Inhibitory dysfunction contributes to some of the motor and non-motor symptoms of movement disorders and psychiatric disorders

Marjan Jahanshahi¹ and John C Rothwell

Sobell Department of Motor Neuroscience & Movement Disorders UCL Institute of Neurology 33 Queen Square London WC1N 3BG

¹ Corresponding authors: m.jahanshahi@ucl.ac.uk

Keywords: inhibition, basal ganglia, Parkinson's disease, dystonia, tourette syndrome, obsessive compulsive disorder

Abstract

Recently we have proposed that similar to goal-directed and habitual action mediated by the fronto-striatal circuits, the fronto-striato-subthalamic-pallidal-thalamo-cortical network may also mediate goal-directed and habitual (automatic) inhibition in both the motor and non-motor domains (Jahanshahi, Obeso, Rothwell, & Obeso, 2015). Within this framework, some of the clinical manifestations of Parkinson's disease, dystonia, Tourette syndrome, and obsessive compulsive disorder can be considered to represent an imbalance between goal-directed and habitual action and inhibition. It is possible that surgical interventions targeting the basal ganglia nuclei, such as deep brain stimulation of the subthalamic nucleus or the internal segment of the globus pallidus improve these disorders by restoring a functional balance between facilitation and inhibition in the fronto-striatal networks. These proposals require investigation in future studies.

Introduction

Inhibiting behaviour which is inappropriate in a particular context or socially unacceptable is a necessary feature of adaptive behaviour. Our daily lives are dotted with such inhibitory control: when dieting exerting self-control and not eating donuts made available at tea breaks, censoring and not expressing one's true opinion to a senior colleague, not jumping the red light on the drive home. While the contribution of such inhibitory control to adaptive behaviour is perhaps underestimated because non-occurrence of behaviour is difficult to observe and quantify; nevertheless, it is likely that inhibitory processes operate across all domains: motor, cognitive and emotional. Inhibition of motor responses, that is suppression of a movement or motor response that has been prepared or close to initiation and execution is perhaps the easiest to measure and for this reason the most widely investigated to date with the go nogo and the stop signal reaction time tasks. Behavioural inhibition of inappropriate impulses or urges, cognitive inhibition (eg mental suppression of area information from memory) and emotional inhibition (eg inhibition of anxiety-provoking mental images) are more difficult to measure and study, but are also relevant to understanding inhibitory control for adaptive behaviour.

Several types of behavioural inhibition have been distinguished (Aron, 2011; Verbruggen et al, 2008; Jahanshahi et al, 2015). By contrast to automatic inhibition, volitional inhibition is intentional, controlled and effortful (Verbruggen & Logan, 2008; Zandbelt & Vink, 2010). However, the automatic-volitional inhibition distinction is not fixed, since volitional inhibition has been shown to be triggered by masked 'no go' stimuli of which the person is not overtly aware (van Gaal et al, 2010) and through learning, volitional inhibition can become automatic (Verbruggen et al, 2014). Another common distinction is between reactive inhibition triggered by external stimuli (eg stopping car at red traffic light) and proactive inhibition which is prospective and necessary for achieving goals and self-control over drinking, eating, smoking,

etc. (Aron, 2011). Somewhat overlapping with and encompassing the above two sets of distinctions, are the concepts of goal-directed versus habitual/automatic inhibition (Jahanshahi et al, 2015). Goal-directed inhibition (for example, not smoking when trying to quit) is mainly volitional, intentional and proactive in the service of a specific goal achievement; whereas habitual inhibition (for instance, not swearing in public) is developed through practice and education, largely stimulus-driven and automatic (Jahanshahi et al, 2015). Global (eg freezing when faced with a grizzly bear in a wood) versus selective (eg stopping singing while coninuing to play the piano) inhibition has been a further distinction (Aron, 2011). The indirect and direct cortico-basal ganglia pathways considered to respectively mediate proactive and reactive inhibition are shown in Figure 1.

Figure 1 about here

One of the executive functions of the frontal cortex is inhibitory control, with the inferior frontal cortex (Aron, 2014), the dorsolateral prefrontal cortex (Diamond, 1996), the orbitofrontal cortex (Iversen et al, 1970; Dias et al, 1996) and the SMA (Aron & Poldrack, 2006; Aron et al, 2007) playing a role in inhibition. A wealth of information is now available supporting the role of the basal ganglia, particularly the subthalamic nucleus and the striatum in inhibition including evidence from lesion (eg Eagle et al, 2008; Baunez et al, 1995) and electrophysiological (eg Yoshida & Tanaka, 2009; Isoda & Hikosaka, 2008; Schmidt et al, 2013) studies in animals, functional imaging studies in humans (Aron & Poldrack, 2006; Aron et al, 2007; Rae et al, 2015; Zandbelt et al, 2013; Chikazoe et al, 2009; Schel et al, 2014), behavioural and imaging investigations in Parkinson's disease (Gauggel et al, 2004; Obeso et al, 2011, Ye et al, 2014; Vriend et al, 2015) assessment of the effects of surgical treatment of PD with subthalamotomy (Obeso et al, 2014; Bickel et al, 2010) or deep brain stimulation of the subthalamic nucleus (Jahanshahi et al, 2000; Frank et al, 2007; Wylie et al, 2010; Hershey

et al, 2004; Ballanger et al, 2009; Obeso et al, 2013; Pote et al, 2016) and recordings of local field potentials from the implanted electrodes after surgery (Ray et al, 2012; Alegre et al, 2013; Benis et al, 2014). From a review of this evidence Jahanshahi et al (2015) proposed that the fronto-striato-subthalamic-pallidal network are involved in goal-directed and habitual inhibition. This parallels the role of these circuits in goal-directed and habitual action, respectively mediated by the associative and motor fronto-striatal circuits (Balleine & O'Doherty, 2010; Graybiel, 2008). With repetition and practice, both initiation and inhibition of action can shift from goal-directed to habitual/automatic, which frees up attentional resources and allows for concurrent engagement in other tasks. Goal-directed but not habitual actions are sensitive to outcome devaluation and it needs to be established if outcome sensitivity is also a criterion that distinguishes goal-directed inhibition from habitual inhibition and to identify other relevant criteria.

