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| Title       | Prevalence of undiagnosed airflow obstruction among people with a history of smoking in a primary care setting    |
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#### ORIGINAL RESEARCH

# Prevalence of undiagnosed airflow obstruction among people with a history of smoking in a primary care setting

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Purpose: The purpose of this study was to define the prevalence of undiagnosed airflow obstruction (AO) among subjects with a history of smoking but no previous diagnosis of chronic lung disease. The finding of AO likely represents diagnosis of chronic obstructive pulmonary disease.

**Patients:** People aged ≥30 years with a history of smoking who attended public outpatient clinics for primary care services were included in this study.

**Methods:** A cross-sectional survey in five clinics in Hong Kong using the Breathlessness, Cough, and Sputum Scale, the Lung Function Questionnaire, and office spirometry was conducted.

**Results:** In total, 731 subjects (response rate =97.9%) completed the questionnaires and spirometry tests. Most of the subjects were men (92.5%) in the older age group (mean age =62.2 years; standard deviation = 11.7). Of the 731 subjects, 107 had AO, giving a prevalence of 14.6% (95% confidence interval =12.1-17.2); 45 subjects with AO underwent a postbronchodilator test. By classifying the severity of chronic obstructive pulmonary disease using the Global Initiative for Chronic Obstructive Lung Disease, 27 (60%) were considered to be in mild category and 18 (40%) in moderate category. None of them belonged to the severe or very severe category. The total score of Lung Function Questionnaire showed that majority of the subjects with AO also had chronic cough, wheezing attack, or breathlessness, although most did not show any acute respiratory symptoms in accordance with the Breathlessness, Cough, and Sputum Scale. Diagnosis of AO was positively associated with the number of years of smoking (odds ratio =1.044, P=0.035) and being normal or underweight (odds ratio =1.605, P=0.046). It was negatively associated with a history of hypertension (odds ratio =0.491, P=0.003).

**Conclusion:** One-seventh of smokers have undiagnosed AO. Spirometry screening of smokers should be considered in order to diagnose AO at an early stage, with an emphasis on smoking

Keywords: chronic obstructive pulmonary disease, COPD, airflow obstruction, smokers, early diagnosis, general practice

#### Introduction

Chronic obstructive pulmonary disease (COPD) causes suffering and adds substantial burden to national health care budget because of repeated hospital admissions. However, the condition often remains undiagnosed until the patients are sent to the emergency room with acute exacerbation or complications such as pneumonia or pneumothorax, by which time the disease is often already in the advanced stage.<sup>2</sup> In 2002, COPD was the fifth leading cause of mortality worldwide. Total deaths from COPD are projected to increase by >30% in the next 10 years.<sup>3</sup> The estimated overall prevalence of COPD among the general population varies among different regions, with 6.3% in the

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Asia-Pacific region,<sup>4</sup> 8.2% in the People's Republic of China,<sup>5</sup> 4% in the UK, and 6% in the USA.<sup>6</sup> In Hong Kong, the COPD prevalence was 3.5% among those aged >30 years estimated using epidemiology relationship of smoking and other risk factors.<sup>4</sup> In the community, 25.9% of those aged >60 years attending elderly centers were found to have airflow obstruction (AO) in a survey using office spirometry.<sup>7</sup>

Early COPD diagnosis may motivate smoking cessation, which is the only measure known to radically improve future prospects for the patients.  $^{8-10}$  One feasible method for early COPD diagnosis is to screen for AO. AO is spirometrically defined as a reduced ratio of forced expiratory volume in the first second (FEV<sub>1</sub>) to forced vital capacity (FVC), such that FEV<sub>1</sub>/FVC is <0.70. The yield of undiagnosed AO patients is highly dependent on the inclusion criteria of the high-risk group. For instance, history of smoking is known to be a strong risk factor for AO.  $^{11}$ 

Although the most common diagnosis in smokers with AO is COPD, <sup>12</sup> doctors still need to consider other differential diagnoses such as asthma, bronchiectasis, <sup>13</sup> and pulmonary tuberculosis. <sup>14</sup> Patients with AO are often relatively asymptomatic in the early stages. Smokers, men, and older people have a higher risk of being affected. <sup>15</sup> The prevalence of undiagnosed AO among people with smoking history varies worldwide, <sup>12</sup> and it ranges from 10.1% in the UK, <sup>16</sup> 13.1% in Japan, <sup>17</sup> and 34.8% in Denmark among smokers with chronic respiratory symptoms. <sup>18</sup>

