

The HKU Scholars Hub

The University of Hong Kong



Title	Moisture increase in response to high-altitude warming evidenced by tree-rings on the southeastern Tibetan Plateau
Author(s)	Li, J; Shi, J; Zhang, DD; Yang, B; Fang, K; Pak, HY
Citation	Climate Dynamics: observational, theoretical and computational research on the climate system, 2017, v. 48 n. 1, p. 649-660
Issued Date	2017
URL	http://hdl.handle.net/10722/232114
Rights	The final publication is available at Springer via http://dx.doi.org/10.1007/s00382-016-3101-z; This work is licensed under a Creative Commons Attribution- NonCommercial-NoDerivatives 4.0 International License

1	Moisture increase in response to high-altitude warming evidenced by
2	tree-rings on the southeastern Tibetan Plateau
3	
4	Jinbao Li ¹ , Jiangfeng Shi ² , David D. Zhang ¹ , Bao Yang ³ , Keyan Fang ⁴ , Pak Hong Yue ¹
5	¹ Department of Geography, University of Hong Kong, Pokfulam, Hong Kong
6	² School of Geographic and Oceanographic Sciences, Institute for Climate and Global Change
7	Research, Nanjing University, Nanjing 210023, China
8	³ Key Laboratory of Desert and Desertification, Cold and Arid Regions Environmental and
9	Engineering Research Institute, Chinese Academy of Sciences, Lanzhou 730000, China
10	⁴ Key Laboratory of Humid Subtropical Eco-geographical Process (Ministry of Education),
11	College of Geographical Sciences, Fujian Normal University, Fuzhou 350007, China
12	
13	Corresponding author address:
14	Jinbao Li, Department of Geography, University of Hong Kong, Pokfulam, Hong Kong
15	Tel.: 852-3917-7101
16	Fax: 852-2559-8994

17 Email: jinbao@hku.hk

18

Abstract

19 Rapid warming has been observed in the high-altitude areas around the globe, but the 20 implications on moisture change are not fully understood. Here we use tree-rings to reveal 21 common moisture change on the southeastern Tibetan Plateau (TP) during the past five centuries, 22 and show that regional moisture change in late spring to early summer (April-June) is closely 23 related to large-scale temperature anomaly over the TP, with increased moisture coincident with 24 periods of high temperature. The most recent pluvial during the 1990s-2000s is likely the wettest 25 for the past five centuries, which coincides with the warmest period on the TP during the past 26 millennium. Dynamic analysis reveals that vertical air convection is enhanced in response to 27 anomalous TP surface warming, leading to an increase in lower-tropospheric humidity and 28 effective precipitation over the southeastern TP. The coherent warm-wet relationship identified 29 in both tree-rings and dynamic analysis implies a generally wetter condition on the southeastern 30 TP under future warming.

31

32 Keywords Dendrochronology; High-altitude warming; Moisture change; Tibetan Plateau

33 **1 Introduction**

34 Marked increase in global temperature has been observed since the early twentieth century (IPCC 35 2013; Karl et al. 2015). However, this warming is spatially non-uniform, and a large percentage of rapid 36 warming rates are found over the high-altitude regions in addition to the Arctic (Wang et al. 2014a; Pepin 37 et al. 2015). The Tibetan Plateau (hereafter, TP), for example, experienced a temperature increase of 38 0.33°C/decade during 1961-2012, which is roughly 0.13°C/decade higher than the global average (Yan 39 and Liu 2014). The TP warming rate did not abate during the recent global warming hiatus since 1998 40 (Yan and Liu 2014; Pepin et al. 2015), suggesting it being a region of robust response to anthropogenic 41 radiative forcing. State-of-the-art climate models projected that rapid temperature increase on the TP will 42 persist throughout the twenty-first century (Rangwala et al. 2013; Su et al. 2013).

43 The effects of rapid high-altitude warming are dramatic and widespread. On the TP, extensive glacial 44 shrinkage and permafrost degradation have been observed since the beginning of instrumental measurements in the mid-20th century, with accelerating rates over recent decades (Kang et al. 2010; Yao 45 46 et al. 2012; Wu et al. 2013). Meanwhile, earlier thawing and later freezing of soil have occurred, leading to 47 a substantial reduction in the number of frozen days (Li et al. 2012). The length of the growing season has 48 increased at a rate of roughly three days per decade during the past half century, largely owing to an earlier 49 start of the growing season (Dong et al. 2012). Interestingly, some plants on the TP delayed the onset of 50 their growth in spring due to rapid winter temperature increase that triggered a later fulfillment of chilling 51 requirements (Yu et al. 2010), although other factors may complicate such an explanation (Chen et al. 52 2011).

53 Moisture-related change accompanying rapid warming on the TP is complicated and exhibits 54 considerable spatiotemporal heterogeneity over the past few decades. Seasonally speaking, precipitation 55 has overall increased in winter and spring but decreased slightly in summer and autumn (Li et al., 2010;

56 Chen et al. 2013). Spatially, the annual precipitation has increased in the northeastern and southeastern 57 regions, but decreased in the northwest and the east edge of the TP (Chen et al. 2013; Yang et al. 58 2014a). Although spatially coherent patterns are found for an increase in evaporation and snow cover and 59 a decrease in surface wind, other factors such as cloud cover, solar radiation and river runoff exhibit large 60 spatiotemporal heterogeneity that complicates moisture change over the TP (Kang et al. 2010; Yang et al. 61 2014a; Duan and Xiao 2015). As a result, the implications of rapid high-altitude warming on moisture 62 change over the TP are poorly understood, and one critical reason is the lack of extensive, long-term observations (Qiu 2014). Here we use tree-rings to study common moisture change on the southeastern TP 63 64 during the past five centuries, and examine whether regional moisture change is related to large-scale TP 65 surface temperature anomaly from a long-term perspective. Tree-rings are employed as a proxy in light of 66 their precise dating, annual resolution, and high sensitivity to climate change in the study area (Fan et al. 67 2008a; Fang et al. 2010; Liu et al. 2012; Duan and Zhang 2014).

