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The effect of area and isolation on insular dwarf proboscideans

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The effect of area and isolation on insular dwarf proboscideans

Abstract

Aim We investigated the hypothesis that insular body size of fossil elephants is directly related to isolation and surface area of the focal islands. **Location** Palaeo-islands worldwide. **Methods** We assembled data on the geographical characteristics (area and isolation) of islands and body size evolution of palaeo-insular species for 22 insular species of fossil elephants across 17 islands. **Results** Our results support the generality of the island rule in the sense that all but one of the elephants experienced dwarfism on islands. The smallest islands generally harbour the smallest elephants. We found no support for the hypothesis that body size of elephants declines with island isolation. Body size is weakly and positively correlated with island area for proboscideans as a whole, but more strongly correlated for Stegodontidae when considered separately. Average body size decrease is much higher when competitors are present. **Main conclusions** Body size in insular elephants is not significantly correlated with the isolation of an island. Surface area, however, is a significant predictor of body size. The correlation is positive but relatively weak; c. 23% of the variation is explained by surface area. Body size variation seems most strongly influenced by ecological interactions with competitors, possibly followed by time in isolation. Elephants exhibited far more extreme cases of dwarfism than extant insular mammals, which is consistent with the substantially more extended period of deep geological time that the selective pressures could act on these insular populations.

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1 **THE EFFECT OF AREA AND ISOLATION ON INSULAR DWARF**
2 **PROBOSCIDEANS**

3

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22 **ABSTRACT**

23 **Aim** We investigated the hypothesis that insular body size of fossil elephants is
24 directly related to isolation and surface area of the focal islands.

25 **Location** Palaeo-islands worldwide.

26 **Methods** We assembled data on geographic characteristics (area and isolation) of
27 islands and body size evolution of palaeo-insular species for 22 insular species of
28 fossil elephants across 17 islands.

29 **Results** Our results support the generality of the island rule in the sense that all but
30 one of the elephants experienced dwarfism on islands. The smallest islands generally
31 harbour the smallest elephants. We found no support for the hypothesis that body
32 size of elephants declines with island isolation. Body size is weakly and positively
33 correlated with island area for proboscideans as a whole, but more strongly
34 correlated for Stegodontidae when considered separately. Average body size
35 decrease is much higher when competitors are present.

36 **Main conclusions** Body size in insular elephants is not significantly correlated with
37 the isolation of an island. Surface area, however, is a significant predictor of body
38 size. The correlation is positive but relatively weak; about 23% of the variation is
39 explained by surface area. Body size variation seems most strongly influenced by
40 ecological interactions with competitors, possibly followed by by time in isolation.
41 Elephants exhibited far more extreme cases of dwarfism than extant insular
42 mammals, which is consistent with the substantially more extended period of deep
43 geological time that the selective pressures could act on these insular populations.

44

45 **KEYWORDS**

46 *Elephas*, fossil record, insularity, island biogeography, island rule, *Palaeoloxodon*,

47 Pleistocene, *Stegodon*

48 INTRODUCTION

49 Vertebrates on islands generally follow a body size trend from gigantism in small
50 species to dwarfism in large species, a trend coined “the Island Rule” by Van Valen
51 (1973) and subsequent authors. The many deviations from this pattern have given rise
52 to considerable criticism regarding generality and causality of the rule: see, for
53 example, Meiri *et al.* (2004, 2006, 2008a) for mammalian carnivores (but see Lyras *et*
54 *al.* 2010), Meiri (2007) for lizards, Meiri *et al.* (2011) for birds and lizards (though
55 they found weak support for mammals), and Itescu *et al.* (2014) for insular tortoises
56 worldwide. A large study has investigated the generality of the island rule (Lomolino
57 *et al.*, 2013), which, by analysing both extant and extinct mammals (including very
58 large mammals such as elephants in the dataset), includes the important factor deep
59 geological time under which species evolved in isolation. Context (ecological
60 interactions, isolation, area, climate etc.) appears to be of crucial importance and
61 differences herein can explain differences in observed body size evolution between
62 populations of the same species (Lomolino *et al.*, 2012). The various contextual
63 factors do not contribute equally to the eventual body mass outcome, for example, the
64 presence of ecologically relevant competitors appears to have a higher impact than
65 ancestral body mass and climatic variability (Sondaar, 1977; Lomolino, 1985; Raia &
66 Meiri, 2006; Palombo, 2007, 2009; Lomolino *et al.*, 2012; van der Geer *et al.*, 2013;
67 *inter alia* Itescu *et al.*, 2014). For example, insular elephants have been shown to have
68 maintained a larger body mass in the presence of deer and hippo, likely because the
69 presence of smaller-bodied intra-guild competitors prevented elephants from reaching
70 a similar size class (Palombo, 2007).

71 Taxa such as murids and deer are well represented in both the fossil as well as
72 the extant insular record. Whereas very large-bodied taxa such as elephants and

73 hippos are extremely limited in the extant record despite being common, not to say
74 typical, elements of island faunas worldwide during the Pleistocene. Elephants
75 provide some of the most spectacular cases of body size evolution in palaeo-insular
76 mammals (Fig. 1). For example, the elephant (*Palaeoloxodon falconeri*) from
77 Spinagallo Cave (Siracuse, Sicily; Middle Pleistocene) dwarfed to just 2% of the size
78 (body mass) of its mainland ancestor (*Palaeoloxodon antiquus*) (Ambrosetti, 1968;
79 Palombo & Ferretti, 2005; Lomolino *et al.*, 2013).

80 Here, we expand the dataset for insular proboscideans as given in Lomolino *et*
81 *al.* (2013) and further evaluate insular size trends of these very large insular mammals
82 which are lacking in basically extant insular faunas. The dataset is limited to North
83 America and Eurasia as no fossil proboscideans have been discovered from islands of
84 Africa, South America or Antarctica.

