

1 SIMPLIFIED METHODOLOGY TO EVALUATE THE EXTERNAL SULFATE 2 ATTACK IN CONCRETE STRUCTURES

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12 13 ABSTRACT

14
15 The external sulfate attack is a degradation process that causes expansion and cracking
16 in concrete structures. Due to the absence of simplified methodologies to predict the
17 potential damage, codes specify that sulfate resistant cement should be used whenever
18 the surrounding sulfate concentration surpasses a predefined limit. This may lead to
19 penalizing measures as the size of the element or the mechanical properties of the
20 concrete used are not considered. In the present work, an alternative approach is
21 proposed. A simplified chemo-mechanical methodology is deduced to assess the
22 potential damage in concrete elements exposed to sulfate rich environments. Equations
23 to estimate the penetration of sulfates are derived from a numerical model taking into
24 account sulfate consumption, acceleration of the penetration induced by cracking and
25 decrease in diffusivity caused by pore filling. Failure modes associated to this
26 phenomenon are analyzed and a set of equations to assess the risk of failure are
27 deduced. Finally, a parametric study with different geometries of elements and
28 surrounding sulfate contents is performed. The results show that the criterion included
29 in codes might be modified depending on the characteristics of the structure.

30 **Keywords: Concrete; Durability; External sulfate attack; Diffusion; Failure**

31 32 1.- INTRODUCTION

33
34 The external sulfate attack (ESA) is a complex phenomenon in which chemical
35 reactions, ionic transport and mechanical damage interact with each other, leading to
36 expansions and degradation in concrete structures [1-4]. The degradation progresses as
37 sulfate ions from the outside penetrate the element and react with compounds from the
38 hydrated cement paste. As a result, a multi-layered pattern is formed with an external
39 damaged layer and an internal sound core [5]. The mechanical interactions between
40 these zones play a major role in determining the damage induced by the attack.

41
42 The ESA has special relevance in underground or foundation structures in contact with
43 sulfate-rich soils. Such structures remain covered during most of their service life,
44 which compromises the early diagnostic of the attack that may only be detected after
45 severe material degradation has occurred. The existing tools to account for potential

46 damage caused by ESA in real structures may be gathered in three main groups:
47 procedures included in building codes, empirical models and integrated models.

48

49 Building codes have traditionally specified precautionary measures to protect concrete
50 against this type of attack. The most common approach is based on the definition of
51 exposure classes regulated by the sulfate content in the media surrounding the structure.
52 Depending on the classification obtained, prescriptions of maximum water/cement ratio,
53 minimum compressive strength and type of cement should be followed to avoid
54 durability problems (e.g. Model Code 2010, BS 8500-1:2006, ACI 201.2R-08, UNE EN
55 206-1:2008). Notice that the size of the element under study or the mechanical
56 properties of the concrete used are not considered. In fact, through the application of
57 this criterion, practitioners are not able to predict the potential damage of the attack or
58 the compliance of a minimum service life. This may lead to penalizing measures and
59 cost overruns as a result of unnecessary use of sulfate resistant cement in some cases.

60

61 Several empirical models have been developed to quantify the spalling depth or the
62 evolution of expansions of concrete elements exposed to ESA. Most of them are based
63 on experience or accelerated laboratory tests performed with small specimens (e.g. [6-
64 8]). The main drawbacks associated with these models are their limited applicability
65 since they are only valid for elements subjected to the same conditions used in the tests.
66 Integrated models take into account the transport of ions, the chemical reaction and the
67 microstructural damage through several differential equations, whose solution
68 commonly require iterative procedures. At present, these models provide the most
69 precise assessment of the ESA. However, the complexity of the equations involved and
70 the high computational cost for their solution may not be accessible to practitioners and
71 certainly are not compatible with the philosophy of most design codes. Besides, the
72 majority of the integrated models from the literature are only capable of predicting the
73 expansion and the damage at a micro-scale level. To estimate the macro-structural
74 response in terms of cracking and failure, advanced structural models should be used,
75 thus compromising even more the straightforward assessment of the attack.

76

77 It is evident that a simplified methodology for the assessment of the potential damage
78 caused by the ESA compatible with the philosophy of building codes is still needed.
79 The objective of this paper is to propose this simplified methodology considering both
80 the micro and macro scale effects. First, the latest integrated models from the literature
81 are analyzed. Based on this, the model by Ikumi et al. [9] is selected and used to derive
82 straightforward equations for the reactive-transport phenomenon accounting for the
83 sulfate consumption, the acceleration of the penetration due to micro-cracking and the
84 decrease in diffusivity due to pore filling. Then, a comprehensive study of the common
85 mechanical failure modes associated with the ESA is presented and a set of simplified
86 equations to assess the failure of the structure are derived. A parametric study is
87 conducted to evaluate the methodology proposed for different geometries under a wide
88 range of realistic field conditions. Based on this study, reference values are proposed for
89 the aluminate content depending on the type and the dimensions of the structure.

The methodology developed here represents a step forward on how to assess the ESA explicitly in the design of concrete structures. It allows a more detailed evaluation of the durability of the structures since the specific conditions and expected service life are considered. As a result, an optimized definition of precautionary measures may be obtained for each application.

2.- INTEGRATED MODELS

Table 1 summarizes some of the integrated models developed during the last decade. This table does not include models that consider ettringite formation through a solid-state mechanism since it is believed that it must occur through solution [10].

Table 1. Integrated models developed during the last decade

Authors	Year	Expansion mechanism	Expansive products
Tixier and Mobasher [11,12]	2003	Volume increase	Ettringite
Bary et al. [13]	2008	Crystallization pressure	Ettringite and gypsum
Sarkar et al. [14]	2010	Volume increase	Ettringite
Idiart et al. [15]	2011	Volume increase	Ettringite
Zuo et al. [16]	2012	Volume increase	Ettringite
Cefis and Comi [17]	2014	Volume increase	Ettringite
Bary et al. [18]	2014	Crystallization pressure/Volume increase	Ettringite
Ikumi et al. [9]	2014	Volume increase	Ettringite
Nie et al. [19]	2015	Volume increase	Ettringite

Controversy still exists on basic topics of the ESA, especially regarding the expansion mechanism and the gypsum role on the expansion process [3,20]. Amongst the several mechanisms suggested to explain how the precipitation of ettringite leads to expansion, mainly two theories have been implemented in comprehensive models: the volume increase and the crystallization theories.

According with the first of them, expansions are a result of the additional volume generated by ettringite formation [11,21]. In this case, the response of the matrix and the expansive stresses are calculated from the imposed volumetric strains. According with the second of them, expansions are caused by the crystallization pressure exerted on the pore walls due to the formation of a supersaturated solution within small pores [22,23]. In this case, the actual driving pressure that will be translated into strains is obtained by different modifications of the Correns equation [24,25]. Even though the latter theory is supported by recent publications [10,26,27], it requires a very complex chemical approach once the evolution of phases in the pore solution has to be monitored during the attack in terms of chemical activities. Moreover, it was shown by [13] that, despite predicting the cracking state with a relatively good accuracy, this theory leads to macroscopic expansions about two orders of magnitude smaller than the found in experimental data. According to Zhang et al. [28], the reason is that the crystallization pressure assessment is based in an elastic approach that does not account for

124 microcracking and differed deformations (creep), which may play an important role in
125 the final strain measured.

126

127 In a recent publication [18], Bary et al. tried to solve this issue by introducing an
128 additional macroscopic bulk strain due to the increase of volume produced by secondary
129 ettringite formation. The authors used the equation derived by Tixier and Mobasher [11]
130 and subsequently used by many other researchers [9,14,15]. By adding this
131 consideration, the expansions are a result of both the additional volume generated by
132 ettringite formation and the crystallization pressure exerted on the pore walls by the
133 supersaturated solution. Free expansions calculated with this approach is similar to the
134 obtained in the test of specimens. Bary et al. also pointed out that the contribution of the
135 crystallization pressure is negligibly small compared to the bulk strain produced by
136 secondary ettringite formation [18], which would indicate that the volume increase is
137 the overriding factor in the macroscopic strain evolution.

138

139 Like Bary et al., other studies from the literature [9] also suggest that the volume
140 increase and the crystallization theories may be compatible as they probably represent
141 two different stages of the sulfate attack. When the solubility limit of ettringite is
142 reached due to the ingress of sulfate ions, the system always tend to return to an
143 equilibrium state through ettringite precipitation. When this energy cannot be released
144 by crystal precipitation, it is released in the form of pressure to the pore walls and the
145 subsequent microcracking as described in the crystallization pressure theory.
146 Microcracking decreases the pressure conditions in the pore, thus allowing ettringite to
147 precipitate near the cracks. Therefore, macroscopic free strains increase proportionally
148 to the amount of ettringite precipitated, being the volume increase inherent to this
149 chemical reaction the driving force of the macroscopic strains. In other words, the
150 initiation of the macroscopic strains arises mainly from the action of the crystallization
151 pressure, while the macroscopic free expansions are explained by the volume increase.