It is generally agreed that primary health care professionals play a significant role in accurately diagnosing COPD at the early stage.<sup>19</sup> They have ample opportunities to make a diagnosis during primary health care encounters.<sup>20</sup> The active case finding of high-risk group can potentially identify 70% more undiagnosed AO than opportunistic identification alone. Providing treatment for these new cases could potentially reduce hospitalizations and deaths.<sup>21</sup> The most commonly used strategy is to invite patients in the high-risk group to complete questionnaires and perform spirometry during their primary care visits, 16-18,20 although it is questionable whether such strategy is applicable and acceptable in older population from the lower socioeconomic class. In view of the large variability of response and yield in COPD screening programs worldwide, this study was conducted to examine the situation in Hong Kong.

# Hypothesis to be tested

This study investigates the prevalence of AO among persons aged  $\geq$ 30 years who have a history of smoking but had no previous diagnosis of obstructive lung diseases. In addition, it investigates whether there is any

significant association of AO with demographic and clinical parameters.

## Materials and methods

This is a multicenter cross-sectional prevalence study performed from April to July 2014. The study was approved by the Hong Kong Hospital Authority, Kowloon West Cluster Research Ethics Committee. Written informed consent was obtained before the commencement of spirometry and data collection. Subjects with acute respiratory symptoms underwent a spirometry test 2–6 weeks later to ensure the reliability of the result.

## Setting

This study was conducted in five public primary care community clinics in the Kwai Chung and Tsing Yi districts of Hong Kong. The study clinics mainly provide primary health care services to the older population from lower socioeconomic class, and ~10% of the patients attending the study clinics were active smokers. A number comparable to the prevalence of daily smokers in the Hong Kong adult population.<sup>22</sup> All Hong Kong residents have access to the clinics' smoking cessation counseling service with or without referral. Doctors can arrange for any patient to do an office spirometry. In the Hong Kong primary care setting, only a small proportion of physician-diagnosed COPD patients have documented spirometry findings.<sup>23</sup> In the absence of any structured COPD screening program, and with the uncommon use of spirometry for the evaluation of patients with chronic respiratory symptoms, the prevalence of undiagnosed AO is anticipated to be high, especially among high-risk patients such as chronic smokers.

# Study subjects

All potential subjects were identified by using computerized patient records with a history of smoking, regardless of their reason for consultation. They were then recruited by trained research nurses during their next visit to the clinics for health care services.

#### Inclusion and exclusion criteria

All subjects must be aged ≥30 years with a history of smoking (ie, persons who reported smoking at least 100 cigarettes during their lifetime, according to the 2011 Centre of Disease Control and Prevention Criteria). Subjects who were unable to perform spirometry and who had previous diagnosis of chronic lung diseases including asthma, COPD, pulmonary fibrosis, bronchiectasis, and restrictive lung disease were excluded from this study. Pregnant women were also excluded from the study.

#### Basic data collection

The research nurses interviewed participants using standard questionnaires in Cantonese (a variety of the Chinese languages) to collect the following data:

- Demographics: sex, age, and occupation.
- Smoking status, including intensity and duration by using the World Health Organization Global Adult Tobacco Survey.24
- Known chronic illnesses according to subjects' medical record.
- Assessment of acute respiratory symptoms by using the validated Chinese version of Breathlessness, Cough, and Sputum Scale (BCSS)<sup>©</sup> 2003,<sup>25</sup> used with permission from the AstraZeneca Company (London, UK).
- Assessment of chronic respiratory symptoms by using the Chinese version of Lung Function Questionnaire (LFQ),<sup>26</sup> used with permission from GlaxoSmithKline plc (Middlesex, UK).

The BCSS is a three-item, patient-reported questionnaire regarding the presence of three common COPD symptoms during the interview: breathlessness, cough, and sputum. Subjects were asked to evaluate each symptom on a 5-point Likert-type scale, ranging from 0 to 4, with higher scores indicating more severe manifestation of symptoms. The total score is expressed in the range of 0–12. The tool has been validated to assess daily respiratory symptoms in COPD patients.<sup>27</sup>

The LFQ is a five-item tool asking how often the subject experiences productive cough, wheezing attack, and shortness of breath by a 5-point Likert-type scale. The fourth item is the number of years of smoking, and the fifth item is the age of the subject. The total score ranges from 5 (very frequent symptoms) to 25 (never had any symptoms). A score of  $\leq$ 18 indicates the presence of chronic respiratory symptoms and high risk of AO. The tool has been used to estimate the prevalence of COPD among smokers in primary care settings. It provides additional information to identify high-risk patients with AO.28 The Chinese version of LFQ was translated by bilingual authors Margaret Choi-Hing Lam and Sau Nga Fu and subsequently back-translated by Wai Cho Yu; all authors agreed on the final version. The translated LFQ was tested on five subjects to confirm content validity.