85 In the conceptual model as proposed by Lomolino *et al.* (2012), the principal
86 selection force for (very) large mammals is ecological release. Ecological release,
87 however, is more important on smaller islands (where there are fewer
88 competitors/predators), which would indirectly introduce an effect of area on elephant
89 body size. The number of ecologically relevant competitors / predators is also related
90 to isolation, as the chance of successful dispersal is inversely related to isolation. This
91 means that both area and isolation are expected to have an indirect effect on the
92 degree of dwarfism of elephants through ecological release.

93 The effect of factors associated with thermoregulation (e.g. island latitude,
94 temperature and seasonality) is potentially relevant, but will not be tested here as the
95 variation in climatic variables, especially lowest winter temperature and rainfall,
96 across the focal palaeo-islands and the different geological periods (including glacials,
97 interglacials, and spanning the entire Pleistocene) cannot be estimated reliably.

98 The testable predictions of the ecological model of body size evolution

99 (Lomolino *et al.*, 2012) for palaeo-insular proboscideans are as follows.

100 1) Proboscideans should exhibit dwarfism on islands, following the general “Island

101 Rule” prediction that large mammals become smaller;

102 2) Body size in proboscideans should be positively correlated with island area but

103 negatively correlated with isolation. The rationale here is that both area and

104 isolation are correlated with the presence and number of ecologically relevant

105 competitors and predators: the first as a function of carrying capacity, the second

106 as a function of dispersal chances.

107 3) The degree of dwarfism should be highest in the absence of ecologically interacting

108 taxa. The presence of large to medium-sized competing herbivores and/or of

109 predators is expected to prevent dwarfism to avoid competition and/or escape

110 predation, respectively.

111

112 **MATERIALS AND METHODS**

113 **Estimation of body size and relative size index (S_i)**

114 As is detailed within Table 1, the dataset comprises 22 insular proboscidean

115 species. Because this study includes fossilised individuals, preservation is

116 inconsistent. Therefore, and of necessity, different methods for estimating body size

117 and relative size index were applied. The calculated relative size index (S_i or size

118 ratio) for each pair of insular proboscidean and its mainland ancestral species was

119 obtained by dividing the estimated body mass of the focal species by that of the

120 ancestral species (=SR in Meiri *et al.*, 2008a). Body size (or mass) of insular fossil

121 species and their mainland ancestors was either estimated using taxon-specific

122 equations for estimating body masses developed by Christiansen (2004) for living

123 elephants, or taken from published sources (see Appendix S1 for details and
124 references). The alternative method of calculating ratios based on linear
125 measurements and applying the cubic law instead of indirectly via body mass has
126 been demonstrated to work equally well (Meiri *et al.*, 2006, 2008a), but we preferred
127 the indirect method enabling inclusion of data from the literature and thereby
128 increasing our sample size. Parameters that were used in this study to estimate body
129 mass were the lengths of appendicular bones (humerus, femur, tibia) that provided the
130 most reliable regression results in the study by Christiansen (2004). For each species,
131 we calculated body masses based on the average lengths for each element (or one or
132 two of these elements, if not all three were available, again in order to increase our S_i
133 sample size). We then took the average of the mass estimates based on different
134 appendicular bones, assuming dependency between the different elements by absence
135 of evidence that the ‘bauplan’ of insular elephants had changed drastically. We found
136 that estimations of S_i based on a single element versus based on all three elements
137 produced similar results (see Appendix S1 following Table S3). We also based our
138 body-weight estimates on length measurements only (as opposed to minimum
139 circumferences of long bone diaphyses) because it has been observed that stegodonts
140 had comparatively sturdy long bones as compared to modern elephants (Hooijer,
141 1955).

142 For species for which no suitable postcranial elements were available, S_i was
143 calculated as the ratio of the cubed linear dimensions of the lower third molar
144 following Lomolino (2005). The problem with only using dental elements, however,
145 is that on geological time scales, molar dimensions have been found to lag behind in
146 the initial stages of dwarfism (Lister, 1989, 1996). This also applies to
147 palaeoloxodontine dwarf elephants, where decrease in humerus length (as a proxy for

148 body size) and molar width (as a proxy for dental size) have initially different scaling
149 rates (see Appendix S1).

150 For *Stegodon* species of which no suitable postcranial was available, but adult
151 mandibles instead, a new regression was established (see Appendix S1 Table S3).
152 This regression is derived from femur/tibia/humerus lengths against mandibular width
153 of other *Stegodon* species, for which both long bones and (fragments of) mandibles of
154 full-grown individuals could be measured (see Appendix S1).

155 The resulting dataset comprises 22 island-mainland pairs of fossil
156 proboscideans and their calculated size indices (S_i values) on 17 islands worldwide
157 (Fig. 2).

158 **Estimation of island area and isolation**

159 Island area and isolation were estimated with Global Mapper v.15 software based on
160 published data on palaeogeography, geodynamics, sea level changes, extension of
161 tectonically undisturbed marine deposits, and offshore bathymetry. For most of the
162 islands in the Wallacea region we used the map of Hanebuth *et al.* (2011) representing
163 the palaeogeography at 12.7 kyr BP, when the sea level was 60 m below present. This
164 can be considered as the ‘mean’ sea-level that prevailed for the longest periods of
165 time during the Quaternary (calculated from Pleistocene sea-levels as given in
166 Bintanja *et al.*, 2005). A sea level of -60 m also applies to the Mediterranean,
167 following Lambeck & Chappell (2001) for the late Pleistocene. We assume here that
168 body mass evolution in proboscideans takes a considerable time, and thus likely
169 depends more on mean surface area rather than on the relatively short-lived extreme
170 values. We infer this from the observation that Holocene endemics did not reach the
171 same drastic body size decrease as Pleistocene endemics did (Lomolino *et al.*, 2013).

