



<b>Title</b>	<b>Monitoring the fitness of antiviral-resistant influenza strains during an epidemic: a mathematical modelling study</b>
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1 **Monitoring the fitness of antiviral-resistant influenza strains during an epidemic: A**  
2 **mathematical modeling study**

3

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21 **Summary**

22

23 **Background**

24 Antivirals (e.g. oseltamivir) are important for mitigating influenza epidemics. In 2007, an  
25 oseltamivir-resistant seasonal A(H1N1) strain emerged and spread to global fixation within one  
26 year. This showed that antiviral-resistant (AVR) strains can be intrinsically more transmissible than  
27 their contemporaneous antiviral-sensitive (AVS) counterpart. Surveillance of AVR fitness is  
28 therefore essential.

29

30 **Methods**

31 We define the fitness of AVR strains as their reproductive number relative to their co-circulating  
32 AVS counterparts. We develop a simple method for real-time estimation of AVR fitness from  
33 surveillance data. This method requires only information on generation time without other specific  
34 details regarding transmission dynamics. We first use simulations to validate this method by  
35 showing that it yields unbiased and robust fitness estimates in most epidemic scenarios. We then  
36 apply this method to two retrospective case studies and one hypothetical case study.

37

38 **Findings**

39 We estimate that (i) the oseltamivir-resistant A(H1N1) strain that emerged in 2007 was 4% (3-5%)  
40 more transmissible than its oseltamivir-sensitive predecessor and (ii) the oseltamivir-resistant  
41 pandemic A(H1N1) strain that emerged and circulated in Japan during 2013-2014 was 24% (17-  
42 30%) less transmissible than its oseltamivir-sensitive counterpart. We show that in the event of  
43 large-scale antiviral interventions during a pandemic with co-circulation of AVS and AVR strains,  
44 our method can be used to inform optimal use of antivirals by monitoring intrinsic AVR fitness and  
45 drug pressure on the AVS strain.

46

47 **Conclusions**

48 We have developed a simple method that can be easily integrated into contemporary influenza  
49 surveillance systems to provide reliable estimates of AVR fitness in real time.

50

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57 Influenza antiviral drugs are important for mitigating influenza epidemics. The neuraminidase (NA)  
58 inhibitor oseltamivir is the most commonly used influenza antivirals (1) and has been extensively  
59 stockpiled by many countries for pandemic preparedness (2). The effectiveness of antivirals is  
60 threatened by emergence and spread of antiviral resistance (AVR) viruses. For oseltamivir, the  
61 most commonly detected resistance mutation in A(H1N1) viruses is the NA H275Y substitution.  
62 Before 2007, emergence of oseltamivir-resistant influenza viruses were sporadically reported, and  
63 the fitness of detected resistant viruses had always been substantially compromised (3). As such,  
64 there was a consensus that AVR influenza viruses would always be outcompeted by their antiviral-  
65 sensitive (AVS) counterparts, and hence posed only minimal threat to public health.

66  
67 Such conventional wisdom was refuted by events in 2007-2008 – a new oseltamivir-resistant  
68 A(H1N1) virus emerged and displaced its contemporaneous oseltamivir-sensitive counterpart to  
69 become the dominant A(H1N1) strain globally within only 12 months (4). The emergence and rapid  
70 fixation of this oseltamivir-resistant virus was not driven by widespread use of oseltamivir (4, 5).  
71 This event thus proved that AVR viruses are not necessarily less transmissible than their AVS  
72 counterparts. Furthermore, in the context of large-scale antiviral intervention during a pandemic,  
73 AVR fitness may be enhanced by the drug pressure on the AVS strain such that an intrinsically less  
74 transmissible AVR strain may become more fit than the AVS strain. Timely and accurate assessment  
75 of AVR fitness is therefore essential for informing situational awareness and optimal use of  
76 antivirals during both inter-pandemic and pandemic periods (6).

77  
78 The spread of AVR influenza viruses can increase morbidity and mortality. For example, case-  
79 fatality risk may increase because antivirals would be ineffective for treating AVR cases.  
80 Furthermore, if AVR viruses spread during the early stage of a pandemic, populations at the  
81 downstream of global spread will be subject to substantial importation and hence higher incidence  
82 of AVR cases (7). In view of such risks, national and supranational agencies, especially the WHO's  
83 Global Influenza Surveillance and Response System (GISRS), have emphasized the need for timely  
84 and accurate assessment of AVR fitness (8). However, few advances have been made in data  
85 analytics and performance evaluation for AVR surveillance systems. Our objective is to help fill this  
86 knowledge gap by developing a simple method for estimating AVR fitness from surveillance data.

## 87 88 **Methods**

### 89 **The model**

90 We assume that there is only one transmissible AVR strain over the course of a single epidemic  
91 wave constituted by the A subtype or B lineage to which the AVR strain and its antiviral-sensitive  
92 counterpart (the AVS strain) belong. We define the intrinsic AVR fitness as the ratio of the basic  
93 reproductive number of the AVR strain to that of the AVS strain ( $\sigma_0 = R_0^R / R_0^S$ ). Similarly, we define  
94 AVR fitness as the ratio of their reproductive numbers ( $\sigma = R^R / R^S$ ) which encapsulates the  
95 combined effect of intrinsic fitness and any reduction in AVS transmissibility due to antiviral  
96 interventions.

97

98 We formulate our model under the following base case assumptions:

- 99 1. The AVS and AVR strains co-circulate during the epidemic.
- 100 2. Without antiviral treatment, AVS and AVR infections have the same severity such that all  
101 infections are equally likely to be selected for AVR testing.
- 102 3. Recovery from infection with either strain provides complete cross-protection against both  
103 strains during the epidemic.
- 104 4. The effect of viral interference (if any) caused by all other circulating influenza viruses (i.e.  
105 those from other subtypes and lineages) and pathogens are the same for both strains.
- 106 5. AVR fitness does not depend on age.
- 107 6. Age-specific susceptibility to the AVR virus is the same as that to the AVS virus.

