

1 **Effects of mutation of 2,3-butanediol formation pathway on glycerol**
2 **metabolism and 1,3-propanediol production by *Klebsiella pneumoniae* J2B**

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25 **Abstract**

26 The current study investigates the impact of mutation of 2,3-butanediol (BDO) formation
27 pathway on glycerol metabolism and 1,3-propanediol (PDO) production by lactate
28 dehydrogenase deficient mutant of *Klebsiella pneumoniae* J2B . To this end, BDO pathway
29 genes, *budA*, *budB*, *budC* and *budO* (whole-*bud* operon), were deleted from *K. pneumoniae* J2B
30 Δ *ldhA* and the mutants were studied for glycerol metabolism and alcohols (PDO, BDO)
31 production. Δ *budO*-mutant-only could completely abolish BDO production, but with reductions
32 in cell growth and PDO production. By modifying the culture medium, the Δ *budO* mutant could
33 recover its performance on the flask scale. However, in bioreactor experiments, the Δ *budO*
34 mutant accumulated a significant amount of pyruvate (>73 mM) in the late phase and PDO
35 production stopped concomitantly. Glycolytic intermediates of glycerol, especially
36 glyceraldehyde-3-phosphate (G3P) was highly inhibitory to glycerol dehydratase (GDHt); its
37 accumulation, followed by pyruvate accumulation, was assumed to be responsible for the Δ *budO*
38 mutant's low PDO production.

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40 **Key words:** *Klebsiella pneumoniae* J2B; Glycerol; 1,3-Propanediol; 2,3-Butanediol; Δ *budO*
41 mutant

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44 1. Introduction

45 1,3-Propanediol (PDO) is an important platform chemical having a wide range of
46 applications in the production of polymers, cosmetics, and lubricants, among others. The most
47 important industrial use of PDO is as a monomer for synthesis of the new polyester
48 polytrimethylene terephthalate (PTT) (Celińska, 2010; Maervoet et al., 2011; Saxena et al.,
49 2009). Many organisms in the *Enterobacteriaceae* family, such as *Klebsiella pneumoniae*,
50 *Klebsiella oxytoca*, *Citrobacter freundii*, *Enterobacter aerogenes* and *Enterobacter agglomerans*,
51 naturally produce PDO from glycerol, among which *K. pneumoniae* is the most extensively
52 studied (Celińska, 2010; 2012). *K. pneumoniae* dissimilates glycerol by two parallel “oxidative”
53 and “reductive” pathways (Supplementary Fig. 1). In the oxidative pathway, glycerol is
54 converted to dihydroxyacetone phosphate (DHAP) by a respiratory (aerobic or anaerobic,
55 according to the electron acceptor type) and/or fermentative route, DHAP then being funneled
56 into the glycolytic pathway. In the reductive pathway, glycerol is converted to PDO by a two-step
57 process: first, it is dehydrated to 3-hydroxypropionaldehyde (3-HPA) by the coenzyme B₁₂-
58 dependent glycerol dehydratase (GDHt), which is encoded by *dhaB*; then, 3-HPA, at the expense
59 of NAD(P)H, is reduced to PDO by 1,3-propanediol oxidoreductases (PDORs) such as DhaT
60 (NADH-PDOR) and/or NADPH-dependent oxidoreductase (Celińska, 2012; Kumar et al., 2012;
61 Saxena et al., 2009). The reductive pathway regenerates NAD⁺, which enables *K. pneumoniae* to
62 grow on glycerol under limited-O₂ conditions.

63 During oxidative metabolism of glycerol, *K. pneumoniae* generates a number of
64 metabolites including organic acids (lactic acid, succinic acid, acetic acid, formic acid) and
65 alcohols [2,3-butanediol (BDO), ethanol] (Ashok et al., 2011; Kumar et al., 2013a). These

66 glycerol-metabolic byproducts subdue the glycerol flux to PDO, thereby significantly reducing
67 PDO production yields; additionally, at high concentrations, they are toxic to cell growth and
68 PDO production. Lactic acid, particularly, is a major byproduct (Ashok et al., 2011; Durgapal et
69 al., 2014; Huang et al., 2012), and much research efforts and resources have been devoted to the
70 elimination of its formation (Kumar et al., 2013b; Oh et al., 2012; Xu et al., 2009). Another
71 major byproduct, especially appearing when lactic acid production has been eliminated, is BDO.
72 BDO production reduces PDO yield more significantly than does lactic acid, because synthesis
73 of one molecule of BDO requires two molecules of glycerol. Moreover, due to their similar
74 boiling points, the presence of BDO in the culture broth complicates PDO purification in
75 downstream processing (Anand et al., 2011; Kaur et al., 2012; Zeng and Biebl, 2002). BDO
76 synthesis begins with self-condensation of two molecules of pyruvate to one molecule of C5
77 intermediate α -acetolactate (Supplementary Fig. 1), which is catalyzed by α -acetolactate
78 synthase (ALS, *budB*). In the next step, α -acetolactate is decarboxylated to acetoin (catalyzed by
79 α -acetolactate decarboxylase (ALDC, *budA*)) and then reduced to BDO by 2,3-butanediol
80 dehydrogenase/acetoin reductase (AR, *budC*) using NADH as the reductant. In the presence of
81 oxygen, α -acetolactate is spontaneously decarboxylated to diacetyl, which is then reduced to
82 acetoin by the action of diacetyl reductase, and acetoin in turn is reduced to BDO. When diacetyl
83 is converted to acetoin or acetoin is converted to BDO, one NADH, at each step, is required
84 (Celińska and Grażek, 2009; Ji et al., 2011). The genes coding for the three enzymes in the BDO-
85 producing branch are located in one operon (*budO*) in the order *budA* (ALDC), *budB* (ALS) and
86 *budC* (AR).

87 The current study investigated the role of the three enzymes of the BDO synthetic
88 pathway in cell growth, glycerol metabolism and BDO production under different aeration

89 conditions. Also, the possibility of producing PDO at an economically feasible level without
90 BDO production was explored. The newly isolated *K. pneumoniae* J2B (KCCM11213P) strain
91 was used, as it does not produce pathogenic and sticky lipopolysaccharides (Arasu et al., 2011),
92 and also because it has better sedimentation properties and a higher sensitivity to antibiotics than
93 other well-studied *K. pneumoniae* such as the DSMZ2026 strain. Using *K. pneumoniae* J2B
94 $\Delta ldhA$ as the base strain, four mutant strains ($\Delta budA$, $\Delta budB$, $\Delta budC$ and $\Delta budO$) were
95 developed and their performances studied by shake-flask and bioreactor experiments.

96 **2. Materials and methods**

97 **2.1 Materials**

98 A genomic DNA isolation kit and pGEM-T vector was purchased from Promega
99 (Madison, WI, USA). High-fidelity *pfx* polymerase was acquired from Invitrogen (Seoul, Korea).
100 Restriction DNA-modifying enzymes were obtained from New England Bio-Labs (Beverly, MA,
101 USA). The miniprep and DNA gel extraction kits were purchased from Qiagen (Mannheim,
102 Germany). The primers were synthesized by Cosmotech Co. Ltd. (Seoul Korea). Yeast extract
103 (Cat. 212750) was obtained from Difco (Becton Dickinson; Franklin Lakes, NJ, USA). Glycerol
104 and all other chemicals and enzymes (unless indicated otherwise) were purchased from Sigma-
105 Aldrich (St. Louis, MO, USA).

