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TITLE: 'Machine-learning-based calving prediction from activity, lying, and ruminating behaviors in dairy cattle

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1 **Interpretive summary:** *Machine-learning based calving prediction from activity, lying, and*
2 *ruminating behaviors in dairy cattle. Borchers.* Frequent visual inspection has long served as the
3 primary method to identify cattle in labor. Precision dairy technologies monitoring behavior
4 before calving may have potential to predict calving. This study quantified cow activity, time
5 spent ruminating, and lying behaviors before calving and applied machine-learning methods to
6 retrospectively determine the calving prediction efficacy of these variables. A combination of
7 activity, rumination time, and lying behaviors in prediction models was effective in predicting
8 calving and show promise in future research and commercial application.

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10 BEHAVIORAL MONITORING AND CALVING PREDICTION

11

12 **Machine-learning based calving prediction from activity, lying, and ruminating behaviors**
13 **in dairy cattle**

14

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23

24 **ABSTRACT**

25 The objective of this study was to use automated activity, lying, and rumination monitors
26 to characterize prepartum behavior and predict calving in dairy cattle. Data were collected from
27 20 primiparous and 33 multiparous Holstein dairy cattle from September 2011 to May 2013 at
28 the University of Kentucky Coldstream Dairy. The HR Tag (SCR Engineers, Ltd., Netanya,
29 Israel) automatically collected neck activity and rumination data in 2 h increments. The IceQube
30 (IceRobotics, Ltd., Scotland) automatically collected number of steps, lying time, standing time,
31 the number of transitions from a standing position to a lying position (lying bouts), and total
32 motion, summed in 15-min increments. IceQube data were summed in 2 h increments to match
33 HR Tag data. All behavioral data were collected for 14 d before predicted calving date.
34 Retrospective data analysis was performed using mixed linear models to examine behavioral
35 changes by day in the 14 d before calving. Bihourly behavioral differences from baseline values
36 over the 14 d before calving were also evaluated using mixed linear models. Changes in daily
37 rumination time, total motion, lying time, and lying bouts occurred in the 14 d before calving. In
38 the bihourly analysis, extreme values for all behaviors occurred in the final 24 h, indicating the
39 monitored behaviors may be useful in calving prediction. To determine whether technologies
40 were useful at predicting calving, random forest, linear discriminant analysis, and neural network
41 machine-learning techniques were constructed and implemented using R version 3.1.0 (R
42 Foundation for Statistical Computing, Vienna, Austria). These methods predicted calving events
43 using 14 d of behavioral data. These methods were used on variables from each technology and
44 all combined variables from both technologies. A neural network analysis combining variables
45 from both technologies at the daily level yielded 100.0% sensitivity, and 86.8% specificity. A
46 neural network analysis combining variables from both technologies in bihourly increments was

47 used to identify bihourly periods in the 8 h period before calving with 82.8% sensitivity and
48 80.4% specificity. Changes in behavior and machine-learning alerts indicate commercially
49 marketed behavioral monitors may have calving prediction potential.

50 **Key Words:** calving prediction, precision dairy monitoring technology, machine learning

51

52

INTRODUCTION

53 Parturition is an important period for both cows and their calves. Dystocia and calf
54 mortality in this period can negatively impact farm economics and animal welfare (Mee, 2004).
55 In the United States, 19% of primiparous and 11% of multiparous cows experience mild to
56 severe dystocia at calving (USDA, 2010). Cows laboring more than 70 min past the appearance
57 of the amniotic sac outside the vulva are at increased risk for dystocia (Schuenemann et al.,
58 2011). Providing timely calving assistance may reduce the risk of dystocia, reduce the pain
59 associated with assisted labor (Mainau and Manteca, 2011), and improve reproductive
60 performance in the subsequent lactation (Bellows et al., 1988). Identifying laboring cattle allows
61 managers to assist in cases of dystocia. Dairy producers currently use a combination of breeding
62 records and visual cues to estimate calving time; however, even experienced personnel may not
63 accurately detect all calvings because perceptible behavioral and physiological changes do not
64 occur for every cow or at a consistent time across all calvings (Hofmann et al., 2006; Sendag et
65 al., 2008).

66 Precision dairy monitoring technologies provide alternatives to the subjective observation
67 and assessment of calving behaviors. Precision dairy monitoring technologies represent an
68 alternative approach for predicting calving time compared to visual monitoring. To date, the
69 application of precision technologies in calving detection has primarily consisted of maternal

70 body temperature monitors. Maternal body temperatures have been shown to decrease
71 approximately 48 h before calving (Lammoglia et al., 1997; Burfeind et al., 2011). Commercially
72 marketed temperature monitors measure dairy cattle reticulorumen temperature, skin
73 temperature, and vaginal temperature, but none have been validated for calving prediction.
74 Monitors inserted in the vagina and expelled at the beginning of the second stage of labor also
75 exist (Palombi et al., 2013), but these tools have also not been validated. Additionally, these
76 technologies are costly, and to the knowledge of the authors, no economic research establishing
77 their feasibility on dairy farms has been completed.

78 Validated measures of activity (Champion et al., 1997; Robert et al., 2009; Bikker et al.,
79 2014), lying behavior (McGowan et al., 2007; Ledgerwood et al., 2010; Mattachini et al., 2013a;
80 Borchers et al., 2016), and rumination (Schirmann et al., 2009; Bikker et al., 2014; Borchers et
81 al., 2016) exist and may offer other options for calving prediction. Many of these technologies
82 and the variables they monitor are already commonly used on dairy farms (Borchers and Bewley,
83 2015). Evidence exists that dairy cows change feeding, rumination (Huzzey et al., 2005;
84 Schirmann et al., 2013; Pahl et al., 2014), and lying behavior (Huzzey et al., 2005; Miedema et
85 al., 2011; Jensen, 2012) as calving approaches, making technologies measuring these behaviors
86 potentially useful calving prediction tools. Some research has endeavored to predict calving
87 events using these measures. Clark et al. (2015) used the SCR HR Tag (SCR Engineers, Ltd.,
88 Netanya, Israel) to monitor rumination behavior and predict calving events, achieving a 70%
89 sensitivity and 70% specificity in predicting the day of calving. Similarly, Ouellet et al. (2016)
90 evaluated systems monitoring rumination time, vaginal temperature, and lying behaviors for their
91 calving prediction accuracy and found a combination of these variables to achieve a greater level
92 of prediction accuracy than considering them alone (77% sensitivity, 77% specificity).

93 Most algorithm development and usage implements elements of statistical process control
94 (MacGregor and Kourti, 1995) and requires the use of trial and error and deviations from
95 baseline values to be developed. A newer approach in event prediction is the use of machine-
96 learning event prediction. Most machine-learning research in the dairy sciences has been applied
97 for mastitis and estrus detection (Firk et al., 2003; Cavero et al., 2008; Sun et al., 2010), but no
98 research has addressed their usage in calving prediction. Additionally, to the knowledge of the
99 authors, no commercial precision dairy monitoring technologies use machine-learning techniques
100 in alert creation.

101 Before these technologies can become useful in calving prediction, research is needed to
102 determine if the behaviors measured by the technologies (e.g., activity, rumination, and lying
103 behavior) are highly sensitive and specific in detecting imminent calving. The objectives of this
104 study were to first quantify activity, rumination, and lying behaviors before calving using two
105 commercially available technologies and compare these behaviors to previous literature. The
106 main objective was to determine the calving prediction efficacy of these technologies, both
107 individually or in combination, using machine-learning prediction techniques. Cow-specific data
108 commonly available through herd management software will also be included in these prediction
109 methods. We hypothesize that activity, rumination, and lying behaviors will differ from typical
110 values on the day of calving. In the calving prediction analysis, we hypothesize that a
111 combination of variables from both technologies will generate greater prediction accuracy with
112 machine-learning methods than either technology considered alone.

