# Minimally invasive approach to lumbosacral decompression in a cadaveric canine model

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#### **Abstract**

AIMS: To determine efficacy of a minimally invasive (MI) surgical approach using a human MI lumbar retractor for canine lumbosacral dorsal laminectomy and partial discectomy and to compare this technique to the standard open surgical (OS) approach.

METHODS: Lumbosacral dorsal laminectomy and partial discectomy was performed on 16 large-breed canine cadavers using either a standard OS (n=8) or MI (n=8) approach. Skin and fascial incision length, procedure time, and intraoperative complications were recorded. Postoperatively specimens were evaluated for laminectomy and discectomy dimensions, and visible damage to the cauda equina and exiting nerve roots.

RESULTS: Median length of skin and fascial incisions in the OS group were longer than in the MI group (p<0.001). Median laminectomy length was similar between both approaches (p=0.234) but width was greater for the MI than OS approach (p=0.002). Both approaches achieved similar partial discectomy width (p=0.279). Overall surgical time was longer for MI approaches compared to OS, with a median of 18.5 (min 15.5, max 21.8) minutes for MI compared to 14.6 (min 13.1, max 16.9) minutes for OS (p=0.001).

CONCLUSIONS: The MI approach reduced incision lengths while retaining comparable laminectomy and discectomy dimensions. For this *in vitro* model the MI approach required more time to complete, but this difference may not be relevant in clinical cases.

CLINICAL RELEVANCE: Dogs undergoing lumbosacral dorsal laminectomy are commonly large-breed dogs. The traditional open approach requires a large skin incision and soft tissue dissection, especially in overweight animals. A MI approach accomplishing the same surgical result while minimising soft tissue trauma could reduce post-operative pain and recovery time, and may lower wound-related complications. Clinical studies are needed to confirm postoperative benefit and assess operating times *in vivo*.

**KEY WORDS:** Dog, lumbosacral, dorsal laminectomy, minimally invasive surgery, lumbar retractor

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DLSS Degenerative lumbosacral stenosis

MI Minimally invasive

OS Open surgical

#### Introduction

Degenerative lumbosacral stenosis (DLSS) is a common condition of middle-aged and older large breed dogs, particularly German Shepherd and working dogs, leading to potentially debilitating pain and neurological deficits (Oliver *et al.* 1978; Danielsson and Sjöstrom 1999; Suwankong *et al.* 2008). Neurological dysfunction is related to compression of the cauda equina nerve roots secondary to pathophysiologic processes such as intervertebral disk protrusion, articular facet joint misalignment, and chronic lumbosacral instability (Lenehan and Tarvin 1998; De Risio *et al.* 2001; Benninger *et al.* 2006). In cases lacking proprioceptive deficits or incontinence, conservative therapy involving anti-inflammatory drugs, weight reduction, and controlled exercise may be effective in controlling clinical signs (Janssens *et al.* 2009; Worth *et al.* 2009; Björn and Bergknut 2010).

More severe cases of DLSS usually require surgical intervention to decompress the cauda equina, most commonly via dorsal laminectomy with dorsal annulectomy and nucleus pulposus removal (Chambers 1989; Ness 1994; Janssens *et al.* 2000). Though various procedures have been described for treatment, traditionally such decompression is performed through an open dorsal approach to the lumbosacral junction, requiring a large skin incision and much soft tissue retraction to achieve adequate visualisation. (Chambers *et al.* 1988; Carozzo *et al.* 2008; O'Riordan *et al.* 2013) The amount of tissue trauma and potential patient morbidity can be substantial, particularly in obese dogs, as the approach dimensions must be adjusted to the depth of fatty tissue.

Minimally invasive (MI) surgery is becoming a standard of care for many human spinal surgeries, where iatrogenic trauma caused by the considerable soft tissue dissection and retraction has been well documented (Kawaguchi *et al.* 1994; Styf and Willen 1998; Gejo *et al.* 1999). Utilising unique retractors with visualisation aids, MI spinal surgery reduces incision dimensions and tissue dissection. MI spinal surgery in humans has been reported to decrease surgical complications, blood loss, iatrogenic soft-tissue injury, as well as post-operative pain and narcotic use, and a faster return to work (Mayer and Brock 1993; Foley and Lefkowitz 2002; Topcu *et al.* 2003). Though the discipline is more nascent in veterinary medicine, several studies have demonstrated the feasibility of MI spinal surgery and provided evidence of similar benefits (Wood *et al.* 2004; Leperlier *et al.* 2011; Lockwood *et al.* 2014).