172 Initially, dwarfism may evolve rapidly (in a few thousand years), but is then followed
173 by a second stage of slower, but ultimately much more pronounced size change
174 (Lister, 1989, 1996; van der Geer *et al.*, 2013). We are aware that -60 m may be
175 somewhat arbitrary, but since we apply this level to all islands, the results are biased
176 in the same direction, and remain the same when comparing islands mutually.

177 Sumba and Timor are exceptional cases, because their late Pleistocene uplift far
178 exceeded the eustatic sea level fluctuations. The strong recent tectonic uplift of
179 Sumba has a rate of 0.2–0.5 m/kyr, as evidenced by dated raised coral terraces (Bard
180 *et al.*, 1996). We have taken the 500 m contour level (the highest coral terrace is 475
181 m above present-day sea level) to calculate the palaeo-surface area. Timor has also
182 been strongly uplifted, at least 700 m during the last 200,000 kyr (de Smet *et al.*,
183 1990), and therefore we have taken the 500 m contour as a rough estimate of the
184 middle Pleistocene coastline of Timor. Flores in contrast, appears not to have been
185 uplifted in such a dramatic way as Timor and Sumba were. The recent uplift of Flores
186 is much less substantial, as indicated by the flat lying terrestrial sediments of early
187 and middle Pleistocene age now occurring at an elevation of around 350 m above
188 present sea level (Brumm *et al.*, 2010), unlike the highly uplifted Pleistocene marine
189 coralline sediments of similar ages on Timor-Sumba. We therefore have estimated the
190 area and isolation for Flores in a similar way as for most other islands, at -60 m sea
191 level.

192 The following islands have alternative values for either area or isolation (for
193 details, see Appendix S1). Crete in the early Pleistocene may have still consisted of
194 two islands as it did at the end of the Pliocene (van Hinsbergen & Meulenkamp,
195 2006), or alternatively, was already merged due to the uplift into a single island as
196 suggested by the presence of *Kritimys* in both parts. The uncertainty is caused by the

197 uncertain age of the Cretan dwarf mammoth (Herridge & Lister, 2012). Flores
198 (Pleistocene) was isolated from the mainland via Komodo, Sumbawa and Lombok or
199 alternatively, via Sulawesi and the Philippines, based on ocean currents and the fossil
200 record (Morwood & Jungers, 2009; Dennell *et al.*, 2014). Luzon (late Pleistocene)
201 was part of the palaeo-island Greater Luzon (Heaney *et al.*, 2005) or alternatively, its
202 northern part (where the fossils come from) constituted a separate island during much
203 of the Pleistocene, separated from the southern part by a NW-SE trending fault zone
204 (Defant & Ragland, 1988) at present bordered to the south by the low lying Central
205 Valley. Luzon (late Pleistocene) was isolated from the mainland via Mindoro and
206 Palawan or alternatively, via Mindoro, Palawan and Borneo, assuming that Palawan
207 was not connected to Borneo. Sicily (early Middle Pleistocene) still consisted of two
208 palaeo-islands, or alternatively of one larger, merged island (see palaeo-maps in
209 Bonfiglio *et al.*, 2002; partially based on endemism of the fauna, implying a potential
210 circularity), which influences the estimated values for both area and isolation.
211 Furthermore, a connection between Sicily and Malta is unproven (Herridge, 2010),
212 and if a connecting ridge was ever exposed, it likely was merely a series of stepping
213 stones (van der Geer *et al.*, 2010) as exposure of a continuous land bridge would
214 require a drop in sea level of at least 120 to 150 m. Because of these uncertainties we
215 have not included the Maltese material attributed to *P. falconeri* into our analysis.
216 South-western Sulawesi during the early Pleistocene still constituted a separate
217 palaeo-island or alternatively, may have been already merged with the other parts of
218 Sulawesi rather than at some stage during the middle or late Pleistocene (van den
219 Bergh, 1999).

220

221 **Taxonomic and phylogenetic framework**

222 Here, we use the generic name *Palaeoloxodon* instead of *Elephas* for the
223 Mediterranean insular and mainland straight-tusked elephants (following Shoshani *et*
224 *al.*, 2007; Todd, 2010). *Palaeoloxodon* “*mnaidriensis*”, referred to as *Palaeoloxodon*
225 nov. sp. in Herridge (2010), still awaits revision. The “large-sized *Elephas*” from
226 Sulawesi (*Palaeoloxodon* sp., Tanrung Formation) is here considered conspecific
227 with, or at least identical in size to *P. namadicus* (following van den Bergh, 1999).

228 Ancestral taxa for each insular species are based on the literature (van den
229 Bergh, 1999; Palombo, 2001; Herridge, 2010; Herridge & Lister, 2012; Roth, 1982;
230 van der Geer *et al.*, 2010). For mainland *Palaeoloxodon*, we use specimens from Italy
231 and Greece, that are considered ancestral to the insular populations. In case an insular
232 species was derived from a mainland taxon that must have migrated via an
233 intermediate island (Flores, Timor, Sumba), we calculated body size index relative to
234 the mainland ancestor, and not relative to the dwarfed taxon from the intermediate
235 island. Most likely, the ancestral species from the intermediate island did not disperse
236 to the island farther away following drastic reduction in body size on the intermediate
237 island, but more likely this happened relatively soon after colonization when it was
238 still large-bodied. This inference is considered the most likely scenario, because
239 smaller body size for swimming elephants would signify reduce dispersal probability
240 due to more restricted fasting endurance and reduced buoyancy (pneumatic bone
241 tissue is lost in dwarf elephants) (Sondaar, 1977).

