

# Assessing the joined impact of DNAPL source-zone behavior and degradation products on the probabilistic characterization of human health risk

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## Abstract

The release of industrial contaminants into the subsurface has led to a rapid degradation of groundwater resources. Contamination caused by Dense Non-Aqueous Phase Liquids (DNAPLs) is particularly severe owing to their limited solubility, slow dissolution and in many cases high toxicity. A greater insight into how the DNAPL source zone behavior and the contaminant release towards the aquifer impact human health risk is crucial for an appropriate risk management. Risk analysis is further complicated by the uncertainty in aquifer properties and contaminant conditions. This study focuses on the impact of the DNAPL release mode on the human health risk propagation along the aquifer under uncertain conditions. Contaminant concentrations released from the source zone are described using a screening approach with a set of parameters representing several scenarios of DNAPL architecture. The uncertainty in the hydraulic properties is systematically accounted for by high-resolution Monte Carlo simulations. We simulate the release and the transport of the chlorinated solvent perchloroethylene and its carcinogenic degradation products in randomly heterogeneous porous media. The human health risk posed by the chemical mixture of these contaminants is characterized by the low-order statistics and the probability density function of common risk metrics. We show that the zone of high risk (hot spot) is independent of the DNAPL mass release mode, and that the risk amplitude

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is mostly controlled by heterogeneities and by the source zone architecture. The risk is lower and less uncertain when the source zone is formed mostly by ganglia than by pools. We also illustrate how the source zone efficiency (intensity of the water flux crossing the source zone) affects the risk posed by an exposure to the chemical mixture. Results display that high source zone efficiencies are counter-intuitively beneficial, decreasing the risk because of a reduction in the time available for the production of the highly toxic sub-species.

*Keywords:* Dense Non-Aqueous Phase Liquids, Source-Zone, Mass Release Mode, Aquifer heterogeneity, Probabilistic Human Health Risk, Degradation-related Chemical Mixture

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## 1. Introduction

Contaminant source zones are often complex and subject to uncertainty. The uncertainty arises from our lack of knowledge of the solute distribution in the contaminated area and of the volumetric discharge crossing the source zone (e.g. Jarsj et al., 2005; Troldborg et al., 2010; Koch and Nowak, 2015). It is well known that source zone architecture and the hydraulic conditions in its vicinity have a significant impact on the down-gradient solute transport (de Barros and Nowak, 2010; Brusseau, 2013). Understanding the release conditions of a contaminant into the subsurface and how it affects the potential exposure of humans to noxious chemicals is essential for an accurate polluted groundwater management.

In this paper, we focus on the effects of Dense Non-Aqueous Phase Liquids (DNAPLs) source characterization on transport and related human health risk propagation into heterogeneous porous media. Subsurface contamination by Dense Non-Aqueous Phase Liquids (DNAPLs) constitutes a major environmental issue given its frequency and the spatiotemporal complexity of its transfer into the groundwater (Cohen and Mercer, 1993). DNAPLs are quasi-immiscible fluids with a density exceeding that of water. These specific properties are often synonymous with a slow release of mass into the aquifer due mainly to a slow dissolution process. The rate of mass transferred from a source zone into the solute plume is controlled by a complex set of parameters, such as the specific chemico-physical characteristics of the DNAPL, the heterogeneity in the local water flux and the DNAPL spatial distribution and saturation (Pankow and Cherry, 1996; Brusseau, 2013).

25 The spatiotemporal behavior of DNAPL mass discharge has been doc-  
 26 umented and different approaches have been adopted to link source zone  
 27 architecture metrics to mass discharge behavior (e.g. Fure et al., 2006; Page  
 28 et al., 2007; Liu et al., 2014). Because of the multiprocess nature of DNAPL  
 29 problems, complex multi-phase numerical methods are commonly used to  
 30 simulate the dissolution of DNAPL and the intensity of its release into the  
 31 groundwater (Abriola and Pinder, 1985; Kueper et al., 1989; Kokkinaki et al.,  
 32 2013; Koch and Nowak, 2015). However, their application has been limited  
 33 to purely theoretical purposes because of their computational cost associated  
 34 with complex non-linear equations and the need for a fine characterization of  
 35 the source zone spatial variability. From a practical point of view, it is help-  
 36 ful to make use of the low computational cost of integrative and empirical  
 37 upscaled mass transfer relationship tested in the literature (Rao et al., 2001;  
 38 Rao and Jawitz, 2003; Parker and Park, 2004; Zhu and Sykes, 2004; DiFil-  
 39 ippo and Brusseau, 2008; Kokkinaki et al., 2014). These methods highlight  
 40 the main characteristics of DNAPL mass discharge by linking the DNAPL  
 41 source strength to the DNAPL mass remaining in the source zone (Falta  
 42 et al., 2005). The simplicity of this approach lies in the conceptualization  
 43 of the source zone as a control plane from which the temporal evolution of  
 44 the contaminant fluxes is simulated using integrative parameters in line with  
 45 the architecture of the DNAPL. Moreover, in accordance with Soga et al.  
 46 (2004), this screening approach seems to be more suited to evaluating the  
 47 risk down-gradient when compared with a management strategy based on  
 48 source zone monitoring.

49 DNAPLs are in most cases chemically complex industrial compounds that  
 50 cause proven or suspected deterioration of human metabolisms (Pankow and  
 51 Cherry, 1996). The specific risk management related to this type of subsur-  
 52 face contamination demands the evaluation of their consequences on health.  
 53 The management of contaminated aquifers is often based on maintaining an  
 54 estimated risk to health below an acceptable or legally mandatory thresh-  
 55 old. However, subsurface pollution, because of its multi-parameter nature, is  
 56 complex to characterize and is markedly affected by several sources of uncer-  
 57 tainty. Probabilistic risk assessment methods for groundwater contamination  
 58 incorporate hydrogeological uncertainty in the threat quantification (e.g. An-  
 59 drićević and Cvetković, 1996; Maxwell and Kastenberg, 1999; de Barros and  
 60 Rubin, 2008; Andricevic et al., 2012; Tartakovsky, 2013). This constitutes a  
 61 robust support for risk assessors, i.e. (1) to quantify the aquifer locations and  
 62 temporal windows where the risk to health is mostly expected to exceed a reg-

ulatory threshold (Tartakosky, 2007; Henri et al., 2015); (2) to optimize the location of necessary monitoring intensification (James and Gorelick, 1994; Maxwell and Kastenberg, 1999; Fernàndez-Garcia et al., 2012); and (3) to optimize the allocation of resources for uncertainty reduction (de Barros et al., 2009). The impact of chemical reactions on the risk to health has recently been assessed (Benekos et al., 2006; Siirila and Maxwell, 2012; Atchley et al., 2013; Henri et al., 2015). However, the influence of source zone behaviors on health risk propagation remains to be investigated in depth. The works of de Barros and Nowak (2010) and Troldborg et al. (2010) have established a strong correlation between the mode of the source zone release condition and the uncertainty of plume predictions. Henri et al. (2015) showed furthermore that mass injection modes have a significant effect on human health risk estimations. There is therefore a need to allocate research efforts to improve our understanding of the significance of source zone release conditions on the risk to human health.

Our work seeks to characterize the impact of DNAPL mass release on the spatiotemporal evolution of the threat to health expressed in terms of the most frequently used risk metrics. To this end, a consequent computational effort was produced to simulate the transfer, transport and fate of a DNAPL into a finely discretized three-dimensional aquifer. Furthermore, we utilized a stochastic framework to incorporate the effects of uncertainty in the hydraulic properties of the aquifer. Sections 2 and 3 detail, respectively, the problem and the methods adopted to solve the reactive transport and to simulate the DNAPL mass release. Section 4 analyzes the impact of the DNAPL mass release on the human health risk through the spatial characterization of its lower-order statistics and probability density functions. To conclude, we show that the water flux crossing the source zone exerts a strong influence on the effective health risk due to a mixture of interdependent reactive chemicals.

## 2. Problem Statement

The study focuses on a subsurface contamination by the chlorinated solvent perchloroethylene (PCE), a well-known DNAPL that is responsible for considerable groundwater contamination in industrialized societies (Fay and Mumtaz, 1996; McGuire et al., 2004). The chlorinated solvent is originally trapped and is dissolved and transferred into the aquifer from a source zone. Interestingly, the solute form of PCE initiates a successive dechlorination under the anaerobic conditions assumed in our synthetic aquifer (Jain



99 and Criddle, 1995; McCarty, 1997). This will lead to the formation of the  
100 degradation product trichloroethylene (TCE), which will be transformed into  
101 dichloroethylene (DCE), which will be successively reduced into vinyl chloride  
102 (VC), which will finally lose the remaining chloride atom to become the non-  
103 toxic ethene. The decontamination of the site is then achieved when the re-  
104 ductive dechlorination chain is completed. However, the parent species PCE  
105 and its three sub-products TCE, DCE and VC present a potential risk to hu-  
106 man health that needs to be monitored (Environmental Protection Agency,  
107 1997). PCE, TCE and DCE are indeed suspected of being carcinogenic and  
108 VC is a confirmed carcinogenic agent. When dechlorination is initiated, the  
109 parent and daughter species form a chemical mixture composed of chemicals  
110 with different toxicities (Environmental Protection Agency, 2000). The risk  
111 management of the contaminated aquifer must therefore consider the spa-  
112 tiotemporal behavior of the four compounds that can be simulated under  
113 the form of the sequential reaction  $\text{PCE} \rightarrow \text{TCE} \rightarrow \text{DCE} \rightarrow \text{VC} \rightarrow \text{ethene}$ .  
114 The potential risk to human health due to this chemical mixture (effective  
115 risk) was characterized statistically and accounts for uncertainties in the hy-  
116 draulic conductivity fields through a Monte Carlo scheme. This probabilistic  
117 approach of human health risk estimation was adopted for different modes  
118 of DNAPL mass release.

## 119 *2.1. Flow and Reactive-Transport Model*

120 In this paper, we modeled flow and reactive transport numerically. We  
121 considered a three-dimensional (3D) confined aquifer. The flow in the syn-  
122 thetic aquifer was constrained by a constant head at the longitudinal ends,  
123 and no-flow at the top and bottom of the domain. Steady state flow con-  
124 ditions were assumed. The spatially variable 3D flow field was solved by  
125 applying Darcy's law:

$$\mathbf{q}(\mathbf{x}) = -K(\mathbf{x})\nabla h(\mathbf{x}), \quad (1)$$

126 where  $\mathbf{q}$  [ $\text{m d}^{-1}$ ] is the specific discharge,  $h$  [m] is the hydraulic head and  $K$   
127 [ $\text{m d}^{-1}$ ] is the locally isotropic hydraulic conductivity at the given location  
128  $\mathbf{x}$ . The spatial variability of  $K$ , consequently the specific discharge, was  
129 regarded as uncertain.