108 Assumptions 5 and 6 are relatively less likely to hold, e.g. high-risk groups may be more likely to  
109 receive antiviral prophylaxis, susceptibility to the AVR virus may be different from that to the AVS  
110 virus (9). In the Appendix (see Appendix page 5), we extend our method to allow relaxation of these  
111 two assumptions.

112

113 Under the base case assumptions, the next generation matrix of AVR infections is simply  $\sigma$  times  
114 that of AVS infections. This remains true in the presence of seasonal forcing and interventions such  
115 as vaccination and school closure because transmission of the AVS and AVR strain are identically  
116 affected by these factors (see Appendix page 2). As the epidemic unfolds, the proportion of  
117 infections that are AVR, denoted by  $\rho(t)$ , will increase towards 1 if  $\sigma > 1$ , remain at the same level  
118 if  $\sigma = 1$ , and decline towards 0 if  $\sigma < 1$ . The key step of our method is to approximate  $\rho(t)$  using  
119 the equation

120 
$$\rho(t) = \frac{\int_0^t \sigma g^R(t-a)\rho(a)i(a)da}{\int_0^t \sigma g^R(t-a)\rho(a)i(a)da + \int_0^t g^S(t-a)(1-\rho(a))i(a)da} \quad (1)$$

121 where  $i(t)$  is the total incidence rate of AVR and AVS infections,  $g^R$  and  $g^S$  are the generation  
 122 time distributions for AVR and AVS infections, respectively. To verify the accuracy of this  
 123 approximation, we randomly generate 100 epidemic scenarios driven by the UK contact matrix  
 124 (10) with four age groups (0-5, 6-18, 18-65, and >65) using Latin-hypercube sampling from the  
 125 following parameter space which covers a wide range of plausible epidemics:

- 126 • Initial susceptible proportion of each age group between 0.3 and 1;
- 127 • Initial reproductive number of the AVS strain ( $R^S(0)$ ) between 1.2 and 3;
- 128 • Mean generation time ( $T_g$ ) between 2 and 4 days;
- 129 • Intrinsic AVR fitness ( $\sigma_0$ ) between 0.8 and 1.2;
- 130 • The proportion of seeding infections that are AVR between 0.1 and 0.9;

131 **Figure A1** (see Appendix page 8) shows that the approximation in equation (1) is very accurate. As  
 132 such, given  $i(t)$  or a proxy of it (see below) and the generation time distribution for both strains,  
 133 equation (1) allows us to accurately describe  $\rho(t)$  without knowing other epidemiologic details  
 134 such as basic reproductive number, contact matrix, symptomatic proportion, seasonality, etc.

135

### 136 **Inference of AVR fitness**

137 Our method requires the following two streams of data (for the subtype or lineage under  
 138 investigation):

- 139 1. The incidence rate  $i(t)$  or its proxy, e.g. based on the daily number of laboratory confirmed  
 140 infections in the Hong Kong E-Flu system (11), Flu Near You (12), or other proxies used for  
 141 calculating influenza excess mortality (13). We denote this data stream by  $\tilde{i}(t)$ . These data  
 142 are typically confounded with temporal fluctuation in reporting rate and laboratory testing  
 143 capacity. Our method, however, is robust against such fluctuation (see Results).
- 144 2. Data from AVR surveillance where  $Z_d^R$  and  $Z_d^S$  are the number of influenza positive isolates  
 145 tested on day  $d$  that are found to be positive and negative for AVR, respectively. The  
 146 subjects selected for AVR testing should (i) have not been treated with antivirals for their  
 147 infection and (ii) have no recent travel history to avoid misclassifying imported cases as  
 148 local cases.

149 We substitute  $i(t)$  with its proxy  $\tilde{i}(t)$  in equation (1) and denote the resulting approximation by  
150  $\tilde{\rho}(t)$ . The approximate likelihood is

$$151 \prod_d \binom{Z_d^S + Z_d^R}{Z_d^R} p_d^{Z_d^R} (1 - p_d)^{Z_d^S}$$

152 where  $p_d = p_{sens} \int_d^{d+1} \tilde{\rho}(t) dt + (1 - p_{spec}) \left( 1 - \int_d^{d+1} \tilde{\rho}(t) dt \right)$ ,  $p_{sens}$  and  $p_{spec}$  are the sensitivity and  
153 specificity of AVR testing. With this likelihood and uniform priors, we estimate AVR fitness  $\sigma$  using  
154 Markov Chain Monte Carlo methods (see Appendix page 3).

155

### 156 **Validation of the AVR fitness inference method**

157 To validate our method, we simulate 100 stochastic realizations of the data streams for each of the  
158 100 epidemic scenarios generated earlier assuming that (i) daily reporting proportions are uniform  
159 random variables ranging between 0.5% and 2%; and (ii) daily AVR testing capacity is 2, 5, 10, 20  
160 or 80 isolates. AVR fitness is then inferred at the end of each epidemic.

161

### 162 **Case Studies**

163 After validating our method, we apply it to three case studies:

- 164 1. *A retrospective study of the oseltamivir-resistant influenza A(H1N1) virus in 2007 – 2008.* To  
165 estimate the (intrinsic) fitness of this oseltamivir-resistant strain in comparison to its  
166 oseltamivir-sensitive predecessor, we retrieve the data on influenza virus activity and AVR  
167 surveillance for 10 countries/regions from published literature and public online data  
168 **(Tables A1-A3 on page 13-17 of Appendix, Figure 3)**. We assume that AVS and AVR  
169 infections had the same generation time distribution because there is no published evidence  
170 that indicates the contrary. Based on published serial interval estimates, we assume that the  
171 generation time distribution was lognormal with mean 2.8 days and coefficient of variation  
172 0.54 (14). We first obtain a pooled estimate of AVR fitness by assuming that AVR fitness was  
173 the same in all populations. We then estimate AVR fitness in each population separately and  
174 compare them.
- 175 2. *A retrospective study of the oseltamivir-resistant influenza A(H1N1)pdm09 virus in Japan*  
176 *during 2013-2014.* Although 98% of the tested A(H1N1)pdm09 virus isolates were sensitive  
177 to oseltamivir by 2014 (8), large clusters of oseltamivir-resistant variants were detected in



178 Newcastle, Australia in 2011 (15) and Hokkaido, Japan in 2013-2014 (16). In the Japan  
179 cluster, the oseltamivir-resistant virus was causing community outbreaks until it was  
180 displaced by its oseltamivir-sensitive counterpart (**Figure 2**). We apply our method to  
181 estimate the fitness of this oseltamivir-resistant strain using published data (16) and the  
182 generation time distribution in case study 1.