242 The *S. trigonocephalus* sample (Java) includes various subspecies of different
243 sizes. We here only use the Trinil H.K. material, which forms a homogenous sample
244 from a single layer estimated to 0.9 Ma (van den Bergh, 1999; but 0.54–0.43 Ma in
245 Joordens *et al.*, 2014), when Java constituted an island, based on the endemic
246 character of the fauna from this site (van den Bergh, 1999). The alternative scenario is

247 that Java was connected through a filtered corridor with the mainland (Larick *et al.*,
248 2000; see for an extensive discussion of pros and contras, Meijaard [2004]). Evidence
249 for at least a partial isolation is provided by the presence of the following endemic
250 taxa at Trinil H.K.: *Axis lydekkeri*, *Bibos palaesondaicus*, *Bubalus palaeokerabau*,
251 *Duboisia santeng*, *Mececyon trinilensis* (= *Cuon* in Louys, 2014; here, we follow
252 Lyras *et al.* 2010, awaiting a revision of the Javanese canids), and *Sus brachygnathus*.
253 *Palaeoloxodon leonardi* (Sicily) and *P. sp.* (Delos) are excluded because their
254 stratigraphic positions remain unresolved. This also applies to the significantly larger
255 elephant species (*P. xylophagou*) of Cyprus (Athanassiou *et al.*, 2014; Athanassiou *et*
256 *al.*, 2015). The indeterminate Proboscidea and *E. or P. beyeri* from Luzon (de Vos &
257 Bautista, 2003) are omitted because their ancestry, chronology and context are
258 insufficiently known.

259

260 **Ecological assemblages**

261 The number of competitors and predators most likely to have directly interacted with
262 the focal insular species are based on published fauna lists (van den Bergh, 1999; van
263 der Geer *et al.*, 2010) for local faunal assemblages containing the focal species.
264 Judgement on the likelihood of competition or predation were based on consultation
265 of references on the diet and habitats of those species in order to determine which
266 ones were likely to be significant competitors or predators of the focal insular species.
267 Reptiles were not considered as potential interacting taxa because of their low
268 energetic demands.

269 Late middle to late Pleistocene Sicily, however, poses a problem. The dwarf
270 elephant belongs to two successive faunal units or complexes (*P. mnaidriensis* FC and
271 San Teodoro FC) (Bonfiglio *et al.*, 2000). The elephant sample we use here is from

272 Puntali Cave, dated either late middle Pleistocene (c. 180 ka) or late Pleistocene,
273 comparable to that of San Teodoro (c. 32 ka) (Ferretti, 2008). We here tentatively
274 include faunal elements from both FC's, with the exception of the lion, which we omit
275 as it is restricted to San Ciro cave and Canita. Elements not retrieved from Puntali
276 Cave itself are indicated with a question mark.

277

278 **Statistical analyses**

279 We first tested for the normality of our data (body mass index, area, isolation) by
280 using the Shapiro-Wilk test (Shapiro & Wilk, 1965). Then we used 2-tailed Pearson's
281 test to check for correlations between the variables (significance level $\alpha=0.05$).
282 For significantly correlated variables, a simple linear regression analysis (parametric)
283 was used to test for the goodness of fit (r^2 ; proportion of variability in the response) of
284 the correlation. We used an independent-samples' t-test to compare the insular body
285 size evolution of the various genera. Statistical analyses were performed using IBM
286 SPSS Statistics for Windows, Version 21. A 1-step ANOVA was used to test the
287 effect of the presence of competitors on body size evolution.

288 For the development of a regression to estimate body size with mandibular width
289 measurements, a reduced major axis regression on log-transformed (base 10) data was
290 used.

291

292 **RESULTS**

293 As illustrated in Table 1 and consistent with Prediction 1, body size variation among
294 insular proboscideans matches previously reported patterns for extant mammals and
295 other vertebrates and was entirely consistent with the island rule. That is, all
296 proboscidean species except one had a smaller body size than the respective mainland

297 ancestral species ($n=23$; mean $S_i=0.28$, $SD=0.24$). The most pronounced case of size
298 reduction is exhibited by *P. falconeri* (Sicily; $S_i=0.02$) and *P. cypriotes* (Cyprus;
299 $S_i=0.02$) for *Palaeoloxodon*, *M. creticus* (Crete; $S_i=0.04$) for *Mammuthus* and *S.*
300 *sumbaensis* (Sumba; $S_i=0.08$) for *Stegodon*. No size reduction is observed in the large
301 *Elephas* species from Sulawesi. Limited size reduction is seen in *S. trigonocephalus*
302 (Java, Trinil) ($S_i=0.65$). On a whole, *Stegodon* species ($n=10$) show a lesser body size
303 reduction (or higher S_i) than *Palaeoloxodon* ($n=8$), with a respective mean $S_i = 0.33$
304 ($SD=0.19$) and $S_i=0.16$ ($SD=0.13$). This difference is significant ($t(16) = -2.20$,
305 $p=0.04$). The number of *Mammuthus* species ($n=4$) is too low to be included in the t-
306 test.

307 The log-transformed (base 10) variables of surface area, alternative surface
308 area and untransformed minimal isolation were normally distributed, but maximal
309 isolation is not (for SPSS output, see Appendix S1, after Table S4). However, the
310 normality of maximal isolation is only minimally violated, and we proceed with it as
311 if normally distributed.

