

7 **Modelling the effect of combined antimicrobials: a**
8 **base model for multiple-hurdles**

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28

29 **Abstract**

30

31 Combining antimicrobials to reduce microbial growth and to combat the potential impact of
32 antimicrobial resistance is an important subject both in foods and in pharmaceuticals.

33 Modelling of combined treatments designed to reduce or eliminate microbial contamination
34 in foods (microbiological predictive modelling) has become commonplace. Two main
35 reference models are used to analyse mixtures: the Bliss Independence and the Loewe
36 reference models (LRM).

37

38 By using optical density to analyse the growth of *Aeromonas hydrophila*, *Cronobacter*
39 *sakazakii* and *Escherichia coli*, in combined NaCl/NaCl (a mock combination experiment)
40 and combined NaCl/KCl experiments, previous models for combined antimicrobials in foods,
41 based on the Bliss approach, were shown to be inconsistent and that models based on the
42 LRM more applicable.

43

44 The LRM was shown, however, to be valid only in the specific cases where the
45 concentration exponents of all components in a mixture were identical. This is assured for a
46 mock combination experiment but not for a true mixture. This, essentially, invalidates the
47 LRM as a general reference model. A new model, based on the LRM but allowing for mixed
48 exponents, was used to analyse the combined inhibition data, and concluded that the
49 NaCl/KCl system gave the additive effect expected from literature studies. This study
50 suggests the need to revise current models used to analyse combined effects.

51 1 Introduction

52 Combining appropriate antimicrobials whether in foods or in pharmaceuticals is a strategy to
53 reduce the total loading of the combined preservatives or drugs, potentially reduce drug
54 toxicity, increase the spectral range of the mixture beyond that of any one adjunct, and of
55 increasing importance - to help combat the emergence of antimicrobial resistance ([CDC](#)
56 [2013](#), [Krueger et al., 2014](#)). In foods the combination of several preservation methods can
57 be used to reduce organoleptically deleterious effects of using a single or a few factors to
58 preserve food products. This approach, known as combined hurdle technology, although
59 distinct from combined antimicrobials in pharmaceuticals has the same goal – to reduce a
60 negative effect through combination ([Leistner and Gorris 1995](#)).

61 Much effort has gone into developing and advancing mathematical models for the
62 prediction of growth of food borne pathogens in foods preserved by combinations of hurdles
63 such as thermal processing, holding temperature, acidity, water activity, multiple
64 preservatives, initial inoculum size, the shelf-life and the impact of transportation. These
65 models have become an integral part of modern-day food microbiology, e.g. in HACCP and
66 microbiological risk analysis ([Dominguez and Schaffner 2009](#); [Membré and Lambert 2008](#);
67 [Nychas et al., 2008](#)).

68 One particular approach to modelling microbial growth in foods is the Gamma
69 approach in which individual effects are combined multiplicatively and is based on Leistner's
70 Hurdle idea ([Zwietering et al., 1992](#)). For each inhibitory effect a growth factor is calculated
71 based on the ratio of the applied level to the optimum level for microbial growth.
72 Multiplication of these gamma factors (γ) gives the overall growth factor which alters, for
73 example, the growth rate from its optimum value.

74

75
$$\gamma_{total} = \frac{\mu}{\mu_{opt}} = \gamma(T) \cdot \gamma(pH) \cdot \gamma(Aw) \cdot \gamma(Pres)$$

76 Eqn. 1. The Gamma model combining the gamma factors (γ) for temperature (T), pH, water activity
77 (A_w) and applied preservatives ($Pres$) to predict the microbial growth rate (μ), relative to the optimal
78 growth rate (μ_{opt}).

79 As presented the Gamma hypothesis collates the applied factors as independent
80 entities. This is an oversimplification, and Eqn.1 can only be considered a first
81 approximation. The reason being that temperature affects pH, water activity and also the
82 efficacy of preservatives – especially those that have partition abilities and furthermore weak
83 acid preservatives are affected by temperature, pH and water activity. Some of these effects
84 can be incorporated into a modelling scheme (e.g. pH and weak acids through the use of the
85 pKa), whilst others have to be modelled on a case-by case basis (e.g., [Arroyo-Lopez et al.,
86 2012](#); [Coroller et al., 2012](#); [Lambert and Bidlas 2007](#)). Combinations of hurdles which
87 appear to give a greater effect than that described by the Gamma model may claim to show
88 synergy: the magnitude of the synergy is claimed relative to the expected effect (Eqn. 1)
89 ([Augustin and Carlier 2000a, 2000b](#)).