68

69 2 Data and Methods

70 2.1 Tree-ring data

We collected tree-ring samples from two sites in the southern Shaluli Mountains, southeastern TP (Fig. 1). The two sites are close to each other, and both are situated on a steep, leeward slope dominated by subalpine old-growth forests of Forest Fir (*Abies forrestii*). Two increment cores per tree were collected from living trees of *A. forrestii* at breast height (1.3 m above ground). All sampled trees are healthy and relatively isolated, an optimal condition for maximizing climate signals in tree rings. In total 56 cores from 28 trees and 50 cores from 25 trees were retrieved at the site of MAX and MXG, respectively (Table 1). After being properly mounted and sanded in the laboratory, all samples were measured using a Velmex ring-width measuring system at 0.001 mm precision. Calendar year was assigned to each growth ring by both visual and the COFECHA program assisted statistical cross-dating methodology (Holmes 1983). Eight (three) cores from the MAX (MXG) site were eliminated during this process due to their irregular growth patterns.

83 The raw ring-width measurements contain non-climatic growth trends that need to be removed for 84 dendroclimatic study, a procedure termed as "tree-ring standardization" (Fritts 1976). We applied an 85 initial power transformation to reduce the heteroscedastic behavior commonly found in tree-rings (Cook 86 and Peters 1997), and then detrended all series conservatively by fitting negative exponential curves or 87 linear regression curves of any slope. Tree-ring indices were calculated as the residuals between the raw 88 measurements and the fitted curve values, which can effectively avoid potential index value inflation 89 associated with the ratio method (Cook and Peters 1997). The resulting index series were merged to 90 develop a biweight robust mean chronology, with its variance stabilized using the Rbar weighted 91 method (Osborn et al. 1997; Frank et al. 2007). Finally, we applied the "signal-free" approach to 92 mitigate potential trend distortion problem in traditionally detrended chronology (Melvin and Briffa 93 2008). The resulting "signal-free" chronology was used for further analysis.

94

95 2.2 Climate data

Monthly temperature and precipitation records, spanning 1957-2013, were obtained from Daocheng (DC), the nearest weather station to our sampling sites (Fig. 2). The half-degree gridded Climatic Research Unit (CRU) TS 3.23 temperature and precipitation datasets (Harris et al. 2014) were used to investigate the spatial relationship of our tree-rings with large-scale climate anomalies. We only used the CRU data starting from 1951, as there were few observations on the TP before the 1950s.

101 The self-calibrating Palmer Drought Severity Index (scPDSI, van der Schrier et al. 2013) was used 102 as a drought metric. The PDSI is a metric of meteorological drought (Palmer 1965), and has been proven 103 suitable for describing moisture conditions across China (Li et al. 2009a). The scPDSI is a new variant 104 of the PDSI and is more suitable for regions with diverse climatology (van der Schrier et al. 2013). As 105 the nearest Daocheng climate records were not included in the development of the scPDSI dataset, we 106 averaged four half-degree scPDSI grid points relatively close to our sampling sites to represent regional 107 moisture condition (Fig. 1). The four grids were chosen because of their proximity to both our sampling 108 sites and the Lijiang (LJ) weather station, which has the longest observations in the area (i.e., 1944-2012) 109 and was included in the scPDSI calculation.

The European Centre for Medium-Range Weather Forecasts (ECMWF) reanalysis dataset (ERA-Interim, Dee et al. 2011) was used for dynamic analysis. ERA-Interim is a global atmospheric reanalysis product covering the data-rich period from 1979 to the present. ERA-Interim was chosen because of its marked improvements on certain key aspects, such as the representation of the hydrological cycle, the quality of the stratospheric circulation, and the handling of biases and changes in the observing system (Dee et al. 2011). As a result, it performs better than other reanalysis products over the TP (Bao and Zhang 2013).

117

118 **3 Results**

A 498-year (1509-2006) and 516-year (1498-2013) chronology was developed for the MAX and MXG site, respectively (Table 1). The two chronologies agree very well with each other, with a correlation of 0.67 (p<0.001) and an explained variance of 83.6% by the first principal component during the common period 1509-2006. Further considering the close location of the two sites and their high environmental homogeneity, we merged all the ring-width index series to develop one composite chronology (hereafter, MX) to represent a regional-scale climate signal. This composite chronology spans from 1498 to 2013, and is composed of 95 cores from 47 trees, with a mean segment length of 291 years (Fig. 3). According to the generally accepted expressed population signal (EPS, Wigley et al. 1984) cutoff value of 0.85, the chronology is considered most reliable during 1523-2013 when sample size exceeds five cores from four trees. The running Rbar ranges from 0.45 around the 1970s to 0.74 around the 1520s with a mean value of 0.58 (Fig. 3). These statistics indicate that the chronology contains fairly strong and stable common signals, and is valid for dendroclimatic studies described below.

131 As shown in Fig. 4a, statistically significant (p<0.05) positive correlations between tree-rings and 132 precipitation are found in previous August-September and current May-June. Significant positive 133 correlations with temperature are observed from prior October to current April. Negative but 134 non-significant correlations with temperature are found in current May-June. These results indicate a 135 typical moisture stress on tree growth (Li et al. 2007, 2008; Fan et al. 2009; Fang et al. 2015a). We 136 therefore examined the correlations of tree-rings with the scPDSI during their common period 137 1944-2012. As shown in Fig. 4b, significant positive correlations with the scPDSI are found in all 138 months investigated, with the highest values in late spring to early summer (April-June). This suggests 139 that the early growing season (EGS) moisture is the most critical factor that limits subalpine tree growth 140 on the southeastern TP.

