

Kristeleit et al (phase I–II results for study CO-338-010)

1 **A Phase I–II Study of the Oral Poly(ADP-ribose) Polymerase Inhibitor Rucaparib in**  
2 **Patients with Germline *BRCA1/2*-mutated Ovarian Carcinoma or Other Solid Tumors**

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50

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56 **Translational Relevance**

57 Poly(ADP-ribose) polymerase-1 (PARP-1), PARP-2, and PARP-3 enzymes are key  
58 mediators of DNA repair in response to single-strand breaks. Inhibition of these enzymes  
59 results in accumulation of double-strand DNA breaks that are repaired through BRCA1- and  
60 BRCA2-mediated homologous recombination (HR). Defects in HR repair (eg, *BRCA1* and  
61 *BRCA2* mutations) can sensitize tumors to PARP inhibition through synthetic lethality. This  
62 phase I–II study was the first to fully evaluate single-agent oral rucaparib, a PARP inhibitor,  
63 in heavily pretreated patients with advanced solid tumors. In Part 1, pharmacokinetics were  
64 dose proportional, safety was manageable, and rucaparib 600 mg twice daily was the  
65 recommended phase II dose. In Part 2A, rucaparib 600 mg twice-daily treatment had robust  
66 antitumor activity in patients with platinum-sensitive ovarian cancer and a germline *BRCA1/2*  
67 mutation. These results support further clinical and translational investigation of rucaparib in  
68 tumors with HR repair deficiency, potentially extending applicability beyond *BRCA*-mutated  
69 cancers.

70 **Abstract**

71 **Purpose:** Rucaparib is a potent, oral, small-molecule poly(ADP-ribose) polymerase inhibitor.  
72 This phase I–II study was the first to evaluate single-agent oral rucaparib at multiple doses.

73 **Experimental Design:** Part 1 (phase I) sought to determine the maximum tolerated dose  
74 (MTD), recommended phase II dose (RP2D), and pharmacokinetics of oral rucaparib  
75 administered in 21-day continuous cycles in patients with advanced solid tumors. Part 2A  
76 (phase II) enrolled patients with platinum-sensitive, high-grade ovarian carcinoma (HGOC)  
77 associated with a germline *BRCA1/2* mutation who received two to four prior regimens and  
78 had a progression-free interval of 6 months or more following their most recent platinum  
79 therapy. The primary endpoint was investigator-assessed objective response rate (ORR) by  
80 Response Evaluation Criteria in Solid Tumors version 1.1.

81 **Results:** In Part 1, 56 patients received oral rucaparib (40 to 500 mg once daily and 240 to  
82 840 mg twice daily [BID]). No MTD was identified per protocol-defined criteria; 600 mg BID  
83 was selected as the RP2D based on manageable toxicity and clinical activity.

84 Pharmacokinetics were approximately dose-proportional across all dose levels. In Part 2A,  
85 42 patients with germline *BRCA1/2*-mutated HGOC received rucaparib 600 mg BID.

86 Investigator-assessed ORR was 59.5%. The most common treatment-emergent adverse  
87 events (all grades) were asthenia/fatigue (85.7%; 36/42), nausea (83.3%; 35/42), anemia  
88 (71.4%; 30/42), alanine transaminase and/or aspartate transaminase elevations (57.1%;  
89 24/42), and vomiting (54.8%; 23/42). Among 98 patients, five (5.1%) discontinued because  
90 of an adverse event (excluding disease progression).

91 **Conclusions:** Rucaparib was tolerable and had activity in patients with platinum-sensitive  
92 germline *BRCA1/2*-mutated HGOC.

93 **Trial registration ID:** NCT01482715

94

## 95 **Introduction**

96 Poly(ADP-ribose) polymerase (PARP) enzymes make up a 17-member superfamily of  
97 nuclear enzymes; PARP-1, -2, and -3 are activated by and promote the repair of DNA  
98 damage (1). PARP-1 and -2 are the most abundant enzymes and have a major role in the  
99 repair of DNA single-strand breaks through the base excision repair/single-strand break  
100 repair pathway (1). PARP inhibition results in accumulation of unrepaired single-strand  
101 breaks, which result in collapsed replication forks and an accumulation of DNA double-  
102 strand breaks (2, 3). These double-strand breaks are repaired by the homologous  
103 recombination (HR) repair pathway, in which BRCA1 and BRCA2 are key proteins (4-6). It is  
104 widely accepted that tumors with a *BRCA1/2* mutation or other HR deficiency (HRD) are  
105 selectively sensitive to PARP inhibition by a mechanism of synthetic lethality (7-9). Several  
106 recent reports have proposed additional models by which PARP inhibition may result in

107 synthetic lethality (10, 11). For example, PARP inhibition may affect the role these enzymes  
108 play in the alternative nonhomologous end-joining DNA repair pathway, which is upregulated  
109 in HR-deficient cells (12, 13). Additionally, PARP inhibitors have been shown to trap PARP-1  
110 and -2 at the site of the DNA break (14). These trapped PARP-DNA complexes may directly  
111 damage the cell by obstructing replication forks, requiring HR repair for resolution (10, 14).

112 Several PARP inhibitors are currently in development for the treatment of patients with  
113 tumors harboring HRD, including those with a *BRCA1/2* mutation (15-26). Single-agent  
114 olaparib is approved in the United States for the treatment of patients with advanced  
115 germline *BRCA1/2*-mutated ovarian cancer who have received three or more lines of  
116 chemotherapy (27, 28). Rucaparib (CO-338; formerly known as AG-014447 and PF-  
117 01367338) is a potent small molecule inhibitor of PARP-1, -2, and -3 (29, 30), and was  
118 approved in the United States in December 2016 for the treatment of patients with advanced  
119 ovarian cancer associated with deleterious germline or somatic *BRCA* mutations who have  
120 received two or more chemotherapies (31). Consistent with the concept of synthetic lethality,  
121 rucaparib is preferentially cytotoxic to cells with a *BRCA1* or *BRCA2* mutation or  
122 epigenetically silenced *BRCA1* (7, 32).

123 An open-label, phase II study investigated intermittent dosing of intravenous rucaparib (5  
124 days of a 21-day cycle), as well as intermittent and continuous dosing of oral rucaparib (7,  
125 14, or 21 days of a 21-day cycle) in small cohorts of patients with advanced ovarian or  
126 breast cancer associated with a germline *BRCA1/2* mutation (33). This study provided  
127 evidence that continuous dosing of oral rucaparib led to a higher rate of response than  
128 intermittent intravenous dosing (response rate, 18% vs. 2%). The intravenous formulation  
129 was discontinued. However, the maximum oral dose of rucaparib 600 mg BID for 21  
130 continuous days was only evaluated in one patient, and the study did not establish a  
131 recommended phase II dose (RP2D) for the oral formulation, which was a secondary  
132 endpoint.

133 The phase I–II study reported here was the first to fully evaluate single-agent oral rucaparib  
134 administered for multiple cycles in patients with an advanced solid tumor, including a cohort  
135 of patients with *BRCA1/2*-mutated ovarian cancer who had received multiple prior  
136 treatments. The objectives of this study included characterization of the safety and  
137 pharmacokinetic (PK) profiles, assessment of preliminary clinical activity, and establishment  
138 of the RP2D of rucaparib. Here we present results from Study 10 Part 1 (phase I dose  
139 escalation), as well as Part 2A (phase II expansion) that evaluated the RP2D of rucaparib as  
140 single-agent treatment in patients with platinum-sensitive, high-grade ovarian cancer  
141 (HGOC) associated with a germline *BRCA1/2* mutation.

