

1 **The triple defensive barrier of phenolic compounds against the lipid**  
2 **oxidation-induced damage in food products**

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14 **Abstract**

15 *Background:* Although prooxidant activities have also been described, phenolic  
16 compounds can act as chelating and free radical scavengers. These protective functions  
17 would be their first and second defense barriers against the lipid-induced damage in  
18 foods. In addition, recent studies have shown that they can act as lipid-derived carbonyl  
19 scavengers, therefore avoiding that these toxic and very reactive compounds can modify  
20 essential food components such as aminophospholipids, amino acids, and proteins.  
21 These results point out to phenolic compounds also as responsible for a third defense  
22 barrier against the lipid oxidation-induced damage in foods.

23 *Scope and approach:* This review collects the scattered information existing on the role  
24 of phenolic compounds as lipid-derived carbonyl scavengers and introduces a general  
25 lipid oxidation scheme in which the triple function of phenolic compounds can be  
26 clearly understood by pointing out where they are acting as a function of their structure.

27 *Key findings and conclusions:* The structural requirements for the three barriers are  
28 different and phenolic compounds are suggested to be classified into seven groups as a  
29 function of the number and kind(s) of function(s) exhibited. This better classification  
30 and understanding of how different phenolic compounds protect foods will help to the  
31 food industry to employ the most appropriate phenolic compounds in each formulation  
32 and will also contribute to better understand the biological functions of these  
33 compounds.

34

35 **Keywords**

36 Carbonyl-scavenging ability; Lipid-derived reactive carbonyls; Lipid oxidation;  
37 Phenolic compounds; Structure-activity relationship

38

39 **1. Introduction**

40 Lipid oxidation is a major food problem because it causes consumer rejection and  
41 potential safety problems. Thus, it is responsible for the deterioration of polyunsaturated  
42 lipids and produces changes in flavor, texture, appearance, and nutritional quality in  
43 food products (Waraho, McClemens, & Decker, 2011). This traditional problem in the  
44 food industry has got worse in recent years because of the removal of hydrogenated fats,  
45 the addition of more unsaturated fatty acids to improve nutritional content, and the  
46 consumer desire to remove synthetic food additives including antioxidants. Because of  
47 that, the search of satisfactory strategies for inhibiting lipid oxidation has been (and still  
48 is) a constant for the food industry.

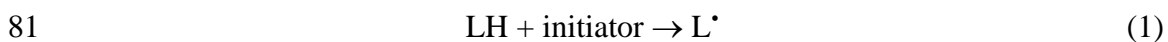
49 The assayed strategies have included the use of both primary antioxidants (those that  
50 disrupt the oxidative free radical chain reaction) and secondary antioxidants (those that  
51 prevent lipid oxidation by deactivating singlet oxygen, chelating metal ions, absorbing  
52 ultraviolet radiation, scavenging oxygen, or helping to regenerate primary antioxidants)  
53 (Senanayake, 2013). Among the different compounds assayed, natural phenolic  
54 compounds have been shown to effectively scavenge free radicals and to chelate  
55 transition metals, thus stopping progressive autoxidative damage and production of off-  
56 odours and off-tastes (Brewer, 2011). In addition, phenolic compounds are also able to  
57 scavenge the carbonyl compounds produced in the lipid oxidation pathway, providing in  
58 this way an additional protection to foods against the consequences of lipid oxidation.  
59 On the other hand, prooxidant activities of phenolic compounds have also been  
60 described (Chedea, Choueiri, Jisaka & Kefalas, 2012; Halliwell, 2008; Masuda, Inai,  
61 Miura, Masuda, & Yamauchi, 2013), although they will not be discussed in depth in the  
62 present review.

63 The main purpose of this review is to collect the scattered information existing on the  
64 role of phenolic compounds as lipid-derived carbonyl scavengers, and to elaborate a  
65 general scheme of lipid oxidation in which the different functions of phenolic  
66 compounds in the protection of lipid oxidation consequences (as chelating agents, as  
67 free radical scavengers and as carbonyl trapping agents) can be easily understood.

## 68 **2. The lipid oxidation pathway as a source of both free radicals and carbonyl** 69 **compounds**

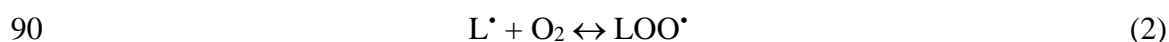
70 Lipid oxidation is a free radical chain reaction that proceeds through the common  
71 stages of initiation, propagation and termination (Schaich, 2013). However, many of the  
72 secondary and tertiary products formed by free radical reactions are very reactive and  
73 react covalently with the surrounding food components, therefore extending lipid  
74 oxidation consequences (Zamora & Hidalgo, 2005).

75 The generation of primary free radicals is a thermodynamically unfavorable reaction  
76 and needs to be facilitated by the presence of oxidation initiators such as light, heat,  
77 ionizing radiation, transition metals, metalloproteins, oxidants, various hemolysis-prone  
78 substances and enzymes (Senanayake, 2013). In any case, the result will be the  
79 abstraction of a hydrogen atom from an unsaturated fatty acid and the formation of the  
80 corresponding alkyl radical (equation 1).