113

MATERIALS AND METHODS

114 Data were collected using 20 primiparous and 33 multiparous prepartum Holstein dairy cattle
115 (mean \pm SD; gestation length 277.6 ± 4.9 d; parity 2.3 ± 1.5) from September 2011 through May
116 2013 at the University of Kentucky Coldstream Dairy Facility (IACUC Protocol Number: 2010-
117 0776). Beginning minimally 30 d before expected calving date, cows were moved to dry cow
118 facilities and housed in a 9.15 x 21.34 m sawdust bedded pack with constant access to 3.64
119 hectares of pasture. A TMR was delivered to the pen once daily.

120 Two technologies were fitted to each cow by 28 d before predicted calving. After calving,
121 data was reduced to include only the 2 weeks of data before calving from each cow. The HR Tag
122 (SCR Engineers, Ltd., Netanya, Israel) was placed on the left side of the neck and automatically
123 collected neck activity and rumination data in 2 h periods using a 3-axis accelerometer and a
124 microphone with microprocessor, respectively. The IceQube (IceRobotics, Ltd., Scotland) was
125 attached to the left rear leg and automatically collected number of steps, time spent lying, time
126 spent standing (inverse of time spent lying), the number of transitions from a standing position to
127 a lying position (lying bouts), and a proprietary total motion variable in 15 min periods using a 3-
128 axis accelerometer. Third-party technology variable validations were previously completed for
129 the HR Tag (Schirmann et al., 2009) and IceQube (McGowan et al., 2007; Mattachini et al.,
130 2013b; Borchers et al., 2016), with both technologies being found to accurately monitor their
131 respective variables.

132 Cows in the dry pen were monitored for signs of calving every 3 h. Individual cows were
133 monitored every 15 min after the first sign of labor was detected (e.g., the amniotic sac or calf
134 feet became visible outside of the vulva). After laboring cows were identified, cows were
135 separated into individual pens, preventing pasture access. For each calving, farm staff were

136 instructed to record the calving date, the cow's parity, the time calving began, and the
137 approximate time calves were fully outside the cow. Eleven cows were assisted during labor in
138 the study population. These cows were included in all analyses. The need for assistance in the
139 birthing process was assessed and provided at the farm manager's discretion, or according to the
140 farm's standard operating procedure.

141 *Statistical Analysis*

142 To quantify changes in behavior before calving, neck activity and rumination data from
143 the HR Tag, as well as number of steps, time spent lying, number of lying bouts, and total
144 motion data from the IceQube were used to create two data sets; daily and bihourly (behavior per
145 2 h period) calving behavior. For daily and bihourly analyses, data were averaged in 24 h periods
146 relative to calving. For bihourly analyses, the time of calving was used to retrospectively
147 generate cow-specific number of hours before calving in 2 h periods. This was performed on
148 each variable in order to place all cows on the same timeline regardless of the time of day,
149 similar to the methods of Schirmann et al. (2013).

150 A mixed linear model (MIXED procedure of SAS version 9.3; Cary, NC) generated daily
151 least-squares means, with parity group (primiparous or multiparous) and day before calving (**Day**
152 **-1 to -14**) serving as categorical fixed effects. Cows served as repeated subjects for all variables
153 and an autoregressive covariance structure (AR-1) was used to account for multiple observations
154 being collected from subjects over time. All two-way interactions were tested in daily models,
155 and non-significant ($P \geq 0.05$) interactions were removed using backwards stepwise elimination.
156 All main effects remained in final models regardless of significance. Tukey's range test was used
157 to identify significant differences between days before calving.

158 All bihourly data were adjusted similarly to the methods of Jensen (2012). All 2 h periods
159 were assigned a label (**Hour -2 to -334**) for each behavior and cow. For every cow, the 2 h
160 behavioral data value minus the average of the same 2 h time of day for the previous three days
161 (to account for differences in circadian patterns) was used to determine deviation from baseline
162 values (Jensen, 2012). This procedure was applied to all variables (neck activity, rumination,
163 number of steps, time spent lying, number of lying bouts, and total motion), individually. Least-
164 squares means were calculated from these differences, with parity (primiparous or multiparous),
165 time of day (0000 h to 2359 h in 2 h periods), and bihourly period before calving (-334 to -2, in 2
166 h periods) as fixed effects. Cows served as repeated subjects. All two-way interactions were
167 tested and non-significant ($P \geq 0.05$) interactions were removed using backwards stepwise
168 elimination. All main effects remained in final models regardless of significance.

169 Residual plots were generated and inspected to assess normality and detect potential
170 outliers for each analysis. Data transformations were performed to meet normal distribution for
171 daily number of steps and total motion, as well as bihourly neck activity, total motion, and
172 number of steps. A natural logarithm transformation was performed on these variables to meet
173 residual normality assumptions for mixed linear models.

174 ***Prediction Model Development***

175 Machine-learning techniques were applied to the data sets to predict calving time. The
176 three machine learning analysis techniques used for calving prediction were random forest, linear
177 discriminant analysis, and neural network analyses. The random forest method is based on
178 decision tree classification and develops a group of tree-structured classification models. Each
179 tree contributes an opinion of how the data should be classified (Breiman, 2001; Bishop, 2006;
180 Shahinfar et al., 2014). Linear discriminant analysis is similar to analysis of variance and

181 regression methods, but uses a categorical dependent variable and several continuous
182 independent variables (McLachlan, 2004; Wetcher-Hendricks, 2011). Neural networks imitate
183 the structure and function of the human brain, simulating human intelligence, learning
184 independently and quickly, adapting continuously, and applying inductive reasoning to process
185 knowledge (Zahedi, 1991; Krieter et al., 2006). In animal sciences, neural networks are the most
186 frequently used machine-learning method (Shahinfar et al., 2014).

187 All analyses were constructed and implemented using the <caret> package in R version
188 3.1.0 (R Foundation for Statistical Computing, Vienna, Austria). To make the prediction
189 methods as applicable to actual calving situations as possible, prediction models were developed,
190 with the intent to be sequentially performed. The day of calving would first be identified using
191 daily calving behaviors data. The 8 h period immediately preceding calving would then be
192 determined using the bihourly data from the day of calving. Separate random forest, linear
193 discriminant analysis, and neural network analyses were performed for the IceQube, the HR Tag,
194 and a combination of variables from both technologies, for a total of 18 prediction models (3
195 technologies x 3 analyses x 2 time periods predicted).

196 The datasets used in each model were prepared in the same way. A data subset consisting
197 of 80% of observations was used as a “training” set to generate prediction models. A leave-one-
198 out cross-validation method was performed for each machine-learning method to develop
199 training phase models. The remaining 20% of observations in the “testing” subset were used to
200 evaluate the performance of the models. During the testing phase, trained models were used to
201 predict periods of interest. True positives, false positives, true negatives, and false negatives
202 were calculated for each daily and 2 h period and the sensitivity, specificity, positive predictive

203 value, and negative predictive values were calculated to evaluate the performance of different
204 machine-learning techniques and technology.