The above climate-tree growth relationship indicates that our chronology is most suitable for the reconstruction of April-June moisture change in the study area. We used a simple linear regression model to build the reconstruction, and assessed its fidelity by split sample calibration and verification tests (Cook and Kairiukstis 1990). As shown in Table 2, the actual and reconstructed scPDSI correlate at 0.715 during 1944-2012 (p<0.001), which means the reconstruction accounts for 51.2% ($R^2_{adj} = 50.4\%$) of the actual scPDSI variance during this period. The values of two most rigorous tests of model 147 validation, the reduction of error (RE) and the coefficient of efficiency (CE), are both positive, 148 indicating a good model skill (Cook and Kairiukstis 1990). The results of the sign test, which describes 149 how well the tree-ring estimates track the direction of actual data year to year, exceed the 99% 150 confidence level. These statistical tests sufficiently validate our regression model. A visual comparison 151 also suggests the reconstruction tracks well the actual scPDSI values at both high- and low-frequency 152 scales, despite that it tends to overestimate the persistence but slightly underestimate the severity of the 153 pluvial condition during the 2000s (Fig. 5). Based on this model we reconstructed April-June moisture 154 change on the southeastern TP for the past 491 years (Fig. 6a).

155

156 4 Discussion

157 Our results show that subalpine tree growth on the southeastern TP is mainly controlled by the EGS 158 moisture availability (Fig. 4). This type of climate-tree growth relationship is commonly found over the 159 eastern TP (Li et al. 2008; Fan et al. 2009; Wang et al. 2012; Fang et al. 2015a). Physiological studies 160 revealed that the EGS moisture to a great extent controls the onset of xylogenesis and xylem cell 161 production, and thus largely determines ring formation of subalpine conifers on the eastern TP (Wang et 162 al. 2012; Ren et al. 2015). Significant positive correlations with precipitation and non-significant 163 negative correlations with temperature in May and June suggest that xylem growth is primarily 164 controlled by precipitation rather than temperature at our sampling sites (Ren et al. 2015). However, at 165 sites where precipitation is more abundant, temperature could be the most critical limiting factor on 166 subalpine tree growth on the southeastern TP (Liang et al. 2010; Yang et al. 2010; Liu et al. 2016). 167 Under that situation, low air and soil temperature may limit tree growth by causing direct leaf and root 168 damage and/or by reducing photosynthetic rate and cambial activity (DeLucia 1986; Gruber et al. 2009; 169 Liang et al. 2009, 2010). Therefore, we caution that moisture is not necessarily the most critical factor 170 limiting subalpine tree growth across the southeastern TP. Temperature may become most critical when 171 moisture is sufficient for tree growth, and the threshold for such a transition requires future 172 investigation.

173 Our EGS moisture reconstruction covers the period of 1523-2013 (Fig. 6a). Due to the "segment 174 length curse" (Cook et al. 1995), our reconstruction is capable of resolving interannual to interdecadal 175 moisture variations, but may not be able to represent the centennial-scale variability very well. We 176 therefore focus our discussion on sub-centennial scale moisture variability. As shown in Fig. 6a, our 177 reconstruction reveals marked interdecadal variations in regional EGS moisture over the past five 178 centuries. Severe dry conditions occurred during the 1630s-1640s, 1670s-1690s, 1730s-1770s, 179 1790s-1820s, 1860s-1880s, 1910s-1930s, and 1950s-1980s, and pronounced wet conditions were 180 observed during the 1520s-1590s, 1610s-1620s, 1700s-1720s, 1830s-1850s, 1890s-1900s, and 181 1990s-2000s. The most severe and prolonged drought occurred in the 1730s-1770s. The most recent 182 pluvial during the 1990s-2000s was likely the wettest for the past five centuries, although its duration 183 was exceeded by the generally wet conditions during the sixteenth century. It is worth noting that 184 tree-rings overestimated the persistence but slightly underestimated the severity of this pluvial (Fig. 5). 185 Nonetheless, the 1990s-2000s pluvial is probably unprecedented at least for the past five centuries, as 186 revealed by this and other moisture sensitive tree-rings on the southeastern TP (Fig. 6).

Spatial correlation analysis with instrumental scPDSI during 1951-2012 indicates that our reconstruction is representative of large-scale EGS moisture change on the southeastern TP (Fig. 7a and 7b). To examine whether it represents large-scale moisture change back in time, we compared our reconstruction with three tree-ring records (BM, LX, and LZ, Fig. 1) that are also most sensitive to the EGS moisture condition on the southeastern TP (Fan et al. 2008a; He et al. 2012; Liu et al. 2012). As shown in Fig. 6, our record agrees well with the other three over most of the past five centuries, with a

193 significant correlation value (p<0.001) of 0.30 with the BM for 1655-2005 (351 years), 0.25 with the LX 194 for 1523-2010 (488 years), and 0.27 with the LZ record for 1523-2009 (487 years). However, one 195 discrepancy is observed during the sixteenth century when our record indicates a generally wet while the 196 LX record shows a dry condition. We found that our record also shows generally low values if without 197 the "signal-free" adjustment, suggesting that the generally dry condition with the LX record is likely due 198 to the trend distortion introduced by the traditional detrending method (Melvin and Briffa 2008). At any 199 rate, these records exhibit a high degree of coherency with regard to interdecadal variations, indicating 200 common EGS moisture change on the southeastern TP over the past five centuries.

201 An ensuing question is what caused the coherent EGS moisture change on the southeastern TP. One 202 possibility is the Asian monsoon. However, the EGS is largely ahead of monsoon season (Fig. 2), thus 203 the Asian summer monsoon is unlikely to play a key role. This is corroborated by the non-significant 204 correlations of the actual April-June scPDSI with the East Asian (Li and Zeng 2002) and South Asian 205 (Wang et al. 2001) summer monsoon indices (Fig. S1). Moreover, both monsoon systems have 206 weakened during recent decades (Yu et al. 2004; Li et al. 2009b; Turner and Annamalai 2012), which is 207 in contrast to the EGS moisture increase on the southeastern TP. Another possibility is the large-scale 208 ocean-atmospheric circulations. However, as shown in Fig. S2, the EGS moisture change on the 209 southeastern TP shows no significant correlation pattern with the precedent or concurrent tropical sea 210 surface temperatures (Rayner et al. 2003), supporting the notion that large-scale ocean-atmospheric 211 circulations do not play a key role on the wetting trend on the TP (Fang et al. 2015b). The third 212 possibility is snow cover on the TP (Estilow et al. 2015). However, the actual April-June scPDSI shows 213 no significant correlation pattern with the precedent winter snow cover on the TP (Fig. S3a). Although it 214 shows significant positive correlations with concurrent snow cover in the study area (Fig. S3b), the 215 covariability more likely suggests a response of snow cover to the EGS moisture availability. The fourth

216 possibility is precipitation on the TP. Similar to snow cover, the actual April-June scPDSI shows no
217 significant correlation pattern with the precedent winter precipitation on the TP (Fig. S3c), suggesting
218 that the latter is not a critical factor that affects the EGS moisture. Instead, it shows significant positive
219 correlations with concurrent precipitation in the study area (Fig. S3d), indicating the EGS moisture is
220 largely determined by precipitation in the same season.

