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Abstract

Our research group has recently found excellent shape-memory response in “thiol-epoxy” thermosets obtained with click-chemistry. In this study, we use their well-designed, homogeneous and tailorable network structures to investigate parameters for better control of the shape-recovery process. We present a new methodology to analyse the shape-recovery process, enabling easy and efficient comparison of shape-memory experiments on the programming conditions. Shape-memory experiments at different programming conditions have been carried out to that end. Additionally, the programming process has been extensively analysed in uniaxial tensile experiments at different shape-memory testing temperatures. The results showed that the shape-memory response for a specific operational design can be optimized by choosing the correct programming conditions and accurately designing the network structure. When programming at a high temperature ($T \gg T_g$), under high network mobility conditions, high shape-recovery ratios and homogeneous shape-recovery processes are obtained for the network structure and the programmed strain level (ϵ_D). However, considerably lower stress and strain levels can be achieved. Meanwhile, when programming at temperatures lower than T_g , considerably higher stress and strain levels are attained but under low network mobility conditions. The shape-recovery process heavily depends on both the network structure and ϵ_D . Network relaxation occurs during the loading stage, resulting in a noticeable decrease in the shape-recovery rate as ϵ_D increases. Moreover, at a certain level of strain, permanent and non-recoverable deformations may occur, impeding the completion and modifying the whole path of the shape-recovery process.

_2D materials
 _*ab initio* calculations
 _additive manufacturing
 _adhesion
 _adsorption
 _aerogels
 _AFM
 _alloys
 _amorphous materials
 _annealing
 _antifouling materials
 _atomic-layer deposition (ALD)
 _atomistic modeling
 _batteries
 _batteries, lithium
 _batteries, magnesium
 _batteries, sodium
 _BCC metals
 _bio-inspired materials
 _biomass conversion
 _biomaterials
 _biomechanics
 _biomimetic
 _black phosphorus
 _blends
 _brazing
 _calcification
 _capacitors
 _carbon fiber
 _carbon nanotube
 _casting
 _catalysts or catalysis
 _cellular materials
 _cellulose
 _ceramics
 _characterization methods
 _chemical vapor deposition (CVD)
 _CO₂ sequestration
 _coatings
 _colloids or bio-colloids
 _composite materials
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 _computer modeling
 _computer simulation
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 _corrosion and oxidation
 _corrosion protection
 _crystal plasticity
 _crystallization
 _curing
 _data analytics
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 _deformation
 _deposition
 _dielectrics
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 _diffusion
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 _dysfunctional materials
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 _embrittlement
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 _fibers or fiber technology
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 _graphitic carbon
 _hardness
 _HCP metals
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 _high throughput testing
 _hot isostatic pressing
 _hydrogels
 _hydrogen storage or production
 _hydrolysis
 _II-VI compounds
 _III-V compounds
 _imaging
 _In situ or operando
 _infrared spectroscopy
 _intercalation
 _interfaces
 _intermetallics
 _ionomers
 _kinetics
 _laminates
 _laser processing
 _latticed effects
 _layered materials
 _light alloys
 _light-emitting diodes
 _liquid crystals
 _lithography
 _machining
 _macro defects
 _magnetic materials or properties
 _magnetic ordering
 _materials design
 _materials for demanding environments
 _materials for energy
 _mechanical properties
 _membranes
 _mesoporous materials
 _metal-insulator transition
 _metal/organic frameworks (MOFs)
 _metallic glasses
 _metals
 _metamaterials
 _microanalysis
 _microstructure
 _minerals or mineralization
 _molding
 _molecular dynamics
 _molecular simulation
 _molecular-beam epitaxy (MBE)
 _morphology
 _multiferroics
 _multilayers
 _nanocomposites
 _nanofunctionality
 _nanoindentation
 _nanolithography
 _nanomaterials
 _nanomedicines
 _nanoporous materials
 _natural materials
 _NDT
 _NMR
 _nuclear materials
 _nucleation
 _omniphobic materials
 _optical materials and properties
 _organic electronics
 _organic solar-cell materials
 _permselective materials
 _perovskite
 _perovskite solar cell (PSCs)
 _phase diagrams
 _phase transformations
 _phase-change materials (PCMs)
 _phase-field modeling
 _phosphors
 _photocatalysis
 _photonic materials
 _photoreactive materials
 _phototherapeutics
 _photovoltaics (solar cells)
 _piezoelectric materials
 _plasma deposition
 _plasmonic materials
 _plating
 _polymers
 _porous materials
 _powder technology
 _pyroelectrics
 _quasicrystals
 _radiation damage
 _radiation effects
 _rapidly solidified materials
 _redox flow batteries
 _regenerative medicine
 _resorbable materials
 _responsive materials
 _rheology
 _sapphire/Al₂O₃
 _scaffolds
 _scanned-probe microscopy
 _selective laser melting
 _self-assembly
 _self-healing materials
 _SEM
 _semiconductors
 _sensing and sensors
 _severe plastic deformation
 _shape memory materials
 _silicones
 _singlet-exciton fission
 _sintering
 _small volume testing
 _smart materials
 _sodium-sulphur batteries
 _soft interfaces
 _soft matter
 _sol-gel preparation
 _solidification
 _solvothermal/hydrothermal
 _spectroscopy (XPS)
 _spin glass
 _spintronics
 _sputter deposition
 _STM
 _superalloys
 _supercapacitors
 _superconductors
 _superelasticity
 _superhydrophobic
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 _tissue engineering
 _tomography
 _topological insulators
 _transparent conductors
 _transport mechanisms
 _tribology
 _twinning
 _TWIP steels
 _two-photon adsorption
 _UFG materials
 _viscoelasticity
 _viscosity
 _water-splitting
 _wear
 _wood
 _XPS
 _zeolite

New understanding of the shape-memory response in thiol-epoxy click systems: towards controlling the recovery process

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ABSTRACT

Our research group has recently found excellent shape-memory response in “thiol-epoxy” thermosets obtained with click-chemistry. In this study, we use their well-designed, homogeneous and tailorable network structures to investigate parameters for better control of the shape-recovery process. We present a new methodology to analyse the shape-recovery process, enabling easy and efficient comparison of shape-memory experiments on the programming conditions. Shape-memory experiments at different programming conditions have been carried out to that end. Additionally, the programming process has been extensively analysed in uniaxial tensile experiments at different shape-memory testing temperatures. The results showed that the shape-memory response for a specific operational design can be optimized by choosing the correct programming conditions and accurately designing the network structure. When programming at a high temperature ($T \gg T_g$), under high network mobility conditions, high shape-recovery ratios and homogeneous shape-recovery processes are obtained for the network structure and the programmed strain level (ε_D). However, considerably lower stress and strain levels can be achieved. Meanwhile, when programming at temperatures lower than T_g , considerably higher stress and strain levels are attained but under low network mobility conditions. The shape-recovery process heavily depends on both the network structure and ε_D . Network relaxation occurs during the loading stage, resulting in a noticeable decrease in the shape-recovery rate as ε_D increases. Moreover, at a certain level of strain, permanent and non-recoverable deformations may occur, impeding the completion and modifying the whole path of the shape-recovery process.

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46 **Introduction**

47 Shape-memory polymers (SMPs) enclose materials
48 capable of storing large strain energy upon external
49 programming (in the form of a temporary-shape),
50 and recovering the original (or releasing the energy
51 stored as mechanical work [1–7]) upon external
52 stimulus (i.e. heat, magnetic field or light) [8–12]. The
53 increasing demand for shape-memory materials for
54 aerospace, structural applications, electronic devices
55 and biomechanical applications (i.e. artificial mus-
56 cles) has recently redirected the focus to the devel-
57 opment of materials with large recoverable defor-
58 mation limits, high levels of strength, the ability to
59 perform mechanical work (work output) and high
60 resistance to aggressive environments. The easy
61 processing and tailorability of the thermoset-based
62 SMPs make them suitable materials for demanding
63 applications. Specifically, epoxy-based systems are
64 very important not only because of the excellent
65 thermal, chemical and mechanical resistance of the
66 epoxy resin, but mostly because of the great shape-
67 memory capabilities: high stress and strain levels are
68 achieved by properly varying the network structure
69 and accurately choosing the shape-memory pro-
70 gramming conditions. Moreover, excellent shape-
71 fixation and shape-recovery performance is achieved
72 by the drastic entropic change that takes place during
73 the glass transition process of these thermosets [13].