205 ***Daily Calving Prediction Models.*** For daily calving prediction, the predicted variable
206 was the day before calving (from Day -1 to -14). The ability of models to predict the day before
207 calving was used as the outcome of interest, but all days were included in the model. Data were
208 summed by day in a 24 h format, from 0000 h through 2359 h. Day 0 was not considered in daily
209 prediction models to exclude periods in which calving occurred and remove any incomplete time
210 periods.

211 Data were presented to machine-learning techniques in three separate ways. Analyses
212 were performed individually analyzing complete daily data from each technology and combined,
213 analyzing complete daily and bihourly data from both technologies. For example, only cows with
214 complete data for the IceQube included in IceQube calving prediction models, and cows missing
215 data from either technology or all data from both technologies were removed from combined
216 calving prediction models. For this reason, sample sizes differed by day relative to calving
217 because of missing data originating from technology failure or data transfer error. From Day -1
218 to Day -14 d prepartum, sample sizes ranged from $n = 43$ cows to $n = 51$ cows for the HR Tag.
219 For the same period sample sizes for the IceQube ranged from $n = 43$ to $n = 53$. For the
220 combination analysis, only instances where data was available from each technology were
221 analyzed ($n = 43$ to $n = 51$). Parity and days until estimated calving date (from breeding records)
222 data were included in the daily prediction models. Variables measured by each technology were
223 also included in their respective prediction models (IceQube models: number of steps, time spent
224 lying, number of lying bouts, and total motion; HR Tag models: neck activity and rumination;
225 technology combination models: all variables from both technologies). The IceQube also

226 monitored standing time (the inverse of lying time). Standing time was a variable supplied by the
227 technology and all available variables were used in prediction models to simulate actual
228 conditions.

229 ***Bihourly Calving Prediction Models.*** A 24 h backwards moving average was calculated
230 for each cows' behavior and 2 h period to account for differences in circadian patterns. Machine-
231 learning techniques were applied to 22 h of backwards moving averaged data before calving. The
232 2 h period immediately preceding calving was excluded from analysis because alerts would be
233 generated following or at calving completion and would not be obtained in a timely manner for a
234 producer to execute meaningful interventions. Behavioral data, parity, and time of day were used
235 to predict each 2 h period before calving (bihourly periods from -2 h to -22 h before calving; 11
236 total time points). The variable of interest was the 2 h period before calving (representing data
237 from -2 to -4 hours before calving), but due to large calving behavior variation, this period was
238 extended to the 8 h period preceding calving. All sensitivity, specificity, positive predictive
239 value, and negative predictive values were performed using combined true positives, false
240 positives, true negative, and false negative data from this 8 h period (data from periods -2 to -4, -
241 4 to -6, -6 to -8, and -8 to -10) periods. Variables measured by each technology were also
242 included in their respective prediction models (IceQube models: number of steps, time spent
243 lying, number of lying bouts, and total motion; HR Tag models neck activity and rumination;
244 technology combination models: all variables from both technologies). Standing time was also
245 added to models including IceQube data, similar to the daily analyses.

246 **RESULTS**

247 ***Interactions and parity effects on behavior***

248 Significant interactions were found between parity and day before calving (Day -1 to Day
249 -14) for daily lying time (Table 1; $P = 0.02$). Significant interactions were also found for parity
250 and 2 h period before calving for difference in bihourly neck activity (Figure 1; $P = 0.03$).
251 Primiparous cows differed from multiparous cows in lying behavior and neck activity, with
252 primiparous cows lying less and becoming more active before calving.

253 ***Behavioral Comparisons***

254 Differences between days before calving were observed for rumination time, total
255 motion, lying time, and lying bouts (Table 1). No differences were found for neck activity and
256 number of steps between days before calving. Behavioral changes by 2 h period for the 72 h
257 before calving are shown in Figure 1a to f. In the 24 h before calving, all measured variables
258 were significantly ($P < 0.05$) affected by 2 h periods, indicating an effect of time, and therefore
259 stage of labor before calving on differences in behavior.

260 ***Activity Variables: Neck Activity, Number of Steps, Total Motion***

261 Neck activity and the number of steps taken were not different by day before calving
262 (Table 1), but were affected by 2 h period before calving (Table 1a). First parity neck activity
263 decreased to its least value 18 h before calving, and then increased to its greatest value 2 h before
264 calving. This indicates that these variables may not be useful for calving prediction at the daily
265 level, but may be at the 2 h level.

266 ***Rumination Behavior: Rumination Time***

267 Daily rumination time decreased throughout the prepartum period and was least on the
268 day before calving (Table 1), but no differences were observed. Similarly, the difference from
269 baseline values in rumination at the 2 h level was below baseline values for the entire 24 h before
270 calving (Figure 1b). Rumination time decreased to its least value 8 h before calving. An increase

271 in rumination time beginning 8 h before calving was observed but values remained far below
272 baseline values. This suggests that preparturient cows decrease rumination behavior as calving
273 time approaches.

274 ***Lying Behaviors: Lying Time and Lying Bouts***

275 Lying time decreased from Day -14 to Day -2 (Table 1). Differences between parities
276 were found for the final 7 days before calving. Lying times were least on the final day before
277 calving for both parities (Primiparous, 7.0 ± 0.6 h; Multiparous, 10.2 ± 0.5 h). When data were
278 analyzed for differences in 2 h intervals, a similar trend was observed in the 24 h to 48 h period
279 before calving (Figure 1e). The 2 h periods throughout the 24 h preceding calving were variable
280 for lying time, but lying time decreased to its least value, 8 h before calving (a decrease of $34.7 \pm$
281 9.3 min from baseline values). Lying time increased and exceeded baseline values 4 h before
282 calving, indicating a return to normal behavior. This behavioral change indicates that although
283 daily lying time decreased on the day of calving, cows lay more in the hours immediately
284 preceding calving. Similarly, lying bouts increased on the day before calving (Table 1). Cows
285 also steadily increased lying bout frequency per 2 h period on the day of calving (Figure 1f).

286 ***Machine-Learning Analyses***

287 The machine-learning methods used in this study produce results and output not typical
288 of other prediction methods where algorithms are produced. The authors have provided sample
289 code and data, which are viewable at [https://github.com/Mrborchers/Machine-learning-based-](https://github.com/Mrborchers/Machine-learning-based-calving-prediction-from-activity-lying-and-rumination-behaviors)
290 [calving-prediction-from-activity-lying-and-rumination-behaviors](https://github.com/Mrborchers/Machine-learning-based-calving-prediction-from-activity-lying-and-rumination-behaviors). Prediction performance for
291 daily methods is shown in Table 2. The ability to predict the day before calving was best when a
292 combination of variables from the HR Tag and IceQube were used. The best daily calving
293 prediction results were obtained in the combined variable neural network analysis.

294 The greatest sensitivity and specificity combinations were obtained when true positives,
295 false positives, true negatives, and false negatives from the 2 h periods from -2 to -8 (data from
296 periods -2 to -4, -4 to -6, -6 to -8, and -8 to -10) were combined. These results are presented in
297 Table 3. Similar to the daily analysis, neural network results of the bihourly combination analysis
298 were the greatest.

299 Daily variable data measured by the IceQube sensor also effectively predicted the day of
300 calvings in the linear discriminant analysis. Similar results were also obtained at the bihourly
301 level, where the IceQube best identified the 8 h period before calving in comparison to the HR
302 Tag. The HR Tag alone was ineffective at the daily level, reaching the best prediction efficiency
303 in the linear discriminant analysis. At the bihourly level, the HR Tag variables were best able to
304 identify the 8 h period before calving in the linear discriminant analysis.