82 In mixtures of acyl chains with different unsaturation degree, the abstracted proton is  
83 usually a proton bonded to a doubly allylic carbon and the produced radical suffers then  
84 a rearrangement to produce a conjugated diene system. The formed alkyl radical reacts  
85 faster with oxygen than with lipids. Therefore the next step in the propagation reaction

86 is the formation of the corresponding peroxy radical (LOO<sup>•</sup>). This is a reversible  
87 reaction because the peroxy radical can suffer a β-elimination reaction to produce again  
88 the alkyl radical, although the produced rearrangements would not be reversed (equation  
89 2).



91 This new radical is relatively slow to abstract an hydrogen from a new lipid  
92 molecule. Therefore, there is plenty of time for alternative reaction pathways that may  
93 compete and change the direction of the oxidation.

94 The reaction that continues the free radical chain is the hydrogen abstraction from a  
95 new lipid molecule (equation 3).



97 However, when these new lipid molecules are not immediately available during  
98 oxidation, peroxy radicals react by alternative pathways. The most facile pathway is  
99 addition to a neighboring double bond. If this double bond belongs to the same  
100 molecule (a *cis* double bond two carbons away from the peroxy radical) a cyclic  
101 product (epidioxide radical) is formed. This new radical reacts rapidly with oxygen to  
102 produce the corresponding epidioxide peroxy radical (equation 4).



104 If the carbon-carbon double bond belongs to a different molecule, a dimer is  
105 produced, which either can continue polymerizing afterwards or can produce  
106 monomeric products (epoxides).

107 Peroxyl radicals can also suffer a disproportionation reaction to produce alkoxy  
108 radicals (LO<sup>•</sup>), although these last radicals are also produced by decomposition of lipid  
109 hydroperoxides (equation 5).



111 LO<sup>•</sup> radicals are much more reactive than LOO<sup>•</sup> by several orders of magnitude. This  
112 is the reason for the very rapid oxidation that takes place in the second stages of  
113 oxidation after a very slow oxidation in the induction period. This radical suffers a  
114 cascade of reactions including: hydrogen abstraction to continue the free radical chain at  
115 the same time that they are converted into alcohols; internal rearrangements to produce  
116 epoxides; addition to double bonds to produce polymerization; and scissions to produce  
117 a mixture of carbonyl compounds (aldehydes, ketones, keto-acids), fatty acids, alcohols,  
118 alkanes, and alkenes.

119 The favored pathways in this cascade of reactions are determined by the reaction  
120 conditions, the solvent, and the lipid concentration and conformation. In any case, it  
121 produces a complex mixture of products, some of which are stable but others are able to  
122 react with the surrounding food components, therefore broadcasting the oxidative  
123 damage from lipids to all kind of molecules. Among the different produced reactions,  
124 carbonyl-amine reactions are particularly important because they have been shown to  
125 produce important changes in foods with both positive and negative consequences  
126 (Hidalgo & Zamora, 2004; Zamora & Hidalgo, 2008; Zamora & Hidalgo, 2015). A  
127 detailed description of these reactions is out of the scope of this review and they have  
128 been described somewhere else (Hidalgo & Zamora, 2016; Zamora & Hidalgo, 2005).

### 129 **3. The chelating ability of phenolic compounds**

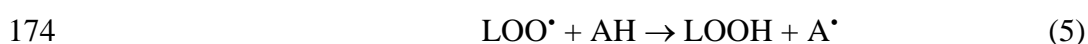
130 The first function of phenolic compounds as inhibitors of lipid oxidation is to chelate  
131 or to form complexes with the transition metal catalysts responsible for the initiation of  
132 lipid degradations. Many phenolics have a strong capacity for binding ferric ions due to  
133 the presence of iron-binding motifs (Kohkhar & Owusu Apenten, 2003). Fig. 1 shows  
134 the chemical structure of two major flavonoids: catechin and quercetin. The molecular  
135 structure of flavonoids consist of a benzopyran (rings A and C) and a phenyl ring (ring  
136 B), which have a hydroxylation pattern that is characteristic for each flavonoid. Their  
137 chelating ability depends on this hydroxylation pattern because flavonoids have a  
138 tendency to serve as hydrogen donors, which contributes to the formation of metal  
139 coordination complexes with good stability (Mira, Fernandez, Santos, Rocha, Florencio  
140 & Jennings, 2002). In addition, the structure of the complex formed depends on a  
141 number of factors, including the coordination number and oxidation state of the metal  
142 ion, the number and proximity of electron donors in the flavonoid, and the chelating  
143 conditions such as temperature and pH (Selvaraj, Krishnaswamy, Devashya,  
144 Sethuraman, & Krishnam, 2013). Although other complexes can also be produced,  
145 when the carbonyl group is available, commonly the metal ion complexes are  
146 preferentially formed between the keto group in the C-4 and the hydroxyl group in C-5,  
147 resulting in either 1:1 or 1:2 metal-flavonoid complexes. In the case of catechin, where  
148 the carbonyl group is absent, its ability to chelate cupric ions has been attributed to the  
149 presence of the catechol group in the B-ring (Mira, Fernandez, Santos, Rocha, Florencio  
150 & Jennings, 2002). These last hydroxyl groups have also been implied in the formation  
151 of 2:1 complexes between cupric ions and quercetin (Bukhari, Memon, Mahroof-Tahir,  
152 & Bhangar, 2009).