90 Previously, the effect of individual preservatives against spoilage and pathogenic
91 bacteria had been successfully modelled using a monotonic exponential decay function
92 ([Lambert and Pearson 2000](#)). Later studies of inhibition using multiple inhibitory factors
93 assumed that the gamma factor for an individual preservative could be expanded for
94 combinations, giving a model, based upon the Gamma hypothesis, which simply combined
95 the contribution from each component (Eqn 2).

96

$$97 \quad \gamma(Pres)_{total} = \gamma(Pres_1) \cdot \gamma(Pres_2) \cdot \gamma(Pres_3) \dots$$

98 Eqn. 2.

99 For example the combined effect of pH, acetic and propionic acids against *Aeromonas*
100 *hydrophila* was given as

101

$$102 \quad \gamma(Pres) = \exp \left\{ - \left[\left(\frac{10^{-pH}}{P_1} \right)^{m_1} + \left(\frac{Acetic}{P_2} \right)^{m_2} + \left(\frac{Propionic}{P_3} \right)^{m_3} \right] \right\}$$

103 *Eqn. 3. A Gamma model used for the prediction of the effect of combined acetic and propionic acids*
104 *at a given pH. P_i are concentration parameters and m_i are the concentration exponents.*

105 This model gave a very good fit to the observed data and gave us confidence in describing
106 the combination as additive (in the sense of independent action ([Lambert and Bidlas 2007](#))).

107 Within pharmaceuticals the basis of much of the literature on drug combinations is
108 based on one of two reference models, the Bliss independence model, of which the Gamma
109 model (Eqn.1) is an example, and the Loewe reference model (LRM, Eqn.4) ([Chou 2006](#);
110 [Greco et al., 1995](#)).

$$112 \quad \sum_{i=1}^n \frac{x_i}{X_i} = 1$$

111

113 *Eqn. 4 The Loewe Reference Model (LRM): An n-component mixture has a given effect, which is*
114 *elicited individually at concentrations X_i ; in the mixture the fractional amount of each component, x_i/X_i ,*
115 *sums to give the same effect.*

116

117 Equation 4 is the equation of a (n-1)-dimensional hyperplane and it defines the
118 expected additive behaviour of a mixture and “deviation from expectation unequivocally
119 indicates an interaction and its type” ([Berenbaum 1985](#)). A mixture, which satisfies the LRM,
120 is labelled as Loewe additive; if the combination achieved the effect, but with a value less
121 than 1 then the mixture is labelled as synergistic, and antagonistic if it is greater than 1. For
122 binary combinations a linear line (an isobole) joining X_1 and X_2 indicates additive behaviour,

123 a concave line describes the presence of synergy and a convex one the presence of
124 antagonism ([Berenbaum 1978](#)).

125 One of the most used methods for analysing synergy in pharmaceutical combinations
126 is that of Chou and Talalay (CT), ([Chou 2006](#)). This uses the Hill model to describe the
127 action of individual drugs ([Goutelle et al., 2008](#)). The CT method, however, does not model
128 an overall effect, but calculates a measure of the interaction - the Combination Index (CI) for
129 each observed combination of drugs, based on the LRM. The CI is therefore identical to the
130 sum of the fractional inhibitory concentrations (Σ FIC) much used in the analysis of
131 antimicrobial combinations ([Hall et al., 1983](#)).

132 Herein we present a more general model for combined antimicrobials, through a
133 revision of the LRM, which gives a more consistent framework for producing more complex
134 models – both in foods and with pharmaceuticals. To achieve this we have examined the
135 effect of NaCl and/or KCl on the growth of 3 organisms: *Aeromonas hydrophila*, *Cronobacter*
136 *sakazakii* and *Escherichia coli*.

137 **2 Methods**

138 **2.1 MICROBES AND EXPERIMENTAL SET UP**

139 *Cronobacter sakazakii* (FSM263, isolated from a factory producing infant formula),
140 *Aeromonas hydrophila* (ATCC 7966) or *Escherichia coli* (ATCC 11229) were grown
141 overnight in a flask containing 80 ml tryptone soya broth (TSB; Oxoid CM 129) shaking at
142 30°C. The cells were harvested, centrifuged to a pellet, washed and re-suspended in
143 peptone water. A standard inoculum was produced by diluting the culture to an optical
144 density (OD) of 0.5 at 600nm. This standardized culture was then further diluted to produce
145 the starting inoculum of approximately 1×10^5 cfu ml⁻¹.

146

147 All analyses were performed in Bioscreen Microbiological Analysers (Bioscreens),
148 Labsystems Helsinki, Finland.

149

150 The analysis of NaCl or KCl on the organisms used twenty linear dilutions of a stock solution
151 (10% (wt/vol) to 0.5% in 0.5% intervals) of sodium chloride or potassium chloride (Sigma
152 Aldrich, UK) prepared in TSB. Each dilution (200µl) was placed in a column of the Bioscreen
153 plate, giving 10 replicates per concentration (2 plates per experiment). For each protocol
154 diluted standard inoculum was added (50µl) to all wells except the negative control wells
155 (+50 µl of TSB). Plates were incubated for 7 days at 30°C taking OD measurements
156 automatically every ten minutes at 600nm.