Lack of behavioural inhibition can manifest itself as disinhibition, impulsivity, and perseveration, all of which are characteristic of patients with frontal lesions. Impulsivity, can manifest itself as delay aversion or an inability to take time to reflect or defer decisions, actions or gratification (Evenden, 1999). Impulsivity is among the diagnostic criteria for attention deficit hyperactivity disorder, substance abuse and trichotillomania and can also feature in mania. In this paper, we consider the relevance of inhibition to some of the clinical manifestations of a number of primarily basal ganglia disorders, namely, Parkinson's disease, dystonia, Tourette syndrome and obsessive–compulsive disorder. For each disorder, we will consider the specific clinical manifestations that reflect failure of inhibitory processes and then the empirical evidence for deficits in inhibition on experimental tasks or from imaging studies.

Parkinson's Disease

Parkinson's disease (PD) is a movement disorder, characterized by the primary motor symptoms of akinesia, bradykinesia, tremor, rigidity, postural instability and gait problems and a host of non-motor symptoms including cognitive deficits, psychiatric problems such as depression, apathy, anxiety hallucinations and delusions. According to the classical models, dopamine depletion in PD is associated with underactivity of the direct and overactivity of the indirect pathway, which results in excessive inhibitory output from the basal ganglia to motor, premotor and prefrontal cortical areas and to the brainstem (Albin et al, 1989; De Long, 1990). This excessive inhibitory output from the basal ganglia is considered responsible for akinesia, characterized by the reduction or absence of a range of normally automatic movements such as blinking, facial expression, gesturing, turning in bed, arm swinging, and handwriting in PD, which represents over-inhibition of habitual movements. Lesioning or deep brain stimulation of the subthalamic nucleus (STN) or the internal segment of the globus pallidus (GPi) reduces this excessive inhibitory output and improves akinesia (ADD REFs).

Patients with PD have deficits in inhibitory control on a host of cognitive tasks requiring inhibition of prepotent or habitual responses such as the Stroop, random number generation and the Hayling sentence completion test (eg Obeso et al, 2011). Deficits in inhibitory control are also present in PD relative to age-matched controls on go nogo, stop signal reaction time tasks, anti-saccade and Eriksen flanker tasks (Beste et al, 2009; Gauggel et al, 2004; Obeso et al, 2011; Chan et al, 2005; Praamstra & Plat, 2001). On the stop signal task requiring motor inhibition, medicated or *de novo* never medicated patients with PD have prolonged stop signal reaction times indicative of delayed inhibition and this is associated with reduced task-related activation of he inhibition network, including the inferior frontal gyrus (Ye et al, 2014; Vriend et al, 2015).

With progression of the illness, freezing of gait, episodes marked by a temporary motor block during walking, can be experienced by people with PD, triggered by turning, fatigue, confined spaces and stressful situations (Rahman et al, 2008), During freezing episodes patients are unable to initiate a step despite the intention to do so, and they report that their feet feel as if they are glued to the floor. Such freezing represents excessive motor inhibition (Jahanshahi et al, 2015; Lewis and Shine, 2016). It is possible that inhibitory output from the substantia nigra pars reticulata (SNr) and supplementary motor area (SMA) to the mesencephalic locomotor region could be involved, since these areas can suppress locomotion in animal models (Snijders et al, 2016). From a review of the imaging studies of freezing of gait in PD (Fasano et al, 2015), disruptions in the 'executive attention' network and tissue loss in the premotor cortex, inferior frontal gyrus, precentral gyrus, parietal and occipital areas of the right hemisphere were noted, areas which are involved not only in visuospatial function but also in inhibitory control. In addition, involvement of the caudate nucleus and the locomotor centres in the brainstem in freezing of gailt was also identified. These findings, particularly grey matter atrophy in the inferior frontal gyrus (Kostic e al, 2012) and hypoactivation of the SMA and posterior parietal regions and overactivation of the mesencaphalic locomotor regions during motor imagery of walking (Snijders et al, 2011) in patients with freezing of gait are consistent with excessive inhibition of locomotion triggered by conflictual visual information. The hypothesis that the episodic motor blocks during walking characteristic of freezing of gait reflect excessive inhibition due to dysfunction of the cortical (SMA, inferior prefrontal cortex) and subcortical (caudate, SNr) areas engaged in inhibitory control, particularly triggered in situations when concurrent executive control of attention and gait are necessary, requires direct investigation in future studies. There is some preliminary support for this proposal from studies showing deficits in inhibitiory control in patients with Parkinson's disease and freezing of gait on the stop signal task (Bissett et al, 2015; Georgiades et al, 2016), which becomes more pronounced with the addition of a cogntive load (Georgiades et al, 2016).

Apathy is a common psychiatric problem in PD (Aarsland et al, 1999; Pagonabarraga et al, 2015). It is a multi-dimensional syndrome with cognitive, emotional and behavioural components, whereby patients lack motivation, interest, concern, emotional reactivity and show no initiative or spontaneous activity and often report being devoid of thoughts and emotions. The biological basis of apathy remains unclear, with both dopaminergic and serotonergic systems implicated (Sanatangelo et al, 2015a; Maillet et al, 2016). It is possible that excessive inhibition in the motor and non-motor domains mediated through the fronto-basal ganglia circuits contributes to the development of apathy in PD (Jahanshahi et al, 2015). In support of this proposal, there is imaging evidence of decreased grey matter volume in the right SMA, the right inferior frontal gyrus, the left orbitofrontal cortex, left inferior and superior parietal areas and the nucleus accumbens bilaterally in non-depressed PD patients with apathy relative to those without apathy (Martinez-Hora et al, 2016). .Other imaging evidence also implicate the right caudate or ventral striatum and the inferior frontal gyrus in apathy in PD. Compared to PD patients without apathy, de novo untreated non-depressed and non-depressed PD patients with apathy had lower dopamine transporter levels in the striatum, particularly the right caudate (Santangelo et al, 2015a) and reduced metabolism in the right ventral striatum prior to surgery predicted development of apathy following STN DBS surgery (Robert et al, 2014). Furthermore, imaging has identified an association between apathy in PD and right hemispheric metabolism in the inferior frontal gyrus, middle frontal gyrus and the anterior insula (Robert et al, 2012) and in another study reduced grey matter volume in the inferior frontal gyrus bilaterally was among the areas which correlated with high apathy scores in PD (Reijnders et al, 20 10). Some of these areas overlap with the brain inhibitory network, and their volume reduction may contribute to the reduction of spontaneous and self-generated activity and motivational deficits in PD patients with apathy. Also of interest, is the finding from a longitudinal study that in de novo PD patients, reduced performance on the Stroop interference task which requires inhibitiory control and engages medial prefrontal areas, predicted subsequent development of apathy (Santangelo et al, 2015b).

