

# Comparison of low-order aerodynamic models and RANS CFD for full scale 3D vertical axis wind turbines

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## Abstract

A Double Multiple Streamtube model, a free-wake vortex model (both widely used for vertical axis wind turbine design) and RANS CFD simulations are used in this work to predict the performance of the 17m Vertical Axis Wind Turbine, field tested by Sandia National Laboratories. The three-dimensional, full scale calculations are compared with the experiments in terms of power coefficient, power and instantaneous turbine torque to assess the validity of each model. Additionally, the two aerodynamic models and RANS CFD are compared to each other in terms of thrust and lateral force. The two models and CFD agree well with the experiments at the turbine optimal tip speed ratio. However, away from the optimal tip speed ratio, the streamtube model significantly deviates from the experimental data and from the other numerical models. RANS CFD gives a good agreement with the experiments, slightly underestimating the power coefficient at every tip speed ratio tested. The vortex model proves to be a useful tool with a better accuracy than the streamtube model and a much lower computational cost compared to RANS CFD.

*Keywords:* Double Multiple Streamtube, Free-wake vortex, 3D Computational Fluid Dynamics, Darrieus turbine, Instantaneous torque

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## 1. Introduction

There was a large interest in Vertical Axis Wind Turbines (VAWT's) in the 1970's [1, 2] before the wind energy industry was dominated by Horizontal Axis Wind Turbines (HAWT's). Although

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23 slightly less efficient than the conventional HAWT's, VAWT's have several notable advantages [3],  
24 for example: (1) they are insensitive to the wind direction; (2) it is possible to install the drive train  
25 close to the ground; and (3) they have a lower center of gravity compared to HAWT's. Addition-  
26 ally, the size of large scale offshore HAWT's is limited by the fatigue cycles experienced by the  
27 blades during each revolution due to the gravitational loads. VAWT's overcome this problem since  
28 the gravitational loads always apply a constant stress on the blades. The scalability of VAWT's is  
29 therefore superior to HAWT's. For these reasons, there has recently been a resurgent interest in  
30 VAWT's, especially for urban [4] and offshore [5, 6, 7] applications.

31 Although mechanically simpler than HAWT's (as there is no need of yawing system and usu-  
32 ally no pitching mechanism for the blades), the aerodynamics of VAWT's is more complicated due  
33 to the continuously varying angle of attack seen by the blades. This can lead to dynamic stall at a  
34 low tip speed ratio (TSR). Furthermore, the wake of the blades in the upstream half of the turbine  
35 interacts with the blades traveling through the downstream half.

36 Numerical models of different complexity have been developed to predict the aerodynamic  
37 performance of VAWT's. These models can be divided into three main categories: streamtube  
38 models, vortex methods and Computational Fluid Dynamics (CFD). Streamtube models, based on  
39 the principle of momentum conservation in a quasi-steady flow, were first developed in the 1970's  
40 [2, 8]. They are still widely used for VAWT's design and have benefited from several improve-  
41 ments to the initial models [9, 10]. However, nowadays streamtube models receive some criticisms  
42 regarding the accuracy of their results, i.e. good agreement with experiments could result from  
43 cancellation of errors [11]. Vortex methods were developed slightly later than streamtube models  
44 [12] and are still an active research topic [13, 14]. Their unsteady formulation and explicit wake  
45 modeling often lead to a better accuracy compared to streamtube models. More recently, CFD  
46 simulations have been widely used to predict the performance of VAWT's, such as 2D RANS sim-  
47 ulations [15, 16, 17], 3D RANS simulations [18, 19, 20], and 2.5D Large-Eddy Simulations (LES)  
48 [21, 22]. CFD has proven to be a useful tool to predict the turbine performance, instantaneous  
49 forces and the flow field around the turbine. CFD is however much more time consuming than  
50 vortex methods and streamtube models.

51 The aforementioned studies focus on small scale wind turbines to compare the numerical re-

52 sults with wind or water tunnel measurements. The aim of the present study is to compare stream-  
53 tube models, vortex methods and CFD with existing experiments for a 3D full scale VAWT. Both  
54 averaged (power, power coefficient) and instantaneous data (turbine torque) are used to assess the  
55 validity of each model at three different TSR, covering the operating range of VAWT's. The study  
56 focuses on the comparison of different computational models for the full scale turbine used in the  
57 experiments (in an isolated configuration). We do not attempt to improve the turbine design or  
58 investigate the interaction of multiple turbines in this study as these are outside the scope of the  
59 study.

## 60 **2. Wind turbine and test conditions**

61 Experimental data for full scale VAWT's, with sufficient information for a detailed comparison  
62 with aerodynamic models, are limited. For example, experiments on a 12 kW VAWT have been  
63 carried out at Uppsala University [23] but the tangential force measurements were distorted by the  
64 dynamics of the turbine [24]. Thus, the wind turbine used in this study is the second version of  
65 the 17m-diameter ( $D$ ) VAWT tested by Sandia National Laboratories in the 1980's (Fig. 1). It is a  
66 2-bladed  $\Phi$ -shape VAWT with a 0.51m diameter central tower and no strut. Blades are divided into  
67 3 sections (straight/circular/straight) approximating a Troposkein shape. The aerodynamic cross  
68 section is a NACA 0015 with a 0.61m chord length ( $c$ ). The turbine swept area ( $A_S$ ) is 187m<sup>2</sup> and  
69 the ground clearance is 4.88m. Wind speeds are given at the turbine mid height (13.5m from the  
70 ground) assuming a velocity profile based on the power law (Eq. 1) to model the effect of wind  
71 shear.  $U_\infty$  corresponds to the upstream wind speed used to calculate the tip speed ratio,  $z$  is the  
72 height above ground,  $Z_{\text{ref}}$  corresponds to the mid height of the turbine and the exponent  $a$  equals  
73 0.1 as mentioned in [25]. The density of air is 1 kg/m<sup>3</sup>, corresponding to a normal day at the  
74 test facility (located at a high altitude). In the present study, all calculations take into account the  
75 effect of wind shear and the air density measured at the test facility. The solidity of the turbine is  
76 0.16, as calculated by Eq. 2 ( $N$  is the number of blades and  $L$  is the blade length). More detailed  
77 information can be found in the Sandia technical reports [25, 26]. The coordinate frame related to  
78 the turbine used in this study is presented in Fig. 2.

$$U_x(z) = U_\infty \times \left(\frac{z}{Z_{\text{ref}}}\right)^a \quad (1)$$

$$\sigma = \frac{NcL}{A_S} \quad (2)$$



Figure 1: Sandia National Laboratories 17m VAWT [27].

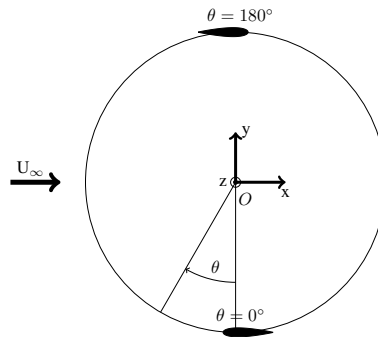


Figure 2: Coordinate frame, view from the top of the turbine.

80 Extensive measurements have been reported on this turbine which makes it a good reference  
 81 for comparison with numerical models. Averaged power, power coefficient and especially the  
 82 instantaneous turbine torque have been measured [27]. The instantaneous turbine torque provides  
 83 information about the aerodynamic phenomena occurring during the turbine revolution, which is  
 84 very useful for the validation of numerical models.

85 The turbine was operated experimentally at rotational speeds ranging from 29.8rpm to 54.8rpm.  
 86 This study focuses on the speed 38.7rpm at which torque measurements are available from TSR =

87 2 to  $TSR = 8$ . This allows comparisons with numerical models for a wide range of conditions,  
88 including two extreme cases where blades are experiencing dynamic stall ( $TSR = 2$ ) and when the  
89 induction is high ( $TSR = 8$ ).

### 90 **3. Numerical models**

#### 91 *3.1. TM4E: Turbine Model version 4E*

92 TM4E [10] is based on Paraschivoiu's Double Multiple Streamtube model [9]. The flow  
93 through the turbine's swept volume is divided into a series of streamtubes and then induced veloc-  
94 ities are calculated separately over upwind and downwind half-cycles of the rotor. TM4E averages  
95 momentum losses created by all elements over lateral streamtubes for each half-cycle. This mod-  
96 ification was considered necessary for complex rotor shapes and enables the code to be used for  
97 various types of turbine geometries ( $\Phi$ -shape, H-shape, V-shape, etc). The upwind and downwind  
98 halves of the rotor are thus automatically divided into 200 horizontal layers for the calculation of  
99 the induced velocities.