157

158 For combined NaCl/NaCl and NaCl/KCl experiments a 20 x 20 grid over 4 Bioscreen plates
159 was used. Linear dilutions of each test antimicrobial were made (10% (wt/vol) to 0.5% in
160 0.5% intervals) and each dilution (100µl) placed in either a column or a row of the Bioscreen
161 plates. Standard inoculum (100µl) was then added to each well. Plates were incubated in

162 two Bioscreens for 7 days at 30°C taking OD measurements automatically every ten minutes
163 at 600nm.

164

165 The time to detection (TTD) was defined as the time to produce an OD = 0.2, the time to
166 detection was obtained through polynomial interpolation and has an accuracy of ± 1min.

167

168 **2.2 THEORY AND MODEL DEVELOPMENT**

169 For a single bioactive, with a monotonic response to concentration and which follows the
170 Lambert-Pearson model ([Lambert and Pearson 2000](#), LPM), two parameters are required to
171 describe its action (Eqn. 5). If a system of combined hurdles is purely additive, then
172 observations should be predictable using the parameters derived from the fitting of the LPM
173 to each of the individual bioactives used.

174

$$175 \quad \text{eff} = \exp \left[- \left(\frac{X}{P} \right)^m \right]$$

176 *Eqn. 5. Where eff is the effect measured, P is the concentration at the inflexion point and m is the*
177 *concentration exponent and X is the concentration of the bioactive substance.*

178

179 **2.2.1 Mock experiment**

180 A standard method used in the development of combination models is the combination of
181 self with self, known as the mock experiment; this cannot be synergistic only additive.

182 Consider an antimicrobial compound *a*, and another compound *b*, which are given to the
183 experimenter each of which follows the LPM. Unknown to the experimenter, compound *b* is
184 in fact compound *a* but deviously labelled as *b*. Analysis of each reveals identical P and m
185 parameters; and for any given effect $a/2 + b/2$ gives the effect of *a* by itself (or *b*) (labelled as

186 A or B). For any given effect if a/A is plotted against b/B then a linear line connects the
 187 points – a linear isobole – since the ratios of the fractional effects must sum to 1. Therefore
 188 since in this (mock) experiment there can be no synergy a linear isobole is assumed to be
 189 equivalent to an additive effect between the components in a mixture.

$$190 \quad eff = \exp\left[-\left(\frac{a}{P}\right)^m\right] = \exp\left[-\left(\frac{b}{P}\right)^m\right] = \exp\left[-\left(\frac{a/2}{P} + \frac{b/2}{P}\right)^m\right]$$

191 *Eqn. 6. In the mock experiment $a = b$*

192 **2.2.2 Identical Exponents**

193 Consider two distinct antimicrobials x_1 and x_2 , both of which can be modelled by the LPM,
 194 and in which the exponents, m , are equivalent, then a model describing the combined effect
 195 is given by

$$196 \quad eff = \exp\left[-\left(\frac{x_1}{P_1} + \frac{x_2}{P_2}\right)^m\right]$$

197 *Eqn. 7*

198 The combined model cannot be

$$199 \quad eff = \exp\left[-\left(\left(\frac{x_1}{P_1}\right)^m + \left(\frac{x_2}{P_2}\right)^m\right)\right]$$

200 *Eqn. 8*

201 as this violates the requirement of the mock experiment unless $m = 1$.

202

203 **2.2.3 Extended LPM Model and an adaptation of the LRM**

204 Consider again two bioactives x_1 and x_2 , both of which can be modelled by the LPM, and in
 205 which their exponents are *not* equivalent. Eqn. (7) is no longer applicable as the equation
 206 cannot produce the individual exponents. The format of Eqn. (7) does however provide a

207 clue as to how to proceed along a different line of investigation. The expansion of the values
 208 within the bracket follows a standard binomial expansion when m is an integer and the non-
 209 integral (Newtonian) expansion when m is real.

210

211 A particular solution to the problem of mixed exponents for a binary system is given by Eqn.

212 9.

