fourth, fifth and sixth columns correspond to the SR obtained through the DWT, the DCT applied in the wavelet domain (DCT-W) and the DCT applied in the intensity domain, respectively. The DWT approximation is calculated by means of the Cohen-Daubechies-Feauveau 9/7 (CDF97) wavelet transform acting on the whole image (using the `waveletcdf97` MATLAB routine available on [34]) and reducing coefficients, by iteratively thresholding. This produces the required quality in the pixel-intensity domain. The DCT and DCT-W approximations are calculated by applying the DCT on a partition of block size 8×8 and reducing coefficients in a HBW manner. This procedure and block size yield the best sparsity results using DCT in both the intensity and the wavelet domain.

The images in Table 1 are ordered according to the SR achieved with the DWT approximation. The sparsest is the first image and the least sparse the last one.

The first step in the implementation of the dictionary approximation is to transform the image, for which we use the `waveletcdf97` function. For the images in the upper part of the table (from Head_1 to Breast_0) the SR results rendered by this approach, for a partition of block size 8×8 , strongly depend on the method used for the selection process. Because those images are sparse in the wavelet domain, the ranking of the blocks for their approximation through the HBW-OMP2D method yields significantly higher SR (ninth column) than the standard application of OMP2D (seventh column). The processing time with both methods is very competitive, considering that the results have been produced in a MATLAB environment using C++ MEX files for the OMP2D and HBW-OMP2D routines. The times in seconds, given in the eighth and tenth columns of Table 1, correspond to the average of five independent runs with a single processor in a notebook Core i7 3520M, 4GB RAM. Notice that, due to the very significant gain in the SR attained by the HBW-OMP2D method for the first eleven images, the extra computational complexity introduced by this method does not add much computational time.

The images in the lower part of Table 1 (from Breast_1 to Spine_0) are less sparse in the wavelet domain, as indicated by the SR produced by the DWT approximation. Thus, even if the dictionary method achieves, for all the images, a very significant gain in SR with respect to the DWT and DCT approaches, the results corresponding to the OMP2D and HBW-OMP2D methods are much closer than they are for the images in the upper part of the table. While for the images in the upper part of the table is worth applying the HBW-OMP2D method, for most of the images in the lower part of the table the difference is not significant.

All further results of SR will be presented by grouping the first eleven images (from Hand_1 to Breast_0) in a set, say \mathcal{U} , and the remaining images (from Breast_1 to Spine_0) in a set \mathcal{L} . For the same quality as in Table 1, with the dictionary approach we also consider partitions of block size 16×16 and 24×24 . The points in the left graph of Fig. 5 represent the mean value SR with respect to the images in the set \mathcal{U} , vs block size 8×8 , 16×16 , and 24×24 . In order to facilitate a visual comparison, the DCT and DWT results are simply repeated. They correspond to the best result for the DWT, which occurs when each image is processed as a whole, and the best result for DCT, which occurs for a partition of block size 8×8 .

Due to memory requirements, for the images in the set \mathcal{L} and for the partition of block of size 24×24 we can only implement HBW-SPMP2D. Hence, for consistency, the graphs in

