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1	A molecular arms race between host innate antiviral response and emerging human coronaviruses
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21 Abstract

22 Coronaviruses have been closely related with mankind for thousands of years. Community-acquired human 23 coronaviruses have long been recognized to cause common cold. However, zoonotic coronaviruses are now 24 becoming more a global concern with the discovery of highly pathogenic severe acute respiratory syndrome 25 (SARS) and Middle East respiratory syndrome (MERS) coronaviruses causing severe respiratory diseases. 26 Infections by these emerging human coronaviruses are characterized by less robust interferon production. 27 Treatment of patients with recombinant interferon regimen promises beneficial outcomes, suggesting that 28 compromised interferon expression might contribute at least partially to the severity of disease. The 29 mechanisms by which coronaviruses evade host innate antiviral response are under intense investigations. 30 This review focuses on the fierce arms race between host innate antiviral immunity and emerging human 31 coronaviruses. Particularly, the host pathogen recognition receptors and the signal transduction pathways to mount an effective antiviral response against SARS and MERS coronavirus infection are discussed. On 32 33 the other hand, the counter-measures evolved by SARS and MERS coronaviruses to circumvent host 34 defense are also dissected. With a better understanding of the dynamic interaction between host and 35 coronaviruses, it is hoped that insights on the pathogenesis of newly-identified highly pathogenic human 36 coronaviruses and new strategies in antiviral development can be derived.

37 Introduction

Coronaviruses (CoVs) are classified into four genera, namely alpha-, beta-, gamma- and deltacoronavirus, 38 39 under the family of Coronaviridae and the order of Nidovirales (Woo et al., 2012). The first three genera 40 were previously known as groups I, II and III, respectively (Lau et al., 2006; Zhong et al., 2012). CoVs 41 have been shown to infect many different hosts including bats, birds, dogs, mice and human (Woo et al., 42 2009; de Groot et al., 2013). The infections are commonly zoonotic in nature (Chan et al., 2013). In the past 43 50 years, several human CoVs (HCoVs) were identified. HCoV-229E and HCoV-OC43, belonging to 44 alpha- and betacoronavirus respectively, were the first two HCoVs identified in the mid-1960s (Tyrrell and Bynoe, 1965; Hamre and Procknow, 1966; McIntosh et al., 1967). Healthy individuals infected with either 45 46 HCoV-OC43 or HCoV-229E develop illnesses within the range of typical common colds with good 47 prognosis (Bradburne et al., 1967). Since the identification of these two HCoVs, extensive studies were conducted to understand their pathogenicity. However, almost all studies showed that HCoV-OC43 and 48 49 HCoV-229E caused mild illnesses with high titers of neutralizing antibodies (Bradburne et al., 1967). The idea of HCoV being a relatively weak respiratory disease-causing agent was therefore presented to the field. 50

51 This idea was generally accepted until the outbreak of SARS in 2003. SARS-CoV was the first HCoV 52 identified to cause acute respiratory distress syndrome (ARDS) (Cheng et al., 2007; Graham et al., 2013). According to World Health Organization (WHO), a total of 8096 cases from 29 countries were reported 53 54 with a case mortality rate of 9.6%. The SARS outbreak changed the landscape of CoV studies entirely and marked the new era of combating infectious diseases. Tremendous efforts have been put into understanding 55 SARS-CoV pathogenicity, opening a new page of CoV biology. Despite advances in infection control and 56 57 quarantine measures in the past decade, another HCoV causing ARDS was identified in Saudi Arabia as a 58 novel lineage C betacoronavirus in September 2012 (Zaki et al., 2012). The newly identified HCoV was 59 later named MERS-CoV. Up to October 2015, 1611 laboratory-confirmed cases were reported to WHO 60 with 575 related deaths in 26 countries, including a recent outbreak involving 186 cases and 37 deaths in

61 South Korea. MERS-CoV is closely related phylogenetically to two bat CoVs, HKU4 and HKU5, shedding

62 light on the possible zoonotic reservoir of MERS-CoV (Zaki et al., 2012; Memish et al., 2013).