At the other extreme, some medication-related complications of PD can be considered as reflecting disinhibition of the networks involved in motor and non-motor inhibition. Dopaminergic medication used in the treatment of PD, can give rise to levodopa-induced dyskinesias (LIDs) and impulse control disorders (ICDs). Both LIDs and ICDs may represent failures of habitual or automatic inhibition (Jahanshahi et al, 2015). With LIDs, failure of automatic inhibition may result in fragments of movement and stereotyped behaviours being released. Recent imaging evidence has implicated the inferior frontal gyrus and the supplementary motor area, two key nodes in the motor inhibitory network, in dyskinesias (Herz et al, 2014; Cerasa et al, 2012; 2015a), leading to the proposal that dysfunction of the neural network mediating motor inhibition may also induce LIDs. For example, in the on medication state, for patients with LIDs the right inferior frontal cortex showed decreased functional connectivity with the motor cortex and increased connectivity with the putamen compared to patients with no LIDs (Cerasa et a, 2015a). This was interpreted as reflecting reduced inhibitory control from the right inferior frontal gyrus over the motor cortex in the on state, giving rise to LIDs. Furthermore, it has been shown that in PD patients with LIDs, intake of levodopa medication tended to make inhibitory control and monitoring of failures of inhibition during a stop signal motor inhibition task worse, which fMRI showed to be associated with decreased activity of the right inferior frontal cortex during motor inhibition, whereas patients without LIDs showed the reverse (Cerasa et al, 2015b).

A range of ICDs, including hypersexuality, pathological gambling and shopping, overeating, and punding develop in about 25% of people with PD treated with dopamine agonists or levodopa (Weintraub et al, 2015). As a result of deficient habitual/automatic inhibition, patients with ICDs respond excessively to rewarding stimuli associated with sex, food, money, or shopping. PD patients with ICDs show impulsive choice on delay discounting tasks, preferring small immediate rewards to larger delayed rewards (Voon et al, 2010). Imaging has revealed that dopaminergic medication results in differential patterns of activation in brain areas implicated in response inhibition and impulse control particularly the lateral orbitofrontal cortex, rostral cingulate, amygdala and exernal segment of the globus pallidus (GPe) in PD patients with (decreased dopamine induced activation) or without (increased dopamine induced activation) ICDs such as pathological gambling (van Eimeren et al, 2010). Enhanced sexual desire and increased activation in the ventral striatum, anterior cingulate cortex and orbitofrontal cortex was reported in response to sexual cues for PD patients with hypersexuality relative to patients without such ICDs (Politis et al, 2013). Thus ICDs in PD reflect impulsive choice and behaviour due to failure of habitual inhibition. Cognitive behaviour therapy has some success in helping PD patients with ICDs to proactively impose goal-directed inhibition over their impulsive behaviour, but response to this can be variable (Okai et al, 2015).

Dystonia

Dystonia is a hyperkinetic movement disorder. The latest expert classification has defined it as "a movement disorder characterized by sustained or intermittent muscle contractions causing abnormal, often repetitive, movements, postures, or both. Dystonic movements are typically patterned, twisting, and may be tremulous. Dystonia is often initiated or worsened by voluntary action and associated with overflow muscle activation." (Albanese et al, 2013). The abnormal contractions can affect muscles of the eyes (blehparospasm), face and jaw (oromandibular

dystonia), larynx (spadmodic dysphonia), neck (cervical dystonia), hand during writing (writer's cramp). Dystonia can be focal, affecting only one part of the body, segmental (2 adjacent body parts affected), multifocal, hemi-dystonia (one side of body), or generalized (involving the trunk and two other body parts).

The exact cause of dystonia is not known. Dystonia is considered a movement disorder associated with dysfunction of the basal ganglia (Berardelli et al., 1998; Marsden, 1976), and more recently, cerebellar involvement in dystonia has also been documented (Delmaire et al, 2007; Jinnah & Hess, 2006; Neychev et al, 2008). Many genes causing various forms of dystonia in association with environmental triggers have been identified (Fuchs & Ozelius, 2011).

According to the classical De Long (1990) and Albin, et al (1990) models, hyperkinetic movement disorders such as dystonia are associated with reduced inhibitory output from the GPi, which gives rise to increased thalamic and cortical activation. There is some support for this model from hyperkinetic transgenic mice model of *DYT1* dystonia (e.g., Chiken, Shashidharan, & Nambu, 2008) and neuronal recordings from the GPi of patients with dystonia undergoing DBS surgery (e.g., Vitek et al, 1999), but the improvement of dystonia with GPi DBS (Vidailhet et al, 2007), which reduces the activity of the GPi are not consistent with the classical "rate" models.