213

214
$$eff = \exp \left[- \left(\left(\frac{x_1}{P_1} \right)^{\frac{m_1}{m_2}} + \frac{x_2}{P_2} \right)^{m_2} \right] \text{ where } m_1 \leq m_2$$

215 Eqn. 9

216 If $m_1 = m_2$ then the model reduces to Eqn.7; if x_2 tends to zero then the LPM for x_1 is

217 obtained and vice-versa. For a system of n bioactives this model expands to give

218
$$eff = \exp \left[- EffC^{m_n} \right]$$

219 where

220
$$EffC = \left\{ \left(\left(\left(\left(\left(\left(\frac{m_1}{x_1^{m_2}} + x_2 \right)^{\frac{m_2}{m_3}} + x_3 \right)^{\frac{m_3}{m_4}} + x_4 \right)^{\frac{m_4}{m_5}} + \dots + x_{n-1} \right)^{\frac{m_{n-1}}{m_n}} + x_n \right) \right) \right\}$$

221 Eqn. 10

222 where $m_1 \leq m_2 \leq m_3 \leq \dots \leq m_n$ and x_1, x_2, \dots, x_n are the ratios of the amount of x_i in the mixture to

223 the P_i value for that component, $EffC$ is defined as the effective concentration, and we have

224 termed Eqn.10 the Extended Lambert Pearson Model (ELPM). This model is a series of

225 nested binomial expansions; if all the exponents are equivalent then this reduces to the

226 simple additive model (Eqn.11).

227
$$eff = \exp \left[- \left(\sum_{i=1}^n \frac{x_i}{P_i} \right)^m \right]$$

228 *Eqn. 11. The simple additive model (SAM), where all the exponents of the components in a mixture*
229 *are equal.*

230 Eqn. 11 can be rearranged to produce an expression known as the Sum of the Fractional
231 Inhibitory Concentrations (ΣFIC , see Appendix), which is equivalent to the LRM (Eqn. 1). For
232 a binary system, with different concentration exponents, Eqn. 9 can also be shown to
233 produce a format akin to the LRM;

234

235
$$\left(\frac{x_1}{X_1} \right)^{\frac{m_1}{m_2}} + \frac{x_2}{X_2} = 1$$

236 *Eqn. 12. The Extended Loewe Reference Model.*

237 We have termed this format of the LRM, the Extended LRM, as it represents an extension to
238 the current model.

239

240 **2.2.4 Fitting procedures**

241 The LPM is an exponential decay function, and as such only approaches the 'zero' value at
242 large concentrations. [Lambert \(2010\)](#) produced an extension to the basic model which
243 allowed it to cut the concentration axis at the minimum inhibitory concentration (MIC). The
244 function given for the effective concentration (Eqn. 8 of that publication) is only valid in the
245 special cases where the concentration exponents are approximately 1. To be able to use
246 the new insights into combinations the following composite function was used;

$$\begin{aligned}
 247 \quad RTD = & \left\{ \begin{array}{l}
 \text{if} \quad EffC = 0, P_0 \\
 \text{else if} \\
 \quad EffC < 1 \\
 \text{then} \\
 \quad P_0 \exp(- EffC^{m_n}) \\
 \text{else if} \\
 \quad EffC > \exp\left(\frac{1}{m_n}\right), 0 \\
 \text{else} \quad \frac{P_0}{\exp(1)} (1 - m_n \ln[EffC])
 \end{array} \right.
 \end{aligned}$$

248 *Eqn. 13. The Extended Lambert-Pearson Model modified to allow the model to cross the concentration*
 249 *axis. RTD is the reciprocal of the time to detection, P₀ is the RTD of the positive control.*

250 The MIC contour or surface is given by the expression

$$251 \quad EffC = \exp\left(\frac{1}{m_n}\right)$$

252 *Eqn. 14*

253 Model fitting was carried out using the non-linear fitting procedure of JMP (SAS Institute,
 254 Cary NC USA), or by *Mathematica 8* (Wolfram III).

255 **3 Results**

256 **3.1 EFFECT OF NaCl AND KCl ON TIME TO DETECTION**

257 The optical density/time curves for each of the organisms examined show similar patterns; a
258 shift to the right of the OD/time curve with increasing salt concentration and a decrease in
259 the maximum OD attained (results not shown). The parameters obtained from the analyses
260 of the time to detection data and the fitting of the LPM are given in Table 1. Comparisons of
261 the NaCl and KCl experiments for each organism are shown in Figures 1 to 3 for *A.*
262 *hydrophila*, *C. sakazakii* and *E.coli* respectively; from the calculated MIC, the ratio of
263 NaCl/KCl were 0.76, 0.77, and 0.77 respectively. This is in line with the ratio of the molecular
264 weights of NaCl and KCl (0.784). The concentration exponents were found to range from
265 1.51 to 2.72.