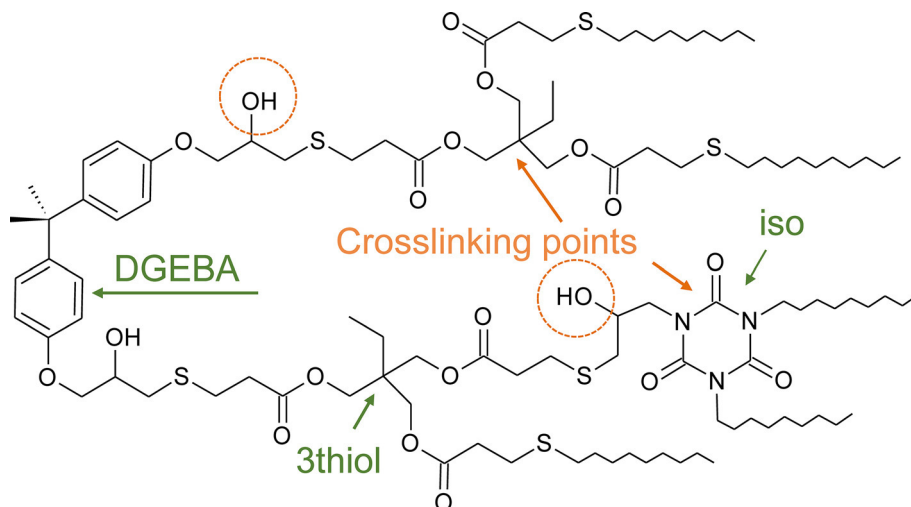
74 In our previous work [14, 15], we developed SMPs
75 based on “click” thiol-epoxy thermosets, and found a
76 promising response for demanding applications (i.e.
77 complex actuators or bio-inspired artificial move-
78 ments). These materials showed enhanced stress
79 levels in comparison with other epoxy-based SMPs
80 [16] as well as high strain levels. Moreover, it was
81 possible to produce materials with homogeneous
82 network relaxation dynamics, leading to very good
83 shape-memory response. “Click” chemistry is a cur-
84 ing methodology based on the efficiency, versatility
85 and selectivity of the reactions [17]. The thiol-epoxy
86 “click” mechanism is interesting due to the formation
87 of hydroxyl and thioether groups in a single step,
88 which can be further transformed into other poly-
89 meric materials [18, 19]. Tertiary amines are com-
90 monly used as base catalysts in thiol-epoxy
91 formulations, but their high reactivity makes it diffi-
92 cult to control the reaction. Recently, the use of
93 encapsulated latent imidazoles has been shown to
94 significantly enhance the stability of the uncured

mixture [20, 21]. Upon heating, the catalyst is
released and the reaction occurs rapidly, resulting in
homogeneous and well-defined network structures.
This enhances the mechanical properties (strength
and deformability) of the shape-memory materials
developed [22].

An important issue for the effective application of
SMPs is to define suitable operational conditions in
order to have a safe and reproducible control of the
shape-recovery process. The shape-recovery and
-fixation ratios (R_r and R_f) and the shape-recovery
rate (V_r) are commonly used parameters for assess-
ment of the shape-memory response. However, the
information they provide on the dynamics of the
shape-recovery process is limited. In certain appli-
cations, such as opening mechanisms for security
systems, fast recovery is required once the shape-re-
covery process is triggered in order to avoid initial
and compromising damage to the device, rather than
a high R_r at the end. Other applications such as
artificial muscles require a high R_r , but control of the
whole shape-recovery process is also necessary to
properly define the artificial movements. Neverthe-
less, detailed modelling of the mechanical behaviour
of polymers requires time-consuming procedures to
give relatively accurate and convincing results that,
in addition, are limited to certain experimental con-
ditions [9, 23–25]. More efforts are therefore neces-
sary in order to find methodologies that can easily
produce relevant parameters and relationships useful
for the specific control of the shape-recovery process.

In this study, we take advantage of the well-de-
fined and tailorable network structures of the thiol-
epoxy SMPs, in order to produce materials with dif-
ferent crosslinking densities and network hindrances.
Thiol curing agents and epoxy resin monomers with
different structures and functionalities were used. In
order to investigate the potential capabilities of these
shape-memory materials, the study has been divided
into an initial analysis of the programming process by
uniaxial tensile experiments at room temperature (the
temperature at which the temporary-shape is fixed)
and at different programming temperatures, fol-
lowed by the study of the shape-recovery process.
The generic ratios R_r , R_f and V_r have been determined
and related with stress–strain behaviour under dif-
ferent programming conditions. A new, simple and
efficient methodology to analyse the shape-recovery
process has also been presented. This methodology is
based on a series of mathematical transformations of

Scheme 1 Expected network structure of the 3thiol-DGEBA-LC80 modified with the *iso* component.



145 the original data, enabling comparison and analysis
 146 of experiments on various materials in terms of the
 147 programming conditions. Shape-memory experi-
 148 ments at different programming temperatures and
 149 programming strain levels have been carried out and
 150 analysed to that end. A more complete understand-
 151 ing of the role of the network structure and pro-
 152 gramming conditions in the control of the shape-
 153 memory response is anticipated, enabling accurate
 154 predictions of generic parameters such as R_r , R_f and
 155 V_r as well as extensive control of the shape-recovery
 156 process. Furthermore, we aim to analyse optimal
 157 programming conditions for these promising thiol-
 158 epoxy materials in order to strengthen their shape-
 159 memory capabilities and broaden their range of
 160 application.

161 Experimental section

162 Materials

163 A commercial epoxy resin, Diglycidyl ether of
 164 bisphenol A (DGEBA, GY240, Huntsman, Everberg,
 165 Belgium), with a molecular weight per epoxy equiv-
 166 alent unit of 182 g/equiv. was used as the main
 167 epoxy resin. Pentaerythritol tetrakis(3-mercaptopro-
 168 pionate) (4thiol), with a molecular weight per thiol
 169 equivalent unit of 122.17 g/equiv. and trimethylol-
 170 propane tris(3-mercaptopropanoate) (3thiol), with a
 171 molecular weight per thiol equivalent unit of
 172 132.85 g/equiv. were used separately as curing
 173 agents. In addition, a tris(2,3 epoxypropyl)isocyanu-
 174 rate (*iso*), with a molecular weight per epoxy

175 equivalent unit of 99.09 g/equiv. was used as a
 176 modifier in a 30 wt% proportion with respect to the
 177 total epoxy content (30:70 wt% ISO:DGEBA). The
 178 epoxy:thiol equivalent ratio was stoichiometric (1:1).
 179 The 3thiol, 4thiol and *iso* were purchased from
 180 Sigma-Aldrich, St. Louis, MO, USA). An encapsu-
 181 lated imidazole, 1-methylimidazole (LC80, AC Cata-
 182 lysts), was used as a latent initiator at 0.5 parts of
 183 catalyst per one hundred parts of epoxy resin (*phr*).

184 The formulations were prepared by manually
 185 stirring the components in a glass vial and carefully
 186 pouring the mixtures into an open Teflon mould. The
 187 curing process was carried out in an oven, at 120 °C
 188 for 1 h, followed by 1 h at 150 °C to allow the com-
 189 pletion of the process. Scheme 1 shows the expected
 190 network structure after polymerization in mixtures
 191 containing *iso* and 3thiol (the structure using 4thiol
 192 would lead to a new chain growing from the fourth
 193 arm and the lack of *iso* in the mixture would be
 194 replaced by DGEBA bodies). The crosslinking points
 195 and the presence of hydroxyl groups have been
 196 highlighted.