100 TM4E was developed to take into account 3D effects like tip losses, junction losses, tower wake,  
101 wind shear as well as dynamic stall effects through the Gormont model [28]. The Gormont model  
102 was enhanced in TM4E using corrections proposed by Masse [29] and Berg [30]. A Masse coeffi-  
103 cient  $A_M = 6$ , known to give good results for the SNL 17m VAWT [30], is used in this study.

#### 104 *3.2. CACTUS: Code for Axial and Cross-flow TURbine Simulation*

105 CACTUS is a three-dimensional free-vortex code using the lifting line approximation to model  
106 the blades [13]. Each blade is discretized into a number of blade elements containing a bound  
107 vortex line. The wake is represented by a time-dependent vortex lattice. At each time step, each  
108 blade element produces a new shed vortex line segment connected to the bound vortex by two  
109 trailing vortex line segments. The velocity field induced by the entire vortex system is calculated  
110 using the Biot-Savart law.

111 The calculations performed in this study take into account the wind shear and use the Leishman-  
112 Beddoes dynamic stall model [31, 32].

113 Following the results of a convergence study, each blade is represented by 27 elements and 40 time

114 steps are used per revolution. Calculations are run for a number of turbine revolutions which leads  
115 to a good level of convergence (difference of the power coefficient  $CP$  is less than 0.7% between  
116 the last two revolutions). The number of turbine revolutions required varies from 5 (low TSR) to  
117 20 (high TSR).

### 118 3.3. CFX

#### 119 3.3.1. Mesh and boundary conditions

120 The computational domain (Fig. 3) is meshed with a structured grid and is divided into two  
121 parts:

- 122 • An outer domain of length  $60D$ , width  $60D$  and height  $11D$ . This domain contains  $2 \times 10^6$   
123 cells (gray part in Fig. 3).
- 124 • A rotating cylindrical domain containing the turbine (green part in Fig. 3). The rotor domain  
125 has a diameter of  $3D$  and a height of  $1.1D$  (19 m). It contains  $7 \times 10^6$  cells.

126 A transient rotor/stator interface using the GGI (General Grid Interface) method is employed be-  
127 tween the rotor and the stator. Figure 4 shows the mesh around the turbine in the equatorial plane.  
128 Figure 5 shows a close view of the mesh around one blade in the equatorial plane. The mesh has  
129 been refined close to the blades to reach  $y^+ \sim 1$  in order to resolve the viscous sublayer sufficiently.  
130 This leads to a  $y^+$  independent solution, as discussed in [15]. A blade cross-section is represented  
131 by 140 nodes in the chordwise direction. 145 nodes are used in the spanwise direction for each  
132 blade.

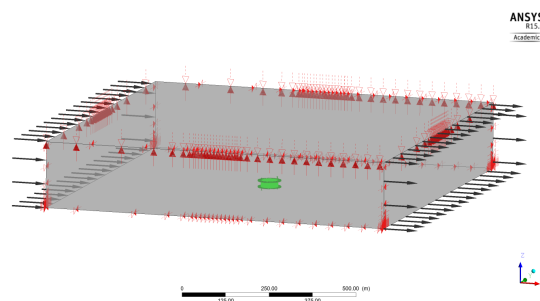


Figure 3: Computational domain including the rotor (green part) and the stator (gray part).

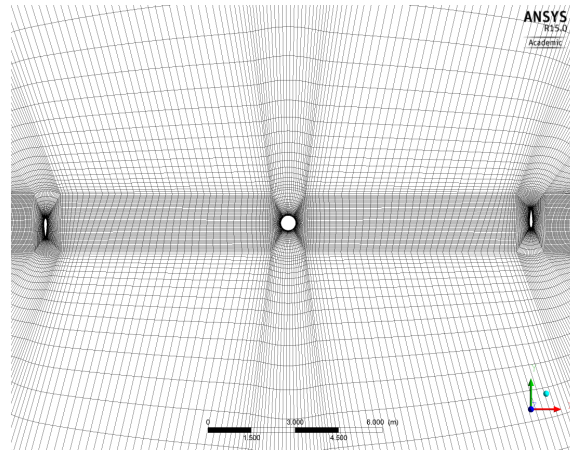


Figure 4: Close view of the structured mesh in the equatorial plane.

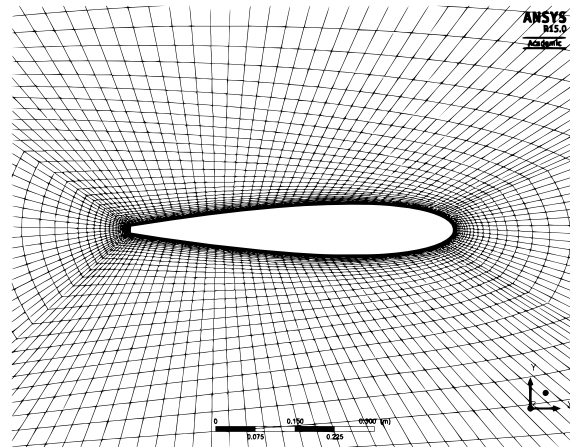


Figure 5: Close view of the mesh around the airfoil.

133 The inlet velocity is defined at the inlet boundary using a power law to take into account the  
 134 effect of wind shear in the calculations. It was verified in the results that the inlet velocity profile  
 135 is maintained in the computational domain, especially in front of the turbine. The inlet turbulence  
 136 intensity is set to 10% with a viscosity ratio  $\mu_t/\mu = 100$ . This leads, after decaying between the  
 137 upstream boundary and the turbine (despite the presence of a wind shear) to a turbulence intensity  
 138 of 0.12% around the turbine. This low turbulence intensity is not representative of the turbulence  
 139 of the real wind but it should not affect significantly the prediction of flow around the blades. At  
 140 the center of the turbine, the turbulence intensity ranges between 1% and 15% due to the wakes  
 141 generated by the blades and the tower. The bottom boundary is set as wall to maintain the wind

142 shear. The lateral and top boundaries are set as symmetry boundaries. An outlet condition with  
143 0 Pa relative static pressure is imposed on the downstream boundary. Finally, blades and tower are  
144 set as solid walls.

145 The time step used in the calculations always corresponds to a variation of the azimuthal angle  
146 of the turbine  $\Delta\theta = 1^\circ$ . The number of revolutions necessary to reach a periodic state depends on  
147 the tip speed ratio. As presented in Tab. 1, this number varies from 3 revolutions at  $TSR = 2.02$   
148 to 20 revolutions at  $TSR = 7.98$ . The lower the tip speed ratio the faster the wake develops behind  
149 the turbine since the calculations, as with the experiments in this case, employ a constant rotational  
150 speed and a variable wind speed. Table 1 also indicates the maximum value of  $y^+$  reached on the  
151 blades during one revolution. These values range from 2.1 to 2.5 which satisfies the requirements  
152 of the  $k-\omega$  SST turbulence model used.

| TSR  | Number of revolutions | Variation of CP between last 2 revolutions | Max $y^+$ |
|------|-----------------------|--|-----------|
| 2.02 | 3                     | +0.12%                                     | 2.5       |
| 4.6  | 5                     | -1.07%                                     | 2.4       |
| 7.98 | 20                    | -1.50%                                     | 2.1       |

Table 1: Summary of CFD convergence and  $y^+$ .

### 153 3.3.2. Turbulence models and numerical procedure

154 Incompressible Unsteady Reynolds-Averaged Navier-Stokes (URANS) equations are solved  
155 using ANSYS CFX [33]. The  $k-\omega$  SST (Shear Stress Transport) turbulence model [34] is used  
156 to model the Reynolds stress. This turbulence model blends the  $k-\omega$  and  $k-\epsilon$  turbulence models  
157 to benefit from the accuracy of the  $\omega$ -formulation in the boundary layer, especially in presence of  
158 flows with adverse pressure gradients, and the insensitivity of the  $\epsilon$ -formulation to the freestream  
159 boundary conditions. It is therefore known to be suitable for lifting bodies applications when used  
160 with a mesh satisfying the criteria  $y^+ \sim 1$  [35]. It was shown to be one of the best RANS turbulence  
161 models for Darrieus wind turbines applications [36].