266 **3.2 MOCK EXPERIMENTS**

267 Mock combination experiments using a 20x20 well format were carried out using NaCl
268 against *A. hydrophila* and *C. sakazakii*. The concentrations in the wells were added together
269 and the TTD data analysed using the LPM (Eqn. 5). The fitted data resulted in a set of
270 parameters similar to those previously found (compare parameters in Table 1 with Table 2).
271 The data, as two independent inhibitors, were then analysed using the ELPM (Eqn. 10, $n =$
272 2). The fitting of the ELPM to the separate concentration data resulted in an almost identical
273 fit as the LPM, with statistically equivalent concentration exponents (Table 2).

274 Figure 4 plots the calculated effective concentration (using the parameters from the
275 ELPM) for the mock experiment with *C. sakazakii* against the observed RTD data, along with
276 the data modelled using the simple additive model (Eqn. 11). There is no evidence that the
277 exponents are statistically distinct – as required by the hypothesis of the mock experiment.
278 However, the values for P_1 and P_2 were statistically distinct (the 95% confidence intervals did
279 not overlap) suggesting that small errors in the dilution sequences or other experimental

280 errors may be present. Contour plots (isoboles) of the observed *C. sakazakii* data and the
281 modelled data are linear (figures not shown).

282

283 **3.3 COMBINED NaCl AND KCl**

284 The format of the mock experiments was repeated but using KCl as the second
285 antimicrobial. TTD data were fitted using both the SAM and the ELPM. Table 3 gives the
286 parameters obtained from the fittings of the ELPM. Parameters obtained were consistent
287 with the individual parameters previously found (Table 1). For *A. hydrophila* and *E. coli*, the
288 concentration exponents were statistically equivalent and hence the SAM and the ELPM
289 fitted equally well, whereas for *C. sakazakii* the difference between the concentration
290 exponents gave a slightly better fit with the ELPM. Figure 5 gives a stereo view of the
291 observed and modelled data for the combined NaCl/KCl against *C. sakazakii*. Combining the
292 total amount of moles of NaCl and KCl, a plot of the observed and fitted (ELPM) data is
293 given in Figure 6. This essentially shows that the two humectants can be interchanged
294 (compare Figure 6 with Figure 4) and that the effective concentration is an alternative
295 scaling. The salt combinations used for *C. sakazakii* were not concentrated enough to give
296 full inhibition, whereas for *E. coli* the MIC contour line can be seen in Figure 7, which gives a
297 stereo view of the observed and fitted data; again plotting the isoboles gave linear lines
298 (figure not shown).

299 4 Discussion

300 A previous modelling study of preservatives in foods, based on the Gamma hypothesis,
301 produced a model with good fits to the observed data ([Lambert and Bidlas 2007](#)). By
302 considering, however, a mock experiment with two components each with a concentration
303 exponent of 2, it was shown that this published model was inconsistent, and incompatible
304 with the observations of combined salts against the three organisms studied. A Gamma
305 model which contained functions for NaCl, and KCl as in the Eqn. 8 would have resulted in a
306 conclusion of synergy, which is contrary to the observation of additive effects ([Bozianis et al.](#)
307 [2007](#)). Hence for combined antimicrobials the Bliss model and therefore the Gamma concept
308 as stated (Eqn. 1) are inappropriate in these cases.

309 The second of the two main combination paradigms is the Loewe reference model,
310 from which the sum of the fractional inhibitory concentrations (Σ FIC) and the idea of the
311 combination index flow ([Chou 2006](#)). The mock experiment with $m = 2$ is wholly compatible
312 with the LRM, and therefore the LRM is a better basis for the construction of a model for
313 combined antimicrobials than Bliss (which forms a subset of the LRM when all exponents
314 are equal to 1). Our studies using NaCl in mock combination experiments are in agreement
315 with the LRM; and the isobologram (not shown) described linear isoboles connecting
316 equivalent levels of inhibition as expected.

317 The models used to analyse the effect of the antimicrobials (e.g. the Hill model or the
318 LPM) are each monotonic with respect to concentration. If the dose response is not
319 monotonic then these models are not valid in their current guise. When formulating a model
320 to analyse combinations of inhibitors two pieces of information are required for each
321 component – the concentration at the inflexion point of the dose response curve and a
322 measure of the slope at that point. For the LPM these are the P and the m values; and for
323 the Hill model the EC50 and h values. A previous study ([Lambert and Lambert 2003](#)) had
324 suggested an empirical model for a binary system (with three fitted exponents) and had

325 stated that the exponents could not be predicted from the individual data; this model was
326 used to study combined NaCl and KCl ([Bidlas and Lambert 2008](#)). Serendipitously, the
327 model used, although empirical and over-parameterised gave good fits because the salts
328 had almost identical dose responses, for a given organism, and so the resulting equation
329 was essentially compatible with the LRM.