197 Thermo-mechanical properties

198 The network structure and thermo-mechanical
 199 properties of the different materials were studied by
 200 dynamic mechanical thermal analysis using a DMA
 201 Q800 (TA instrument, New Castle, DE, USA) equip-
 202 ped with a Single-Cantilever (10 mm) clamp. The
 203 samples were thoroughly polished to a prismatic
 204 rectangular shape ($\approx 20 \times 7.5 \times 1.5 \text{ mm}^3$) and were
 205 analysed at 1 Hz with an oscillation amplitude of
 206 10 μm at a heating rate of 3 °C/min. The T_g was

determined by the peak of the $\tan\delta$ curve, and the glassy modulus (E_g) and the rubbery modulus (E_r) were determined directly from the storage modulus curve (E). The $T_g^{E'}$ was determined as the onset point of the drop in modulus. The width of the $\tan\delta$ curve at half-height ($FWHM$) and the $\tan\delta$ peak were also determined.

The network strand density (v_e) in mol/kg was determined following a modification of the ideal theory of elasticity for elastomeric polymers at low strain rates shown in Eq. (1), defined by the Eq. (2), which takes into account the deviations due to the fluctuations of the functionality of the crosslinking points [26–30].

$$E_r = 3 \cdot R \cdot T \cdot v_e \quad (1)$$

$$v_e = \sum_{f \geq 3} \frac{f-2}{2} \cdot n_f \quad (2)$$

where R is the universal gas constant, T is the temperature at which E_r is determined and n_f is the density of crosslinks with functionality f .

Shape-memory: thermo-mechanical programming characterization

The uniaxial tensile experiments were carried out at the different testing temperatures. The DMA Q800 equipped with a Tension-Film clamp was used to perform the experiments at $T_g^{E'}$, T_g and $T_g + 20$ at a controlled-force rate of 3 N/min. Due to the force limitations of the DMA, an electromechanical universal testing machine (Hounsfield H 10 k-S) with specially designed grips was used to perform the experiments at T_{room} . For the DMA experiments, the samples were thoroughly polished until a dog-bone shape of $15 \times 1.4 \times 0.5 \text{ mm}^3$ (length \times width \times thickness) was obtained. For the experiments at room temperature, the size of the specimens was adapted from ASTM D638 requirements, adopting a Type IV dog-bone shape. All the experiments were performed at a crosshead speed of 1 mm/min.

From the σ - ε curves, the stress and strain at break values (σ_b and ε_b , respectively) were determined as the failure point of the curves, and the mean value of at least three different samples was reported. The tensile elastic modulus (E_t) was determined as the slope of the curve at the initial and proportional part of the curve.

Shape-memory: response

The shape-memory response was analysed using the DMA Q800 equipped with a Tension-Film clamp in the controlled-force mode. The experiments were carried out as explained in our previous study [14]: first, the sample was heated up to the programming temperature (in accordance with the mechanical analysis, different T_{prog} were chosen: $T_g^{E'}$, T_g and $T_g + 20$). After 5 min of temperature stabilization, the sample was loaded at 3 N/min using a controlled-force ramp until a strain level ε_D equal to 75 % of ε_b was reached (some additional experiments were carried out at $\varepsilon_D = 15, 30$ and 50 % of ε_b when specified). At this point, the sample was rapidly cooled down to T_{room} while maintaining the force applied (the holding time at T_{prog} has been reduced to "0" in order to avoid creep processes affecting the shape-memory response [31]). The sample was then unloaded at the same force rate (3 N/min) and the temporary-shape was fixed. Afterwards, a temperature ramp of 3 °C/min was imposed until the shape-recovery was completed. All the experiments were repeated three times to ensure reproducible results, and the mean value has been presented.

The shape-fixation and shape-recovery ratios were quantified using common expressions; see Eqs. (3) and (4).

$$R_f = \left(\frac{\varepsilon_U}{\varepsilon_D} \right) \cdot 100 \quad (3)$$

$$R_r = \left(\frac{\varepsilon_D - \varepsilon_P}{\varepsilon_D} \right) \cdot 100 \quad (4)$$

where R_f is the shape-fixation ratio, R_r is the shape-recovery ratio, ε_D is the programmed strain level (defined as a % of the strain at break, ε_b), ε_U is the remaining strain after unloading and ε_P is the permanent strain after the shape-recovery process takes place. It must be acknowledged that the time after programming and before the recovery-process begins is not taken into account. During this period of time, shape-recovery may take place slowly depending on the programming conditions [32]. In the present study, the temperature ramp begins just after unloading, and there is indeed some time elapsed during heating before the recovery starts, but this time depends on the T_g of the material and would be also affected by the temperature increase. Therefore, in this study, we have chosen to overestimate the

299 shape-recovery ratio using Eq. (4), taking into con-
 300 sideration the overall process from ε_D to ε_p . The
 301 shape-recovery rate (V_r) was quantified from 15 to
 302 85 % of the shape-recovery process as a measure of
 303 the average shape-recovery speed; see Eq. (5). Table 1

$$V_r(\%/min) = \frac{\Delta\%_{SR}}{\Delta t_{\Delta\%SR}} \quad (5)$$

305 where SR refers to the shape-recovery curve nor-
 306 malized to ε_{LL} . $\Delta\%_{SR}$ is the chosen shape-recovery
 307 range (in this case it is from 15 to 85 %, that is, 70 %),
 308 and $\Delta t_{\Delta\%SR}$ is the time elapsed from 15 to 85 % of SR .

309 In order to analyse and compare the shape-recovery
 310 process in different formulations and experi-
 311 ments, the shape-recovery curves have been
 312 differentiated and normalized as illustrated in Fig. 1.
 313 These transformations allow us to properly compare
 314 different formulations in terms of the programming
 315 conditions. In Fig. 1b, SR is the normalized shape-
 316 recovery curve (0 means no recovery, 1 means that
 317 the shape-recovery is completed). The curves were
 318 normalized to their ε_p , and thus 1 does not mean full-
 319 recovery of the original shape but the completion of
 320 the shape-recovery process. In Fig. 1c, the shape-re-

covery speed is defined as $\partial SR/\partial T$ (%/°C) as a
 321 measure of the instantaneous recovery rate [33].
 322

Results and discussion

Thermo-mechanical properties

325 The results of the thermo-dynamo-mechanical anal-
 326 ysis are shown in Fig. 2 and the parameters of
 327 interest are listed in Table 2.

328 The results of the dynamic mechanical thermal
 329 analysis were thoroughly discussed in our previous
 330 work [14]. By using curing agents with a different
 331 functionality but a similar structure (3thiol and
 332 4thiol) and the mixture of rigid epoxy resins of dif-
 333 ferent functionality and structure (DGEBA and *iso*), it
 334 was possible to obtain materials with a homogeneous

Table 1 Composition of the different formulations of study in wt%

| Formulation | DGEBA (wt%) | Thiol (wt%) | Iso (wt%) | LC80 (wt%) |
|------------------|-------------|-------------|-----------|------------|
| 3thiol-NEAT | 57.64 | 42.07 | 0.00 | 0.29 |
| 3thiol-(30 %)iso | 36.49 | 47.61 | 15.64 | 0.26 |
| 4thiol-NEAT | 59.66 | 40.04 | 0.00 | 0.30 |
| 4thiol-(30 %)iso | 37.95 | 45.52 | 16.26 | 0.27 |

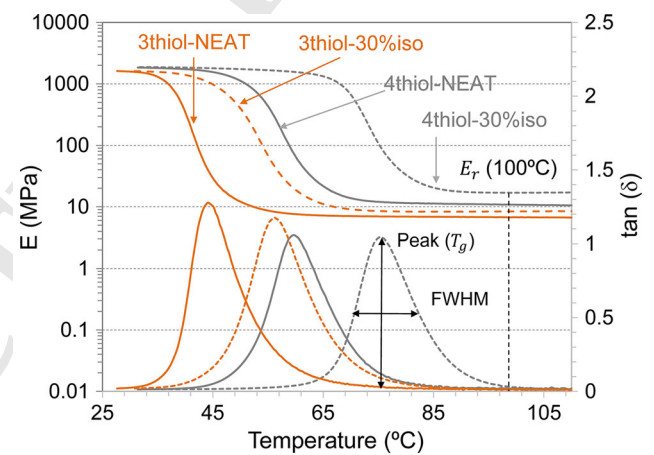


Figure 2 Dynamic mechanical thermal analysis of the different formulations of study. The relaxed modulus (E_r) determination has been highlighted.