#### Spirometry

Spirometry was done according to the American Thoracic Society criteria<sup>29</sup> by trained research nurses using the Spirolab III® spirometer (MIR Medical International Research, Roma, Italy) with subjects in seated position. The spirometric reference values for Hong Kong adults

according to Ip et al were adopted. 30 For those with FEV<sub>1</sub>/ FVC < 0.70, spirometry was repeated 15-20 minutes after inhalation of 400 µg Ventolin® metered dose inhaler (salbutamol; GlaxoSmithKline plc) administered using a spacer. Each spirogram was reviewed using the American Thoracic Society guidelines.<sup>29</sup> Positive bronchodilator (BD) reversibility is defined as an increase in FEV, of 200 mL and 12%.29

#### Outcome measurement

The primary outcome is the proportion of subjects with AO, defined by preBD FEV,/FVC ratio of <0.70.

Secondary outcomes are:

- proportion of subjects with AO and chronic respiratory symptoms, assumed by LFQ score ≤18, indicating a high possibility of COPD;
- proportion of subjects with different Global Initiative for Chronic Obstructive Lung Disease (GOLD) stages according to the postBD FEV, % predicted value of Hong Kong adults;30 and
- factors associated with AO.

## Statistical analysis

Descriptive statistics were presented as mean and standard deviation (SD) for continuous variables and as a proportion for categorical variables. The prevalence rate of undiagnosed AO and its 95% confidence interval were calculated. Sociodemographic and clinical characteristics between subjects with and without undiagnosed AO were compared and tested using a  $\chi^2$  test for categorical variables and independent t-test and Fisher's exact test for continuous variables. Among those who underwent postBD spirometry, the proportions of subjects with mild, moderate, severe, and very severe conditions according to the GOLD stage standard were reported.

Since undiagnosed AO is the primary outcome, logistic regression model was used to determine sociodemographic and clinical factors associated with the presence of undiagnosed AO. Odds ratios (ORs) of sociodemographic and clinical factors associated with undiagnosed AO were estimated. All significance tests were two-tailed, and P < 0.05was considered significant. Statistical analyses were conducted by using SPSS Version 23.0 (IBM® SPSS Inc., Armonk, NY, USA).

#### Results

## Subject characteristics

Figure 1 presents the research workflow. Of the 770 eligible subjects approached, 16 refused to participate (response

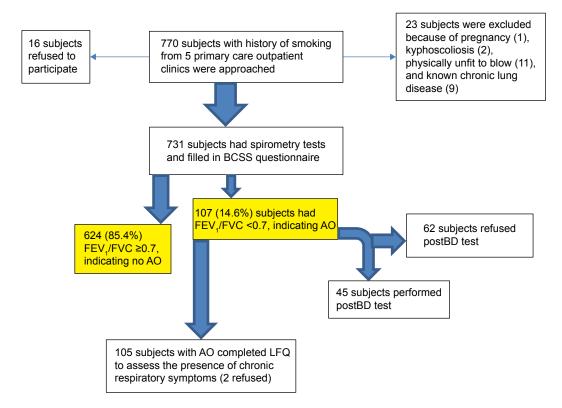


Figure 1 Study workflow and outcome measurement. Abbreviations: AO, airflow obstruction; BCSS, Breathlessness, Cough, and Sputum Scale; BD, bronchodilator; FEV, forced expiratory volume in the first second; FVC, forced vital capacity; LFQ, Lung Function Questionnaire.

rate =97.9%), and 23 subjects were excluded due to the following conditions: pregnancy (n=1), having kyphoscoliosis (n=2), being physically unfit to blow (n=11), and having known chronic lung disease (n=9). The remaining 731 subjects completed the questionnaires and spirometry tests. Table 1 shows the sociodemographic and clinical characteristics of the subjects. Most of the subjects were men (92.5%) in the older age group (mean age =62.2 years; SD =11.7). The majority of them (73.1%) only attended middle school or below. One-third (32.4%) of them were actively employed. Approximately half of them (48.3%) were current smokers, with all being cigarette smokers except for one bamboo pipe smoker. The mean years since first smoking was 42.9 (SD=12.9). The mean pack-year was 34.2 (SD=25.1). Many subjects were either overweight (24.1%) or obese (47.1%) according to the World Health Organization Asian body mass index cutoff level.31 Two-third of them had hypertension, and one-third of them had diabetes mellitus. The mean BCSS score was 0.95 (SD =1.12). In total, 335 subjects (45.8%) had no acute respiratory symptoms (BCSS score =0), while 381 (52.1%) had only mild acute symptoms (BCSS score = 1–3). Of the 105 subjects with AO who responded to LFQ, 100 (95.2%) scored ≤18 in LFQ, which indicated the presence of significant chronic respiratory symptoms.