63 Together with HCoV-HKU1 identified in 2005 (Woo et al., 2005) and HCoV-NL63 discovered in 2004 (Fouchier et al., 2004; van der Hoek et al., 2004), 6 HCoVs have been documented up to date. These 6 64 HCoVs present diseases with a range of clinical severity from typical common cold in HCoV-OC43, 65 66 HCoV-229E, HCoV-HKU1 and HCoV-NL63 to ARDS in SARS-CoV and MERS-CoV. Why these CoVs 67 show dramatically different pathogenicity in human is an important but unanswered question in the field. 68 One model to explain this difference is based on adaptation and host immunity. According to this model, 69 bats are reservoir of various CoVs. Bat CoVs constantly emerge in human via intermediate hosts such as 70 civets and dromedaries. Exposure of immunologically naïve human populations to these CoVs commonly 71 causes severe diseases plausibly due to aberrant activation of innate immunity and lack of immune memory. 72 When some CoVs become better adapted in human by acquiring the ability to transmit from human to 73 human readily, pandemics could arise. Meanwhile, as they become fully adapted, the CoVs might only 74 cause mild diseases in human. Existing evidence supports the origin of HCoV-OC43, HCoV-229E, HCoV-75 HKU1 and HCoV-NL63 from bats and other animals (Woo et al., 2009; Huynh et al., 2012; Corman et al., 76 2015). Adaptation and virus-host interaction are also known to be major determinants in CoV pathogenesis (Pepin et al., 2010; Chan et al., 2013). It will therefore be of great interest to see whether emerging human 77 78 CoVs might be particularly capable of evading innate antiviral response while activating pathological 79 inflammation. In other words, we need to determine whether the more severe clinical presentations might 80 be accounted for by the specific interaction between host and emerging human CoVs, namely SARS-CoV 81 and MERS-CoV. In this review, the host innate antiviral response to CoV infection is particularly focused. 82 In addition, the viral strategies adopted by SARS-CoV and MERS-CoV to subvert innate immunity are also 83 summarized to provide inspiring insights that may explain the discrepancies in virulence (Figure 1).

85 An overview of CoV biology

CoVs are polycistronic positive-sense single-stranded RNA (ssRNA) viruses with genomes of about 30kb 86 in size. The 5' most two-thirds of CoV genome encodes polyprotein 1a (pp1a) and pp1ab replicase 87 88 polyproteins, which are further cleaved by viral proteases to yield non-structural proteins (nsps), while the 89 3' end of the genome encodes structural and lineage-specific proteins (Durai et al., 2015). The CoV life cycle begins with the binding to cellular receptor followed by membrane fusion as well as viral RNA and 90 91 protein synthesis in the cytoplasm. The pp1a and pp1ab polyproteins are co-translationally processed resulting in the formation of the replicase complex. A set of nested subgenomic mRNAs and genomic RNA, 92 93 which possess both the same 3' end and a common 5' leader sequence derived from the 5' end of the genome, 94 is then transcribed. Normally, only the 5' end of each mRNA is translated. Virion assembly is achieved by 95 budding into intracellular membranes and virion release is accomplished through the secretory pathway (Cheng et al., 2007; Durai et al., 2015). 96

97 The coronaviral spike (S) protein is responsible for binding to specific host receptor on cell surface and fusing viral envelope with lipid membrane of host upon infection (Bosch et al., 2003; Rota et al., 2003; 98 Chen et al., 2013). HCoV-NL63 and SARS-CoV from α- and β-genera respectively recognize angiotensin-99 100 converting enzyme 2 (ACE2) (Li et al., 2003; Pyrc et al., 2007; Frieman et al., 2008; Chen et al., 2013) 101 while MERS-CoV infects cells through another cell surface enzyme dipetidyl peptidase 4 (DPP4) (Chen et 102 al., 2013; Raj et al., 2013). Aminopeptidase N (APN) has also been found to be recognized by some α -103 genus CoVs like HCoV-229E (Yeager et al., 1992). Cell surface receptor binding dictates species-specific 104 viral entry as well as tropism. This also confines the direction of cellular antiviral response. We and others 105 have shown the ability of CoV S proteins to activate unfolded protein response and endoplasmic reticulum 106 stress (Chan et al., 2006; Fung et al., 2014; Siu et al., 2014b). The activity of S might also be functionally 107 related to coronaviral perturbation of innate antiviral response including IFN and cytokine production.

109 Detection of CoV by host innate immune sensors

110 Pattern recognition receptors (PRRs) constitute an indispensable part of the host innate immune defense 111 mechanism by the detection of foreign, non-self patterns from invading microbes distinct from host. These 112 pathogen-associated molecular patterns (PAMPs) are usually biomolecules derived from the surface or generated during the life cycle of the microbes. The detection of PAMPs by host PRRs activates innate 113 immune response including the expression of type I IFNs and cytokines for clearance of invading microbes. 114 115 During CoV infection, retinoic acid-inducible gene I (RIG-I)-like receptors (RLRs) and Toll-like receptors (TLRs) are believed to bear pivotal importance in stimulating host type I IFN induction. It is therefore 116 117 essential to review the sensing mechanism of the PRRs to understand viral evasion mechanisms and provide 118 insights on the development of potential viral antagonists.