153 The complexation of the metal ions produces a special spatial orientation in the  
154 flavonoid, which has been related to the pharmacological activity described for these  
155 complexes. The biological activities described for flavonoid-metal ion complexes  
156 include anti-inflammatory, anti-bacterial, anti-diabetic, anti-tumor, and antioxidant  
157 activities both *in vitro* and *in vivo* (Selvaraj, Krishnaswamy, Devashya, Sethuraman, &  
158 Krishnam, 2013).

159 On the other hand, combinations of antioxidants and metal ions generate reactive  
160 oxygen species under *in vitro* conditions as shown by using electron spin resonance  
161 (ESR) (Iwasaki, Oda, Tsukuda, Nagamori, Nakazawa, Ito, & Saito, 2014). Furthermore,  
162 phenolics can reduce metal ions and result in increased oxidation (Kondakçi, Özyürek,  
163 Güçlii, & Apak, 2013; Nkhili, Loonis, Mihai, El Hajji, & Dangles, 2014).

#### 164 **4. The free radical-scavenging ability of phenolic compounds**

165 Phenolic compounds also delay the lipid oxidation process by scavenging the free  
166 radicals that either initiate the lipid oxidation or take part in the propagation of the free  
167 radical chain. This is consequence of their well-known ability of scavenging a wide  
168 range of both reactive oxygen species (ROS) and reactive nitrogen species (RNS) such  
169 as superoxide, hydroxyl, peroxy, and alkoxy radicals, and peroxy and  
170 hypochlorous acids (Maqsood, Benjakul, Abushelaibi, & Alam, 2014). The reaction is  
171 produced by donation of a hydrogen atom to the radical at the same time that the  
172 phenolic compound is converted into a phenolic free radical according to equation 6  
173 (AH is the phenolic compound).





175 Differently to lipid radicals and other ROS and RNS, the produced phenolic radicals  
176 do not continue the free radical chain because this new radical is stabilized by electron  
177 delocalization throughout the phenolic ring(s).

178 The free radical-scavenging potential of phenolic compounds depends on the pattern  
179 (both number and location) of free hydroxyl groups in the flavonoid skeleton. This  
180 distribution seems to be more important than the flavanoid backbone alone. The  
181 hydroxyl configuration in the B-ring seems to be the most significant determinant of  
182 scavenging of both ROS and RNS (Heim, Tagliaferro, & Bobilya, 2002). When the  
183 catechol group is present at B-ring, a fairly stable *ortho*-semiquinone radical is formed  
184 that facilitates electron delocalization (Moya, Paya, Rios, & Alcaraz, 1990). Flavonoids  
185 lacking catechol or *o*-trihydroxyl (pyrogallol) systems form relatively unstable radicals  
186 and are weak scavengers (Pannala, Chan, O'Brien, & Rice-Evans, 2001).

187 The significance of other hydroxyl configurations is less clear, although the presence  
188 of a hydroxyl group at position 3 seems to increase antioxidant activity (flavonoid  
189 carbon numbering is shown in Fig. 1). This might be a consequence of the torsion angle  
190 of the B-ring with respect to the rest of the molecule. Flavonols and flavanols with a 3-  
191 OH are planar because of a hydrogen bond with the hydroxyl groups of B-ring, while  
192 the flavones and flavanones, lacking this feature, are slightly twisted (Acker, de Croot,  
193 van der Berg, Tromp, den Kelder, van der Vijgh, & Bast, 1996). Planarity permits  
194 conjugation, electron dislocation, and the corresponding increase in flavonoid phenoxyl  
195 radical stability.

196 Compared to the B-ring hydroxylation pattern, the impact of the A-ring arrangement  
197 on antioxidant activity is of questionable significance (Heim, Tagliaferro, & Bobilya,

198 2002). Furthermore, the closed C-ring does not seem to be critical to the activity of  
199 flavonoids because chalcones are active antioxidants (Mathiesen, Malterud, & Sund,  
200 1997).

## 201 **5. The carbonyl-scavenging ability of phenolic compounds**

202 When lipids are oxidized in the presence of other food components (e. g., proteins,  
203 antioxidants, etc.), oxidative reactions can be terminated by reactions with compounds  
204 other than those originating from oxidation of the lipid substrate. These last reactions  
205 influence reaction rates and produce significant consequences in the color, flavor, and  
206 texture of foods (Hidalgo & Zamora, 2000). Particularly, the carbonyl-amine reactions  
207 initiated by lipid oxidation products has long been related to both the Maillard-like  
208 reactions observed in many fatty foods during processing and storage (Hidalgo &  
209 Zamora, 2016; Zamora & Hidalgo, 2005; Zamora & Hidalgo, 2015) and the progressive  
210 accumulation of age-related yellow-brown pigments (lipofuscins) in man and animals  
211 (Dazhong, 2015; Hidalgo & Zamora, 1993; Yin, 1996). Recent investigations also show  
212 that phenolic compounds are also playing a role in these reactions.