183 3. *A hypothetical study of AVR fitness and drug pressure under large-scale antiviral interventions*  
184 *during a pandemic.* Oseltamivir resistance is not uncommon among influenza viruses with  
185 pandemic potential, e.g. avian influenza A(H5N1) (17) and A(H7N9) viruses (18). We  
186 consider a hypothetical but realistic situation in which large-scale antiviral interventions,  
187 comprising both prophylaxis and treatment, are implemented during a pandemic that  
188 comprises co-circulation of AVS and AVR viruses (7, 19-21). The epidemic parameters are  
189 the same as that in **Figure 2** with all individuals susceptible at time 0. We consider  
190 situations in which (i) the AVR strain is intrinsically less transmissible than the AVS strain  
191 with  $\sigma_0 = 0.95$  ; and (ii) large-scale antiviral interventions reduce the AVS reproductive  
192 number by a proportion  $\mu$  such that drug pressure renders the AVS strain less transmissible  
193 than the AVR strain, i.e.  $\sigma = \sigma_0 / (1 - \mu) > 1$ . We consider 10%, 15% and 20% coverage of  
194 antiviral prophylaxis that reduces susceptibility to the AVS virus by 81% (22); this  
195 corresponds to  $\mu = 0.08, 0.12$  and  $0.16$ , respectively. We assume that  $\sigma_0$ ,  $\sigma$  and  $\mu$  are  
196 unknown a priori and demonstrate how our method can be used to estimate them in real-  
197 time to inform optimal use of antivirals. Specifically, if AVR fitness is consistently estimated  
198 to exceed 1 with high probability (say, above 0.9 for one week), then there is compelling  
199 evidence that an increasing proportion of severe cases would be AVR and hence not  
200 treatable with the antiviral. We assume that in response to this alert, antiviral use would be  
201 suspended except for treating high-risk and severe cases as policymakers deliberate (i) how  
202 to strategically adjust antiviral use to strike a balance between reducing transmission of  
203 AVS infections and increasing the number of severe AVR infections , and (ii) whether  
204 alternative treatment options such as convalescent plasma and antivirals with different  
205 resistance mechanisms should be considered (7, 20, 21, 23). The objective of this case study  
206 is to demonstrate how estimates of  $\sigma_0$  and  $\mu$  can be used to build an evidence base for this  
207 decision-making process.

208

209 **Role of the funding sources**

210 The sponsors of the study had no role in study design, data collection, data analysis, data  
211 interpretation, or writing of the report. The corresponding author had full access to all the data in  
212 the study and had final responsibility for the decision to submit for publication.

213

## 214 **Results**

### 215 **Validating the method for estimating AVR fitness**

216 **Figure 1** summarizes the accuracy and precision of AVR fitness estimates across a wide range of  
217 plausible epidemic scenarios when AVR testing sensitivity and specificity are both 100% (a  
218 reasonable assumption for genotypic testing). The reliability of fitness estimates depends on  
219 epidemic characteristics mainly via the time span, expressed in terms of number of generation  
220 intervals, during which the AVS and AVR strains are both circulating in significant proportions.  
221 Fitness estimates are largely unbiased unless this time span is below 10 generation intervals  
222 (around 30 days) and AVR testing capacity is low (<5 samples per day). Increasing the daily testing  
223 capacity beyond 20 samples provides little improvement in the fitness estimates. The accuracy and  
224 precision of fitness estimates deteriorate significantly when testing sensitivity and specificity are  
225 both reduced to 90% which has a similar effect as halving the testing capacity (**Figure A2 on page**  
226 **9 of Appendix**).

227

### 228 **Timeliness of AVR fitness estimates**

229 **Figure 2** illustrates the timeliness of reliable AVR fitness estimates for one stochastic realization of  
230 an exemplary epidemic scenario. The AVS and AVR reproductive numbers differ by 5% which is  
231 sufficiently high to result in fixation within a single epidemic wave. The daily AVR testing capacity is  
232 10 samples, a modest level for well-resourced populations like Hong Kong. Our method correctly  
233 predicts which virus would become dominant with posterior probability consistently above 0.9 as  
234 early as three weeks before the epidemic peak. However, stochasticity has a strong impact on the  
235 timeliness of reliable fitness estimates. **Figures A3** (see Appendix page 10) shows two alternative  
236 realizations of the same epidemic scenarios in which reliable fitness estimates are available a  
237 couple of weeks sooner or later than in **Figure 2**.

238

### 239 **Case study 1: Oseltamivir-resistant influenza A(H1N1) virus, 2007 – 2008**

240 The pooled (intrinsic) AVR fitness estimate is 1.04 (95% credible interval 1.03-1.05), i.e. the  
241 oseltamivir-resistant strain was 4% (3%-5%) more transmissible than its contemporaneous  
242 oseltamivir-sensitive counterpart (**Figure 3**). The fitness estimate increases (decreases) by 0.01

243 when we increase (decrease)  $T_g$  by one day. If the data were available in real-time, reliable fitness  
244 estimates would have been available by late February 2008, which was 15 weeks after the  
245 oseltamivir-resistant virus was first identified in Norway and months before it became dominant in  
246 populations outside Europe (24). If we estimate AVR fitness in each population separately, the  
247 results suggest that the oseltamivir-resistant strain was more transmissible than the oseltamivir-  
248 sensitive strain only in Canada, Luxembourg, the UK, Germany and France, but not in the other five  
249 populations (**Figure 3**). In particular, there is no strong evidence that the oseltamivir-resistant  
250 strain was more transmissible than its oseltamivir-sensitive counterpart in Japan (25). The intrinsic  
251 AVR fitness estimates remain unchanged when the effect of drug pressure in Japan is explicitly  
252 modelled (see Appendix page 4).