305 **DISCUSSION**

306 *Behavioral Comparisons*

307 Primiparous cows showed differences in daily lying times, and bihourly neck activity.
308 Primiparous cow lying times decreased in the days before calving and were different from
309 multiparous counterparts beginning 7 d before calving. Lying time was least on the day of
310 calving for both primiparous and multiparous cows. When separated by parity, neck activity may
311 have use in calving prediction over shorter periods, and may be useful in predicting first parity
312 calvings. Similar to this study, Owens et al. (1985) and Wehrend et al. (2006) found primiparous
313 cattle to become more restless before calving. This indicates parity to be important in describing
314 the change in daily lying time and neck activity. Accordingly, parity was included in all
315 prediction models.

316 Previous studies have shown activity increases as calving approaches (Miedema et al.,
317 2011; Jensen, 2012), but their measurement of activity and methodology differed from this study.
318 For example, Miedema et al. (2011) used a within-cow comparison and observed that walking
319 duration increased from control periods during the dry period to the 24 h before calving ($21.0 \pm$
320 7.4 vs. 31.5 ± 13.1 min; $P < 0.01$). Similarly, Jensen (2012) observed an activity (calculated as
321 acceleration not associated with gravity) increase beginning 6 h before calving ($F = 5.46$; $P <$
322 0.01), compared to the same time of day during the 3 d before calving. These findings are similar
323 to the findings for the total motion variable used in this study. In the current study, differences
324 between days before calving were identified for the total motion variable. Total motion is a
325 proprietary motion variable monitored by the IceQube, and the method by which this variable
326 was calculated were not known. This variable may encompass all overall movement of the leg,
327 as well as step number. This could include motions associated with lying and standing bouts,
328 lateral movement, as well as steps. A variable analogous to lying bouts is standing bouts. Lying
329 and standing bouts would be approximately equivalent if measured individually. Standing bouts
330 were not measured in this study but may be represented in total motion. The motions associated
331 with a standing or lying event, if captured in total motion, would be potentially additive. This
332 additive effect may have led to the overall total motion increase seen in this study.

333 For rumination behaviors, Clark et al. (2015) showed a 33% decrease in rumination time
334 over the 2 days prepartum. The same period in the current study only showed a decrease of 13%.
335 Similarly, Schirmann et al. (2013) observed a 63 ± 30 min/24 h difference between the day of
336 calving and a 2 d average rumination baseline value. A 45 min difference was seen in the current
337 study between the day of calving and the day before. Ouellet et al. (2016) observed a 36 min
338 decrease in this same period. In all studies, including the current study, a decrease in rumination

339 was shown, but the magnitude of this decrease differed. Differences in environments and
340 feedstuffs may explain these differences, but more research is needed.

341 In bihourly periods, rumination decreased by nearly 20 min from baseline values 8 hours
342 before calving. Pahl et al. (2014) showed similar differences across bihourly periods, but found
343 the largest differences immediately preceding calving. The differences in rumination time
344 (although not significant at the daily level) indicate it may be a good predictor of calving across
345 smaller periods immediately preceding calving. Although non-significant, differences in
346 rumination may be useful in daily calving prediction models as well.

347 For lying behaviors, Jensen (2012) showed a gradual decrease in daily lying time from
348 16.6 h/d on Day -4 before calving to 16.2 h/d, on Day -2 before calving. When data were
349 analyzed by individual 2 h periods in the 24 h before calving, Jensen (2012) found that lying
350 time increased from 12 h before calving (31.4 min) to 2 h before calving (42.8 min). Cows
351 remain recumbent during the second stage of labor as the calf moves through the birth canal
352 (Schuenemann et al., 2011). These findings suggest cows may become more uncomfortable and
353 spend less time lying down during the few days before calving, but increase their lying time in
354 the hours before calving as they begin labor.

355 For lying bouts, Miedema et al. (2011) showed lying bout frequency increased from the
356 dry period to the 24 h before calving (16.4 ± 4.8 vs. 24.2 ± 6.8 bouts per 24 h). Beginning 18 h
357 before calving in the current study, subsequent individual 2 h periods significantly affected lying
358 bouts. The greatest deviation from baseline values occurred in the 2 h immediately preceding
359 calving (1.8 ± 0.1 lying bouts). Over a similar period, Jensen (2012) showed lying bouts per hour
360 increased from 0.83 bouts per hour at 12 h before calving, to 2.79 bouts per hour at 2 h before
361 calving. The incremental increase in lying bouts and the changes in lying time indicate dairy

362 cattle may become restless in response to labor pain, but will remain recumbent longer for the
363 final 4 h before calving.

364 Lying and rumination time are similarly correlated, with cows ruminating more
365 frequently when lying (Albright, 1993; Schirmann et al., 2012). Although lying time decreased 8
366 h before calving in the current study, it increased and surpassed baseline levels for the final 4 h
367 before calving. Simultaneously, an expected increase in rumination was not observed for this
368 same period. This suggests an uncharacteristic change in normally correlated behaviors to occur
369 in the hours immediate preceding calving, which could be used in calving prediction models.

370 *Calving Prediction Methods*

371 The bihourly prediction method reported in this study was developed under the
372 assumption that following identification of the day before calving, the bihourly analysis could
373 commence. A flaw with this approach would be if the daily analysis failed to identify the day
374 before calving, the bihourly analysis would not commence. For example, a cow calving at 1030
375 h, would have a day of calving alert at 0000 h, and another alert identifying the 8 h period before
376 calving between 0200 h and 0959 h on that same day. This was performed because fewer
377 computations were required than examining all bihourly data, for all cows, at each individual
378 time point. This method accomplished the same goal of providing a timely alert without the need
379 for numerous computations.

380 Calving prediction using a combination of automatically collected behavioral variables
381 has previously been attempted. Maltz and Antler (2007) described calving prediction methods
382 using changes in daily step number, lying behavior, and number of times passing into a feeding
383 area for 12 cows over 7 d. Maltz and Antler (2007) achieved a sensitivity of 83.3% and a
384 specificity of 95.2% in predicting the day of calving. Ouellet et al. (2016) also evaluated a

385 combination of variables (rumination time, vaginal temperature, and lying behaviors) for their
386 calving prediction accuracy and achieved a 77% sensitivity, and 77% specificity. Similar to the
387 current study, variable combinations were most useful in calving prediction than when variables
388 were considered separately in these studies.

389 Although favorable results were observed in the current study, few technologies monitor
390 rumination, lying behavior, and activity in combination. Commonly, technologies measure
391 rumination and activity, or activity and lying behavior. To the knowledge of the authors, few
392 technologies currently monitor all these behaviors in unison. A two-technology approach, such
393 as that used in this study could be useful in calving prediction, but would not currently be
394 economically justifiable on commercial farms. In the absence of a two-technology calving
395 prediction approach, machine-learning techniques applied to technologies like the IceQube may
396 be the best option in behavior-based calving prediction.

397 Farm-specific algorithms using neural networks may be useful in creating accurate alerts,
398 particularly during calving because standard operating procedures vary between farms. Using
399 data to train machine-learning techniques and create farm-specific prediction techniques could
400 lead to more accurate and farm-specific event prediction for not only calving prediction, but
401 health and estrus detection as well. Using technologies similar to the IceQube or HR Tag for
402 calving prediction or applying machine-learning techniques to existing prediction techniques
403 could provide additional uses for these technologies. This would increase perceived usefulness
404 by producers and potentially increase technology adoption (Borchers and Bewley, 2015).