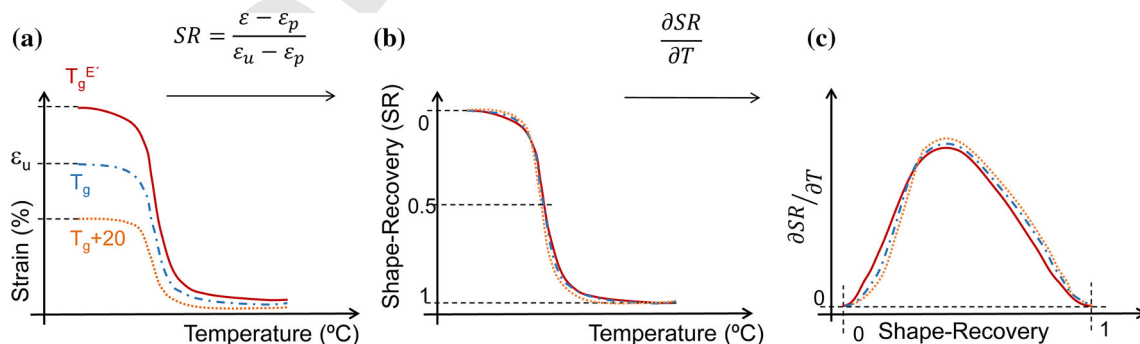


Figure 1 Illustration of the mathematical transformation of the shape-recovery curves. “ $\partial SR/\partial T$ ” is the derivative of the shape-recovery process over the temperature.

Table 2 Network structure and thermo-mechanical properties of the different formulations of study

| | 3Thiol-NEAT | 3Thiol-30 % iso | 4Thiol-NEAT | 4Thiol-30 % iso |
|-------------------|-------------|-----------------|-------------|-----------------|
| T_g (°C) | 44.1 | 56.2 | 59.7 | 75.4 |
| $T_g^{E'}$ (°C) | 37.7 | 46.6 | 52.0 | 68.2 |
| FWHM (°C) | 10.3 | 12.5 | 12.0 | 11.8 |
| $\tan\delta$ peak | 1.28 | 1.18 | 1.06 | 1.05 |
| E_r (MPa) | 6.7 | 8.5 | 10.8 | 17.0 |
| v_c^a (mol/kg) | 0.530 | 0.8633 | 0.822 | 1.209 |

^a Calculated using Eq. (2)

335 and tailorable network structure (T_g values ranging
336 from 44.1 to 75.4 °C and rubbery modulus ranging
337 from 6.7 to 17.0 MPa), as well as a narrow and steep
338 relaxation process (low values of FWHM and high
339 values of $\tan\delta$ peak). The increased functionality in
340 the system leads to a higher crosslinking density and
341 to the formation of more hindered network struc-
342 tures. Systems containing 4thiol instead of 3thiol
343 therefore showed higher T_g and crosslinking density
344 values (see T_g and v_c in Table 2). On the other hand,
345 introducing the isocyanurate in the system increases

the functionality, as well as incorporates different
346 types of crosslinking points. The increase in the
347 functionality leads as expected to higher values of T_g
348 and crosslinking density, but the presence of cross-
349 links of different masses, sizes and rigidities leads to
350 some incompatibilities when the amount of *iso* is too
351 high (>30 %). This phenomenon is more relevant in
352 the case of the 3thiol systems, probably as a result of
353 the presence of an ethyl side chain in the 3thiol
354 structure, which leads to a more heterogeneous and
355 less packed network structure. 356

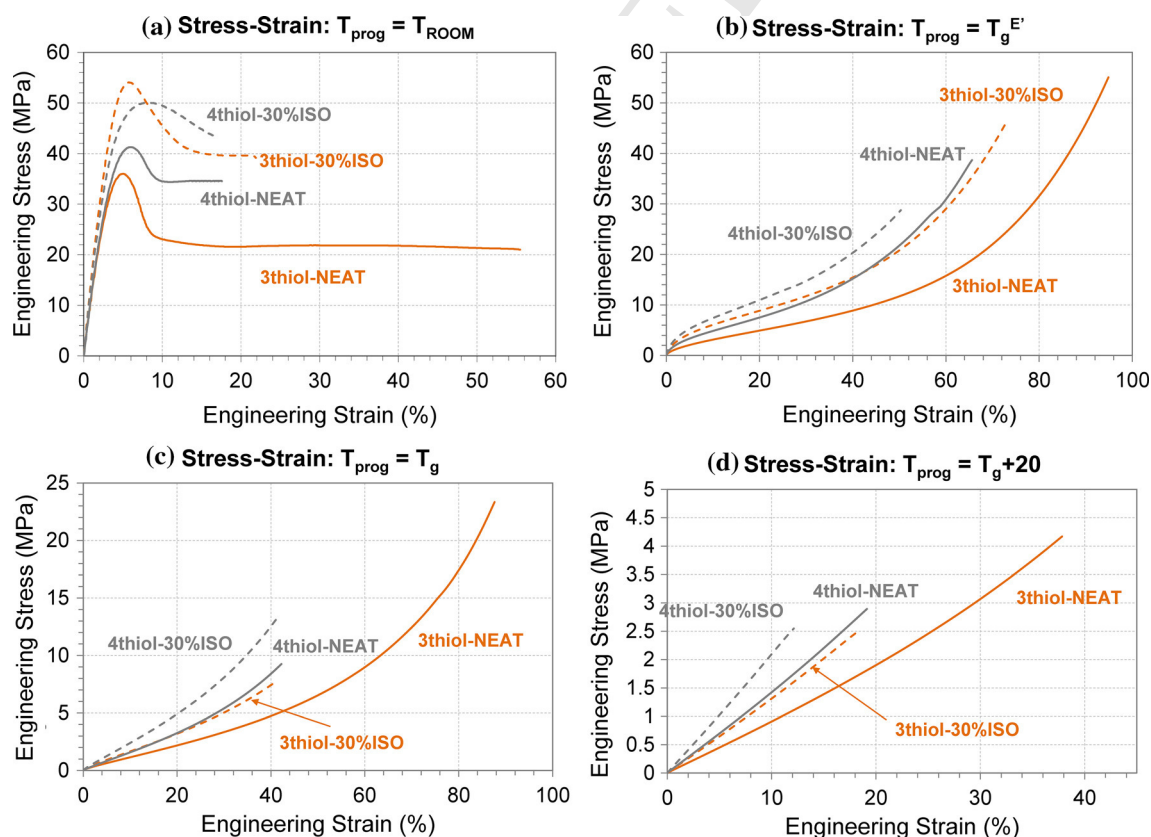


Figure 3 Stress–strain curves for the different formulations of study at the shape-memory testing temperatures; **a** at room temperature; **b** at $T_g^{E'}$; **c** at T_g ; **d** at $T_g + 20$.