Imaging studies have shown movement-related overactivity of the dorsolateral prefrontal cortex, the anterior cingulate cortex, the SMA and the lenticular nuclei, and underactivation of the primary motor cortex in dystonia (e.g., Ceballos-Baumann et al., 1995; Dresel, et al, 2006). Using different TMS protocols, reduced cortico–cortical inhibition (Edwards et al, 2003; Ridding et al, 1995) and increased plasticity (e.g., Quartarone et al., 2003) have been

demonstrated in dystonia. This loss of inhibition, particularly loss of 'surround inhibition', is considered responsible for loss of selectivity and overflow of activation to other muscles. The essence of 'surround inhibition' is that muscles that are not actively involved in producing a movement are inhibited during the movement. Surround inhibition can be demonstrated with TMS and in focal hand dystonia it has been shown that surround inhibition is deficient (Sohn & Hallett, 2004; Beck et al, 2008; Stinear & Byblow, 2004a). This loss of selectivity in muscle activation has been demonstrated even when patients imagined making specific movements (Quartarone et al, 2005). The loss of inhibition in dystonia is also associated with a delay in the ability to inhibit preplanned responses and patients required longer warning times than healthy controls to be able to do so (Stinear & Byblow, 2004b). It has been suggested that some of the sensory deficits such as increased spatial (Tinazzi et al, 2002) and temporal (Fiori et al, 2003) discrimination thresholds may reflect deficient lateral inhibition. These deficits and impairment of senorimotor integration (Kaji et al, 1995; Murase et al, 2000) in dystonia, as well as the inceased plasticity may all result from the loss of inhibition which could itself be due to a reduced number of inhibitory interneurons (Hallett, 2011). These hypotheses require verification in future investigations.

Tourette syndrome

Tourette syndrome (TS) is characterized by motor and/or vocal tics, the latter often consisting of obscene words. TS is frequently accompanied by comorbidites such as attention deficit hyperactivity disorder, depression, obsessive compulsive behaviours, and self-injurious behaviours. Tics are involvuntary movements or vocalizations, but are often preceded by a premonitory sensation and urge, and patients describe a sense of relief after performing the tic. Patients with TS can suppress the tics temporaily and thus can impose goal-directed intentional inhibition to suppress their involuntary tics for brief periods.

TS has been considered a basal ganglia disorder of inhibition (Mink, 2001). Mink (2001) proposed that repeated inappropriate activation of striatal neurons, leads to inhibition of the internal segment of the globus pallidus (GPi) and the substantia nigra reticulata (SNr), the output pathways of the basal ganglia, which would normally be tonically active to prevent unwanted movements, and that this GPi/SNr inhibition leads to a disinhibition of thalamocortical targets and generates tics. Evidence from imaging and neuropathological studies implicate the cortico-basal ganglia circuits and abnormal distribution of inhibitory interneurons in the basal ganglia in TS (McNaught & Mink, 2011; Kalanithi et al, 2005; Worbe et al, 2015). On behavioural tasks that assess inhibitory control, the evidence in TS is inconsistent, with some suggesting failure of inhibition (Channon et al, 2004; Wylie et al, 2013), others not finding such deficits (Roessner et al, 2008) and even reporting enhanced cognitive and inhibitory control in TS (Mueller et al, 2006), due to development of compensatory mechanisms to counteract the lack of inhibition. The neural correlates of intentional suppression of tics in TS have been investigated in several imaging studies. Success in intentional inhibition of tics by TS patients was found to be associated with a significant increase in a measure of local connectivity in the inferior frontal gyrus (Ganos et al, 2014). In another study, comparison of tic suppression with a 'free-ticcing' state was associated with significant activation of the prefrontal cortex, anterior cingulate cortex, caudate and putamen (Peterson et al, 1998). Compared to age-matched healthy controls, people with TS showed greater activation of the frontal cortex and caudate during suppression of the urge to blink (Mazzone et al, 2010). These results indicate the central role of the basal ganglia and the frontal cortex in volitional and intentional tic suppression in TS. By exerting voluntary effort, goal-directed inhibition mediated by the frontal cortex, anterior cingulate cortex and the caudate, can be mobilized by patients with TS to suppress tics. Deep brain stimulation of the GPi or the nucleus accumbens (Welter et al, 2008; Neuner et al, 2009; Kefalopolou et al, 2015), may control tics by restoring the balance between facilitation and inhibition in the cortico-basal ganglia circuits. It was proposed that spontaneous tics emerge either by overactivity in the generation of habitual actions or reduced activation of the mechanisms of habitual/automatic inhibition (Jahanshahi et al, 2015).

Obsessive compulsive disorder

People with obsessive compulsive disorder (OCD) experience intrusive unwanted thoughts and images (obsessions) and repetitive behaviours (compulsions), which are driven by doubt, exaggerated perceptions of danger and anxiety. Different types of repetitive behaviour may be engaged in including washing, checking, or hoarding. Imaging studies have established hyperactivity of the orbitofrontal cortex-caudate circuit in people with OCD, which is increased by symptom provocation and conversely reduced by successful treatment (Milad & Rauch, 2012; Chamberlain et al, 2005; Eng, Kim & Chen, 2015). The orbitofrontal cortex not only plays a role in detecting motivational value and salience of stimuli (Kringelbach, 2005), but also mediates inhibition as its lesioning in animals results in perseveration (Iversen et al, 1970; Dias et al, 1996).

Other imaging evidence also supports inhibitory failure in OCD. During performance of a 'go nogo' task which requires action restraint/inhibition on no go trials, a negative association between severity of OCD symptoms and inhibition-related acivation of the orbitofrontal cortex was found (Roth et al, 2007). A meta-analysis of imaging studies in OCD revealed that these patients show reduced activation in the caudate and putamen during performance of inhibition and interference tasks and reduced activation of the inferior frontal gyrus, anterior cingulate corex, medial frontal cortex, dorsolateral prefronal cortex and caudate during switching tasks (Eng et al, 2015). A recent imaging study (Banca et al, 2015) demonstrated that during