330 The mock experiments using NaCl and the combined NaCl and KCl experiments are
331 particularly useful in the synergy modelling debate; both are known to have concentration
332 exponents of approximately 2, and it is well known from the literature that NaCl and KCl act
333 in a similar way and that one can be replaced partially by the other on a molar basis and
334 achieve the same antimicrobial effect ([Bidlas and Lambert 2008](#); [Boziaris et al 2007](#); [Cebrian
335 et al 2014](#); [Gimeno et al 1999](#)).

336 The LRM is, however, only applicable if the components in the mixture have identical
337 concentration exponents (see appendix for an explanation). This also leads to an interesting
338 argument: linear isoboles are obtained from mock combination experiments therefore these
339 must indicate additive behaviour since self cannot synergise with self, whereas curved
340 isoboles do not occur with self against self therefore these isoboles cannot indicate additive
341 behaviour. But the LRM is only applicable if the components in the mix have identical
342 concentration exponents and in these cases can only give linear isoboles. Indeed, this is
343 only guaranteed if the components in a mix are identical, and from Table 1 these values are
344 themselves subject to a statistical range. Thus it can be argued that linear isoboles can only
345 occur when components in a mix have the same concentration exponents and only then
346 does Berenbaum's labelling of synergy, antagonism and additivity apply. If the components
347 have (statistically) different concentration exponents then the LRM is not a valid reference
348 model and Berenbaum's labels are void. Interestingly, [Loewe \(1953\)](#) stated that when
349 compounds with different dose responses were mixed he did not believe that the LRM was
350 applicable.

351 The ELPM can be shown to default to the LRM when all components have equivalent
352 concentration exponents, and the LRM defaults to Bliss addition when these are equal to 1.
353 Figures 4 to 7 show that the model and observed data agree and that NaCl and KCl are
354 molar replacements for each other (Fig. 6). For a system to act additively (in the sense of
355 acting independently) there can be no more than $2n$ parameters (where n = the number of
356 components). We suggest that if the results of a mixed system can be evaluated or can be
357 predicted on the basis of the individual parameters then that system cannot be synergistic.

358 For a binary system the ELPM can be shown to produce a format akin to the LRM, but
359 one which preserves the concentration exponent information from each component. This
360 equation has a significant prediction – that if components in the mix act independently and
361 have different concentration exponents, then these will produce concave isoboles. A
362 concave isobole is currently considered to be proof of a synergy between components in the
363 mix. Synergy, however, is a phenomenon that gives more than the expected ‘additive’
364 effect. Any model of synergy would require additional parameters to describe the interaction
365 between the actives - in addition to the activities of the components themselves. If all
366 components in a mix have identical concentration exponents then any departure from a
367 linear isobole or $(n-1)$ hyperplane is indicative of either synergy or antagonism. If any of the
368 components has a statistically different concentration exponent then a curved isobole, or
369 hypersurface for a given effect, is expected; deviation from this indicates synergy or
370 antagonism i.e. the ELPM will not fit the data or will give parameters far from the predicted
371 values (those of the individual adducts). Essentially the ELPM has generalised the reference
372 model previously used and suggests that curved isoboles may no longer indicate synergy.

373 This new insight has impacts both in predictive modelling in foods and also modelling
374 combinations in pharmaceuticals. [Leistner \(2000\)](#) had encouraged food microbiologists to
375 study the pharmaceutical literature for combined systems, but this study shows that the LRM
376 (Eqn.4) and the SAM (Eqn. 11) are rearrangements of each other; the Chou-Talalay CI
377 method uses the LRM format but does not consider the effect of disparate concentration

378 exponents. The rearrangement of the LRM in such cases results in multiple solutions, which
379 invalidates the CI methodology used in pharmaceutical drug discovery. The new insight does
380 not invalidate the Gamma approach used in food microbiology, however, because it has
381 simply shown an error in the assumed function for combined antimicrobials (Eqn.2). The
382 ELPM can be used to give the overall Gamma factor for the contribution of all the
383 antimicrobials – if they act independently. The Gamma hypothesis (e.g., Eqn.1) is, by its very
384 nature, an approximation, and introducing the ELPM (or similar functions) will refine that
385 approximation. The ELPM is also a proposed solution to mixed exponents, but further work
386 is needed to validate or refute this model.