152

153 Considering that the aim of the present study is to generate simplified models capable of
154 estimating the expansive strain and the failure at a macro-structural level, an integrated
155 model based on the volume increase was selected. This also contributes to a more
156 straightforward approach since a smaller number and simpler input parameters are
157 required to estimate the expansions in the volume increase theory. Therefore, the
158 integrated model by Ikumi et al. [9] was selected as the basis to develop the simplified
159 methodology since it also introduces a more direct and intuitive consideration for the
160 damage assessment and thereby facilitate the definition of the input parameters.

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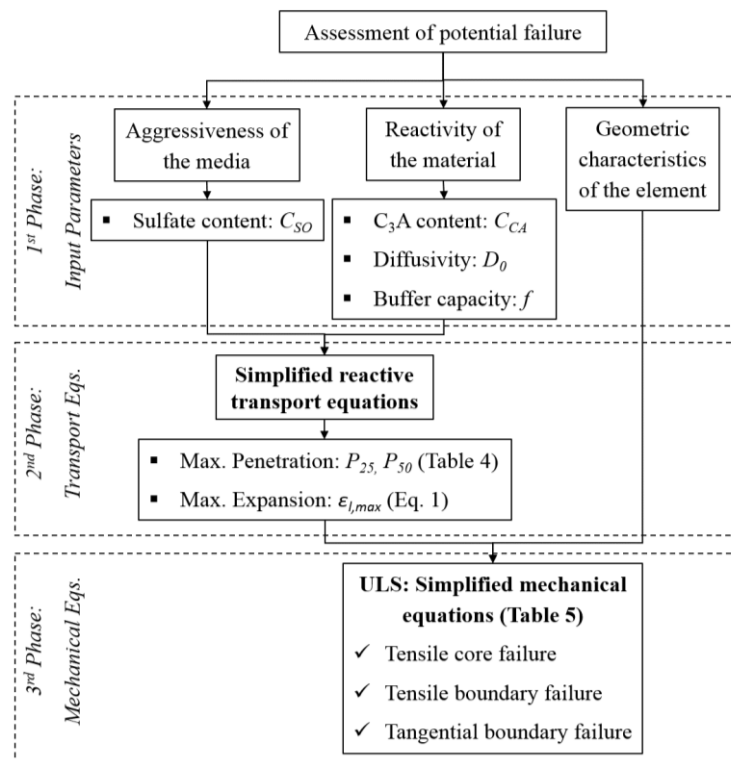
162 It is important to remark that this model only provides the expansion and the damage at
163 a small-scale level. To evaluate the overall response at a macro-scale level and the
164 failure of the structure, Ikumi et al. [9] and other authors suggest that the expansions
165 estimated should be implemented in finite element models that account for the
166 mechanical response of the structure. This represents an important limitation found in

167 practically all integrated models from the literature, which are unable to provide a
 168 straightforward verification of the durability of the structure in terms of global failure.

169
 170 **3.- SIMPLIFIED METHODOLOGY**
 171

172 The methodology proposed in this paper is based on the application of a set of
 173 simplified equations to assess the extent of the reactive-transport process and the
 174 possible mechanical structural failure at a given service life. As outlined in Figure 1, the
 175 aggressiveness of the media and the reactivity of the material define the input
 176 parameters for the simplified reactive-transport equations that provide the maximum
 177 sulfate penetration and the maximum expansion in the damaged layer of the element.
 178 This information combined with the geometric and mechanical characteristics of the
 179 element allows the verification of the most common mechanical failure modes. If no
 180 mechanical failure occurs and the serviceability is not compromised, it is considered
 181 that the structure will comply with the required service life.

182



183

184 *Fig. 1. Outline of the simplified methodology for the durability assessment of the ESA*

185

186 **3.1- SIMPLIFIED REACTIVE-TRANSPORT EQUATIONS**

187

188 In this section, simplified equations to quantify the maximum penetration of the sulfate
 189 front and the maximum linear micro-strains at 25 and 50 years are deduced. As these
 190 equations are derived from the model described by Ikumi et al. [9], firstly the main
 191 features of the model and the hypothesis adopted here are presented.

192 **3.1.1 Hypothesis adopted based on the integrated model by Ikumi et al. [9]**

193

194 It is assumed that expansions are caused by the volume increase associated to secondary
 195 ettringite formation, being the expansive nature of gypsum disregarded. To simplify the
 196 model, all hydrated aluminates are considered in the form of monosulfate ($C_4A\bar{S}H_{12}$)
 197 since this should be the predominant aluminate phase in hydrated Portland cement
 198 pastes at long ages.

199
 200 Sulfate and aluminate concentrations are computed through a diffusion-reaction model
 201 based on the Fick's second law, which takes into account the ingress of sulfate ions
 202 under a concentration gradient and its depletion due to ettringite formation. The
 203 effective diffusivity (D) is affected by pore filling, which reduces the paths for
 204 additional sulfate diffusion, and micro-cracking and spalling of the cementitious matrix.
 205 The latter increases the diffusivity as more paths towards the inner layers may be found.
 206

207 The upper bound of the diffusivity reached when the material is completely damaged is
 208 set to 10^{-10} m²/s. This value is slightly below the diffusivity of sulfates in free solution,
 209 which Gerard and Marchand [29] quantified as 10^{-9} m²/s for ions able to move freely
 210 within cracks. For the simulations performed in this paper, the value of c_1 and c_2
 211 described in [9] were defined respectively as 3 and 6.93, in accordance with the
 212 recommendation from [30]. The characteristic cracking length (l_{ch}) is fixed at 26 mm,
 213 following the validation by [9].
 214

215 Expansions at micro scale are calculated by the additional volume generated by the
 216 reaction product ($\Delta V/V$) [21]. This calculation gives a 55% volume increase when
 217 monosulfate is converted into ettringite. The total linear strain (ϵ_1) associated with this
 218 expansion is computed by multiplying the expansion factor by the amount of
 219 monosulfate reacted ($C_{C_4A\bar{S}H_{12}}^{react}$), as described in Eq. 1. The term M/ρ corresponds to the
 220 molar volume of monosulfate and $C_{C_4A\bar{S}H_{12}}^{react}$ is expressed as a molar concentration.
 221 Notice that the maximum expansive strain ($\epsilon_{1,max}$) may be calculated with Eq. 1 by
 222 assuming that all monosulfate react to form ettringite.
 223

$$\epsilon_1 = \left(1 + \frac{\Delta V}{V} \frac{M}{\rho} C_{C_4A\bar{S}H_{12}}^{react} - f\varphi_0 \right)^{1/3} - 1 \quad (1)$$

224
 225 Since ettringite precipitates within the pore network, the matrix is able to accommodate
 226 a certain amount of expansive product without exerting any pressure to the pore walls
 227 [11,14,31]. Consequently, not all aluminate present will generate expansions. The
 228 expression presented by Tixier & Mobasher [11] is used to estimate the buffered
 229 expansion. This is represented in the second term of Eq. 1, in which φ_0 is the initial
 230 porosity of the matrix and f is the fraction of this porosity that may be filled by
 231 expansive products before expansions occur. According with Tixier & Mobasher [11], f
 232 usually ranges between 0.05 and 0.40.
 233
 234

235 3.1.2- Intensification effect in radial fluxes

236

237 In the majority of underground structures subjected to the ESA, the diffusion flux may
 238 be classified as approximately linear - typical in diaphragm walls or tunnels - or radial-
 239 typical in piled foundations. Transport processes in radial direction are subjected to flux
 240 intensification as sulfate penetrates towards the center of the element, concentrating at a
 241 smaller area. Conversely, no intensification occurs in a linear flux.

242

243 In a simplified methodology, it is convenient to minimize the number of equations
 244 proposed. Therefore, prior to deriving the simplified equations, the sulfate penetration
 245 in radial elements is compared with that from linear elements. The aim is to demonstrate
 246 that both provide similar results for most real size structures, thus justifying the use of
 247 the linear flux formulation for the majority of cases.

248

249 The maximum penetration depths obtained through linear and radial flux approximation
 250 were compared for radius ranging from 5 to 50 cm. A minimum sulfate content of 1%
 251 of the sulfate concentration in the external surface is defined as a threshold to calculate
 252 the penetration depth. Table 2 presents the parameters used in the analysis defined
 253 according with the literature. The material used corresponds to a concrete with 350
 254 kg/m³ of cement that contains 80% clinker and 10.8 % of C₃A. Total time simulated is
 255 fixed at 25 years. Space intervals of 0.25 mm and variable time steps were considered to
 256 ensure stability and convergence.

257

258 *Table 2. Parameters used in the preliminary study of the flux intensification effect*

k [m ³ /mol·s]	D_0 [m ² /s]	C_{SO} [mol/m ³ _{water}] ([g/l])	C_{CA} [mol/m ³ _{concrete}] ([%C ₃ A])	f_{cm} [MPa]	f	ϕ_o
0 or 10 ⁻⁸	10 ⁻¹²	34.37 (3.3)	112 (10.8)	30	0.05	0.1

259

260 Figure 2 shows the penetrations depths obtained in the analysis. When no chemical
 261 reaction is considered ($k = 0$), the radial and the linear flux provide approximately the
 262 same penetration depth for radius of more than 20 cm. The flux intensification observed
 263 in radial fluxes reduces the entrance of sulfates and decreases slightly the penetration.
 264 This is reasonable since the rate of transfer of a substance in accordance with Fick's
 265 second law is proportional to the concentration gradient measured along the diffusion
 266 direction. Once the differences in concentrations are smaller in radial fluxes due to the
 267 intensification effect, smaller penetration depths should be expected.