exposure to symptom provoking stimuli, OCD patients had deactivation of the caudateprefrontal circuits together with hyperactivation of the STN and putamen which were interpreted as reflecting a dissociation between areas involved in goal-directed versus habitual behaviours respectively. This study also showed hyperactivity of the putamen during symptom provocation and subsequent putaminal deactivation during avoidance of the provoking stimuli and relief, indicating that the putamen may play a significant role in habit formation in OCD (Banca et al, 2015). OCD patients were more prone to 'slips of action' indicative of overreliance on habits and were deficient in goal-directed control on an instrumental learning task (Gillan, 2011). OCD patients also develop excessive avoidance habits on a shock avoidance task (Gillan et al, 2014). On the basis of these results, OCD has been formulated as a shift from goal-directed to habitual responding (Gillan et al, 2011; 2015; Banca et al, 2015). However, in light of the fact that patients with OCD can temporarily exert goal-directed inhibition to control their compulsive behaviours, it is likely that failure of habitual/automatic inhibition of unwanted and intrusive thoughts and images and emotions may play a role in the genesis of obsessions and compulsions. OCD is characterized by an imbalance between goal-directed and habitual action and inhibition (Jahanshahi et al, 2015). Successful treatment of OCD with deep brain stimulation of several targets including the ventral internal capsule and ventral striatum (Greenberg et al, 2010), the nucleus accumbens (Huff et al, 2010) or the STN (Mallet et al, 2008) has been documented. In an imaging study of operated OCD patients, acute DBS in the ventral caudate and ventral striatum was associated with activation of the prefrontal cortex, anterior cingulate cortex, putamen and globus pallidus (Rauch et al, 2006). Thus DBS may improve OCD symptoms by modulating the dysfunctional network in the disorder which overlaps with the inhibitory network, which may in turn restore the balance between goaldirected and habitual action and inhibition.

Conclusions

The basal ganglia are phylogenetically ancient structures whose basic organisational principle has remained unchanged for many millions of years (Stephenson-Jones et al, 2011). In the lamprey, the basal ganglia have inhibitory projections to brainstem nuclei that appear to form the basis for action selection through release of inhibition, and it has been suggested (Stephenson-Jones et al, 2011) that such an organisational arrangement has been co-opted in higher primates to control multiple cognitive, emotional and motor functions in a broad range of behaviours. Although the work above suggests that this basic tenet is correct, the range of control has expanded considerably, to distinguish, e.g. proactive and reactive control or habitual and goal directed inbitory control of behaviour. The complexities that these additional functions require may explain the wide variety of pathologies of control that can be observed. It remains to be clarified how the recently elucidated 'pause then cancel' model of stopping, with the subthalamic-SNr 'pause' and an arkypallidal-striatal 'cancel' components (Schmidt & Derke, 2016, this issue) contribute to some of the clinical manisfestions of basal ganglia disorders outlined above.

Author's contribuions: MJ wrote the first draft and JCR reviewed and contributed to the final draft.

Competing interests: None

Funding: Both authors are funded by HEFCE.

References

Aarsland D, Larsen JP, Lim NG, Janvin C, Karlsen K, Tandberg E, Cummings JL. Range of neuropsychiatric disturbances in patients with Parkinson's disease. J Neurol Neurosurg Psychiatry. 1999 Oct;67(4):492-6.

Albin, R. L., Young, A. B. & Penney, J. B. The functional anatomy of basal ganglia disorders. *Trends Neurosci.* **12**, 366–375 (1989).

Alegre, M. *et al.* The subthalamic nucleus is involved in successful inhibition in the stop-signal task: a local field potential study in Parkinson's disease. *Exp. Neurol.* **239**, 1–12 (2013).

Aron, A. R. From reactive to proactive and selective control: developing a richer model for stopping inappropriate responses. *Biol. Psychiatry* **69**, e55–e68 (2011).

Aron, A. R. & Poldrack, R. A. Cortical and subcortical contributions to Stop signal response inhibition: role of the subthalamic nucleus. *J. Neurosci.* **26**, 2424–2433 (2006).

Aron, A. R., Behrens, T. E., Smith, S., Frank, M. J. & Poldrack, R. A. Triangulating a cognitive control network using diffusion-weighted magnetic resonance imaging (MRI) and functional MRI. *J. Neurosci.* **27**, 3743–3752 (2007).

Aron AR, Robbins TW, Poldrack RA. Inhibition and the right inferior frontal cortex: one decade on. Trends Cogn Sci. 2014 Apr;18(4):177-85. doi: 10.1016/j.tics.2013.12.003. Epub 2014 Jan 15.

Ballanger, B. *et al.* Stimulation of the subthalamic nucleus and impulsivity: release your horses. *Ann. Neurol.* **66**, 817–824 (2009).

Balleine, B. W. & O'Doherty, J. P. Human and rodent homologies in action control: corticostriatal determinants of goal-directed and habitual action. *Neuropsychopharmacology* **35**, 48–69 (2010).

Baunez, C., Nieoullon, A. & Amalric, M. In a rat model of parkinsonism, lesions of the subthalamic nucleus reverse increases of reaction time but induce a dramatic premature responding deficit. *J. Neurosci.* **15**, 6531–6541 (1995).

Benis, D. *et al.* Subthalamic nucleus activity dissociates proactive and reactive inhibition in patients with Parkinson's disease. *Neuroimage* **91**, 273–281 (2014).

Berardelli A, et al (1998) The pathophysiology of primary dysonia. Brain, 121:1195-1212.

Beste, C., Willemssen, R., Saft, C. & Falkenstein, M. Response inhibition subprocesses and dopaminergic pathways: basal ganglia disease effects. *Neuropsychologia* **48**, 366–373 (2009).

Bissett PG, Logan GD, van Wouwe NC, Tolleson CM, Phibbs FT, Claassen DO, Wylie SA (2015) Generalized motor inhibitory deficit in Parkinson's disease patients who freeze. J Neural Transm 122:1693–1701.

Cavanagh, J. F. *et al.* Subthalamic nucleus stimulation reverses mediofrontal influence over decision threshold. *Nat. Neurosci.* **14**, 1462–1467 (2011).

Cerasa A, Pugliese P, Messina D, Morelli M, Gioia MC, Salsone M, et al. Prefrontal alterations in Parkinson's disease with levodopainduced dyskinesia during fMRI motor task. Mov Disord 2012; 27: 364–71.

Cerasa A, Koch G, Donzuso G, et al. A network centred on the inferior frontal cortex is critically involved in levodopainduced dyskinesias. Brain 2015; 138: 414–27.

Chan, F., Armstrong, I. T., Pari, G., Riopelle, R. J. & Munoz, D. P. Deficits in saccadic eyemovement control in Parkinson's disease. *Neuropsychologia* **43**, 784–796 (2005).

Chikazoe, J. *et al.* Preparation to inhibit a response complements response inhibition during performance of a stop-signal task. *J. Neurosci.* **29**, 15870–15877 (2009).