119 *RIG-I-like receptors*

120 After viral entry, CoV genomes are exposed in the cytoplasm for expression of viral proteins, providing an 121 opportunity for viral RNA sensing by host. RLRs are ubiquitously expressed cytoplasmic RNA helicases 122 of DExD/H box family responsible for sensing double-stranded RNA (dsRNA) (Yoneyama et al., 2005). 123 Three types of RLRs have been identified up to now, including RIG-I, melanoma differentiation-associated 124 gene 5 (MDA5) and laboratory of genetics and physiology 2 (LGP2) (Loo and Gale, 2011). RIG-I and 125 MDA5 consist of N-terminal caspase activation and recruitment domain (CARD) in two tandem copies, a 126 central DExD/H box helicase domain and a C-terminal domain (CTD) (Yoneyama et al., 2004, 2005). The N-terminal CARDs are the effector domain of RLRs to mediate downstream transduction, which is held by 127 the CTD when unstimulated (Jiang et al., 2011; Kowalinski et al., 2011; Luo et al., 2011). However, in the 128 129 presence of residual amount of cytoplasmic dsRNA, RLRs bind to dsRNA through the central DExD/H 130 box helicase domain and CTD with ATP, causing a conformational change that exposes the N-terminal 131 CARDs for signal transduction (Yoneyama et al., 2004; Jiang et al., 2011). LGP2 lacking the N-terminal CARDs is thought to act as co-factor that augments the function of RIG-I and MDA5 (Satoh et al., 2010; 132

133 Bruns et al., 2014). Exposure of CARDs leads to oligomerization of RIG-I or MDA5 to form filamentous 134 structure (Berke et al., 2012; Peisley et al., 2013; Wu et al., 2013). The CARD filament recruits and further 135 initiates similar filamentous structure formation of CARD on MAVS, an adaptor protein which further 136 recruits downstream effectors tumor necrosis factor receptor-associated factor 3 (TRAF3), TANK-binding 137 kinase 1 (TBK1) and I κ B kinase ϵ (IKK ϵ) (Loo and Gale, 2011; Wu et al., 2014). TBK1 and IKK ϵ form a complex of activated protein kinase for phosphorylation and activation of not only MAVS adaptor (Liu et 138 139 al., 2015a), but also IRF3 transcription factor (Loo and Gale, 2011). Activated IRF3 are phosphorylated, 140 dimerized and eventually translocated to the nucleus. On the other hand, TRAF2/6 is also recruited to MAVS for NF-KB activation. Specifically, canonical NF-KB inhibitor IKB is phosphorylated and then 141 142 degraded through proteasomes in a ubiquitination-dependent fashion (Loo and Gale, 2011). IKB 143 degradation exposes nuclear localization signal on NF-KB dimer for nuclear translocation. Activated IRF3 144 and NF- κ B together with other transcription factors including c-Jun assemble the enhanceosome that binds 145 to IFN- β promoter for IFN- β expression (Ford et al., 2010; Loo and Gale, 2011). Infection with mouse 146 hepatitis virus induces RIG-I expression. In addition, the activation of type I IFN production by this CoV in oligodendrocytes requires both RIG-I and MDA5 (Li et al., 2010). Thus, RLRs might play an important 147 148 role in the sensing of CoV infection.

Several critical questions concerning RLR recognition of CoVs merit further investigations. First, the role 149 150 of RLRs in CoV sensing should be studied in RLR-null and CoV-susceptible cells and animals. When 151 necessary CRISPR/Cas9 technology might be used to disrupt RLR genes in target cells (Hsu et al., 2014; 152 Yuen et al., 2015). Second, the CoV PAMPs recognized by RLRs should be identified and characterized. 153 Particularly, it will be of interest to see whether and how common and highly structured regions in 154 coronaviral genome, such as the aforementioned 5' leader sequence, might be recognized by RLRs. For 155 example, a polyuridine motif in the 3' untranslated region of hepatitis C virus genome and the panhandle 156 structure in RNA viruses such as influenza A virus have previously been shown to be RIG-I agonists (Saito 157 et al., 2008; Weber et al., 2013; Kell et al., 2015; Liu et al., 2015b). In addition, possible involvement of viral proteins such as nucleocapsid (N) in this recognition as in the case of other RNA viruses (Saito et al.,
2008; Weber et al., 2013) should also be clarified. Finally, comparative analysis of SARS-CoV, MERSCoV and other HCoVs for their ability to activate RLRs will shed light on whether RLR activation would
be a critical determinant in CoV virulence.