268

269 When sulfate depletion caused by the chemical reaction is considered ($k=10^{-8}$
 270 m³/mol·s), the penetration depth is reduced approximately by a factor of 8 in both
 271 models. This indicates that chemical reactions are the governing process in the initial
 272 stages of the transport phenomenon. In this case, the linear flux and the radial flux
 273 approaches provide virtually the same results.

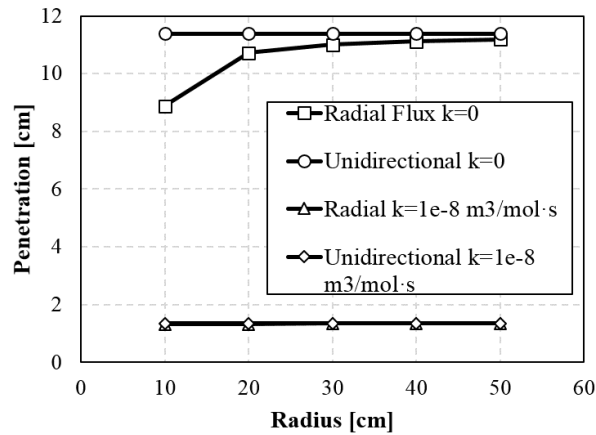


Fig. 2. Flux intensification effect

274
275
276

277 The analysis performed confirms the small differences between both approaches
278 simulated, especially when the chemical reactions are considered. Moreover, the linear
279 flux approximation provides a prediction of the penetration depth on the safe side given
280 that slightly higher values are obtained. Therefore, in this work a linear flux is adopted
281 for all structural typologies, thus avoiding the definition of two different formulations
282 and the consideration of the element size in the simplified reactive-transport equations.

283

284 3.1.3- Definition of input parameters

285

286 To derive the simplified transport equations, penetration depths obtained through the
287 model by [9] are fitted to a straightforward numerical formulation. Since several
288 parameters are needed in the model by [9], the first step to obtain simplified equations is
289 to detect which of the parameters are the most relevant. For that, a sensibility analysis is
290 conducted with the values defined in Table 3, which are based on recommendations
291 from the literature and found in practice. The range defined for the aluminate content
292 (C_{CA}) correspond to a concrete with 350 kg/m³ of cement that contain 80% of clinker
293 and from 4% to 12% of C₃A. The reference value is equivalent to cement with 8% of
294 C₃A.

295

296

Table 3. Ranges of parameters and penetration front variation in sensibility analysis

Parameter	Minimum	Reference	Maximum	Penetration depth variation [cm]
C_{SO} [mol/m ³ _{water}] ([g/l])	6.25 (0.6)	34.37 (3.3)	62.5 (6.0)	1.4
D_0 [m ² /s]	10 ⁻¹²	5 · 10 ⁻¹²	10 ⁻¹¹	1.4
f [-]	0.0	0.1	0.4	0.8
C_{CA} [mol/m ³ _{concrete}] ([%C ₃ A])	41 (4)	83 (8)	124 (12)	0.8
φ_o	0.08	0.10	0.14	0.3
f_{cm} [MPa]	20	30	40	0.1

297

298 In practice, the kinetics of the reactions (k) and the temperature might affect the
299 penetration of sulfates. However, nowadays no widely accepted test to quantify this
300 parameter is available. Therefore, it does not seem reasonable to leave the selection of k
301 to the final user. For that reason, k is not considered as a variable in this study. Instead,

302 a constant k of 10^{-8} m³/mol·s was selected for all analyses given that other authors
 303 traditionally assume values that range from 10^{-10} to 10^{-6} m³/mol·s [32].

304

305 In the sensibility analysis, a linear flux was simulated considering the same time steps
 306 and mesh size of section 3.1.2. Simulations were performed by varying parameters one
 307 by one between the maximum and the minimum values, whereas the other parameters
 308 were kept equal to the reference values. This procedure is repeated for all parameters
 309 from Table 3. In each case, the difference between the penetration depths estimated with
 310 the maximum and the minimum values is calculated and presented in Table 3.

311

312 The sulfate content and the initial diffusion coefficient are the most influencing
 313 parameters. Conversely, the compressive strength and initial porosity show a smaller
 314 influence over the penetration depth. Based on these results, C_{SO} , D_0 , f and C_{CA} are
 315 considered explicitly as input variables of the simplified reactive-transport equations.
 316 On the other hand, f_{cm} and φ_o are assumed constant and equal to their reference values
 317 for the estimation of the equations that govern the reactive-transport phenomenon
 318 (notice that f_{cm} is a variable in the equations developed in later sections to assess the
 319 risk of failure).

320

321 3.1.4- Proposal of equations

322

323 A new study with the model by Ikumi et al. [9] was conducted to derive the simplified
 324 reactive-transport equations. At this time, simulations were performed with multiple
 325 combinations of the most influencing parameters with several values within the ranges
 326 listed in Table 3. More than 2000 simulations were completed at 25 and 50 years. Once
 327 a sufficiently big database of penetration depths was obtained, a nonlinear numerical
 328 regression was applied to derive the simplified equations that yield the best fit with the
 329 numerical results. The final formulations obtained to estimate the penetration depth at
 330 25 and 50 years (P_{25} and P_{50}) are presented in Table 4.

331

332

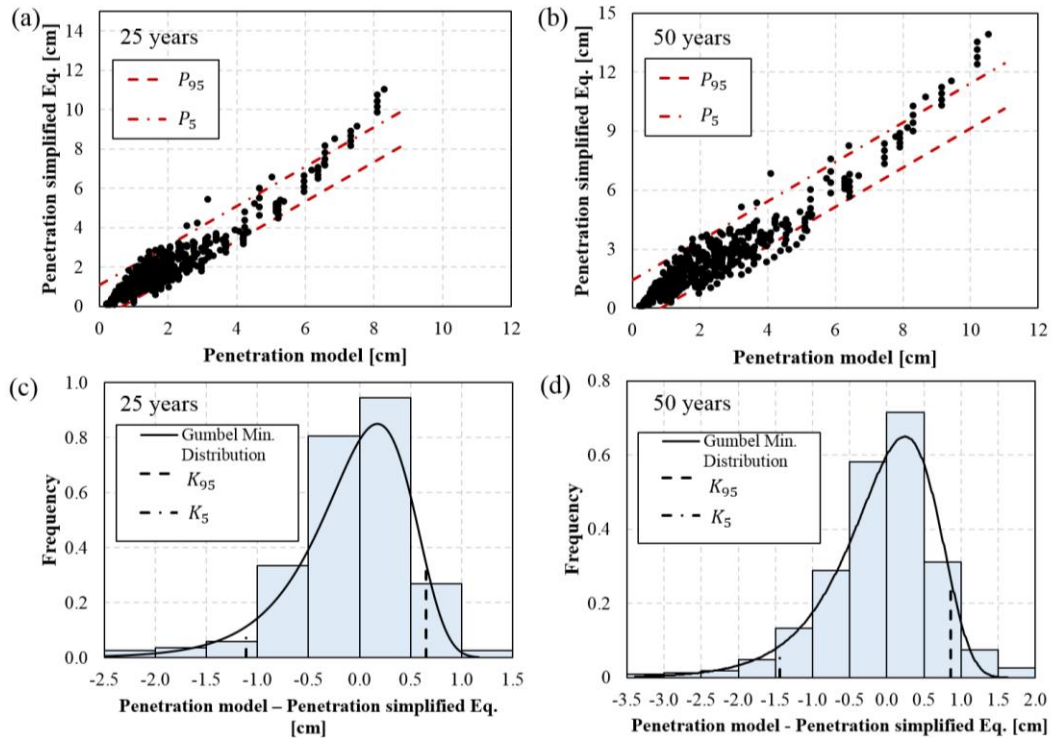
Table 4. Simplified reactive-transport equations

Service Life [years]	Simplified reactive transport formulation [cm]	K ₉₅ [cm]
25	$P_{25} = (7e10D_0 + 0.035C_{SO}) \exp\left(\frac{6.65e11D_0+10.737}{C_{CA}} - \frac{1e-10}{35D_0}f\right)$ (2)	0.65
50	$P_{50} = 1.26P_{25}$ (3)	0.86

333

334 The initial diffusivity (D_0) is introduced in m²/s, whereas the aluminat content (C_{CA}) is
 335 expressed in mol per cubic meter of concrete. The sulfate content (C_{SO}) is expressed in
 336 mol of sulfate per cubic meter of water. As these equations are deduced from the model
 337 described by Ikumi et al. [9], sulfate consumption, acceleration of the penetration due to
 338 cracking and decrease of diffusivity due to pore filling are indirectly considered. Figure
 339 3.a and 3.b depict the correlation between the penetration depths obtained through the
 340 integrated model by [9] and with Eq. 2 or Eq. 3. Correlation coefficients of 0.91 and