130 The sequential reductive dechlorination of the solvents PCE, TCE, DCE  
131 and VC was approximated by a serial first-order decay reaction network  
132 (Clement, 2001; Cunningham and Mendoza-Sanchez, 2006). Earlier research  
133 has shown that this system is able to approximate more complex biodegra-  
134 dation models such as the Michaelis-Menten model when concentrations are

135 lower than the Michaelis-Menten rate. The serial network can be mathemat-  
 136 ically expressed by the following system of partial differential equations

$$\begin{aligned} \phi \mathcal{R}_1 \frac{\partial C_1}{\partial t} - \nabla \cdot (\phi \mathbf{D} \nabla C_1) + \nabla \cdot (\mathbf{q} C_1) &= -k_1 \phi C_1 + s(\mathbf{x}, t), \\ \phi \mathcal{R}_i \frac{\partial C_i}{\partial t} - \nabla \cdot (\phi \mathbf{D} \nabla C_i) + \nabla \cdot (\mathbf{q} C_i) &= y_i k_{i-1} \phi C_{i-1} - k_i \phi C_i, \quad \forall i = 2, 3, 4, \end{aligned} \quad (2)$$

137 where  $\phi$  is the porosity, and  $\mathbf{D}$  [ $\text{m}^2 \text{d}^{-1}$ ] is the hydrodynamic dispersion  
 138 tensor. For each species  $i$  ( $i=1$ :PCE;  $i=2$ :TCE;  $i=3$ :DCE and  $i=4$ :VC),  $\mathcal{R}_i$   
 139  $[-]$  is the retardation factor,  $C_i$  [ $\text{g} \cdot \text{m}^{-3}$ ] is the resident concentration in the  
 140 liquid phase,  $k_i$  [ $\text{d}^{-1}$ ] is the first-order decay rate constant, and  $y_{ij}$  [ $\text{mol} \cdot \text{mol}^{-1}$ ]  
 141 is the effective yield coefficient for any reactant or product pair, i.e. the ratio  
 142 of mass of species  $i$  generated to the amount of mass of species  $j$  consumed.  
 143 Sorption reactions were assumed to be in local equilibrium and to follow  
 144 a linear sorption isotherm. The concentration temporal evolution of the  
 145 parent species PCE is affected by a degradation term (decay) and by the  
 146 source term  $s(\mathbf{x}, t)$  [ $\text{g} \cdot \text{m}^{-3} \cdot \text{d}^{-1}$ ], reflecting the mass of dissolved contaminant  
 147 released from the source zone. This source dissolution rate can be derived  
 148 from  $c_s$ , the concentration of the released contaminant (here PCE) as

$$s(\mathbf{x}, t) = q_{sz} c_s(t) \delta(x - x_{inj}) \Omega(\mathbf{x} \in A_{sz}), \quad (3)$$

149 where  $q_{sz} = Q_{sz}/A_{sz}$ , when  $Q_{sz}$  is the total flow passing through the source  
 150 zone area  $A_{sz}$ .  $\Omega(\mathbf{x} \in A_{sz})$  is a binary indicator function that equals one if  
 151  $\mathbf{x} \in A_{sz}$  and zero otherwise. In case of DNAPL contamination, the key point  
 152 of a good predictive model is to accurately represent the source dissolution  
 153 rate  $c_s(t)$ .

## 154 2.2. DNAPL Mass Release Models

155 As mentioned in the introduction, the intrinsic complexity of the spa-  
 156 tiotemporal behavior of DNAPLs mass depletion can be conceptualized by  
 157 diverse methods. In this study, we used upscaled models to simulate the de-  
 158 pletion of PCE. These methods simplify the complex joint interplay between  
 159 aquifer and source architecture, flow velocity and mass flux by assuming a  
 160 complete mixing of the DNAPL plume leaving the downstream edge of the  
 161 source zone. By their conceptual simplicity and low computational cost, up-  
 162 scaled models represent a practical alternative to computationally demanding  
 163 multiphase and more finely resolved models (e.g. Koch and Nowak, 2015).

164 *A simple mass transfer model.* We made first use of the commonly employed  
 165 power law empirical model proposed by Rao et al. (2001) and Parker and Park  
 166 (2004). This method describes the temporal evolution of the normalized flux-  
 167 averaged concentrations of the contaminant leaving a control plane located  
 168 at the edge of the source zone as a power law of the normalized mass of  
 169 DNAPL remaining in the source zone (see Figure 1). Mathematically, this is  
 170 expressed as:

$$\frac{c_s(t)}{c_0} = \left( \frac{m(t)}{m_0} \right)^\Gamma, \quad (4)$$

171 where  $c_0$  is the initial flux-averaged concentration of the released contami-  
 172 nant (here PCE),  $m$  is the mass of DNAPL remaining in the source zone  
 173 with initial value  $m_0$ . The temporal evolution of the DNAPL discharge in  
 174 the aquifer is controlled by the empirical power exponent  $\Gamma$ . This integrative  
 175 exponent reflects the shape of the source discharge response to a changing  
 176 source mass, which is controlled by the DNAPL architecture, the heterogene-  
 177 ity of the flow field and by the correlation between heterogeneity and DNAPL  
 178 saturation (Rao and Jawitz, 2003). Typically, a  $\Gamma$  lower than one is related to  
 179 a source discharge increasing rapidly for small increases in the source mass.  
 180 This large initial mass transfer is characteristic of the prominence of pool and  
 181 lenses in the DNAPL source zone. By contrast, a  $\Gamma$  larger than one demands  
 182 a large decrease in mass to significantly increase the source concentration,  
 183 which reflects the prominence of finger or ganglia characterized by a small  
 184 initial mass transfer coefficient.

185 For a flow rate passing through the source zone assumed to be constant,  
 186 the time dependence of the source concentration can be expressed as (Falta  
 187 et al., 2005):

$$c_s(t) = \frac{c_0}{m_0^\Gamma} \left\{ \frac{-Q_{sz}c_0}{\lambda_s m_0^\Gamma} + \left( m_0^{1-\Gamma} + \frac{Q_{sz}c_0}{\lambda_s m_0^\Gamma} \right) e^{(\Gamma-1)\lambda_s t} \right\}^{\frac{\Gamma}{1-\Gamma}}, \quad (5)$$

188 where  $\lambda_s$  is the biodegradation rate observed in the source zone.

189 *A two-domain mass transfer model.* Field experiments have shown that the  
 190 prior conceptualization of the source zone by either pool or ganglia can be  
 191 oversimplified and inaccurate (Anderson et al., 1992; Sale and McWhorter,  
 192 2001). Indeed, in many cases the two kinds of DNAPL architecture may be  
 193 present in the source zone and may significantly affect the contaminant mass

194 transfer into the solute plume. A more appropriate mixture of low saturation  
 195 ganglia and high-saturation pools would lead to (1) an intense mass release  
 196 at short times due to the characteristic high initial mass transfer of pools and  
 197 (2) to a subsequent mass release of moderate intensity due to the presence  
 198 of ganglia. As introduced by Christ et al. (2010), this particular behavior  
 199 can be conceptualized by a two-domain style model. The respective source  
 200 concentration related to both ganglia and pool in the source zone is expressed  
 201 as

$$\frac{c_s^{(g)}(t)}{c_0^{(g)}} = \left( \frac{m^{(g)}(t)}{m_0^{(g)}} \right)^{\Gamma^g}, \text{ and } \frac{c_s^{(p)}(t)}{c_0^{(p)}} = \left( \frac{m^{(p)}(t)}{m_0^{(p)}} \right)^{\Gamma^p}, \quad (6)$$

202 where the superscript  $(g)$  and  $(p)$  of the source flux-averaged concentration  
 203  $(c_s)$ , remaining masses  $(m)$ , and power law exponent  $(\Gamma)$  refer, respectively,  
 204 to the ganglia and pools.

205 The relative mass leaving the source due to pools and ganglia is mainly  
 206 controlled by the proportion of water flux crossing the two types of DNAPL  
 207 architecture. The effective source concentration will evolve in time following:

$$c_s(t) = \frac{Q_{sz}^{(g)} c_s^{(g)} + Q_{sz}^{(p)} c_s^{(p)}}{Q_{sz}^{(g)} + Q_{sz}^{(p)}}, \quad (7)$$

208 where  $Q_{sz}^{(g)}$  and  $Q_{sz}^{(p)}$  are the flow passing through the ganglia and pools form-  
 209 ing the source zone area (i.e.,  $Q_{sz} = Q_{sz}^{(g)} + Q_{sz}^{(p)}$ ). Thus, the source concen-  
 210 tration can be expressed as a function of the fraction of pool ( $f_p$ ) and ganglia  
 211 ( $f_g$ ) within the source zone:

$$c_s(t) \approx c_s^{(g)} f_g + c_s^{(p)} f_p, \quad (8)$$

212 where  $f_p + f_g = 1$ .

213 While conceptualizing the source zone as a mixture of pools and ganglia,  
 214 it is useful to express the fraction of pool and ganglia in the source zone in  
 215 terms of their ratio, i.e.  $GTP \equiv f_g/f_p = f_g/(1 - f_g)$  (Christ et al., 2005).  
 216 The temporal evolution of the source concentration (8) is then expressed as  
 217 a function of a single metric by:

$$c_s(t) \approx \frac{c_s^{(g)} GTP + c_s^{(p)}}{GTP + 1}. \quad (9)$$

218 *2.3. Human Health Risk Metrics*

219 Stake-holders and regulators often base remediation monitoring and pop-  
 220 ulation protection on maintaining the estimated risk below a threshold with  
 221 respect to the metric used. We analyzed two frequently employed metrics  
 222 for decision making: (1) the Increased lifetime Cancer Risk (ILCR), and (2)  
 223 the exceedance of Maximum Contaminant Levels (MCL). As explained in  
 224 de Barros et al. (2012), multiple risk metrics are needed to better inform  
 225 decision makers and define clean-up strategies.

226 *ILCR for an Exposure to a Chemical Mixture.* This work seeks to quantify  
 227 the risk of cancer from a long-term exposure to the mixture of chlorinated  
 228 solvents along the contaminated aquifer after a DNAPL spill and reactive  
 229 transport within a heterogeneous aquifer. The threat to human health was  
 230 evaluated from the temporal evolution of the contamination concentrations  
 231 through integrated breakthrough curves obtained at a series of control planes  
 232 at different longitudinal distances from the source zone. The quantification  
 233 of the human health risk follows the guidance of the Environmental Protec-  
 234 tion Agency (1989) that describes the carcinogenic health risk as a stochastic  
 235 Poisson model for individual cancer occurrence. Our analysis focuses exclu-  
 236 sively on the effective threat posed by exposure to the chemical mixture of  
 237 chlorinated solvents ( $R_T$ ) that can be approximated by a simple addition of  
 238 the individual cancer risk associated with each of these compounds (PCE,  
 239 TCE, DCE and VC) (Speck, 1981), i.e.

$$R_T(x) = \sum_{i=1}^4 R_i(x). \quad (10)$$

240 where  $R_i(x)$  is the incremental lifetime cancer risk (ILCR) due to the expo-  
 241 sure to the chemical  $i$  at a given longitudinal position of the control plane  $x$ .  
 242 The individual ILCR due to the ingestion pathway is given mathematically  
 243 by

$$R_i(x) = 1 - \exp[-ADD_i(x) \times CPF_i]. \quad (11)$$

244 The ILCR considers the toxicity of the contaminant  $i$  through the metab-  
 245 olized cancer potency factor  $CPF_i$  [kg d/mg], and the exposure by direct  
 246 ingestion of the contaminant  $i$  through the average daily dose  $ADD_i$  [mg/(kg  
 247 d)], given by

$$ADD_i(x) = \bar{c}_i(x) \left[ \frac{IR}{BW} \right] \frac{ED \times EF}{AT}, \quad (12)$$

where  $IR$  is the ingestion rate of water [L/d],  $BW$  is the body weight [kg],  $AT$  is the expected lifetime [d],  $ED$  is the exposure duration [y], and  $EF$  is the daily exposure frequency [d/y]. We assume these behavioral and exposure parameters to be constant and define them in Table 1. We focused more specifically on  $\bar{c}_i$  [mg/L], the critical (flux-averaged) concentration of the pollutant  $i$ . This key factor of the average daily dose can be regarded as a critical maximum running averaged concentration of the concentration breakthrough curve obtained at the control plane located in  $x$  over the exposure duration  $ED$  (Maxwell and Kastenberg, 1999). Formally,  $\bar{c}_i$  is estimated by

$$\bar{c}_i(x) = \max_{t>0} \left\{ \frac{1}{ED} \int_t^{t+ED} c_i(\tau; x) d\tau \right\}. \quad (13)$$

In case of uncertain hydraulic properties of the aquifer,  $\bar{c}_i$  is described as a random function that controls the resulting ILCR distribution.

*Exceedance of MCLs.* When the quantification of the risk of cancer occurrence is not mandatory, stake-holders can base the remediation effort on maintaining concentrations below MCLs, i.e. the legal threshold limit of a contaminant concentration permitted in groundwater intended for human consumption. Under uncertain conditions, the monitoring of the threshold satisfaction is described stochastically through the estimation of the probability to exceed the MCLs associated with the chemical species  $i$ , i.e.

$$\xi_{c_i}(x, t) = \text{Prob}[c_i(t, x) > \text{MCL}_i]. \quad (14)$$

One of the main goals of the risk assessor is to locate areas of elevated risk. These areas will be denoted as *hot spots*. While the ILCR is a temporally integrative metric (see eqs. (10)-(13)),  $\xi_{c_i}$  preserves the temporal dynamics of the problem. In this case, a *hot spot* will indicate the longitudinal interval in which the probability of exceedance reaches a large value at a given time. In addition, we define the temporal windows of persistence of the elevated exceedance values as *hot moments*.