253

#### 254 **Case study 2: Oseltamivir-resistant influenza A(H1N1)pdm09 virus in Japan, 2013-2014**

255 We estimate that this oseltamivir-resistant A(H1N1)pdm09 virus was 24% (17%-30%) less  
256 transmissible than the oseltamivir-sensitive strain that displaced it (**Figure 4**). Such differential  
257 transmissibility was not detected by *in vitro* competitive growth and *in vivo* ferret transmission  
258 experiments (16). In retrospect, our method could have correctly predicted that the AVR virus was  
259 less transmissible than its AVS counterpart (with posterior probability > 0.95) after both viruses  
260 had co-circulated for two weeks, which corresponds to four weeks before the AVR virus was  
261 displaced.

262

#### 263 **Case study 3: Estimating AVR fitness and drug pressure on the AVS strain under large-scale 264 antiviral interventions during a pandemic**

265 **Figure 5** shows that reliable estimates of  $\sigma_0$  and  $\mu$  are typically available within one to two weeks  
266 after antiviral interventions are suspended. These estimates can be used to inform the optimal use  
267 of antivirals. For example, if policymakers resume large-scale antiviral prophylaxis with coverage  
268 equal to  $\gamma$  times the baseline level, then the resulting AVR fitness would be  $\sigma_0/(1-\gamma\mu)$  which can  
269 be used to assess the downstream effect of increased AVR incidence, e.g. increase in case-fatality  
270 risk due to more cases not treatable with antivirals.

271

#### 272 **Discussion**

273 We have developed a simple method for estimating AVR fitness from influenza AVR surveillance  
274 data. Characterization of the nonlinear epidemic dynamics underlying surveillance data typically

275 requires inference of multiple parameters in transmission models (e.g. basic reproductive number,  
276 reporting rate, etc.) (26). Our method bypasses such complexity and is therefore easy to implement.

277

278 Conventionally, AVR fitness is assessed based on *in vitro* experiments examining kinetics of  
279 neuraminidases and virus replications in cell cultures, or *in vivo* experiments examining viral load  
280 and virus transmission in animal models (27). As illustrated in our second case study, fitness  
281 estimates from such laboratory settings do not necessarily conform with that observed in actual  
282 community transmission settings (16). Moreover, as the 2007 experience showed, experiments  
283 performed using different genetic background may give different results (28). Nonetheless, these  
284 experiments are indispensable for early detection of transmissible AVR viruses. Our method  
285 complements these experiments by providing population-level fitness estimates when both AVS  
286 and AVR viruses co-circulate.

287

288 Timeliness of AVR surveillance depends on the capacity and turnaround time of AVR testing.  
289 Current influenza AVR surveillance mainly relies on the WHO Collaborating Centers (WHO CCs) in  
290 GISRS with antiviral susceptibility testing capacity available mainly in five WHO CCs, namely  
291 Atlanta, Beijing, London, Melbourne and Tokyo (8). National influenza centers collect clinical  
292 specimens and send representative virus isolates to one of the WHO CCs for more advanced  
293 analyses. However, patient-specific clinical and epidemiological data for these isolates, such as  
294 gender, age, geographic location, healthcare setting, antiviral treatment history and vaccination  
295 status, are often incomplete or missing, especially when these samples are not collected by the  
296 sentinel surveillance systems. Routine collection of these data (e.g. antiviral treatment history) can  
297 enhance the performance of AVR surveillance.

298

299 The turnaround time of AVR testing depends on our knowledge regarding the genetic mechanisms  
300 that confer AVR. If the genetic markers associated with AVR are known a priori (e.g. the NA H275Y  
301 mutation (27)), the turnaround time for genotypic tests are usually 1-2 days. In contrast,  
302 phenotypic tests for antiviral susceptibility (e.g. neuraminidase inhibition assay (8)) are necessary  
303 for monitoring emergence of AVR strains with previously unknown AVR mechanisms (27).  
304 Phenotypic tests are much more labor intensive than genotypic tests with a turnaround time of 1-2  
305 weeks. Following the discovery of a new strain with unknown AVR mechanism, further  
306 investigations would be needed to characterize the associated genetic markers. As such, real-time  
307 surveillance for novel AVR strains will likely incur a lead time of at least several weeks.

308

309 In our first case study, we estimate that the oseltamivir-resistant influenza A(H1N1) virus that  
310 emerged and became globally dominant in 2007-2008 was 4% more transmissible than its  
311 oseltamivir-sensitive predecessor. This is consistent with the findings in Chao et al (29) in which  
312 the fitness advantage of the oseltamivir-resistant strain was estimated to be 1.7% to 2.4% based on  
313 the rate at which it spread around the globe. Both studies indicate that an AVR strain with a fitness  
314 advantage of as little as 2% to 4% would spread to fixation both locally and globally within months.  
315 If large-scale antiviral intervention is implemented during a pandemic, the resulting drug pressure  
316 on the AVS strain might confer such magnitude of fitness advantage to an intrinsically less  
317 transmissible AVR strain. In such context, timely and robust surveillance of AVR fitness is essential  
318 for informing optimal use of antivirals. For example, given that antiviral therapy will likely be the  
319 first-line treatment for severe cases during a pandemic, an increase in AVR/AVS incidence ratio and  
320 growing ineffectiveness of antivirals in treating AVR cases might increase the overall pandemic  
321 mortality. Estimates of intrinsic AVR fitness and drug pressure on the AVS strain provided by our  
322 method would thus be useful for assessing the risk of such outcome, though a comprehensive  
323 evaluation of optimal antiviral use would require knowledge of additional parameters (e.g.  
324 reproductive number, antiviral efficacy in reducing mortality, etc.) (30).