341 0.90 were obtained, respectively. Notice that both equations are applicable as long as
 342 the input parameters remain within the ranges defined in Table 3.
 343



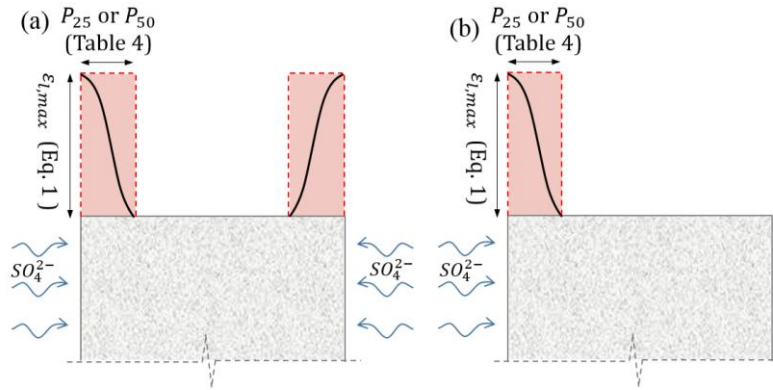
344
 345 *Fig. 3. Correlation between penetration obtained with integrated model and simplified*
 346 *equation (a and b); Gumbel distribution to assess error of estimation (c and d)*
 347

348 Even though the simplified reactive-transport equations provide a fair approximation of
 349 the integrated model, in some situations it might be necessary to use estimations on the
 350 safe side. Therefore, a statistical analysis was performed in order to assess the error of
 351 prediction of Eq. 2 and Eq. 3. As shown in Figures 3.c and 3.d, the frequency of the
 352 error of estimation was fit to a Gumbel distribution (minimum extreme value type I).
 353 Then, the distribution was used to assess the minimum penetration depth that had to be
 354 summed to the obtained with the simplified equations in order to assure a 95% of
 355 probability of achieving values above the calculated with the integrated model by [9].
 356 This additional value (K_{95}) is shown in Table 4 and should be directly added to Eq. 2
 357 and Eq. 3 in case a safer estimation is required.

358
 359 For the chemical reaction rate (k) considered in the literature, it has been demonstrated
 360 that the aluminates of the exterior layers are rapidly consumed by the ingressing sulfates
 361 [9]. This means that the maximum expansive strain ($\epsilon_{1,max}$) is rapidly reached at the
 362 surface layers of the element. Once the aluminates are consumed, the sulfates advance
 363 at a higher rate to the inner layers, reacting with new aluminates present. Hence, an
 364 abrupt variation of the expansion should occur close to the penetration front.

365
 366 Figure 4 shows in continuous lines the typical strain profiles due to ESA in structures
 367 under symmetric (sulfate insource from all sides) or asymmetric sulfate exposure

368 conditions (sulfate insource from only one side). To simplify the structural
 369 consideration of the ESA, the strain profile depicted with the red discontinuous line is
 370 used instead. It assumes that $\varepsilon_{l,max}$ estimated with Eq. 1 occurs along the whole
 371 penetration depth obtained with Eq. 2 or Eq. 3, which is a hypothesis on the safe side.
 372



373
 374 *Fig. 4. Strain profiles for symmetric (a) and asymmetric (b) sulfate exposure*
 375

376 3.2- SIMPLIFIED MECHANICAL EQUATIONS

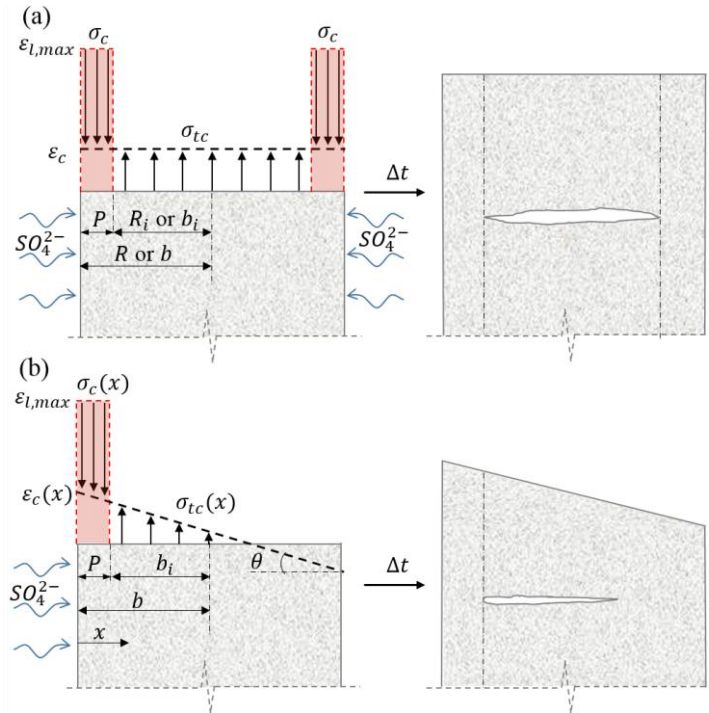
377
 378 Although expansion due to ettringite formation is concentrated in the surficial layers,
 379 strains also appear in the sound core of the element to maintain compatibility. In fact,
 380 the sound core acts as a restriction that reduces the expansion calculated with Eq. 1. An
 381 auto-balanced tension state is generated, leading to possible mechanical failures outside
 382 the zone directly affected by the sulfate penetration and by the attack. Three failures
 383 modes are distinguished: tensile failure of the sound core, tangential failure and tensile
 384 failure in the boundary between the surficial layers and the sound core.

385
 386 Micro-cracks in the external layers of the element due to high compressive stresses are
 387 usually developed prior to any failure mode. Generally, the micro-cracking is localized,
 388 affecting only a few millimeters closer to the surface. Therefore, it is not considered a
 389 failure mode as it does not imply the macro-structural failure of the element. The
 390 superficial micro-cracking modifies the local mechanical properties and the sulfate
 391 diffusion coefficient. This phenomenon is taken into account in the simplified
 392 methodology by a degradation of the elastic modulus and an increase in the diffusion
 393 coefficient in the zone directly affected by the sulfate penetration. Notice that
 394 interactions with other elements in contact with the structure directly under attack (such
 395 as external loads or strain constraints in specific directions) could modify the stresses
 396 profile and affect the failure. However, these considerations cannot be included in a
 397 simplified methodology as they will vary depending on each study case.

398 399 3.2.1- Tensile failure of the sound core

400
 401 The expansions of the outer layers along the length of the element are restrained due to
 402 the stiffness provided by the sound inner core. This causes normal compressive stresses

403 (σ_c) at the surficial layers, while normal tensile stresses (σ_{tc}) appear at the sound inner
 404 core. If σ_{tc} reaches the tensile strength of concrete, the inner core might crack, reducing
 405 significantly the restrains applied to the external layers. This might produce a release of
 406 the restricted strains and an abrupt displacement of the structure. In Figure 5, such
 407 situation is represented before and after cracking for elements under symmetric and
 408 asymmetric sulfate exposure conditions.
 409



410
 411 *Fig. 5. Normal stress distribution in symmetric (a) and 1 face (b) sulfate exposure.*
 412

413 As an approximation, it is assumed that the Navier-Bernoulli hypothesis applies to the
 414 cross-section of the element. This means that the original cross-section (before any
 415 expansion occurs) should remain plane after the expansions take place. Consequently,
 416 the final strain (ϵ_c) of the cross-section should follow the profile depicted as a
 417 discontinuous line in Figure 5. By imposing equilibrium in a simple sectional analysis
 418 (Eq. 4 to 6), it is possible to assess σ_c and σ_{tc} .
 419

$$N = 0 = \int_{Area} E(x) \cdot (\epsilon_c(x) - \epsilon_l(x)) \partial Area \quad (4)$$

$$M = 0 = \int_{Area} E(x) \cdot (\epsilon_c(x) - \epsilon_l(x)) x \partial Area \quad (5)$$

$$\epsilon_c(x) = \epsilon_c(x = 0) + \zeta x \quad (6)$$

420
 421 The term ζ ($\ll 1$) represents the curvature of the cross-section. The stress level is
 422 calculated with Eq. 7 by multiplying the elastic modulus of the material (E) and the
 423 difference between the total strain (ϵ_c) and the non-mechanical strain (ϵ_l).
 424

$$\sigma(x) = E(x) \cdot [\varepsilon_c(x) - \varepsilon_l(x)] \quad (7)$$

425

426 The value of E is affected by the damage induced by the ESA, varying along the cross-
 427 section. In this sense, the sound core presents an elastic modulus E_0 that should be
 428 higher than the elastic modulus E_e of the external layer affected by microcracking. To
 429 account for this effect on the structural formulation, E_e is assumed constant along the
 430 external layer, whereas E_0 is set constant in the sound core. Even though no consensus
 431 exists in the literature on the quantification of the degradation of mechanical properties,
 432 most studies suggest that the strength loss for specimens may range between 10-50%
 433 [33,34].