Table 3 Stress and strain at break values (σ_b and ε_b respectively), tensile elastic modulus (E_t) and the shape-recovery ratio (R_r) at the shape-memory testing temperatures (T_{room} , $T_g^{E'}$, T_g and $T_g + 20$) of the different formulations of study programmed at $\varepsilon_D = 75\%$

| | T_{room} | | | $T_g^{E'}$ | | | T_g | | | $T_g + 20$ | | |
|------------------|---------------------|------------------------|----------------|---------------------|------------------------|--------------|---------------------|------------------------|--------------|---------------------|------------------------|--------------|
| | σ_b (MPa) | ε_b (%) | E_t (MPa) | σ_b (MPa) | ε_b (%) | R_r (%) | σ_b (MPa) | ε_b (%) | R_r (%) | σ_b (MPa) | ε_b (%) | R_r (%) |
| 3thiol-NEAT | 21.0 | 55.5 | 1056 | 55.0 | 94.9 | 92.6 | 23.3 | 87.6 | 89.2 | 4.17 | 37.8 | 96.8 |
| 3thiol-(30 %)iso | 37.6 | 22.9 | 1248 | 46.4 | 73.1 | 82.9 | 7.8 | 41.5 | 96.9 | 2.46 | 18.1 | 97.6 |
| 4thiol-NEAT | 35.7 | 17.6 | 1145 | 38.7 | 65.6 | 92.8 | 9.3 | 42.2 | 96.6 | 2.89 | 19.1 | 96.8 |
| 4thiol-(30 %)iso | 47.0 | 15.5 | 1353 | 28.8 | 50.3 | 88.7 | 13.2 | 41.2 | 89.2 | 2.55 | 12.1 | 97.1 |

The mean value of three different samples tested is shown

Standard deviation of ± 0.2 on the stress and strain at break values, ± 30 MPa on the elastic modulus at $T_{room} \pm 1\%$ on the R_r

357 Shape-memory characterization

358 The data obtained from the uniaxial tensile experi-
 359 ments (σ - ε curves) at the different shape-memory
 360 testing temperatures are shown in Fig. 3: at room
 361 temperature T_{room} (the temperature at which the
 362 temporary-shape is fixed), at $T_g^{E'}$, T_g and at $T_g + 20$
 363 (the programming temperatures). The stress and
 364 strain at break values achieved are summarized in
 365 Table 3. For comparison purposes, the shape-recov-
 366 ery ratios, R_r , of the shape-memory experiments
 367 carried out at the corresponding programming tem-
 368 peratures and up to $\varepsilon_D = 75\%$ are also shown in
 369 Table 3.

370 First of all, as explained in our previous work [14],
 371 the optimal mechanical point was found at $T_g^{E'}$, in
 372 which both the stress and strain at break values were
 373 enhanced, a physical effect called viscoelastic tough-
 374 ening [34, 35]. Moreover, the values obtained are
 375 higher than those reported by other authors with
 376 epoxy-based systems, especially for the stress at
 377 break (up to 55 MPa on the 3thiol-NEAT formulation
 378 with 95 % of ε_b at $T_g^{E'}$) [36, 37]. On analysing the σ - ε
 379 curves in Fig. 3a, all the formulations were in the
 380 glassy state, so that the σ - ε response was similar and
 381 only the 3thiol-NEAT formulation differed. The ten-
 382 sile elastic modulus, E_t , is high in all the formulations
 383 (around 1 GPa) but the 3thiol-NEAT formulation
 384 shows higher ductility (up to 55.5 % of strain at
 385 break). This is probably caused by the lower T_g - T_{room}
 386 difference and crosslinking density, which enhances
 387 the dynamics of the network structure. In terms of
 388 shape-memory response, the high elastic modulus
 389 and lower ductility of almost all the formulations at
 390 room temperature (greater resistance to stretching
 391 and hindered mobility of the chains) lead to high

shape-fixation (R_f values were almost 100 %) and
 show that the material is able to maintain the tem-
 porary-shape for long periods of time, a useful
 characteristic for storage purposes, even under con-
 stant load (i.e. in certain applications such as security
 valves, where the temporary-shape is constantly
 subjected to a pressure until the shape-recovery is
 triggered).

On analysing the σ - ε curves at the optimal
 mechanical point, $T_g^{E'}$ (see Fig. 3b), the stress and
 strain at break values are higher in the case of the
 3thiol systems (up to 55 MPa and 95 % respectively).
 The lower crosslinking density and enhanced
 mobility of the network chains in the 3thiol systems
 greatly improve the stress and strain at break values.
 However, from the change in slope at the beginning
 of these σ - ε curves, it can be deduced that stress
 relaxation occurs during this deformation process,
 because the characteristic relaxation time of the net-
 work structure is within and comparable to the time
 scale of the experiment. Consequently, significant
 energy losses take place due to viscous friction by
 network relaxation. Moreover, a strain hardening
 process is clearly observed at high strain levels in all
 the formulations studied (mostly in the 3thiol for-
 mulations), which may be indicative of damage in the
 material and a cause of permanent deformation in the
 network structure after programming the temporary-
 shape. By contrast, on increasing the temperature to
 $T_g + 20$ (see Fig. 3d), the network structure relax-
 ation times are highly reduced, and the chains are
 thus able to quickly reach a stable equilibrium con-
 formational state during loading, with little friction
 losses. As can be seen in Table 3, the shape-recovery
 ratio, R_r , in free shape-recovery experiments of sam-
 ples programmed at $\varepsilon_D = 75\%$ considerably

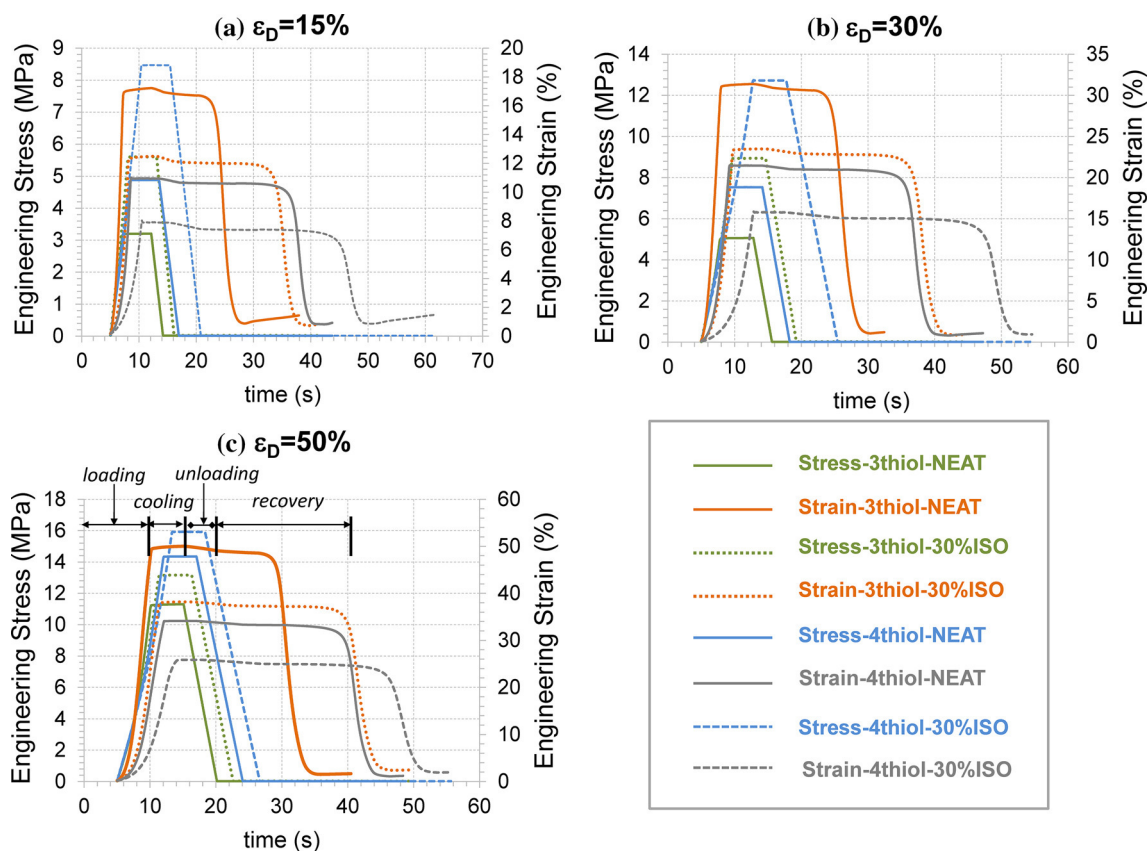


Figure 4 Thermo-mechanical cycles for all the formulations of study programmed at $T_g^{E'}$ and at different strain levels ($\varepsilon_D = 15, 30$ and 50%).