DeLong, M. R. Primate models of movement disorders of basal ganglia origin. *Trends Neurosci.* 13, 281–285 (1990).

Diamond A. Evidence for the importance of dopamine for prefrontal cortex functions early in life. Philos Trans R Soc Lond B Biol Sci. 1996 Oct 29;351(1346):1483-93; discussion 1494.

Dias, R., Robbins, T. & Roberts, A. Dissociation in prefrontal cortex of affective and attentional shifts. *Nature* **380**, 69–72 (1996).

Eagle, D. M. *et al.* Stop-signal reaction-time task performance: role of prefrontal cortex and subthalamic nucleus. *Cereb. Cortex* **18**, 178–188 (2008).

Evenden J (1999) Impulsivity: a discussion of clinical and experimental findings. J psychopharamcol. 13, 180-192.

Fasano A, Herman T, Tessitore A, Strafella AP, Bohnen NI. Neuroimaging of Freezing of Gait J Parkinsons Dis. 2015; 5(2): 241–254.

Frank, M. J., Samanta, J., Moustafa, A. A. & Sherman, S. J. Hold your horses: impulsivity, deep brain stimulation, and medication in parkinsonism. *Science* **318**, 1309–1312 (2007).

Fuchs T, Ozelius LJ. Genetics of dystonia. Semin Neurol. 2011 Nov;31(5):441-8. doi: 10.1055/s-0031-1299783. Epub 2012 Jan 21. Review

Gauggel, S., Rieger, M. & Feghoff, T. A. Inhibition of ongoing responses in patients with Parkinson's disease. *J. Neurol. Neurosurg. Psychiatry* **75**, 539–544 (2004).

Georgiades MJ, Gilat M, Ehgoetz Martens KA, Walton CC, Bissett PG, Shine JM Lewis SJG 2016 investigating motor initiation and inhibition deficits in patients with parkinson's disease and freezing of gait using a virtual reality paradigm. *Neuroscience* **337**, 153–162.

Graybiel, A. M. Habits, rituals, and the evaluative brain. *Annu. Rev. Neurosci.* **31**, 359–387 (2008).

Hershey, T. *et al.* Stimulation of STN impairs aspects of cognitive control in PD. *Neurology* **62**, 1110–1114 (2004).

Herz DM, Haagensen BN, Christensen MS, Madsen KH, Rowe JB, Lokkegaard A, et al. The acute brain response to levodopa heralds dyskinesias in Parkinson disease. Ann Neurol 2014b; 75: 829–36.

Isoda, M. & Hikosaka, O. Role for subthalamic nucleus neurons in switching from automatic to controlled eye movement. *J. Neurosci.* **28**, 7209–7218 (2008).

Iversen, S. D. & Mishkin, M. Perseverative interference in monkeys following selective lesions of the inferior prefrontal convexity. *Exp. Brain Res.* **11**, 376–386 (1970).

Jahanshahi, M. Effects of deep brain stimulation of the subthalamic nucleus on inhibitory and executive control over prepotent responses in Parkinson's disease. *Front. Syst. Neurosci.* **7**, 118 (2013).

Jahanshahi, M., Obeso, I., Baunez, C., Alegre, M. & Krack, P. Parkinson's disease, the subthalamic nucleus, inhibition, and impulsivity. *Mov. Disord.* **30**, 128–140 (2015).

Jahanshahi M, Obeso I, Rothwell JC, Obeso JA. A fronto-striato-subthalamic-pallidal network for goal-directed and habitual inhibition. *Nat Rev Neurosci*. 2015 Nov 4. doi: 10.1038/nrn4038. [Epub ahead of print]

Jahfari, S. *et al.* How preparation changes the need for top-down control of the basal ganglia when inhibiting premature actions. *J. Neurosci.* **32**, 10870–10878 (2012).

Kostic VS, Agosta F, Pievani M, Stefanova E, Jecmenica-Lukic M, Scarale A, Spica V, Filippi M. Pattern of brain tissue loss associated with freezing of gait in Parkinson disease. Neurology. 2012 Feb 7;78(6):409-16. doi: 10.1212/WNL.0b013e318245d23c. Epub 2012 Jan 25.

Lewis SJG Shine JM The Next Step: A Common Neural Mechanism for Freezing of Gait. The Neuroscientist 2016, Vol. 22(1) 72–82

Marinez-Horta S, Frederic Sampedro F, Javier Pagonabarraga J, Fernandez-Bobadilla R, Marin-Lahoz J, Riba J, Kulisevsky J Non-demented Parkinson's disease patients with apathy show decreased grey matter volume in key executive and reward-related nodes Brain Imaging and Behavior 2016 DOI 10.1007/s11682-016-9607-5

Obeso, I. *et al.* The subthalamic nucleus and inhibitory control: impact of subthalamotomy in Parkinson's disease. *Brain* **137**, 1470–1480 (2014).

Obeso, I. *et al.* Deficits in inhibitory control and conflict resolution on cognitive and motor tasks in Parkinson's disease. *Exp. Brain Res.* **212**, 371–384 (2011).

Obeso, I., Wilkinson, L., Rodríguez-Oroz, M. C., Obeso, J. A. & Jahanshahi, M. Bilateral stimulation of the subthalamic nucleus has differential effects on reactive and proactive

inhibition and conflict-induced slowing in Parkinson's disease. *Exp. Brain Res.* **226**, 451–462 (2013).

Praamstra, P. & Plat, F. M. Failed suppression of direct visuomotor activation in Parkinson's disease. *J. Cogn. Neurosci.* **13**, 31–43 (2001).

Rae, C. L., Hughes, L. E., Anderson, M. C. & Rowe, J. B. The prefrontal cortex achieves inhibitory control by facilitating subcortical motor pathway connectivity. *J. Neurosci.* **35**, 786–794 (2015).

Rahman, S, Griffin HJ, Quinn, NP, Jahanshahi, M The factors that induce or overcome freezing of gait in Parkinson's disease. *Beh Neurol.*; 2008 19(3):127-36.

Robert G, Le Jeune F, Lozachmeur C, Drapier S, Dondaine T, P"eron J, et al. Apathy in patients with Parkinson disease without dementia or depression: a PET study. Neurology 2012;79:1155e60.