The goal of this study was to develop a technique for and determine the efficacy of a MI approach to canine lumbosacral decompression using a human MI lumbar retractor. We hypothesised that the MI retractor could be used successfully in the large-breed canine lumbosacral spine to perform dorsal laminectomy and partial discectomy, and that the MI approach would significantly decrease soft tissue dissection while producing laminectomy and discectomy dimensions comparable to the open surgical (OS) approach.

# Materials and methods

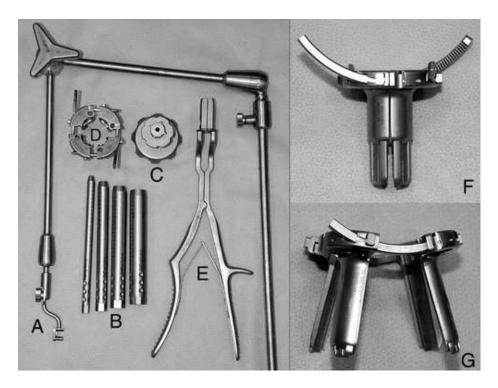
The study was performed under an institutional animal care and use protocol. Sixteen entire fresh large-breed canine cadavers, subject to euthanasia for reasons unrelated to this study, were used. Dog breed, sex, body weight, body condition score, and subcutaneous fat depth were recorded. Lumbosacral vertebral columns were radiographed to assure physeal closure and lack of pre-existing disease. All surgical procedures were carried out by the same board-certified surgeon.

#### Open surgical approach

Eight dogs underwent standard open dorsal laminectomy and partial discectomy of the lumbosacral space (Sharp and Wheeler 2005; Lanz and Rossmeisl 2012; Dewey 2013). Dorsal midline skin incisions were made from the spinous process of the sixth lumbar vertebra to the mid-point of the sacral crest, as determined by palpation, and enlarged as needed to complete the procedures. Superficial and deep fascial layers were then incised and the surgical window was maintained with large angled Gelpi retractors. Epaxial muscle attachments on spinous processes of the seventh lumbar and first sacral vertebrae were elevated and the processes removed using rongeurs. The interarcuate ligament was sharply incised and removed and laminectomy was performed using a high-speed pneumatic drill with a 4 mm bur. The laminectomy was extended from the middle of the seventh lumbar to the second and third sacral vertebrae and laterally to the base of the articular processes. After exposure, the cauda equina was lateralised using a nerve hook and a partial discectomy was performed by removing the dorsal annulus fibrosus and nucleus pulposus using rongeurs and a triangular (No. 11) blade on a Bard-Parker No. 3 scalpel handle.

# Minimally invasive surgery approach

Eight canine cadavers underwent MI dorsal laminectomy and partial discectomy of the lumbosacral space using a human MI lumbar retractor system (Pipeline Expandable Access System, Depuy Synthes, West Chester, PA, USA; Figure 1). The approach began with a small skin and fascial incision, approximately 3 cm in length, over the lumbosacral joint, as determined by palpation, through which a series of size-progressive dilators were inserted to expand the soft tissues. Once the surgical port was sufficiently enlarged, a lumbar retractor was fed over the dilators and into the incision, where it was locked in place by a rigid support arm. The telescoping retractor blades were extended through the epaxial musculature as needed to contact the vertebral laminae, after which a blade expander was utilised to distract the retractor blades. Once adequate visualisation of the surgical field was established (Figure 2), dorsal laminectomy and partial discectomy were carried out using the same protocol and instrumentation as in the OS group.



**Figure 1.** Minimally invasive lumbar retractor system (DePuy Synthes Pipeline Expandable Access System) including a flexible, self-locking table arm (A) to maintain retractor position intra-operatively; progressive dilators (B) to widen the access port made in the soft tissue; depth gauge (C) also used to lengthen the telescoping retractor blades; top view of the retractor (D); a retractor blades expander (E), used to enlarge the deep access aperture; short retractor (F) with retractor arms closed and blades deployed; and long retractor (G) with retractor arms expanded and blades retracted. Note the arc of expansion increasing the distal working port while maintaining a relatively small skin incision.



**Figure 2.** Photographs showing (a) position of a minimally invasive lumbar retractor used for dorsal laminectomy and partial discectomy over the canine lumbosacral space, (b) intra-operative view of the surgical site after dorsal laminectomy between the seventh lumbar and first sacral vertebrae had been performed, and (c) close-up view of the laminectomy site; the cauda equina has been lateralised to reveal the intervertebral discectomy site.