312 Neither minimal nor maximal isolation is statistically correlated with insular
313 body size index (Pearson $R=-0.09$ and -0.05 , respectively, with $p=0.69$ and $p=0.84$).
314 Surprisingly, some islands within visual range (12 km) have very small elephants (S_i
315 ≤ 0.20). There is no trend ($p=0.08$) for increased dwarfism on islands further away
316 than 48 km (the maximum reported distance covered by swimming for Asian
317 elephants in Johnson [1980]).

318 Log surface and log surface alternative are very strongly correlated with each
319 other (Pearson $R=0.96$, $p<0.01$) and with S_i (Pearson $R=0.48$, $p<0.05$ and 0.53 ,
320 $p<0.05$, respectively). Based on this result, we proceeded with the first only, because
321 this is considered the most reliably estimated value for any given island. The

322 correlation between island area and body size index is positive but weak, with area
323 explaining only 23% of the total variance ($r^2=0.23$, $p=0.02$). The residuals show no
324 correlation with latitude ($r^2=0.02$, $p=0.52$). The residuals for *Stegodon* only, however,
325 show a single outlier: *S. aurorae* from Japan. The difference from the predicted value
326 is -0.26 compared to -0.17–0.09 for the other species. *Stegodon aurorae* occurred at a
327 much higher latitude as compared to all other *Stegodon* species. Considering the three
328 genera separately, the correlation is stronger and more significant for *Stegodon*
329 ($r^2=0.83$, $p<0.01$; with the tentative exclusion of the Japanese stegodont based on
330 latitude) than for all taxa taken together.

331 The effect of competition (Table 2) on body size variation of proboscideans is
332 limited (on average, $S_i=0.41$ with competitors against $S_i=0.17$ without competitors)
333 but significant ($p<0.01$). Within the group lacking competitors, there is one less size-
334 reduced species (*S. florensis florensis*, $S_i=0.51$). This species is a 700 kyr older
335 chronosubspecies of the much smaller form (*S. florensis insularis*, $S_i=0.17$).

336 The effect of predation remains unclear. Given the lack of large terrestrial
337 predators on the focal islands (except for three or five islands, depending on whether
338 hominins can be considered as effective predators; Table 2), we were unable to assess
339 the potential effect of predation on body size variation of these very large mammals.
340 However, between species that occur on the same island but during a different time
341 period, the species with predators show a smaller size reduction than the species
342 without predators. This is shown by *P. mnaidriensis* versus *P. falconeri* and perhaps
343 by *S. florensis* versus *S. sondaari*. Admittedly, a different geological period likely
344 implies different vegetation, area, isolation etc. as well, so the interpretation of this
345 observation is tentative.

346

347 **DISCUSSION**

348 **Temporal variation in body size of proboscideans**

349 Fossil proboscideans exhibit much more extreme cases of dwarfism than living
350 species (less than 5% the body mass of their ancestors; Fig. 1). One plausible
351 explanation is that these differences in degrees of body size evolution between extant
352 mammals and those studied here is simply a function of the much more extended
353 geological period over which the evolutionary change could be analysed in the fossil
354 record, rather than the comparatively short time span of ~ 15 kyr since the last sea-
355 level rise during which size reduction occurred in most extant mammals occurring on
356 continental islands, as was also suggested by Lomolino *et al.* (2013).

357

358 **Factors associated with body size variation in proboscideans**

359 Body size reduction in proboscideans appears to be most pronounced for the species
360 occurring on the most isolated palaeo-island: *P. falconeri* of south-eastern Sicily,
361 whether its isolation is 100 km or 200 km. Yet, when considering all species together,
362 no significant correlation was found between isolation and the degree of body size
363 reduction. A possible explanation for the lack of a correlation may be that isolation
364 per se is not a good estimator for accessibility. For example, despite the great
365 distance, Sulawesi appears to have been comparatively “easy” to colonize, if we take
366 into account the comparatively large number of terrestrial immigrants that were able
367 to cross Makassar Strait: apart from murine rodents, also squirrels, bovids, suids,
368 macaques, and hominins reached Sulawesi at some stage prior to the late Pleistocene
369 (de Bruyn *et al.*, 2014; G.D. van den Bergh *et al.*, in press). These are all clades that
370 are not typical island taxa. It is likely that prevailing oceanic currents are a major
371 factor in determining island accessibility (Ali & Huber, 2010), but these are difficult

372 to incorporate into a generalized isolation index. Similarly, despite the relatively small
373 distance between Flores and the mainland (one or two crossings with visibility of the
374 target island) the strong Indonesian through-flow currents (Sprintall *et al.*, 2014) that
375 pass through these gaps may have significantly undermined overseas dispersal to
376 Flores.

377 Body size appears positively but weakly correlated with island area for
378 proboscideans as a whole. The relation is much more robust for *Stegodon*, if we
379 exclude the most northern species (*S. aurorae*), which appears much smaller than
380 would be predicted, and the earlier chronosubspecies of Flores (*S. florensis florensis*).

381 Our results provide only limited support for a direct influence of predation on
382 body size of insular proboscideans (but see Meiri's *et al.*'s [2008b] account of
383 dwarfism in extant, large mammals, which may have been associated with reduced
384 predator pressures of Borneo). This may stem at least in part from the limited
385 variability in predator assemblages on the islands we studied. Nevertheless, temporal
386 variation in ecological assemblages on Flores reveals the lack of a significant effect of
387 predators on body size evolution of native stegodonts in the long run. Here, a
388 geologically older pygmy stegodont (*Stegodon sondaari*) was replaced by a larger
389 stegodont (*S. florensis*), coinciding with the arrival of a hominin. The latter apparently
390 had access to carcasses as attested by cut marks on stegodont bones in the site Liang
391 Bua (van den Bergh *et al.*, 2009). Yet, even with this putative predator around, the
392 larger *Stegodon* decreased in size between the middle Pleistocene (Soa Basin;
393 subspecies *florensis*) and the latest Pleistocene (Liang Bua; subspecies *insularis*) (van
394 den Bergh *et al.*, 2008), and eventually its degree of dwarfism practically rivalled that
395 of *S. sondaari* ($S_i=0.17$ and 0.15 respectively). It seems, thus, that the effect of
396 predation on proboscideans was either modest or, perhaps more likely, a function of

397 the diversity and intensity of predators. After all, a single, hominin predator is likely
398 not as significant as an assemblage of large felids and other mega-carnivores as
399 present in mainland settings. In addition, *Stegodon* predation by hominins on Flores
400 may have been a relatively late development, since there are no indications for active
401 hunting or butchering of stegodont remains in the various middle Pleistocene
402 assemblages from the So'a Basin (van den Bergh *et al.*, 2014).