221 Our moisture reconstruction shows strong and positive correlations with large-scale TP surface 222 temperature anomaly in prior winter (October-February) and current EGS (April-June). The strong and 223 positive correlations with prior winter minimum temperature (Tmin) are concentrated on the 224 southeastern TP (Fig. 7c), while the correlations with the EGS Tmin are centered over the interior of the 225 TP (Fig. 7d). Similar but weaker correlation patterns are found for the maximum temperature (Tmax) in 226 both seasons (Fig. S4). The seasonal shift in spatial correlation pattern suggests that temperature of 227 different seasons affects the EGS moisture through different processes. The strong and positive 228 correlations of the EGS moisture with prior winter temperature are found within the study area (Fig. 7c). 229 The atmosphere has a relatively short memory where the climate signals in winter may not be able to 230 exert a time-lagged effect on the warm season moisture, and instead soil moisture is more likely the 231 medium for such a long climate memory (Barnett et al. 1989; Hsu and Liu 2003; Chow et al. 2008). 232 Indeed, we found that the EGS moisture shows persistently high correlations with prior winter scPDSI at 233 our sampling sites (Fig.4b), consistent with previous studies at other moisture-stressed sites on the 234 southeastern TP (Fan et al. 2008a; Fang et al. 2010; He et al. 2012). These results suggest that prior 235 winter temperature affects the EGS moisture availability by modulating water storage in the soil. In winter, 236 frozen ground prevents infiltration of snowmelt or rainfall into the soil, leading to higher-than-normal 237 springtime runoff (Niu and Yang 2006). High winter temperature causes thawing of ground and slow 238 melting of snowpack, which result in more infiltration of water into deep soil. Meanwhile, high

239 temperature means more winter precipitation falls as rain instead of snow (Barnett et al. 2005), a change 240 that facilitates winter soil water infiltration. These processes under high winter temperature help retain 241 more water in the local system, which will otherwise be likely lost as surface runoff and river flow during 242 the rapid snow melting in late spring to early summer. The above notion is supported by the observed 243 increase in wintertime low-level clouds at both daytime and nighttime on the TP during recent decades 244 (Duan and Xiao 2015), which is a result of increased surface warming, snowpack melting and evaporation. 245 Overall the increase in wintertime low-level clouds is more pronounced at nighttime than at daytime 246 (Duan and Xiao 2015), supporting our finding that the Tmin is more strongly correlated to the EGS 247 moisture change on the southeastern TP.

248 The EGS moisture is not strongly related to concurrent Tmin in the study area (Fig. 7d), and its 249 correlation with concurrent Tmax is even negative (Fig. S4b). These results suggest that high EGS 250 temperature leads to regional moisture loss by enhancing evapotranspiration (Fang et al. 2015a; Ren et al. 251 2015). In contrast, our record shows strong and positive correlations with concurrent surface temperature 252 anomaly over the interior of the TP (Fig. 7d), suggesting that our study area gains moisture when 253 anomalous warming occurs over the interior of the TP. Regression analysis using the ERA-Interim 254 reanalysis data was performed in order to understand the dynamic process. As shown in Fig. 8a, 255 corresponding to positive TP surface temperature anomalies in April-June, positive 200 hPa geopotential 256 anomalies are found over the TP and surroundings, with the center above the interior of the TP with an 257 extension to northwest China. The appearance of strong upper-level anti-cyclone indicates a large-scale 258 upward convection in the region as a response to anomalous surface warming on the TP. The convection 259 leads to an increase in lower tropospheric humidity over the southeastern TP, as represented by positive 260 anomalies of 700 hPa specific humidity (Fig. 8b). In contrast, the convection does not induce more 261 atmospheric humidity over the interior of the TP, largely because the underlying surface is characterized

262 by gobi deserts with limited moisture supply. As a result, strong convection plus increased lower 263 tropospheric humidity lead to an increase in precipitation and effective precipitation 264 (Precipitation-Evaporation, P-E) in the southeastern TP, whereas a strong convection plus less lower 265 tropospheric humidity result in an decrease in precipitation and effective precipitation in the interior and 266 western TP (Fig. 8c and 8d).

Previous studies found that high temperature leads to strong surface and soil water evaporation that favors the formation of convective precipitation, a crucial process for water supply on the TP before the arrival of monsoon rainfall (Yanai and Li 1994; Lau et al. 2010). This warm-wet relationship has been found in many regions of the TP (Li et al. 2010, 2014; Yang et al. 2014b). Therefore, high EGS surface temperature on the TP benefits moisture supply in its southeastern region through enhancing large-scale evaporation and convective precipitation.

273 To validate whether the warm-wet relationship has persisted at a long-term scale, we compared our 274 EGS moisture reconstruction with three tree-ring records (ML, BD, and QM, Fig. 1) that represent 275 large-scale temperature change on the TP (Fan et al. 2008b; Duan and Zhang 2014; Wang et al. 2014b). 276 Admittedly only the ML record is from the core area of high correlations shown in Fig. 7c and 7d. 277 However, it shows very coherent relationship with the other two temperature records (Fig. 9), indicating 278 temperature change is highly uniform on the TP. As shown in Fig. 9, the moisture and temperature 279 reconstructions exhibit a high degree of coherency with regard to their interdecadal variations, with 280 increased moisture coincident with periods of high temperature, and vice versa for the dry and cool 281 periods. In particular, the wettest pluvial of the past five centuries occurred during the 1990s-2000s, 282 which is also the warmest period on the TP during the past millennium (Wang et al. 2014b). The 283 coincidence of the 1990s-2000s warm and pluvial conditions on the southeastern TP may not be 284 enhanced by any persistent trend, as both temperature and moisture records exhibit strong interdecadal

variations during the 20th century (Fig. 9), which is in contrast to the persistent warming and wetting trend on the northeastern TP (Yang et al. 2014b). Therefore, the warm-wet association on the southeastern TP has persisted at least for the past five centuries.