**Figure 3**. Dorsoventral radiographs of lumbosacral spines removed from canine cadavers following either (a) standard open or (b) minimally invasive surgical approaches for dorsal laminectomy and partial discectomy, demonstrating the laminectomy dimensions (dotted outline).

# **Technique assessment**

MIS

Surgical time, procedural difficulties and adjustments, as well as intraoperative complications were recorded. Postoperative orthogonal lumbosacral radiographs were obtained to assess dimension of laminectomy and possible bony damage (Figure 3). Skin and fascial incision lengths were measured and the surgical site carefully inspected for iatrogenic neurovascular injury. Laminectomy length and width were recorded using digital calipers. The lower lumbar and sacral spine was then removed and sharply dissected at the seventh lumbar and first sacral vertebral disk space to allow assessment of the partial discectomy. Width of the partial discectomy defect was measured using calipers and compared to the total intervertebral disc width.

# Statistical analysis

The normality assumption was evaluated for quantitative outcome data by plotting histograms, calculating descriptive statistics, and performing the Anderson-Darling test (MINITAB Statistical Software, Release 13.32, Minitab Inc, State College, PA, USA). Categorical data were compared

between the MI and the OS groups using  $\chi^2$  and Fisher exact tests. Quantitative data were compared between surgical groups using Mann-Whitney U tests. Confounding by dog-level factors was investigated using a GLM but none was identified and only crude statistical comparisons are presented. Statistical evaluations of quantitative data were performed using IBM SPSS Statistics version 22 (International Business Machines Corp., Armonk, NY, USA).

#### Results

Dogs included in this study were pit bulls (n=10), pit bull cross (n=3), one Labrador Retriever, one German Shepherd dog cross, and one mixed breed dog. Nine of the 16 dogs were male. There was no difference between groups in body weight, body condition score, depth of subcutaneous fat, gender, breed distribution, or intervertebral disk width (p>0.1).

# Intraoperative assessment

All OS procedures were completed to surgeon satisfaction with no apparent intraoperative complications. In the MI group, muscle creep underneath the retractor blades required adjustment of the MI retractor in all cases. In five dogs, removal of the seventh lumbar spinous process prior to deploying the MI retractor arms facilitated better position of the retractor blades on the vertebral laminae of the seventh lumbar to first sacral vertebrae. The duration of all measured surgical procedures, with the exception of laminectomy, were longer in the MI than the OS approaches (Table 1).

**Table 1.** Median results for outcome measures following standard open (OS) or minimally invasive (MI) surgical approaches for dorsal laminectomy and partial discectomy assessed using canine cadavers.

	МІ		OS		
Variable	n	Median (min, max)	n	Median (min, max)	P-value <sup>a</sup>
Duration (minutes)					
Approach	7	9.8 (7.4, 11.8)	6	6.6 (4.2, 8.0)	0.005
Laminectomy	7	6.8 (6.0, 8.5)	6	6.0 (4.6, 7.0)	0.138
Approach + laminectomy	7	16.9 (14.2, 20.2)	8	12.3 (10.1, 14.4)	0.001
Discectomy	7	1.5 (1.1, 1.7)	8	2.2 (1.8, 2.9)	<0.001
Total	7	18.5 (15.5, 21.8)	8	14.6 (13.1, 16.9)	0.001
Approach length (mm)					
Skin	8	55 (49, 67)	8	116 (100, 130)	<0.001
Fascia	8	55 (50, 78)	8	96 (77, 110)	<0.001
Laminectomy (mm)					
Width	8	11.6 (10.0, 13.0)	8	9.8 (8.8, 10.7)	0.002

		МІ	OS		
Variable	n	Median (min, max)	n	Median (min, max)	P-value <sup>a</sup>
Length	8	31.7 (28.2, 35.1)	8	29.1 (24.4, 33.5)	0.234
Discectomy					
Width (mm)	8	6.6 (4.4, 8.7)	8	7.1 (5.2, 9.0)	0.279
Removed (%) <sup>b</sup>	8	27.0 (19.2, 39.1)	8	30.6 (21.6, 40.9)	0.195

<sup>&</sup>lt;sup>a</sup> Based on Mann-Whitney U tests.b Percentage of total intervertebral disc width.