# Spirometry results

Table 2 shows the spirometry results of the 731 subjects. 107 were found to have AO determined by a preBD FEV,/FVC ratio of <0.70. The prevalence was 14.6% (95% confidence interval = 12.1–17.2). In other words, one in seven subjects were screened positive for AO. The Pearson's correlation between FEV, % predicted and LFQ was 0.282 (P=0.004). The higher LFQ score (less chronic symptoms) indicated a higher FEV, % predicted in spirometry test.

A significant number of subjects refused to undergo the postBD test. Of the 45 subjects (42.1%) who completed postBD spirometry, 12 (20.7%) showed significant BD reversibility. Assuming that the subjects with AO were suffering from COPD, the GOLD classification of severity of COPD showed that conditions of 60% of the subjects were mild, while that of 40% were moderate. None of the subjects were classified as suffering from severe or very severe COPD.

# Factors associated with airflow obstruction

Table 3 shows the sociodemographic and clinical factors associated with AO and AO with chronic respiratory symptoms (LFQ score ≤18) by logistic regression. Sex, educational level,

Table I Sociodemographic and clinical characteristics of subjects with history of smoking in primary care setting

| Characteristics                                  | Total (n=731)          | Airflow obstruction: FEV <sub>1</sub> /FVC < 0.7 |             |          |  |
|--|------------------------|--|-------------|----------|--|
|  |                        | Yes (n=107)                                      | No (n=624)  | P-value* |  |
| Sociodemographic                                 |                        |  |             |          |  |
| Age (years; mean $\pm$ SD)                       | 62.2±11.7              | 68.2±9.7   | 61.2±11.8   | < 0.001  |  |
| Sex, n (%)                                       |                        |  |             | 0.226    |  |
| Male   | 676 (92.5%)            | 102 (95.3%)                                      | 574 (92.0%) |          |  |
| Female   | 55 (7.5%)              | 5 (4.7%)   | 50 (8.0%)   |          |  |
| Education, n (%)                                 |                        |  |             | 0.188    |  |
| Primary or below                                 | 338 (46.2%)            | 59 (55.1%)                                       | 279 (44.7%) |          |  |
| Middle school                                    | 197 (26.9%)            | 29 (27.1%)                                       | 168 (26.9%) |          |  |
| High school                                      | 153 (20.9%)            | 14 (13.1%)                                       | 139 (22.3%) |          |  |
| University or above                              | 26 (3.6%)              | 3 (2.8%)   | 23 (3.7%)   |          |  |
| Unknown  | 17 (2.3%)              | 2 (1.9%)   | 15 (2.4%)   |          |  |
| Occupation, n (%)                                |                        |  |             | 0.017    |  |
| Retired  | 369 (50.5%)            | 72 (67.3%)                                       | 297 (47.6%) |          |  |
| Unemployed                                       | 36 (4.9%)              | 5 (4.7%)   | 31 (5.0%)   |          |  |
| Housewife  | 18 (2.5%)              | I (0.9%)   | 17 (2.7%)   |          |  |
| Working  | 237 (32.4%)            | 24 (22.4%)                                       | 213 (34.1%) |          |  |