288 Our chronology contains prior winter temperature signal (Fig. 4a), which to some extent complicates 289 the interpretation of a warm-wet relationship between the TP surface temperature and the EGS moisture 290 change in its southeastern region. However, two moisture-sensitive chronologies used in the study 291 contain very weak or no prior winter temperature signal (Fan et al. 2008a; Liu et al. 2012) and that 292 exhibit coherent variations with our chronology (Fig. 6), proving that the warm-wet relationship is not 293 due to the inclusion of winter temperature signal in our chronology. At any rate, future sampling of pure 294 moisture-sensitive chronologies on the southeastern TP is needed in order to validate our conclusion. 295 Moreover, the warm-wet relationship breaks down in a few short periods such as the late 1950s to the 296 early 1960s (Fig. 9). Other factors that may affect the EGS moisture change on the southeastern TP 297 await future investigation.

298

299 **5 Conclusions**

300 We developed a 491-year EGS moisture reconstruction with tree-rings, by far the longest for the 301 southeastern TP. Our and other reconstructions together reveal common EGS moisture change on the 302 southeastern TP, and provide a long-term context for evaluating their relationship with large-scale 303 climate anomaly. Our study indicates a coherent relationship between large-scale TP surface 304 temperature and the EGS moisture change in its southeastern region. High TP surface temperature may 305 affect the EGS moisture supply through the modulation of winter soil water storage and the enhancement 306 of regional EGS evaporation and convective precipitation. State-of-the-art climate models projected that 307 rapid temperature increase on the TP will persist throughout the twenty-first century as a result of

308	continuing anthropogenic greenhouse forcing. The coherent warm-wet association identified in the study
309	implies a generally wetter condition on the southeastern TP under future warming.

310

311 Acknowledgements

312	This research was funded by the Hui Oi-Chow Trust Fund (No. 201302172004), HKU Seed Funding
313	Program for Basic Research (No. 201309159002), Hong Kong RGC Project (No. 27300514), and the
314	National Science Foundation of China (No. 41271210). Tree-ring data in this study are available on the
315	NOAA paleoclimate database (www.ncdc.noaa.gov).

316 **References**

- 317 Bao X, Zhang F (2013) Evaluation of NCEP–CFSR, NCEP–NCAR, ERA-Interim, and ERA-40
- reanalysis datasets against independent sounding observations over the Tibetan Plateau. J Climate
 26:206–214
- Barnett TP, Dumenil L, Schlese U, Roeckner E, Latif M (1989) The effect of Eurasian snow cover on
 regional and global climate variations. J Atmos Sci 46:661–685
- Barnett TP et al (2005) Potential impacts of a warming climate on water availability in snow-dominated
 regions. Nature 438:303–309
- 324 Chen H et al (2013) The impacts of climate change and human activities on biogeochemical cycles on
 325 the Qinghai-Tibetan Plateau. Global Change Biol 19:2940–2955
- 326 Chen H, Zhu Q, Wu N, Wang Y, Peng C (2011) Delayed spring phenology on the Tibetan Plateau may
- also be attributable to other factors than winter and spring warming. Proc Natl Acad Sci USA 108:
 E93–E93.
- Chow KC, Chan JC, Shi X, Liu Y, Ding Y (2008) Time-lagged effects of spring Tibetan Plateau soil
 moisture on the monsoon over China in early summer. Int J Climatol 28:55–67
- 331 Cook ER, Kairiukstis LA (1990) Methods of Dendrochronology. Kluwer Academic Press, Dordrecht
- Cook ER, Briffa KR, Meko DM, Graybill DA, Funkhouser G (1995) The 'segment length curse' in long
 tree-ring chronology development for palaeoclimatic studies. The Holocene 5:229–237
- Cook ER, Peters K (1997) Calculating unbiased tree-ring indices for the study of climatic and
 environmental change. Holocene 7:361–370
- Dee DP et al (2011) The ERA-Interim reanalysis: Configuration and performance of the data
 assimilation system. Q J R Meteorol Soc 137:553–597
- 338 DeLucia EH (1986) Effect of low root temperature on net photosynthesis, stomatal conductance and
- carbohydrate concentration in Engelmann spruce (Picea engelmannii Parry) seedlings. Tree Physiol
 2:143–154
- Dong M, Jiang Y, Zheng C, Zhang D (2012) Trends in the thermal growing season throughout the
 Tibetan Plateau during 1960–2009. Agric For Meteorol 166:201–206
- 343 Duan A, Xiao Z (2015) Does the climate warming hiatus exist over the Tibetan Plateau? Sci Rep 5:
 344 13711. doi:10.1038/srep13711
- 345 Duan J, Zhang QB (2014) A 449 year warm season temperature reconstruction in the southeastern
- Tibetan Plateau and its relation to solar activity. J Geophys Res 119:11578–11592