325

326 In our method, AVR fitness corresponds to the combined effect of intrinsic AVR fitness and the drug  
327 pressure posed on the AVS strain by population-wide antiviral interventions. AVR fitness will vary  
328 across populations if the drug pressure in each localities are different. Therefore, comparison of  
329 AVR fitness estimates from different populations should account for heterogeneities in drug  
330 pressure. We have demonstrated how to do this in our case study 1 in which we jointly estimate  
331 intrinsic AVR fitness and drug pressure in Japan using data from 10 populations (see Appendix page  
332 4).

333

334 Our study has several important limitations. First, our method is applicable only when AVS and AVR  
335 strains co-circulate and hence cannot be used to estimate the fitness of a newly emerged AVR strain  
336 that has not yet spread in the community. Second, our method requires accurate specification of the  
337 generation time distribution. If data on exposure or onset times of infector-infectee pairs are  
338 available, our method can be extended to jointly infer the generation time distribution (see  
339 Appendix page 4). The resulting fitness estimate remains largely unbiased, but its precision would  
340 be lower due to uncertainty in the generation time distribution. Third, our method has not

341 accounted for importation of AVS and AVR viruses. In the presence of such importation, our method  
342 would still be valid if (i) cases with recent travel history are excluded from AVR surveillance and (ii)  
343 the number of imported cases is small compared to incidence from local transmission (which is  
344 generally the case after the local epidemic has undergone exponential growth for 1-2 weeks).

345  
346 Timely and accurate estimates of AVR fitness is important during both inter-pandemic and  
347 pandemic periods because the spread of AVR viruses can substantially attenuate the effectiveness  
348 of antivirals. Robust real-time interpretation of AVR surveillance data for estimating AVR fitness is  
349 thus an essential but currently missing function of AVR surveillance. Our method has the potential  
350 to fill this knowledge gap and can be easily integrated into contemporary surveillance systems.

351

### 352 **Contributors**

353 J.T.W., M.L. and K.L. designed the experiments; K.L. and J.T.W. performed the data collection and  
354 analysis; K.L., M.L., K.Y.Y. and J.T.W. interpreted the results and wrote the manuscript.

355

### 356 **Conflicts of interest**

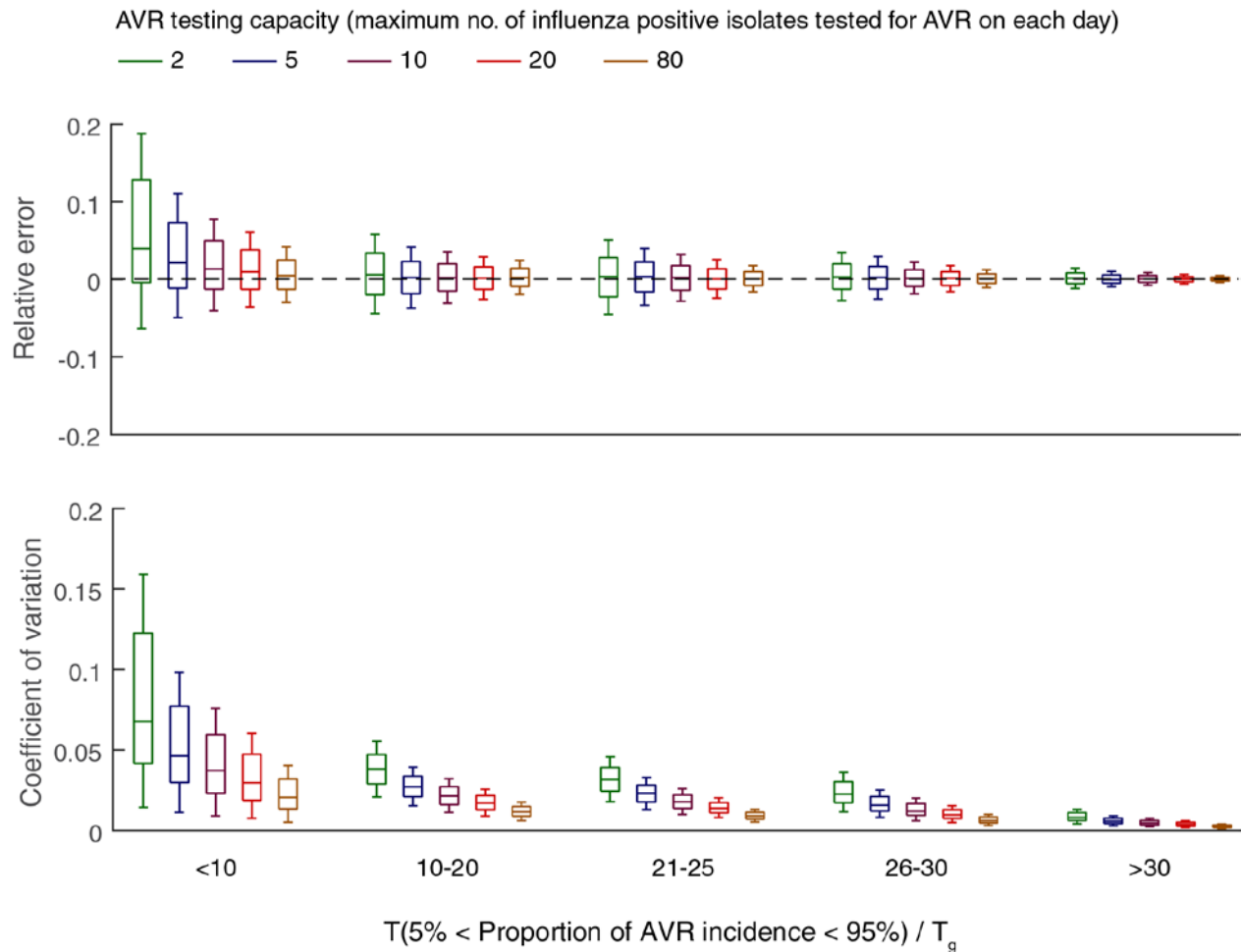
357 We declare that we have no conflicts of interest.