405 Future work in calving event prediction will need to focus on the sensitivity and
406 specificity of these technologies. In comparison to calving alerts, larger specificity values have
407 traditionally been more valued in estrus and health because of the cost associated with missed

408 events (ISO, 2007; Hogeveen et al., 2010; Rutten et al., 2013). In animal illness detection, false
409 positives (type I errors) can cause financial losses through unnecessary treatment (Burfeind et al.,
410 2010). These same principles are not as applicable in calving prediction. Identifying a laboring
411 non-laboring cow as calving could cause unnecessary treatment or handling. False negatives may
412 be more costly with calving prediction because they are instances where systems do not detect
413 actual calving events. The consequences of missed calving events could be extremely
414 detrimental (dystocia, stillbirth, cow death, etc.) and may outweigh the comparative increase in
415 farm labor from incorrectly identified calving events. Accordingly, calving prediction methods
416 should be more sensitive and less specific if both cannot be concurrently obtained. Future
417 research in calving prediction and economic modeling may need to explore this relationship
418 more closely.

419 Additional benefits of calving prediction may be realized if calving alerts are generated
420 from both large and small time intervals. Large time intervals would allow dairy producers
421 ample time to move cows to maternity pens if they choose, and closely monitor cows during
422 labor to provide assistance as necessary. Advanced knowledge of calving time would allow
423 producers the opportunity to provide high-risk cows with calcium supplements to reduce the risk
424 of hypocalcemia after calving (Oetzel and Miller, 2012), or potentially reduce labor-associated
425 pain through the provision of NSAIDs during the calving process (Newby et al., 2013).

426 Another use for calving prediction tools would be to distinguish between eutocial and
427 dystocial calvings. Proudfoot et al. (2009) described cows experiencing dystocia as more restless
428 24 h before calving than eutocial cows. Including calving ease evaluations in future machine-
429 learning techniques may allow models to discern between dystocial and eutocial calvings. In the
430 current study, farm staff did not record adequately specific calving ease indications and this data

431 were not included in machine-learning analyses. A follow-up study with a larger sample size of
432 cows is required to determine if cows experiencing dystocia can be identified using precision
433 dairy monitoring technologies.

434 **CONCLUSIONS**

435 Precision dairy monitoring technologies traditionally used for health and estrus alert
436 generation effectively quantified behavioral changes around calving. Application of machine-
437 learning-based calving prediction methods to this data was effective in performing retrospective
438 calving prediction. Combining activity, rumination time, and lying behavior variables in neural
439 network machine-learning methods generated sensitive and specific alerts at the daily and 8 h
440 level. In the absence of rumination data, technologies monitoring only activity and lying
441 behaviors could accurately predict the day and 8 h period before calving events using neural
442 network machine-learning techniques. Future work will need to identify calving events within
443 smaller periods to provide alerts on which farmers can make meaningful management decisions.

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574 **Table 1.** Adjusted least-squares means \pm SE from daily mixed models accounting for parity for 14 d of prepartum behavioral data in
575 dairy cattle (n = 53 calvings). Results for: neck activity¹, rumination time¹, natural logarithm for number of steps², total motion², lying
576 time², and lying bouts² are shown.

Days before calving	HR Tag		IceQube				
	Neck activity (units/d)	Rumination time (min/d)	Number of steps (steps/d)	Total motion (units/d)	Lying time(h/d) ³		Lying bouts (bouts/d)
					Primiparous	Multiparous	
-14	343.5 \pm 22.7 ^a	395.9 \pm 18.2 ^a	2121.8 \pm 1.1 ^a	161776.8 \pm 1.4 ^d	11.3 \pm 0.6	12.9 \pm 0.5	9.1 \pm 0.6 ^b
-13	355.2 \pm 22.6 ^a	401.5 \pm 18.2 ^a	2209.5 \pm 1.1 ^a	365338.0 \pm 1.4 ^{cd}	11.0 \pm 0.6	11.4 \pm 0.5	8.2 \pm 0.6 ^b
-12	359.4 \pm 23.2 ^a	403.6 \pm 18.7 ^a	2262.2 \pm 1.1 ^a	323659.8 \pm 1.4 ^{cd}	10.7 \pm 0.6	11.8 \pm 0.5	8.1 \pm 0.6 ^b
-11	352.2 \pm 24.3 ^a	365.0 \pm 19.5 ^{ab}	2309.0 \pm 1.1 ^a	294344.6 \pm 1.5 ^{cd}	11.0 \pm 0.6	11.7 \pm 0.5	9.1 \pm 0.6 ^b
-10	362.0 \pm 24.7 ^a	378.8 \pm 19.7 ^a	2215.3 \pm 1.1 ^a	359216.3 \pm 1.5 ^{cd}	10.8 \pm 0.6	11.5 \pm 0.5	9.3 \pm 0.6 ^b
-9	352.5 \pm 24.3 ^a	354.6 \pm 19.4 ^{ab}	2130.3 \pm 1.1 ^a	214432.7 \pm 1.5 ^d	10.6 \pm 0.6	12.3 \pm 0.5	9.1 \pm 0.6 ^b
-8	380.0 \pm 23.7 ^a	359.9 \pm 18.9 ^{ab}	2234.8 \pm 1.1 ^a	304705.4 \pm 1.5 ^{cd}	10.9 \pm 0.6	11.6 \pm 0.5	9.5 \pm 0.6 ^b
-7	389.5 \pm 23.9 ^a	368.3 \pm 19.1 ^a	2420.7 \pm 1.1 ^a	400696.5 \pm 1.5 ^{cd}	9.9 \pm 0.6	12.2 \pm 0.5 ^{***}	10.3 \pm 0.6 ^b
-6	364.6 \pm 23.2 ^a	321.1 \pm 18.5 ^{ab}	2556.5 \pm 1.1 ^a	570668.0 \pm 1.4 ^{bcd}	9.1 \pm 0.6	12.3 \pm 0.5 ^{***}	10.5 \pm 0.6 ^b
-5	385.0 \pm 23.0 ^a	339.0 \pm 18.3 ^{ab}	2454.2 \pm 1.1 ^a	578988.2 \pm 1.4 ^{bcd}	9.3 \pm 0.6	12.2 \pm 0.5 ^{***}	10.3 \pm 0.6 ^b
-4	390.4 \pm 22.6 ^a	338.0 \pm 18.1 ^{ab}	2541.5 \pm 1.1 ^a	1150505.3 \pm 1.4 ^{abc}	8.2 \pm 0.6	11.9 \pm 0.5 ^{***}	10.8 \pm 0.6 ^b
-3	398.9 \pm 22.1 ^a	322.7 \pm 17.7 ^{ab}	2489.6 \pm 1.1 ^a	1297357.4 \pm 1.4 ^{abc}	8.1 \pm 0.6	11.7 \pm 0.5 ^{***}	10.1 \pm 0.6 ^b
-2	354.0 \pm 22.0 ^a	326.7 \pm 17.6 ^{ab}	2585.3 \pm 1.1 ^a	2138156.7 \pm 1.4 ^{ab}	7.4 \pm 0.6	11.2 \pm 0.4 ^{***}	10.3 \pm 0.6 ^b
-1	331.8 \pm 22.0 ^a	281.7 \pm 17.7 ^b	2708.3 \pm 1.1 ^a	4087308.7 \pm 1.4 ^a	7.0 \pm 0.6	10.2 \pm 0.5 ^{***}	13.6 \pm 0.6 ^a

577 ^{a-d}Least-squares means \pm SE values within a column displaying different superscripts differ ($P < 0.05$).