162 *Toll-like receptors*

163 CoVs have been observed to infect host cells through more than one pathway. While CoV entry by the fusion of viral envelope and host membrane has been described, the endosomal pathway is still considered 164 the classical entry pathway for CoVs. In this pathway the activation of S protein cleavage by cathepsin L 165 166 and transmembrane serine protease TMPRSS2 occurs in the absence of cell surface proteases in certain cell types (Shirato et al., 2013; Burkard et al., 2014). In this regard, TLR family may play an essential role in 167 168 sensing CoV infection through the endosomal pathway. TLR family was identified as another PRR 169 homologous to Drosophila Toll receptor (Boehme and Compton, 2004), sensing various PAMPs within the 170 endosome which leads to induction of cytokines and IFNs. In human, each of the 11 TLRs is known to 171 specifically recognize a particular PAMP and preferentially resides in either plasma or endosomal 172 membrane. The cellular localization of TLRs defines their functions in detecting different PAMPs. For 173 example, TLRs critically involved in viral nucleic acid sensing, including TLR3 for dsRNA, TLR7 and TLR8 for ssRNA, and TLR9 for unmethylated CpG island of dsDNA viruses, are mainly localized in 174 175 endosomal membrane while other members having a role in sensing other biomolecules derived from 176 microbial surface components localized to plasma membrane of infected cells (Xagorari and Chlichlia, 2008; Kawai and Akira, 2010). TLR family members being type 1 transmembrane proteins share a similar 177 178 structure with a single transmembrane domain. TLR specificity is determined by the ectodomain made up 179 of various number of leucine-rich repeats (LRRs) that bind the corresponding PAMP directly (Boehme and 180 Compton, 2004). Signal transduction begins with ligand binding to LRRs in the ectodomain, thus recruiting 181 cytosolic adaptor protein MyD88 with cytoplasmic Toll/IL-1 receptor (TIR) domain by homotypic TIR-TIR domain interaction (Xagorari and Chlichlia, 2008). The TLR-MyD88 complex then recruits and 182

183 activates interleukin 1R-associated kinase (IRAK) by phosphorylation. The activated IRAK then in turn 184 associates with TRAF6 and activates a series of downstream effectors leading to the activation of a range 185 of cytokines and IFN-stimulated genes (ISGs), while activation of type I IFN expression by TLR3 is 186 independent of MyD88 but dependent on TRIF (Boehme and Compton, 2004; Xagorari and Chlichlia, 187 2008). TLR pathway is significantly involved in the suppression of CoV replication and induction of type I IFN expression. Mice deficient of either TLR3 or TLR4 were more prone to SARS-CoV pathogenesis 188 189 (Mazaleuskaya et al., 2012; Totura et al., 2015). Notably, disruption of either MyD88 or TRIF arm of the 190 TLR signaling pathway causes lethal SARS-CoV disease, indicating the importance of both arms in host innate immunity against SARS-CoV (Totura et al., 2015). Full characterization of the role of TLRs in host 191 192 innate antiviral response against SARS-CoV and MERS-CoV versus other HCoVs will not only provide 193 new knowledge about how TLR activation might impact CoV pathogenesis, but might also identify new 194 strategies for antiviral and vaccine development. For example, synthetic TLR agonists could potentially 195 serve as antivirals and vaccine adjuvants in the prevention and control of CoVs.

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197 Host innate immune response against CoV infection

198 Innate antiviral response is the first line of defense against CoV infection. Type I IFNs are important 199 antiviral and immunomodulatory agents. Type I IFNs function by binding to IFN- α receptor-1 (IFNAR-1) 200 and IFNAR-2 receptor complex, thus activating Janus family tyrosine kinase (JAK), leading to the phosphorylation of signal transducer and activator of transcription (STAT), a family of transcription factors 201 regulating the expression of ISGs. Activated STAT and IRF9 form IFN-stimulated gene factor 3 (ISGF3), 202 203 stimulating expression of ISGs by binding to IFN-stimulated response element (ISRE) in promoters of ISGs (Levy et al., 2001; Samuel, 2001). Viral induction of ISGs was abrogated in STAT1^{-/-} mice infected with 204 SARS-CoV. The viral infection could not be cleared resulting in severe disease, extensive lung injury and 205

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208 ISGs are the workhorses of the innate antiviral response with diverse functions including direct antiviral 209 activities and regulation of adaptive immune system (Schneider et al., 2014). For example, IFN-inducible 210 gene p53 evokes apoptosis in virus-infected cells (Takaoka et al., 2003). IFN-inducible protein kinase PKR, 211 2', 5'-oligoadenylate synthetase (OAS) and RNase L are important modulators involved in dsRNA sensing, 212 viral gene expression and replication. They act sequentially to trigger viral RNA degradation and suppression of viral activities (Samuel, 2001). Other ISGs encoding antiviral effectors such as Mx proteins, 213 214 cholesterol-25-hydrolse, IFITM proteins, TRIM proteins, viperin, tetherin, cGAMP synthase and STING 215 could also be highly relevant to CoV infection (Schneider et al., 2014; Schoggins et al., 2014; Ma et al., 216 2015a; Ma et al., 2015b). Inflammatory responses triggered by inflammatory cytokines like tumor necrosis factor α (TNF- α) and IFN- γ are also found to be IFN-dependent (Samuel, 2001). IFNs do not only exert 217 218 antiviral effects through activation of innate immunity but also act as modulators of adaptive immunity. 219 Adaptive immune response is activated by increased level of IFNs. The levels of major histocompatibility 220 complex (MHC) proteins class I and II are found up-regulated by IFNs. This facilitates efficient antigen 221 presentation and hence cellular immune response to CoV infection (Samuel, 1991, 2001; Ivashkiv and Donlin, 2014). In addition, the roles of non-conventional ISGs including microRNAs, long non-coding 222 223 RNAs and alternatively spliced isoforms have been increasingly recognized in recent years (Schneider et 224 al., 2014). It will be of importance to determine whether SARS-CoV and MERS-CoV might be unique in 225 ISG activation as suggested in a recent study, which demonstrated that MERS-CoV induces repressive 226 histone modifications to down-regulate specific subsets of ISGs (Menanchery et al., 2014b). In relation to 227 this, two areas concerning ISG activation by CoVs might require more attention and research efforts. First, 228 unbiased and large-scale screening of antiviral ISGs using RNA interference or CRISPR/Cas9 technology might be carried out to identify key cellular factors that restrict SARS-CoV and MERS-CoV replication 229 230 and infection. Second, small-molecule compounds that activate antiviral ISGs could be identified and tested 231 for inhibition of SARS-CoV and MERS-CoV replication and infection. For example, establishing the 232 significance of cGAS and STING in CoV infection might lead to the development of cyclic dinucleotides 233 such as c-di-GMP and cGAMP as novel anti-CoV agents.