The statistical analysis of the two human health risk metrics spatial (for  $R_T$ ) and spatiotemporal (for  $\xi_{c_i}$ ) propagation was performed for a set of scenarios. This allowed us to study the joined impact of (1) the degree of heterogeneity in the uncertain hydraulic conductivity field, (2) the presence of reactive toxic daughter products and (3) the DNAPL source zone discharge behavior.

### 280 3. Methodology

281 *Stochastic Framework.* The uncertainty in the hydraulic conductivity was  
282 considered through a stochastic framework, with the  $K$ -field regarded as a  
283 random space function. The stochastic estimation of the human health risk  
284 has been evaluated using analytical methods in order to consider uncertain  
285 hydrogeological characteristics (e.g., Andričević and Cvetković, 1996; de Bar-  
286 ros and Rubin, 2008; de Barros and Fiori, 2014). However, no existing ana-  
287 lytical approach is applicable to reactive chemical mixtures in highly hetero-  
288 geneous 3D aquifers. In the present study, human health risk was evaluated  
289 through numerical Monte Carlo simulations. This enabled us to characterize  
290 the ILCR by its statistical moments and probability density functions (*pdfs*),  
291 and the determination of the exceedance of MCLs in a probabilistic manner.

292 *Random Hydraulic Conductivity Field.* The spatial structure of the log-conductivity,  
293  $Y(x) = \ln K(x)$ , was described by its random space function. Without loss of  
294 generality, the  $Y$ -field follows a multi-Gaussian random space function model  
295 with an isotropic Gaussian covariance function characterized by a zero mean  
296 and an integral scale  $\lambda$  of 14.18 m. The impact of the degree of heterogeneity  
297 was investigated considering four variances of  $Y$ :  $\sigma_Y^2 = \{1.0, 2.0, 4.0, 8.0\}$ .  
298 We generated 500  $Y$ -fields to be used in the Monte Carlo framework. The  
299 3D aquifer was conceptualized by a rectangular prism with length  $L_x = 1600$   
300 m, width  $L_y = 800$  m, and height  $L_z = 400$  m. The domain was finely dis-  
301 cretized into 8 million cells,  $(400 \times 200 \times 100)$ , each cell being a cube of  $64$   
302  $m^3$   $(4.0 \times 4.0 \times 4.0 \text{ m})$ . The steady state flow was assumed to be driven by  
303 a mean horizontal hydraulic gradient of 0.07. See Table 2.

304 *Flow and Reactive Transport.* For each of the 500 stochastically pre-generated  
305 equiprobable  $Y$ -fields, the Monte Carlo scheme consisted of three main steps:  
306 (1) solving the flow problem; (2) solving the reactive-transport problem (Eq.  
307 2); and (3) estimating the corresponding  $R_T$  and spatiotemporal windows of  
308 exceedance of the MCLs. The flow equation (Eq. 1) was solved by means  
309 of the finite difference code MODFLOW (Harbaugh et al., 2000). The  
310 reactive-transport of the four reactive compounds PCE, TCE, DCE and VC  
311 was then solved making use of the efficient random-walk particle-tracking  
312 code RW3D developed by Fernández-Garcia et al. (2005) and subsequently  
313 adapted to first-order decay network simulation by Henri and Fernández-  
314 Garcia (2014).

315 The numerical method splits the reactive plumes into a large number of  
 316 moving particles. Each particle is associated with a species state that evolves  
 317 in time in accordance with the biochemical conditions. It uses the velocity  
 318 field previously resolved to advectically move particles, and disturbs the mo-  
 319 tion by a random displacement in order to simulate dispersion (Salamon  
 320 et al., 2006). Henri and Fernàndez-Garcia (2014) contains more information  
 321 on numerical details, model efficiency and accuracy. Transport was controlled  
 322 by a spatially homogeneous porosity  $\phi$  of 0.3 and a longitudinal, horizontal  
 323 transverse and vertical transverse dispersivity of 0.4 m, 0.04 m and 0.01 m,  
 324 respectively (Table 2). Our selected values for the reaction rates ( $k_i$ ) are  
 325 within the range of first-order decay rates recorded by the (Environman-  
 326 tal Protection Agency, 1999). The retardation factors were chosen according  
 327 to the differences in mobility between the four chlorinated solvents (Lu et al.,  
 328 2011) (see Table 3).

329 *Source Zone.* A large number of PCE particles ( $10^5$ ) was uniformly and in-  
 330 stantaneously released from a rectangular 2D source area  $A_{sz}$  of dimension  
 331  $6.8\lambda \times 3.4\lambda$  (in the  $y$ - $z$  plane). This source area is perpendicular to the  
 332 mean flow. From this pulse injection, the first arrival time of particles pass-  
 333 ing through a set of control planes were recorded to estimate cumulative  
 334 breakthrough curves of the flux-averaged concentrations,  $c_i^h(t; x)$ .

335 The flux-averaged concentrations resulting from the release of DNAPL  
 336 expressed in (Eq. 5) were simulated using the principle of superposition that  
 337 states that

$$c_i(t; x) = \int_0^t c_s(\tau) c_i^\delta(t - \tau; x) d\tau, \quad (15)$$

where  $c_i^\delta$  is the Dirac-input solution of the flux-averaged concentrations for  
 species  $i$ . For numerical purposes, the source term can be discretized in step  
 functions to give

$$c_s(t) = c_0 H(t) + \sum_{j=1} \Delta c_{s,j} H(t - t_j),$$

338 when  $\Delta c_{s,j} = c_{s,j} - c_{s,j-1}$  and  $H(t)$  is the Heaviside step function. The prin-  
 339 ciple of superposition (Eq. 15) can now be written in terms of the estimated  
 340 cumulative breakthrough curves as

$$c_i(t; x) = c_0 c_i^h(t; x) + \sum_{j=1}^{t_j < t} \Delta c_{s,j} c_i^h(t - t_j; x). \quad (16)$$



341 The initial concentration of PCE in the source zone was fixed at  $0.1 \text{ g.m}^3$ ,  
 342 for an initial total mass of 300 kg. Moreover, the chlorinated solvent was  
 343 affected by an in-situ biodegradation fixed at the rate of  $5 \times 10^{-5} \text{ d}^{-1}$ . Source  
 344 zone parameters are shown in Table 4. The paper analyzes the human health  
 345 risk sensitivity to the power exponent of the mass transfer model (Eq. 4) and  
 346 to the fraction of ganglia and pools in the two-domain mass transfer model  
 347 (Eq. 6). The impact of these two parameters on the source concentration is  
 348 shown in Figure 2.

#### 349 4. Statistical Assessment of the Impact of the DNAPL Mass Re- 350 lease on the Human Health Risk

Results from the simulations are displayed in this section with regard to  
 the following organization: First, the observed impact of the power expo-  
 nent of the DNAPL source-zone mass-transfer model (reflecting the DNAPL  
 architecture) is shown both on the probability of exceedence of the MCLs  
 (in section 4.1) and on the expected value and the *pdf* of the total ILCR  
 (in section 4.2). Secondly, the potential impact of a two-domain style mass  
 release model (Eq. 8) on the total ILCR is described (in section 4.3). Results  
 are presented in terms of dimensionless spatial and temporal variables. We  
 normalize the longitudinal distance from the injection by the integral scale  
 as

$$\zeta = \frac{x - x_{inj}}{\lambda},$$

and the elapsed time by an approximate advective time needed to travel an  
 integral scale, i.e.

$$\tau = \frac{t K_G J}{\lambda \phi},$$

351 where  $K_G$  is the geometric mean of the hydraulic conductivity and  $J$  is the  
 352 hydraulic gradient.

##### 353 4.1. Impact of a Power Mass Transfer on the Probabilities to Exceed MCLs

354 The first risk metric that we analyze is the probability of exceedence of  
 355 the Maximum Concentration Levels, i.e.  $\xi_{c_i}(x; t) = \text{Prob}[c_i(t; x) > MCL_i]$ .  
 356 The *hot spots* e.g. spatial ranges in which high values of  $\xi_{c_i}$  are predicted  
 357 and their temporal persistence (*hot moments*) are identified through a use-  
 358 ful visualization tool introduced in Henri et al. (2015). Hot spots and hot  
 359 moments are shown in Figures 3 and 4. This visualization tool displays the

spatiotemporal propagation of the risk by contour-mapping  $\xi_{c_i}$  with the normalized longitudinal distances in the horizontal-axis and the normalized time in vertical-axis.

It should be noted that despite the lower concentrations expected for the last subspecies of the reaction chain, the probability that VC concentrations exceed the MCL is high over a considerable distance and period of time (see Figures 3 and 4, frames d,h,l) because of the low concentration regulatory threshold. Results show that the DNAPL source zone architecture (or power exponent of mass-transfer) exerts a significant influence on the magnitude of the probability of exceedance for any species of the mixture. An increase in the power exponent ( $\Gamma$ ) is translated into a reduction of the global threat where hot spots are less spread and hot moments are less persistent. In other words, an underestimation of the pooling process of the DNAPL (increased  $\Gamma$  exponent) in the source zone leads to an underestimation of the threat posed by the contamination. It is interesting to note that despite a change in the risk amplitude the location of the peak of  $\xi_{c_i}$  appears to be retained for all  $\Gamma$  values.

As expected, and as shown in Henri et al. (2015), the degree of heterogeneity in  $K$  plays a major role in risk dilution. Comparison between Figures 3 and 4 illustrates how  $\xi_{c_i}(\zeta; \tau)$  varies from a mildly ( $\sigma_Y^2 = 1.0$ ) to a highly heterogeneous ( $\sigma_Y^2 = 4.0$ )  $Y$ -field. It may be observed that the magnitude of  $\xi_{c_i}$  decreases when  $\sigma_Y^2$  increases due to the interplay between heterogeneity and dilution. However, in this scenario, a non-negligible risk is observed over a large portion of aquifer due to an increased macrodispersion effect. We note the importance of capturing low  $\xi_{c_i}$  values since they correspond to rare events. In other words, *hot spots* are wider and *hot moments* are longer for a low degree of heterogeneity, but this intense and spatiotemporally focused risk becomes rapidly negligible when the plume moves downstream of the *hot spots*.

#### 4.2. Impact of a Power Mass Transfer on the Total ILCR

*Expected Total ILCR.* Let us now focus on the second risk metric: the Increase Life Time Cancer Risk, with effective value  $R_T$ . For the record, the ILCR is a temporally integrative risk metric, i.e. only its spatial propagation is analyzed. Figure 5 displays the evolution of the expected (i.e., ensemble average of the) total ILCR along the aquifer longitudinal profile as a result of the simulations with  $\sigma_Y^2$  of 1.0 and 4.0 and using a set of 16  $\Gamma$  values ranging from 0.25 to 4.0. Moreover, the profile of  $R_T$  is shown for a temporal

397 evolution of the DNAPL source zone concentrations following a Heaviside  
 398 step function, i.e.  $c_s(t) = c_0$  until the exhaustion of the initial mass. Note  
 399 that this Heaviside function can be regarded as a result of the power mass  
 400 depletion model with a  $\Gamma$  exponent tending to 0.

401 The longitudinal profile of the expected  $R_T$  displays a two-phase (first  
 402 ascending and then descending) behavior characteristic of a chemical mix-  
 403 ture with subproducts presenting a higher toxicity than the parent species  
 404 (see Figure 5). The total ILCR increases first in the subspecies zones of pro-  
 405 duction, reaches a peak when the rate production/destruction is similar, and  
 406 then decreases when the toxic subspecies are mostly destroyed (see Henri  
 407 et al. (2015)). Regardless of the degree of heterogeneity in the flow field,  
 408 the mass release coefficient  $\Gamma$  is shown to control the amplitude of the total  
 409 risk (compare frames a and b of the Figure 5). Here again, the presence of  
 410 ganglia (increased  $\Gamma$ ) is shown to be beneficial by decreasing the amplitude of  
 411 the total risk signal along the aquifer profile. In global terms, increasing the  
 412 degree of heterogeneity in the hydraulic conductivity field tends to decrease  
 413 this magnitude of the threat.