162 Additionally, the  $\gamma - Re_\theta$  transition model [37] coupled with the  $k-\omega$  SST turbulence model  
163 (referred to as SST-TM henceforth) has been used at the lowest tip speed ratio. Taking the laminar-  
164 turbulent transition into account in RANS calculations improves the accuracy of the lift and drag  
165 coefficients predictions in presence of transition effects [38, 39] and improves the modeling of  
166 the dynamic stall [40]. It has also been shown to improve the results of VAWT calculations at  
167 low tip speed ratios [16], where blades experience dynamic stall. The  $\gamma - Re_\theta$  transition model  
168 is based on empirical correlations for the momentum thickness Reynolds number at transition  
169  $Re_{\theta_t}$ . It uses two additional transport equations: one for  $Re_{\theta_t}$  which takes non-local empirical  
170 correlations and transforms them into a local quantity that can be used in the second equation for  
171  $\gamma$ , the intermittency.  $\gamma$  is used to activate the production term of the turbulence kinetic energy ( $k$ )  
172 transport equation where the transition criteria are satisfied. Details of the  $\gamma - Re_\theta$  transition model  
173 can be found in [37].

174 Advection terms are discretized using a hybrid first/second order scheme (“High Resolution”  
175 scheme in CFX) and the temporal discretization is achieved by using the implicit second order  
176 backward Euler scheme. Calculations are run in double precision and are parallelized on 32 CPUs.  
177 The computational time required to simulate one turbine revolution is about one day.

#### 178 **4. Validation - pitching airfoil case**

179 A validation study is carried out to assess the accuracy of the ‘relatively low’ mesh resolution  
180 used around the blades of the full scale wind turbine (see Section 3.3.1). A pitching airfoil case  
181 with dynamic stall is selected because it is close to the complicated flow around a VAWT blade.  
182 The experimental work of Lee and Gerontakos [41] is chosen for comparison since it employs a  
183 NACA 0012 airfoil, similar to the NACA 0015 airfoil used for the wind turbine, Reynolds number  
184 is  $Re = 1.35 \times 10^5$  which is high enough to be relevant to the current VAWT study and the pitching  
185 frequency is also relevant to VAWT applications. The pitching axis is located at quarter-chord and  
186 the pitching law is given in Eq. 3. The airfoil angle of attack starts from an initial value  $10^\circ$  and  
187 pitches between  $-5^\circ$  and  $25^\circ$ . It reaches values well beyond the static stall angle, which is around  
188  $12^\circ$  at this Reynolds number [41]. The circular frequency of the oscillations,  $\omega$ , related to the

189 oscillation frequency  $f_0$ , is defined via the reduced frequency  $\kappa$  (Eq. 4). We choose  $\kappa = 0.1$  since it  
 190 corresponds to a high pitching rate that covers most of the operating points of the studied VAWT.

$$\alpha(t) = 10^\circ + 15^\circ \sin(\omega t), \quad \omega = 2\pi f_0 \quad (3)$$

$$\kappa = \omega c / 2U_\infty \quad (4)$$

191 The computational domain is two-dimensional and circular with a radius of 50 chords (Fig. 6,  
 192 left). The mesh is structured. The coarsest mesh (18k cells) has the same nodes distribution on  
 193 the airfoil as on a blade cross section of the full scale wind turbine (140 nodes in the chordwise  
 194 direction). The grid spacing in the wall-normal direction is defined to reach  $y_{\max}^+ \sim 1$  and to be as  
 195 close as possible to that of the wind turbine blades. An O-grid topology is used around the airfoil  
 196 (Fig. 6, right). Two finer grids are generated (84k and 170k cells) to compare the 'low resolution'  
 197 results with grid converged results. The refinement is done by increasing the number of nodes  
 198 in both chordwise and wall-normal directions. The 84k and 170k grids use 440 and 680 nodes,  
 199 respectively, in the chordwise direction.

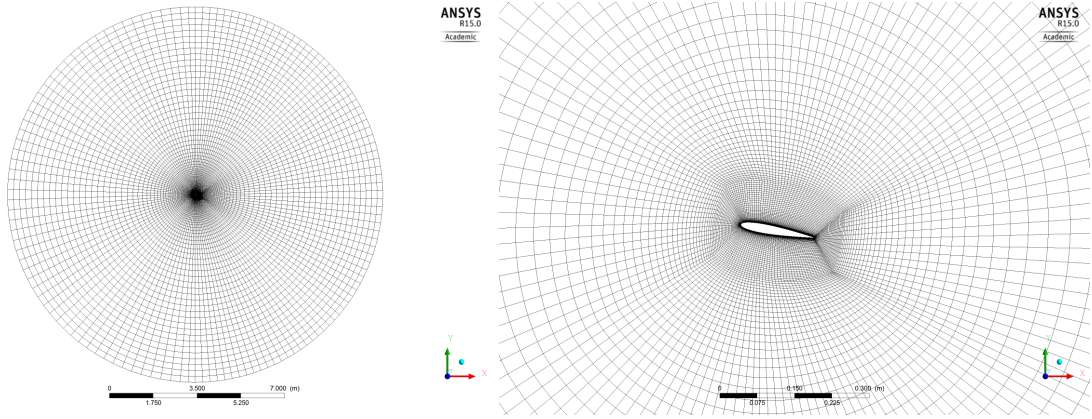


Figure 6: Global view (left) and close view around the airfoil (right) of the mesh for the lowest resolution used  
 ( $18 \times 10^3$  cells).

200 The pitching of the airfoil is achieved by keeping the inlet velocity constant and deforming the  
 201 mesh around the airfoil. The initial mesh is generated with the airfoil at an angle of attack of  $10^\circ$ .

202 Inlet boundary conditions are applied to the left half of the outer boundary (semi-circle) with  
203 a constant velocity  $U_x = 13.91\text{m/s}$ , corresponding to  $\text{Re} = 1.35 \times 10^5$  and a turbulence intensity  
204 of 5% with  $\mu_t/\mu = 10$ . The turbulence decays between the inlet boundary and the airfoil to reach  
205 a turbulence intensity of 0.25% around the airfoil. This value is close to the experimental value  
206 (0.08% at  $U_\infty = 35\text{m/s}$ ). An outlet condition with 0 Pa relative static pressure is imposed on  
207 the right half of the outer boundary. Finally, the airfoil is set as a solid wall. Calculations were  
208 run with different time step sizes and showed that  $\Delta t = 5 \times 10^{-4}\text{s}$  is low enough for the time step  
209 independent solution to be obtained for the case studied. This time step corresponds to a maximum  
210 CFL value of 160 over a full pitching cycle with the 18k mesh. During most of the cycle, the CFL  
211 value is much lower. It should however be noted that for URANS simulations using an implicit  
212 scheme for temporal integration, the time step sensitivity is the main criterion to select the time  
213 step. The other numerical parameters are the same as mentioned in Section 3.3.2.

214 The experimental lift and drag coefficients ( $C_L$  and  $C_D$ ) are based on the pressure integration  
215 only.  $C_L$  and  $C_D$  obtained from the CFD simulations are therefore calculated in the same way.  
216 Figure 7 presents the results obtained with the three different grids and compares them to the  
217 experimental data of Lee and Gerontakos [41]. The three grids give the same  $C_L$  and  $C_D$  from  
218  $\alpha = -5^\circ$  to  $\alpha = 15^\circ$  during the upstroke phase. From  $15^\circ$  to  $25^\circ$ , a very small difference can be  
219 observed between the 18k grid and the other two on both  $C_L$  and  $C_D$  curves. All grids predict  
220 the peak lift and peak drag coefficients  $2^\circ$  to  $3^\circ$  earlier than the experiment. The main difference  
221 between the 18k grid and the other two occurs from  $25^\circ$  to  $5^\circ$  during the downstroke phase. At  
222 these angles of attack, the low resolution mesh underestimates the lift and drag coefficients. From  
223  $5^\circ$  to  $-5^\circ$  in the downstroke phase, all grids predict the same  $C_L$  and  $C_D$  again. The results obtained  
224 with the SST turbulence model are in agreement with those obtained by Wang et al. [42] at a  
225 similar turbulence intensity (0.24%).