578 ^{***}Least-squares means \pm SE values displaying asterisk superscripts indicate a significant day by parity interaction ($P < 0.01$).

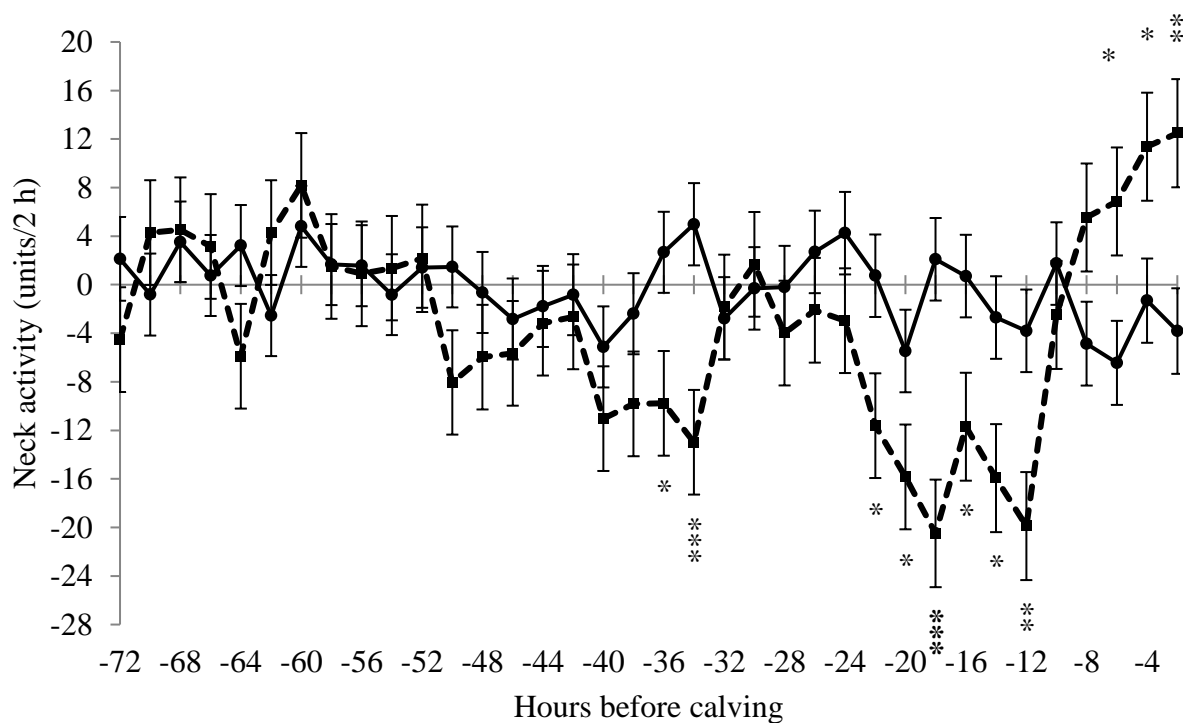
579 ¹Variable values measured by the HR Tag, SCR Engineers, Ltd., Netanya, Israel.

580 ²Variable values measured by the IceQube sensor, IceRobotics, Ltd., Scotland.

581 ³A significant parity by day interaction was found for lying time. Lying time for primiparous and multiparous cows is reported.

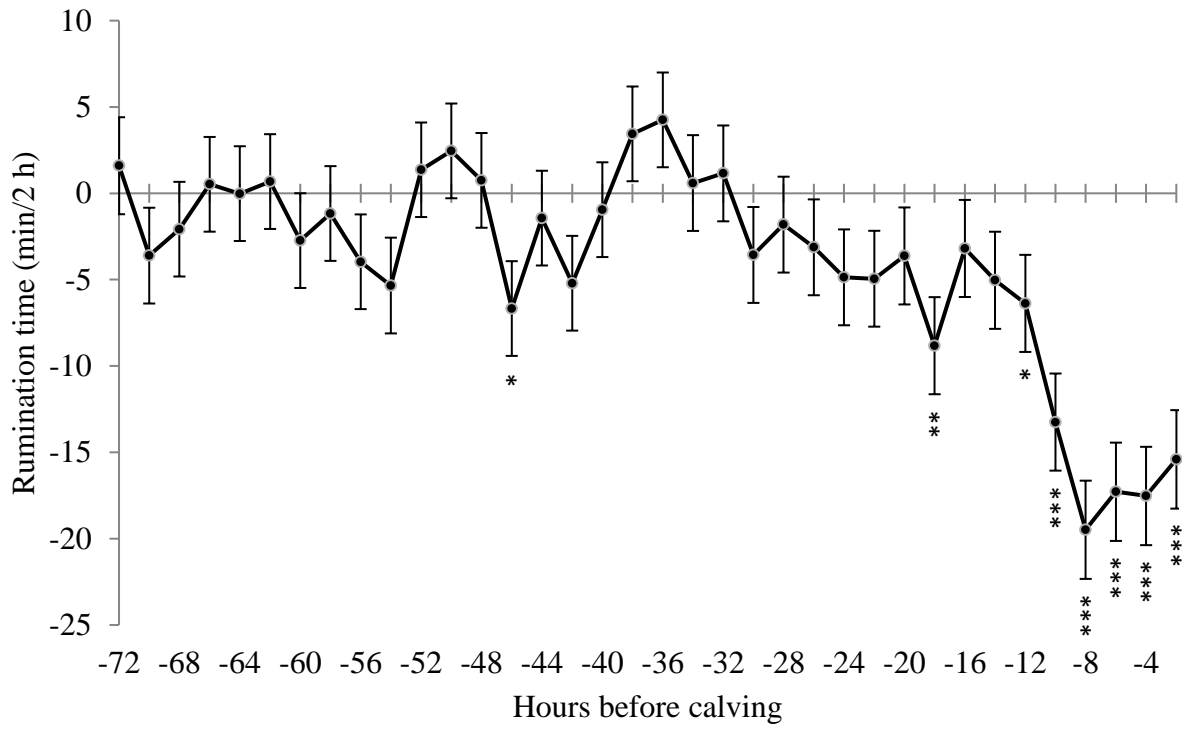
582 **Figure 1.** Behavioral differences expressed as least-squares means \pm SE in 2 h periods before
583 calving for: **a)** neck activity^{1,3}, **b)** rumination time¹, **c)** number of steps², **d)** total motion units², **e)**
584 lying time², and **f)** lying bouts². Differences were calculated as each cow's 2 h behavioral data
585 value minus the average of the same 2 h time of day for the previous three days. A mixed linear
586 model calculated least-squares means for 14 d of 2 h data (72 h shown) of prepartum behavioral
587 data (n = 53 calvings).