142

## 143 **Materials and Methods**

### 144 **Study design and patients**

145 This is an ongoing, three-part, open-label, phase I–II study of single-agent oral rucaparib  
146 (ClinicalTrials.gov identifier, NCT01482715). It was approved by the institutional review  
147 board at each study site and is being conducted in accordance with the Declaration of  
148 Helsinki and the Good Clinical Practice Guidelines of the International Conference on  
149 Harmonisation. Patients provided written consent before participating in the study. Part 1  
150 (phase I dose escalation) enrolled patients who were at least 18 years of age with an  
151 advanced solid tumor that had progressed on standard treatment. Eligible patients had an  
152 Eastern Cooperative Oncology Group (ECOG) Performance Status (PS) of 0 to 1 and  
153 adequate hematologic, hepatic, and renal function. Measurable disease and a known  
154 *BRCA1/2* mutation were not required. The primary objectives of Part 1 were to characterize  
155 the safety and PK profile of oral rucaparib administered as a continuous daily dose and  
156 establish the maximum tolerated dose (MTD) and RP2D in patients with an advanced solid  
157 tumor. Antitumor activity was evaluated as a secondary objective.

158 Part 2A (phase II expansion) evaluated the RP2D of oral rucaparib in patients with platinum-  
159 sensitive, relapsed, high-grade serous or endometrioid epithelial ovarian, fallopian tube, or  
160 primary peritoneal cancer associated with a germline *BRCA1/2* mutation. Eligible patients  
161 received between two and four prior treatment regimens, had an ECOG PS of 0 to 1, had a  
162 progression-free interval (PFI) of 6 months or longer after their most recent platinum-based  
163 regimen, and had measurable disease (of any size; with or without visceral metastasis) per  
164 Response Evaluation Criteria in Solid Tumors version 1.1 (RECIST). Part 2A utilized a  
165 Simon two-stage design requiring two or more responses in the first 21 patients to continue  
166 to stage 2; total planned enrollment was 41 patients. The primary endpoint was investigator-  
167 assessed objective response rate (ORR) per RECIST. Secondary objectives included  
168 evaluation of duration of response and safety. An independent radiology review of ORR for  
169 patients in Part 2A was performed retrospectively.

#### 170 **Study treatment**

171 Using a standard 3 + 3 design for dose escalation (Part 1), patients received oral rucaparib  
172 once daily (QD) or twice daily (BID) in 21-day continuous treatment cycles, starting at 40 mg  
173 QD with escalations to 80, 160, 300, and 500 mg QD, then further escalation to 240, 360,  
174 480, 600, and 840 mg BID. The protocol was amended approximately 10 months after  
175 enrollment began to allow inpatient dose escalation. Patients in Part 2A received the  
176 RP2D of oral rucaparib established in Part 1. Treatment continued until disease progression  
177 or unacceptable toxicity. A new cycle of treatment could begin if a patient's absolute  
178 neutrophil count was  $1.0 \times 10^9/L$  or greater, platelet count was  $75.0 \times 10^9/L$  or greater, and  
179 nonhematologic toxicities had returned to baseline or were grade 1 or less.

#### 180 **Definition of dose-limiting toxicity and maximum tolerated dose**

181 In Part 1, dose-limiting toxicities (DLTs) were defined as any of the following events that  
182 occurred during cycle 1 and were assessed by the investigator as related to rucaparib:  
183 absolute neutrophil count less than  $0.5 \times 10^9/L$  lasting for more than 5 days or febrile  
184 neutropenia; platelets less than  $25 \times 10^9/L$  or platelets less than  $50 \times 10^9/L$  with bleeding



185 requiring a platelet transfusion; grade 4 anemia; or any nonhematologic adverse event (AE)  
186 grade 3 or greater (except nausea, vomiting, and diarrhea, if well controlled by systemic  
187 medication, and alopecia). Dose escalation continued until 33% or more of patients treated  
188 at a dose level experienced a DLT. The next lower dose was then considered the MTD.

### 189 **Pharmacokinetics, safety, and efficacy assessments**

190 Pharmacokinetic assessments in Part 1 included single-dose and steady-state (day 15)  
191 profiles in cycle 1 and trough levels in selected cycles. Blood was collected prior to rucaparib  
192 dosing and from 15 minutes to 24 hours after dosing on days 1 and 15. Samples for PK  
193 analysis were collected before and/or after the morning dose for all patients on a BID dosing  
194 schedule. Safety assessments included evaluation of AEs, hematology, clinical chemistry,  
195 vital signs, body weight, concomitant medications and/or procedures, ECOG PS,  
196 electrocardiograms, and rucaparib dose modifications. Adverse events were classified  
197 according to the National Cancer Institute Common Terminology Criteria for Adverse Events  
198 version 4 (34).

199 Tumor assessments consisted of clinical examination and computed tomography scans of  
200 the chest, abdomen, and pelvis (with appropriate slice thickness per RECIST) (35). Other  
201 assessments (eg, magnetic resonance imaging) were performed only if clinically required.

202 Tumor assessments were performed at screening, prior to cycles 3, 5, and 7, and every  
203 three cycles of treatment thereafter from cycle 10. Tumor responses (per RECIST) were  
204 assessed in all patients; however, for those without measurable disease at baseline  
205 (permitted in Part 1), only a best response of stable or progressive disease could be  
206 achieved. Response in patients with ovarian cancer was also assessed using Gynecologic  
207 Cancer Intergroup (GCIIG) cancer antigen 125 (CA-125) criteria (36). Confirmatory scans  
208 were required 4 to 6 weeks after an initial complete response (CR) or partial response (PR)  
209 was noted.

### 210 **Dose reductions**

211 Up to three dose reduction steps were permitted to manage treatment-related toxicity. In the  
212 event of grade 3 or 4 toxicity, treatment was held until resolution to grade 2 or less before re-  
213 administration of rucaparib. If dosing was interrupted for more than 14 consecutive days  
214 because of toxicity, treatment was discontinued unless the patient was deriving clinical  
215 benefit and the sponsor approved continuation of treatment. In Part 1, rucaparib was  
216 reduced to the next lower dose level. In Part 2A, rucaparib dose was reduced by increments  
217 of 120 mg.

### 218 **Statistical analysis**

219 For Part 1, it was estimated that six to 12 dose-escalation cohorts, with a minimum of three  
220 patients each, would be needed to evaluate the RP2D of oral rucaparib. In Part 2A, it was  
221 estimated that at least 41 patients evaluable for response would be needed to evaluate the  
222 efficacy of rucaparib.

223 The single-dose and steady-state rucaparib PK data following oral administration were  
224 analyzed using noncompartmental methods. The PK parameters included area under the  
225 concentration time curve (AUC) from time 0 to last measurable concentration, maximum  
226 concentration ( $C_{max}$ ), time to  $C_{max}$  ( $T_{max}$ ), half-life ( $T_{1/2}$ ), apparent steady-state clearance  
227 ( $CL_{ss}/F$ ), and accumulation ratio. Time to reach steady state was estimated based on the  
228 plasma trough concentration-time profile. Dose proportionality was assessed for QD and BID  
229 dosing using log-transformed PK parameters and dose by linear regression. The effect of  
230 food on single-dose rucaparib exposure, as measured by  $C_{max}$  and AUC time zero to 24  
231 hours ( $AUC_{0-24}$ ), was assessed at the 40 and 300 mg QD dose levels.

232 Safety analyses were performed by study part and by dose level in all patients who received  
233 at least one dose of rucaparib. The ORR was summarized for all patients enrolled in Part 2A  
234 who received at least one dose of rucaparib, and presented as percentages with 95%  
235 confidence intervals (CIs) using Clopper-Pearson methodology. Duration of confirmed  
236 response (CR or PR) was measured from the date of first response until the date that  
237 progressive disease was objectively documented, or censored at the last tumor evaluation.

238 Kaplan-Meier methodology was used to analyze duration of response and presented with  
239 the median and 95% CI.

240

## 241 **RESULTS**

### 242 **Part 1 (phase I dose escalation)**

243 *Patients and treatments.* Between December 2011 and October 2013, 56 patients were  
244 enrolled into Part 1 of the study. Results from Part 1 are based on a visit cutoff date of  
245 November 30, 2015.

246 Baseline characteristics are presented in Table 1. Most patients had either breast (48.2%;  
247 27/56) or ovarian (35.7%; 20/56) cancer. The majority of patients (64.3%; 36/56) had a  
248 germline *BRCA1* or *BRCA2* mutation identified by local testing; for seven of 56 patients  
249 (12.5%), germline status was not confirmed as local *BRCA* testing was conducted using  
250 DNA extracted from tissues other than blood or buccal samples (eg, tumor tissue only). For  
251 20 of 56 patients (35.7%), a *BRCA* mutation was not detected or no test was performed.