226 Similar comments can be made for the results of the SST-TM model. We can however notice  
227 that modeling the transition leads to a slightly better prediction of the peak of lift. Both lift and  
228 drag coefficients are also better predicted during the downstroke phase and the hysteresis shown in  
229 the experiments around  $\text{AoA} = -5^\circ$  is now predicted by the calculations. However, the calculations  
230 run in the present study using the SST-TM model do not reach the same level of agreement with

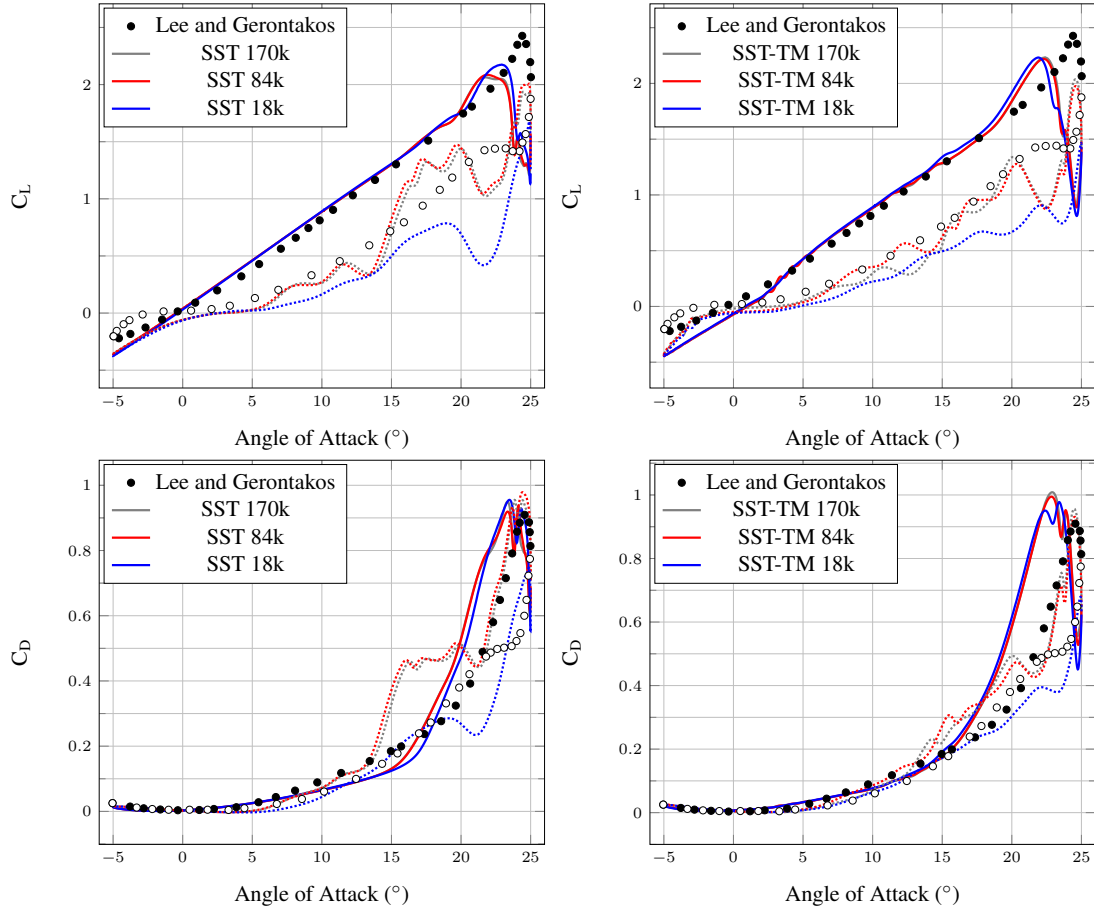


Figure 7:  $C_L$  (top) and  $C_D$  (bottom) obtained from 2D SST (left) and SST-TM (right) RANS calculations on grids of different refinements. Comparison to experimental data from Lee and Gerontakos [41].

Upstroke: continuous lines and black filled points, Downstroke: dotted lines and white filled points.

$$Re = 1.35 \times 10^5, c = 0.15\text{m}, \kappa = 0.1$$

231 the experiments, regarding the peak  $C_L$ , as reported by Wang et al. [40]. The beginning of the  
 232 downstroke phase ( $\theta \in [25^\circ, 10^\circ]$ ) is always difficult to simulate, regardless of the mesh resolution  
 233 and the turbulence model used [40].

234 The results presented show that the low mesh resolution used around the blades in the wind  
 235 turbine calculations gives the same level of accuracy as finer meshes and a good agreement with  
 236 experiments in the upstroke phase of a pitching airfoil experiencing dynamic stall. The results  
 237 deviate only during the downstroke phase. When operating at a low tip speed ratio, and therefore  
 238 experiencing dynamic stall, results will have to be analyzed carefully in the post stall region.

239 However, calculations at higher tip speed ratios should not suffer from the low mesh resolution.

## 240 5. Results and discussion

### 241 5.1. Averaged power and power coefficient

242 Figure 8 (left) shows the averaged power calculated by the different numerical models and  
 243 compares them with experimental data [27]. The agreement between calculations and experi-  
 244 ments is good for all models from 6 m/s to 10 m/s. Deviation from the experiments is only a  
 245 few percents at  $U_\infty = 7.5$  m/s (Tab. 2). However, at the lowest wind speed ( $U_\infty = 4.3$  m/s,  
 246  $TSR = 7.98$ ), low-order models tend to over-predict the output power. CFX gives the best agree-  
 247 ment with the experiments, underestimating power by 19%, compared to an overestimation by  
 248 231% with CACTUS and 496% with TM4E (Tab. 2). It should be noted that these percentages are  
 249 very high because the reference power is very low. The absolute difference in power is only 2.7  
 250 kW between experiments and TM4E at  $U_\infty = 4.3$  m/s. The agreement between experiments and  
 251 calculations is also not very good at high wind speed (low TSR) where the turbine blades experi-  
 252 ence dynamic stall. Dynamic stall decreases the aerodynamic performance of the blades, enabling  
 253 a natural power control of the turbine. This is why the power reaches a plateau above  $U_\infty = 12$  m/s  
 254 in the experiments. CACTUS calculations predict this plateau but over-predict the power in this  
 255 low TSR range. In contrast, TM4E predicts a decrease of the power above  $U_\infty = 12$  m/s leading to  
 256 an underestimation of the power. The averaged power predicted by CFX is very close to the one  
 257 predicted by TM4E, underestimating the experimental value.

|        | $U_\infty = 4.3$ m/s<br>TSR = 7.98 | $U_\infty = 7.5$ m/s<br>TSR = 4.6 | $U_\infty = 17.1$ m/s<br>TSR = 2.02 |
|--------|------------------------------------|-----------------------------------|-------------------------------------|
| CFX    | -19.2                              | -3.4                              | -15.2                               |
| CACTUS | +230.7                             | +5.2                              | +20.5                               |
| TM4E   | +496                               | -0.5                              | -14.6                               |

Table 2: Deviation of the predicted power from the experiments, in percent (%).

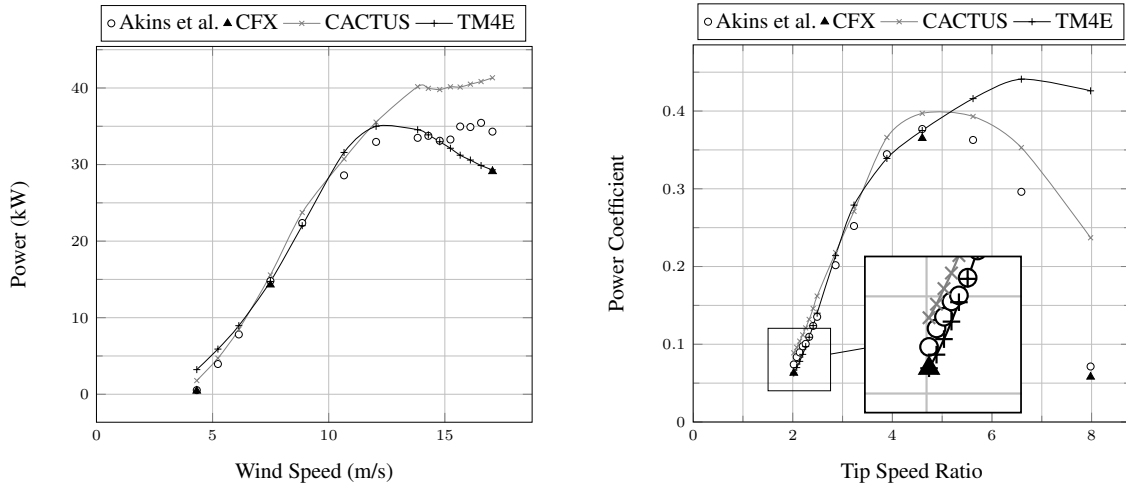


Figure 8: Comparison of power (left) and power coefficient (right) measured by Akins et al. [27] and calculated by TM4E, CACTUS and CFX.  $\Omega = 38.7\text{rpm}$

258 Figure 8 (right) presents the averaged power coefficient (CP) calculated by the different nu-  
 259 merical models and compares them with experimental data [27]. The trend of the experimental  
 260 curve is well predicted by CACTUS and CFX with a prediction of the maximum CP at TSR =  
 261 4.6 as in the experiments. However, it can be observed that CACTUS always overestimates the  
 262 power coefficient (Tab. 2), especially at high TSR. CFX underestimates the CP at every TSR (Tab.  
 263 2). TM4E gives good agreement with the experiments from TSR = 2 to 4.6 but does not predict  
 264 a decrease after TSR = 4.6. The CP predicted by TM4E is therefore significantly over-estimated  
 265 above TSR = 4.6 and the maximum CP is not predicted at the right TSR.