| Others or unknown                                | 71 (9.7%)              | 5 (4.7%)   | 66 (10.6%)  |          |  |
| Smoking status, n (%)                            |                        |  |             | 0.948    |  |
| Current smoker                                   | 353 (48.3%)            | 52 (48.6%)                                       | 301 (48.2%) |          |  |
| Daily smoker                                     | 329 (45.0%)            | 49 (45.8%)                                       | 280 (44.9%) |          |  |
| Nondaily smoker                                  | 24 (3.3%)              | 3 (2.8%)   | 21 (3.4%)   |          |  |
| Past smoker                                      | 378 (51.7%)            | 55 (51.4%)                                       | 323 (51.8%) |          |  |
| Years since first smoking (years; mean $\pm$ SD) | 42.9±12.9              | 50.1±11.3  | 41.6±12.8   | < 0.001  |  |
| Pack-years (mean ± SD)                           | 34.2±25.1              | 38.7±26.5  | 33.4±24.8   | 0.046    |  |
| Clinical   |                        |  |             |          |  |
| Body weight (kg; mean $\pm$ SD)                  | 66.9±11.4              | 65.0±12.4  | 67.3±11.1   | 0.056    |  |
| Body height (m; mean ± SD)                       | 1.6±0.1                | 1.6±0.1  | 1.6±0.1     | 0.924    |  |
| BMI (kg/m²; mean ± SD)                           | 25.0±3.6               | 24.2±3.3   | 25.2±3.6    | 0.011    |  |
| BMI cutoff, n (%)                                | 2010_0.0               |  | 20.2_0.0    | 0.010    |  |
| Underweight (<18.5 kg/m²)                        | 14 (1.9%)              | 2 (1.9%)   | 12 (1.9%)   | 0.0.0    |  |
| Normal (≥18.50–<23 kg/m²)                        | 197 (26.9%)            | 43 (40.2%)                                       | 154 (24.7%) |          |  |
| Overweight (≥23–<25 kg/m²)                       | 176 (24.1%)            | 20 (18.7%)                                       | 156 (25.0%) |          |  |
| Obese (≥25 kg/m²)                                | 344 (47.1%)            | 42 (39.3%)                                       | 302 (48.4%) |          |  |
|  | , ,                    | , ,  | , ,         | 0.115    |  |
| Hypertension, n (%)                              | 453 (62.0%)            | 59 (55.1%)                                       | 394 (63.1%) | 0.115    |  |
| Diabetes, n (%)                                  | 242 (33.1%)            | 29 (27.1%)                                       | 213 (34.1%) | 0.153    |  |
| Ischemic heart disease, n (%)                    | 38 (5.2%)<br>43 (5.9%) | 9 (8.4%)<br>5 (4.7%)                             | 29 (4.6%)   | 0.105    |  |
| Stroke, n (%)                                    | 43 (5.9%)              | 5 (4.7%)   | 38 (6.1%)   | 0.565    |  |
| Chronic pain for at least 6 months, n (%)        | 4 (0.5%)               | I (0.9%)   | 3 (0.5%)    | 0.470    |  |
| Other heart disease, n (%)                       | 14 (1.9%)              | 3 (2.8%)   | 11 (1.8%)   | 0.444    |  |
| Other lung disease, n (%)                        | 2 (0.3%)               | 0 (0.0%)   | 2 (0.3%)    | 1.000    |  |
| Chronic illness, n (%)                           | 537 (73.5%)            | 74 (69.2%)                                       | 463 (74.2%) | 0.275    |  |
| Chronic illness (mean ± SD)                      | 1.08±0.84              | 0.98±0.85  | 1.10±0.83   | 0.183    |  |