588 **a)**



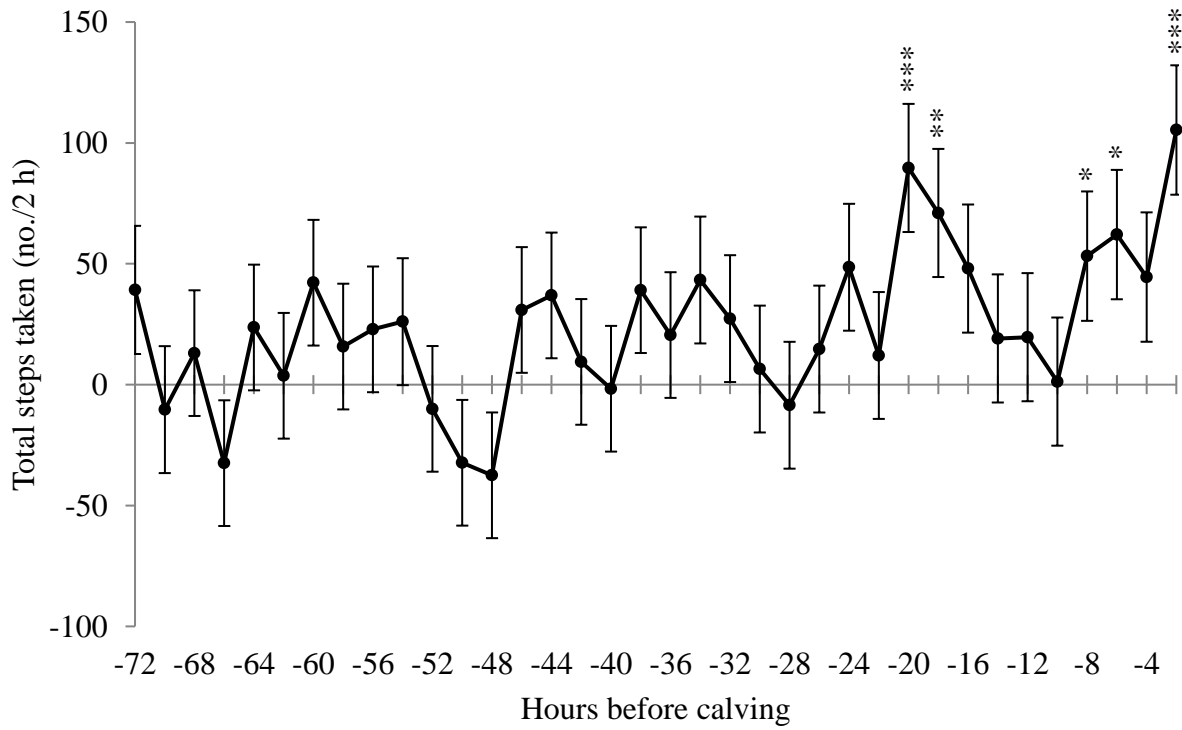
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596 **b)**



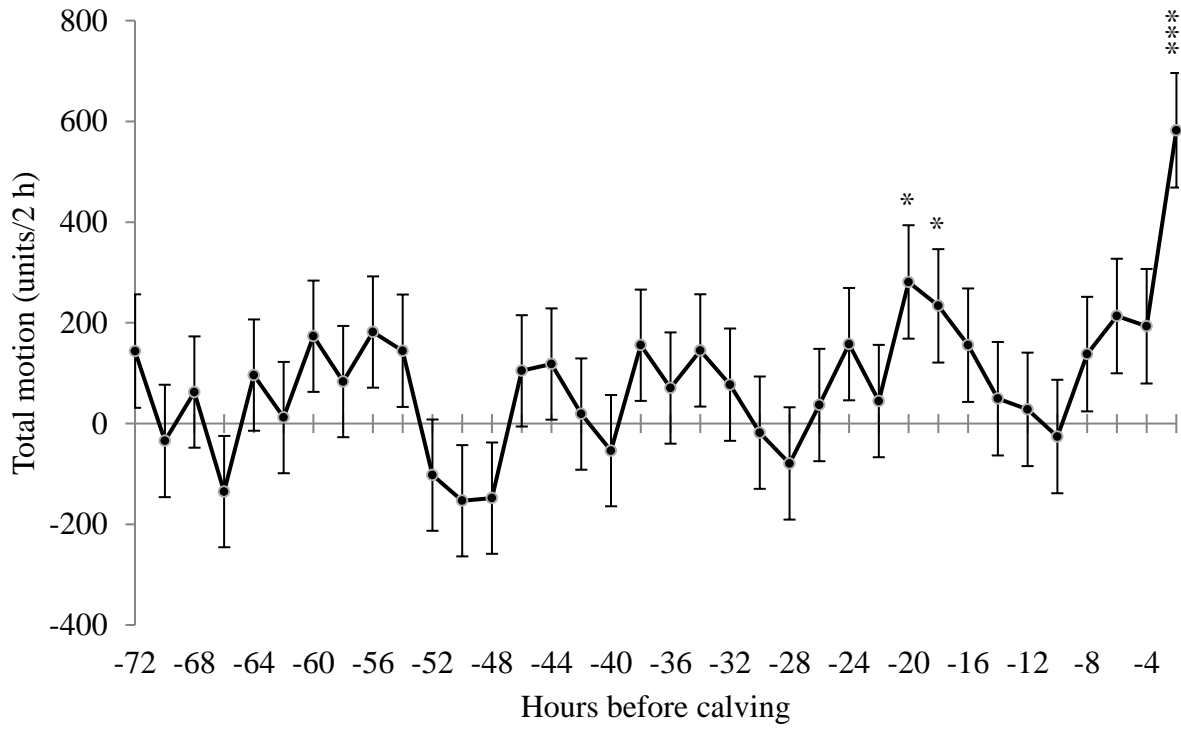
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598 **c)**



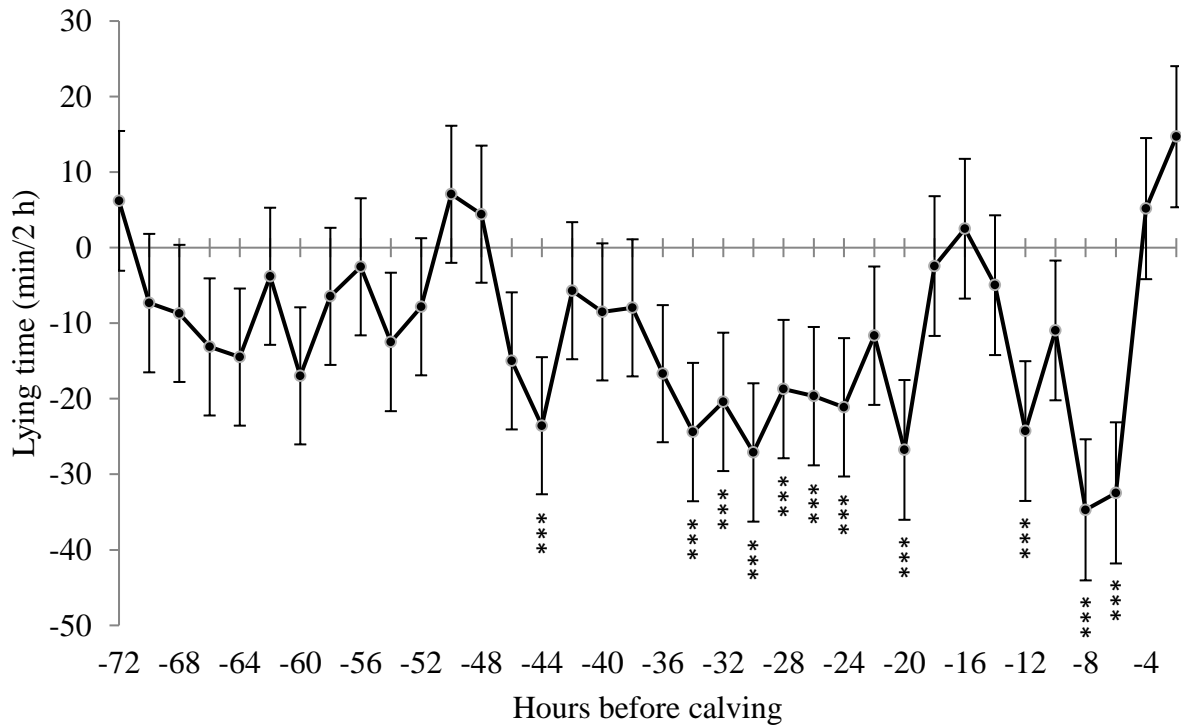
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600 d)