266 These comparisons show that the three numerical models used give a fairly good prediction  
 267 of the power (and CP) obtained at the turbine's optimal tip speed ratio. However, predictions  
 268 at low and high tip speed ratios do not have the same level of accuracy. At low TSR, the tur-  
 269 bine performance is influenced by dynamic stall effects. This 3D, highly unsteady and non-linear  
 270 phenomenon is very complicated to model which explains the differences observed between ex-  
 271 periments and calculations at low TSR. Both TM4E and CACTUS rely on a dynamic stall model  
 272 (Gormont [28] and Leishman-Bedoes [31], respectively) and CFX relies on the RANS equations,  
 273 closed by the  $k-\omega$  SST turbulence model. The validation study in Section 4 showed that the lift  
 274 is under-estimated due to the relatively coarse mesh used in this study during the stalled phase.

275 Using a finer mesh would therefore increase the output power predicted at low TSR and improve  
276 the agreement between CFD and experiments, but at a significantly higher computational cost.  
277 At high tip speed ratio, the turbine performance is influenced more by viscous effects (friction  
278 on blades) and the turbine wake also plays a key role in the power prediction. DMST methods  
279 (TM4E) have a poor description of the wake, which can explain its poor prediction at  $TSR = 7.98$ .  
280 Vortex models (CACTUS) have an inviscid description of the wake through the shedding of vortex  
281 elements every time step, which leads to a better accuracy than DMST at high TSR (Fig. 8 (right)).  
282 CFD (CFX) accounts for the viscous/turbulence effects by solving the RANS equations, leading  
283 to a much better accuracy compared to TM4E and CACTUS at high TSR.

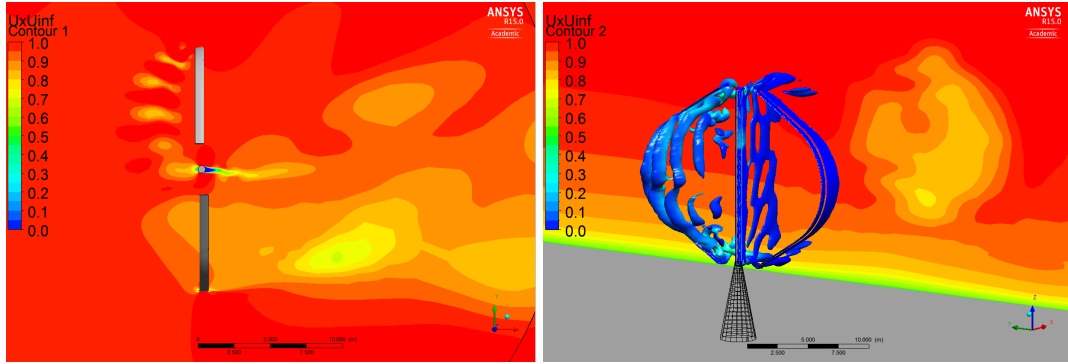
## 284 5.2. Flow field

285 Figure 9 shows the flow field around the turbine, resulting from CFD simulations, at  $TSR =$   
286  $2.02$  (Fig. 9(a)),  $TSR = 4.6$  (Fig. 9(b)) and  $TSR = 7.98$  (Fig. 9(c)). Blades are at the azimuthal  
287 angles  $\theta = 0^\circ$  and  $180^\circ$  in all pictures. Pictures on the left show the non dimensional streamwise  
288 velocity field ( $U_x/U_\infty$ ) in the equatorial plane. Pictures on the right show iso-surfaces of Q-  
289 criterion ( $Q = 40 \text{ s}^{-2}$ ) and also display the non dimensional streamwise velocity in a vertical plane  
290 located  $1.5 D$  downstream the turbine.

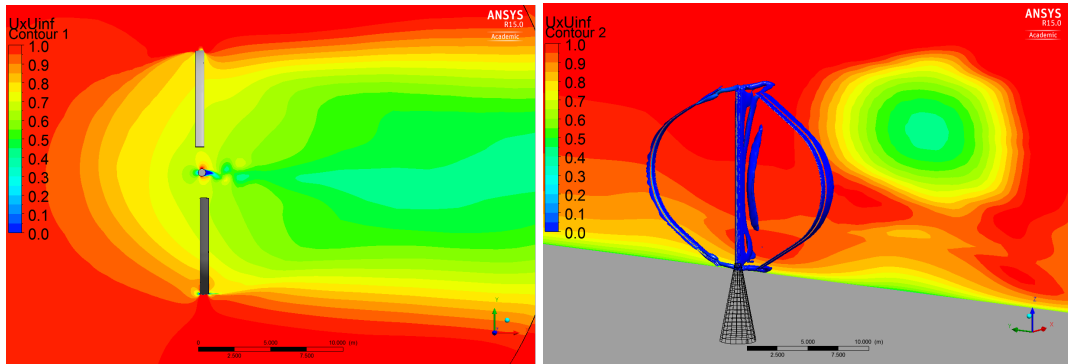
291 Figure 9(a) shows the vortex shedding occurring during the dynamic stall phase of the blades  
292 at  $TSR = 2.02$  ( $\theta \in [70^\circ, 180^\circ]$ ). Vortices are shed in the wake of the blades and convected  
293 downstream where they will interact with the blade traveling in the downstream half of the turbine.  
294 The wake of the tower can also be observed. As shown in both pictures, the wake of the turbine is  
295 not symmetrical at this TSR. The flow is slower behind the half of the turbine where blades do not  
296 experience dynamic stall ( $\theta \in [0^\circ, 70^\circ]$ ).

297 Figure 9(b) shows that the wake is almost symmetrical at  $TSR = 4.6$  and that  $1.5 D$  downstream  
298 the turbine, its shape looks like the shape of the turbine. The iso-surfaces of Q-criterion show only  
299 thin layers shed behind the blades, which suggests that the vortices shed from the turbine are much  
300 less compared to the low TSR case.

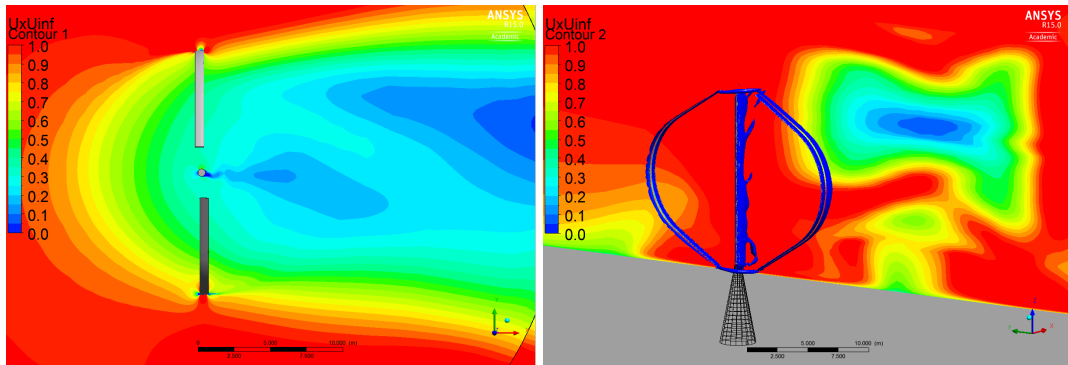
301 Figure 9(c) shows similar wake patterns to those at  $TSR = 4.6$  in the equatorial plane. However  
302 it can be noticed that the flow velocity is further reduced as the tip speed ratio increases: the lowest



(a)



(b)



(c)

Figure 9: Left: Contours of non dimensional velocity  $U_x/U_\infty$  in the equatorial plane (the turbine is rotating in the clockwise direction). Right: iso-surfaces of  $Q$ -criterion ( $Q = 40s^{-2}$ ) with a plane located  $1.5 D$  downstream (25.5m) showing contours of  $U_x/U_\infty$ . The conical tower located below the turbine has been added to the figure only for easier visual recognition of the turbine position.