**Note:** \*Significantly different between groups by independent t-test, Fisher's exact test, or  $\chi^2$ , as appropriate.

Abbreviations: BMI, body mass index; FEV<sub>1</sub>, forced expiratory volume in first second; FVC, forced vital capacity; SD, standard deviation.

and occupation of the subjects were not significantly associated with the presence of AO. The longer the subjects have smoked, the more likely they would have AO (total number of years of smoking, OR = 1.04; P = 0.035). However, the number of pack-years did not demonstrate a significant association.

Some clinical parameters were also found to show positive association with AO and AO with chronic respiratory symptoms. Subjects with body mass index  $<23 \text{ kg/m}^2$  (normal

or underweight) were more likely to have AO (OR =1.61; P=0.046). There were 537 (73.5%) subjects with predefined chronic illnesses such as cardiovascular diseases, hypertension, diabetes mellitus, and ischemic heart disease for at least 6 months. Subjects with hypertension were found less likely to have AO (OR =0.49; P=0.003). Having other chronic illnesses did not show any significant association with AO.

Table 2 Clinical characteristics of subjects with a history of smoking in primary care setting

| Characteristics                         | Total (n=731)       | Airflow obstruction: F | Airflow obstruction: FEV <sub>I</sub> /FVC < 0.7 |         |  |  |
|---|---------------------|------------------------|--|---------|--|--|
|   |                     | Yes (n=107)            | No (n=624)                                       | P-value |  |  |
| Spirometric indices (mean ± SD)         |                     |                        |  |         |  |  |
| Prebronchodilator                       |                     |                        |  |         |  |  |
| FEV <sub>1</sub> (L)                    | 2.6±0.8             | 2.2±0.7                | 2.7±0.8  | < 0.001 |  |  |
| FEV, (% predicted)                      | 106.3±24.6          | 93.3±25.5              | 108.5±23.8                                       | < 0.001 |  |  |
| FEV <sub>1</sub> /FVC (%)               | 78.2±9.1            | 62.8±6.3               | 80.9±6.4   | < 0.001 |  |  |
| FVC (L)                                 | 3.4±0.9             | 3.4±1.0                | 3.4±0.9  | 0.488   |  |  |
| FVC (% predicted)                       | 105.4±23.4          | 112.7±29.7             | 104.1±22.0                                       | < 0.001 |  |  |
| FEF 25%-75%                             | 2.5±1.1             | 1.2±0.7                | 2.7±1.1  | < 0.001 |  |  |
| FEF 25%-75% (% predicted)               | 104.0±41.0          | 58.3±24.5              | 111.8±38.0                                       | < 0.001 |  |  |
| Postbronchodilator (n=58)               |                     |                        |  |         |  |  |
| FEV <sub>1</sub> (L)                    | 2.1±0.6             | 2.1±0.6                | 2.0±0.6  | 0.888   |  |  |
| FEV (% predicted)                       | 89.9±23.0           | 90.2±22.8              | 88.9±24.4  | 0.867   |  |  |
| FEV /FVC (%)                            | 68.0±10.3           | 65.2±9.4               | 77.6±6.9   | < 0.001 |  |  |
| FVC (L)                                 | 3.0±0.7             | 3.1±0.7                | 2.6±0.8  | 0.026   |  |  |
| FVC (% predicted)                       | 98.3±23.3           | 101.8±20.0             | 86.2±29.9  | 0.031   |  |  |
| FEF 25%-75%                             | 1.4±0.6             | 1.3±0.5                | 1.7±0.9  | 0.896   |  |  |
| FEF 25%-75% (% predicted)               | 64.6±26.0           | 59.9±20.2              | 81.3±36.9  | 0.701   |  |  |
| Pulmonary symptoms (mean $\pm$ SD;      | median, range)      |                        |  |         |  |  |
| Total BCSS score                        | 0.947±1.121; 1, 0-9 | 0.963±1.107; 1, 0–6    | 0.944±1.124; 0, 0-9                              | 0.873   |  |  |
| Breathlessness                          | 0.071±0.297; 0, 0-2 | 0.065±0.284; 0, 0-2    | 0.072±0.299; 0, 0-2                              | 0.829   |  |  |
| Cough                                   | 0.378±0.571; 0, 0-3 | 0.364±0.521; 0, 0-2    | 0.380±0.579; 0, 0-3                              | 0.798   |  |  |
| Sputum                                  | 0.498±0.598; 0, 0-4 | 0.533±0.619; 0, 0-2    | 0.492±0.594; 0, 0-4                              | 0.515   |  |  |
| Outcome measures                        |                     |                        |  |         |  |  |
| Asymptomatic AO (LFQ > 18)              |                     | 5 (4.8%), N=105        |  |         |  |  |
| Symptomatic AO (LFQ $\leq$ 18)          |                     | 100 (95.2%), N=105     |  |         |  |  |
| GOLD classification of severity** (N=45 | )                   |                        |  |         |  |  |
| Mild COPD                               |                     | 27 (60.0%)             |  |         |  |  |
| Moderate COPD                           |                     | 18 (40.0%)             |  |         |  |  |
| Severe COPD                             |                     | 0 (0.0%)               |  |         |  |  |
| Very severe COPD                        |                     | 0 (0.0%)               |  |         |  |  |

**Notes:** \*Significantly different between groups by independent t-test or  $\chi^2$  test, as appropriate. \*\*Assuming subjects with AO had COPD.

Abbreviations: AO, airflow obstruction; BCSS, Breathlessness, Cough, and Sputum Scale; COPD, chronic obstructive pulmonary disease; FEF, forced expiratory flow; FEV, forced expiratory volume in the first second; FVC, forced vital capacity; GOLD, Global Initiative for Chronic Obstructive Lung Disease; LFQ, Lung Function Questionnaire; SD, standard deviation.

#### Discussion

The prevalence of undiagnosed AO among subjects with smoking history who participated in this study was 14.6%, which is similar to that reported in the study of Sekine et al conducted in Japan (13.1% prevalence among people with smoking history). The response rate of this cross-sectional survey (97.9%) was high. Most subjects were in the older age group with a relatively low educational level, who were likely to be representative of the public primary care clinics encounters in Hong Kong. On top of the overall prevalence of diagnosed COPD (3.5%) in Hong Kong, doctors were likely to diagnose more COPD patients with smoking history at the early stage of disease by the use questionnaire and spirometry test. If diagnosed at an early stage, COPD patients could potentially preserve their lung function by smoking cessation, thus preventing the subsequent development

of complications and disabilities.<sup>8–10</sup> This finding calls for a more active and effective screening of AO among patients with a smoking history.