428 decreases when T_{prog} decreases from above to below
 429 the T_g (i.e. for 3thiol-30 %iso, R_r falls from 97.6 % at
 430 $T_g + 20$ to 82.9 % at $T_g^{E'}$, and the same trend is
 431 apparent in all the formulations). This could be
 432 related to permanent deformation and network
 433 damage produced during the loading process at such
 434 high deformation levels (higher than the strain at
 435 break in the relaxed state), taking place at the same
 436 time as the strain hardening that is observed at higher
 437 strain levels (see Fig. 3b). In contrast, in those sam-
 438 ples programmed at $T_g + 20$, the network structure
 439 was already relaxed and therefore under equilibrium
 440 conditions during the loading process, leading to no
 441 energy losses. The lower strain levels achieved (no
 442 strain hardening observed, see Fig. 3d) might have
 443 also prevented permanent deformation.

444 In order to clarify the role of T_{prog} and strain level
 445 on the shape-recovery performance, shape-memory
 446 experiments were carried out at $T_g^{E'}$, at the same
 447 loading rate (1 N/min), but at different program-
 448 ming strain levels ($\varepsilon_D = 15, 30$ and 50%). The
 449 thermo-mechanical cycles for all the experiments

performed are presented in Fig. 4 in combined stress-
 time and strain-time graphs. In Fig. 5, the thermo-
 mechanical cycles performed at $\varepsilon_D = 75\%$ and dif-
 ferent T_{prog} are also represented in combined stress-
 time and strain-time graphs. The shape-recovery
 ratios, R_r , and shape-recovery rates, V_r , obtained are
 presented in Table 4 (the results obtained at
 $\varepsilon_D = 75\%$ have been also included for comparison
 purposes) and are shown in Fig. 6. As it can be seen,
 R_r is highly enhanced at low strain levels (almost
 constant and nearly 100 %) while it suddenly
 decreases at a certain strain level in all the formula-
 tions studied. This means that the energy lost due to
 viscous friction during network relaxation plays a
 minor role in terms of maximum recoverable strain
 (R_r), and therefore the lower recovery ratio R_r is
 probably caused by the damaging processes and
 permanent deformation taking place during the
 loading process. The shape-recovery rate V_r of the
 samples programmed at $T_g^{E'}$ also follows a decreasing
 trend as ε_D increases, but this can be interpreted in
 terms of the network relaxation dynamics during the

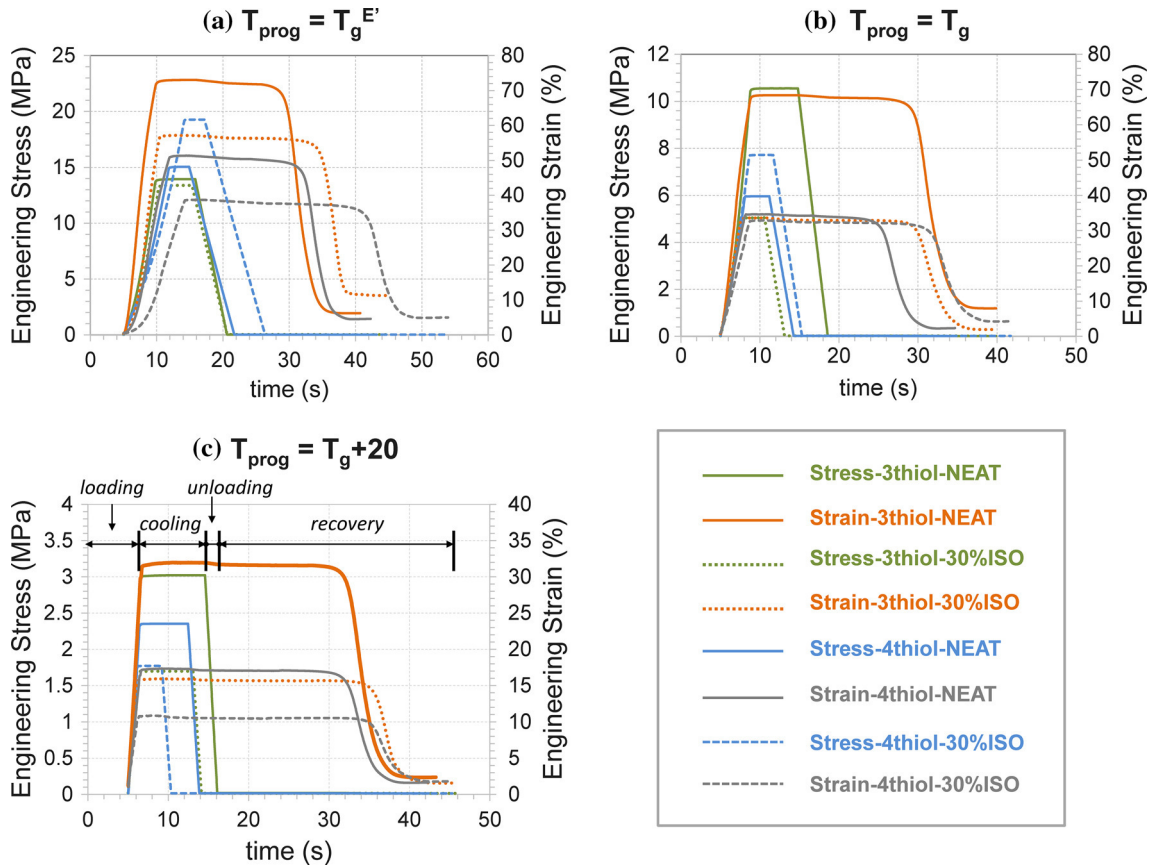


Figure 5 Thermo-mechanical cycles for all the formulations of study programmed at $\epsilon_D = 75\%$ and at programming temperatures ($T_g^{E'}$, T_g and $T_g + 20$).

Table 4 Shape-recovery ratio (R_r) and shape-recovery rate (V_r) as a function of the programming temperature and strain level

| T_{prog} | | $T_g^{E'}$ | | | | T_g | $T_g + 20$ |
|------------------|---------------|------------|------|------|-------------------|-------------------|-------------------|
| ϵ_D (%) | | 15 % | 30 % | 50 % | 75 % ^a | 75 % ^a | 75 % ^a |
| 3thiol-NEAT | R_r (%) | 97.1 | 97.9 | 97.5 | 92.6 | 89.2 | 96.8 |
| | V_r (%/min) | 33.3 | 27.8 | 26.1 | 22.0 | 20.1 | 21.8 |
| 3thiol-30 % iso | R_r (%) | 96.8 | 97.5 | 94.7 | 83.7 | 96.9 | 97.6 |
| | V_r (%/min) | 29.2 | 26.9 | 24.3 | 18.9 | 19.8 | 26.0 |
| 4thiol-NEAT | R_r (%) | 97.0 | 98.3 | 98.1 | 92.8 | 96.6 | 96.8 |
| | V_r (%/min) | 32.6 | 30.4 | 26.6 | 23.3 | 22.7 | 23.7 |
| 4thiol-30 % iso | R_r (%) | 96.5 | 95.9 | 94.0 | 88.7 | 92.4 | 97.1 |
| | V_r (%/min) | 25.3 | 23.5 | 22.3 | 20.3 | 21.0 | 21.8 |

^a For comparison purposes, the R_r at $\epsilon_D = 75\%$ have been also included in this table

472 programming at different ϵ_D , resulting in materials
 473 with different states of network relaxation. In terms
 474 of strain recovery velocity, the velocity is higher with
 475 increasing strain, but the time required is longer;
 476 hence the decrease in the relative recovery rate V_r .
 477 The presence of isocyanurate in the network structure
 478 tends to decrease R_r and the recovery rate V_r of

samples programmed at $T_g^{E'}$, in comparison with the
 neat formulations, at any ϵ_D , but especially at
 $\epsilon_D = 50\%$ and above. This may be due to the pres-
 ence of isocyanurate, leading to heterogeneities in the
 network structure resulting in earlier non-recoverable
 deformations during the loading stage. In addition,
 experiments at different ϵ_D were carried out at

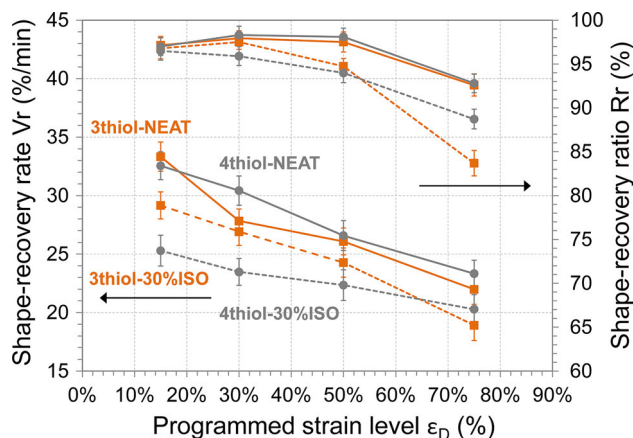


Figure 6 Shape-recovery ratio (R_r) and shape-recovery rate (V_r) for all the formulations of study programmed at $T_g^{E'}$ and at different strain levels.