Image	Size	PSNR (dB)	DWT	DCT-W	DCT	OMP2D	t (s)	HBW	t (s)
Hand ₁	2016×1248	48.1	30.0	26.4	20.0	39.0	3.7	72.6	4.3
Foot ₀	2240×1384	48.6	25.5	24.9	19.5	42.8	4.2	65.2	6.3
Head ₀	1856×1424	47.4	25.2	24.3	20.9	51.4	2.9	63.2	4.9
Knee ₁	2064×1280	48.0	22.7	23.0	17.0	37.1	5.4	59.8	6.0
Foot ₁	2328×952	48.1	19.3	19.6	14.2	32.4	6.3	49.6	4.8
Sinus ₀	1584×1408	47.1	18.9	18.6	13.4	31.6	4.3	47.0	5.2
Hand ₀	1984×1256	48.8	18.9	19.0	14.8	32.2	3.9	47.9	5.6
Head ₁	1552×1672	46.4	17.9	17.0	15.4	38.4	3.3	44.4	7.3
Knee ₀	2120×1272	49.1	17.4	17.8	14.2	33.2	3.9	45.9	6.5
Sinus ₁	1584×1408	45.8	17.2	17.2	12.4	29.5	3.7	43.4	5.1
Breast ₀	2216×1688	44.3	15.8	15.8	15.3	36.9	5.7	41.0	11.0
Breast ₁	2216×1648	44.3	11.4	11.6	11.2	28.5	6.6	29.7	15.4
Thorax ₀	1720×2048	44.1	10.6	11.0	9.7	25.1	6.9	27.4	15.7
Thorax ₁	1680×1696	43.4	10.3	10.8	9.5	25.4	4.8	27.1	11.8
Leg ₀	2120×808	48.9	8.2	8.4	8.4	21.2	3.2	22.3	7.2
Leg ₁	2120×616	49.2	5.8	6.1	5.9	15.1	3.7	15.4	5.5
Pelvis ₁	1600×1888	44.3	4.8	5.0	4.9	12.5	8.4	12.6	26.7
Pelvis ₀	1632×1904	44.4	4.7	5.0	4.7	12.4	8.4	12.7	26.7
Spine ₁	2096×848	47.0	3.5	3.7	3.6	9.3	6.3	9.4	13.9
Spine ₀	2128×824	47.4	2.9	3.0	2.9	7.7	7.1	7.7	16.3

Table 1: Comparison of the SR calculated by DWT, DCT in the wavelet domain (DCT-W), DCT in the intensity domain and dictionary in the wavelet domain. The DCT-W, DCT and dictionary approximations are carried out on a partition of 8×8 blocks. The values corresponding to the dictionary are those obtained with the OMP2D method and its corresponding HBW version. For all the approximations $MSSIM \geq 0.997$.

Fig. 5 have been produced with the SPMP2D and HBW-SPMP2D methods. The red squares correspond to the mean value SR obtained by SPMP2D and the green stars are those obtained by HBW-SPMP2D. The blue triangles in the same graphs are the result of applying a combination of approaches. Firstly, each image is approximated block by block with SPMP2D up to a PSNR 2% higher than the required PSNR. Subsequently, the approximation is downgraded in a HBW fashion to produce the required global PSNR by means of the HBW-BSPMP2D approach, as described in Sec. 2.4. The left graph of Fig. 5 corresponds to the the images in the set \mathcal{U} , and the difference between approaches is noticeable. On the contrary, as seen in the right graph corresponding to the images in the set \mathcal{L} , except for block size 8×8 all the three methods yield equivalent results.

Remark 2: The numerical tests presented in this section lead to the following conclusions:

- Approximating the set of medical images in Fig. 4 using the proposed mixed dictionary produces a very significant gain in the mean SR value, in relation to the one yielded by traditional DCT and DWT approximations.
- The best compromise between sparsity and computational time is attained for a partition