252 Twenty-six patients received rucaparib QD, at dose levels of 40 mg ( $n = 6$ ), 80 mg ( $n = 3$ ),  
253 160 mg ( $n = 4$ ), 300 mg ( $n = 9$ ), and 500 mg QD ( $n = 4$ ); 30 patients received rucaparib BID,  
254 at dose levels of 240 mg ( $n = 3$ ), 360 mg ( $n = 8$ ), 480 mg ( $n = 9$ ), 600 mg ( $n = 7$ ), and 840  
255 mg BID ( $n = 3$ ). Median treatment exposure across all dose levels was 3.2 months (range,  
256 0.0–37.9); 20 of 56 patients (35.7%) received treatment for 6 months or more. One of eight  
257 patients treated with rucaparib 360 mg BID experienced a DLT of grade 3 nausea not well  
258 controlled by systemic medication; no DLTs were observed at any other dose level. No MTD  
259 was identified per the protocol-specified criteria.

260 *Safety.* Across dose levels, treatment-emergent AEs were mostly grade 1 or 2 in severity.  
261 No grade 4 events were reported (Table 2). The most common ( $\geq 20\%$  of patients) treatment-  
262 emergent AEs were asthenia/fatigue, gastrointestinal disorders (nausea, vomiting, and  
263 diarrhea), myelosuppression (anemia, thrombocytopenia, and neutropenia), decreased

264 appetite, and elevated alanine transaminase (ALT) and/or aspartate transaminase (AST)  
265 levels. Treatment-emergent AEs of elevations in blood creatinine and ALT/AST levels were  
266 reported in 8.9% (5/56) and 25.0% (14/56) of patients and were mostly grade 1 or 2. Anemia  
267 was the most common grade 3 treatment-emergent AE, reported in five of 56 patients (8.9%)  
268 across all doses, with the highest incidence reported with the rucaparib 600 mg BID dose  
269 (28.6%; 2/7). Across all cohorts, 11 of 56 patients (19.6%) had a dose reduction because of  
270 a treatment-emergent AE. At the visit cutoff date (November 30, 2015), two of 56 patients  
271 (3.6%) continued to receive treatment, 50 of 56 patients (89.3%) had discontinued because  
272 of disease progression (71.4%) or clinical deterioration (17.9%), and one patient each (1.8%)  
273 discontinued for the following reasons: vaginal fistula (considered related to disease  
274 progression), CA-125 increase, physician's decision, or eligibility violation (QTc higher than  
275 the allowed maximum of 450 ms). No treatment-related deaths were reported; three deaths  
276 resulting from disease progression were reported during the study.

277 *Efficacy.* In this portion of the study, objective responses or prolonged stable disease (SD)  
278 occurred in patients with a germline *BRCA* mutation. There were two patients who achieved  
279 a confirmed CR in Part 1 (Table 3). One patient with platinum-sensitive ovarian cancer and a  
280 germline *BRCA1* mutation receiving rucaparib 300 mg QD had a PR at 6 weeks (first on-  
281 study assessment) and eventually achieved a CR at 54 weeks. At the visit cutoff date, the  
282 patient had been on study for 165 weeks, with a confirmed CR for 111 weeks. A patient with  
283 breast cancer and a germline *BRCA1* mutation receiving rucaparib 360 mg BID had a PR at  
284 6 weeks (first on-study assessment) and achieved a CR at 18 weeks, which lasted for 60  
285 weeks.

286 A confirmed PR was achieved in six patients (Table 3). One patient with breast cancer and a  
287 germline *BRCA1* mutation receiving rucaparib 300 mg QD had a PR for 15 weeks. One  
288 patient with pancreatic cancer and a germline *BRCA2* mutation receiving rucaparib 360 mg  
289 BID had a PR for 28 weeks. In the rucaparib 480 mg BID cohort, one patient with breast  
290 cancer and a germline *BRCA2* mutation, one patient with platinum-resistant ovarian cancer

291 and a germline *BRCA2* mutation, and one patient with breast cancer and a tumor *BRCA1*  
292 mutation achieved a PR of 116, 37, and 21 weeks' duration, respectively. One patient with  
293 platinum-resistant ovarian cancer and a tumor *BRCA1* mutation who received rucaparib 600  
294 mg BID had a PR for 13 weeks. Twenty-two patients (15 with ovarian, six with breast, and  
295 one with colon cancer) had a best response of SD; 14 patients had durable SD for more than  
296 24 weeks. Of thirteen patients with ovarian cancer associated with a *BRCA* mutation who  
297 received rucaparib BID (360 to 840 mg), two (15.4%; 95% CI, 1.9–45.4) achieved a  
298 confirmed PR, 10 (76.9%) had a best response of SD, and one (7.7%) was not evaluable.  
299 The best response in target lesions for all phase I patients with measurable disease is  
300 presented in Fig. 1A.

301 *Pharmacokinetics*. Fifty-six patients entered the dose-escalation portion of the study and  
302 received oral rucaparib with or without food at doses ranging from 40 to 500 mg QD and 240  
303 to 840 mg BID (480 to 1680 mg/day). Pharmacokinetic parameters are summarized in Table  
304 4. The mean plasma rucaparib concentration-time profiles by dose level on cycle 1 days 1  
305 and 15 following QD and BID dosing are presented in Supplementary Fig. S1 and Fig. S2,  
306 and the relationship between dose level and exposure is presented in Supplementary Fig.  
307 S3. Plasma exposure of rucaparib was approximately dose proportional. The median values  
308 of  $T_{max}$  ranged from 1.5 to 6 hours across all doses, suggesting relatively fast absorption.  
309 The estimated  $T_{1/2}$  for QD dosing was approximately 17 hours. Steady state appeared to be  
310 achieved by day 8 with QD or BID dosing based on the predose plasma concentration of  
311 rucaparib. The estimated mean values of  $CL_{SS}/F$  ranged from 26.7 to 47.5 L/h for QD dosing  
312 and from 26.2 to 58.6 L/h for BID dosing. The accumulation ratio of rucaparib plasma  
313 exposure at steady state ranged from 1.06 to 1.8 for  $C_{max}$  and 1.6 to 2.3 for  $AUC_{0-24}$  with QD  
314 dosing, and from 2.6 to 4.9 for  $C_{max}$  and 1.47 to 5.44 for  $AUC_{0-12}$  with BID dosing. The  
315 accumulation on a BID schedule was approximately twice that of the QD schedule. The time  
316 to steady state and the observed accumulation ratios are consistent with the  $T_{1/2}$  values,  
317 suggesting lack of time-dependent PK. The effect of a high-fat meal on rucaparib PK was

318 evaluated in three patients at 40 mg QD and six patients at 300 mg QD. A high-fat meal did  
319 not cause clinically meaningful changes of rucaparib PK at these dose levels  
320 (Supplementary Table S1).

321 *Recommended phase II dose.* Based on protocol-specified criteria, no MTD was identified  
322 for dose levels of 40 mg QD up to 840 mg BID in Part 1. The 600 mg BID dose was selected  
323 as the RP2D upon consideration of the manageable safety and antitumor activity of  
324 rucaparib, as well as the PK profile observed in patients in Part 1. No patients in the 600 mg  
325 BID cohort discontinued because of an AE; however, myelosuppression requiring dose  
326 modification was observed in some patients after several cycles of treatment. Furthermore,  
327 antitumor activity was observed in patients in this cohort.