403 The presence of other large herbivores on the other hand has a significant
404 influence on the degree of dwarfism of insular elephants. Species with other
405 proboscideans as a competitor all show a less dramatic size reduction than species
406 with other competitors or no competitors at all. The strong influence of competition
407 on body size evolution is in line with the model of Lomolino *et al.* (2012), and was
408 also noticed for Mediterranean elephants by Palombo (2009).

409

410 **CONCLUSIONS**

411 This study provides further support for the applicability of the island rule to the
412 largest terrestrial mammalian herbivorous taxa (see Benton *et al.* [2010] and Stein *et*
413 *al.* [2010] for intriguing indications that very large herbivorous dinosaurs such as
414 titanosaurian sauropods may have also followed the predicted pattern).

415 The patterns discussed here for body size variation of proboscideans over
416 space and time do not support a significant relation between isolation and body size
417 decrease for proboscideans. Islands relatively close to the mainland may harbour
418 extremely size-reduced proboscideans as well as normal-sized species. Islands further
419 away than 48 km (the maximum reported distance an Asian elephant can swim) do
420 not harbour the smallest species.

421 The correlation between area and body size is positive but weak with much
422 scatter around the trend for all proboscideans but more robust for *Stegodon* from low
423 latitude islands. Our observations support an ecological hypothesis of body size
424 evolution, inferred from the significant influence of competition on body size
425 evolution (see also Palombo, 2009). On the mainland and on relatively balanced and
426 ecologically rich palaeo-islands such as Sulawesi, interaction with ecologically
427 relevant species resulted in a (relatively) large body size. On islands with more
428 depauperate assemblages, however, release from these ecological interactions appears
429 to have resulted in a smaller body size. In the absence of competitors, body size tends
430 to trend towards a size positively correlated with island area, provided that deep
431 geological time was available to allow the dwarfing process to proceed beyond the
432 initial phases.

433

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652

653 **SUPPORTING INFORMATION**

654 Additional Supporting Information may be found in the online version of this article:

655 **Appendix S1** Body mass estimations of palaeo-species, palaeo-island characteristics
656 and statistical analyses.

657 **BIOSKETCH**

658 **Alexandra A.E. van der Geer** is a palaeontologist and first author of *Evolution of*
659 *island mammals: adaptation and extinction of placental mammals on islands*. Her
660 current research focuses on evolutionary processes on islands, including body size
661 evolution and morphological changes in the skeleton. Author contributions: A.V.G.,
662 G.A.L., G.v.d.B conceived the ideas, planned the analysis and analysed the data;
663 G.A.L., G.v.d.B., U.W., R.D.A., E.S. collected the data; G.A.L. prepared the figures;
664 and A.V.G. led the writing assisted by G.A.L., G.v.d.B., U.W., R.D.A., E.S. and H.D.

665

666 Editor: Robert Whittaker

667

668 TABLES AND FIGURE CAPTIONS

669 **Table 1** Variation in body mass indices (S_i as a proportion of ancestral body size) of
670 insular proboscideans in alphabetical order of species name. Body mass estimations
671 were based on postcranial elements (humerus, femur, tibia) using the equations of
672 Christiansen (2004) for *Mammuthus*, *Palaeoloxodon* and for *Stegodon* species for
673 which these elements were available. For *Stegodon* species of which only (fragments
674 of) adult mandibles were available, body mass estimates were based on a regression
675 between log-transformed postcranial-based average body mass estimates and
676 average mandibular width measurements of adult specimens (M18 of van den
677 Bergh, 1999; only mandibles were used in which the last molar was in function), with
678 body mass as the 'dependent' variable. This method was developed based on
679 *Stegodon* species for which both variables were available based on averages of more
680 than 1 specimen (Appendix S1). Indices based on the lower third molar (M_3) are
681 calculated as the ratio of the cubed linear dimensions, following Lomolino (2005).
682 Although this method likely overestimates body size of large-sized insular forms, it
683 appears reliable in small-sized phylogenetic dwarfs (see for a discussion on teeth and
684 body size reduction of phylogenetic dwarfs in Lister, 1996 and Appendix S1). The
685 species indicated with an asterisk is an older chronospecies preceding the smaller
686 form. Sources for S_i : 1=van den Bergh, 1999; 2= Lomolino *et al.*, 2013; 3= this study,
687 based on M=mandible, P=postcranial, or T=third molar (see Appendix S1 for
688 specimens, method and details); 4 = van der Geer *et al.*, 2014. The "large-sized
689 *Elephas*" from Sulawesi (Tanrung Formation) might be *P. namadicus*. No complete
690 long bones or third molars were found, but according to van den Bergh (1999) the

691 size of a molar fragment falls within the size range of *P. namadicus*, hence its body
 692 size index is tentatively taken here to be 1.0. This may be an over-estimation in case
 693 size decrease had just begun. For calculation of area and isolation at sea level 60 m
 694 below present, see Appendix S1. Geological age: EP=early Pleistocene, MP=middle
 695 Pleistocene, LP=late Pleistocene, H=Holocene.