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Evasion of innate immune response by CoV

236 CoVs have been reported to directly or indirectly suppress IFN production and signaling pathways by a subset of viral proteins via various mechanisms. In many cases, infected patients have shown diminished 237 238 levels of type I IFNs. This is especially true for SARS and MERS patients with severe diseases (Faure et 239 al., 2014). It was also shown that SARS-CoV and MERS-CoV were capable of evading type I IFN 240 production and signaling to different extents in cultured cells (Kindler et al., 2013). When the deficiency in 241 type I IFN production in CoV-infected cells was remedied by IFN- α treatment, CoV replication was inhibited (Falzarano et al., 2013). Combination of IFN- α with other antiviral drugs further improves the 242 243 survival of infected patients (Omrani et al., 2014). This evidence suggests an essential role of type I IFNs 244 in the antiviral effect against CoV infection. CoVs have evolved strategies to counter host antiviral response 245 by antagonizing type I IFN production and signaling. CoV proteins have been characterized to exhibit 246 innate immunosuppressive effects in cellular models. Below we will discuss them in three categories: 247 structural, lineage-specific and non-structural proteins (nsps) (de Groot et al., 2013). Nsps of CoVs are 248 involved in the assembly of the replicase complex for viral RNA synthesis (Sevajol et al., 2014). Certain nsps have also been reported to possess innate immunosuppressive effect that facilitates viral replication 249 250 and propagation, although these proteins *per se* are not required for viral life cycle (Narayanan et al., 2008b; 251 Lokugamage et al., 2015). Nsps of different CoVs are more or less evolutionarily conserved suggesting 252 their functional significance, with the exception of nsp1 and nsp2, which are thought to contribute to virulence of certain CoVs (Neuman et al., 2014). Four structural proteins are found in CoVs, namely S, 253 254 membrane (M), envelope (E) and N proteins. Structural proteins contribute the architecture for virion

255 assembly. Accessory proteins are lineage-specific with diverse behaviors in different CoVs but are not 256 essential for viral replication and propagation (de Groot et al., 2013).

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258 CoV nsps have shown suppressive effects in various immune pathways including type I IFN production 259 and signaling. SARS-CoV and MERS-CoV nsp1 proteins have been shown to selectively induce 260 degradation of host mRNA by inducing endonucleolytic cleavage while leaving viral RNAs intact (Huang 261 et al., 2011; Lokugamage et al., 2015). In addition to the induction of endonucleolytic cleavage of host mRNA, general inhibition of host mRNA translation is achieved by binding of 40S subunit of ribosome 262 263 with SARS-CoV nsp1 (Huang et al., 2011). Particularly, SARS-CoV nsp1 inhibits innate immune response by translational repression of IFN mRNA transcripts, hence altering IFN production and signaling 264 (Narayanan et al., 2008a; Tanaka et al., 2012). MERS-CoV nsp1 has also been characterized to specifically 265 266 induce endonucleolytic cleavage of nuclear transcribed mRNA while sparing cytoplasmic host mRNA and 267 viral RNA (Lokugamage et al., 2015). This suggests a novel mechanism for evading host immune response.