$\Omega = 38.7\text{rpm}$ , (a):  $\text{TSR} = 2.02$ , (b):  $\text{TSR} = 4.6$ , (c):  $\text{TSR} = 7.98$ .

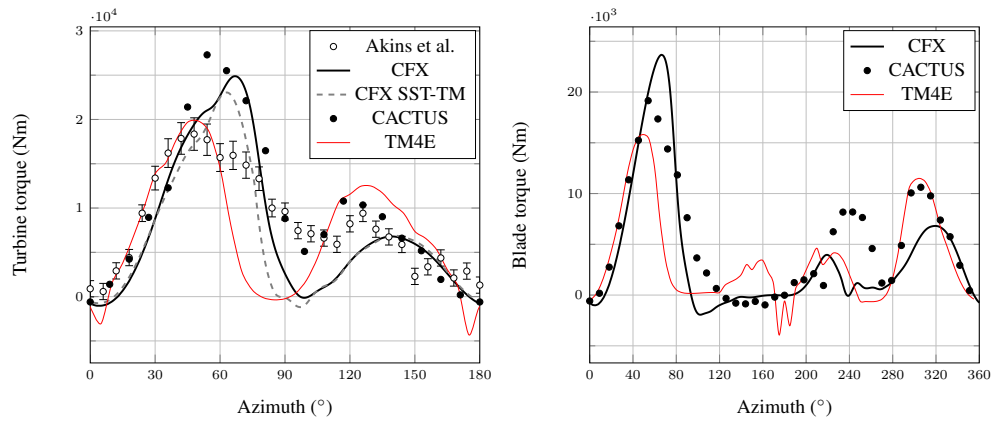


303 velocity in the wake of the turbine is about 40% of the upstream velocity ( $U_\infty$ ) at TSR = 4.6  
304 whereas it is only 20% to 30% at TSR = 7.98. Additionally, the wake observed 1.5  $D$  downstream  
305 the turbine has a different shape.

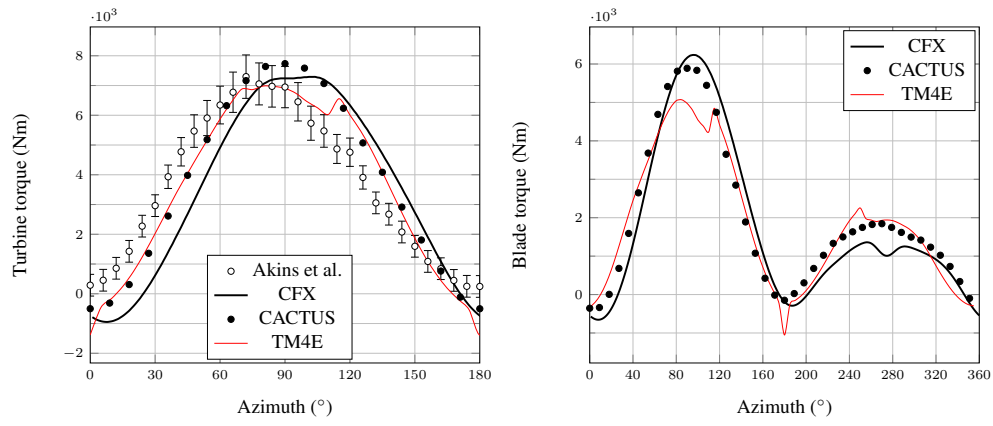
### 306 5.3. Instantaneous torque

307 Comparisons based on the averaged power and power coefficient are important but a good  
308 agreement could be obtained without predicting well the instantaneous phenomena, via cancella-  
309 tion of errors for example. To properly design the wind turbine components (blades, drive train,  
310 tower, bearings, etc.) it is essential to predict instantaneous aerodynamic forces accurately. This  
311 section compares calculations with experiments based on the instantaneous torque. Experimental  
312 data are available in term of the turbine torque (torque of the two blades together), measured for  
313 half a revolution [27]. In fact, a symmetric behavior of the turbine torque evolution was observed  
314 in the experiments for this 2-blade turbine. Figure 10 presents comparisons of the turbine torque  
315 (left) between the experiments and calculations as well as comparisons of the torque of one blade  
316 (Fig. 10 (right)) for the three numerical models. Measurements have been given with 'an estimate  
317 of accuracy of 10% of the reading or 5% of peak torque' [27]. Thus, the maximum of those two  
318 values (at a given TSR) is used to plot uncertainty bars in Fig. 10.

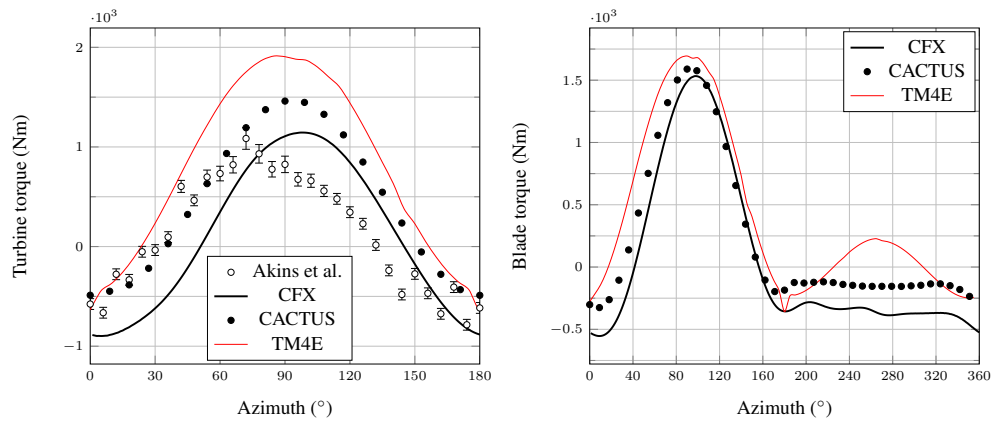
319 Figure 10(a) (left) shows the turbine torque evolution at TSR = 2.02. The calculations show the  
320 same trend as the experiments with the first peak of torque at  $\theta \sim 60^\circ$  and the second lower peak  
321 at  $\theta \sim 120^\circ$ . However, the torque amplitude and the exact locations of the peaks vary significantly  
322 for the three numerical models. TM4E predicts well the amplitude of the first peak but the torque  
323 decreases sharply right after the peak and falls to near zero values, which is not in agreement with  
324 the experiments. CACTUS significantly over-predicts the amplitude of the first peak (+48.7%)  
325 but then the torque becomes close to the experiments. CFX gives similar results to CACTUS for  
326 the first peak. The overestimation of the amplitude is lower (+35.6%) but the peak is delayed by  
327  $20^\circ$  of azimuth. Then, the torque decreases sharply to reach zero around  $\theta = 100^\circ$ . It increases  
328 again to reach the second peak at  $\theta = 140^\circ$ . Torque values are close to the experiments from  
329  $\theta = 140^\circ$  to  $\theta = 180^\circ$ . Most of the predictions are outside the uncertainty range given in [27].  
330 However, it should be noted that the standard deviation of the measurements, although not given



(a)



(b)



(c)

Figure 10: Comparisons between measurements made by Akins et al. [27] and calculations with TM4E, CACTUS and CFX for the turbine torque (left) and the torque of one blade (right).

$\Omega = 38.7\text{rpm}$ , (a):  $\text{TSR} = 2.02$ , (b):  $\text{TSR} = 4.6$ , (c):  $\text{TSR} = 7.98$ .

331 at this particular rotational speed, is expected to be significant [27].

332 An additional CFD simulation has been run at this low tip speed ratio with the  $\gamma-Re_\theta$  transition  
333 model (referred to as SST-TM). As mentioned in Section 3.3.2, taking into account the laminar-  
334 turbulent transition was found to improve the results at low tip speed ratio in previous studies [16]  
335 by improving the prediction of the dynamic stall. In the present case, the SST-TM calculation  
336 deviates from the original calculation from  $\theta = 40^\circ$  to  $\theta = 100^\circ$ . The maximum torque is lower  
337 than in the original calculation (+25.6% compared to the experiment) and is predicted  $15^\circ$  later  
338 than in the experiment. The sharp decrease of the torque is similar to the original calculation but  
339 occurs earlier, leading to a lower averaged torque. The resulting power is therefore lower than the  
340 original case, i.e. using a transition model does not improve the result for the present low tip speed  
341 ratio case. It should however be noted that the relatively low mesh refinement used in this study  
342 does not allow to achieve full potential of the transition model.