414 On the other hand, it is interesting to observe that the exponent  $\Gamma$  does  
 415 not have an impact on the critical distance  $x_c$ , i.e. the distance from the  
 416 injection where the maximum risk is observed (*hot spot*). As shown in Henri  
 417 et al. (2015), for a first-order decay network, the peak of expected total  
 418 ILCR is predictable when a predefined toxicity-based Damköhler number  
 419 ( $D_R$ ) reaches 1. This useful metric is defined as the ratio between  $\bar{t}$ , the  
 420 average time needed for a conservative tracer to reach an environmentally  
 421 sensitive location, and  $\bar{t}_c$ , a mean arrival time needed for a tracer to attain  
 422 the critical distance (where the total ILCR is expected to reach a maximum  
 423 value), i.e.

$$D_R = \frac{\bar{t}}{\bar{t}_c}. \quad (17)$$

424 The critical time  $\bar{t}_c$  depends on risk parameters (toxicity, exposure du-  
 425 ration and frequency, physiological properties in individuals) and reaction  
 426 parameters (decay, retardation) and can be evaluated analytically, as

$$\bar{t}_c = \arg \max \left\{ \frac{IR \times ED \times EF}{BW \times AT} \sum_{i=1}^4 \sum_{j=1}^4 CPF_i S_{ij} e^{-k_j \bar{t} \mathcal{R}_i^e(\bar{t}) / \mathcal{R}_j} S_{j1}^{-1} \right\}, \quad (18)$$

427 where  $\mathcal{R}_i^e$  is a time dependent effective retardation factor related to a species  
 428 transition  $PCE \rightarrow \text{species } i$ , and  $\mathbf{S}$  is a matrix composed of the eigenvalues of

429 a predefined reaction matrix. Readers are referred to Henri and Fernàndez-  
 430 Garcia (2014) for more information on the analytical expression of effective  
 431 retardation factors and eigensystems of serial reaction systems.

432 As stated in Henri et al. (2015), the critical distance and time  $\bar{t}_c$  can be  
 433 solved from a Dirac-input source (or pulse injection) as the source term is  
 434 assumed not to affect the critical distance. This statement is confirmed by the  
 435 present results. The expected value of the toxicity-based Damköhler number  
 436 corresponding to the control plane located at the  $x$  position is shown in the  
 437 upper axis of Figure 5. It may be observed that the peak of the expected  
 438 total ILCR is reached when  $D_R$  approaches 1, which is independent of the  
 439 value given to  $\sigma_Y^2$  or to the PCE mass transfer power exponent  $\Gamma$ .

440 *Scaling Factor.* When the ascending and descending phases of the risk signal  
 441 along the aquifer longitudinal profile are explained (and even well predicted)  
 442 by the biochemical and toxicological conditions, the changes of amplitude  
 443 seem to be a more complex phenomenon depending *inter alia* on the mass  
 444 depletion mode ( $\Gamma$ ) and on the degree of heterogeneity in the flow field. The  
 445 dependence of the total ILCR amplitude on the DNAPL mass release mode  
 446 can be investigated by observing the scaling factor ( $\chi$ ) between  $R_T^{(H)}$ , the total  
 447 ILCR value obtained for a mass release following a Heaviside step function  
 448 ( $\Gamma \approx 0$ ), and  $R_T^{(\Gamma)}$ , the total ILCR value obtained for a given mass depletion  
 449 power exponent, i.e.

$$\chi = \frac{R_T^{(H)}}{R_T^{(\Gamma)}}. \quad (19)$$

450 The Heaviside function is an easily conceptualized model to describe the  
 451 temporal evolution of the source zone concentration that produces the highest  
 452 cancer risk values (“worst-case scenario”) owing to the release of mass at  
 453 constant maximum rate until the exhaustion of the initial mass.

454 Interestingly,  $\chi$  seems to be relatively constant all along the aquifer lon-  
 455 gitudinal profile. The scaling factor is calculated for each simulation. Figure  
 456 6 shows its ensemble mean (frame a) and coefficient of variation (frame b) as  
 457 a function of the source discharge for different variances of the  $Y$ -field. The  
 458 more the power exponent of the mass transfer model increases, the more the  
 459 total ILCR deviates from the risk signal obtained for a step injection mode.  
 460 Figure 6b depicts a lower variability over all realizations of the scaling factor  
 461 for high  $\Gamma$  values. On the other hand, the sensitivity of the scaling factor  
 462 to the source zone mass release decreases with the degree of heterogeneity.

463 These observations can be expressed by the following regression model ob-  
 464 tained over all realizations with equal  $\sigma_Y^2$

$$\chi = a_1\Gamma + a_2(\exp(-\Gamma/a_3) - 1) + \epsilon, \quad (20)$$

465 where  $a_1$ ,  $a_2$  and  $a_3$  are fitting parameters depending on  $\sigma_Y^2$ , and  $\epsilon$  reflects  
 466 the perturbation around the mean behavior. This dependence is illustrated  
 467 in Figure 6c. The same Figure 6c shows that the coefficient of determination  
 468 of the regression  $r^2$  decreases with the degree of heterogeneity, but remains  
 469 acceptable in all cases ( $> 0.6$ ). Interestingly, the regression model fits per-  
 470 fectly (i.e.,  $r^2 = 1$ ) the ensemble mean behavior, which reflects a symmetrical  
 471 disturbance  $\epsilon$  around the mean. Moreover, note that the relationship be-  
 472 tween  $\chi$  and  $\Gamma$  follows a simple linear regression model:  $\chi = a\Gamma - b + \epsilon$  when  
 473  $\Gamma > 1$  (ganglia).

474 *Probability Density Functions of  $R_T$ .* The total risk is now characterized by  
 475 its empirical *pdfs* for a set of mass depletion exponents and two different de-  
 476 grees of heterogeneity ( $\sigma_Y^2 = 1.0$  and  $4.0$ ) at three normalized distances from  
 477 the source zone ( $\zeta = 3.5, 25.0$  and  $60.0$ ) as shown in Figure 7. The positive  
 478 skewness observed near the source zone (Figure 7a,d) is a typical asymmetry  
 479 of total risk *pdfs* in case of chemical mixtures. This is caused by the high  
 480 probability of occurrence of arrival times lower than the characteristic time  
 481 required for the production of the highly toxic subspecies at short distances  
 482 (Henri et al., 2015).

483 More importantly, the results depicted in Figure 7 demonstrates the sub-  
 484 stantial impact of the source zone architecture on the risk-*pdfs* shape. The  
 485 presence of pools in the source zone (low  $\Gamma$  exponents) tends to stretch the  
 486 *pdfs*, increasing both the mean and the variance of the risk distribution. This  
 487 effect seems more pronounced at mid-distance (around  $\zeta = 25$ ), where total  
 488 ILCRs are the highest (Figure 7b,e). These observations are true for both  
 489 degrees of heterogeneity in the  $K$ -field. The global impact of  $\sigma_Y^2$  produces  
 490 an increase in the dilution of the risk, i.e. an apparent increase in the total  
 491 risk variance (compare frames a-c and d-f in Figure 7).

492 *4.3. Impact of a Two-Domain Style Mass Release Model on the Total ILCR*  
 493 *Expected  $R_T$ .* Next, we focus on the second mass depletion model accounting  
 494 for the presence of both ganglia and pools in the source zone (eq. 6 in  
 495 subsection 2.2). Figure 8 shows the effect of applying different fractions of  
 496 ganglia ( $f_g$ ) on the propagation of the expected total ILCR along the aquifer

497 longitudinal profile. Typically, by increasing  $f_g$ , the total risk moves linearly  
 498 from the risk signal corresponding to the sole presence of pools ( $R_T^{(\Gamma_g)}$ ) in the  
 499 source zone to the risk signal corresponding to the sole presence of ganglia  
 500 ( $R_T^{(\Gamma_p)}$ ). The following simple additive relation is then observed:

$$R_T = R_T^{(\Gamma_g)} f_g + R_T^{(\Gamma_p)} f_p. \quad (21)$$

501 Using this relation, a large number of ganglia/pool fractions can be tested  
 502 without significant computational cost when  $R_T^{(\Gamma_g)}$  and  $R_T^{(\Gamma_p)}$  are known.

503 *Ganglia to Pool Ratio and Probability Density Functions of  $R_T$ .* This useful  
 504 observation (eq. 21) allows us to easily translate the expression of ganglia  
 505 and pool fractions in terms of the more concise ganglia to pool (GTP) ratio  
 506 from the pre-estimation of  $R_T^{(\Gamma_g)}$  and  $R_T^{(\Gamma_p)}$ . Figure 9 shows the impact of  
 507 the GTP ratio on the total risk *pdfs*. The more the GTP ratio tends to  
 508 zero (i.e., the sole presence of pools in the source zone), the more the typical  
 509 *pdfs* asymmetry is accentuated, with an increased tailing towards high risk  
 510 values, especially near the source zone (Figure 9d). Once more, this spreading  
 511 phenomenon is logically exaggerated by the heterogeneity in the flow field.

512 The GTP ratio can be treated as a random variable owing to the low  
 513 computational cost of a total risk profile evaluation while using the linear  
 514 relation expressed in Eq. 21. A total of  $10^5$  random values of GTP ratio  
 515 were therefore randomly generated from a normal and a uniform distribution,  
 516 both using a mean of 5.0. Figure 10 displays the resulting total risk *pdfs*.  
 517 The randomization of the ganglia to pool ratio does not seem to have an  
 518 impact on risk distributions, adding a simple noise around the mean risk.

## 519 5. Source Zone Efficiency

520 The above results highlight the temporal evolution of the contaminant  
 521 mass release as a clear controlling factor of the human health risk. However,  
 522 the source zone characterization involves additional complex processes such  
 523 as the hydraulic conditions in its vicinity. In this section we investigate the  
 524 potential impact of the water flux passing through the source zone. For each  
 525 realization  $i_r$ , we defined the corresponding source zone efficiency  $\eta_{i_r}$  as the  
 526 ratio between the volumetric water flux crossing the source zone  $Q_{sz, i_r}$  and  
 527 an expected flow rate  $\langle Q_{sz} \rangle$  defined as the average over all realizations, i.e.

$$\eta_{i_r} = \frac{Q_{sz, i_r}}{\langle Q_{sz} \rangle}. \quad (22)$$

528 Introduced by de Barros and Nowak (2010), the metric above (Eq. 22) is an  
 529 indicator of the relative flux intensity passing through the source zone in a  
 530 2D flow system. Figure 11 displays this potential relationship between the  
 531 total risk and the source zone efficiency.

532 Interestingly, an apparent power law correlation ( $R_T = \alpha \eta^\beta$ ) can be  
 533 observed. The negative correlation implies a beneficial effect of  $\eta$  on the  
 534 system, i.e. the total ILCR decreases when the source efficiency increases.  
 535 Intuitively, a large  $\eta$ , enhancing flow focusing effects at the source zone,  
 536 might limit dilution and therefore lead to higher concentration values (and  
 537 consequently risk values). This increase of risk due to a high source zone  
 538 efficiency can be suspected for a single species (conservative or decaying)  
 539 system only. As shown in Henri et al. (2015), plume travel times control  
 540 the effective risk attributed to a chemical mixture in a non-trivial manner.  
 541 To sum up, increasing the advective time will result (1) in an increase in the  
 542 total risk beyond the mean hot spot location characterized by a toxicity-based  
 543 Damköhler number below 1 (zone of production of highly toxic subspecies),  
 544 and (2) in a decrease in the threat in zones with  $D_R > 1$ , between the  
 545 source zone and the mean hot spot location (zone of destruction of highly  
 546 toxic subspecies). Increasing the source zone efficiency  $\eta$  will generate lower  
 547 travel times in areas of production of daughter compounds ( $D_R > 1$ ), which  
 548 will increase the probability to decrease the risk near the source zone. This  
 549 decrease in risk is also observed when the plume moves downstream but  
 550 vanishes progressively owing to the spread of the plume and to the inability of  
 551 the metric  $\eta$  to describe the travel time when the traveled distance increases.