106 **2.2 Construction of plasmids and mutant strains**

107 All of the strains, plasmids and primers are listed in Table 1. For the design of the four
108 mutant strains of *K. pneumoniae* J2B ($\Delta budA$, $\Delta budB$, $\Delta budC$ and $\Delta budO$), an in-frame tagged
109 deletion approach was employed according to a slightly modified version of the method

110 introduced by Link et al. (1997). Briefly, PCR amplification of ~500 bp of the upstream
111 (fragment A) and ~500 bp of the downstream (fragment B) regions of the above-noted genes was
112 performed using the primers listed in Table 1. In the next step, the two amplified fragments A and
113 B were ligated using overlapping PCR methods to synthesize the engineered fragment AB, which
114 subsequently was cloned into the pGEMT vector. After confirming this sequence, the engineered
115 fragment AB was sub-cloned into the pKOV vector between the restriction sites (shown in Table
116 1). These plasmids were used to knock out, by homologous recombination, the target genes from
117 the chromosomal DNA of *K. pneumoniae* J2B Δ *ldhA*. The mutant strains were screened using
118 PCR and confirmed by sequencing. The developed mutant strains of *K. pneumoniae* J2B Δ *ldhA*,
119 Δ *ldhA* Δ *budA*, Δ *ldhA* Δ *budB*, Δ *ldhA* Δ *budC* and Δ *ldhA* Δ *budO*, were designated Kp Δ *budA*,
120 Kp Δ *budB*, Kp Δ *budC* and Kp Δ *budO*, respectively. *K. pneumoniae* J2B Δ *ldhA* was designated as
121 the *K. pneumoniae* control (Kp control).

122 **2.3 Shake-flask cultivation**

123 Shake-flask experiments were carried out at 37°C with an initial pH of 7. Different
124 strains used in gene-deletion studies were cultured in Luria-Bertani medium (LB medium)
125 containing yeast extract (5 g/L), NaCl (10 g/L), and tryptone (10 g/L). For shake flask
126 production experiments, a primary inoculum was prepared by culturing cells in LB medium from
127 agar plates for 8 h. From the primary inoculum, the cells were transferred to fresh LB medium
128 for 2 h in order to harvest active cells at the mid-log phase. The primary and the secondary
129 inoculum cultures were grown under the same conditions (working volume: 50 mL in 250 mL
130 Erlenmeyer flask; agitation speed: 200 rpm; initial pH: 7.0, temperature: 37°C). The cell OD₆₀₀
131 at the end of pre-cultures I and II were in the 5.0 - 6.0 and 1.5 - 2.0 ranges, respectively. The

132 starting OD₆₀₀ in all of the experiments was 0.1 - 0.2, and so the inoculum volume was
133 dependent on the final OD₆₀₀ achieved at the end of each stage. The main cultivation of the
134 designed mutant strains of *K. pneumoniae* J2B (Kp control, KpΔ*budA*, KpΔ*budB*, KpΔ*budC* and
135 KpΔ*budO*) was conducted in 250 mL flasks containing a medium of the following composition:
136 glycerol-20 g/L (220 mM), yeast extract-1.0 g/L, NH₂SO₄-2.0 g/L, MgSO₄·7H₂O-0.2 g/L,
137 CaCl₂·2H₂O-0.02 g/L, K₂HPO₄-3.4 g/L, KH₂PO₄-1.3 g/L; Fe solution-1 mL/L, and trace-element
138 solution-1 mL/L (Oh et al., 2012).

139 Shake-flask cultivation of the different mutant strains was performed under various
140 aeration conditions: aerobic, microaerobic, and anaerobic. In the aerobic and microaerobic
141 cultures, the flasks were plugged with an oxygen-permeable cotton stopper; in the anaerobic
142 cultures, the flasks were closed with a screw cap, and the flask head space was replaced with
143 argon gas prior to cultivation. The working volumes under aerobic, microaerobic, and anaerobic
144 conditions were 25, 100 and 50 mL, respectively. The agitation speed under the aerobic condition
145 was 250 rpm, and under both the microaerobic and anaerobic conditions, 100 rpm. The culture
146 medium was supplemented with different components in order to investigate their effects on cell
147 metabolism and PDO production. The KpΔ*budA* and KpΔ*budO* strains were supplemented with
148 two different concentrations of branched-chain amino acids (leucine, isoleucine, and valine) (1.0
149 and 2.0 mM) as well as complex nitrogen sources (yeast extract-1.0 g/L, peptone-2.5 g/L, beef
150 extract-2.5 g/L, yeast extract-2.5g/L, peptone-5.0 g/L, beef extract-5.0 g/L). The shake-flask
151 cultivation of KpΔ*budO* was additionally supplemented with exogenous additions of BDO (25
152 mM) and NaHCO₃ (50 mM).

153

154 **2.4 Bioreactor cultivation**

155 The bioreactor experiments were carried out in a 1.5 L jar bioreactor (KO Biotech,
156 Korea) containing a 1.0 L medium of the same composition as in the shake-flask cultivation (see
157 above). The inoculum culture was prepared in the same way as described for the flask
158 experiment. The pH, temperature, stirrer speed and aeration rate in the bioreactor experiments
159 were maintained at 6.8, 37°C, 250 rpm and 0.5 vvm, respectively, unless specified otherwise.
160 The pH was maintained by addition 5 N NaOH. Fed-batch experiments were performed using
161 *KpΔbudO* with a feeding solution of glycerol (1,000 g/L; 10.9 M) and yeast extract (25 g/L). In
162 one run, carbon dioxide (CO₂) was continuously flushed at an aeration rate of 0.05 vvm, and in
163 another run, NaHCO₃ (100 mM) was added to the culture medium.

164 **2.5 Glycerol dehydratase (DhaB) activity and inhibition by glycerol metabolites**

165 *K. pneumoniae* cells grown in LB medium were induced and harvested 3 h from
166 the time of induction. The harvested cells were lysed anaerobically using a bead beater and
167 subjected to a DhaB-activity assay inside an anaerobic chamber. An appropriate amount of cell
168 lysate was pre-incubated with 10 mM of potassium phosphate buffer (pH 7), purified KGSADH
169 and 40 mM 1,2-PDO at 37°C for 3 min. The reaction was initiated by adding 15 μM coenzyme-
170 B₁₂, 1.5 mM ATP, 3 mM MgCl₂ and 2 mM NAD⁺ to the reaction mixture for a total volume of
171 2 mL. In this mixture, 1,2-PDO acts as a substrate for DhaB protein and is converted to
172 propionaldehyde, which, in sequence, is converted to propionic acid by the action of coupling-
173 enzyme KGSADH. The activity of KGSADH was evaluated by measuring the reduction of
174 NAD⁺ to NADH at 340 nm. The amount of NADH formed was determined using an extinction
175 coefficient of 6.22 mM⁻¹ cm⁻¹. One unit of enzymatic activity was defined as the amount of

176 enzyme required to form 1 μmol of propionaldehyde per minute. The metabolites pyruvate,
177 DHAP, MG, G3P and DHA were added to the enzymatic reaction mixture in different
178 concentrations (0.5, 1, 2, 5 and 10 mM, respectively) in order to study their individual inhibitory
179 effects.