- Estilow TW, Young AH, Robinson DA (2015) A long-term Northern Hemisphere snow cover extent
 data record for climate studies and monitoring. Earth Syst Sci Data 7:137–142
- Fan Z, Bräuning A, Cao K (2008a) Tree ring based drought reconstruction in the central Hengduan
 Mountains region (China) since A.D. 1655. Int J Climatol 28:1879–1887
- 351 Fan Z, Bräuning A, Cao K (2008b) Annual temperature reconstruction in the central Hengduan
- 352 Mountains, China, as deduced from tree rings. Dendrochronologia 26:97–107
- Fan Z, Bräuning A, Cao K, Zhu S (2009) Growth–climate responses of high-elevation conifers in the
 central Hengduan Mountains, southwestern China. Forest Ecol Manag 258:306–313
- Fang K, Gou X, Chen F, Li J, D'Arrigo R, Cook ER, Yang T, Davi N (2010) Reconstructed droughts for
- the southeastern Tibetan Plateau over the past 568 years and its linkages to the Pacific and Atlantic
 Ocean climate variability. Clim Dyn 35:577–585
- Fang K, Frank D, Zhao Y, Zhou F, Seppä H (2015a) Moisture stress of a hydrological year on tree
 growth in the Tibetan Plateau and surroundings. Environ Res Lett 10:034010
- Fang K, Makkonen R, Guo Z, Zhao Y, Seppä H (2015b) An increase in the biogenic aerosol
 concentration as a contributing factor to the recent wetting trend in Tibetan Plateau. Sci Rep 5:14620.
 doi:10.1038/srep14628
- Frank D, Esper J, Cook ER (2007) Adjustment for proxy number and coherence in a large-scale
 temperature reconstruction. Geophys Res Lett 34:L16709. doi:10.1029/2007GL030571
- 365 Fritts HC (1976) Tree Rings and Climate. Academic Press, London
- Gruber A, Wieser G, Oberhuber W (2009) Intra-annual dynamics of stem CO2 efflux in relation to
 cambial activity and xylem development in Pinus cembra. Tree Physiol 29:641–649
- Harris I, Jones PD, Osborn TJ, Lister DH (2014) Updated high-resolution grids of monthly climatic
 observations the CRU TS3.10 Dataset. Int J Climatol 34:623–642
- He M, Yang B, Bräuning A, Wang J, Wang Z (2012) Tree-ring-derived millennial precipitation record
 for the southern Tibetan Plateau and its possible driving mechanism. Holocene 23:36–45
- Holmes RL (1983) Computer-assisted quality control in tree-ring dating and measurement. Tree-Ring
 Bull 43:69–95
- Hsu HH, Liu X (2003) Relationship between the Tibetan Plateau heating and east Asian summer
 monsoon rainfall. Geophys Res Lett 30:2066. doi:10.1029/2003GL017909
- 376 Intergovernmental Panel on Climate Change (IPCC) (2013) Climate Change 2013: The Physical Science
- 377 Basis. Cambridge Univ Press, Cambridge

- Kang S, Xu Y, You Q, Flügel WA, Pepin N, Yao T (2010) Review of climate and cryospheric change in
 the Tibetan Plateau. Environ Res Lett 5:015101
- 380 Karl TR et al (2015) Possible artifacts of data biases in the recent global surface warming hiatus.
 381 Science 348:1469–1472
- Lau WKM, Kim MK, Kim KM, Lee WS (2010) Enhanced surface warming and accelerated snow melt
 in the Himalayas and Tibetan Plateau induced by absorbing aerosols. Environ Res Lett 5:025204
- 384 Li J, Zeng Q (2002) A unified monsoon index. Geophys Res Lett 29, 1274, doi:10.1029/2001GL013874
- Li J, Chen F, Cook ER, Gou X, Zhang Y (2007) Drought reconstruction for north central China from
 tree rings: The value of the Palmer drought severity index. Int J Climatol 27:903–909
- Li J, Cook ER, D'Arrigo R, Chen F, Gou X, Peng J, Huang J (2008) Common tree growth anomalies
 over the northeastern Tibetan Plateau during the last six centuries: Implications for regional moisture
 change. Global Change Biol 14:1096–2107
- Li J, Cook ER, D'Arrigo R, Chen F, Gou X (2009a) Moisture variability across China and Mongolia:
 1951–2005. Clim Dyn 32:1173–1186
- Li J et al (2009b) Summer monsoon moisture variability over China and Mongolia during the past four
 centuries. Geophys Res Lett 36:L22705. doi:10.1029/2009GL041162
- Li J, Xie SP, Cook ER (2014) El Niño phases embedded in Asian and North American drought
 reconstructions. Quaternary Sci Rev 85:20–34
- Li L, Yang S, Wang Z, Zhu X, Tang H (2010) Evidence of warming and wetting climate over the
 Qinghai-Tibet Plateau. Arct Antarct Alp Res 42:449–457
- Li X, Jin R, Pan X, Zhang T, Guo J (2012) Changes in the near-surface soil freeze-thaw cycle on the
 Qinghai–Tibetan Plateau. Int J Appl Earth Obs Geoinf 17:33–42
- Liang EY, Shao XM, Xu Y (2009) Tree-ring evidence of recent abnormal warming on the southeast
 Tibetan Plateau. Theor Appl Climatol 98:9–18
- 402 Liang E, Wang Y, Xu Y, Liu B, Shao X (2010) Growth variation in Abies georgei var. smithii along
- 403 altitudinal gradients in the Sygera Mountains, southeastern Tibetan Plateau. Trees 24:363–373
- 404 Liu B, Wang Y, Zhu H, Liang E, Camarero JJ (2016) Topography and age mediate the growth responses
- 405 of Smith fir to climate warming in the southeastern Tibetan Plateau. Int J Biometeorol 60,
- 406 doi:10.1007/s00484-016-1148-5
- Liu J, Yang B, Huang K, Sonechkin DM (2012) Annual regional precipitation variations from a 700
- 408 year tree-ring record in south Tibet, western China. Clim Res 53:25–41