552 By performing a regression analysis, we obtain the power exponent of the  
 553 data set in Figure 11. The power exponent informs us about the degree of  
 554 sensitivity of  $R_T$  to  $\eta$  and the regression coefficient of determination can be  
 555 seen as an indicator of the degree of correlation. Figure 12 depicts the total  
 556 risks that are highly sensitive to the source zone efficiency at short distances.  
 557 The degree of correlation between the risk and the water flux passing through  
 558 the source zone is relatively high at short traveled distances and decreases  
 559 downstream of the hot spot (near  $D_R > 1$ ). Surprisingly, the degree of  
 560 correlation is highest near the hot spot location, where the effective threat  
 561 to human health is the highest. Both sensitivity and correlation between  
 562 the two variables are mitigated by increasing the heterogeneity in the Y-field  
 563 from  $\sigma_Y^2 = 1$  to  $\sigma_Y^2 = 4$  (Figure 12b). On the other hand, conceptualizing  
 564 the source zone by ganglia ( $\Gamma = 1.5$ ) tends to decrease the dependence of the  
 565 total risk on the source zone efficiency (lower absolute value of the  $\beta$  power

566 law exponent and of the coefficient of determination).

567 *Conditional Probability Density Functions of  $R_T$ .* In order to illustrate the  
568 potential importance of the water flux crossing the source zone in the statis-  
569 tical quantification of the total ILCR, we conditioned the *pdfs* of  $R_T$  on  $\eta$ ,  
570 and characterized the risk distribution for a source zone efficiency with  $\eta < 1$   
571 and  $\eta > 1$ .

572 The analysis was performed using the set of simulations related to a pool  
573 fully-dominated source zone ( $\Gamma = 0.5$ ). Figure 13 shows the clear difference  
574 of total risk distribution for the two conditions ( $\eta < 1$  and  $\eta > 1$ ). Relatively  
575 high water flux passing through the DNAPL source zone leads to lower total  
576 risk values (as explained above) with a clear difference at the hot spot location  
577 (Figure 13b,e). Total risk *pdfs* conditioned by a  $\eta > 1$  also display a lower  
578 variance, e.g. less uncertainty, especially at short distances from the source  
579 zone. Again, the non triviality of the impact of travel times on the effective  
580 ILCR in case of chemical mixtures accounts for these observations.

## 581 6. Conclusions

582 This work investigates the human health risk response to DNAPL source  
583 zone behavior. The human health risk due to the release of the chlorinated  
584 solvent PCE and to the reactive transport of its carcinogenic biodegradation  
585 products was characterized stochastically through Monte Carlo simulations  
586 considering uncertain hydraulic properties.

587 *DNAPL Mass Release and Expected Risk, Uncertainty.* Results show that  
588 mass release models can significantly affect the human health risk. The sta-  
589 tistical analysis of the increased lifetime cancer risk due to a mixture of  
590 chlorinated solvents demonstrated a lower threat when the DNAPL source  
591 zone was mostly formed of ganglia. The detrimental impact of the presence  
592 of DNAPL pools is also clearly shown while using the exceedence of MCLs  
593 as a risk metric. Moreover, we show that in the presence of network reac-  
594 tion systems, the DNAPL mass release mode, when modeled by an upscaled  
595 contaminant mass transfer from a source zone, does not affect the hot spot lo-  
596 cation (area of higher risk). We confirm the observations made in Henri et al.  
597 (2015) that highlight a risk-based Damköhler number (depending on travel  
598 time and on species-dependent reaction kinetics and toxicities) as the right  
599 metric to predict hot spot locations. The amplitude of the total risk follows  
600 a scaling factor sensitive to both source zone mass release and heterogeneity



601 in the hydraulic conductivity. Moreover, we show that the conceptualiza-  
602 tion of DNAPL mass release has a significant impact on the uncertainty of  
603 the human health risk estimation. Globally, an increase in the pooling-effect  
604 decreases the reliability of the expected risk values.

605 *Impacts of a Two-domain Mass Depletion Model.* The propagation of the  
606 effective lifetime cancer risk is then analyzed as a result of a simplified two-  
607 domain DNAPL mass depletion model. Outputs show that the risk profile  
608 resulting from a source zone constituted by ganglia and pools can be eval-  
609 uated by a simple linear combination of the risk profile solutions of a pool  
610 dominated source zone and a ganglia dominated source zone. Interestingly,  
611 results display a higher uncertainty in the risk prediction when the propor-  
612 tion of pool in the source zone is increased. Moreover, the ganglia to pool  
613 ratio is considered for the first time as an uncertain parameter. We show that  
614 this additional source of uncertainty does not have a significant impact on a  
615 lifetime cancer risk prediction based on an expected ganglia-to-pool ratio.

616 *The Role of Source-zone Efficiency.* As a complement to the above analysis of  
617 the low statistical moments of risk, our work highlights the potential impact  
618 of the water flux passing through the source zone on the effective increased  
619 lifetime cancer risk due to a reactive chemical mixture. Counter-intuitively,  
620 the source zone efficiency is shown to have a beneficial effect on the risk. The  
621 total risk tends indeed to decrease for high source zone efficiency due to the  
622 consequential decrease in travel times near the source zone, which may limit  
623 the production of highly toxic daughter products.

624 The results of this paper confirm the importance of allocating resources  
625 in characterizing the source zone distribution and the hydraulic flux passing  
626 through it. We illustrate how source zone characteristics have a strong role  
627 in controlling the stochastic behavior of the risk. Although we limited our  
628 analysis to multi-Gaussian fields, other geostatistical models can be incorpo-  
629 rated. The physical insights and graphical visualization techniques shown in  
630 this paper can be useful for risk managers to increase the accuracy of their  
631 predictions while facing a DNAPL contamination.

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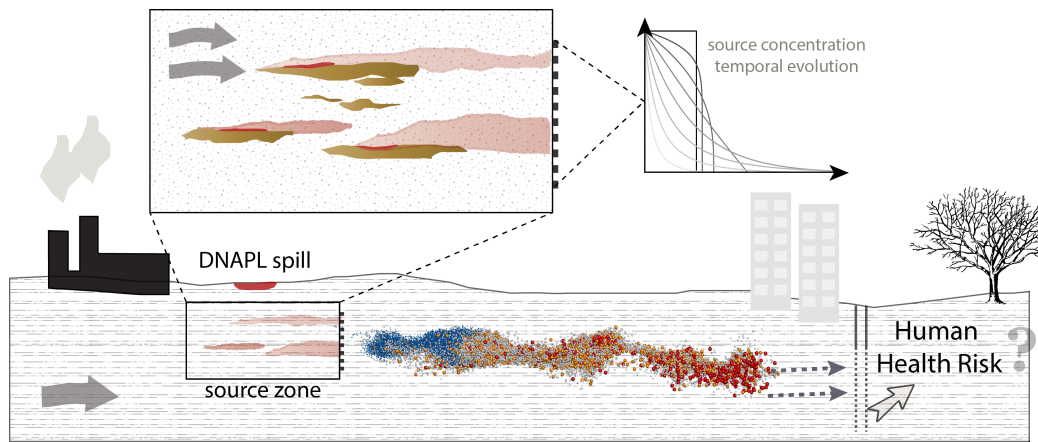


Figure 1: Scheme of an aquifer contaminated by a DNAPL. The source zone is formed by pools due to the presence of low permeability lenses in the source zone. The scheme illustrates the screening approach used in this paper. This approach uses the concentration at the downstream edge of the source zone area to assess the health risk posed by the contamination.

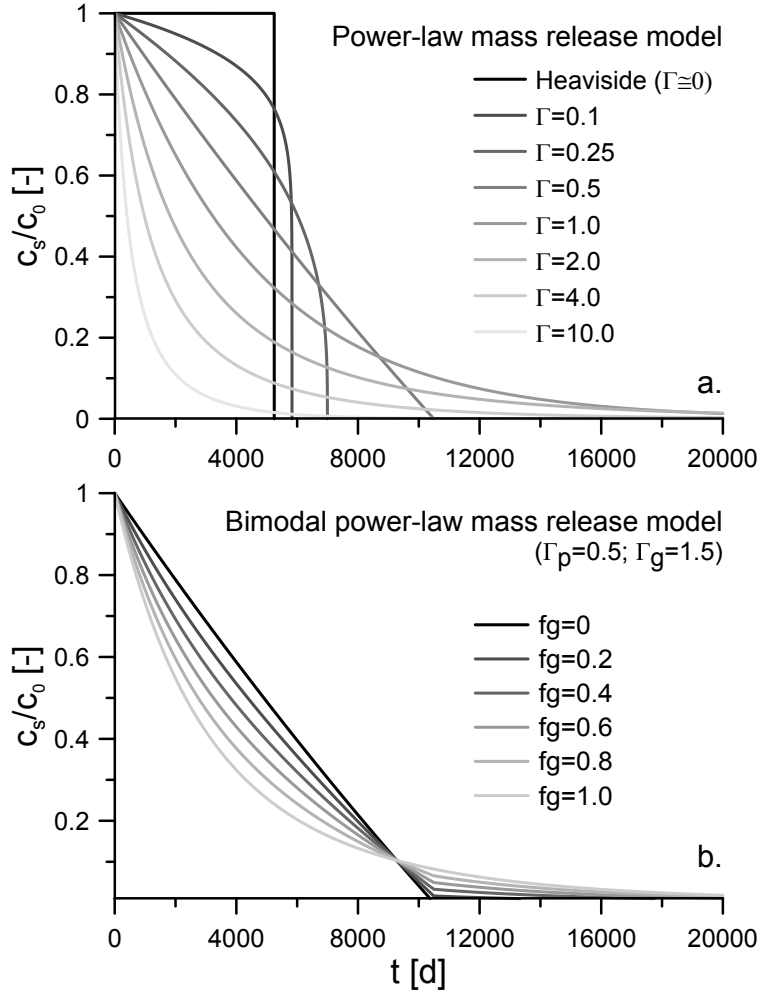


Figure 2: Temporal evolution of the source zone concentrations for (a) a set of power exponents of the simple mass transfer model; and (b) for a set of fractions of ganglia for the bimodal source zone mass transfer model.

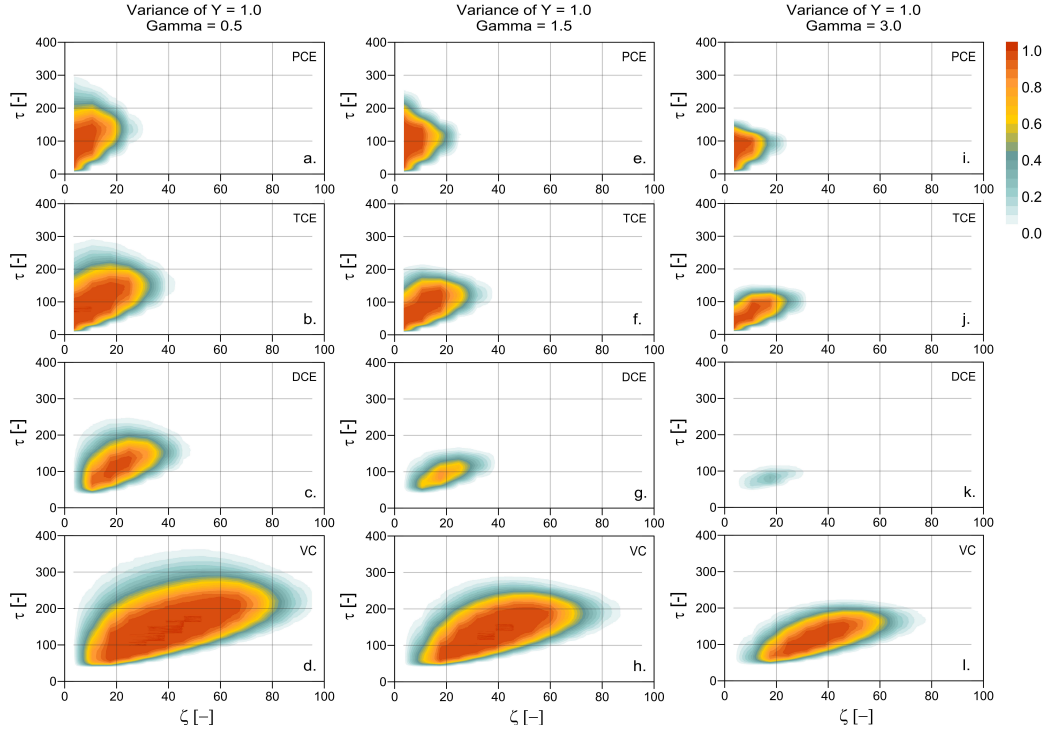


Figure 3: Risk of exceedance of the MCLs of PCE (first row), TCE (second row), DCE (third row) and VC (fourth row) as a function of the normalized time  $\tau$  and the normalized distance  $\eta$  for a variance of  $Y$  of 1.0 and a mass release power coefficient of 0.5 (frames a-d), 1.5 (frames e-h) and 3.0 (i-l).