180 **2.6 Analytical methods**

181 Cell density was measured in a 10-mm-path-length cuvette using a double-beam
182 spectrophotometer (Lambda 20, PerkinElmer; Norwalk, CT, USA). One unit of absorbance at
183 600 nm (OD_{600}) corresponded to 0.31 g dried cell mass per liter. The concentrations of glycerol
184 and of the other metabolites were determined by HPLC using a slightly modified version
185 developed by Raj et al. (2008). Briefly, the supernatants, obtained by centrifuging the culture
186 samples at $10,000 \times g$ for 10 min, were filtered through a Tuffryn membrane (Acrodisc, Pall Life
187 Sciences, USA) and eluted through a 300×7.8 mm Aminex HPX-87H (Bio-Rad, USA) column
188 at 65°C . The mobile phase and flow rate were 2.5 mM H_2SO_4 and 0.5 mL/min, respectively. All
189 of the experiments were carried out at least three times to ensure reproducibility. The data
190 reported are the averaged values for three independent measurements; the standard deviation was
191 less than 10% unless otherwise indicated.

192 **3. Results and discussion**

193 **3.1 Metabolic changes in Kp control, Kp Δ *budA*, Kp Δ *budB*, Kp Δ *budC* and Kp Δ *budO* under** 194 **different aeration conditions during flask cultivation**

195 Five mutant strains, one base strain (Kp control) and four BDO-pathway mutant strains
196 (Kp Δ *budA*, Kp Δ *budB*, Kp Δ *budC* and Kp Δ *budO*) were developed and cultured under three

197 different aeration conditions (aerobic, microaerobic and anaerobic) (Table 2). Cell growth,
198 glycerol consumption and PDO production significantly varied depending on the genes disrupted
199 and aeration conditions adopted for cultivation. Under aerobic condition, the growths of
200 *KpΔbudB* and *KpΔbudC* (OD₆₀₀, 7-8) were similar to that of the Kp control (OD₆₀₀, 7.6) whereas
201 those of *KpΔbudA* and *KpΔbudO* (OD₆₀₀, 4-5) were lower. Similarly, glycerol consumption was
202 high in *KpΔbudB* and *KpΔbudC* (~200 mM) but low in *KpΔbudA* and *KpΔbudO* (<70 mM).
203 PDO production was generally low under the aerobic conditions, due mainly to insufficient
204 production of coenzyme B₁₂. Among the mutant strains, *KpΔbudB* and *KpΔbudC* (38-41 mM)
205 produced much higher PDO than did *KpΔbudA* or *KpΔbudO* (2-6 mM); but none of the mutants
206 showed higher PDO production than that of the Kp control (44.7 mM) under the aerobic
207 conditions. BDO production decreased when the enzyme(s) of the BDO pathway were disrupted,
208 but varyingly; *KpΔbudB* and *KpΔbudC* showed a small decrease, while *KpΔbudA* manifested a
209 significant drop. It was noted that *ΔbudO*-only completely abolished BDO production. The low
210 impacts of *ΔbudB* and *ΔbudC* were attributed to the presence (and active expression) of the
211 isozymes of ALS (encoded by *budB*) and AR (encoded by *budC*). Recently it was reported that
212 AR can convert 3-hydroxypropionaldehyde to 1,3-PDO, similar to 3-hydroxypropionaldehyde-
213 specific oxidoreductase, DhaT (Wang et al., 2014). Wu et al. (2013), moreover, earlier reported
214 the presence of several genes having similar sequences to that of *budC*. The low cell growth and
215 glycerol consumption in *KpΔbudA* indicates that *budA* does not have isozyme(s), and further,
216 that accumulation of the substrate of *budA*, α -acetolactate, is highly toxic to cells. The detour
217 route for the conversion of α -acetolactate to acetoin via diacetyl can compensate for the lack of
218 *budA* isozymes, but its efficiency seems to be low. The similar behaviors of *KpΔbudA* and
219 *KpΔbudO* with respect to cell growth, glycerol consumption and PDO production, among others,

220 strongly suggest that, under aerobic conditions, *ΔbudA* is the most influential of all of the
221 mutations in the BDO operon.

222 Under the anaerobic condition, cell growth decreased while PDO production increased.
223 *KpΔbudB* and *KpΔbudC* (final OD₆₀₀, 3-4) showed a higher cell density than *KpΔbudA* or
224 *KpΔbudO* (final OD₆₀₀, ~1.9). Surprisingly, the *Kp* control, similarly to the latter two mutants,
225 showed low cell growth (final OD₆₀₀, ~1.9). Glycerol consumption and PDO production were
226 high in *KpΔbudB* and *KpΔbudC*, and low in *KpΔbudA* and *KpΔbudO*, in comparison to *Kp*
227 control. As was the case under the aerobic conditions, *KpΔbudA* and *KpΔbudO* showed similar
228 behaviors in terms of cell growth, glycerol consumption, and production of PDO and other
229 metabolites; this suggests, again, that *budA* deletion has a higher impact on the BDO pathway
230 than either *budB* or *budC* deletion. It should be noted, too, that whereas *KpΔbudB* and *KpΔbudC*
231 showed similar cell growth and PDO production, the metabolite production profiles were
232 significantly different: *KpΔbudB* produced much acetate and little ethanol, while *KpΔbudC*
233 produced much ethanol and little acetate. Also, whereas *KpΔbudB* did not produce BDO,
234 *KpΔbudC* produced a significant amount of it, even more than the *Kp* control. It is not clear why
235 *KpΔbudB* and *KpΔbudC* show such different behaviors, though we speculate that it must be
236 closely related to NADH balance. As indicated in supplementary Fig. 1, BDO production
237 generates, from the two moles of pyruvate with consumption of one mole of NADH and
238 unutilized NADH molecules may be consumed in the production of ethanol (i.e., in *KpΔbudC*).
239 If, as in the case of *KpΔbudB*, BDO is not produced, no excessive NADH are generated, and
240 thus, acetate production is the right venue. In addition, acetate production yields ATP, which
241 seems always to be beneficial to cells. This NADH effect is observed also under aerobic
242 conditions, though not as significantly. We assume that, under aerobic conditions, maintenance of

243 the redox balance can be managed rather easily by active operation of the electron transport
244 chain. And in fact, under microaerobic conditions, cell growth, glycerol consumption and PDO
245 production were quite similar to those under anaerobic conditions, as were the metabolite
246 production profiles. Once again, these results indicate that, among the three genes, *budA* deletion
247 has the greatest impact on glycerol metabolism.