- 409 Melvin TM, Briffa KR (2008) A signal-free approach to dendroclimatic standardization.
- 410 Dendrochronologia 26:71–86
- Niu GY, Yang ZL (2006) Effects of frozen soil on snowmelt runoff and soil water storage at a
 continental scale. J Hydrometeorol 7:937–952
- 413 Osborn TJ, Briffa KR, Jones PD (1997) Adjusting variance for sample-size in tree-ring chronologies and
- 414 other regional-mean time-series. Dendrochronologia 15:89–99
- 415 Palmer WC (1965) Meteorological drought. US Weather Bureau Res Paper 45, 58 pp
- 416 Pepin N et al (2015) Elevation-dependent warming in mountain regions of the world. Nat Clim Change
 417 5:424–430
- 418 Qiu J (2014) Tibetan plateau gets wired up for monsoon prediction. Nature 514:16–17
- Rangwala I, Sinsky E, Miller JR (2013) Amplified warming projections for high altitude regions of the
 northern hemisphere mid-latitudes from CMIP5 models. Environ Res Lett 8:024040
- 421 Rayner NA, Parker DE, Horton EB, Folland CK, Alexander LV, Rowell DP, Kent EC, Kaplan A (2003)
- Global analyses of sea surface temperature, sea ice, and night marine air temperature since the late
 nineteenth century. J Geophys Res 108:4407. doi:10.1029/2002JD002670
- Ren P, Rossi S, Gricar J, Liang E, Cufar K (2015) Is precipitation a trigger for the onset of xylogenesis
 in Juniperus przewalskii on the north-eastern Tibetan Plateau? Ann Bot 115:629–639
- Su F, Duan X, Chen D, Hao Z, Lan C (2013) Evaluation of the global climate models in the CMIP5 over
 the Tibetan Plateau. J Clim 26:3187–3208
- Turner AG, Annamalai H (2012) Climate change and the South Asian summer monsoon. Nat Clim
 Change 2:587–595
- van der Schrier G, Barichivich J, Briffa KR, Jones PD (2013) A scPDSI-based global data set of dry and
 wet spells for 1901–2009. J Geophys Res Atmos 118:4025–4048
- 432 Wang B, Wu R, Lau KM (2001) Interannual variability of Asian summer monsoon: Contrast between
- the Indian and western North Pacific-East Asian monsoons. J Climate 14:4073–4090
- 434 Wang J, Yang B, Qin C, Kang S, He M, Wang Z (2014b) Tree-ring inferred annual mean temperature
- variations on the southeastern Tibetan Plateau during the last millennium and their relationships with
 the Atlantic multidecadal oscillation. Clim Dyn 43:627–640
- 437 Wang Q, Fan X, Wang M (2014a) Recent warming amplification over high elevation regions across the
- 438 globe. Clim Dyn 43:87–101

- 439 Wang Z, Yang B, Deslauriers A, Qin C, He M, Shi F, Liu J (2012) Two phases of seasonal stem radius
- variations of *Sabina przewalskii* Kom. in northwestern China inferred from sub-diurnal shrinkage and
 expansion patterns. Trees 26:1747–1757
- Wigley T, Briffa KR, Jones PD (1984) On the average value of correlated time series, with applications
 in dendroclimatology and hydrometeorology. J Clim Appl Meteor 23:201–213
- Wu T, Zhao L, Li R, Wang Q, Xie C, Pang Q (2013) Recent ground surface warming and its effects on
 permafrost on the central Qinghai-Tibet Plateau. Int J Climatol 33:920–930
- Yan L, Liu X (2014) Has climatic warming over the Tibetan Plateau paused or continued in recent years?
 J Earth Ocean Atmos Sci 1:13–28
- Yanai M, Li C (1994) Mechanism of heating and the boundary layer over the Tibetan Plateau. Mon
 Weather Rev 122:305–323
- Yang B, Kang X, Bräuning A, Liu J, Qin C, Liu J (2010) A 622-year regional temperature history of
 southeast Tibet derived from tree rings. The Holocene 20:181–190
- Yang B, Qin C, Wang J, He M, Melvin TM, Osborn TJ, Briffa KR (2014b) A 3,500-year tree-ring
 record of annual precipitation on the northeastern Tibetan Plateau. Proc Natl Acad Sci 111:2903–
 2908
- 455 Yang K, Wu H, Qin J, Lin C, Tang W, Chen Y (2014a) Recent climate changes over the Tibetan Plateau
 456 and their impacts on energy and water cycle: a review. Global Planet Change 112:79–91
- 457 Yao T et al (2012) Different glacier status with atmospheric circulations in Tibetan Plateau and
 458 surroundings. Nat Clim Change 2:663–667
- Yu H, Luedeling E, Xu J (2010) Winter and spring warming result in delayed spring phenology on the
 Tibetan Plateau. Proc Natl Acad Sci USA 107:22151–22156
- 461 Yu R, Wang B, Zhou T (2004) Tropospheric cooling and summer monsoon weakening trend over East
- 462 Asia. Geophys Res Lett 31:L22212. doi:10.1029/2004GL021270
- 463

Figure Captions:

Fig. 1 Map of the Tibetan Plateau showing the location of the tree-ring sampling sites (triangle), the Daocheng (DC) and Lijiang (LJ) meteorological station (black circle), and the four scPDSI grid points (open circle) used in this study. The tree-ring sites are as follows: Black triangle denotes the two sites of this study (MX). Blue triangles denote the three moisture-sensitive sites (BM (Fan et al. 2008a), LX (Liu et al. 2012), and LZ (He et al. 2012)). Red triangles denote the three temperature-sensitive sites (ML (Fan et al. 2008b), BD (Duan and Zhang 2014), and QM (Wang et al. 2014b))

Fig. 2 (a) Monthly mean temperature and (b) monthly total precipitation records at the Daocheng meteorological station during 1957-2013

Fig. 3 (a) The composite chronology developed from two sites of *A. forrestii* on the southeastern TP. (b) The running EPS statistics. Dashed line denotes the 0.85 cutoff value. (c) The running Rbar statistics. Horizontal line denotes the mean value. (d) The corresponding sample size

Fig. 4 Correlations of tree-rings with (a) monthly precipitation (solid bars) and temperature (light bars) records from previous June to current September during 1957-2013, and with (b) monthly scPDSI data during1944-2012. The dashed lines indicate the corresponding 95% confidence level

Fig. 5 Comparison of the actual (solid line) and estimated (dotted line) April-June scPDSI values during their common period 1944-2012

Fig. 6 Comparison of the EGS scPDSI reconstruction with three tree-ring records that are most sensitive to the EGS moisture condition on the southeastern TP. (a) The April-June scPDSI reconstruction from this study. (b) BM (Fan et al. 2008a). (c) LX (Liu et al. 2012). (d) LZ (He et al. 2012). Data in (b) to (d) have been normalized for direct comparison. Bold line in each panel denotes a 21-year low-pass filter. Vertical shading denotes wet periods in our reconstruction