268 CoV nsp3 protein has been characterized with a papain-like protease (PLpro) domain for enzymatic 269 cleavage of pp1a and pp1ab as well as a PLP2 domain with deubiquitinating and deISGylating activity 270 (Clementz et al., 2010; Mielech et al., 2014). MERS-CoV PLpro is able to antagonize IFN production 271 induced by RIG-I and MDA5 as well as NF-κB activation (Mielech et al., 2014). MERS-CoV PLpro is 272 catalytically more efficient (Báez-Santos et al., 2014) and its catalytic activity is indispensable for the suppressive effect on RIG-I, MDA5 and NF-κB (Mielesh et al., 2014). In contrast, SARS-CoV PLpro does 273 274 not require enzymatic activity for IFN antagonism (Clementz et al., 2010). HCoV-NL63 and SARS-CoV 275 PLP2 transmembrane domain can also act as potent IFN antagonists to suppress IFN production induced 276 by RIG-IN, a dominant active form of RIG-I (Clementz et al., 2010). In another view of direct inhibition 277 of IFN induction, nsp3 with deubiquitinating and deISGylating activity may also influence the 278 ubiquitination and ISGylation pattern and dynamics thus indirectly hindering innate immune response against CoV infections (Clementz et al., 2010). For example, ISGylation and ubiquitination of IRF3
required for optimal activation is probably altered by PLP domain of nsp3.

281 Apart from directly manipulating the signaling pathway involved in IFN production, several CoV nsps were 282 identified to act on viral RNA to minimize IFN stimulation. N7-methylguanosine is the fundamental moiety of eukaryotic mRNA cap structure and 2'-O-methylation on this moiety is a representative host signature 283 284 to avoid PRR activation as well as ISG action. Particularly, viral RNA with this modification evades recognition by MDA5 or IFIT family antiviral factors (Züst et al., 2011; Daffis et al., 2010). This is a 285 286 common immunoevasive mechanism adopted by not only different CoVs but also other RNA viruses. 287 Functional screening in yeasts suggested a novel function of SARS-CoV nsp14 as a guanine-N7-288 methyltransferase, the activity of which is required for viral replication and transcription (Chen et al., 2009). 289 Another nsp of SARS-CoV, nsp16, also possesses 2'-O-methyltransferase activity (Menachery et al., 2014a; 290 Menachery et al., 2014c). Structural modeling suggested that SARS-CoV nsp16 associates with nsp10 in 291 1:1 ratio to form a complex of mature 2'-O-methyltransferase for viral cap methylation (Chen et al., 2011; Decroly et al., 2011). A short peptide derived from nsp10 conserved region has been shown to be a 292 293 promising nsp16 antagonist which outcompetes native nsp10 to blunt 2'-O-methyltransferase activity and 294 restrict viral replication (Wang et al., 2015). Plausibly, CoV nsps might execute their innate 295 immunosuppressive roles by targeting type I IFN production and signaling. Further investigations are 296 required to clarify whether and how far the sensing of CoV RNA and the induction of innate antiviral 297 response are involved in the inhibitory activity of the nsp antagonists on CoV replication.

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CoV structural proteins have been shown to inhibit IFN production and signaling at multiple levels. SARSCoV N protein showed inhibitory effects on IFN production induced by Sendai virus and dsRNA analogue
poly(I:C) but no inhibition could be observed when downstream signaling molecules of TLR and RLR
pathway were overexpressed. Truncation mutant of N protein shows that the C-terminal domain is critical

303 for RNA-binding and IFN-antagonizing effect (Lu et al., 2011). This suggests SARS-CoV N may interfere 304 with RNA recognition by host immune sensors such as RIG-I and MDA5 thus achieving suppressive role 305 in IFN production. Other than N protein, SARS-CoV M protein has been characterized to potently downregulate IFN production by impeding the formation of TRAF3·TANK·TBK1/IKK complex through the 306 307 first transmembrane domain (Siu et al., 2009, 2014a). SARS-CoV M protein inhibits IFN production possibly through a sequestration model in which components of TRAF3 TANK TBK1/IKK complex, an 308 309 active complex for IRF3 phosphorylation, are sequestered to specific locations in the cell (Siu et al., 2009). 310 SARS-CoV M protein therefore exerts its inhibitory effects by impeding the formation of 311 TRAF3. TANK. TBK1/IKK complex but not by modulating the catalytic activity of the complex.

312 MERS-CoV M protein also exhibits IFN-antagonizing effects similar to its counterpart in SARS-CoV. In 313 a previous study, MERS-CoV M is shown to impede IFN production by preventing IRF3 translocation into 314 the nucleus (Yang et al., 2013). However, the detailed mechanism of inhibition remains unknown. Recently, 315 our group has characterized the mode of inhibition of IFN production by MERS-CoV M. Consistently with previous report, we show that MERS-CoV M suppresses IFN production by preventing IRF3 activation. 316 317 We showed that MERS-CoV M interacts with TRAF3 which impedes the recruitment of TBK1 to TRAF3 318 complex. IRF3 activation and dimerization have also been hampered as a result. The inhibitory effect is at 319 least in part accounted for by the N-terminal transmembrane domains. Despite of the similar behaviors, 320 MERS-CoV M can only moderately suppress IFN expression when compared to SARS-CoV M. 321 Interestingly, HCoV-HKU1 M protein does not exert any inhibitory effects on IFN production (Siu et al., 322 2014a), suggesting that the IFN-antagonizing activity of structural proteins is unique to each CoV but not 323 universal. It will be of great interest to see whether this may correlate with the pathogenicity of different 324 HCoVs.