434

435 Creep deformations were not considered in the simplified methodology proposed here
 436 as this implies an iterative calculation that would compromise the straightforwardness
 437 of the approach. Notice that creep deformations would reduce the internal stress level of
 438 the structural element, allowing the accommodation of part of the expansions.
 439 Therefore, disregarding creep effects is a simplification on the safe side since it would
 440 lead to the calculation of higher stresses than the expected in reality. However, this
 441 assumption also affects the assessment of the displacements and the verification of the
 442 serviceability limit state since smaller strain levels than the expected in reality would be
 443 obtained with the formulation proposed here. If high precision is required in the
 444 assessment of the displacement, differed strains should be taken into account.

445

446 By solving Eq. 4 to 6 for different structural typologies and sulfate exposures, Eq. 8 to
 447 10 are obtained to predict the maximum tensile stresses acting at a certain time in the
 448 cross-section (see Table 5). In the case of piles, R represents the total radius of the
 449 cross-section and R_i is the radius of the sound core given by the difference between R
 450 and the penetration depth P calculated with Eq. 2 or 3. In the case of diaphragm walls or
 451 tunnels, b represent the half thickness of the element.

452

453

Table 5. Simplified equations to predict the maximum stresses due to ESA

Struct. typology	Sulfate exposure	Tensile failure of the sound core	Tangential boundary failure	Tensile boundary failure
Piles (linear elements)	Full	$\sigma_{tc} = \frac{E_0 E_e \varepsilon_l (R^2 - R_i^2)}{E_e (R^2 - R_i^2) + E_0 R_i^2} \quad (8)$	$\tau_b = \frac{E_0 E_e \varepsilon_l (R^2 - R_i^2) R_i \beta_r}{2(E_e (R^2 - R_i^2) + E_0 R_i^2)} \tanh\left(\frac{\beta_r l}{2}\right) \quad (13)$	$\sigma_{tb} = \frac{\varepsilon_l E_e P}{R_i} \quad (17)$
Diaphragm walls or tunnels (surface elements)	2 faces	$\sigma_{tc} = \frac{E_0 E_e \varepsilon_l P}{E_e P + E_0 (b - P)} \quad (9)$	$\tau_b = \frac{E_0 E_e \varepsilon_l P b_i \beta}{E_e P + E_0 (b - P)} \tanh\left(\frac{\beta l}{2}\right) \quad (14)$	-
	1 face	$\sigma_{tc} = \frac{E_0 \varepsilon_l P (3P^2 - 9Pb + 8b^2)}{4b^3} \quad (10)$		

454

455 All equations included in Table 5 are able to assess mechanical failures at any time, as
 456 long as the penetration of sulfates are provided. The different mechanical failure modes
 457 considered and the corresponding stresses are treated separately in this paper. Even
 458 though a certain interaction might occur, it was assumed that one of the failure modes

459 would happen because of the predominant stress. In case a more accurate prediction of
 460 the structural failure is needed, stresses from different mechanisms should be treated in
 461 a coupled way and more advanced simulations should be performed, for instance with
 462 coupled FEM.

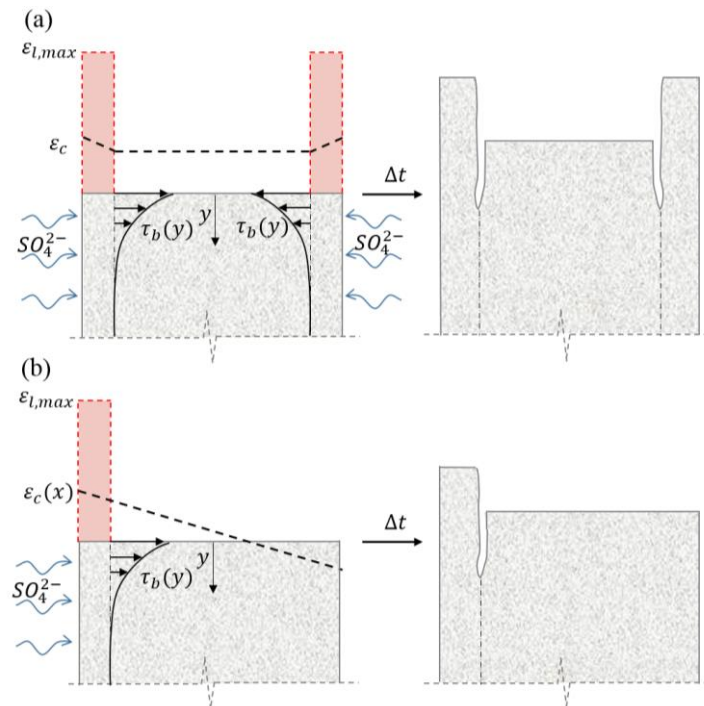
463

464 3.2.2- Tangential boundary failure

465

466 Experimental studies show that mortar prisms exposed to ESA tend to present a layered
 467 spalling of the surface [5,15]. One of the possible mechanisms responsible for this
 468 phenomenon is depicted in Figure 6.

469



470

471 *Fig. 6. Tangential stress distribution in symmetric (a) and 1 face (b) sulfate exposure.*

472

473 Even though at intermediate sections the normal stresses distribution described in
 474 section 3.2.1 guarantees the compatibility of the deformation, at the top free cross-
 475 section of the element the compatibility has to be achieved through alternative
 476 mechanisms since no normal stresses exist. The difference in terms of vertical
 477 displacement creates tangential stresses between the surface layers affected by the ESA
 478 and the sound core. These should guarantee the compatibility of displacements at the
 479 extremities of the element. If the tangential stresses reach the tangential strength of the
 480 material, cracks might appear leading to the failure of the structure.

481

482 In this study, an analogy with the classical Mixing Theory for short fibers is applied
 483 [35-37] in order to deduce the equations to assess the tangential stresses. By imposing
 484 equilibrium and compatibility, Eq. 11 is obtained to estimate the tangential stresses (τ_b)
 485 between the sound core and the surface layers at the position y along the axis of a pile.
 486 In this equation, l is the length of the element, β_r is a coefficient given by Eq. 12 and G

487 is the elastic shear modulus of concrete, which may be estimated from the elastic
 488 modulus. The maximum tangential stresses are located at the extremities of the element
 489 so that y should be substituted by 0 in Eq. 11. This gives Eq. 13 (see Table 5) for the
 490 assessment of the maximum tangential stresses in piles.
 491

$$\tau_b(y) = \frac{E_0 \varepsilon_c R_i \beta_r \sinh \left[\beta \left(\frac{l}{2} - y \right) \right]}{2 \cosh \left(\beta \frac{l}{2} \right)} \quad (11)$$

$$\beta_r = \sqrt{\frac{2G}{E_0 R_i^2 \ln \left(\frac{R}{R_i} \right)}} \quad (12)$$

492 Analogous deductions may be performed for diaphragm walls or tunnels. Eq. 14 is
 493 obtained for such elements exposed to sulfates at 2 faces (see Table 5). The parameter β
 494 should be calculated according with Eq. 15. The same formulation is also adopted when
 495 elements are exposed to the sulfate ingress only in one face. The curvature introduced
 496 by the asymmetric load increases the macroscopic strain in the external damaged layer
 497 and reduces the compressive stresses in this region. Therefore, the tangential stresses
 498 transmitted to the sound core are reduced, leading to an assessment on the safe side.
 499
 500

$$\beta = \sqrt{\frac{G}{E_0 (b - P) P}} \quad (15)$$

501 It is important to remark l only affects the assessment of the tangential stresses if the
 502 length of the element is below a critical value (around 1 m for most structures). For
 503 bigger values of l , the maximum tangential stress at the extremities of the element will
 504 remain approximately constant. Therefore, the parameter l would not affect the stresses
 505 calculated in most structures.
 506

507 3.2.3- Tensile boundary failure

508 In linear structures exposed all around to sulfates, the layered spalling may also be
 509 caused by a tensile boundary failure. As shown in Figure 7, tensile stresses (σ_{tb}) are
 510 induced by the restrictions of the sound core to the expansions experienced by the
 511 affected layer in the cross-sectional plane. Cracks appear if the stresses reach the tensile
 512 strength of concrete.
 513
 514

515 Since the penetration depth tend to be significantly smaller than the radius of the
 516 element, an analogy with the thin-walled cylinders subjected to internal pressure may be
 517 made. The affected external layer would tend to present an expansion $\varepsilon_{l,max}$ due to the
 518 ESA. This would generate stresses in the interface with the inner core, which would
 519 deform by ε_{ce} along the diameter of the element. The restriction generates compressive
 520 stresses at the external surface affected by the ESA equal to $E_e (\varepsilon_{l,max} - \varepsilon_{ce})$.
 521