#### 328 **Part 2A (phase II expansion)**

329 *Patients and treatments.* Part 2A of the study evaluated oral rucaparib in patients with  
330 platinum-sensitive, high-grade serous, endometrioid, mixed histology or clear cell ovarian  
331 cancer associated with a germline *BRCA1/2* mutation. The majority of patients had high-  
332 grade serous cancer (Table 1). In stage 1, three of the first five patients enrolled achieved a  
333 RECIST response, satisfying the criteria to continue to stage 2. A total of 42 patients were  
334 enrolled into Part 2A; the majority of patients (71.4%; 30/42) had a *BRCA1* mutation, and  
335 28.6% (12/42) had a *BRCA2* mutation (Table 1). The median number of prior chemotherapy  
336 regimens was two (range, 2–4); 15 of 42 patients (35.7%) had received three or more prior  
337 chemotherapies.

338 At the visit cutoff date (November 30, 2015), nine of 42 patients (21.4%) remained on  
339 treatment. Twenty-six of 42 patients (61.9%) discontinued because of disease progression  
340 (52.4%) or clinical decline (9.5%), four (9.5%) discontinued because of an AE, two (4.8%)  
341 discontinued because of CA-125 increase, and one (2.4%) discontinued upon investigator  
342 decision. Median treatment exposure was 7.4 months (range, 0.1–20.2).

343 *Efficacy.* Of 42 patients, 25 (59.5%) achieved an investigator-assessed, confirmed RECIST  
344 response and 35 (83.3%) achieved an investigator-assessed, RECIST/GCIG CA-125  
345 response (Table 3). Activity was observed in patients with either a *BRCA1* or *BRCA2*  
346 mutation, those with a PFI of 6 to 12 months or more than 12 months, as well as those who  
347 had received at least three prior chemotherapy regimens. Most patients (60.0%; 15/25) with  
348 a RECIST response achieved a response by the first disease assessment (approximately 6  
349 weeks), and all but two of the responders achieved a response by the second disease  
350 assessment (approximately 12 weeks). The majority of patients (88.1%; 37/42) had a  
351 reduction in target lesion size (Fig. 1B). An example of a patient with visceral disease who  
352 had received two prior platinum-based regimens and achieved a PR to rucaparib at cycle 2  
353 (51% decrease in sum of target lesions) is shown in Supplementary Fig. S4. Notably, the  
354 patient with clear cell ovarian cancer and the patient with endometrioid ovarian cancer each  
355 achieved a PR, as did many patients with serous ovarian cancer; thus the presence of a  
356 *BRCA* mutation appears to play a larger role than histology in determining response to  
357 rucaparib. The median duration of investigator-assessed confirmed response for patients in  
358 Part 2A was 7.8 months (95% CI, 5.6–10.5). Nine of the 25 responders were censored at the  
359 visit cutoff date. Of these nine patients, five were ongoing and four discontinued treatment  
360 for reasons other than disease progression (Fig. 1C). In a retrospective analysis, the  
361 confirmed ORR by independent radiology review was 52.4% (95% CI, 36.4–68.0).

362 *Safety.* Treatment-emergent AEs (all grades) were reported in all 42 patients (100.0%)  
363 (Table 2), the most common of which were asthenia/fatigue, nausea, anemia, ALT/AST  
364 elevations, vomiting, constipation, and headache. Treatment-emergent AEs of elevations in  
365 blood creatinine were reported in 33.3% of patients (14/42) and were grade 1 or 2. Grade 3  
366 or 4 treatment-emergent AEs were reported in 32 of 42 patients (76.2%); those reported in  
367 10% or more of patients included asthenia/fatigue (grade 3, 26.2% [11/42]; grade 4, none),  
368 anemia (grade 3, 31.0% [13/42]; grade 4, 7.1% [3/42]), and elevated ALT/AST (grade 3,  
369 14.3% [6/42]; grade 4, none) (Table 2). Four of 42 patients (9.5%) discontinued treatment

370 because of an AE, including abdominal cramp, constipation, dizziness, fatigue,  
371 hypercholesterolemia, nausea, shaking, urinary tract infection, and vomiting; 26 of 42  
372 patients (61.9%) discontinued because of disease progression or clinical deterioration. There  
373 were three deaths that resulted from disease progression; no treatment-related deaths were  
374 reported during the study.

375 Among 42 patients, treatment-emergent AEs led to a dose reduction in 29 patients (69.0%)  
376 and treatment interruption in 27 patients (64.3%). Thirty-eight patients (90.5%) had at least  
377 one dose reduction or treatment delay because of a treatment-emergent AE. Grade 3 or 4  
378 AEs were managed with treatment modification and/or supportive care. In most patients,  
379 myelosuppression was a cumulative effect that manifested after cycle 1 and was  
380 successfully treated with supportive care and/or dose interruption or modification. Transient  
381 elevations in ALT and/or AST, with no other evidence of liver dysfunction, occurred relatively  
382 early after initiation of treatment (middle of cycle 1 or start of cycle 2) and resolved or  
383 stabilized over time, including during continued rucaparib exposure (Fig. 2).

384

## 385 **Discussion**

386 In this phase I–II study, oral rucaparib had a manageable safety profile and favorable PK  
387 properties. During dose escalation, rucaparib was active in patients who had a germline  
388 *BRCA1/2* mutation, with responses observed in patients with ovarian (platinum-sensitive and  
389 platinum-resistant), breast, and pancreatic tumors. Part 2A data indicated that administration  
390 of rucaparib 600 mg BID led to robust responses in patients with platinum-sensitive,  
391 relapsed, high-grade, serous, endometrioid, and/or clear cell ovarian cancer associated with  
392 a germline or tumor *BRCA1/2* mutation.

393 This study was the first to fully evaluate daily, single-agent oral rucaparib in patients with an  
394 advanced solid tumor and to provide a comprehensive characterization of its safety and PK  
395 profile. Continuous dosing of oral rucaparib was associated with approximately dose-



396 proportional rucaparib exposure in the tested dose ranges following QD and BID  
397 administration, with moderate interpatient variability and a  $T_{1/2}$  of approximately 17 hours  
398 independent of dose. In a small cohort of patients, a high-fat meal did not cause clinically  
399 meaningful changes in rucaparib PK, indicating that patients may take rucaparib with or  
400 without food. During the dose escalation phase of the study (Part 1), no MTD was identified  
401 in patients treated with rucaparib doses up to 840 mg BID; however, delayed  
402 myelosuppression requiring dose modification was observed in some patients treated with  
403 rucaparib 600 mg BID. The 600 mg BID dose was selected as the RP2D based on  
404 manageable safety and clinical activity, and was further characterized in the phase II portion.

405 Oral rucaparib 600 mg BID was tolerable, with a manageable safety profile that was  
406 consistent with its mechanism of action. Toxicities observed with rucaparib, such as  
407 myelosuppression, fatigue, and gastrointestinal disorders, are commonly observed with  
408 other PARP inhibitors (19, 23, 24, 37, 38). Myelosuppression, which generally occurs at a  
409 lower frequency with PARP inhibitors in relation to platinum-based chemotherapy, was  
410 generally observed after several cycles of rucaparib treatment and was successfully  
411 managed with supportive care and treatment modification (dose reduction and/or  
412 interruption). Other common low-grade AEs included fatigue and gastrointestinal side  
413 effects, such as nausea and vomiting. These AEs were successfully managed with  
414 supportive care and/or dose modification, as needed. Elevated serum creatinine was  
415 observed during rucaparib treatment. Elevations in creatinine have also been observed  
416 following the use of the PARP inhibitor olaparib (27). Elevations in creatinine may be  
417 attributed to the inhibition of the active tubular secretion of creatinine into the proximal tubule  
418 and subsequent apical efflux into the urine, as rucaparib has demonstrated potent inhibition  
419 of MATE1 and MATE2-K and moderate inhibition of OCT-2 in vitro. Inhibition of these  
420 transporters has also been demonstrated in vitro with the PARP inhibitor veliparib and other  
421 drugs (39, 40). Some AEs observed with rucaparib treatment, such as elevations in ALT and  
422 AST, have not been previously associated with PARP inhibitors. The mechanism

423 responsible for the transaminase elevations has not been identified; however, such  
424 elevations were transient and resolved or stabilized during treatment. Of the 98 patients  
425 treated in Study 10 (Parts 1 and 2 combined), 87 patients discontinued treatment because of  
426 disease progression (62/98; 63.3%), clinical progression (14/98; 14.3%), treatment-emergent  
427 AE (5/98; 5.1%), or other reason (6/98; 6.1%). No treatment-related deaths were reported in  
428 either Part 1 or Part 2A.