213 To determine that the inhibition produced by phenolic compounds is related to  
214 carbonyl trapping and not to free radical scavenging, it is essential to select a reaction  
215 produced as a consequence of carbonyl chemistry. A reaction very appropriated in this  
216 sense is the formation of the heterocyclic aromatic amine 2-amino-1-methyl-6-  
217 phenylimidazo[4,5-*b*]pyridine (PhIP). PhP is formed in several steps, which are  
218 schematically shown in Fig. 2. A more detailed description of the reaction mechanism  
219 can be found in Zamora & Hidalgo (2015). The first step is the Strecker degradation of  
220 phenylalanine to produce phenylacetaldehyde, a step that does not need the presence of  
221 creati(ni)ne but it is facilitated in the presence of reactive carbonyl compounds derived

222 from carbohydrates (Rizzi, 2008; Yaylayan, 2003), lipids (Hidalgo & Zamora, 2004),  
223 amino acids (Hidalgo, Alcon, & Zamora, 2013), or polyphenols (Delgado, Zamora, &  
224 Hidalgo, 2015; Rizzi, 2006). The next step is the reaction of the formed  
225 phenylacetaldehyde with creati(ni)ne to produce the corresponding adduct (Zöchling &  
226 Murkovic, 2002), which has the basic structure of PhIP but it still needs the finishing of  
227 the pyridine ring with the inclusion of one carbon and one nitrogen atom. These atoms  
228 are finally incorporated by the formaldehyde and ammonia produced in the thermal  
229 decomposition of phenylalanine, phenylacetaldehyde and/or creati(ni)ne, or other food  
230 components (Zamora, Alcón & Hidalgo, 2014).

231 PhIP formation has been long known to be inhibited by phenolic compounds (Cheng,  
232 Chen, and Wang, 2007; Persson, Graziani, Ferracane, Fogliano, & Skog, 2003), but the  
233 inhibition of PhIP formation did not correlate with the antioxidant/free radical-  
234 scavenging capacity of the phenolic compounds responsible for its inhibition (Cheng,  
235 Chen, and Wang, 2007; Damasius, Venskutonis, Ferracane, & Fogliano, 2011). As  
236 observed in the above reaction mechanism, the reason for that is that there are not free  
237 radicals implied in PhIP formation. Therefore, the inhibition has to be produced by  
238 trapping of the carbonyl compounds involved in the formation of PhIP  
239 (phenylacetaldehyde, formaldehyde, and the carbonyl compounds responsible for  
240 phenylacetaldehyde formation) (Zamora & Hidalgo, 2015).

#### 241 *5.1. The carbonyl compounds scavenged by phenolic compounds*

242 The carbonyl scavenging ability of phenolic compounds has been long known  
243 (Totlani & Peterson, 2005; Peng, Cheng, Ma, Chen, Ho, Lo, Chen, & Wang, 2008; Lo,  
244 Hsiao, & Chen, 2011), but it has been more recently when this ability, and not the free

245 radical scavenging, has been related to the protective effect of polyphenols in some  
246 biological processes such as the inhibition of the formation of advanced glycation end-  
247 products (AGEs) in the course of Maillard reaction (Peng, Ma, Chen, & Wang, 2011)  
248 and the control of Maillard-type off-flavor development in processed milk (Kokkinidou  
249 & Peterson, 2014). The reactive carbonyls mostly studied in this sense have been  
250 glyoxal and methylglyoxal (Lo, Li, Tan, Pan, Sang, & Ho, 2006; Sang, Shao, Bai, Lo,  
251 Yang, & Ho, 2007; Shao, Bai, He, Ho, Yang, & Sang, 2008; Liu & Gu, 2012; Navarro,  
252 Fiore, Fogliano, & Morales, 2015; Navarro, & Morales, 2015; Yoon, & Shim, 2015). In  
253 addition, the reaction between phenylacetaldehyde and phenols has also been studied,  
254 mostly in relation to the inhibition of 2-amino-1-methyl-6-phenylimidazo[4,5-  
255 b]pyridine (PhIP) formation exhibited by polyphenols (Cheng, Wong, Cho, Chu, Sze,  
256 Lo, Chen, & Wang, 2008; Cheng, Wong, Chao, Lo, Chen, Chu, Che, Ho, & Wang,  
257 2009; Delgado, Hidalgo, & Zamora, 2016).