343 Figure 10(a) (right) shows the instantaneous torque of one blade plotted for a full revolution.  
344 The torque in the downstream half of the turbine is lower than in the upstream half since the flow  
345 has been slowed down by the blades in the upstream half. The wind speed at the center of the  
346 turbine is lower, meaning that both relative wind speed magnitude and blades' angle of attack are  
347 lower in the downstream half than in the upstream half. This explains why less torque is produced.  
348 However, significant differences can be observed between the three numerical models. The first  
349 peak of torque, occurring at  $\theta \sim 60^\circ$ , is predicted higher with CFX than with CACTUS and TM4E.  
350 This first peak is also predicted at different azimuth with the different models. The blade is thus  
351 predicted to stall at  $\theta = 50^\circ$  with TM4E,  $\theta = 54^\circ$  with CACTUS and  $\theta = 66^\circ$  with CFX. A deep  
352 stall is predicted with CFX as can be seen from the very sharp decrease of torque right after the  
353 stall. TM4E and CACTUS present a slightly lighter stall. Also, the three calculations predict two  
354 peaks of torque in the second half of the revolution. CACTUS predicts two peaks of similar value  
355 (half the peak value of the upstream half). CFX predicts two peaks of lower amplitude compared  
356 to CACTUS. TM4E predicts the first peak with a similar amplitude to CFX and the second peak  
357 with a similar amplitude to CACTUS. All numerical models predict a very low torque around  
358  $\theta = 270^\circ$ . The wide range of azimuth at which the torque is low in CFX seems to indicate that this  
359 is not only due to the wake of the tower.

360 Figure 10(b) (left) presents the turbine torque at  $TSR = 4.6$ . The evolution of the torque is  
361 much simpler than at  $TSR = 2.02$  since the higher TSR leads to lower angles of attack for the  
362 blades and therefore they do not experience stall during the rotation. All calculations reproduce  
363 fairly well the experiments. However, the torque predicted by the calculations is shifted toward  
364 higher azimuthal angles ( $+9^\circ$  for CACTUS and TM4E and  $+17^\circ$  for CFX). It should be noted that  
365 torque measurements are given with a  $\pm 6^\circ$  accuracy 'at best' for the azimuthal angle [27]. The  
366 maximum torque is well predicted with CFX, slightly overestimated with CACTUS and slightly  
367 underestimated with TM4E. All predictions of the maximum torque fall within the uncertainty  
368 range of the measurements but the minimum torque is slightly under predicted. Figure 10(b) (right)  
369 compares the torque of one blade computed by TM4E, CACTUS and CFX. The three models  
370 predict a high peak of torque in the upstream half and a lower peak of torque in the downstream  
371 half. CFX predicts a slightly higher torque than CACTUS in the first half and a slightly lower  
372 torque in the second half. TM4E predicts strange secondary peaks at  $\theta = 110^\circ$ ,  $\theta = 180^\circ$  and  
373  $\theta = 250^\circ$  that may be due to the dynamic stall model. TM4E also predicts a lower torque for  
374 the first peak compared to CFX and CACTUS. CFX shows a small decrease of the torque around  
375  $\theta = 270^\circ$  that is due to the wake of the tower, as seen in Fig. 9(b). This phenomenon is responsible  
376 for the plateau observed in the turbine torque in both CFX and the experimental curves (Fig. 10(b)  
377 (left)). Although taking the wake of the tower into account, TM4E and CACTUS do not capture  
378 this phenomenon.

379 Figure 10(c) (left) shows the turbine torque at  $TSR = 7.98$ . The torque evolution is similar to  
380 the one observed at  $TSR = 4.6$  but the maximum torque reached is much lower at  $TSR = 7.98$ . The  
381 predictions of TM4E, CACTUS and CFX are significantly different at this TSR. TM4E predicts the  
382 peak torque 76% higher than the experimental one. CACTUS calculations are in good agreement  
383 with the experiments from  $\theta = 0^\circ$  to  $\theta = 60^\circ$  but significantly overestimate the torque beyond; the  
384 peak torque is 32% higher than the experimental one. CFX gives the best prediction regarding the  
385 torque amplitude: the peak torque is only 6% higher than the experimental one (which is within  
386 the range of the measurement uncertainty). However, the torque calculated by CFX is shifted  
387 by  $18^\circ$  toward the higher azimuthal angles compared to the experiments. Figure 10(c) (right)  
388 compares the torque of one blade computed at  $TSR = 7.98$ . From  $\theta = 0^\circ$  to  $\theta = 90^\circ$ , TM4E

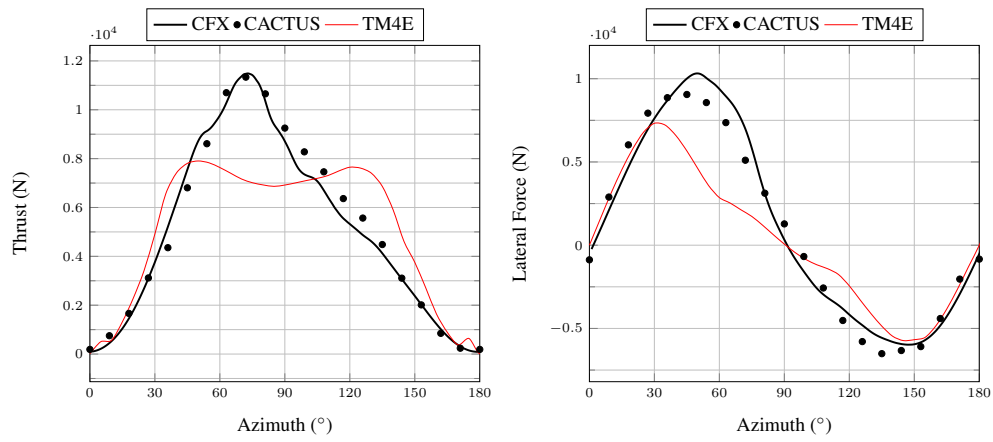
389 predicts a higher torque than CACTUS, which predicts a higher torque than CFX. From  $\theta = 90^\circ$   
390 to  $\theta = 180^\circ$ , the agreement between the three models is good. The main differences are observed  
391 in the downstream half of the turbine: the torque predicted by TM4E reaches positive values while  
392 CACTUS and CFX predict an almost constant negative torque. The torque predicted by CFX is  
393 lower. The comparison with the experiments in Figure 10(c) (left) confirms that CFX is more  
394 accurate than CACTUS which is more accurate than TM4E.

#### 395 5.4. Thrust and lateral force

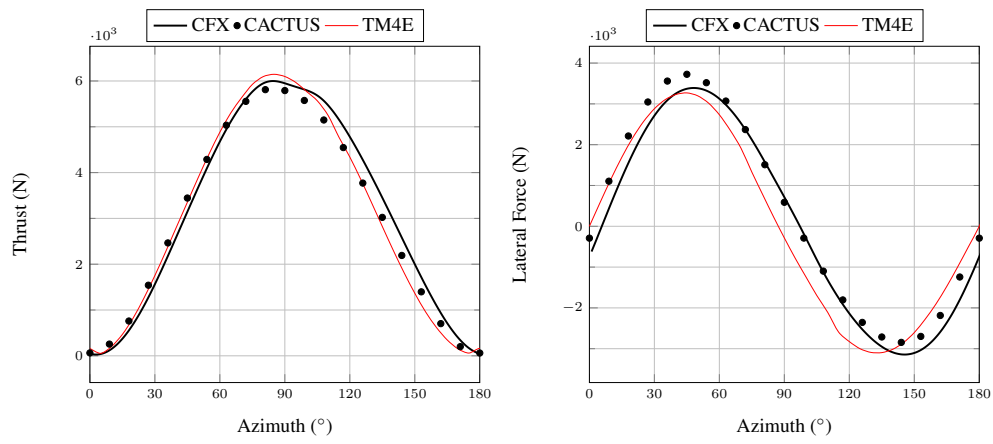
396 The aim of this section is to compare the predictions of thrust (x direction) and lateral (y  
397 direction) forces obtained with the three models used in this study, although no experimental data  
398 are available for comparison. These forces are important for the structural design of the turbine  
399 (tower, bearings, foundations, etc.). Figure 11 presents the instantaneous thrust force (in the left  
400 column) and the instantaneous lateral force (in the right column) at TSR = 2.02 (Fig. 11(a)), TSR  
401 = 4.6 (Fig. 11(b)) and TSR = 7.98 (Fig. 11(c)). Results are plotted for half a revolution only  
402 because of the symmetric behavior observed for the 2-bladed turbine.