Palaeo-island	Species	Mainland ancestor	S _i	Source	Area (km ²) / isolation (km)	Geological age
Crete	<i>Mammuthus creticus</i>	<i>M. meridionalis</i>	0.04	2	(4175 or 8350) / 90	EP
Santa Rosae	<i>Mammuthus exilis</i>	<i>M. columbi</i>	0.17	2	2200 / 10	LP
Sardinia	<i>Mammuthus lamarmorai</i>	<i>M. meridionalis</i>	0.08	2	26343 / 50	LP
Wrangel	<i>Mammuthus primigenius</i>	<i>M. primigenius</i>	0.47	2	7600 / 140	H
Sicily	<i>Palaeoloxodon 'mnaidriensis'</i>	<i>P. antiquus</i>	0.17	2	23000 / (1 or 4)	late MP-early LP
Crete	<i>Palaeoloxodon creutzburgi</i>	<i>P. antiquus</i>	0.38	2	9460 / 90	LP
Kassos	<i>Palaeoloxodon 'creutzburgi'</i>	<i>P. antiquus</i>	0.30	3 (T)	600 / 50	LP
Cyprus	<i>Palaeoloxodon cypriotes</i>	<i>P. antiquus</i>	0.02	4	9234 / 69	LP
Sicily	<i>Palaeoloxodon falconeri</i>	<i>P. antiquus</i>	0.02	2	(2200 / 200) or (11600 / 100)	early MP
Naxos	<i>Palaeoloxodon lomolinoi</i>	<i>P. antiquus</i>	0.08	4	1226 / 30	LP
Rhodos	<i>Palaeoloxodon</i> sp.	<i>P. antiquus</i>	0.19	4	1660 / 15	LP?
Sulawesi	<i>Palaeoloxodon</i> sp. ("large-sized <i>Elephas</i> ")	<i>P. namadicus</i>	~1.00	1	174600 / 50	MP
Tilos	<i>Palaeoloxodon tiliensis</i>	<i>P. antiquus</i>	0.09	2	116 / 15	LP
Japan	<i>Stegodon aurorae</i>	<i>S. zdanskyi</i>	0.25	3 (P)	263237 / 20	EP
Flores	<i>Stegodon florensis florensis*</i>	<i>S. ganesa</i>	0.51	3 (P)	21910 / (45 or 225)	MP
Flores (Liang Bua)	<i>Stegodon florensis insularis</i>	<i>S. ganesa</i>	0.17	3 (P)	21910 / (45 or 225)	LP
Luzon	<i>Stegodon luzonensis</i>	<i>S. ganesa</i>	0.39	3 (T)	(157000 or 59250) / (45 or 65)	LP
Sulawesi	<i>Stegodon sompoensis</i>	<i>S. ganesa</i>	0.32	3 (M)	(174600 or 24020) / 50	EP

Flores (So'a Basin)	<i>Stegodon sondaari</i>	<i>S. elephantoides</i>	0.15	3 (P)	21910 / (45 or 225)	EP
Sulawesi	<i>Stegodon</i> sp. B	<i>S. ganesa</i>	0.57	3 (T)	174600 / 50	MP
Sumba	<i>Stegodon sumbaensis</i>	<i>S. ganesa</i>	0.08	3 (M)	3250 / 119	MP-LP
Timor	<i>Stegodon timorensis</i>	<i>S. ganesa</i>	0.23	3 (M)	10560 / 78	MP
Java (Trinil H.K.)	<i>Stegodon trigonocephalus</i>	<i>S. ganesa</i>	0.65	3 (P)	138794 / (1 or 4)	late EP

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697 **Table 2** Presence of ecologically relevant competitors and predators for the insular
698 species mentioned in Table 1. Predators less than c. 15 kg (e.g. otters, foxes) are not
699 considered potentially relevant for proboscideans and omitted here. EP = early
700 Pleistocene, MP = middle Pleistocene, LP = late Pleistocene, P = Pleistocene (no
701 further stratigraphical evidence), H = Holocene.

Species	Palaeo-island	Geological time	Competitors	Predators
<i>E. sp.</i>	Sulawesi	MP	Elephant (<i>Stegodon</i> sp. B), bovid? (<i>Bubalus depressicornis</i>), suid? (<i>Celebochoerus</i> sp.)	-
<i>M. creticus</i>	Crete	EP	-	-
<i>M. exilis</i>	Santa Rosae	LP	-	-
<i>M. lamarmorai</i>	Sardinia	LP	Deer (<i>Praemegaceros cazioti</i>)	-
<i>P. creutzburgi</i>	Crete	LP	Deer (<i>Candiacervus</i> spp.)	-
<i>P. aff. creutzburgi</i>	Kassos	LP	Deer (" <i>Candiacervus</i> " sp.)	-
<i>Palaeoloxodon cypriotes</i>	Cyprus	LP	-	-
<i>P. falconeri</i>	Sicily	early MP	-	-
<i>P. 'mnaidriensis'</i>	Sicily	late MP-LP	Deer (<i>Cervus elaphus</i> , <i>Dama carburangelensis?</i>), bovids (<i>Bos primigenius</i> , <i>Bison priscus</i>)	Hyena (<i>Crocuta crocuta</i>), wolf (<i>Canis lupus</i>)?
<i>P. sp.</i>	Rhodos	LP?	-	-
<i>P. lomolinoi</i>	Naxos	LP	-	-
<i>P. tiliensis</i>	Tilos	LP-H	-	-
<i>S. aurorae</i>	Japan	EP	Deer (<i>Elaphurus</i> spp., <i>Cervus</i> sp.), rhino (<i>Rhinoceros</i> sp.)	wolf (<i>Xenocyon falconeri</i>)
<i>S. florensis florensis</i>	Flores	MP	-	hominin (<i>Homo floresiensis</i>)?
<i>S. florensis insularis</i>	Flores	LP	-	hominin (<i>Homo floresiensis</i>)?