429 The benefits of PARP inhibitors for treatment of germline *BRCA1/2*-mutated ovarian cancer  
430 are well established, with response rates in the range of 38% to 60% reported in patients  
431 with platinum-sensitive disease (16, 18, 19, 24, 41-43). In the 42 patients with platinum-  
432 sensitive, relapsed HGOC associated with a germline *BRCA1/2* mutation enrolled in Part 2A  
433 of this study (600 mg BID), the investigator-assessed ORR was 59.5% by RECIST and  
434 83.3% by RECIST/CA-125 criteria.

435 Part 2B of this study is currently assessing the efficacy of rucaparib in patients with platinum-  
436 sensitive, relapsed HGOC associated with a germline or somatic *BRCA1/2* mutation who  
437 had received at least three prior chemotherapy regimens. Part 3 is ongoing and currently  
438 assessing the PK (including the effect of food) and safety profile of a higher dose tablet of  
439 rucaparib in patients with a relapsed solid tumor associated with a germline or somatic  
440 *BRCA1/2* mutation.

441 This study provides evidence of the antitumor activity of rucaparib in patients with germline  
442 *BRCA1/2*-mutated ovarian cancer. Results from this study and the ongoing phase II ARIEL2  
443 study (NCT01891344) supported the accelerated approval of rucaparib (600 mg BID) by the  
444 United States Food and Drug Administration for the treatment of patients with advanced  
445 ovarian cancer associated with deleterious germline or somatic *BRCA* mutations who have  
446 received two or more chemotherapies. Additional preclinical data indicate that the antitumor  
447 activity of rucaparib extends beyond tumors with a *BRCA1/2* mutation to a broader group of  
448 tumors with HRD (32, 44, 45). For this reason, rucaparib is being developed for the  
449 treatment of tumors with HRD, including those with a *BRCA1* or *BRCA2* mutation

450 (ClinicalTrials.gov identifiers: NCT00664781, NCT01074970, NCT01482715, NCT01891344,  
451 NCT01968213, NCT02042378, and NCT02505048). In addition to the ARIEL2 study, which  
452 is investigating rucaparib in the treatment setting, rucaparib is being evaluated in the  
453 maintenance setting in patients with relapsed HGOC in the phase III ARIEL3 study  
454 (NCT01968213). The ARIEL2 and ARIEL3 studies are enrolling patients with or without a  
455 germline or somatic *BRCA1/2* mutation in order to investigate the activity of rucaparib in a  
456 wider group of patients with HRD-associated ovarian cancer. The ARIEL clinical  
457 development program is prospectively testing a novel next-generation sequencing HRD  
458 assay and algorithm to predict which patients with ovarian cancer, including those whose  
459 tumors lack a *BRCA1* or *BRCA2* mutation, who may benefit from rucaparib. Results from  
460 ARIEL2 Part 1 indicate that some patients who have *BRCA1/2* wild-type tumors and have a  
461 high percentage of tumor genomic loss of heterozygosity respond to rucaparib treatment  
462 (43). In ARIEL3, this novel HRD assay will be prospectively applied to the primary analysis  
463 of investigator-assessed progression-free survival by RECIST with the aim of validating the  
464 test to identify patients with HRD tumors who will be most likely to benefit from rucaparib.

465

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472

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486

487 Note: Supplementary data for this article are available at Clinical Cancer Research Online  
488 (<http://clincancerres.aacrjournals.org/>).

489 Supplementary data include the following:

490 Figure S1. Rucaparib plasma concentration-time profiles following once daily oral  
491 administration

492 Figure S2. Rucaparib plasma concentration-time profiles following twice daily oral  
493 administration

494 Figure S3. Observed and predicted relationship between rucaparib dose and exposure at  
495 steady state on once-daily (QD) and twice-daily (BID) dosing schedules

496 Figure S4. Radiological response to rucaparib 600 mg BID in a patient with ovarian cancer  
497 who had a germline *BRCA2* mutation and visceral disease at baseline.

498 Table S1. Summary of pharmacokinetics parameters of rucaparib administered under fasting  
499 and fed conditions

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633 75.

634



635 **Table 1.** Baseline patient and disease characteristics

<b>Parameter</b>	<b>Part 1 Phase I (n = 56)</b>	<b>Part 2A Phase II (n = 42)</b>
Age, median (range), y	51 (21–71)	57 (42–84)
Gender, n (%)		
Female	51 (91.1)	42 (100.0)
Male	5 (8.9)	0 (0)
ECOG PS, n (%)		
0	29 (51.8)	26 (61.9)
1	27 (48.2)	16 (38.1)
Germline <i>BRCA1/2</i> mutation, n (%)		
Yes	36 (64.3)	42 (100.0)
No mutation detected	9 (16.1)	0 (0)
No test performed <sup>a</sup>	11 (19.6)	0 (0)
<i>BRCA</i> gene mutation, n (%)		
<i>BRCA1</i>	22 (39.3)	30 (71.4)
<i>BRCA2</i>	14 (25.0)	12 (28.6)
Type of cancer, n (%)		
Breast	27 (48.2)	0 (0)
Ovarian	20 (35.7)	42 (100.0)
Pancreatic (exocrine)	2 (3.6)	0 (0)
Other <sup>b</sup>	7 (12.5)	0 (0)
Histological classification, n (%)		
Serous	NA	37 (88.1)
Mixed	NA	3 (7.1)
Endometrioid	NA	1 (2.4)
Clear cell	NA	1 (2.4)
Platinum status of patients with ovarian		

cancer, <i>n</i> (%) <sup>c</sup>		
Refractory	1 (1.8)	0 (0)
Resistant	11 (19.6)	0 (0)
Sensitive	8 (14.3)	42 (100.0)
Progression-free interval from last platinum therapy, <i>n</i> (%)		
≥6–12 mo	NA	32 (76.2)
>12 mo	NA	10 (23.8)
Previous anticancer therapies, median (range)	4 (1–15)	2 (2–4)
≥3 previous anticancer therapies, <i>n</i> (%)	41 (73.2)	15 (35.7)
Previous chemotherapies, median (range)	3 (1–13)	2 (2–4)
≥3 previous chemotherapies, <i>n</i> (%)	37 (66.1)	15 (35.7)
Previous platinum-based chemotherapies, median (range)	1 (0–5)	2 (2–4)
≥3 previous platinum-based chemotherapies, <i>n</i> (%)	9 (16.1)	13 (31.0)
<p><sup>a</sup>Patients did not have local or central BRCA testing performed.</p> <p><sup>b</sup>One each of the following: small-cell lung cancer, gastric cancer, colon cancer, desmoplastic round cell tumor, mesenchymal chondrosarcoma of the skull, astrocytoma, and angiosarcoma.</p> <p><sup>c</sup>Platinum status was not applicable for 36 patients (64.3%) in Part 1.</p> <p>NA, not applicable.</p>		

637 **Table 2.** Treatment-emergent adverse events (occurring in ≥20% of patients in Part 1 or Part 2a) by rucaparib dose