248 BDO production, meanwhile, was suppressed by mutations in the BDO pathway, though
249 its complete elimination was observed only in *KpΔbudO*. In most of the strains tested, acetate
250 and ethanol were produced as major byproducts, towards which, additional carbon flux was
251 diverted upon suppression of the BDO pathway. In some cases, especially with *KpΔbudB* and
252 *KpΔbudO*, substantial pyruvate excretion was observed; indeed, with *KpΔbudO*, a significant pH
253 drop (below 5.0) also was noticed. Such pyruvate excretion and pH loss in *KpΔbudO* suggests
254 that the complete elimination of the BDO pathway in the *ldhA* background causes heavy
255 metabolic traffic at the pyruvate node.

256 **3.2 Flask culture of *KpΔbudO* with supplementation of amino acids, NaHCO₃ and/or BDO**

257 Among the four mutant strains, only *KpΔbudO* could produce PDO without BDO
258 accumulation. However, after elimination of the whole-*bud* operon, glycerol consumption and
259 PDO production were sharply diminished. In order to explore the reasons for this as well as the
260 possibility of PDO production without BDO, optimization of *KpΔbudO* culture conditions was
261 performed. All of the experiments were carried out under the microaerobic condition, as these,
262 among the three aeration conditions, had proved the most conducive to cell growth and PDO
263 production. First, the effect of complex nitrogen was studied. Branched-chain amino acids
264 (leucine, isoleucine and valine) or larger amounts of complex nitrogen sources (yeast extract,

265 beef extract, and peptone) were added to the culture medium. It was hypothesized that
266 elimination of the *bud* operon might curtail the availability of the three essential branched-chain
267 amino acids, due to the lack of their precursor, α -acetolactate, the first intermediate of the BDO
268 pathway (see supplementary Fig. 1). The branched-chain amino acids were added to the culture
269 medium at two different concentrations (1 and 2 mM each), as were the mixtures of complex
270 nitrogen sources (1 g/L yeast extract, 2.5 g/L peptone and 2.5 g/L beef extract; 2.5 g/L yeast
271 extract, 5 g/L peptone and 5 g/L beef extract) (data not shown). However, no improvement in
272 glycerol consumption or PDO production was noted for any of the cultures.

273 Next, the effects of higher phosphate concentration (100 mM) and the addition of BDO
274 and/or NaHCO₃ were studied (Fig. 1). The testing of the high phosphate concentration was
275 carried out owing to the precipitous pH drop (below 5.0) that had been observed in the
276 experiments where 29 mM of potassium phosphate was used (see Table 1 for data). As for BDO
277 supplementation, we sought to determine if BDO itself, the final product of the BDO pathway,
278 has a physiological role (its lack had hampered glycerol consumption and PDO production). In
279 the same context, we hypothesized that the lack of CO₂, which is generated at 2 moles per mole
280 of BDO (see supplementary Fig. 1), can hamper the performance of Kp Δ *budO*. In the
281 preliminary results, the increase in the buffering capacity of the culture medium, from 29 to 100
282 mM, greatly improved glycerol consumption and PDO production (Figs. 1C and 1D): 91.1 mM
283 PDO in a significantly enhanced yield (0.67 mol PDO/mol glycerol) was produced from 135.8
284 mM glycerol in 12 h. However, the pH nonetheless decreased below 5.3 after 9 h, and a
285 substantial amount of glycerol was left unused. Significantly, the addition of BDO (25 mM) to
286 the high-buffer culture medium barely affected the performance of the strain (Figs. 1E and 1F).
287 The addition of NaHCO₃

288 (50 mM), on the other hand, further improved glycerol consumption and PDO production (Figs.
289 1G and 1H): more than 90% of glycerol was consumed in 9 h, and cell growth (3.0 at OD₆₀₀)
290 along with the PDO titer (112 mM) were enhanced by 45.4 and 22.5%, respectively, relative to
291 the case without NaHCO₃. Significantly too, when BDO was additionally supplemented to the
292 NaHCO₃-containing medium (Figs. 1I and 1J), no further improvement in PDO production was
293 observed. Sodium bicarbonate (NaHCO₃) is a source of CO₂, but it can also counteract pH drop
294 caused by generation of various acids. When NaHCO₃ was added, the final pH increased to ~5.8
295 (Figs. 1G and 1I) from ~5.3 (Figs. 1C and 1E). The production of metabolites, meanwhile, varied
296 significantly with the culture conditions (Figs. 1B, 1D, 1F, 1H and 1J). Acetate, pyruvate and
297 formate were the major byproducts, with ethanol, lactate and succinate as the minor ones (under
298 10 mM). When NaHCO₃ was added, production of acetate (~40 mM) and formate (~27 mM) was
299 greatly increased, whereas pyruvate excretion was greatly reduced. This suggests that the
300 addition of NaHCO₃ and/or the increase of culture pH stimulates pyruvate-formate-lyase (PFL),
301 which converts pyruvate to acetyl-CoA and formate, thereby reducing pyruvate accumulation. As
302 for succinate, its production was slightly increased, suggesting that carboxylation of pyruvate to
303 oxaloacetate also was stimulated. Overall, these results indicate that, in the *KpΔbudO* mutant
304 where both the lactic acid and BDO production pathways were eliminated, excessive production
305 of acids (especially the accumulation of pyruvate) and/or subsequent pH drop could be the
306 principal cause of the reduction of PDO production and cell growth, and that their negative
307 impact can be greatly alleviated by increasing medium buffering capacity and/or the addition of
308 NaHCO₃ to the culture medium. It should also be noted that *KpΔbudO* cultured under
309 microaerobic and modified conditions exhibited a similar performance (in terms of cell growth,

310 glycerol consumption, PDO production, final pH, production of acetate and ethanol, etc.) to that
311 of Kp Δ *budB* cultured under anaerobic and non-modified conditions.

312 **3.3 Batch bioreactor experiments on Kp Δ *budO* with NaHCO₃ or continuous CO₂ supply**

313 The effects of CO₂ and NaHCO₃ on Kp Δ *budO* performance was further studied using
314 pH-controlled bioreactors in a batch mode (Fig. 2). Carbon dioxide was added in the form of
315 NaHCO₃ (100 mM) or by continuous sparging of CO₂ gas at 0.05 vvm. The pH was maintained
316 at 7.0 and the initial glycerol concentration was set to 600 mM. Despite the pH maintenance,
317 Kp Δ *budO* exhibited a performance much inferior to the Kp control. When NaHCO₃ was added,
318 some improvement in cell growth and PDO production was noticed, but not to the level by the
319 Kp control. Specifically, whereas the Kp control produced ~305 mM PDO, Kp Δ *budO* with
320 NaHCO₃ produced only 265 mM. In the case of the continuous sparging of CO₂ (Fig. 2E), PDO
321 production and cell growth were even lower than in the case without CO₂ (Fig. 2C), suggesting
322 that excessive CO₂ is inhibitory. Cell growth and glycerol consumption also were lower in
323 Kp Δ *budO*. Overall, these results indicate that the negative impact of the deletion of the *bud*
324 operon, cannot be removed by simple optimization of culture conditions at flask level. These
325 bioreactor results also suggest that the improvement of the performance of Kp Δ *budO* (shown in
326 Fig. 1) was mostly owed to the increase of the culture pH to a more neutral level.