# Post-operative assessment

Anatomical dissection did not reveal any neurovascular damage from either approach. Length of skin and fascial incisions were reduced using the MI approach (Table 1). There was no difference in laminectomy length, however the MI approach produced wider laminectomies than the OS approach. Discectomy width as a percentage of total intervertebral disc width was similar in both approach groups (Table 1). In all dogs, minimal nuclear material remained within the intervertebral disc space.

### Discussion

This study provides evidence of the efficacy of a MI approach to lumbosacral decompression in a canine cadaver model using a MI lumbar retractor system. Surgical site outcome measures were comparable or improved when dorsal laminectomy and partial discectomy were performed through the retractor portal and soft tissue approach dimensions were significantly reduced. The more limited approach reduced the degree of tissue manipulation and trauma, the most important means of controlling the surgical stress response, the constellation of local and systemic metabolic and hormonal changes following tissue injury (Kehlet 1997; Desborough 2000). This technique-dependent benefit should influence certain clinical outcomes, such as reduced haemorrhage, post-operative pain, wound complications, and recovery time, as has been demonstrated in numerous studies (Landreneau *et al.* 1993; Bosch *et al.* 2002; Foley and Lefkowitz 2002). In the case of DLSS, which commonly affects working dogs, the reduced post-operative morbidity may lead to faster return to service.

The MI approach extended the total surgical time compared to the OS approach (median 18.5 vs. 14.6 minutes). This was mainly due to the need to reposition the MI retractor during the soft tissue approach. However, it is difficult to make accurate suppositions regarding surgical time from cadaveric models. Surgeries performed in cadavers lack the patient-specific diseased surgical site, naturally occurring haemorrhage and other clinically relevant scenarios that can influence surgical time. In clinical cases, the additional few minutes added for MI retractor adjustments would probably not influence overall surgery time; however clinical studies will be required to confirm this.

Despite the improved outcomes seen through the use of a MI approach, there were certain drawbacks to the adaptation of the lumbar retractor system to the canine lumbosacral spine. The

first of the progressive tissue dilators has a trocar-style tip which, in pilot cases, traumatised the interarcuate ligament with possible damage to the cauda equina. The procedures included in this study were performed without the use of this instrument, opting instead to begin with a slightly larger, blunt dilator which was easily placed and did not lead to visible damage. Compared to the human lumbosacral spine, the relatively smaller canine lumbosacral anatomy presented a challenge, as the distance between spinous processes in many of the dogs did not allow placement of the retractor blades flush on the vertebral laminae. To counter this, in dogs where the spinous processes interfered, the seventh lumbar spinous process was removed with rongeurs after placement of the largest dilator prior to deploying the retractor. The majority of dogs in this study were lean, mediumsized pit bull mixes; however when the retractor was applied to the lumbosacral space of larger breeds, such as German Shepherd mixes, removal of seventh lumbar spinous process prior to deployment of the retractor blades was not necessary. Additionally, the blades of the retractor are flat at the distal end and allowed some muscle to slip back into the visual field. This tissue creep is also seen with human surgical applications. Tissue which could not be successfully retracted and was impairing visualisation of the dorsal vertebral lamina was excised in several MI procedures, but the amount of tissue excised was small. Tissue excision was not required in the OS approach. Although it is possible that the use of the retractor in cadaveric tissue may have compounded the issue, muscle creep would likely occur in clinical patients. Using retractor blades with a small, laterally deviated foot may decrease tissue creep.

Another limitation of the study was the cadaver population. Although similarity was maintained between groups in regards to size, age and breed, the cadaver population may not be representative of the clinical population. Dogs used in this study were typically young, lean, and healthy with apparently normal spines. Dogs with DLSS tend to be older with higher body condition score and spinal pathology. However, considering the cadaver experience with the retractor and typical DLSS patients, the utility of the lumbar retractor system for MI approach may be more completely realised in larger, more obese dogs.

The ability to perform foramenotomy through the MI approach was not assessed in this study but would be an important factor for the utility of this approach as many dogs affected by DLSS suffer from concurrent foramenal stenosis (Wood *et al.* 2004; Gödde and Steffen 2007).

In conclusion, the novel application of this retractor to the MI approach to the lumbosacral space in cadaveric dogs was successful. This retractor is widely available through Depuy Synthes throughout the global market and these initial results indicate its potential for adaptation to veterinary surgery. Clinical application of the retractor and post-operative patient follow-up will be required to confirm the proposed benefits of the MI approach using such a retractor.

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