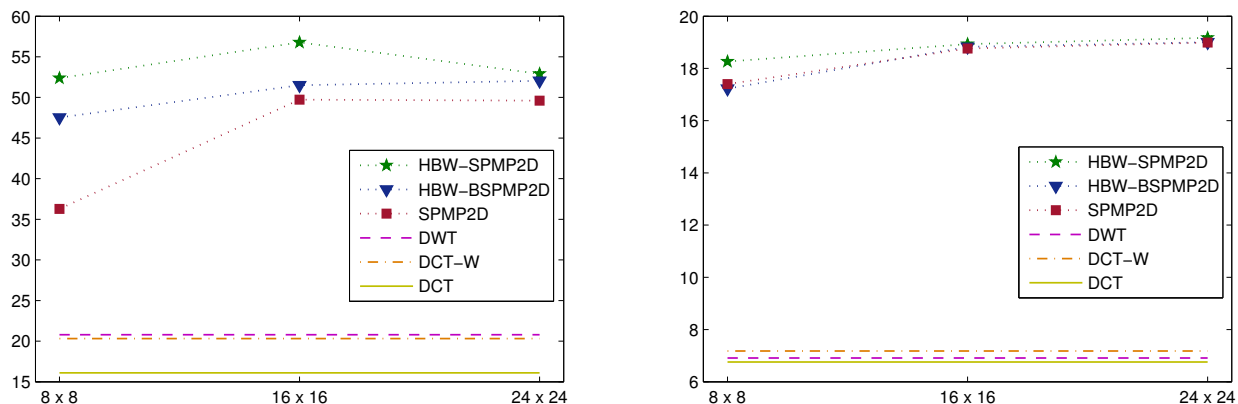


Figure 5: Mean SR vs block size $N_b \times N_b$ for the X-Ray images in Fig. 4. The green stars are the values obtained with HBW-SPMP2D, the red squares with SPMP2D, and the blue triangles with HBW-BSPMP2D. The dashed line represents the DWT results. The dash-dot line corresponds to the results of the DCT in the wavelet domain (DCT-WT) and the continuous line to the results in the intensity domain. The graph on the left corresponds to the images in the upper part of Table 1 (set \mathcal{U}) and the one on the right corresponds to the images in the lower part of that table (set \mathcal{L} .)

of block size 16×16 . The quantification of the relative gain in SR of one particular approach, in relation to other, is given by the quantity:

$$G = \frac{SR_A - SR_B}{SR_B} 100\%,$$

where SR_B is the SR produced by the approach for which the gain is referred to. Accordingly, the mean value sparsity gain in relation to DWT results, including all the twenty images in the set, is 142% with standard deviation of 18%. These results can be obtained very effectively through the HBW-OMP2D method, if the images are sparse in the wavelet domain. Otherwise, the OMP2D method is more effective because it produces faster equivalent results. Moreover, parallelization of block approximation with multi-processors is straightforward.

- For blocks larger than 16×16 the methods SPMP2D and HBW-SPMP2D (low memory implementations of OMP2D and HBW-OMP2D respectively) may be required. However, when the approximation is carried out in the wavelet domain blocks of size larger than 16×16 do not improve sparsity in a significant way.
- For partitions of block of size 8×8 , refining a OMP2D approximation by HBW-BSPMP2D pruning is an option worth consideration, if the image is sparse in the wavelet domain. The actual results vary according to how far the forward selection goes. The results presented here correspond to a slight pruning which degrades the quality of the forward approximation only 2%.
- Since the DWT is a fast approximation, it can be used as a tool to help decide the strategy for approximating with dictionaries. If the fast DWT approximation gives a high SR (say $SR > 10$ for the high quality reconstruction required in this context) then using the HBW version of a pursuit strategy is strongly advised.

Note: All the routines for implementation of the approximation methods and the script for reproducing results have been made available on the website [35].

3 Conclusions

Sparse representation of X-Ray medical images in the context of data reduction has been considered. The success of the framework is based on (a) the suitability of the proposed dictionary and (b) the effectiveness of the algorithms for realizing the approximation. The comparison with traditional approaches such as non linear approximations through DWT and DCT redounds in a mean value gain of sparsity of up to 148% (for block size 16×16) which is achieved at a very competitive time (11.4 secs per image) even when the implementations are carried out in a small notebook within a MATLAB environment. The results are really encouraging. We feel confident that the proposed framework will be of assistance to X-ray image processing applications relying on data reduction. In particular, to exploit sparsity for compression purposes two further points should be considered i)the quantization of the coefficients involved in the image approximation and ii)the storage of the indices corresponding to the atoms intervening in the approximation. This would allow to reduce the size of the file needed for the recovery of the approximated image and produce what is normally termed Compression Ratio. In order to facilitate further developments, as well as the reproduction of the results in this paper, the implementation of all the algorithms has been made available on a dedicated website [35].

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