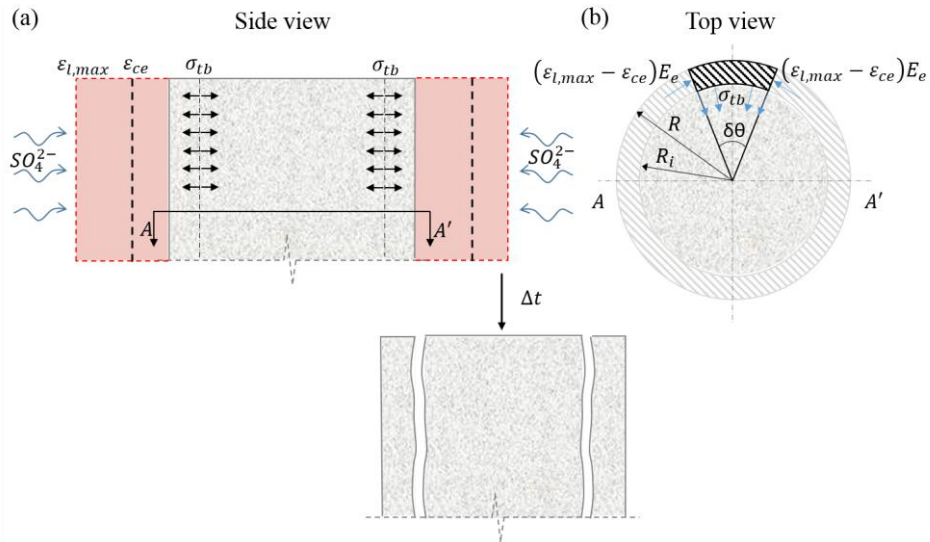


Fig. 7. Side view (a) and top view (b) of tensile stresses due to restraint of expansions in the cross-sectional plane

These compressive stresses should be balanced by the tensile stresses σ_{tb} acting in the interface with the sound core, as shown in Figure 7.b. By imposing equilibrium of the in-plane forces, Eq. 16 is obtained. Maximum tensile stresses occur when the external surface of the element is totally restrained by the sound core, that is, ε_{ce} equals 0. This gives Eq. 17, which should be used for the assessment of σ_{tb} (see Table 5).

$$\sigma_{tb} = \frac{PE_e(\varepsilon_{l,max} - \varepsilon_{ce})}{R_i} \quad (16)$$

4.- PARAMETRIC STUDY

A parametric study is performed to evaluate the results provided by the simplified methodology under a wide range of realistic conditions found in practice. Different sulfate concentrations in the media, aluminat contents and size of elements were evaluated. The results obtained are compared to those calculated with the integrated model by [9] and to the criteria from structural codes.

Table 6 shows the ranges and the reference values assumed for the two parameters considered in the study. All sulfate concentrations (C_{SO}) evaluated correspond to moderately or highly aggressive exposure classes according to UNE EN 206-1. The range defined for the aluminat content (C_{CA}) correspond to a concrete with 350 kg/m³ of cement that contain 80% of clinker and from 4% to 12% of C₃A. The reference value is equivalent to cement with 10% of C₃A. Initial diffusivity and the buffer capacity of the matrix are initially fixed at 10⁻¹² m²/s and 0.15, respectively. The length (l) of the structural element is fixed at 5 m, which is above the critical length for the assessment of the tangential stresses. This means that the results derived from the parametric study also apply to elements with bigger values of l . The additional input parameters required in the integrated model by [9] are fixed at the reference values adopted in section 3.1.3.

552

Table 6. Range of parameters in the parametric study

Parameter	Minimum	Reference	Maximum
C_{SO} [g/l]	0.6	3.0	4.2
C_{CA} [% C ₃ A]	4	10	12

553

554 The compressive strength and the elastic modulus of concrete are fixed at 30 MPa and
 555 28000 MPa, respectively. The elastic modulus was considered the same at the sound
 556 core and at the superficial layer affected by ESA. This consideration is on the safe side
 557 since it provides higher internal stresses in the equations from Table 5. The tensile
 558 strength (f_t) of the material is approximated through the formulation included in the
 559 Model Code. The formulation proposed by Kaneko et al. [38] is used to estimate the
 560 shear strength, which gives a τ_{max} of 7.1 MPa. This value is in agreement with
 561 experimental tests performed by Djazmati [39].

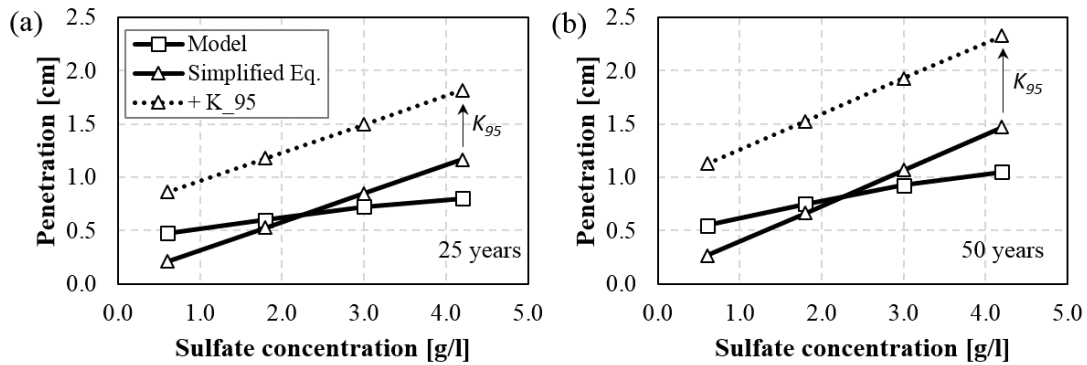
562

563 4.1.- INFLUENCE OF C_{SO}

564

565 Figure 8 shows the penetration depth at 25 and 50 years obtained with the integrated
 566 model by Ikumi et al. [9] and with the simplified equations (Eq. 2 and 3) for different
 567 sulfate concentrations. The curves corresponding to the simplified equations with the
 568 95% probability (K_{95}) are depicted in dotted lines. At both ages, the simplified
 569 equations derived in this study provide a good fit of the penetration front. The use of
 570 K_{95} yields penetration depths above the expected values, ensuring conservative results.

571



572

573

Fig. 8. Penetration depth for different sulfate concentrations

574

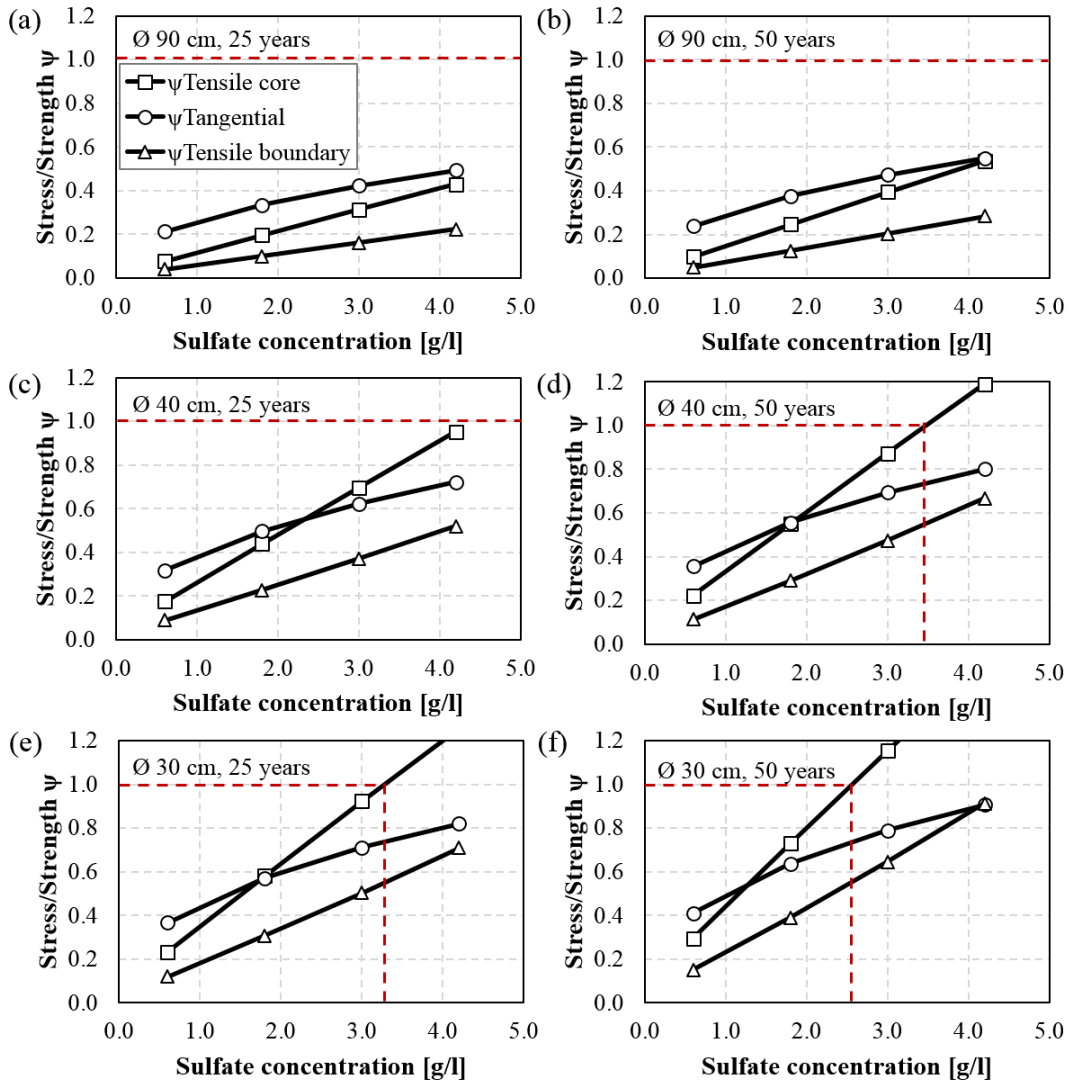
575 To evaluate the risk of mechanical failure, the maximum linear expansions ($\epsilon_{l,max}$) are
 576 calculated with Eq. 1, assuming that all aluminates react to form ettringite. This gives a
 577 $\epsilon_{l,max}$ of $8.7 \cdot 10^{-4}$. Simplified equations presented in Table 5 for piles under full sulfate
 578 exposure are applied to calculate the tensile stress in the sound core (σ_{tc}), the tangential
 579 stress (τ_b) and the tensile stress (σ_{tb}) between the damaged and undamaged areas. Only
 580 penetration depths obtained through the simplified equation are evaluated. The ratio
 581 between each stress and the corresponding strength is calculated through Eq. 18 to 20 to
 582 make the risk of failure comparable for the different modes analyzed. The failure occurs
 583 if any of the ratios become bigger than 1.