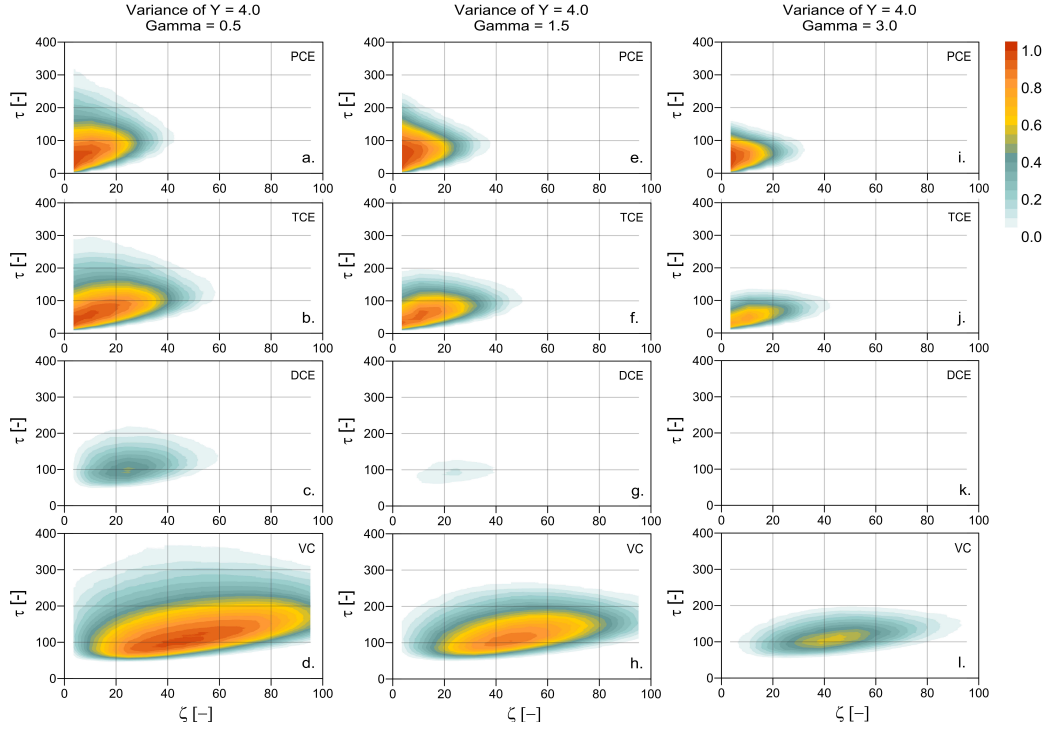


Figure 4: Risk of exceedance of the MCLs of PCE (first row), TCE (second row), DCE (third row) and VC (fourth row) as a function of the normalized time  $\tau$  and the normalized distance  $\eta$  for a variance of  $Y$  of 4.0 and a power exponent of 0.5 (frames a-d), 1.5 (frames e-h) and 3.0 (i-l).

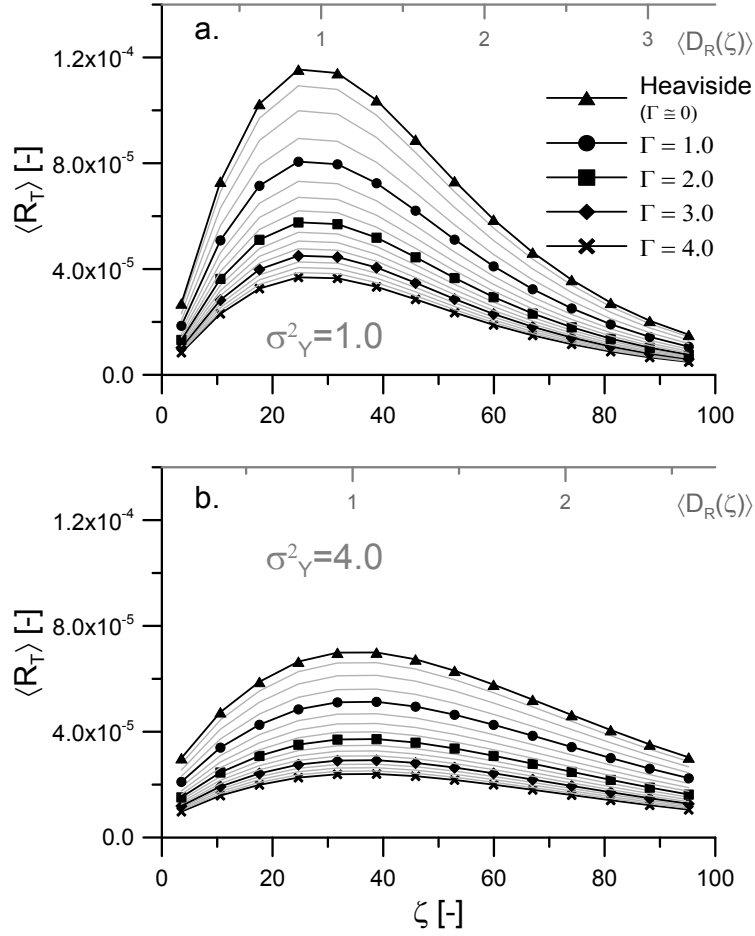


Figure 5: Impact of the mass release power coefficient on the expected total ILCR from chlorinated solvents simulations in a mildly (a) and highly (b) heterogeneous hydraulic conductivity field.

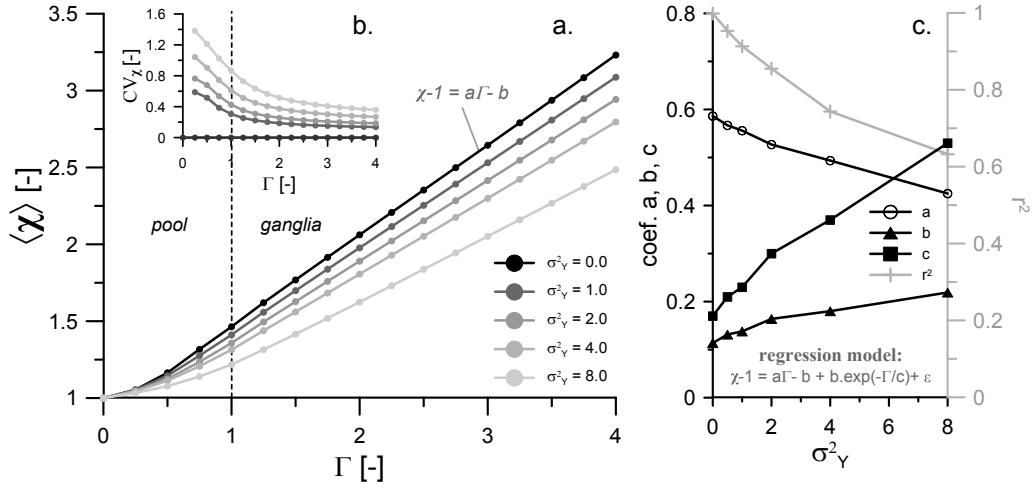


Figure 6: Expected value (a) and variance (b) of the scaling factor  $\chi$  as a function of the power exponent of the DNAPL mass transfer model  $\Gamma$  for a variance of the hydraulic conductivity of 0.0 (homogeneous case), 1.0, 2.0, 4.0 and 8.0. The frame (c) displays the parameters  $a$ ,  $b$  and  $c$  of the regression model in black lines (left axis) and the coefficient of correlation of the regression in grey line (right axis).



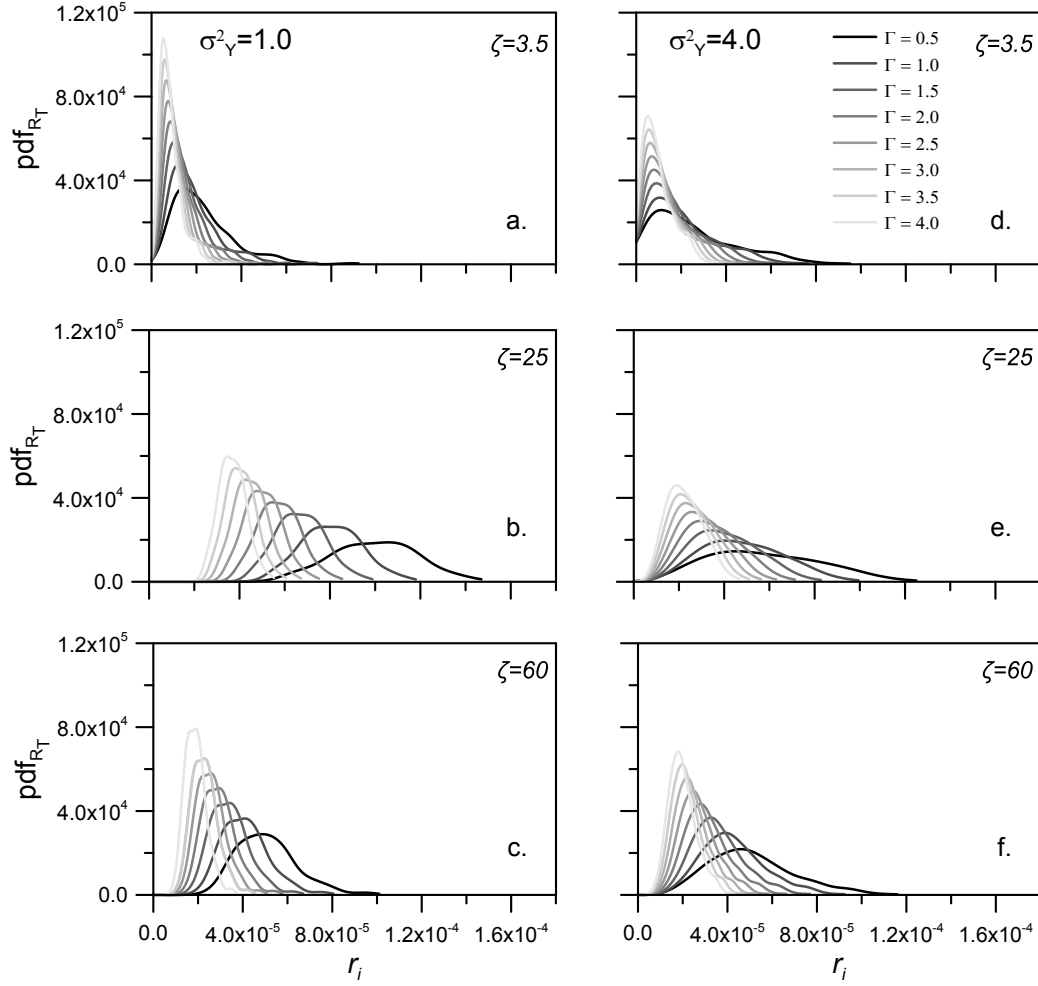


Figure 7: Probability Density Functions of the total ILCR for a series of mass release power coefficients at the control planes located at the normalized distances from the injection  $\xi = 3.5, 25$  and  $60$ , and for a mildly (left hand) and a highly (right hand) heterogeneous hydraulic conductivity field.