486 $T_g + 20$ and these confirmed that the R_r obtained
 487 were almost 100 % because the strain levels were
 488 moderate and there was therefore no damage in the
 489 network structure. Likewise, the relative recovery

rate V_r regarding ε_D remained almost constant. As
 490 explained above, at this temperature, the chains are
 491 able to reach a stable configuration during the load-
 492 ing stage, and similar states of network relaxation are
 493 therefore eventually achieved.
 494

As explained in the introduction, generic param-
 495 eters as the shape-recovery ratio or the shape-recovery
 496 rate are not sufficient to design shape-memory
 497 materials for smart applications in which full control
 498 of the shape-recovery process is of high relevance.
 499 For this purpose, the shape-recovery process is
 500 analysed by means of the mathematical transforma-
 501 tions of the original shape-recovery curves (as illus-
 502 trated in Fig. 1), which enables comparison of
 503 experiments in terms of the programming conditions
 504 and formulations of study. First of all, the effect of
 505 T_{prog} at the same programmed strain level
 506 ($\varepsilon_D = 75\%$) is shown in Fig. 7 for all the formulations
 507 of study.

Characteristic bell-shaped curves are obtained in
 509 all cases, similar to that of the network relaxation
 510

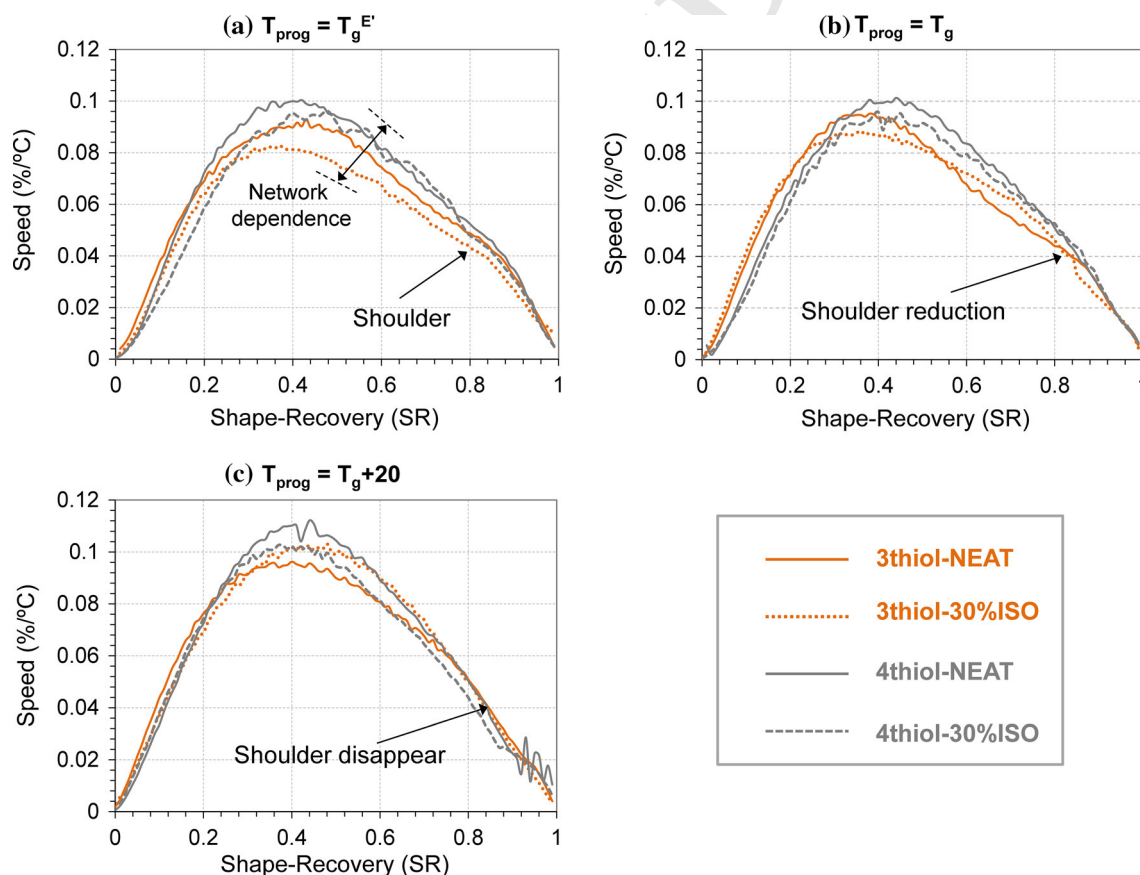


Figure 7 Mathematical transformation of the shape-recovery curves (%/°C) for all the formulations of study at the different programming temperatures and fixed strain levels ($\varepsilon_D = 75\%$).

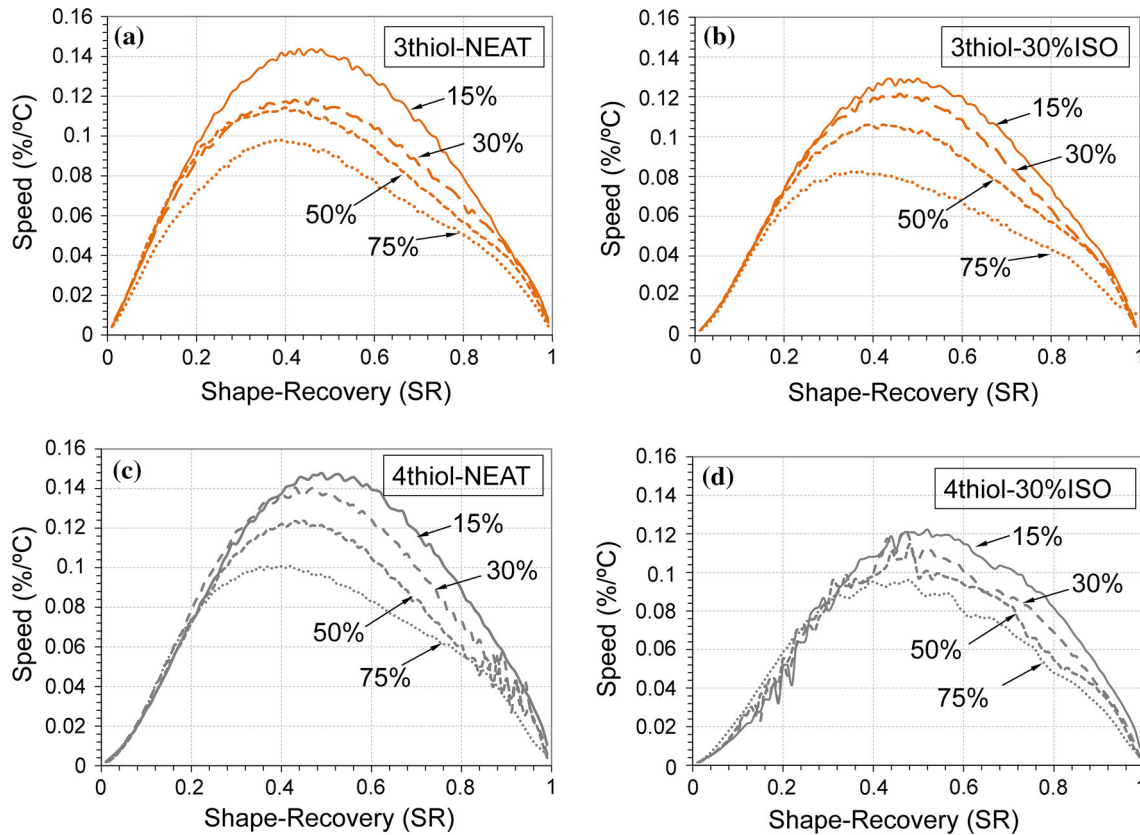


Figure 8 Mathematical transformation of the shape-recovery curves (%/°C) for all the formulations programmed at $T_g^{E'}$ and at different strain levels ($\varepsilon_D = 15, 30, 50$ and 75%).