Robert GH, Le Jeune F, Lozachmeur C, Drapier S, Dondaine T, P"eron J, et al. Preoperative factors of apathy in subthalamic stimulated Parkinson disease: a PET study. Neurology 2014;83:1620e6

Santangelo G, Vitale C, Picillo M, Moccia M, Erro R, Pisano G, et al. Relationship between apathy and cognitive dysfunctions in de novo, untreated arkinson's disease patients: a prospective longitudinal study. Eur J Neurol 2015;22: 253e60.

Santangelo G, Vitale C, Picillo M, Cuoco S, Moccia M, Pezzella D,, Erro R, Longo K, Vicidomini C, Pellecchia MT, et alApathy and striatal dopamine transporter levels in denovo, untreated Parkinson's disease patients. Parkinsonism and Related Disorders 21 (2015) 489e493

Schel, M. A. *et al.* Neural correlates of intentional and stimulus-driven inhibition: a comparison. *Front. Hum. Neurosci.* **8**, 27 (2014).

Schmidt, R., Leventhal, D. K., Mallet, N., Chen, F. & Berke, J. D. Canceling actions involves a race between basal ganglia pathways. *Nat. Neurosci.* **16**, 1118–1124 (2013).

Snijders AH, Leunissen I, Bakker M, Overeem S, Helmich RC, Bloem BR, Toni I. Gait-related cerebral alterations in patients with Parkinson's disease with freezing of gait. Snijders AH¹, Leunissen I, Bakker M, Overeem S, Helmich RC, Bloem BR, Toni I.

Snijders AH, Takakusaki K, Debu B, Lozano AM, Krishna V, Fasano A, Aziz TZ, Papa SM, Factor SA, Hallett M. Physiology of freezing of gait. Ann Neurol. 2016 Sep 20. doi: 10.1002/ana.24778. Review.

Vidailhet M, Vercueil L, Houeto JL, et al. Bilateral, pallidal, deepbrain stimulation in primary generalised dystonia: a prospective 3 year follow-up study. Lancet Neurol 2007;6:223–229

Vriend, C. *et al.* Failure of stop and go in *de novo* Parkinson's disease — a functional magnetic resonance imaging study. *Neurobiol. Aging* **36**, 470–475 (2015).

Yoshida, A. & Tanaka, M. Enhanced modulation of neuronal activity during antisaccades in the primate globus pallidus. *Cereb. Cortex* **19**, 206–217 (2009).

Ye, Z. *et al.* Selective serotonin reuptake inhibition modulates response inhibition in Parkinson's disease. *Brain* **137**, 1145–1155 (2014).

Zandbelt, B. B., Bloemendaal, M., Hoogendam, J. M., Kahn, R. S. & Vink, M. Transcranial magnetic stimulation and functional MRI reveal cortical and subcortical interactions during stop-signal response inhibition. *J. Cogn. Neurosci.* **25**, 157–174 (2013).

Zandbelt, B. B. & Vink, M. On the role of the striatum in response inhibition. *PLoS ONE* 5, e13848 (2010).

Ray, N. J. *et al.* The role of the subthalamic nucleus in response inhibition: evidence from local field potential recordings in the human subthalamic nucleus. *Neuroimage* **60**, 271–278 (2012).

Rodriguez-Oroz, M. C. *et al.* Involvement of the subthalamic nucleus in impulse control disorders associated with Parkinson's disease. *Brain* **134**, 36–49 (2011).

Weintraub, D., David, A. S., Evans, A. H., Grant, J. E. & Stacy, M. Clinical spectrum of impulse control disorders in Parkinson's disease. *Mov. Disord.* **30**, 121–127 (2015).

Voon, V. *et al.* Impulsive choice and response in dopamine agonist-related impulse control behaviors. *Psychopharmacology (Berl.)* **207,** 645–659 (2010).

van Eimeren, T. *et al.* Drug-induced deactivation of inhibitory networks predicts pathological gambling in PD. *Neurology* **75**, 1711–1716 (2010).

Politis, M. *et al.* Neural response to visual sexual cues in dopamine treatment-linked hypersexuality in Parkinson's disease. *Brain* **136**, 400–411 (2013).

Jahanshahi, M. *et al.* The impact of deep brain stimulation on executive function in Parkinson's disease. *Brain* **123**, 1142–1154 (2000).

Thobois, S. *et al.* STN stimulation alters pallidal- frontal coupling during response selection under competition. *J. Cereb. Blood Flow Metab.* **27**, 1173–1184 (2007).

Wylie, S. A. *et al.* Subthalamic nucleus stimulation influences expression and suppression of impulsive behaviour in Parkinson's disease. *Brain* **133**, 3611–3624 (2010).

Moum, S. J. *et al.* Effects of STN and GPi deep brain stimulation on impulse control disorders and dopamine dysregulation syndrome. *PLoS ONE* **7**, e29768 (2012).

Lim, S. Y. *et al.* Dopamine dysregulation syndrome, impulse control disorders and punding after deep brain stimulation surgery for Parkinson's disease. *J. Clin. Neurosci.* **16**, 1148–1152 (2009).

Bickel, S. et al. Cognitive and neuropsychiatric effects of subthalamotomy for Parkinson's

disease. Parkinsonism Relat. Disord. 16, 535–539 (2010).

Verbruggen, F. & Logan, G. D. Automatic and controlled response inhibition: associative learning in the go/no-go and stop-signal paradigms. *J. Exp. Psychol. Gen.* **137**, 649–672 (2008).

Verbruggen, F., Best, M., Bowditch, W. A., Stevens, T. & McLaren, I. P. The inhibitory control reflex. *Neuropsychologia* **65**, 263–278 (2014).

Okai, D., Askey-Jones, S., Samuel, M., David, A. S. & Brown, R. G. Predictors of response to a cognitive behavioral intervention for impulse control behaviors in Parkinson's disease. *Mov. Disord.* **30**, 736–739 (2015).

Mink, J. W. Neurobiology of basal ganglia circuits in Tourette syndrome: faulty inhibition of unwanted motor patterns? *Adv. Neurol.* **85**, 113–122 (2001).