Adverse Event	Part 1 (Phase I Dose Escalation), n (%)							Part 2A (Phase II Expansion), n (%)				
	40–500 mg QD (n = 26) <sup>a</sup>	240 mg BID (n = 3)	360 mg BID (n = 8)	480 mg BID (n = 9)	600 mg BID (n = 7)	840 mg BID (n = 3)	All doses (n = 56)	600 mg BID (n = 42)				
	All Grade	All Grade	All Grade	All Grade	All Grade	All Grade	All Grade	Grade 1	Grade 2	Grade 3	Grade 4	All Grade
Any adverse event	26 (100.0)	3 (100.0)	8 (100.0)	8 (88.9)	7 (100.0)	3 (100.0)	55 (98.2)	0 (0)	7 (16.7)	26 (61.9)	6 (14.3)	42 (100.0)
Asthenia/fatigue	10 (38.5)	2 (66.7)	5 (62.5)	5 (55.6)	5 (71.4)	1 (33.3)	28 (50.0)	8 (19.0)	17 (40.5)	11 (26.2)	0 (0)	36 (85.7)
Nausea	12 (46.2)	0 (0)	6 (75.0)	4 (44.4)	4 (57.1)	3 (100.0)	29 (51.8)	17 (40.5)	15 (35.7)	3 (7.1)	0 (0)	35 (83.3)
Anemia <sup>b</sup>	5 (19.2)	0 (0)	4 (50.0)	3 (33.3)	4 (57.1)	1 (33.3)	17 (30.4)	7 (16.7)	7 (16.7)	13 (31.0)	3 (7.1)	30 (71.4)
AST/ALT increased	2 (7.7)	0 (0)	2 (25.0)	3 (33.3)	6 (85.7)	1 (33.3)	14 (25.0)	11 (26.2)	7 (16.7)	6 (14.3)	0 (0)	24 (57.1)
Vomiting	10 (38.5)	0 (0)	3 (37.5)	5 (55.6)	4 (57.1)	2 (66.7)	24 (42.9)	12 (28.6)	8 (19.0)	3 (7.1)	0 (0)	23 (54.8)
Constipation	8 (30.8)	0 (0)	2 (25.0)	2 (22.2)	1 (14.3)	0 (0)	13 (23.2)	15 (35.7)	7 (16.7)	0 (0)	0 (0)	22 (52.4)
Headache	5 (19.2)	0 (0)	2 (25.0)	1 (11.1)	2 (28.6)	1 (33.3)	11 (19.6)	13 (31.0)	5 (11.9)	1 (2.4)	0 (0)	19 (45.2)
Abdominal pain	7 (26.9)	0 (0)	2 (25.0)	3 (33.3)	1 (14.3)	1 (33.3)	14 (25.0)	8 (19.0)	7 (16.7)	3 (7.1)	0 (0)	18 (42.9)
Dysgeusia	1 (3.8)	1 (33.3)	2 (25.0)	1 (11.1)	1 (14.3)	2 (66.7)	8 (14.3)	11 (26.2)	6 (14.3)	0 (0)	0 (0)	17 (40.5)
Diarrhea	4 (15.4)	1 (33.3)	1 (12.5)	2 (22.2)	2 (28.6)	3 (100.0)	13 (23.2)	8 (19.0)	8 (19.0)	0 (0)	0 (0)	16 (38.1)
Thrombocytopenia <sup>c</sup>	0 (0)	0 (0)	1 (12.5)	2 (22.2)	5 (71.4)	0 (0)	8 (14.3)	8 (19.0)	6 (14.3)	1 (2.4)	0 (0)	15 (35.7)
Blood creatinine increased	2 (7.7)	1 (33.3)	0 (0)	1 (11.1)	1 (14.3)	0 (0)	5 (8.9)	9 (21.4)	5 (11.9)	0 (0)	0 (0)	14 (33.3)
Neutropenia <sup>d</sup>	3 (11.5)	0 (0)	1 (12.5)	3 (33.3)	3 (42.9)	0 (0)	10 (17.9)	4 (9.5)	2 (4.8)	4 (9.5)	3 (7.1)	13 (31.0)
Decreased appetite	9 (34.6)	2 (66.7)	3 (37.5)	1 (11.1)	0 (0)	1 (33.3)	16 (28.6)	6 (14.3)	5 (11.9)	1 (2.4)	0 (0)	12 (28.6)
Abdominal distension	3 (11.5)	0 (0)	2 (25.0)	2 (22.2)	1 (14.3)	0 (0)	8 (14.3)	6 (14.3)	4 (9.5)	0 (0)	0 (0)	10 (23.8)

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Blood alkaline phosphatase increased	2 (7.7)	0 (0)	0 (0)	2 (22.2)	4 (57.1)	0 (0)	8 (14.3)	10 (23.8)	0 (0)	0 (0)	0 (0)	10 (23.8)
Dyspnea	2 (7.7)	0 (0)	3 (37.5)	3 (33.3)	1 (14.3)	1 (33.3)	10 (17.9)	8 (19.0)	1 (2.4)	1 (2.4)	0 (0)	10 (23.8)
Upper respiratory tract infection	1 (3.8)	0 (0)	1 (12.5)	0 (0)	0 (0)	0 (0)	2 (3.6)	6 (14.3)	4 (9.5)	0 (0)	0 (0)	10 (23.8)
Cough	3 (11.5)	1 (33.3)	0 (0)	3 (33.3)	2 (28.6)	2 (66.7)	11 (19.6)	7 (16.7)	1 (2.4)	1 (2.4)	0 (0)	9 (21.4)
Dizziness	2 (7.7)	1 (33.3)	2 (25.0)	2 (22.2)	2 (28.6)	0 (0)	9 (16.1)	7 (16.7)	1 (2.4)	1 (2.4)	0 (0)	9 (21.4)

Table is sorted by decreasing incidence in Part 2A patients.

<sup>a</sup>40 mg QD (*n* = 6), 80 mg QD (*n* = 3), 160 mg QD (*n* = 4), 300 mg QD (*n* = 9), and 500 mg QD (*n* = 4).

<sup>b</sup>Anemia and/or low/decreased hemoglobin.

<sup>c</sup>Thrombocytopenia and/or low or decreased platelets.

<sup>d</sup>Neutropenia and/or low or decreased absolute neutrophil count.

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640 **Table 3.** Antitumor activity in patients with advanced tumors who received rucaparib in Part  
 641 1 and investigator-assessed response in patients with germline *BRCA1/2*-mutated ovarian  
 642 cancer from Part 2A

<b>Part 1 (Phase I Dose Escalation)</b>					
<b>Patients with Advanced Solid Tumors (n = 56)</b>					
<b>Dose Received</b>	<b>Confirmed CR or PR (RECIST)</b>	<b>Duration of Response (wk)</b>	<b>Type of Cancer</b>	<b>BRCA Mutation</b>	<b>Platinum Status</b>
300 mg QD	CR	111	Ovarian	Germline <i>BRCA1</i>	Sensitive
300 mg QD	PR	15	Breast	Germline <i>BRCA1</i>	NA
360 mg BID	CR	60	Breast	Germline <i>BRCA1</i>	NA
360 mg BID	PR	28	Pancreatic	Germline <i>BRCA2</i>	NA
480 mg BID	PR	116	Breast	Germline <i>BRCA2</i>	NA
480 mg BID	PR	37	Ovarian	Germline <i>BRCA2</i>	Resistant
480 mg BID	PR	21	Breast	Tumor <i>BRCA1</i>	NA
600 mg BID	PR	13	Ovarian	Tumor <i>BRCA1</i>	Resistant
<b>Part 2A (Phase II Expansion)</b>					
<b>Patients with Germline <i>BRCA1/2</i>-Mutated Ovarian Cancer (n = 42)</b>					
<b>RECIST best confirmed response</b>				<b>n (% [95% CI])</b>	
CR				4 (9.5)	
PR				21 (50.0)	
SD				12 (28.6)	
PD				2 (4.8)	
NE				3 (7.1)	
RECIST ORR				25 (59.5 [43.3–74.4])	
RECIST/CA-125 ORR				35 (83.3 [68.6–93.0])	
<b>RECIST ORR by Part 2A patient subsets</b>				<b>n/N (% [95% CI])</b>	
<i>BRCA</i> gene mutation					
<i>BRCA1</i>				19/30 (63.3 [43.9–80.1])	
<i>BRCA2</i>				6/12 (50.0 [21.1–78.9])	
PFI					
6–12 mo				17/32 (53.1 [34.7–70.9])	
>12 mo				8/10 (80.0 [44.4–97.5])	
≥3 prior chemotherapy regimens				9/15 (60.0 [32.3–83.7])	
Duration of response, median (95% CI), mo				7.8 (5.6–10.5)	
NA, not available; NE, not evaluable; PD, progressive disease.					