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488 **Tables**

489

490 Table 1. Lambert-Pearson Model: Fitted parameters

Parameter	<i>A. hydrophila</i>		<i>C. sakazakii</i>		<i>E. coli</i>	
	NaCl	KCl	NaCl	KCl	NaCl	KCl
MIC (%)	3.997 (3.843-4.162)	5.24 (5.111-5.377)	7.16 (7.199-7.126)	9.349 (9.140-9.565)	7.624 (7.482-7.772)	9.885 (9.679-10.100)
P ₀ (/h)	0.2 (0.196-0.204)	0.204 (0.202-0.207)	0.225 (0.223-0.227)	0.217 (0.214-0.218)	0.156 (0.154-0.157)	0.152 (0.150-0.153)
P(%)	2.698 (2.657-2.739)	3.496 (3.462-3.529)	3.691 (3.659-3.723)	5.171 (5.126-5.216)	5.281 (5.241-5.321)	6.26 (6.211-6.309)
m	2.545 (2.390-2.710)	2.47 (2.375-2.568)	1.509 (1.478-1.540)	1.688 (1.649-1.729)	2.723 (2.640-2.809)	2.189 (2.126-2.254)
RMSE/df	0.0072/86	0.0053/115	0.0032/147	0.0044/197	0.0040/187	0.0034/146

491 RMSE: root mean square error of fit; df: degrees of freedom; 95% Asymptotic confidence intervals given in brackets

492 ;concentrations are %(wt/vol)

493

494 Table 2. Fitted parameters for the NaCl/NaCl mock experiments.

Parameter	<i>A. hydrophila</i>		<i>C. sakazakii</i>	
	NaCl (total)	NaCl (Mock)	NaCl (total)	NaCl(Mock)
MIC ₁ (%)	3.717 (3.566-3.871)	3.547 (3.386-3.723)	6.872 (6.771-6.975)	6.786 (6.616-6.964)
MIC ₂ (%)	-	3.804 (3.583-4.050)	-	7.010 (6.850-7.176)
P ₀ (/h)	0.263 (0.255-0.271)	0.262 (0.254-0.270)	0.223 (0.221-0.225)	0.223 (0.221-0.225)
P ₁ (%)	2.565 (2.523-2.605)	2.474 (2.420-2.529)	3.868 (3.846-3.889)	3.806 (3.762-3.851)
P ₂ (%)	-	2.623 (2.534-2.716)	-	3.954 (3.907-4.003)
m ₁	2.696 (2.526-2.889)	2.778 (2.587-2.980)	1.740 (1.712-1.758)	1.729 (1.688-1.772)
m ₂	-	2.689 (2.502-2.889)	-	1.746 (1.713-1.781)
RMSE/df	0.0123/207	0.0117/205	0.0032/395	0.0031/393

495 NaCl (total) data fitted by the LPM; NaCl (Mock) data fitted by the ELPM. RMSE: root mean
 496 square error of fit; df: degrees of freedom; 95% Asymptotic confidence intervals given in
 497 brackets; concentrations are %(wt/vol)
 498

499

500

501

502

503 Table 3. ELPM fitted parameters for the NaCl/KCl combined experiments.

Parameter	<i>A. hydrophila</i>	<i>C. sakazakii</i>	<i>E.coli</i>
MIC NaCl (%)	4.082(3.945-4.229)	7.381(7.206-7.565)	7.841(7.636-8.052)
MIC KCl(%)	5.363(5.135-5.611)	9.980(9.741-10.228)	9.600(9.359-9.845)
P ₀ (/h)	0.191(0.188-0.194)	0.193(0.192-0.194)	0.166(0.164-0.1680)
P ₁ , NaCl (%)	2.784(2.741-2.827)	4.020(3.977-4.065)	5.096(5.028-5.164)
P ₂ , KCl(%)	3.569(3.484-3.659)	5.233(5.175-5.292)	6.388(6.316-6.460)
m ₁ , NaCl	2.612(2.483-2.747)	1.646(1.610-1.682)	2.321(2.251-2.393)
m ₂ , KCl	2.456(2.338-2.578)	1.549(1.518-1.581)	2.457(2.374-2.542)
RMSE/df	0.00648/273	0.00235/373	0.003245/195

504 RMSE:root mean square error of fit; df: degrees of freedom; 95% Asymptotic confidence
 505 intervals given in brackets; concentrations are %(wt/vol)
 506

507

508 **Legends to figures**

509

510 Figure 1. *A. hydrophila*: effect of added salt (%wt/vol) on the fractional inhibition at 30°C in
511 TSB. Observed data (NaCl, □; KCl ○) and the fitted LPM models (dashed and solid lines).

512

513 Figure 2. *C. sakazakii*: effect of added salt (%wt/vol) on the fractional inhibition at 30°C in
514 TSB. Observed data (NaCl, □ ; KCl ○) and the fitted LPM models (dashed and solid lines).

515

516 Figure 3. *E. coli*: effect of added salt (%wt/vol) on the fractional inhibition at 30°C in TSB.
517 Observed data (NaCl, □ ; KCl ○) and the fitted LPM models (dashed and solid lines).

518

519 Figure 4. *C. sakazakii*: NaCl/NaCl mock experiment; effective concentration (modelled by the
520 ELPM) against the observed RTD (symbols, n = 391) and fitted model (Simple additive
521 model, solid line).