258 Although lesser studied than the highly reactive short dicarbonyl compounds glyoxal  
259 and methylglyoxal, lipid-derived reactive carbonyls have also been shown to be  
260 scavenged by phenolic compounds. The studied compounds have been alkanals  
261 (acetaldehyde and propanal), alkadienals (malondialdehyde), alkenals (acrolein, 2-  
262 pentenal, 2-octenal), and 4-hydroxy-2-nonenal (Zhu, Zheng, Cheng, Wu, Zhang, Tang,  
263 Sze, Chen, Chen, & Wang, 2009; Zhu, Liang, Cheng, Peng, Lo, Shahidi, Chen, Ho, &  
264 Wang, 2009; Hidalgo & Zamora, 2014; Delgado, Hidalgo, & Zamora, 2016), although  
265 unequivocal structures for the produced compounds were not always described.

266 *5.2. Structure-activity of phenolic compounds for their carbonyl-scavenging ability*

267 Analogously to the observed for the chelating and free radical-scavenging activities,  
268 the carbonyl trapping potential of phenolic compounds depends on the pattern (both  
269 number and location) of free hydroxyl groups. In a study analyzing the structure/activity  
270 relationship of phenolic compounds for the inhibition of PhIP formation, Salazar,  
271 Arambula-Villa, Hidalgo & Zamora (2014) found that phenols having two hydroxyl  
272 groups at *meta* positions of the aromatic ring were the most efficient inhibitors. The  
273 reason for that is that these isomers concentrate a high electronic density in some  
274 carbons and this electronic density is needed for the reaction with carbonyl compounds.  
275 The reaction is produced by addition of a phenolic carbon (or a hydroxyl group) to a  
276 carbon with a low electronic density in the carbonyl compound. Therefore, any  
277 substituent or substitution pattern that favors electron delocalization will produce a  
278 decrease in the carbonyl scavenging ability of phenolic compounds. This requirement is  
279 the contrary to that for a high free-radical scavenging activity in which a pattern that  
280 delocalizes the free electron is needed.

281 The positions with the highest electronic densities are the carbons at the  $\alpha$ -position to  
282 the carbons with the hydroxyl group in the phenolic ring when there are two hydroxyl  
283 groups in *meta* positions. Thus, for the resorcinol shown in Fig. 3, the carbons with the  
284 highest electronic density are those at positions 2, 4, and 6. Analogously, the carbons  
285 with the highest electronic density in the quercetin (Fig. 3) are those at positions 6 and  
286 8. However, not all these carbons have the same reactivity, although they have an  
287 analogous electronic density, because of steric hindrance. Thus, the most reactive  
288 carbons for the resorcinol shown in Fig. 3 are those at positions 4 and 6 (Hidalgo &  
289 Zamora, 2014), and the most reactive carbon for quercetin is the carbon at position 8  
290 (Zamora, Aguilar, Granvogl, & Hidalgo, unpublished results).

291 In addition to the reactivity of the phenol, the positive charge in the carbonyl  
292 compound is also important and the most activated carbonyl compounds, such as  
293 glyoxal or methylglyoxal, can also react with less active carbons of the phenolic  
294 compound. The reactivity will be determined not only by the positive charge of the  
295 carbonyl carbon but also by the existence of either a delocalized system or steric  
296 hindrance. Thus, for example, the reaction of methylglyoxal involves the carbonyl  
297 carbon that is not linked to the methyl group (Sang, Shao, Bai, Lo, Yang, & Ho, 2007).  
298 In the case of 2-alkenals, the reaction begins with an addition to the carbon-carbon  
299 double bond (Hidalgo & Zamora, 2014).

### 300 *5.3. Chemical structures of the carbonyl-phenol adducts produced*

301 The structure of the adduct produced depends on the carbonyl compound implied.  
302 Single carbonyls suffer the addition of the phenolic compound and the corresponding  
303 alcohol is produced (Fig. 3). Depending on the reaction conditions and both the  
304 carbonyl and the phenolic compound involved, the formed adduct can suffer then a  
305 dehydration reaction to produce a more stable conjugated compound. An example of  
306 this dehydration has been observed in the reaction of phenylacetaldehyde with different  
307 flavonoids (Cheng, Wong, Cho, Chu, Sze, Lo, Chen, & Wang, 2008; Cheng, Wong,  
308 Chao, Lo, Chen, Chu, Che, Ho, & Wang, 2009). Figure 4 shows the structure of two  
309 adducts obtained in the reaction of phenylacetaldehyde with naringenin and  
310 norartocarpetin.

311 The reaction of phenolic compounds with 2-alkenals is more complex because both  
312 the carbonyl group and the conjugated carbon-carbon double bond are involved. In  
313 addition, the initial adducts produced suffer then a cyclization reaction to produce a

314 more stable heterocyclic structure (Hidalgo & Zamora, 2014). The produced reaction is  
315 shown in Fig. 5. Differently to the reaction with saturated aldehydes, the products of the  
316 addition of both the CH and the OH groups of the phenol to the carbonyl compound  
317 have been described. The adduct produced by the addition of the CH group to the  
318 aldehyde is relatively stable after formation of a hemiacetal structure. However, the  
319 adduct produced by the addition of the OH group to the aldehyde is unstable. In a first  
320 step, it produces a dehydrated compound, which has been isolated and characterized for  
321 a significant number of phenolic compounds (Hidalgo & Zamora, 2014). However, this  
322 first adduct has been shown to suffer further polymerizations which might also be  
323 related to the browning development in these reactions.