In contrast to the findings from recent studies showing the association of pack-years<sup>33</sup> and age<sup>34</sup> with risk of COPD, this study found no significant association of both factors with AO. The negative association with age could probably be explained by the relatively homogenous characteristics of the dominant older male population, which was a representative of the smoker population in Hong Kong. According to the statistics in Hong Kong, the majority of cigarette smokers (82.8%) are male.<sup>22</sup> Nevertheless, the number of years of smoking, calculated by subtracting the age at last smoking from the age at first smoking, showed significant association with AO (OR =1.044; *P*=0.035). This observation might be attributed to the fact that more than half of

**Table 3** Factors associated with undiagnosed airflow obstruction or undiagnosed chronic obstructive pulmonary disease by logistic regression

| Characteristics                             | Airflow obstruction: FEV <sub>1</sub> /FVC < 0.7 |               |         | Airflow obstruction with chronic symptoms: LFQ scored ≤ 18 |                 |         |
|---|--|---------------|---------|--|-----------------|---------|
|   | OR   | 95% CI        | P-value | OR   | 95% CI          | P-value |
| Sociodemographic                            |  |               |         |  |                 |         |
| Age (years)                                 | 1.037  | (0.989-1.087) | 0.134   | 1.038  | (0.987-1.091)   | 0.147   |
| Male  | 1.035  | (0.356-3.015) | 0.949   | 1.215  | (0.372-3.971)   | 0.748   |
| Education (university or unknown)           |  |               | 0.803   |  |                 | 0.751   |
| Primary or below                            | 1.203  | (0.417-3.468) | 0.733   | 1.506  | (0.468 - 4.840) | 0.492   |
| Middle school                               | 1.460  | (0.489-4.355) | 0.497   | 1.733  | (0.519-5.787)   | 0.372   |
| High school                                 | 1.088  | (0.340-3.476) | 0.887   | 1.261  | (0.350-4.546)   | 0.723   |
| Occupation (others)                         |  |               | 0.729   |  |                 | 0.809   |
| Retired                                     | 0.711  | (0.301-1.678) | 0.436   | 0.747  | (0.300-1.858)   | 0.531   |
| Working                                     | 0.840  | (0.375-1.881) | 0.671   | 0.865  | (0.361-2.072)   | 0.745   |
| Current smoker                              | 1.191  | (0.721-1.968) | 0.495   | 1.288  | (0.764-2.173)   | 0.342   |
| Number of years of smoking                  | 1.044  | (1.003-1.087) | 0.035   | 1.051  | (1.007-1.096)   | 0.021   |
| Pack-year                                   | 1.000  | (0.991-1.010) | 0.928   | 0.999  | (0.989-1.010)   | 0.908   |
| Clinical                                    |  |               |         |  |                 |         |
| Underweight or normal, BMI $<$ 23 kg/m $^2$ | 1.605  | (1.009-2.552) | 0.046   | 1.635  | (1.012-2.639)   | 0.044   |
| Hypertension                                | 0.491  | (0.307-0.787) | 0.003   | 0.520  | (0.319-0.848)   | 0.009   |
| Diabetes                                    | 0.655  | (0.400–1.071) | 0.092   | 0.679  | (0.409–1.127)   | 0.134   |
| Ischemic heart disease                      | 1.625  | (0.704–3.755) | 0.256   | 1.716  | (0.735-4.004)   | 0.212   |
| Stroke                                      | 0.490  | (0.180–1.333) | 0.162   | 0.508  | (0.186–1.393)   | 0.188   |
| Pulmonary symptoms in BCSS score            | 0.954  | (0.781–1.165) | 0.642   | 0.981  | (0.799–1.204)   | 0.853   |

**Notes:** Levels in parentheses are reference category of categorical variables. Values shown in bold indicate factors significantly associated with airflow obstruction. **Abbreviations:** BCSS, Breathlessness, Cough, and Sputum Scale; BMI, body mass index; CI, confidence interval; FEV<sub>1</sub>, forced expiratory volume in the first second; FVC, forced vital capacity; LFQ, Lung Function Questionnaire; OR, odds ratio.

the subjects were past smokers (51.7%). There might have been recall bias or underreporting of the number of cigarettes smoked per day. In view of this, the conventional adoption of pack-years of smoking but not of duration of smoking to assess risk of AO should be reconsidered, especially when a significant proportion of screened subjects are ex-smokers. Both factors (ie, duration of smoking and pack-years) should be assessed in daily clinical practice. Further research is required in this area.