358

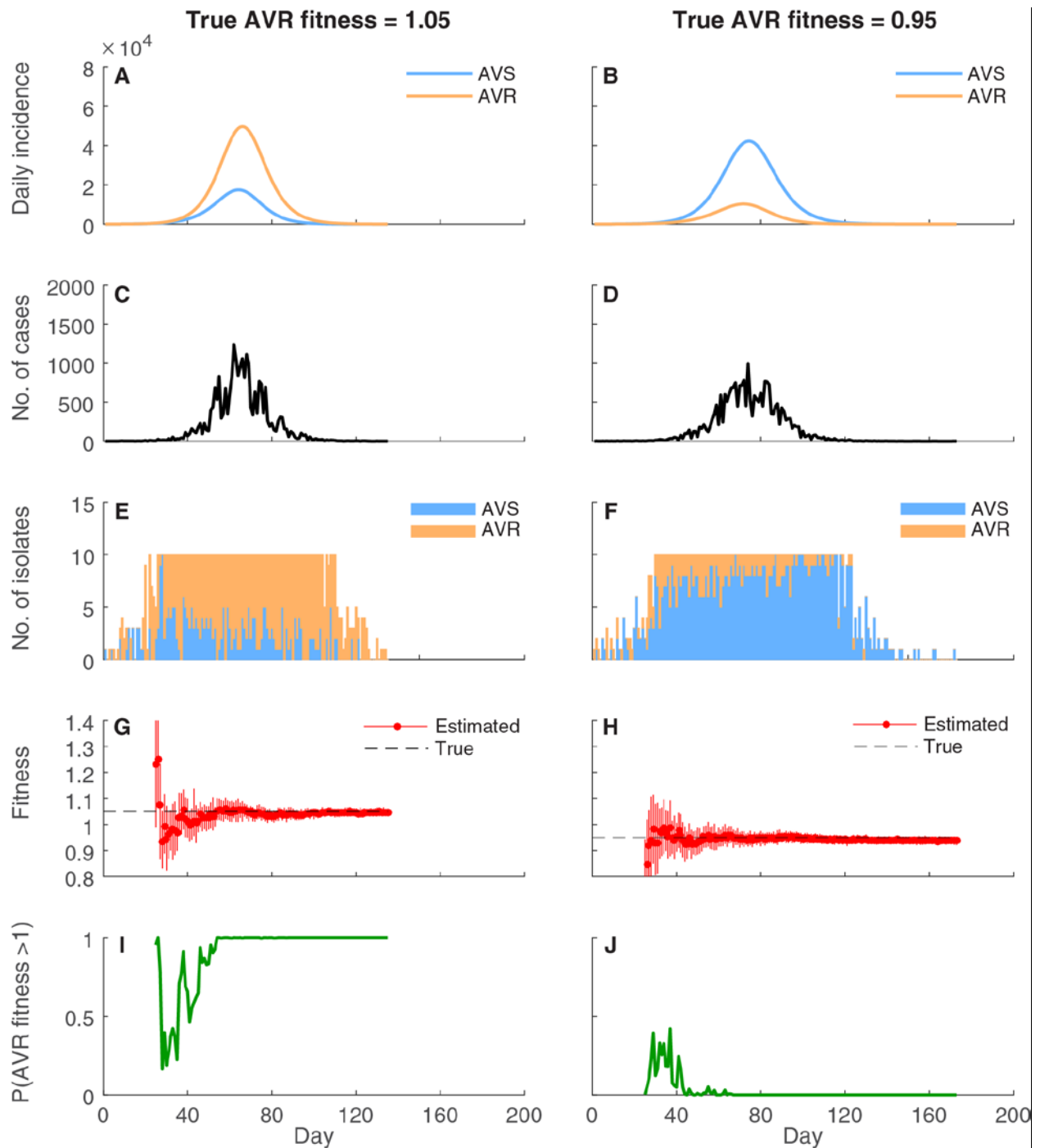
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364 A(H1N1)pdm09 oseltamivir resistance data of in Hokkaido, Japan during the winter flu season in  
365 2013 – 2014 in personal communications. We also thank Dr. Hui-Ling Yen from The University of  
366 Hong Kong for valuable discussions on oseltamivir resistance in influenza in personal  
367 communications.

368



**Figure 1. Validating the accuracy and precision of AVR fitness estimates when the sensitivity and specificity of AVR testing are both 100%.** One hundred epidemic scenarios are randomly generated and 100 stochastic realizations of the data streams are simulated for each scenario (see Methods). AVR fitness is inferred at the end of each simulated epidemic. **A** Frequency distribution of the relative error in the fitness estimates  $\hat{\sigma}$  (i.e.  $1 - E[\hat{\sigma}]/\sigma$ ) across all scenarios and realizations when the daily AVR testing capacity is 2, 5, 10, 20 and 80 samples. The smaller the relative error, the more accurate the estimates. **B** Frequency distribution of the coefficient of variation of  $\hat{\sigma}$ . The smaller the coefficient of variation, the more precise the estimates.