324 The reaction pathways responsible for the carbonyl scavenging of more complex  
325 lipid oxidation products remain to be elucidated because of the complexity of reaction  
326 mixtures. Thus, for example, in the reaction with 2,4-alkadienals, in addition to minor  
327 2,4-alkadienal/phenol adducts, the formation of 2,4-alkadienal decomposition products  
328 and the adducts of these last compounds with the phenolic compounds have been  
329 observed (Hidalgo & Zamora, unpublished results). To this respect, the thermal  
330 degradation of lipid-derived aldehydes has been the objective of a recent study (Zamora,  
331 Navarro, Aguilar, & Hidalgo, 2015).

#### 332 *5.4. The beneficial effects of the produced carbonyl-phenol adducts*

333 Analogously to the therapeutic uses of phenol-metal chelates described above, a  
334 couple of studies regarding to the potential health benefits of carbonyl-phenol adducts  
335 have recently appeared. Both studies analyzed the chemopreventive potential of the  
336 adducts formed between phenylacetaldehyde and flavonoids. In the first study, Li, Zhu,

337 Chen, Cheng, Zykova, Oi, Lubet, Bode, Wang, & Dong (2014) identified the adduct  
338 between naringenin and phenylacetaldehyde [(*E*)-5,7-dihydroxy-2-(4-hydroxyphenyl)-  
339 6-styrylchroman-4-one, Fig. 4] as a selective inhibitor of cyclooxygenase-1. This  
340 inhibition allowed that this compound effectively suppressed colorectal cancer growth  
341 in a 28-day colon cancer xenograft model without any obvious systemic toxicity. The  
342 inhibitory effect of the adduct has been related to the binding of the adduct to the  
343 cyclooxygenase-1 active site by forming three hydrogen bonds with Tyr355, Phe518,  
344 and Ser530.

345 In the second study, Zheng, Yan, Xia, Zhang, Wang, Chen, & Xu (2016) found that  
346 the adduct between phenylacetaldehyde and norartocarpetin [(*E*)-2-(2,4-  
347 dihydroxyphenyl)-5,7-dihydroxy-8-styryl-4*H*-chromen-4-one, Fig. 4] significantly  
348 induced cancer cell death on three liver cancer cell lines HepG2, SMMC-7721 and  
349 QGY-7703. Authors concluded that the adduct had anticancer potential via intrinsic  
350 caspase-dependent and cell context-dependent MAPKs pathways.

## 351 **6. The triple defensive barrier of phenolic compounds against the lipid oxidation-** 352 **induced damage in food products**

353 As described in section 2, the lipid oxidation pathway is a source of both free  
354 radicals and carbonyl compounds. It is schematically shown in Fig. 6. The lipid  
355 oxidation pathway is initiated by the abstraction of a proton in the lipid and the  
356 formation of the corresponding alkyl radical. This lipid radical enters in a free radical-  
357 propagation circle that finishes with the formation of the lipid hydroperoxide (LOOH).  
358 However, this is not a stable molecule, and it can be cleaved to produce alkoxy and  
359 hydroxyl radicals. The free radical termination reactions are the conversion of alkoxy  
360 radicals into stable molecules through three types of reactions: stabilization reactions to



361 produce compounds of analogous molecular weights to the original lipid; scission  
362 reactions to produce molecules of a molecular weight lower than the original lipid; and  
363 polymerization reactions to produce polymers of higher molecular weights than the  
364 initial lipid. Scission reactions include the formation of short chain volatile products  
365 with a high importance in the development of off-flavors as well as toxicity in foods  
366 (Guillen & Uriarte, 2012).

367 Although this is the end of the free radical part of the lipid oxidation process, their  
368 consequences are broadcasted because of the produced compounds. Some of them, in  
369 particular carbonyl compounds, are highly reactive and the consequences of the  
370 oxidation continue. These compounds react with amino compounds producing  
371 nonenzymatic browning and the formation of advanced lipoxidation end-products. The  
372 initial product formed between the carbonyl compound and the amino compound is the  
373 corresponding imine which can suffer again three kinds of reactions: stabilization  
374 reactions to produce the corresponding carbonyl-amine adducts of a molecular weight  
375 similar to the addition of the molecular weights of the reagents; scission reactions to  
376 produce volatile compounds; and polymerization reactions to produce the polymers  
377 responsible for the browning formation in these reactions. Different to the volatile  
378 compounds formed in the cleavage of alkoxy radicals which have been related to rancid  
379 flavors, the flavors produced by carbonyl-amine reactions are usually positive in many  
380 foods and include, for example, the formation of Strecker aldehydes (Hidalgo &  
381 Zamora, 2004).

382 As discussed above, phenolic compounds take part in the described lipid oxidation  
383 pathways at different steps, providing a triple barrier against the lipid oxidation and its  
384 consequences. As shown in Fig. 6, the first barrier is the chelation of the transition

385 metals responsible for the initiation step. This would avoid the formation of the first  
386 radicals, which are essential for initiation of the process. Furthermore, the formation of  
387 phenol-metal chelates might have positive consequences because of the healthy  
388 properties described for these compounds, although some prooxidant effects have also  
389 been observed.