327 The major end products of glycerol metabolism in *K. pneumonia* under limited-aeration
328 conditions are lactic acid and BDO (Durgapal et al., 2014). When lactic acid production is
329 blocked, more BDO is produced, and vice versa. If both BDO and lactic acid production are
330 blocked at the same time, other metabolites such as ethanol, acetate, formate, hydrogen,
331 succinate, and others will be produced at higher concentrations to keep the carbon flow at the
332 pyruvate node (see supplementary Fig. 1). Otherwise, due to metabolic traffic at the pyruvate

333 node, glycerol metabolism through the oxidative pathway is terminated, which seemingly was
334 the case with the current *KpΔbudO*. There are three strategies for dealing with the carbon traffic
335 problem at the pyruvate node of *K. pneumonia* that lacks both *ldhA* and *budO*. First, the
336 consumption of pyruvate through the TCA cycle can be accelerated. Among the advantages of
337 this strategy is improved cell growth and viability, and enhanced the NADH supply for PDO
338 production. On the other hand, the glycerol-to-PDO yield can be reduced if carbon is mainly
339 used for cell growth. The second strategy for dealing with the carbon traffic problem at the
340 pyruvate node is suppression of pyruvate generation by reduction of glycerol consumption
341 through the oxidative pathways at the glycerol node (by perturbing the expressions of *glpK*, *gldA*
342 and/or *dhaD*; see supplementary Fig. 1). The advantage and disadvantage of the second strategy
343 are exactly the opposite of those indicated for the first strategy. Furthermore, a lowered NADH
344 production rate can lead to a greatly reduced PDO production rate. The third and most desirable
345 strategy is to accelerate the PDO production (reductive) pathway relative to the oxidative
346 pathway at the glycerol node. Zheng et al. (2006) attempted overexpression of *dhaB* to enhance
347 the reductive pathway in a wild-type strain of *K. pneumonia* which resulted in accumulation of
348 toxic 3-HPA; it did not improve PDO production (though it should be noted that minimization of
349 BDO production was not their goal). No report on *dhaB* and *dhaT* expression enhancement in the
350 background of *ΔldhA* and *ΔbudO* has yet been published.

351 One additional interesting feature of the present bioreactor experiments with *KpΔbudO*
352 was the re-appearance of lactic acid, which had not been observed in the flask experiments. We
353 speculated that activation of the pathway via methylglyoxal was responsible for this (see
354 supplementary Fig. 1). To prove that hypothesis, the *mgsA* deletion mutant *K. pneumonia* J2B

355 *ΔldhAΔbudOΔmgsA* was developed and tested (data not shown). The results showed that even
356 though no detectable lactic acid was produced, PDO production was not improved.

357 **3.4 Fed-batch bioreactor experiments on Kp*ΔbudO***

358 Among the above-suggested strategies for alleviation of the carbon traffic at the
359 pyruvate node, the first, which is to say, improvement of TCA-cycle throughput was briefly
360 examined. This goal can be accomplished by increasing aeration and/or supplying additional
361 nitrogen. First, increased yeast-extract concentration (initial concentration: 5 g/L) was explored
362 (Fig. 3). The air-flow rate was set at 0.5 vvm. For comparison, the same experiments but with
363 1 g/L yeast extract were also performed for both the Kp control and Kp*ΔbudO*. The PDO
364 production of the Kp control with 1 g/L yeast extract was 752.9 mM at 36 h; under the same
365 conditions, Kp*ΔbudO* produced only ~410 mM PDO. For both strains, the initial (to 6 h) cell
366 growth and PDO production, ~ 5 OD and 130 ~ 150 mM, respectively, were similar; thereafter
367 however, Kp*ΔbudO* showed a performance significantly inferior to that of the Kp control.
368 Moreover, from 6 h, Kp*ΔbudO* started to accumulate pyruvate and lactate, as in the batch
369 bioreactor experiments. When the initial yeast-extract concentration was elevated to 5 g/L (Fig.
370 3E and 3F), the cell growth rate and glycerol consumption were greatly accelerated, and the
371 maximum cell density (OD₆₀₀₋₁₂) reached a significantly higher level at ~15 h. The final PDO
372 titer also was considerably improved, to 512 mM, at 36 h. However, the level did not match that
373 of the Kp control, as the PDO production rate had declined significantly after 12 h.
374 Interestingly, the two strains' molar PDO yields with glycerol were comparable (Kp control,
375 0.43; Kp*ΔbudO*, 0.46).

376 The metabolite profiles of the two strains are compared in Table 3. The cultivation phase
377 was divided into two phases according to the cell-growth pattern and metabolite production.

378 The carbon recoveries in each phase were higher than 95% when the yeast extract was 1 g/L,
379 but were reduced to ~85% when the yeast extract was elevated to 5 g/L. The reason for this is
380 not clear. With *KpΔbudO*, glycerol assimilation in the second phase was greatly reduced relative
381 to that in the first phase. With the *Kp* control, a large amount of BDO, ~274 mM, was produced
382 in 36 h. In *KpΔbudO*, the glycerol carbon that had been used for BDO formation in the *Kp*
383 control seemed to be diverted to a variety of metabolites, especially formate, acetate, pyruvate,
384 lactate and ethanol. However, the total amount diverted to these metabolites was far less than
385 that of BDO in the *Kp* control, indicating that glycerol assimilation and its metabolism was
386 significantly reduced in *KpΔbudO*. It was also noted that, as in the batch experiments,
387 *KpΔbudO* produced pyruvate and lactate at high concentrations. The high accumulation of
388 pyruvate, even with a high concentration of yeast extract, indicated that the strategy to alleviate
389 the carbon traffic at the pyruvate node by supplementing additional, readily-usable nitrogen and
390 stimulating the TCA cycle was not successful, especially in the later phase of the bioreactor
391 experiment.

392 In order to stimulate TCA throughput, another set of bioreactor experiments with an
393 increased aeration rate (to 1 vvm) were performed (data not shown). Cell growth and glycerol
394 consumption were enhanced in all three cases (*Kp* control with 1 g/L yeast extract; *KpΔbudO*
395 with 1 g/L yeast extract; *KpΔbudO* 5 g/L yeast extract), but PDO production was reduced by
396 ~15%. Also, PDO production began at ~6 h, about 3 h later than in the former, 0.5 vvm-
397 aeration-rate case, probably due to lowered or delayed synthesis of coenzyme B₁₂. With
398 *KpΔbudO*, metabolic traffic at the pyruvate node was not alleviated, >50 mM pyruvate having
399 been accumulated. Intermittent feedings of yeast extract in the later phase did not improve
400 glycerol consumption and/or PDO production. Again, these results show that carbon traffic at

401 the pyruvate node could not be lessened by increasing aeration and/or addition of a rich nitrogen
402 source.