$$\psi_{Tensile\ core} = \sigma_{tc}/f_t \quad (18)$$

$$\psi_{Tangential} = \tau_b/\tau_{max} \quad (19)$$

$$\psi_{Tensile\ boundary} = \sigma_{tb}/f_t \quad (20)$$

584 Figure 9 shows the stress/strength ratios at 25 and 50 years for piles with 90 cm, 40cm
 585 and 30 cm of diameter under different sulfate exposure conditions. In these figures, the
 586 limit of failure is depicted with a discontinuous line.



587
 588 *Fig. 9. Stress/strength ratio for different sulfate concentrations*
 589

590 Results for piles with 90 cm of diameter (Figures 9.a and 9.b) indicate no mechanical
 591 failure for any sulfate concentration below 4.2 g/l. The highest ratios are found for
 592 $\psi_{Tangential}$, followed by $\psi_{Tensile\ core}$ and $\psi_{Tensile\ boundary}$. In piles with 40 cm of
 593 diameter, $\psi_{Tangential}$ are the highest for low sulfate exposure conditions, while
 594 $\psi_{Tensile\ core}$ become critical in severe sulfate exposure conditions. In fact, a tensile
 595 failure of the sound core may occur for sulfate concentrations above 3.4 g/l at 50 years
 596 (Figure 9.d). Likewise, for piles with 30 cm of diameter, failure occurs according with

597 the same mechanism for sulfate concentrations above 2.6 g/l and 3.3 g/l at 25 and 50
598 years, respectively (Figures 9.e and 9.f).

599

600 Results suggest that, for low sulfate concentrations, failure is likely to occur due to
601 tangential stresses that causes surface delamination. Conversely, for higher sulfate
602 concentrations, failure is likely to occur due to the tensile stresses at the sound core that
603 causes cracking at the cross-section. This distinction is relevant since delamination of
604 exterior layers may be accepted as long as it does not compromise the safety of the
605 structure or the protection of the steel rebar. On the contrary, cracking of the cross-
606 section should be taken with care especially in piles subjected to moments or to tensile
607 forces.

608

609 Notice that according to the European standard UNE EN 206-1, the 10% C₃A cement
610 used in this parametric study is not allowed for sulfate concentrations above 0.6 g/l,
611 regardless of the size of the pile. The estimations performed indicate that the limit
612 established in the codes may be modified depending on the size of the element, the
613 cement content and the mechanical properties of the concrete used in each application.

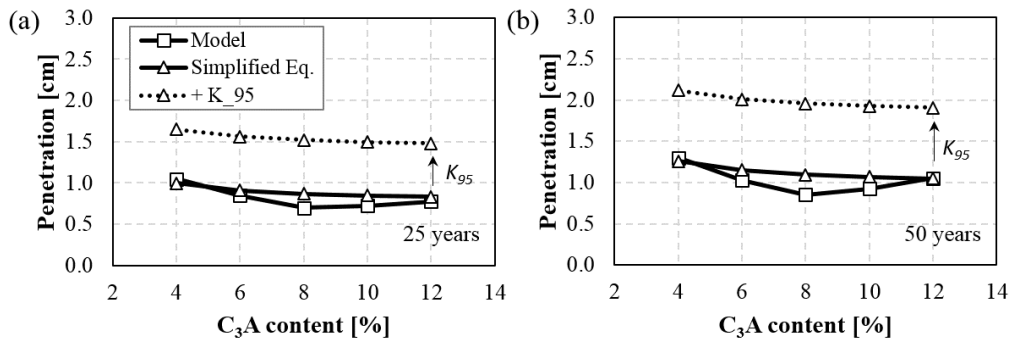
614

615 4.2.- INFLUENCE OF C₃A CONTENT

616

617 Penetration depths predicted with the integrated model by Ikumi et al. [9] and with the
618 simplified equations derived in this work are compared in Figure 10 for different C₃A
619 contents at 25 and 50 years. Again, the simplified equations provide a good fit of the
620 penetration depths at both ages. As described in section 4, the aluminate content has
621 minor influence on the penetration front. However, it is one of the main parameters that
622 define the magnitude of the maximum expansion in Eq. 1.

623



624

625

626 *Fig. 10. Penetration depth for different aluminate contents*

627

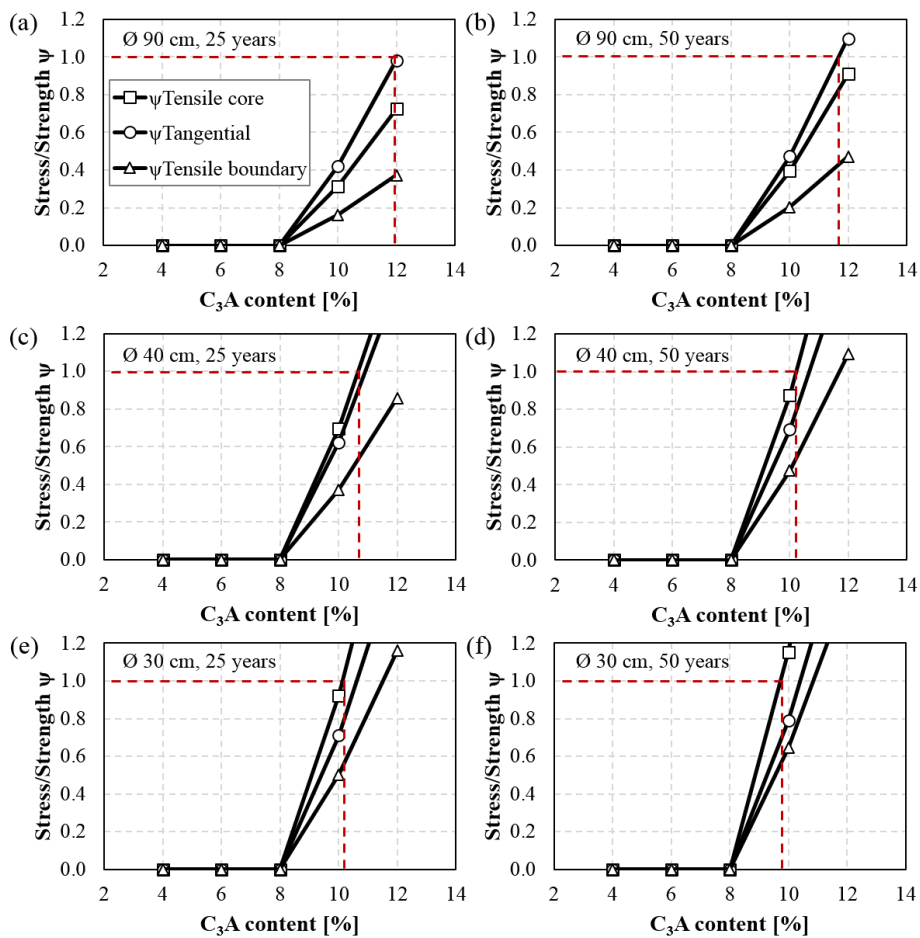
628 Figure 11 presents the stress/strength ratios for several C₃A contents in piles with 90
629 cm, 40cm and 30 cm of diameter at 25 and 50 years. All curves present a similar trend,
630 showing no failure for low contents of C₃A. Once a threshold content is reached, all
631 stress/strength ratios increase abruptly, indicating a high risk of failure. This trend is in
632 agreement with the criteria included in structural codes, which establish a limiting C₃A
633 content for sulfate resistant cements (usually 5% to 6%). Below this limit it is assumed
634 that no unacceptable damage will take place. The fact that this criterion has been

634 successfully applied in many structural elements worldwide suggests that the simplified
 635 formulation proposed here is capable of reproducing the general behavior of concrete
 636 structures subjected to sulfate attack.

637

638 Results in piles with 90 cm of diameter indicate failure due to tangential stresses for
 639 C_3A contents above 12%. In piles with 40 cm and 30 cm of diameter, a tensile core
 640 failure is predicted for C_3A contents around 10%. It is evident that the C_3A threshold
 641 depends on the size of the element, the cement content and the mechanical properties of
 642 the concrete.