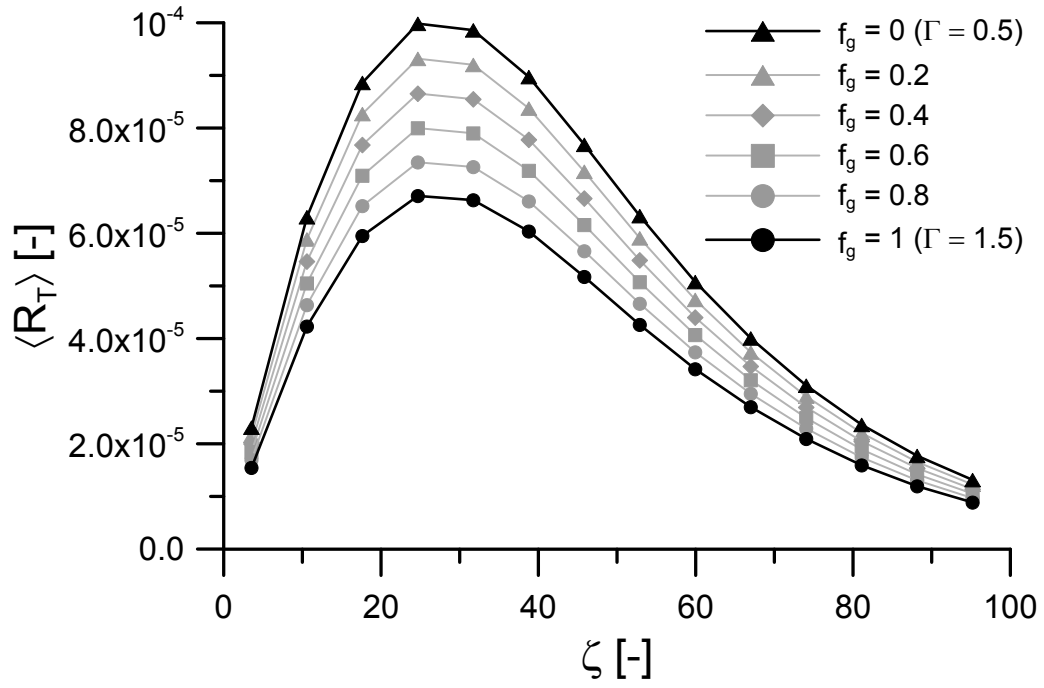


Figure 8: Impact of the fraction of ganglia on the expected total ILCR from chlorinated solvents simulations in a mildly heterogeneous hydraulic conductivity field ( $\sigma_Y^2 = 1.0$ ) using  $\Gamma_p = 0.5$  and  $\Gamma_g = 1.5$ .

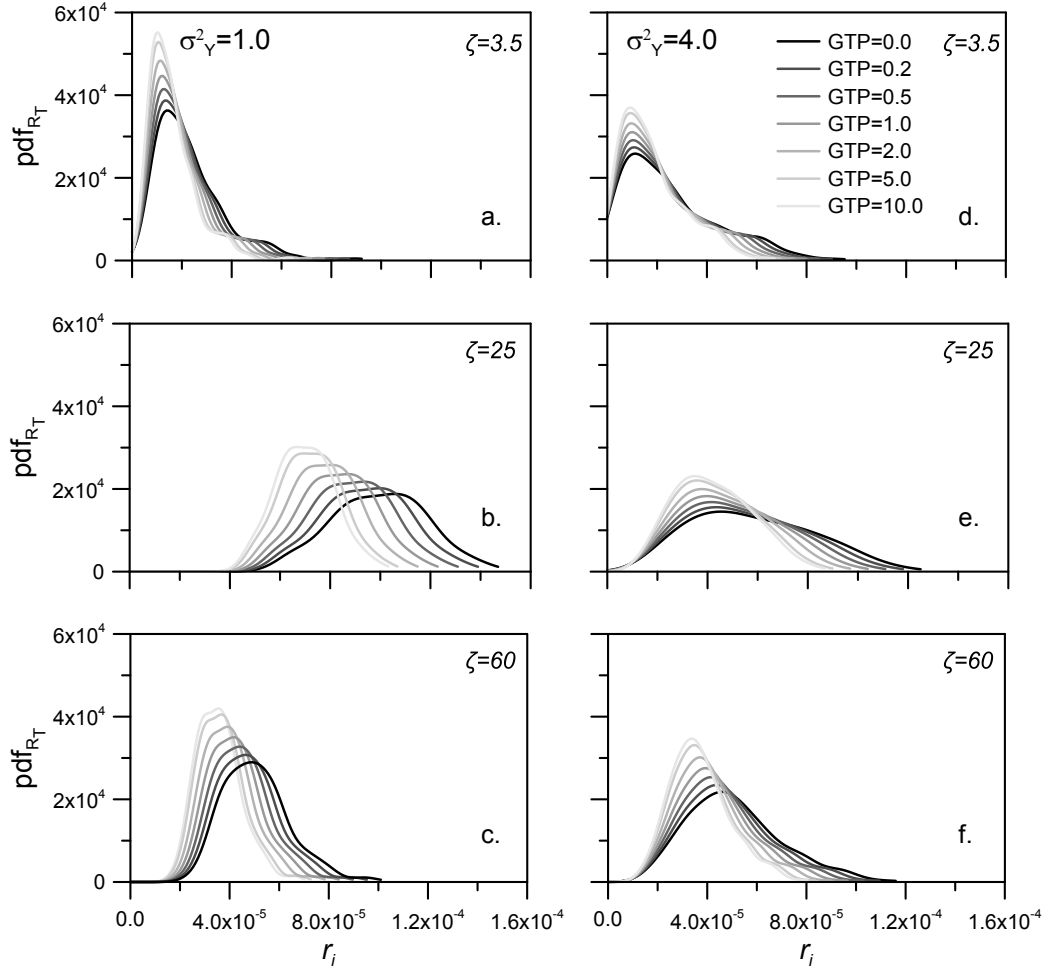


Figure 9: Probability Density Functions of the total ILCR for a series of Ganglia To Pool ratios at control planes located at the normalized distances from the injection  $\xi = 3.5, 25$  and  $60$ , and for a mildly (left hand) and a highly (right hand) heterogeneous hydraulic conductivity field (respectively  $\sigma_Y^2 = 1.0$  and  $\sigma_Y^2 = 4.0$ ).

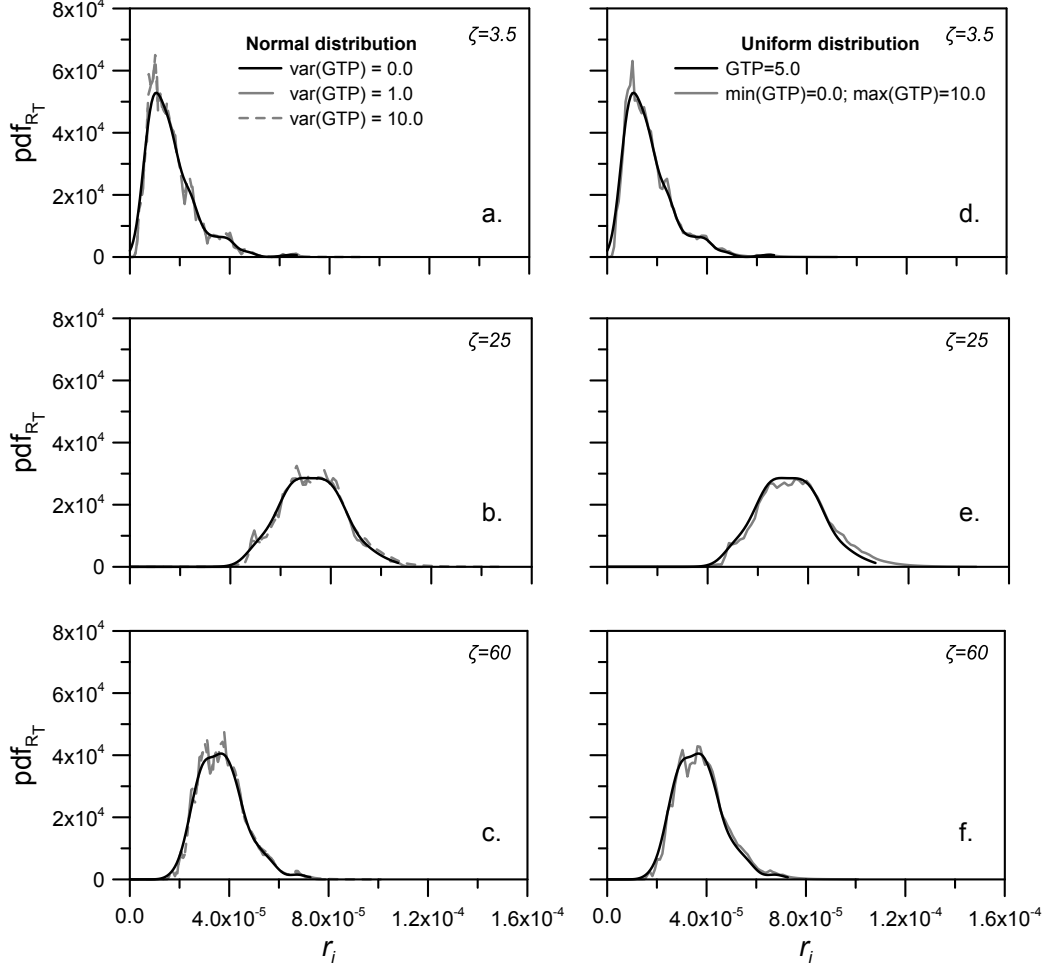


Figure 10: Probability Density Functions of the total ILCR considering a random Ganglia To Pool (GTP) ratio taken from a normal distribution (left hand, frames a, b and c) and from a uniform distribution (right hand, frames d, e, f) at control planes located at the normalized distances from the injection  $\xi = 3.5, 25$  and  $60$ , and for a mildly heterogeneous hydraulic conductivity field ( $\sigma_Y^2 = 1.0$ ). Both distributions of the GTPs have a mean of  $5.0$ .

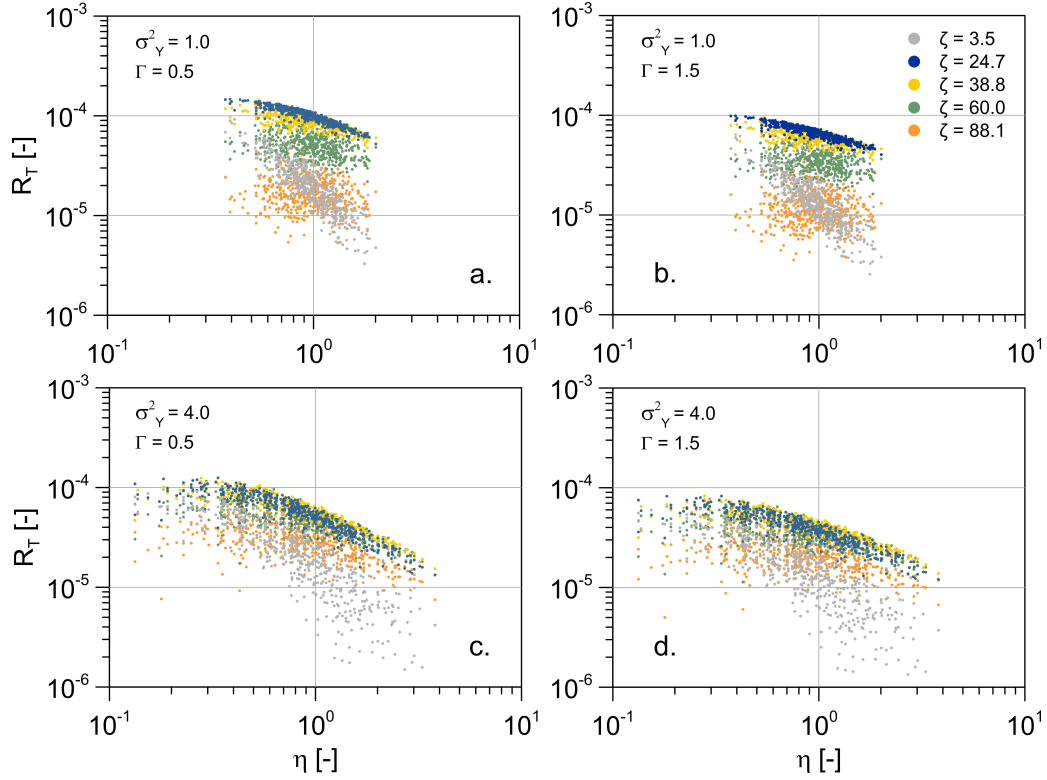


Figure 11: Relationship between the source zone efficiency and the total increase lifetime cancer risk obtained for each simulation at control planes located at normalized distances from the source zone  $\zeta$  ranging from 3.5 to 88.1. Right hand figures (frames a and c) show the relationship for a power DNAPL mass transfer exponent  $\Gamma$  of 0.5 (pool), left hand (frames b and d) for a  $\Gamma$  of 1.5 (ganglia) for a mildly (top) and a highly (bottom) heterogeneous hydraulic conductivity field ( $\sigma_Y^2 = 1.0$  and  $\sigma_Y^2 = 4.0$ ), respectively.