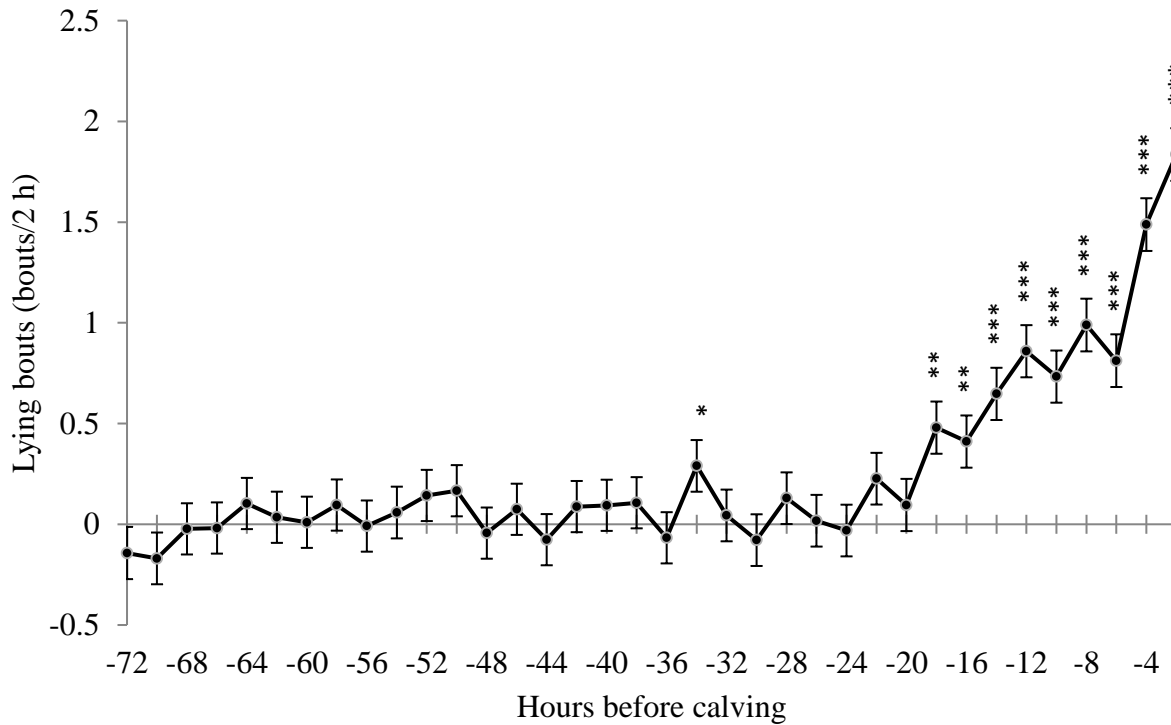
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602 e)



603

604 f)



605

606 ¹ Variable measured by the HR Tag, SCR Engineers, Ltd., Netanya, Israel.

607 ² Variable measured by the IceQube sensor, IceRobotics, Ltd., Scotland.

608 ³A significant parity by day interaction was found for neck activity. Neck activity for
609 primiparous (dashed line) and multiparous (solid line) cows is reported.

610 * Denotes significance at *P < 0.05, **P < 0.01, and ***P < 0.001 for effects of 2 h time points,
611 or effect of parity (in neck activity only) before calving on the deviation from baseline
612 behavioral values.

613 **Table 2.** Prediction of the day before calving¹ using daily behavior data from the HR Tag² and
614 IceQube³ for 14 d before calving. Machine-learning models were developed using leave one out
615 cross-validation methods on 80% of observations. Models were then tested using 20% of
616 observations for testing (n = 53 calvings).⁴

Analysis	Technology	Sensitivity	Specificity	Positive predictive value	Negative predictive value
Random forest	HR Tag	12.5%	95.6%	20.0%	92.6%
	IceQube	37.5%	89.0%	23.1%	94.2%
	Combination ⁵	25.0%	89.0%	16.7%	93.1%
Linear discriminant analysis	HR Tag	25.0%	96.7%	40.0%	93.6%
	IceQube	75.0%	91.2%	42.9%	97.6%
	Combination ⁵	75.0%	93.4%	50.0%	97.7%
Neural network	HR Tag	0.0%	98.9%	0.0%	91.8%
	IceQube	50.0%	87.9%	26.7%	95.2%
	Combination ⁵	100.0%	86.8%	40.0%	100%

617 ¹ The day of calving was excluded from daily machine learning analyses.

618 ²The HR Tag (SCR Engineers, Ltd., Netanya, Israel) measured neck activity and rumination.

619 ³The IceQube (IceRobotics, Ltd., Scotland) measured lying bouts, lying time, standing time, step
620 number, and total motion.

621 ⁴Sensitivity = TP / (TP + FN) x 100, specificity = TN / (TN + FP) x 100, positive predictive
622 value = TP / (TP + FP) x 100, negative predictive value = TN / (TN + FN) x 100; where TP =
623 true positive, TN = true negative, FP = false positive, and FN = false negative.

624 ⁵Variables from both the HR Tag and the IceQube were used in combination analyses.

625 **Table 3.** Prediction of the 8 h period on the day of calving (22 h data)¹ before calving using 24 h
626 backward moving averaged bihourly behavior data from the HR Tag² and IceQube³. Machine-
627 learning models were developed using leave one out cross-validation methods on 80% of
628 observations. Models were then tested using 20% of observations for testing (n = 53 calvings).⁴

Analysis	Technology	Sensitivity	Specificity	Positive predictive value	Negative predictive value
Random forest	HR Tag	72.4%	89.3%	77.8%	86.2%
	IceQube	65.5%	83.9%	67.9%	82.5%
	Combination ⁵	72.4%	82.1%	67.7%	85.2%
Linear discriminant analysis	HR Tag	79.3%	80.4%	67.6%	88.2%
	IceQube	72.4%	78.6%	63.6%	84.6%
	Combination ⁵	75.9%	75.0%	61.1%	85.7%
Neural network	HR Tag	58.6%	92.9%	80.9%	81.3%
	IceQube	79.3%	83.9%	71.9%	88.7%
	Combination ⁵	82.8%	80.4%	68.6%	90.0%

629 ¹The bihourly period immediate preceding calving was excluded from machine learning analyses

630 ²The HR Tag (SCR Engineers, Ltd., Netanya, Israel) measured neck activity and rumination.

631 ³The IceQube (IceRobotics, Ltd., Scotland) measured lying bouts, lying time, standing time, step
632 number, and total motion.

633 ⁴Sensitivity = $TP / (TP + FN) \times 100$, specificity = $TN / (TN + FP) \times 100$, positive predictive
634 value = $TP / (TP + FP) \times 100$, negative predictive value = $TN / (TN + FN) \times 100$; where TP =
635 true positive, TN = true negative, FP = false positive, and FN = false negative.

636 ⁵Variables from both the HR Tag and the IceQube were used in combination analyses.