390 If free radicals are produced, phenolic compounds are also able to react with these  
391 radicals avoiding the propagation of the reaction. This free radical-scavenging ability  
392 would constitute the second defensive barrier of these compounds. As indicated in Fig.  
393 6, phenols can react with many free radicals produced in the course of lipid oxidation  
394 pathway, producing in all cases the conversion of the lipid free radical into a lipid  
395 oxidation product and the breakage of the free radical chain.

396 Finally, phenolic compounds can also react with the carbonyl compounds produced  
397 in the lipid oxidation pathway, avoiding in that way the reaction of these carbonyl  
398 compounds with the surrounding amino compounds. This is the third protective barrier  
399 of phenolic compounds. This is a chemical reaction that produces in a first place the  
400 corresponding addition product that is later either stabilized to produce the  
401 corresponding carbonyl-phenol adduct or it can suffer a polymerization to produce  
402 brown lipid-phenol polymers. In addition, the formation of some carbonyl-phenol  
403 adducts might have positive consequences for the healthy properties of these  
404 compounds.

405 As observed in Fig. 6, several kinds of polymers can be produced during the lipid  
406 oxidation pathway when it takes place in the presence of amino compounds and  
407 phenols. In addition to the lipid-derived polymers, lipid-amine polymers, and lipid-

408 phenol polymers indicated in the figure, the formation of mixed lipid-amine-phenol  
409 polymers is also likely to be produced because of the presence carbonyl compounds in  
410 the firstly produced polymers might induce further reactions with both amino  
411 compounds and phenols.

## 412 **7. Different parts in the flavonoid structure are responsible for the different** 413 **protective functions**

414 As discussed above, each protective effect has specific structural requirements, and  
415 these requirements are different for the different protective functions. This can be  
416 observed in Fig. 7, in which four flavones with different hydroxylation pattern are  
417 shown. When the carbonyl group is available, the metal ion complexes are preferentially  
418 formed between the keto group in the C-4 and the hydroxyl group in C-5. An ellipse  
419 between these two groups in the figure indicates the part of the molecule mainly  
420 responsible for its chelating activity. This activity should be present in the four selected  
421 flavones because the keto group in the C-4 and the hydroxyl group in C-5 are present in  
422 all of them.

423 The free-radical scavenging activity of flavonoids depends on the presence of  
424 catechol or *o*-trihydroxyl (pyrogallol) systems, because if these systems are not present,  
425 the flavonoids form relatively unstable radicals and are weak scavengers (Pannala,  
426 Chan, O'Brien, & Rice-Evans, 2001). For that reason, when Cai, Sun, Xing, Luo, and  
427 Corke (2006) studied the free-radical scavenging activity of the four flavones collected  
428 in Fig. 7, only luteolin and baicalein exhibited activity. These two compounds are the  
429 only compounds among those included in the figure that have catechol or *o*-trihydroxyl  
430 (pyrogallol) systems. The active free radical-scavenging system has also been marked in  
431 Fig. 7.

432 Finally, the carbonyl-scavenging activity needs the presence of phenolic carbons  
433 with a high electronic density. It occurs in luteolin, apigenin, chrysin and, to a lower  
434 extent, also in baicalein. The active carbonyl-scavenging region has been marked in Fig.  
435 7.

436 All regions marked in Fig. 7 correspond to the most active regions for that protective  
437 effect. However, other regions can also play a role under determined circumstances. For  
438 example, a highly reactive carbonyl compound will react with a less reactive CH group  
439 if these groups are available and the most reactive are not.

440 As observed in Fig. 7, not all phenolics have all the protective functions.  
441 Furthermore, depending on the distribution of functional groups, some of them will be  
442 more efficient than others for the function(s) they have. Therefore, there will be, at least,  
443 seven groups of phenolics as a function of its activity: chelating agents, free radical  
444 scavengers, carbonyl scavengers, chelating and free radical scavengers, chelating and  
445 carbonyl scavengers, free radical and carbonyl scavengers, and chelating and free  
446 radical and carbonyl scavengers. A distribution of phenolic compounds among these  
447 groups remains to be carried out. However, the rules for structure-activity discussed  
448 above can provide a first approach for the expected activities of a specific compound.