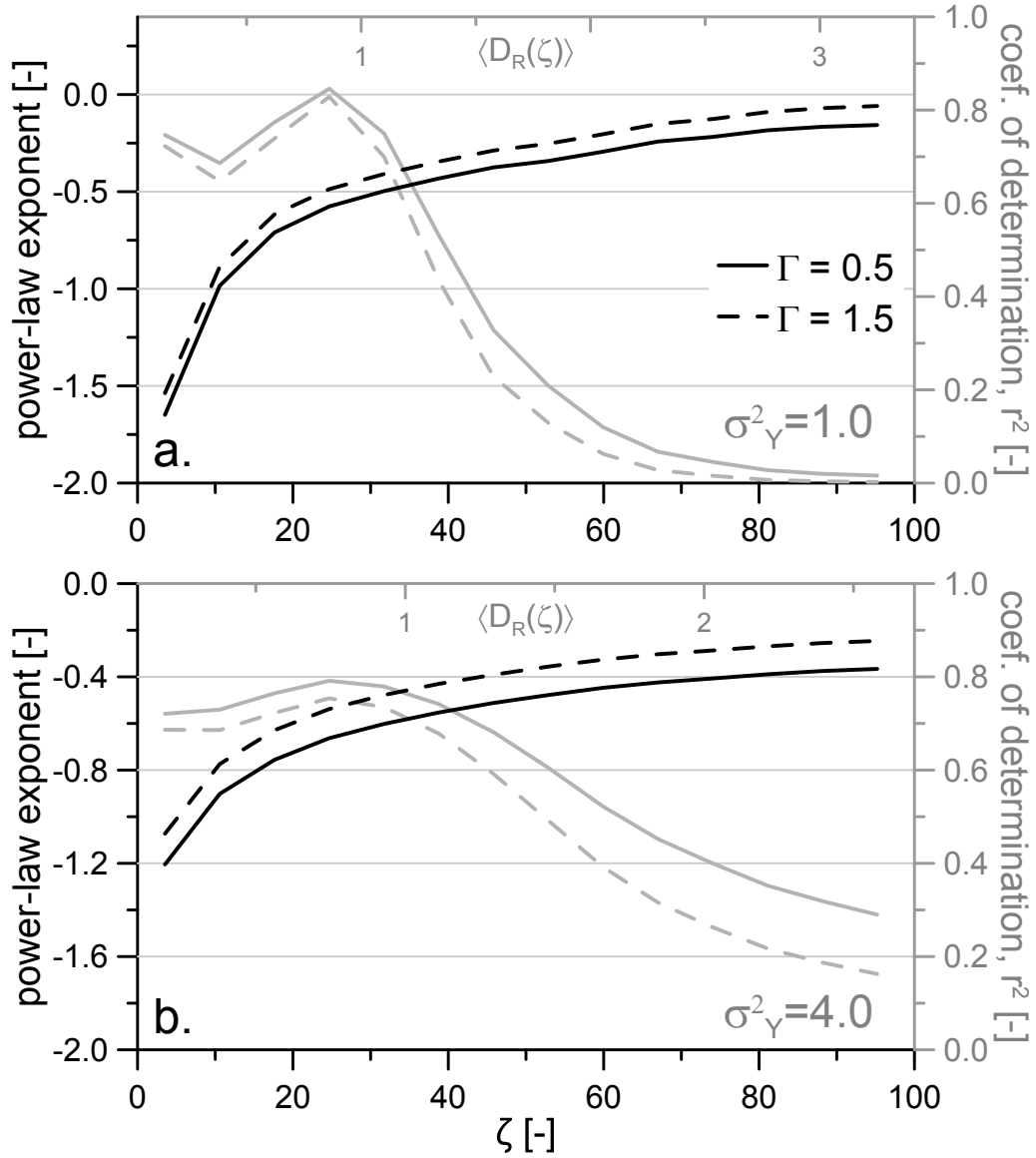


Figure 12: Power regression coefficient as a function of the normalized traveled distance (bottom x-axis) and corresponding mean toxicity-based Damköhler (top x-axis) for a power DNAPL mass transfer exponent  $\Gamma$  of 0.5 (solid line) and 1.5 (dashed line) and for a mildly (top) and a highly (bottom) heterogeneous hydraulic conductivity field (respectively  $\sigma_Y^2 = 1.0$  and  $\sigma_Y^2 = 4.0$ ). Gray lines show the coefficients of determination of the regressions (right hand axis).

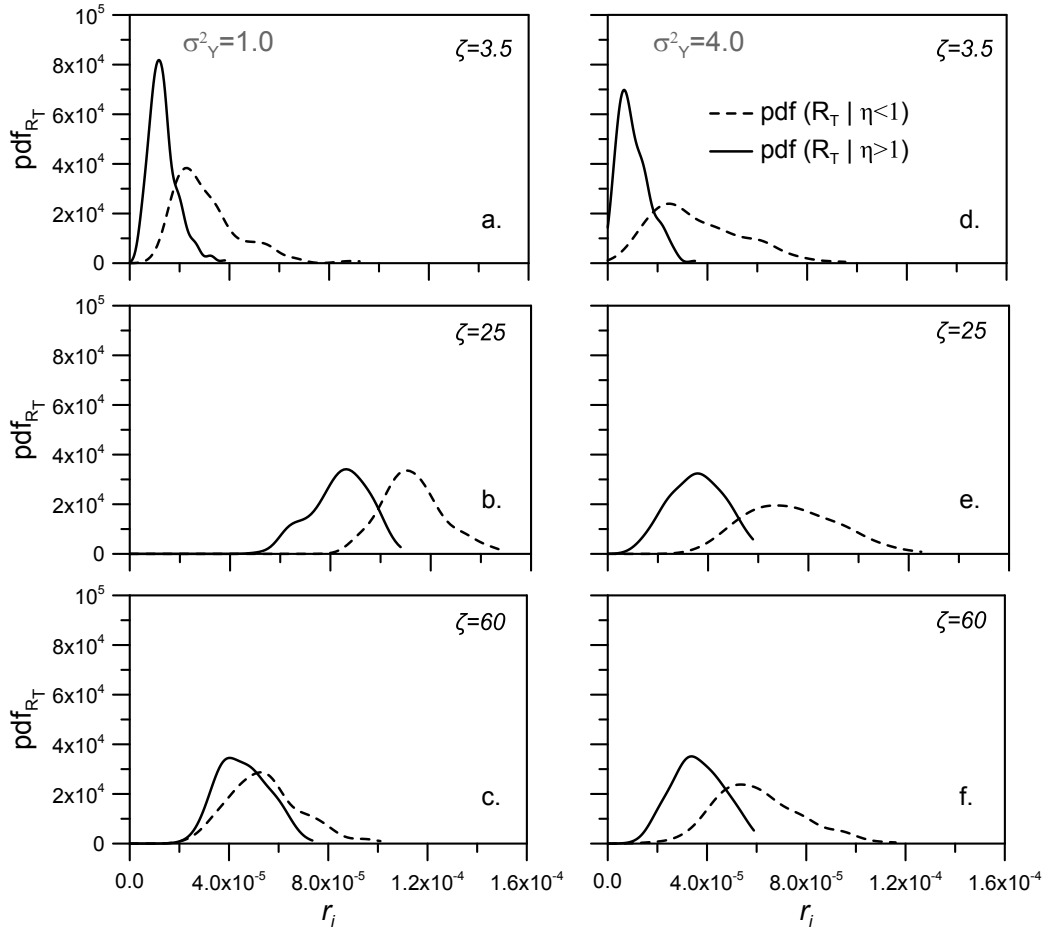


Figure 13: Probability Density Functions of the total ILCR conditioned to a source zone efficiency lower than 1 (dashed line) and higher than 1 (solid line) at control planes located at the normalized distances from the injection  $\xi = 3.5, 25$  and  $60$ , and for a mildly (left hand) and a highly (right hand) heterogeneous hydraulic conductivity field ( $\sigma_Y^2 = 1.0$  and  $\sigma_Y^2 = 4.0$ , respectively).

Table 1: Risk parameters

Parameter	Value			
Ingestion rate, $IR$ [l/d]	1.4			
Body weight, $BW$ [kg]	70.0			
Exposure duration, $ED$ [y]	30.0			
Exposure frequency, $EF$ [d/y]	350.0			
Average time of the expected lifetime, $AT$ [d]	25550.0			
	PCE	TCE	DCE	VC
Cancer potency factor, $CPF_i$ [kg d/mg]	0.0021	0.011	0.6	1.5
Maximum Contaminant Level, $MCL_i$ , [ppb]	5.0	5.0	7.0	2.0

Table 2: Physical parameters

Parameter	Value
<i>Flow problem</i>	
Average hydraulic gradient $[-]$	0.07
Longitudinal dispersivity, $\alpha_L$ [m]	0.4
Transversal dispersivity in the horizontal plane, $\alpha_{TH}$ [m]	0.04
Transversal dispersivity in the vertical plane, $\alpha_{TV}$ [m]	0.01
Porosity, $\phi$ $[-]$	0.3
<i>Heterogeneous field</i>	
Variogram type	Gaussian
Mean of $Y$ ( $Y=\ln K$ ) [ $m^2/d$ ]	0.0
Variance of $Y$	1.0, 2.0, 4.0, 8.0
Integral scales, $\lambda_x=\lambda_y=\lambda_z$ [m]	14.18
<i>Domain discretization</i>	
Number of cells in $x$ direction, $n_x$	400
Number of cells in $y$ direction, $n_y$	220
Number of cells in $z$ direction, $n_z$	100
Cell dimension, $\Delta_x \times \Delta_y \times \Delta_z$ [ $m \times m \times m$ ]	$4.0 \times 4.0 \times 4.0$

Table 3: Biochemical parameters

Parameter	Value			
	PCE	TCE	DCE	VC
First order decay, $k_i$ [ $d^{-1}$ ]	0.0025	0.002	0.0015	0.001
Yield coefficient, $y_{i/j}$ [ $mol\ mol^{-1}$ ]	$\times$	0.79	0.74	0.64
Retardation factor, $\mathcal{R}_i$ $[-]$	7.1	2.9	2.8	1.4



Table 4: Source zone mass transfer parameters

Parameter	Value
Initial concentration, $C_0$ [ $g.m^{-3}$ ]	0.1
Initial mass, $M_0$ [ $g$ ]	$3 \times 10^5$
Degradation rate, $\lambda_s$ [ $d^{-1}$ ]	$5 \times 10^{-5}$
Volumetric discharge $Q_{sz}$ [ $m^3.d^{-1}$ ]	<i>depends on K-field</i>
Power law $\Gamma$ [ $-$ ]	0.25, 0.5, $\dots$ , 4.0

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