643



644

645

Fig. 11. Stress/strength ratio for different C_3A contents

646

647 4.3.- INFLUENCE OF BUFFER CAPACITY (f)

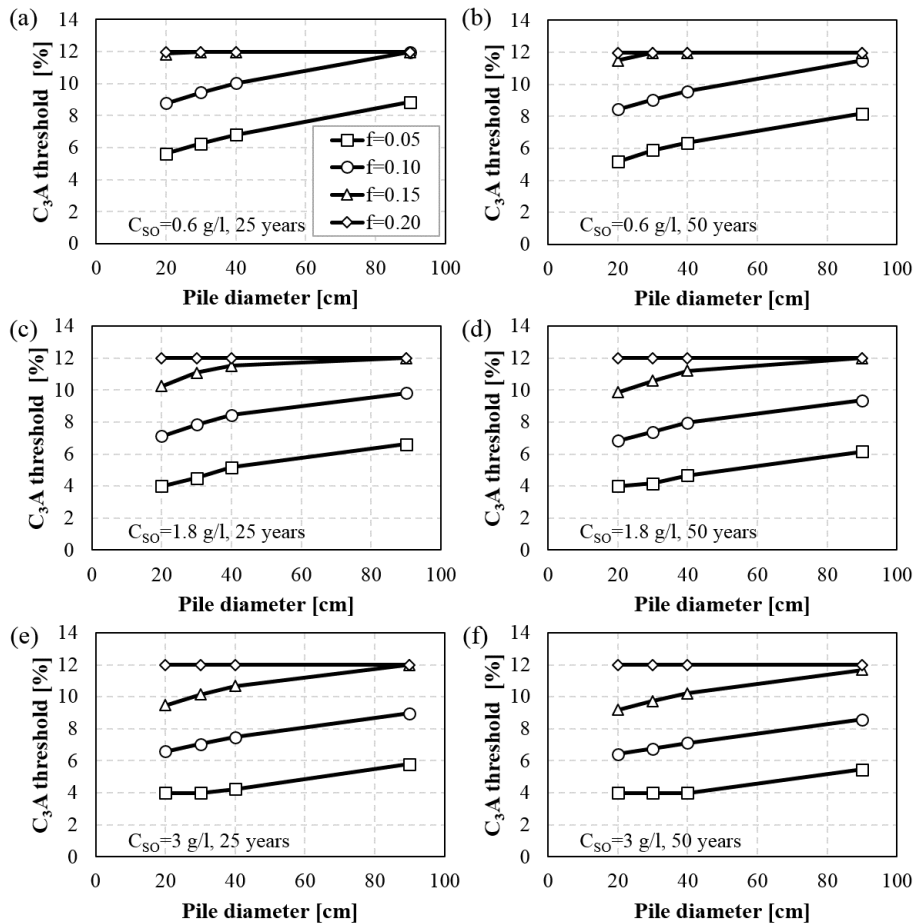
648

649 Figure 12 shows the thresholds obtained for different pile diameters, buffer coefficients
 650 and sulfate exposure conditions at 25 and 50 years. Values above 12% and below 4%
 651 are not depicted since are beyond the range used to deduce the simplified formulation.

652

653 The results show that the increase in the diameter of the pile leads to an increase of the
 654 C_3A threshold. Nevertheless, the main parameter governing the C_3A threshold is the
 655 buffer coefficient (f). According with Tixier and Mobasher [11], f may vary between
 656 0.05 and 0.40. However, the results obtained suggest that values above 0.20 are not

657 realistic, as the matrix is able to accommodate enough expansive products without any
 658 macro-structural damage for all exposure conditions considered. Therefore, a buffer
 659 capacity around 0.10 is proposed in the present study.



660

661

Fig. 12. C_3A threshold for different pile diameters and buffer coefficients

662

663 4.4.- PROPOSED C_3A THRESHOLD FOR PILES

664

665 Reference C_3A threshold values calculated with the simplified model are presented for
 666 different exposure conditions and dimensions for service lives of 25 and 50 years. Table
 667 7 corresponds to radial elements –piles–, whereas Table 8 and Table 9 correspond to
 668 elements such as walls under full or partial exposure, respectively.

669

670

Table 7. Proposed % C_3A threshold for a service life of 25 years and 50 years (in brackets).

671

C_{so} [g/l]	Pile diameter [cm]			
	20	30	40	90
0.6	8.8 (8.4)	9.4 (9.0)	10.0 (9.6)	≥12.00 (11.5)
1.8	7.1 (6.8)	7.8 (7.4)	8.4 (8.0)	9.8 (9.4)
3.0	6.6 (6.4)	7.0 (6.8)	7.5 (7.1)	8.9 (8.6)
4.2	6.3 (6.2)	6.7 (6.5)	7.0 (6.7)	8.5 (8.0)

672

673 *Table 8. Proposed % C₃A threshold for a service life of 25 years and 50 years (in*
 674 *brackets) in surface elements with.2 faces exposed.*

<i>C_{so}</i> [g/l]	Surface element width [cm]			
	20	30	40	90
0.6	10.0 (9.6)	11.0 (10.4)	11.9 (11.2)	≥12.0 (≥12.0)
1.8	8.5 (7.9)	9.1 (8.7)	9.6 (9.2)	11.5 (10.9)
3.0	7.4 (7.1)	8.3 (7.8)	8.8 (8.5)	10.3 (9.8)
4.2	7.0 (6.7)	7.6 (7.2)	8.2 (7.7)	9.6 (9.2)

675
 676 *Table 9. Proposed % C₃A threshold for a service life of 25 years and 50 years (in*
 677 *brackets) in surface elements with.1 face exposed.*

<i>C_{so}</i> [g/l]	Surface element width [cm]			
	20	30	40	90
0.6	9.4 (8.6)	11.0 (10.1)	11.9 (11.2)	≥12.0 (≥12.0)
1.8	7.2 (6.9)	7.9 (7.5)	8.6 (8.0)	11.5 (10.9)
3.0	6.7 (6.5)	7.1 (6.8)	7.5 (7.2)	9.8 (9.0)
4.2	6.4 (6.3)	6.7 (6.5)	7.1 (6.8)	8.7 (8.1)

678
 679 The values recommended in Tables 7 to 9 are applicable to concretes with 350 kg of
 680 cement per cubic meter, an *f* equal to 0.10, the sulfate diffusivity and concrete
 681 mechanical properties considered in the parametric study. Once more the values confirm
 682 that the C₃A threshold depends on the dimensions of the element and the exposure
 683 conditions. It is also observed that for equivalent conditions the threshold for piles tends
 684 be smaller than that obtained for walls or tunnels. This is the result of the bigger ratio
 685 between exposed surface and the total volume found in piles.

686
 687 In case a different cement content is used, the values from all tables should be
 688 multiplied by 350 and divided by the actual content in kg per cubic meter of concrete.
 689 Moreover, in case an *f* equal to 0.05 should be considered, the values from Tables 7, 8
 690 and 9 should be multiplied by 0.63, 0.66 and 0.60, respectively.

691
 692 **5.- CONCLUSIONS**

693
 694 A simplified methodology that considers the transport-reaction and the damage at a
 695 macro-structural level due to the ESA was proposed. This methodology allows a direct
 696 and simple assessment of the risk of failure for elements (piles, walls and tunnels)
 697 exposed to a sulfate rich environment, considering the conditions found in each
 698 application. The following conclusions may be derived from this study.

- 699
 700
 701
 702
 703
 704
- Flux intensification effect of the sulfate ions in radial elements plays a minor role in the maximum penetration depth for the typical size of real structures. Therefore, unidirectional flux is adopted for all structural typologies, thus avoiding the use of different formulations and the consideration of the element size in the simplified reactive-transport equations.

- 705 • Sulfate and aluminate concentration, initial diffusivity and the buffer coefficient
706 are the most influencing parameters for the estimation of the penetration front.
707 Changes in the compressive strength and initial porosity display a smaller
708 influence on the penetration depth.
- 709 • According to the results obtained, buffer capacities above 0.20 of the initial
710 porosity are not realistic. Therefore, buffer capacities between 0.05 and 0.20
711 should be used.
- 712 • For lower sulfate concentrations and bigger pile diameters, failure is likely to
713 occur due to tangential stresses that causes surface delamination. On the other
714 hand, for bigger sulfate concentrations and lower pile diameters, failure is likely
715 to occur due to the tensile stresses at the sound core that causes cracking at the
716 cross-section.
- 717 • The simplified methodology suggests the existence of a C_3A threshold above
718 which a high risk of structural damage occurs. This trend is consistent with the
719 philosophy used in structural codes and validated in practice. The C_3A threshold
720 increases with the increase of the size of the element and with the reduction of
721 the sulfate concentration.
- 722 • Reference values are proposed for the C_3A threshold depending on the exposure
723 conditions, type and dimensions of the structure. In case a more precise
724 assessment is required, the equation included in the simplified methodology may
725 be used to estimate the C_3A threshold. The procedure followed for this
726 estimation requires the use of the formulation included in Tables 4 and 5, being
727 compatible with the durability assessment found in building codes.

728

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730

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734

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