403 Figure 11(a) (left) shows that CFX and CACTUS predict very similar thrust forces at TSR  
404 = 2.02. Both predictions show a single peak of same amplitude, reaching its maximum value  
405 at  $\theta = 70^\circ$ . TM4E however predicts two peaks of lower amplitude at  $\theta = 50^\circ$  and  $\theta = 125^\circ$ .  
406 The maximum thrust predicted by TM4E is 30% lower than the one predicted by CACTUS and  
407 CFX. Figure 11(a) (right) compares the lateral forces calculated. CFX and CACTUS predict a  
408 sinusoidal-like variation of the lateral force. The maximum force calculated by CACTUS is 12%  
409 lower than the one calculated by CFX. TM4E deviates from this sinusoidal shape, especially in the  
410 first half of the revolution, and predicts a maximum force 29% lower than CFX. The lateral force  
411 is in the positive y-direction from  $\theta = 0^\circ$  to  $\theta = 90^\circ$  and in the negative y direction from  $\theta = 90^\circ$   
412 to  $\theta = 180^\circ$ .

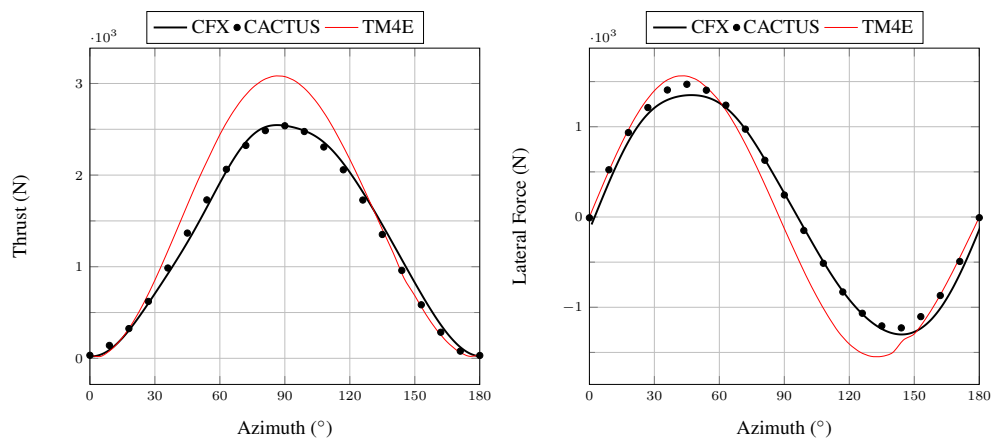
413 Figure 11(b) (left) shows that all models predict a very similar thrust at the optimal TSR. Figure  
414 11(b) (right) shows that the agreement between the three models is not as good for the lateral force  
415 as for the thrust force. The amplitude of the force is very similar for the three models but TM4E  
416 results are slightly shifted toward the lower azimuthal angles compared to CACTUS and CFX.



(a)



(b)



(c)

Figure 11: Thrust (left) and lateral force (right),  $\Omega = 38.7\text{rpm}$ .

(a):  $\text{TSR} = 2.02$ , (b):  $\text{TSR} = 4.6$ , (c):  $\text{TSR} = 7.98$ .

417 Figure 11(c) (left) indicates that both CACTUS and CFX give a very similar thrust evolution  
418 even at the highest TSR (TSR = 7.98). However, TM4E predicts a peak thrust 16% higher than  
419 CFX. CFX and CACTUS predictions of the lateral force also agree well at this TSR (Fig. 11(c)  
420 (right)) but TM4E deviates from the other results from  $\theta = 70^\circ$  to  $\theta = 140^\circ$ .

421 These comparisons of thrust and lateral forces show that CFX and CACTUS give very similar  
422 results at low, optimal and high TSR. However, TM4E agrees well with the other models only at  
423 the optimal TSR and deviates significantly at low and high TSR.

## 424 6. Conclusions

425 A Double Multiple Streamtube model (TM4E), a free Vortex model (CACTUS) and a CFD  
426 code (CFX) have been used to compute the instantaneous torque, thrust and lateral forces as well  
427 as the averaged power generated by the full scale Sandia 17m Vertical Axis Wind Turbine (second  
428 version, unstrutted). The numerical results have been compared with existing experimental data of  
429 power and instantaneous torque. The results show that the three methods give very similar results  
430 and agree well with the experimental data for the turbine operating at its optimal (medium) tip  
431 speed ratio. However, at a high tip speed ratio where friction and wake effects are significant,  
432 major differences in power, torque and thrust are observed. CFD leads to the best agreement  
433 with the experiments. CACTUS predicts similar thrust and lateral forces to CFX but over-predicts  
434 torque and power. TM4E tends to significantly over-predict power, torque and the forces. At a low  
435 tip speed ratio, where blades experience dynamic stall, differences between the calculations and  
436 experiments as well as between the different numerical models themselves are large. A separate  
437 CFD analysis of a pitching airfoil carried out in this study suggested that the CFD results at the  
438 low tip speed ratio could be closer to the experiments if the mesh was refined. This would however  
439 increase significantly the computational cost for the full scale turbine simulation. It should also  
440 be noted that the experimental results themselves contain a certain degree of uncertainties. The  
441 comparisons made in this study are thus more qualitative than quantitative.

442 Overall, the results presented in this paper show that DMST models should be used carefully  
443 since they can fail to predict the optimal tip speed ratio and can also overestimate or underestimate  
444 turbine torque and thrust forces depending on the operating condition. They are also based on

445 some adjustable parameters (for the dynamic stall model, for example) that have been calibrated  
446 for a certain set of experiments. It is therefore difficult to use these models for new shapes of  
447 turbines. The free-wake vortex model yielded much better results. Thrust and lateral forces agree  
448 well with those predicted by CFD and the agreement with the experimental power and torque is  
449 also better than the DMST model. It seems to be a useful method for designing vertical axis wind  
450 turbines because of its favorable compromise between accuracy and computational cost. CFD  
451 provided the best results at high and medium (optimal) tip speed ratios, at the expense of a high  
452 computational cost. Also, CFD can give valuable information about the flow field around the  
453 turbine, such as the wake, shed vortices and the deflection of the flow around the turbine. The  
454 agreement with experiments at the low tip speed ratio could be improved by refining the mesh,  
455 although the dynamic stall is known to be a limitation of RANS CFD and would not be predicted  
456 accurately even with a very fine mesh.

## 457 **7. Acknowledgements**

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## Nomenclature

### Acronyms

|        |  |
|--------|--|
| AoA    | Angle of Attack [°]                      |
| CFD    | Computational Fluid Dynamics             |
| HAWT's | Horizontal Axis Wind Turbines            |
| LES    | Large Eddy Simulation                    |
| SST    | Shear Stress Transport                   |
| TSR    | Tip Speed Ratio ( $=\Omega R/U_\infty$ ) |
| URANS  | Unsteady Reynolds-Averaged Navier Stokes |
| VAWT's | Vertical Axis Wind Turbines              |

### Greek symbols

|          |   |
|----------|---|
| $\mu$    | dynamic viscosity [kg/(m.s)]            |
| $\mu_t$  | turbulent dynamic viscosity [kg/(m.s)]  |
| $\nu$    | kinematic viscosity [m <sup>2</sup> /s] |
| $\Omega$ | turbine rotational speed [rpm]          |
| $\rho$   | density [kg/m <sup>3</sup> ]            |
| $\sigma$ | solidity ( $=NcL/A_S$ )                 |
| $\theta$ | azimuthal angle [°]                     |

460

### Symbols

|            |   |
|------------|---|
| $A_S$      | turbine swept area [m <sup>2</sup> ]                          |
| $c$        | blade chord length [m]  |
| $D$        | turbine diameter [m]  |
| $L$        | blade length [m]  |
| $N$        | number of blades  |
| $P$        | turbine mechanical power [W]                                  |
| $R$        | turbine radius [m]  |
| $U_x$      | streamwise wind speed [m/s]                                   |
| $U_\infty$ | free stream velocity [m/s]                                    |
| $y^+$      | dimensionless wall distance<br>( $=yU_\tau/\nu$ )             |
| $z$        | height above ground [m]                                       |
| $Z_{ref}$  | turbine mid height [m]  |
| $C_D$      | drag coefficient  |
| $C_L$      | lift coefficient  |
| $CP$       | power coefficient<br>( $=P/(0.5 * \rho * A_S * U_\infty^3)$ ) |
| $Re$       | chord based Reynolds number<br>( $=U_\infty * c/\nu$ )        |

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