<i>S. luzonensis</i>	Luzon	P	Elephants (Proboscidea indet., <i>Elephas beyeri</i>), deer (<i>Cervus</i> sp.), rhino (<i>Rhinoceros luzonensis</i>)	-
<i>S. sompoensis</i>	Sulawesi	EP	Elephant (<i>Stegoloxodon celebensis</i>), suid (<i>Celebochoerus heekereni</i>)	-
<i>S. sondaari</i>	Flores	EP	-	-
<i>S. timorensis</i>	Timor	MP	-	-
<i>S. sp. B</i>	Sulawesi	MP	Elephant (<i>Elephas</i> sp.), bovid? (<i>Bubalus depressicornis</i>), suid? (<i>Celebochoerus</i> sp.)	-
<i>S. trigonocephalus</i>	Trinil (Java)	late EP	Deer (<i>Axis lydekkeri</i> , <i>Rusa timorensis</i>), large bovids (<i>Bubalus palaeokerabau</i> , <i>Epileptobos groeneveldti</i> , <i>Bibos palaesondaicus</i>), elephant (<i>E. hysudricus</i>), rhino (<i>Rhinoceros sondaicus</i>)	Tiger (<i>Panthera tigris</i>), hyena (<i>Pachycrocuta brevirostris</i>), hominin (<i>Homo erectus</i>)?
<i>S. sumbaensis</i>	Sumba	MP-LP	-	-

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705 **Figure 1** Reconstruction of four insular dwarf proboscideans with their respective706 mainland ancestors . Mainland proboscideans: 1, *Palaeoloxodon antiquus*; 2,707 *Mammuthus columbi*; 3, *Stegodon zdanskyi*. Insular proboscideans: 4, *Palaeoloxodon*708 '*mnaidriensis*'; 5, *Palaeoloxodon falconeri*; 6, *Mammuthus exilis*; 7, *Stegodon*709 *aurorae*. Based on skeletons at Museo di Paleontología, University of Rome, Italy (1),

710 American Museum of Natural History, New York (2), Taylor Made Fossils, U.S. (3),

711 Museo di Paleontología e Geología G.G. Gemmellaro, Palermo, Italy (4),

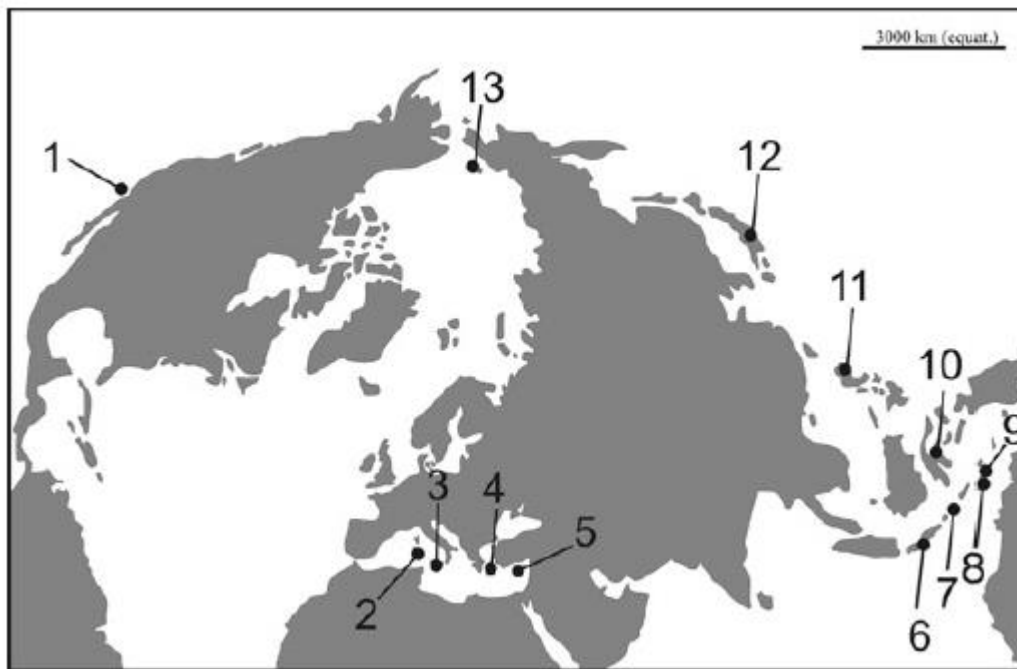
712 Forschungsinstitut und Naturmuseum Senckenberg, Frankfurt, Germany (5), Santa

713 Barbara Museum of Natural History, Santa Barbara, U.S. (6), Taga Town Museum,

714 Honshu, Japan (7). Photos 1–2, 4–7 George Lyras, photo 3 courtesy of

715 TaylorMadeFossils.com, reproduced here with permission.

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718 **Figure 2** Simplified map showing the geographical position of the islands with insular
 719 Proboscidea used in this study. 1, California Channel Islands; 2, Sardinia; 3, Sicily; 4,
 720 Islands of the Aegean (Crete, Karpathos, Palaeo-Cyclades, Rhodos); 5, Cyprus; 6,
 721 Java; 7, Flores; 8, Sumba; 9, Timor; 10, Sulawesi; 11, Philippines; 12, mainland Japan
 722 and the Ryukyu Islands; 13, Wrangel. The coastline is based on a map from d-
 723 maps.com.