643

644 **Table 4.** Single-dose and steady-state plasma pharmacokinetic parameters of rucaparib following once or twice daily continuous oral  
 645 administration (Part 1, phase I dose escalation)

Dosage	N	Day	Arithmetic Mean C <sub>max</sub> (CV%), ng/mL	Median T <sub>max</sub> (range), h	Arithmetic Mean AUC <sub>0-τ</sub> (CV%), ng×h/mL	Arithmetic Mean CL <sub>ss</sub> /F (CV%), L/h	AR (CV%)	Arithmetic Mean T <sub>1/2</sub> (CV%), h
40 mg QD	3	1	129 (28)	2.5 (1–4)	915 <sup>a</sup>	NR	NA	13.9 (57)
		15	138 (36)	4 (1–4.05)	1810 (44)	26.7 (59)	1.68 <sup>a</sup>	25.7 (23)
80 mg QD	3	1	114 (41)	1.5 (1–2.5)	800 (27)	NR	NA	11.0 <sup>a</sup>
		15	175 (37)	2.5 (2.5–2.57)	1740 (20)	47.5 (23)	2.33 (42)	19.5 <sup>a</sup>
160 mg QD	4	1	261 (51)	4.0 (4–6.05)	3050 (51)	NR	NA	19.9 (21)
		15	288 (29) <sup>b</sup>	3.75 (2.5–4) <sup>b</sup>	4110 (33) <sup>b</sup>	41.6 (29) <sup>b</sup>	1.84 (31) <sup>b</sup>	33.6 (12) <sup>b</sup>
300 mg QD	3	1	629 (37)	2.5 (1–4.08)	5740 (38)	NR	NA	15.2 (72)
		15	693 (76)	2.53 (2.5–8)	9610 (83)	46.7 (63)	1.60 (53)	29.8 <sup>a</sup>
500 mg QD	3	1	949 (52)	4 (4–4)	11,000 (61)	NR	NA	15.0 (32)
		15	1390 (23)	4 (4–4.17)	19,900 (41)	27.8 (35)	1.94 (17)	20.8 (38)
240 mg BID	3	1	219 (72)	6 (4.05–6)	2800 <sup>c</sup>	NR	NA	NR <sup>h</sup>
		15	971 (49)	1.5 (1–4)	10,700 <sup>a</sup>	27.3 <sup>a</sup>	5.44 <sup>c</sup>	
360 mg BID	8	1	666 (58)	3.23 (1.5–6)	4860 (58) <sup>d</sup>	NR	NA	
		15	1300 (43) <sup>d</sup>	3.3 (0–6.33) <sup>d</sup>	9430 <sup>a</sup>	40.4 <sup>a</sup>	4.08 <sup>a</sup>	
480 mg BID	9	1	1150 (57)	2.5 (1.5–4)	8810 (63) <sup>e</sup>	NR	NA	
		15	3170 (69) <sup>e</sup>	1.51 (0–6) <sup>e</sup>	26,300 (73) <sup>d</sup>	26.2 (63) <sup>d</sup>	3.97 (38) <sup>f</sup>	

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Dosage	N	Day	Arithmetic Mean C <sub>max</sub> (CV%), ng/mL	Median T <sub>max</sub> (range), h	Arithmetic Mean AUC <sub>0-τ</sub> (CV%), ng×h/mL	Arithmetic Mean CL <sub>ss</sub> /F (CV%), L/h	AR (CV%)	Arithmetic Mean T <sub>1/2</sub> (CV%), h
600 mg BID	7	1	1030 (61)	4 (2.42–10)	7200 (66) <sup>g</sup>	NR	NA	
		15	2420 (45)	4 (2.53–10)	21,400 (61) <sup>g</sup>	58.6 (123) <sup>g</sup>	3.23 (66) <sup>g</sup>	
840 mg BID	3	1	1380 (69)	4 (2.5–8)	13,200 <sup>a</sup>	NR	NA	
		15	3030 (NR) <sup>a</sup>	4.04 (4–4.07) <sup>a</sup>	29,000 <sup>c</sup>	29 <sup>c</sup>	1.47 <sup>c</sup>	

<sup>a</sup>n = 2; <sup>b</sup>n = 3; <sup>c</sup>n = 1; <sup>d</sup>n = 6; <sup>e</sup>n = 8; <sup>f</sup>n = 5; <sup>g</sup>n = 4; <sup>h</sup>T<sub>1/2</sub> is too long to allow for accurate estimate in BID dosing.

AR, accumulation ratio based on AUC; AUC<sub>0-τ</sub>, area under the plasma concentration-time curve from 0 to the end of dosing interval (τ = 24 h for QD; τ = 12 h for BID; for BID dosing, concentration at 12 h was calculated by extrapolation from last observed concentration in the same dosing interval); NA, not available; NR, not reportable; CV, coefficient of variation.