403 It has been reported that *K. pneumoniae* has an inefficient or incomplete TCA cycle,
404 unlike other, similar enterobacter sp. such as *E. coli* (Cabelli, 1955). In that study, the growth
405 with fumarate or succinate as the sole carbon source required a much longer lag period than that
406 with TCA intermediates including malate, oxaloacetate, citrate and others, and it was suggested
407 that fumarate does not go to malate. According to our preliminary studies, transcription of some
408 enzymes (e.g., *fumA* and *sdhA*) was low, as were their enzymatic activities, especially under the
409 anaerobic condition. If the TCA cycle does not operate properly, pyruvate utilization cannot be
410 efficient and/or stimulation of TCA-cycle throughput becomes highly challenging. This suggests
411 that in the context of the production of PDO without BDO, *K. pneumoniae* has a serious
412 limitation as a microbial cell factory. Additional studies on the TCA cycle of *K. pneumoniae* are
413 in progress.

414 **3.5 Effects of glycerol carbon metabolites on glycerol dehydratase (DhaB) activity**

415 The results obtained thus far indicate that the metabolic traffic at the pyruvate node is
416 critical to glycerol metabolism in *KpΔbudO*. Accumulation of pyruvate causes that of other
417 glycolytic intermediates such as dihydroxyacetone (DHA), dihydroxyacetone phosphate
418 (DHAP), methylglyoxyl (MG) and glyceraldehyde-3-phosphate (G3P), and threatens to
419 terminate glycerol assimilation through the oxidative pathway. Further, if any of these
420 metabolites specifically inhibit the activity of glycerol dehydratase (DhaB) (see supplementary
421 data Fig. 1), the conversion of glycerol to PDO also is greatly reduced. Therefore, the effect of
422 glycolytic intermediates on DhaB activity was studied using crude cell extract expressing DhaB

423 at a high level (Fig. 4). Among the metabolites tested, G3P was the most highly inhibitory: at 1
424 mM, DhaB activity was completely eliminated. Other metabolites such as pyruvate, MG and
425 DHAP also showed some inhibition, but much less significantly than G3P. DHA was not
426 inhibitory up to 10 mM. These results suggest that G3P followed by pyruvate accumulation
427 greatly decreases reductive flux in addition to oxidative flux, which eventually halts glycerol
428 consumption.

429 **4. Conclusion**

430 The current study investigated the effects of the deletion of the BDO pathway on glycerol
431 metabolism and PDO production by *K. pneumoniae* J2B Δ *ldhA*. The deletion of *budO* (whole-
432 *bud* operon) could completely eliminate BDO production, but this triggered heavy carbon-
433 metabolic traffic at the pyruvate node. Glycerol dehydratase, which converts glycerol to 3-HPA,
434 was specifically inhibited by G3P which should accumulate along with pyruvate. It is suggested
435 that pyruvate accumulation should be strictly prevented during PDO production by carefully
436 decreasing oxidative flux and/or increasing reductive flux.

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511

512

513

514 **Figure legends**

515 **Fig. 1** Flask culture of *K. pneumoniae* J2B $\Delta ldhA\Delta budO$ with 29 mM (low) or 100 mM (high)
516 phosphate (Pi) in presence or absence of BDO (25 mM) and/or NaHCO₃ (100 mM). **A** and **B** 29
517 mM Pi; **C** and **D**, 100 mM Pi; **E** and **F**, 100 mM Pi with BDO; **G** and **H**, 100 mM Pi with
518 NaHCO₃; **I** and **J**, 100 mM Pi with BDO and NaHCO₃.

519 **Symbols:** In **A**, **C**, **E**, **G** and **I**, *filled triangle down* (residual glycerol), *half-filled circle* (cell
520 OD), *empty circle* (PDO), and *cross* (pH); in **B**, **D**, **F**, **H** and **J**, *empty squares* (ethanol), *empty*
521 *diamond* (acetate), *filled circle* (pyruvate), *filled triangle up* (formate), *empty circle* (BDO), *filled*
522 *star* (succinate), and *empty triangle up* (lactate).

523 **Fig. 2** Bioreactor culture of *K. pneumoniae* J2B $\Delta ldhA$ and $\Delta ldhA\Delta budO$ with or without
524 continuous purging of CO₂ (0.05 vvm) or addition of NaHCO₃ (100 mM). **A** and **B**, $\Delta ldhA$; **C**
525 and **D**, $\Delta ldhA\Delta budO$; **E** and **F**, $\Delta ldhA\Delta budO$ with CO₂ purging; **G** and **H**, $\Delta ldhA\Delta budO$ with
526 NaHCO₃.

527 **Symbols:** In **A**, **C**, **E**, and **G**, *filled triangle down* (glycerol), *half-filled circle* (cell OD), and
528 *empty circle* (PDO); in **B**, **D**, **F**, and **H**, *empty squares* (ethanol), *empty diamond* (acetate), *filled*
529 *circle* (pyruvate), *filled triangle up* (formate), *empty circle* (BDO), *filled star* (succinate), and
530 *empty triangle up* (lactate).

531 **Fig. 3** Fed-batch bioreactor culture of *K. pneumoniae* J2B $\Delta ldhA$ and $\Delta ldhA\Delta budO$. **A** and **B**,
532 $\Delta ldhA$ with 1 g/L yeast extract; **C** and **D**, $\Delta ldhA\Delta budO$ with 1 g/L yeast extract; **E** and **F**,
533 $\Delta ldhA\Delta budO$ with 5 g/L yeast extract.

534 **Symbols:** In **A**, **C**, and **E**, *filled triangle down* (glycerol), *half-filled circle* (cell OD), and *empty*
535 *circle* (PDO); in **B**, **D**, and **F**, *empty squares* (ethanol), *empty diamond* (acetate), *filled circle*
536 (pyruvate), *filled triangle up* (formate), *empty circle* (BDO), *filled star* (succinate), and *empty*
537 *triangle up* (lactate).

538 **Fig. 4** Glycerol dehydratase (DhaB) enzyme activity in presence of dihydroxyacetone (DHA),
539 dihydroxyacetone phosphate (DHAP), glyceraldehyde 3-phosphate (G3P), methylglyoxyl (MG)
540 and pyruvate.

541 **Supplementary Fig. 1** Glycerol metabolism in *K. pneumoniae*. The genes encoding the relevant
542 enzymes are shown in italics on the arrows. The encircled genes had been deleted in the current
543 study. The solid lines represent single steps in the metabolic pathway, while broken lines indicate
544 multiple steps (Ashok et al. 2011; Mazumdar et al. 2013).