511 process, but some relevant differences between the
 512 different formulations are found. The materials
 513 behave in a similar way when programming at
 514 $T_g + 20$. At this temperature, the chains easily reach
 515 equilibrium during the loading stage, leading to a
 516 more stable stretched network architecture as
 517 explained above. In consequence, the differences due
 518 to the network structure during the shape-recovery
 519 process are minimized. However, when program-
 520 ming at $T_g^{E'}$ a noticeable shoulder is appreciated in the
 521 final stage of the shape-recovery process. This sug-
 522 gests that the shape-recovery process slows down at
 523 some point, but it finally accelerates as the tempera-
 524 ture increases. As mentioned above, programming at
 525 this temperature, and with such a high level of strain
 526 ($\varepsilon_D = 75\%$), may cause some damage to the material,
 527 resulting in low shape-memory performance and, in
 528 addition, changing the network relaxation dynamics.
 529 When programming at higher temperatures, lower
 530 strain levels are achieved, and this leads to the pro-
 531 gressive disappearance of this shoulder. Some

differences are also observed between 3thiol and 532
 4thiol formulations. In 3thiol formulations, the onset 533
 of the shape-recovery process is accelerated when 534
 programming at T_g and $T_g^{E'}$ and the presence of this 535
 shoulder at the end is more evident. As explained 536
 previously, this may be due to the presence of a side 537
 ethyl chain in the 3thiol systems, which results in a 538
 less packed and unstable network structure after 539
 programming. This facilitates the beginning of the 540
 shape-recovery process [33]. However, differences 541
 vanish when programming at $T_g + 20$, indicating 542
 that differences in network structure become irrele- 543
 vant at higher temperatures, from a qualitative point 544
 of view. 545

Using the same methodology, Fig. 8 shows the 546
 effect of ε_D on samples programmed at $T_g^{E'}$. It can be 547
 observed that the shoulder mentioned above appears 548
 at a certain level of strain, while the maximum speed 549
 (the peak of the curve) progressively decreases as the 550
 strain level ε_D increases. This suggests that the 551
 shoulder is mainly related with the damage in the 552

553 network structure caused by the strain hardening
554 process at high levels of strain, while the decrease in
555 the maximum speed (which eventually becomes in an
556 overall lowering of the shape-recovery rate, see V_r in
557 Table 4) is mostly related to the increasing vis-
558 coelastic recovery time on increasing ε_D due to a
559 change in the network relaxation dynamics.

560 These results suggest that it is possible to use
561 the high stress levels reached when programming at
562 $T_g^{E'}$ at a certain strain level (i.e. $\varepsilon_D = 50\%$) with no
563 relevant damage in the sample and excellent shape-
564 memory performance: nearly 100 % of R_r and equal
565 or even higher V_r in comparison with that obtained
566 when programming at $T_g + 20$. For example, pro-
567 gramming the 3thiol-NEAT formulation at 47.5 % of
568 strain ($\varepsilon_D = 50\%$) leads to around 10 MPa of stress,
569 being both the stress and strain programmed values
570 higher than those reached at $T_g + 20$ (in the 3thiol-
571 NEAT formulation the strain and stress at break
572 values are 37.8 % and around 4 MPa, respectively).
573 This is of crucial importance for applications in which
574 not only enhanced mechanical work is required, but
575 also repeatability without damage is necessary (i.e. in
576 actuators). In this respect, knowledge of the σ - ε
577 programming curves of the shape-memory materials
578 enables a more complete prediction of the shape-re-
579 covery process and its performance than when only
580 using common ratios (R_r , R_f and V_r). Through well-
581 designed network structures and properly chosen
582 programming conditions, it is possible to tailor the
583 shape-recovery process as desired: on increasing the
584 programming temperature (or otherwise decreasing
585 the loading rate to allow chains relaxation) the σ - ε
586 curves become flatter, the network structure is able to
587 completely relax the changes induced while the
588 loading process is taking place, minimizing the
589 energy lost due to viscous friction and therefore
590 changes in the network relaxation dynamics, as well
591 as avoiding permanent and non-recoverable defor-
592 mations in the sample. This leads to high R_r values
593 and more homogeneous shape-recovery processes,
594 but low stress and strain levels are achieved. How-
595 ever, when working at low programming tempera-
596 tures (in which network relaxation takes place during
597 the loading stage), on increasing the strain level, the
598 energy lost progressively increases due to viscous
599 friction, and damage to the sample may occur, lead-
600 ing to non-recoverable strain (lowering the R_r) and
601 modifying the overall path of the shape-recovery

602 process. In conclusion, it is possible to efficiently
603 optimize the programming conditions in order to
604 adapt the operational design of the shape-memory
605 material to the application requirements.

606 Conclusions

607 Materials with homogeneous and well-defined net-
608 work structures were obtained by a thiol-epoxy
609 "click" reaction catalyzed by latent initiators. From
610 the uniaxial tensile experiments at different T_{prog} ,
611 useful predictions of the shape-memory response are
612 possible, not only in terms of common ratios (R_r and
613 R_f) but also of the entire shape-recovery process. The
614 σ - ε curves give us relevant information concerning
615 different stages of the shape-memory response. The
616 high elastic modulus of these materials at the shape-
617 fixation temperature (T_{room}) highlights the good
618 performance in fixing the temporary-shape (R_f was
619 almost 100 %). From the initial change in the slope in
620 the σ - ε curves at the optimal mechanical point
621 ($T_g^{E'} < T_g$), it can be deduced that network relaxation
622 takes place during the loading process, leading to a
623 loss of energy due to viscous friction of the chains.
624 Moreover, at the end of the curves, an increase in the
625 slope shows hardening and therefore damaging
626 processes, which may cause permanent and non-re-
627 coverable deformations. The shape-memory experi-
628 ments show that the network relaxation during
629 programming does not modify the shape-recovery
630 ratio (the sample is able to fully recover its original
631 shape) at moderate strain levels, but decreases the
632 shape-recovery rate V_r . However, exceedingly high
633 programming strain leads to damaging processes,
634 and/or permanent deformations may take place,
635 modifying the whole shape-recovery process and
636 impeding the completion (i.e. low values of R_r). In
637 contrast, when programming at higher temperatures
638 ($T_{prog} \gg T_g$), the curves are flatter and no changes in
639 the slope are appreciated. The chains are able to
640 completely reorganize the changes induced during
641 the loading process, and therefore no network
642 relaxation and energy losses take place. Because of
643 the lower strain level, no permanent or non-recover-
644 able deformation occurs, leading to R_r of nearly
645 100 % and homogeneous shape-recovery process
646 regardless of the strain level. In addition, the network
647 structure has a minor role at this temperature and
648 there are no differences between formulations.

649 Nevertheless, the major drawback is the low stress
650 and strain values achieved at this temperature, which
651 may be overcome by well-designed network struc-
652 ture architectures and by an appropriate choice of
653 programming conditions at lower programming
654 temperatures.

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