Fig. 7 Spatial correlation patterns for the period of 1951-2012. (a) Actual and (b) reconstructed April-June scPDSI correlated with regional gridded scPDSI. Reconstructed April-June scPDSI correlated with the CRU minimum temperature in (c) prior winter (October-February) and (d) current EGS (April-June). The correlation coefficient at the 0.05 significance level is about 0.25, based on a two-tailed student's t-test. The box in (d) denotes the region over which the temperature is averaged

Fig. 8 Spatial regression patterns for the period of 1979-2014. Regression patterns of (a) 200 hPa geopotential height (m^2/s^2) , (b) 700 hPa specific humidity (g/kg), (c) precipitation (mm/day), and (d) effective precipitation (P-E, mm/day) with the interior TP surface temperature in April-June. The interior TP surface temperature was averaged over a region as denoted in Fig. 7d, using the gridded CRU dataset

Fig. 9 Comparison of the EGS scPDSI reconstruction with three temperature-sensitive tree-ring records on the TP. (a) The April-June scPDSI reconstruction from this study. (b) ML (Fan et al. 2008b). (c) BD (Duan and Zhang, 2014). (d) QM (Wang et al. 2014b). Data in (b) to (d) have been normalized for direct comparison. Bold line in each panel denotes a 21-year low-pass filter. Vertical shading denotes wet periods in our reconstruction

Table Captions:

Table 1 Statistics of the two tree-ring sampling sites, the nearest meteorological station, and the scPDSI grid points developed by van der Schrier et al. (2013)

 Table 2 Statistics of calibration and verification test results

Fig. 1



Fig. 1 Map of the Tibetan Plateau showing the location of the tree-ring sampling sites (triangle), the Daocheng (DC) and Lijiang (LJ) meteorological station (black circle), and the four scPDSI grid points (open circle) used in this study. The tree-ring sites are as follows: Black triangle denotes the two sites of this study (MX). Blue triangles denote the three moisture-sensitive sites (BM (Fan et al. 2008a), LX (Liu et al. 2012), and LZ (He et al. 2012)). Red triangles denote the three temperature-sensitive sites (ML (Fan et al. 2008b), BD (Duan and Zhang 2014), and QM (Wang et al. 2014b))



Fig. 2 (a) Monthly mean temperature and (b) monthly total precipitation records at the Daocheng meteorological station during 1957-2013



Fig. 3 (a) The composite chronology developed from two sites of *A. forrestii* on the southeastern TP. (b) The running EPS statistics. Dashed line denotes the 0.85 cutoff value. (c) The running Rbar statistics. Horizontal line denotes the mean value. (d) The corresponding sample size

Fig. 4



Fig. 4 Correlations of tree-rings with (a) monthly precipitation (solid bars) and temperature (light bars) records from previous June to current September during 1957-2013, and with (b) monthly scPDSI data during1944-2012. The dashed lines indicate the corresponding 95% confidence level



Fig. 5 Comparison of the actual (solid line) and estimated (dotted line) April-June scPDSI values during their common period 1944-2012

Fig. 6



Fig. 6 Comparison of the EGS scPDSI reconstruction with three tree-ring records that are most sensitive to the EGS moisture condition on the southeastern TP. (a) The April-June scPDSI reconstruction from this study. (b) BM (Fan et al. 2008a). (c) LX (Liu et al. 2012). (d) LZ (He et al. 2012). Data in (b) to (d) have been normalized for direct comparison. Bold line in each panel denotes a 21-year low-pass filter. Vertical shading denotes wet periods in our reconstruction



Fig. 7 Spatial correlation patterns for the period of 1951-2012. (a) Actual and (b) reconstructed April-June scPDSI correlated with regional gridded scPDSI. Reconstructed April-June scPDSI correlated with the CRU minimum temperature in (c) prior winter (October-February) and (d) current EGS (April-June). The correlation coefficient at the 0.05 significance level is about 0.25, based on a two-tailed student's t-test. The box in (d) denotes the region over which the temperature is averaged



Fig. 8 Spatial regression patterns for the period of 1979-2014. Regression patterns of (a) 200 hPa geopotential height (m^2/s^2) , (b) 700 hPa specific humidity (g/kg), (c) precipitation (mm/day), and (d) effective precipitation (P-E, mm/day) with the interior TP surface temperature in April-June. The interior TP surface temperature was averaged over a region as denoted in Fig. 7d, using the gridded CRU dataset



Fig. 9 Comparison of the EGS scPDSI reconstruction with three temperature-sensitive tree-ring records on the TP. (a) The April-June scPDSI reconstruction from this study. (b) ML (Fan et al. 2008b). (c) BD (Duan and Zhang, 2014). (d) QM (Wang et al. 2014b). Data in (b) to (d) have been normalized for direct comparison. Bold line in each panel denotes a 21-year low-pass filter. Vertical shading denotes wet periods in our reconstruction

Table 1 Statistics of the two tree-ring sampling sites, the nearest meteorological station, and the scPDSI grid points developed by van der Schrier et al. (2013)

Data Type	Site Code	Location	Elevation	Number	Time Span
		(latitude; longitude)		(core/tree)	(A.D.)
Trac ring	MAX	29°09'N, 99°56'E	3530	56/28	1509-2006
Tree-fing	MXG	29°09'N, 99°57'E	3600	50/25	1498-2013
Meteorological data	DC	29°03'N, 100°18'E	3728		1957-2013
PDSI		27°75'28°25'N, 100°25'100°75'E			1944-2012

Table 2 Statistics of calibration and verification test results

	Calibration (1944-1977)	Verification (1978-2012)	Calibration (1978-2012)	Verification (1944-1977)	Full calibration (1944-2012)
r	0.703	0.711	0.711	0.703	0.715
\mathbf{r}^2	0.494	0.506	0.506	0.494	0.512
RE	—	0.461		0.561	
CE	—	0.374		0.446	—
Sign test	26/8*	25/10*	27/8*	25/9*	

* Significant at p<0.01