Fig. 1

Buffer capacity	Low	High	High	High	High
2,3-BDO or Bicarbonate addition	None	None	2,3-BDO	Bicarbonate	2,3-BDO + Bicarbonate

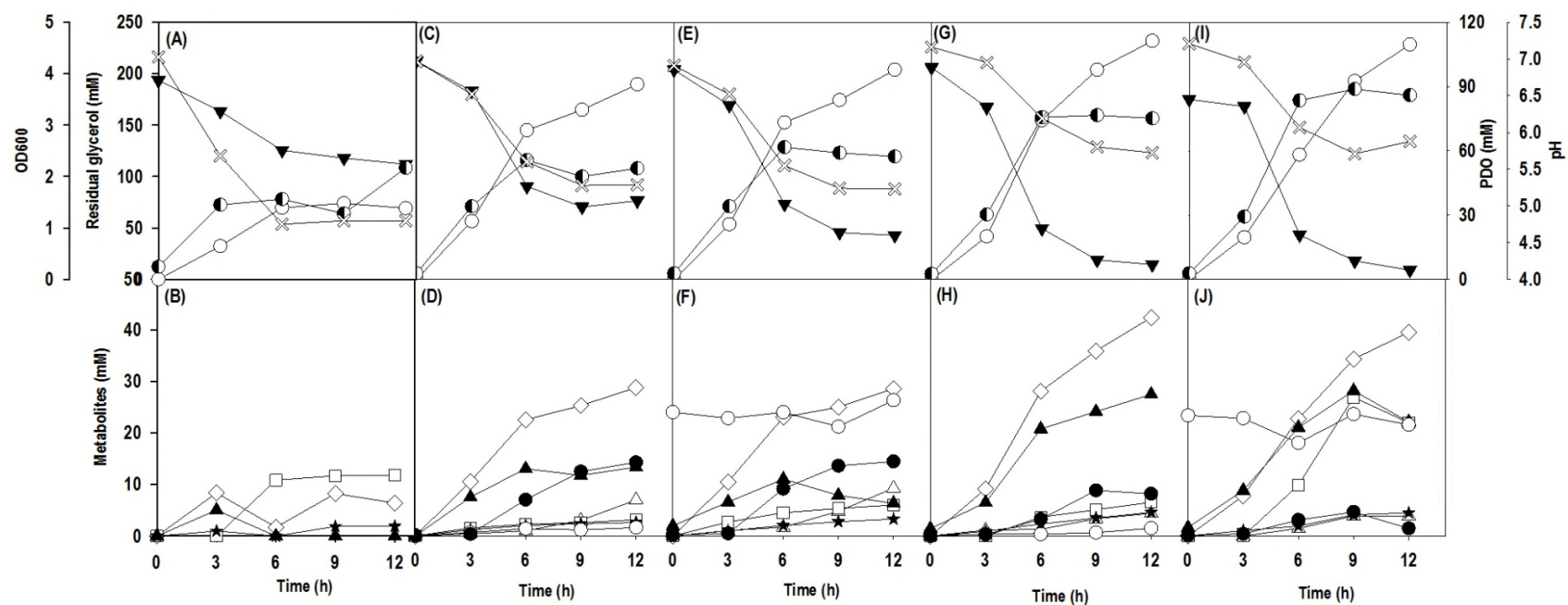