522

523 Figure 5. Stereo view of the combined NaCl/KCl (%wt/vol) effect on *C. sakazakii*; observed
524 data (symbols) and the modelled data (grid).

525

526 Figure 6. Effect of combined NaCl and KCl (as total mol/l) on *C. sakazakii* (n = 378).
527 Observed –symbols and fitted model (ELPM) solid line.

528

529 Figure 7: *E. coli*; stereo view of the NaCl/KCl (%wt/vol) combinations on the observed
530 (symbols) and modelled (grid) RTD.

531

532

533
534 **Appendix 1**

535 **FAILURE OF LOEWE REFERENCE MODEL**

536 The Lambert-Pearson inhibition model can be expressed as

537
$$eff = exp \left\{ - \left(\frac{X}{P_1} \right)^{m_1} \right\}$$

538 Rearranging gives

539
$$P_1 \left(\ln \left(\frac{1}{eff} \right) \right)^{1/m_1} = X$$

540 For a given effect (*Eff*) this gives the concentration, X_i for the given parameters P_i , and m_i
541 for each individual compound in the mixture.

542

543 For a two component mixture, the LRM is given as

544
$$\frac{x_1}{X_1} + \frac{x_2}{X_2} = 1$$

545 Substituting for X_i

546
$$\frac{x_1}{P_1 \left(\ln \left(\frac{1}{eff} \right) \right)^{1/m_1}} + \frac{x_2}{P_2 \left(\ln \left(\frac{1}{eff} \right) \right)^{1/m_2}} = 1$$

547

548 This is the general model used in the Chou-Talalay method to obtain the combination
549 index values.

550

551 Case 1; $m_1 = m_2$

552
$$1 = \frac{x_1}{P_1 \left(\ln \left(\frac{1}{eff} \right) \right)^{1/m_1}} + \frac{x_2}{P_2 \left(\ln \left(\frac{1}{eff} \right) \right)^{1/m_1}}$$

553

554
$$\equiv \left(\ln \left(\frac{1}{eff} \right) \right)^{1/m_1} = \frac{x_1}{P_1} + \frac{x_2}{P_2}$$

555

556
$$\equiv \ln \left(\frac{1}{eff} \right) = \left(\frac{x_1}{P_1} + \frac{x_2}{P_2} \right)^{m_1}$$

557
$$\equiv \left(\frac{1}{eff} \right) = \exp \left(\frac{x_1}{P_1} + \frac{x_2}{P_2} \right)^{m_1}$$

558

559 Hence, this leads to the simple additive model

560
$$eff = \exp \left[- \left(\frac{x_1}{P_1} + \frac{x_2}{P_2} \right)^{m_1} \right]$$

561

562

563 Case 2; $m_1 \neq m_2$

564
$$1 = \frac{x_1}{P_1 \left(\ln \left(\frac{1}{eff} \right) \right)^{1/m_1}} + \frac{x_2}{P_2 \left(\ln \left(\frac{1}{eff} \right) \right)^{1/m_2}}$$

565 (i) Multiplying through with $\left(\ln \left(\frac{1}{eff} \right) \right)^{1/m_1}$ gives

566
$$\left(\ln \left(\frac{1}{eff} \right) \right)^{1/m_1} = \frac{x_1}{P_1} + \frac{x_2 \left(\ln \left(\frac{1}{eff} \right) \right)^{1/m_1}}{P_2 \left(\ln \left(\frac{1}{eff} \right) \right)^{1/m_2}}$$

567

568
$$\equiv \left(\ln \left(\frac{1}{eff} \right) \right)^{1/m_1} = \frac{x_1}{P_1} + \frac{x_2}{P_2} \left(\ln \left(\frac{1}{eff} \right) \right)^{1/m_1 - 1/m_2}$$

569
$$eff = \exp \left[- \left(\frac{x_1}{P_1} + \frac{x_2}{P_2} \left(\ln \left(\frac{1}{eff} \right) \right)^{1/m_1 - 1/m_2} \right)^{m_1} \right]$$

570 (ii) Multiplying through with $\left(\ln\left(\frac{1}{eff}\right)\right)^{1/m_2}$ leads to

571
$$eff = \exp\left[-\left(\frac{x_1}{P_1}\left(\ln\left(\frac{1}{eff}\right)\right)^{1/m_2-1/m_1} + \frac{x_2}{P_2}\right)^{m_2}\right]$$

572 The expressions (i) and (ii) are only equivalent if $m_1 = m_2$. Consider the case where $P_1 =$
573 P_2 , but $m_1 = 1$ and $m_2 = 2$. This leads to a situation where there are two solutions to the
574 LRM; hence the LRM is an invalid model in situations where the concentration exponents
575 are not equivalent.

576

577