Eight accessory proteins have been identified in SARS-CoV and five are found in MERS-CoV (Narayanan
et al., 2008b). SARS-CoV genome encodes ORF3a, ORF3b, ORF6, ORF7a, ORF7b, ORF8a, ORF8b and
ORF9b as accessory proteins (Narayanan et al., 2008b). SARS-CoV ORF3b and ORF6 have been found to

antagonize type I IFN production and signaling. Particularly, SARS-CoV ORF3b and ORF6 suppress IFN- β production by perturbing IRF3 activation induced by Sendai virus infection. SARS-CoV ORF3b and ORF6 also suppress IFN- β -induced activation of ISRE in ISG promoters (Kopecky-Bromberg et al., 2007), although they are not able to reduce the level of phosphorylation of STAT1, a transcription factor that activates ISRE activity once phosphorylated. However, SARS-CoV ORF6 has been shown to inhibit STAT1 translocation for ISRE activation (Kopecky-Bromberg et al., 2007). The findings suggest a mode of inhibition of IFN- β signaling by SARS-CoV.

335 IFN antagonism of accessory proteins has also been observed in another deadly HCoV. MERS-CoV genome encodes ORF3, ORF4a, ORF4b, ORF5 and ORF8b (de Groot et al., 2013). Among the five 336 337 accessory proteins, ORF4a, ORF4b and ORF5 show the ability to dampen IFN production (Yang et al., 338 2013). Suppression of IFN- β promoter-driven luciferase activity has been observed in cells transfected with ORF4a, ORF4b and ORF5 plasmids. All these 3 accessory proteins are able to block IRF3 translocation to 339 340 the nucleus to activate IFN promoter (Yang et al., 2013). MERS-CoV ORF4a shows an additional level of 341 inhibition of innate immunity by intervening NF-kB activation. In another study, ORF4a has been shown 342 as an antagonist of IFN production by inhibiting IRF3 translocation but has no effect on IFN signaling (Niemeyer et al., 2013). Our group demonstrated that MERS-CoV ORF4a interacts with PACT, a cellular 343 dsRNA-binding protein that optimally activates RIG-I- and MDA5-induced type I IFN production, in an 344 345 RNA-dependent manner (Siu et al., 2014c). This suggests that ORF4a may compete with RIG-I and MDA5 346 for RNA, rendering the inactivation of RIG-I and MDA5. Direct interaction of ORF4a with PACT may 347 also prevent interaction of PACT with RIG-I and MDA5, thus compromising PACT-dependent activation 348 of RIG-I and MDA5 required for optimal induction of IFN production. Although we and others have 349 observed the IFN-antagonizing activity of MERS-CoV ORF4b, different activity profiles and mechanisms 350 have been suggested (Yang et al., 2013; Matthews et al., 2014). One recent report suggested that ORF4b 351 directly interacts with and inhibits TBK1/IKK ε in the cytoplasm but might also perturb type I IFN 352 production in the nucleus through an unknown mechanism (Yang et al., 2015).

353 Mouse hepatitis virus, another betacoronavirus closely related to HCoV-OC43 and HCoV-HKU1, encodes 354 a lineage-specific accessory protein named ns2 with innate immunosuppressive property (Zhao et al., 2012). Biochemical assays indicate that ns2 protein has phosphodiesterase activity against 2', 5'-A, the product of 355 356 OAS (Zhang et al., 2013). Thus, ns2 is a potent inhibitor of an IFN effector molecule and it might represent 357 a new family of viral and cellular proteins with innate immunosuppressive activity (Zhang et al., 2013; 358 Gusho et al., 2014). Whether distantly related proteins in HCoV-OC43 and HCoV-HKU1 might have similar activity remains to be determined. More importantly, it will be of interest to see whether SARS-359 CoV and MERS-CoV might encode proteins with similar enzymatic activity. 360

361 Multiple IFN antagonists have been identified and characterized in SARS-CoV and MERS-CoV. Some 362 differences between these IFN-antagonizing viral proteins and their counterparts in other CoVs such as the 363 parental bat viruses of MERS-CoV have also been noticed (Siu et al., 2014c). Existing evidence supports several important notions. First, although SARS-CoV and MERS-CoV share some features in common, 364 365 they are distinct and use unique mechanisms for innate immune evasion (Perlman and Zhao, 2013). Second, 366 both SARS-CoV and MERS-CoV are bat-origin CoVs that are well adapted in bats but newly emerge in 367 human. This provides a golden opportunity for the study of CoV-host interaction, CoV adaptation as well as the arms race between host innate antiviral immunity and CoVs. Observing how the arms race between 368 369 the host and SARS-CoV or MERS-CoV might evolve when the viruses become adapted to human will be 370 most revealing and could provide important clues as to how a balance of power in this arms race might 371 result in attenuation with increased transmissibility. Finally, studies on SARS-CoV and MERS-CoV have overturned existing concepts and derived new principles and thoughts to CoV biology. Particularly, 372 373 mechanisms by which SARS-CoV and MERS-CoV evade innate immunity have attracted increasing 374 attention. However, many key issues remain obscure. Particularly, better in vivo evidence should be 375 obtained to clarify whether more potent inhibition of innate IFN production and signaling by SARS-CoV and MERS-CoV is a key determinant in virulence and disease severity. 376