The majority of subjects had no or mild respiratory symptoms (eg, breathlessness, cough, or sputum) on the day of assessment as determined by the BCSS. However, it was found that the BCSS had no association with the diagnosis of AO. In other words, the presence of acute respiratory symptoms in patients with a history of smoking is not a helpful indicator of predicting AO. On the other hand, the presence of chronic symptoms by LFQ (eg, productive cough, wheezing, and shortness of breath) is a more accurate predictor of possible undiagnosed AO. Therefore, doctors should assess the duration of chronic respiratory symptoms in the patients with a history of smoking and order spirometry accordingly.

Apart from clinical symptoms and duration of smoking, another factor that is significantly associated with AO is whether a subject was of normal weight or underweight. It has been found that there is depletion of body weight, fat-free mass, and muscle mass in patients with moderate and severe COPD.<sup>31</sup> In the present study, most subjects with AO suffered from mild lung function impairment, and the data would suggest that loss of body mass occurs early in the course of COPD. Further studies with more detailed nutritional assessment are required.

Yet another observation was that subjects with hypertension had a significantly lower risk of AO. It is well known that hypertension and COPD commonly co-occur in the same individual, particularly in the elderly. Mills et al have in fact shown that patients with COPD have increased arterial stiffness and blood pressure in comparison with controls matched for age and smoking status.35 Our findings seem to be contradictory to what is known about the relationship between COPD and systemic arterial hypertension. However, patients in the study by Mills et al had more severe conditions compared to those in the present study (Stage I, 2%; Stage II, 36%; Stage III, 39%; and Stage IV, 20%), which again raises the possibility that different stages of COPD may have different manifestations and associations. Further studies are thus required to examine the relationship between systemic arterial hypertension and different GOLD stages of COPD and thus to provide more definitive answers.

The response rate for spirometry test among the participants in the present study is very encouraging. It enhances the confidence of health care professionals that the test is well accepted by most of the primary care patients who are smokers. However, more than half (57.1%) of the subjects with AO who underwent preBD spirometry refused to undergo postBD spirometry. The research nurses in this project reported that most of the subjects who refused did not want to wait for the 15 minutes that was required to complete the test. Others simply did not want to blow again because of the physical discomfort associated with the test. However, although BD reversibility may provide useful clinical information, it is not required for the diagnosis of AO; therefore, the primary objective of this study was not adversely affected. LFQ in this study correlated well with the prevalence of AO, which was also demonstrated in a previous study.<sup>28</sup> Possible future strategies to improve patient acceptance include a more widespread use of LFQ as a screening tool of AO, providing patients with clear explanation of procedures involved and educating them on the importance of completing both pre- and postBD spirometry.

### Limitations

The majority of the study population (92.5%) were men from the older age group (mean age =62.2 years; SD =11.7) with a relatively low educational level and were unemployed. Therefore, the prevalence of AO could just be a representative of the male population of the lower socioeconomic class. The prevalence of AO among men of younger age group, women, and those from higher socioeconomic class may be different when using the same screening method.

Although this method received a high response rate from the study population, the diagnosis of AO may not necessarily lead to the diagnosis of COPD. Other differential diagnoses such as asthma and bronchiectasis should also be considered in clinical practice. The relatively low response rate for postBD test may lead to underdetection of asthmatic element in subjects with AO. Even though screened subjects are clinically compatible to COPD diagnosis, the severity cannot be graded due to the absence of postBD spirometry results. This limitation warrants a better designed screening program for AO with enhanced acceptance of postBD spirometry.

#### **Conclusion**

One in seven smokers who seek primary care services for various ailments in this study had undiagnosed AO. Although smokers who exhibited AO mostly suffered from COPD, all subjects in this study showed mild to moderate COPD condition. Most subjects with AO had chronic respiratory symptoms, although they might not show any acute respiratory symptoms. The presence of AO was associated with number of years of smoking, being normal or underweight, and having no hypertension. Spirometry screening of subjects with smoking history, particularly those with chronic respiratory symptoms, should be considered to allow early diagnosis of AO, so that appropriate intervention measures including smoking cessation might be instituted.

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### **Disclosure**

The authors report no conflicts of interest in this work.

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