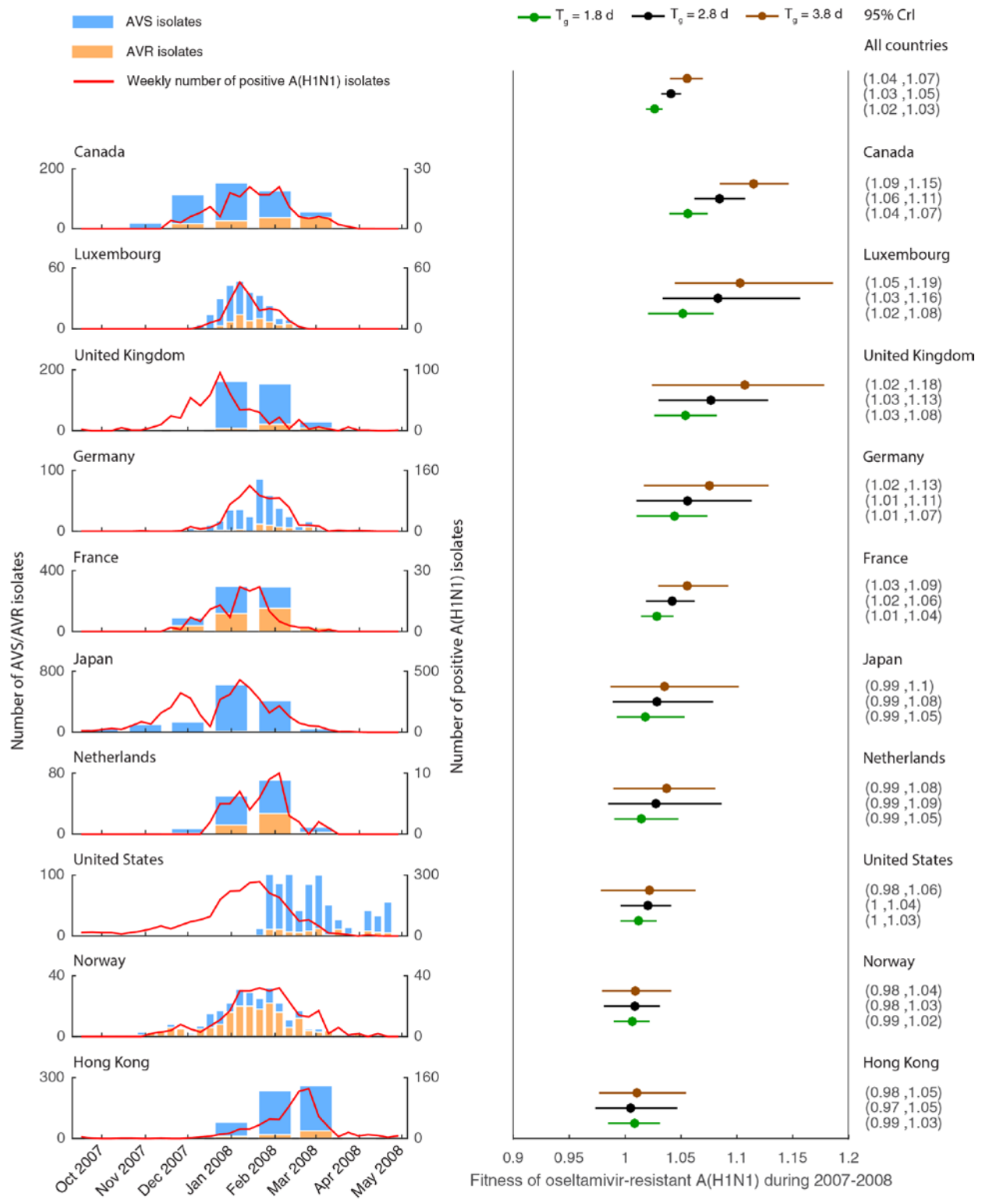


**Figure 2. A simulated example to illustrate the timeliness of reliable AVR fitness estimates.**

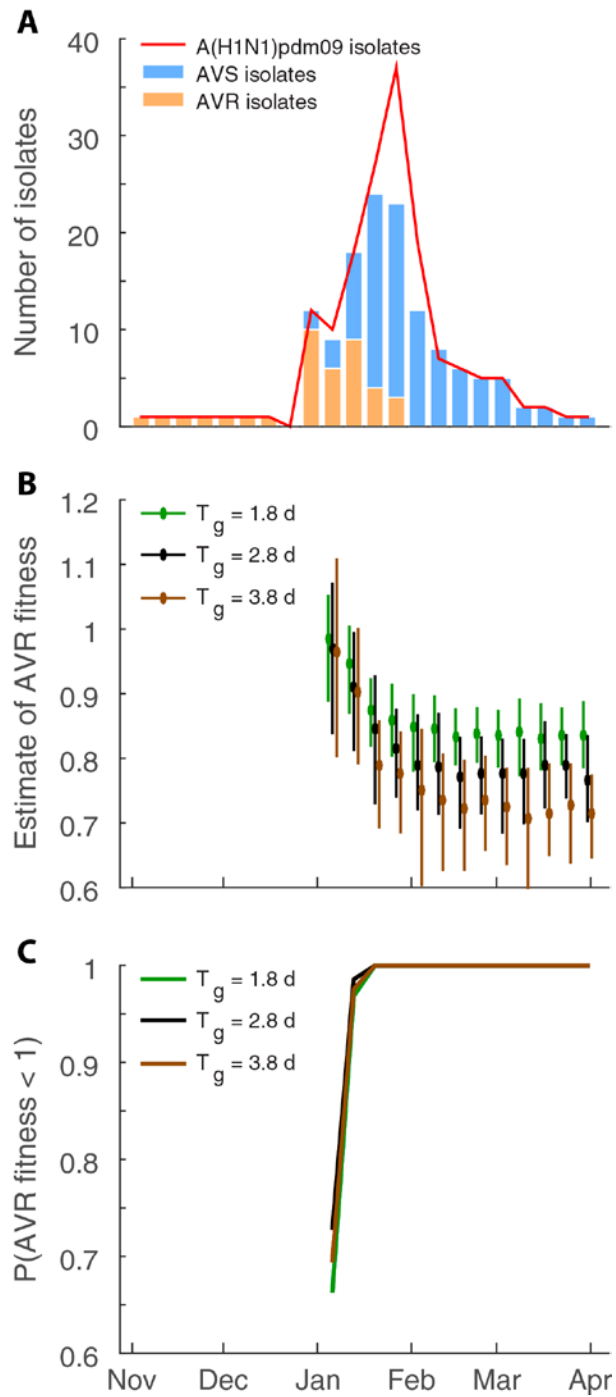
The epidemic parameters are  $R^S(0) = 1.4$  and  $T_g = 2.8$  days. At time 0, 50% of each age group are susceptible and the epidemic is seeded with 10 AVS and 10 AVR infections. **A-B** Incidence of AVS and AVR infections in two fitness scenarios:  $\sigma = 1.05$  or 0.95. **C-D** The daily number of