377

378 Conclusion

CoVs have drawn a lot of interests in the light of the recent emergence of MERS-CoV. It remains to be understood whether the emerging deadly CoVs causing ARDS might ultimately be established and adapted in human resulting in significant attenuation of virulence. From the identification of the first two HCoVs, HCoV-229E and HCoV-OC43 in the mid-1960s, we learned that HCoV was able to cause only common cold. However, the outbreaks of SARS and MERS that have claimed hundreds of lives revealed the other extreme of CoV pathogenicity and raised new questions in CoV biology. So far no vaccines have been developed against SARS-CoV and MERS-CoV.

386 Infection with SARS-CoV and MERS-CoV has been accompanied with suppression of innate immune response, most notably with the suppression of type I IFN production and signaling pathways. As the first-387 line defense in the immune system, suppression of innate immune response by these CoVs has impeded the 388 389 host ability to restrict infection, causing significant casualties. Although many reports have shed light on 390 the molecular mechanism by which various CoV proteins antagonize type I IFN production and signaling, 391 most of the studies were performed with overexpression experiments in cellular models. Future emphasis should be put on the characterization of knock-out viruses with which the function of a particular viral gene 392 393 could be studied in a more physiologically relevant context. Infectious clones and replicons for SARS-CoV 394 and MERS-CoV have been generated for this reverse genetic approach (Yount et al., 2003; Almazán et al., 2006, 2013, 2014; Scobey et al., 2013). IFN and cytokine profiles of deadly HCoVs such as SARS-CoV 395 396 and MERS-CoV can be compared with HCoV-229E and HCoV-OC43 causing mild diseases. The pivotal 397 significance of type I IFNs in innate immune activation and modulation has been discussed in this review. Suppression pattern of IFN may provide insights on the high pathogenicity of deadly HCoVs. The arms 398 399 race between host innate antiviral response and emerging human CoVs might evolve after their introduction 400 and establishment in human populations, with significant impact on virulence, transmissibility and disease

- 401 severity. Emerging human CoVs remain a potential threat to global public health. New knowledge about402 the host-CoV arms race will provide new ideas, targets and attenuated strains for the design and
- 403 development of antivirals and vaccines for prevention and control of deadly CoV infections.

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409 Compliance with ethics guidelines

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Figure 1. Innate immune response mediated against coronavirus infection and viral evasion mechanisms.

729 (Left) Upon CoV infection, viral genome ssRNA as well as dsRNA intermediate found in virus life cycle 730 are exposed to host innate immune sensors, RIG-I/MDA5 in cytoplasm or Toll-like receptors TLR3/7/8 in 731 endosome. Activation of these immune sensors initiates a downstream signaling cascade that leads to IFN-732 β gene expression. RIG-I/MDA5 conveys signal through a mitochondrial adaptor MAVS while TLR signals 733 through TRIF/MyD88. Both pathways share the common TRAF adaptor to activate transcription factors. 734 TRAF3 serves as an adaptor which activates TANK TBK1/IKKE complex for IRF3 phosphorylation and 735 subsequent dimerization, while TRAF6 is responsible for the activation of IKK complex which 736 phosphorylates the canonical inhibitor of NF-KB (IKB). Activated transcription factors are translocated into 737 the nucleus to drive IFN- β expression. (Right) IFN- β are secreted into extracellular space and bound to its 738 cognate receptors IFNAR to activate downstream JAK-STAT signaling. Receptor-associated tyrosine 739 kinases Jak1 and Tyk2 are brought to juxtaposition for self-phosphorylation and activation. STATs are 740 recruited to and phosphorylated by the tyrosine kinases. Phosphorylated STAT1/2 with IRF9 forms a 741 ternary complex ISGF3 which translocates into the nucleus and binds to ISRE in the promoter region 742 upstream of ISG genes. ISG genes are expressed consequently to establish an antiviral state in cells. OAS is an example of ISG which produces 2', 5'-oligoadenylate (2', 5'-A) upon detection of dsRNA and activates 743 744 RNase L to cleave viral RNA to yield more RLR ligand as a positive-feedback mechanism of IFN 745 production. The CoV-encoded proteins shown in red are known to intervene the host innate immune 746 signaling at various action points as evasion mechanisms to sustain viral replication and propagation. The 747 action points at which viral proteins function marked with a question mark (?) represent controversial and 748 inconclusive findings in the field or molecular mechanisms not well studied. MHV: mouse hepatitis virus.