McNaught, K. S. & Mink, J. W. Advances in understanding and treatment of Tourette syndrome. *Nat. Rev. Neurol.* **7**, 667–676 (2011).

Kalanithi, P. S. *et al.* Altered parvalbumin-positive neuron distribution in basal ganglia of individuals with Tourette syndrome. *Proc. Natl Acad. Sci. USA* **102**, 13307–13312 (2005).

Worbe, Y. *et al.* Altered structural connectivity of cortico–striato–pallido–thalamic networks in Gilles de la Tourette syndrome. *Brain* **138**, 472–482 (2015).

Welter, M. L. *et al.* Internal pallidal and thalamic stimulation in patients with Tourette syndrome. *Arch. Neurol.* **65**, 952–957 (2008).

Neuner, I., Podoll, K., Lenartz, D., Sturm, V. & Schneider, F. Deep brain stimulation in the nucleus accumbens for intractable Tourette's syndrome: follow-up report of 36 months. *Biol. Psychiatry* **65**, e5–e6 (2009).

Kefalopoulou, Z. *et al.* Bilateral globus pallidus stimulation for severe Tourette syndrome: a double- blind, randomized crossover trial. *Lancet Neurol.* **14**, 595–605 (2015).

Channon, S., Sinclair, E., Waller, D., Healey, L. & Robertson, M. M. Social cognition in Tourette's syndrome: intact theory of mind and impaired inhibitory functioning. *J. Autism Dev. Disord.* **34**, 669–677 (2004).

Wylie, S. A., Claassen, D. O., Kanoff, K. E., Ridderinkhof, K. R. & van den Wildenberg, W. P. Impaired inhibition of prepotent motor actions in patients with Tourette syndrome. *J. Psychiatry Neurosci.* **38**, 349–356 (2013).

Roessner, V., Albrecht, B., Dechent, P., Baudewig, J. & Rothenberger, A. Normal response inhibition in boys with Tourette syndrome. *Behav. Brain Funct.* **4**, 29 (2008).

Mueller, S. C., Jackson, G. M., Dhalla, R., Datsopoulos, S. & Hollis, C. P. Enhanced cognitive control in young people with Tourette's syndrome. *Curr. Biol.* **16**, 570–573 (2006).

Ganos, C. *et al.* The neural correlates of tic inhibition in Gilles de la Tourette syndrome. *Neuropsychologia* **65**, 297–301 (2014).

Peterson, B. S. *et al.* A functional magnetic resonance imaging study of tic suppression in Tourette syndrome. *Arch. Gen. Psychiatry* **55**, 326–333 (1998).

Mazzone, L. *et al.* An fMRI study of frontostriatal circuits during the inhibition of eye blinking in persons with Tourette syndrome. *Am. J. Psychiatry* **167**, 341–349 (2010).

Milad, M. R. & Rauch, S. L. Obsessive-compulsive disorder: beyond segregated cortico-striatal pathways. *Trends Cogn. Sci.* **16**, 43–51 (2012).

Chamberlain, S. R., Blackwell, A. D., Fineberg, N. A., Robbins, T. W. & Sahakian, B. J. The neuropsychology of obsessive compulsive disorder: the importance of failures in cognitive and behavioural inhibition as candidate endophenotypic markers. *Neurosci. Biobehav. Rev.* **29**, 399–419 (2005).

Eng, G. K., Sim, K. & Chen, S. H. Meta-analytic investigations of structural grey matter, executive domain-related functional activations, and white matter diffusivity in obsessive compulsive disorder: An integrative review. *Neurosci. Biobehav. Rev.* **52**, 233–257 (2015).

Roth, R. M. *et al.* Event-related functional magnetic resonance imaging of response inhibition in obsessive- compulsive disorder. *Biol. Psychiatry* **62**, 901–909 (2007).

Banca, P. *et al.* Imbalance in habitual versus goal directed neural systems during symptom provocation in obsessive-compulsive disorder. *Brain* **138**, 798–811 (2015).

Gillan, C. M. *et al.* Disruption in the balance between goal-directed behavior and habit learning in obsessive- compulsive disorder. *Am. J. Psychiatry* **168**, 718–726 (2011).

Gillan, C. M. *et al.* Enhanced avoidance habits in obsessive-compulsive disorder. *Biol. Psychiatry* **75**, 631–638 (2014).

Greenberg, B. D. *et al.* Deep brain stimulation of the ventral internal capsule/ventral striatum for obsessive- compulsive disorder: worldwide experience. *Mol. Psychiatry* **15**, 64–79 (2010).

Huff, W. *et al.* Unilateral deep brain stimulation of the nucleus accumbens in patients with treatment- resistant obsessive-compulsive disorder: outcomes after one year. *Clin. Neurol. Neurosurg.* **112**, 137–143 (2010).

Mallet, L. *et al.* Subthalamic nucleus stimulation in severe obsessive-compulsive disorder. *N. Engl. J. Med.* **359**, 2121–2134 (2008). Guehl, D. *et al.* Neuronal correlates of obsessions in the caudate nucleus. *Biol. Psychiatry* **63**, 557–562 (2008).

Rauch, S. L. *et al.* A functional neuroimaging investigation of deep brain stimulation in patients with obsessive-compulsive disorder. *J. Neurosurg.* **104**, 558–565 (2006).

van Gaal, S., Ridderinkhof, K. R., Scholte, H. S. & Lamme, V. A. Unconscious activation of the prefrontal no-go network. *J. Neurosci.* **30**, 4143–4150 (2010).

Figure 1: The cortico-basal ganglia pathways involved in proactive and reactive inhibition. With proactive and reactive inhibition respectively via the indirect fronto-striato-pallido-thalamo-cortical pathway and the hyperdirect cortico-subthalamic-pallidal-thalamo-cortical pathways. (with permission from Jahanshahi et al, 2015). IFC: inferior frontal cortex, pre-SMA: pre supplementary motor area, DLPFC: dorsolateral prefrontal cortex, GPI: internal segment of globus pallidus, GPe: external segment of globus pallidus, SNr: substantia nigra pars reticulata. Some connections are not shown.