Fig. 2

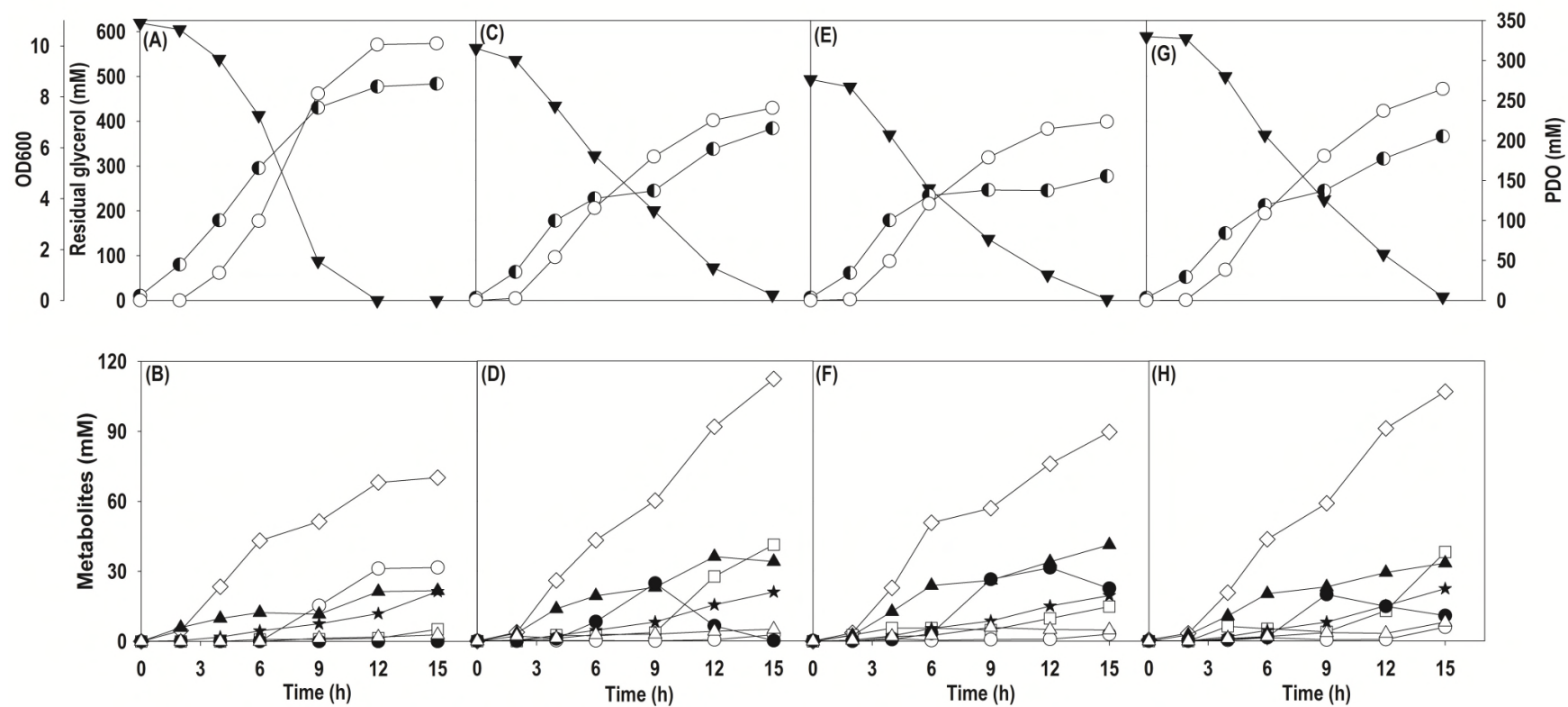


Fig. 3

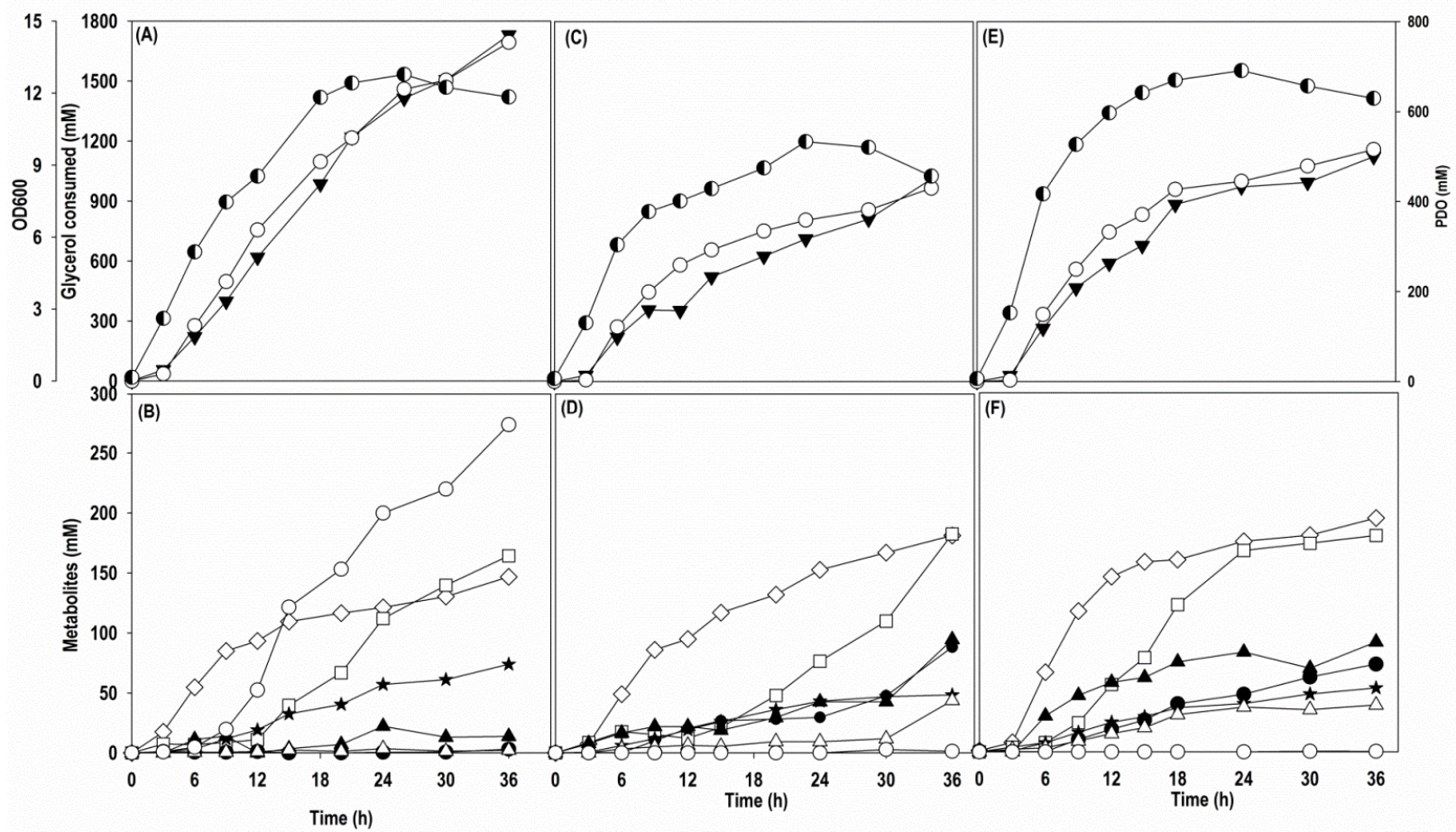
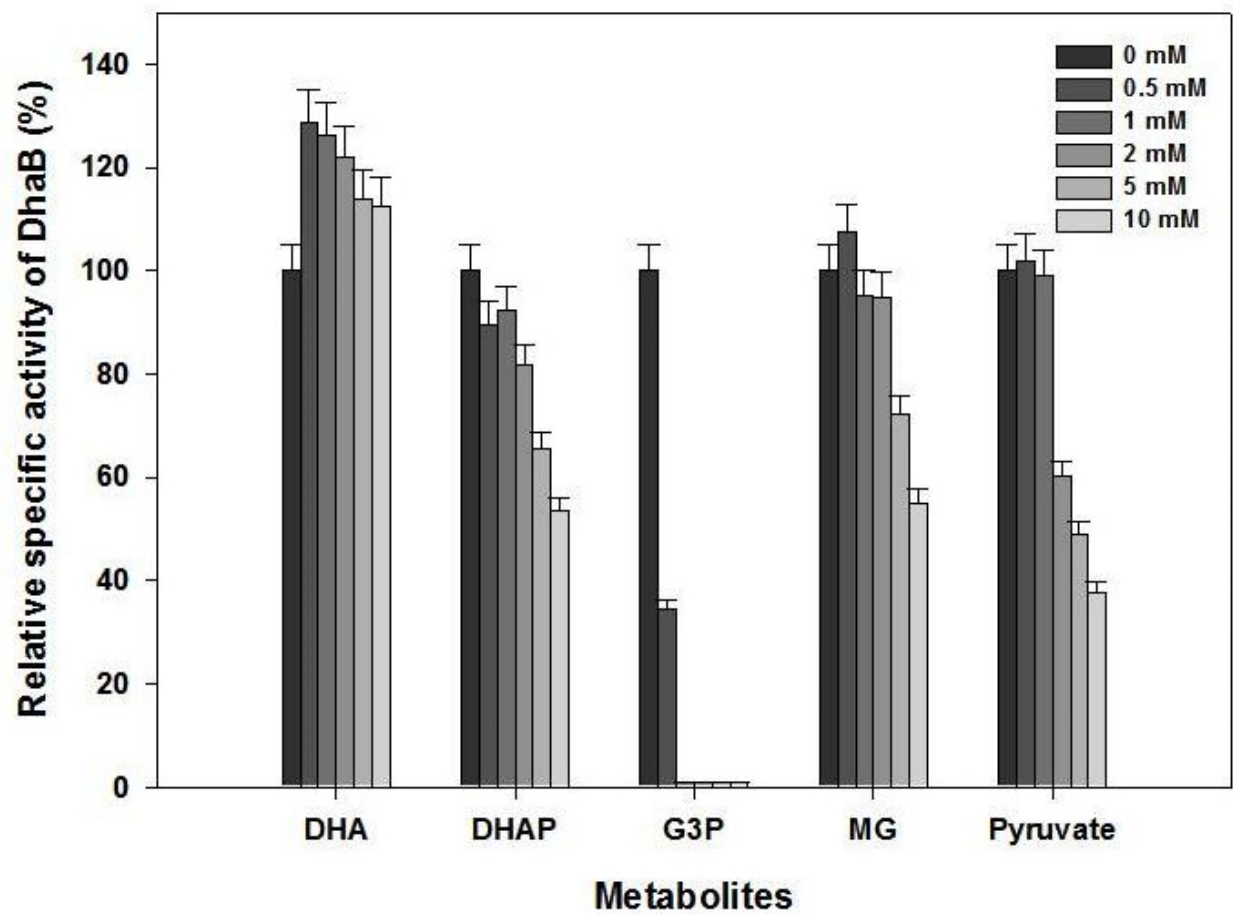


Fig. 4



Supplementary Fig. 1