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648 **FIGURE LEGENDS**

649 **Figure 1.**

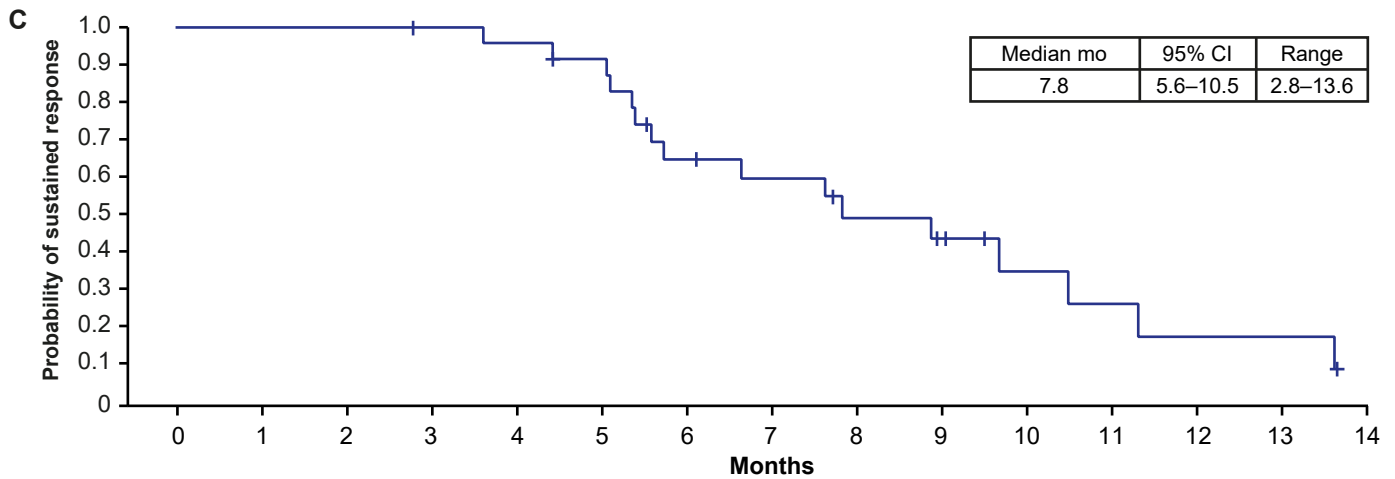
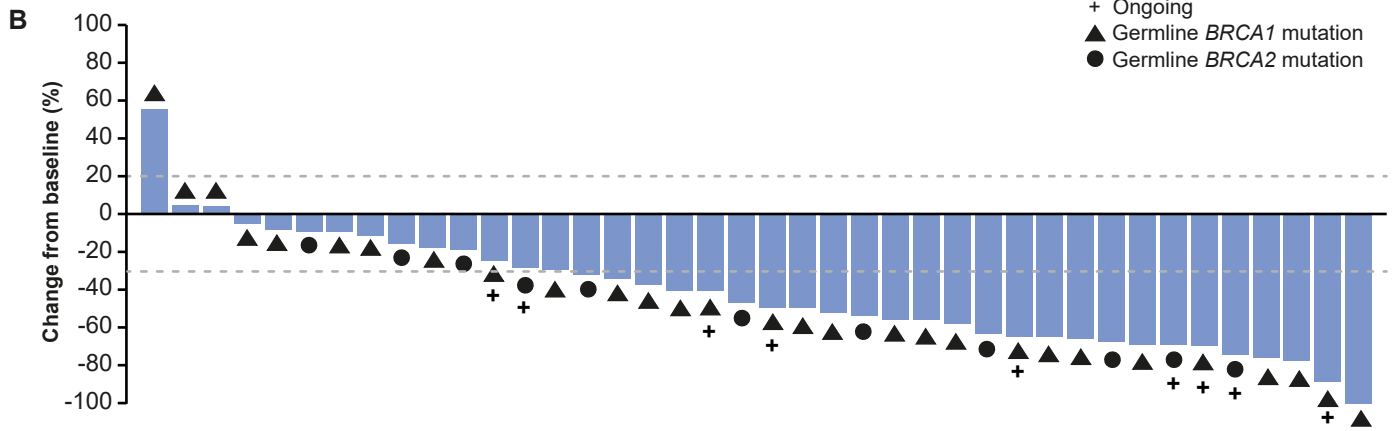
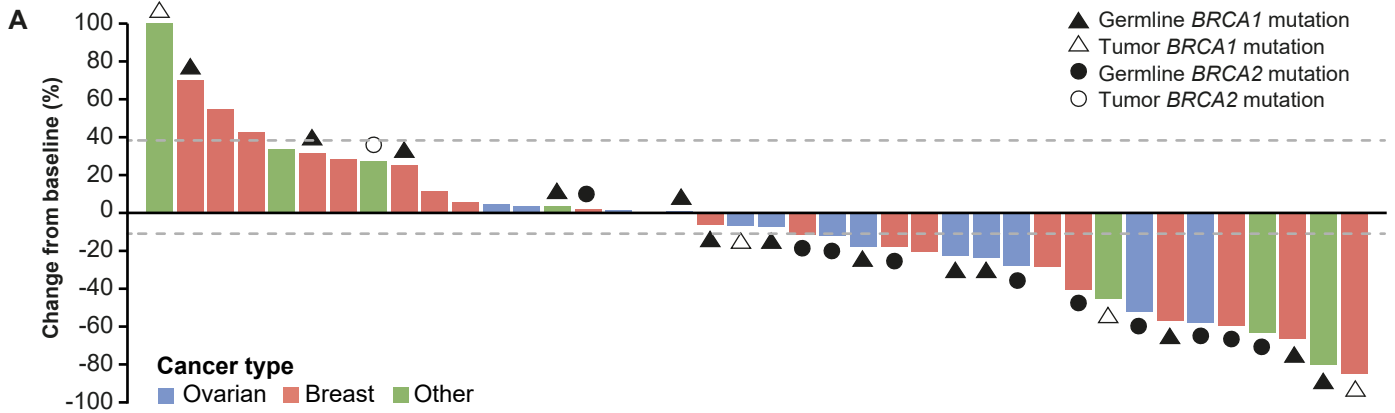
650 Waterfall plots for best overall change from baseline in target lesions in (A) patients with  
651 advanced solid tumors (Part 1, phase I dose escalation;  $n = 40$ ) and (B) patients with  
652 germline *BRCA1/2*-mutated high grade ovarian cancer (Part 2A, phase II expansion;  $n = 40$ )  
653 who had both baseline and postbaseline measurements. (C) Duration of response for  
654 patients in Part 2A. In panel A, patients with a *BRCA1* or *BRCA2* mutation detected by local  
655 testing are indicated with triangles or circles; for mutations detected in tumor tissue only  
656 (open triangles and circles), germline status was not determined.

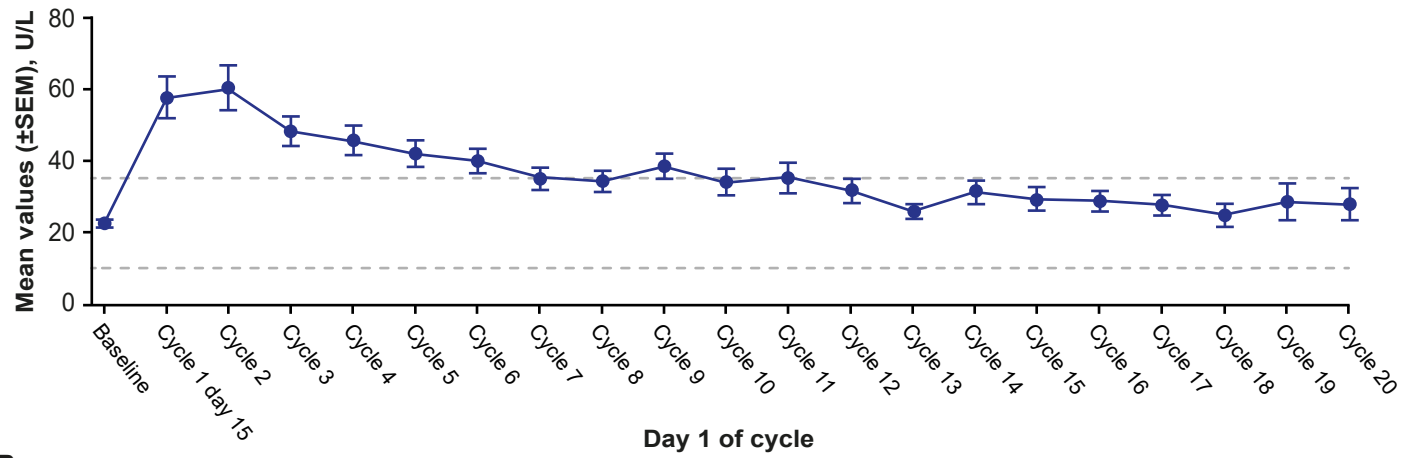
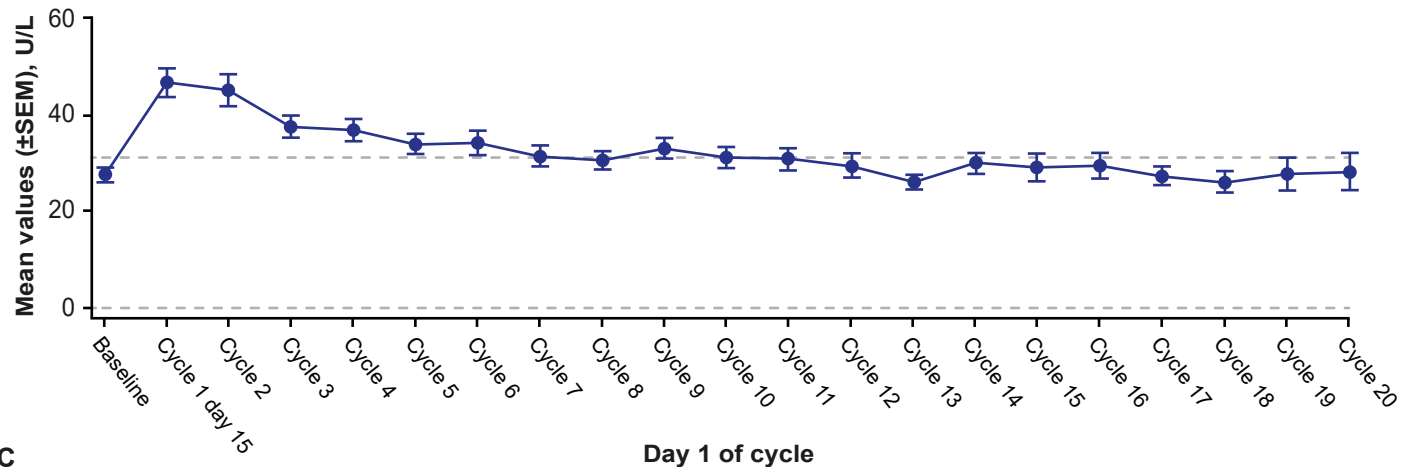
657 **Figure 2.**

658 Baseline and on-treatment values for (A) alanine aminotransferase, (B) aspartate  
659 aminotransferase, and (C) bilirubin for patients in Part 2A ( $n = 42$ ). Dashed grey lines  
660 indicate the upper and lower limits of the normal range. SEM, standard error of the mean.

661





**A****B****C**