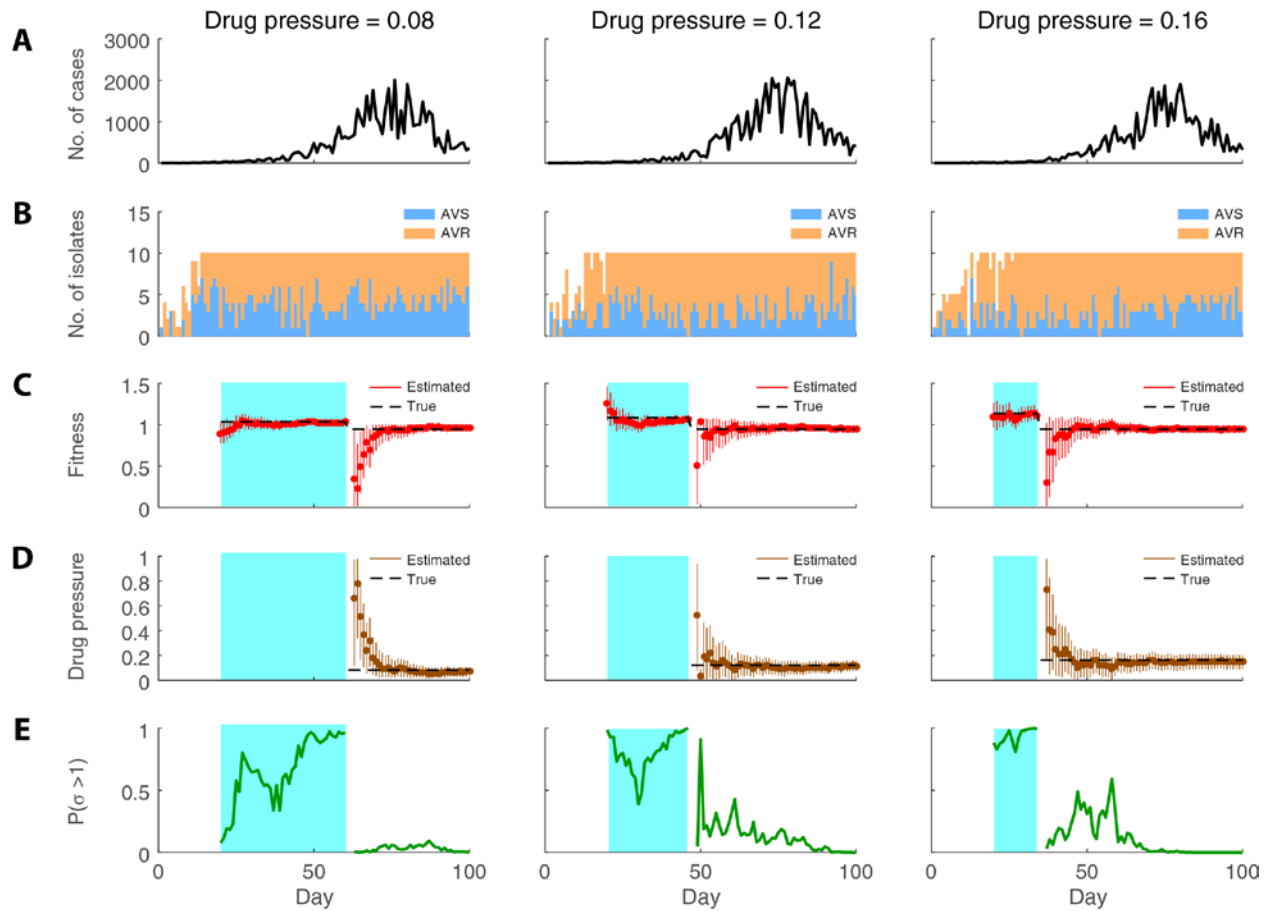
reported cases. **E-F** The daily number of influenza-positive isolates that are AVS and AVR with a testing capacity of 10 samples per day. **G-H** Posterior distribution of the fitness estimate  $\hat{\sigma}$  on each day. Circles and error bars indicate the posterior medians and the 95% credible intervals, respectively. **I-J** The posterior probability that AVR fitness is above 1.



**Figure 3. Surveillance data for seasonal influenza A(H1N1) and fitness estimates for the oseltamivir-resistant strain during 2007-2008 in Canada, Luxembourg, United Kingdom, Germany, France, Japan, Netherlands, United States, Norway and Hong Kong. A** The number of positive A(H1N1) virus isolates and the number of oseltamivir-sensitive and resistant A(H1N1) isolates over time in each population. **B** Fitness estimates for the oseltamivir-resistant A(H1N1) virus under three assumed generation time distributions. The pooled AVR fitness estimate (at the top) is obtained by assuming that AVR fitness was the same in all populations.



**Figure 4. Retrospective real-time fitness estimate for the oseltamivir-resistant A(H1N1)pdm09 virus that circulated in Hokkaido, Japan during the 2013-2014 influenza season. A** Data on influenza A(H1N1) activity and AVR surveillance. **B** Weekly fitness estimate using the same generation time distributions considered in Figure 3. **C** The posterior probability that AVR fitness was above 1.



**Figure 5. Estimating AVR fitness and drug pressure on the AVS strain posed by large-scale antiviral prophylaxis.** The epidemic parameters are the same as that in Figure 2 with intrinsic AVR fitness  $\sigma_0 = 0.95$ . We assume that antiviral prophylaxis reduces susceptibility by 81% and the prophylaxis coverage is 10%, 15% and 20% so that the drug pressure  $\mu$  is 0.08, 0.12 and 0.16, respectively. Large-scale antiviral intervention is suspended after the posterior probability of  $\sigma > 1$  is greater than 0.9 for seven consecutive days. Cyan shade indicates the time period during which large-scale antiviral intervention is implemented. **A** The daily number of reported cases. **B** The daily number of influenza-positive isolates that are AVS and AVR with a testing capacity of 10 samples per day. **C** Posterior distribution of the AVR fitness estimate on each day. Circles and error bars indicate the posterior medians and the 95% credible intervals, respectively. **D** Posterior distribution of the estimates for drug pressure on the AVS strain at the baseline level (i.e. before large-scale antiviral interventions is suspended). **E** The posterior probability that AVR fitness is above 1.

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