## 449 **8. Consequences of the different protective functions of phenolic compounds**

450 Phenolics are frequently considered as a heterogeneous group of compounds having  
451 a protective function because of their antioxidative activity. However, the studies  
452 collected in this review show that both they do not have one function but three and they  
453 are not one group of compounds but several groups if the functions they can play are  
454 taken into account. Therefore, not all phenols are expected to be adequate to control a

455 determined process and, consequently, phenols should be selected as a function of the  
456 kind of process that should be controlled. For example, PhIP formation in foods is a  
457 serious concern because it produces colon, prostate, and mammary gland tumors in  
458 rodent (Alaejos, Pino, & Afonso, 2008; Cheung, Loy, Li, Liu, & Yang, 2011;  
459 Choudhary, Sood, Donnell, & Wang, 2012) and it is considered as possibly  
460 carcinogenic to humans (IARC, 1993). As described above (Fig. 2), PhIP is a product of  
461 carbonyl chemistry in foods. Therefore, PhIP formation will be inhibited by phenolic  
462 compounds having a potent carbonyl scavenger function such as naringenin, as shown  
463 experimentally (Cheng, Wong, Cho, Chu, Sze, H., Lo, Chen, & Wang, 2008). For that  
464 reason, the use of carbonyl-scavenging phenols in marinating and meat processing  
465 industries may be an attractive way to add value to meat products by minimizing the  
466 risk of exposure to PhIP and improving nutritional characteristics of foods in which  
467 antioxidants may be used in their formulation (Cheng, Wu, Zheng, Peng, Simon, Chen,  
468 & Wang, 2007; Gibis & Weiss, 2012; Salazar, Arambula-Villa, Hidalgo, & Zamora,  
469 2014). Nevertheless, this conclusion is only valid for PhIP because it is produced as a  
470 consequence of carbonyl chemistry. If free radicals are involved, the use of phenols  
471 with free radical scavenging activities should be required.

472 The different mechanisms involved in the protective action of phenolic compounds  
473 are also likely related to the difficulty of determining the antioxidative activities of these  
474 compounds. Phenolic activities are usually measured by several methods that usually  
475 produce results that are not always coincident. This might be a consequence of the  
476 different protective effects that are present simultaneously in the phenolic compound  
477 and that can have either overlapping or opposing effects depending on the assayed  
478 method. For example, if lipid oxidation products are determined, a phenol with only

479 carbonyl-scavenging abilities will suppress lipid oxidation products but it will not avoid  
480 the formation of free radicals.

481 A practical example of the different functions of phenolic compounds and the  
482 consequences on their properties can be observed in the differences between green and  
483 black tea (Gramza & Korczak, 2005). Green tea leaves are rich in catechins (its  
484 structure is shown in Fig. 1), which are expected to be good free radical and carbonyl  
485 scavengers. During fermentation for production of black tea, catechins are oxidized and  
486 converted into theaflavins, among other polymeric phenols (Vermeer, Mulder, &  
487 Molhuizen, 2008). Theaflavin (structure shown in Fig. 1) still has one *o*-diphenol  
488 system, but this system has been diluted in a bigger molecule. On the other hand, a  
489 carbonyl group has appeared and it still has two *m*-diphenol systems with a high  
490 carbonyl-scavenging potential. For that reason, theaflavin show metal chelating abilities  
491 but it is less free radical-scavenger than catechins (Gramza & Korczak, 2005). These  
492 changes might be related to the different health benefits of both kinds of tea. Thus,  
493 black tea consumption was inversely associated with Parkinson's disease risk, and green  
494 tea drinking was unrelated to Parkinson's disease risk (Tan, Koh, Yuan, Wang, Au, Tan,  
495 Tan, & Yu, 2008). On the contrary, a meta-analysis has shown that black tea does not  
496 have any protective role against coronary artery disease, but a tentative association of  
497 green tea consumption with a reduced risk of coronary artery disease was found (Wang,  
498 2010). The reason of why a decrease in free radical scavenging activity and an increase  
499 in chelating and, perhaps, carbonyl-scavenging activities might affect differently to  
500 different diseases remains to be clarified, supposing that this change in phenol  
501 composition is one of the reasons for the different behavior of both kinds of tea.

## 502 **9. Future research needs**

503 Different to the free-radical scavenging ability of phenolic compounds, which has  
504 been objective of many studies, the role of carbonyl-scavenging ability of phenolic  
505 compounds for minimizing the consequences of either lipid oxidation or carbonyl  
506 chemistry in both foods and living beings has not been known until very recently.  
507 Therefore many questions still arise: how is the reactivity of the most toxic lipid  
508 oxidation products such as epoxyalkenals and oxoalkenals with phenolic compounds?  
509 Do only carbonyl compounds react with phenolic compounds or other lipid oxidation  
510 products such as epoxides are also able to react? Is there a relationship between the  
511 chain length of the lipid oxidation product and its reactivity with phenolic compounds?  
512 Is the structure of the lipid oxidation product important for determining which kind of  
513 phenolic compound will be the most appropriate for its elimination? How much amount  
514 of phenolic compounds will be needed to decrease the negative sensory impact of  
515 sensory-relevant lipid-derived reactive carbonyls?

516 After reaction with the lipid oxidation products, the free radical-scavenging part of  
517 the phenolic compound still remains intact: will the carbonyl-phenol adducts more or  
518 less free radical scavengers than the original phenols? Is it better to use two phenols  
519 with different activities (one being a free radical scavenger and the other being a  
520 carbonyl scavenger) or one phenol having the two functions? To this respect it has been  
521 shown the important role of the physical location of the phenolic compound on its  
522 efficacy against lipid oxidation (Iglesias, Pazos, Lois, & Medina, 2010).

523 The formation of carbonyl-phenol adducts imply the introduction of an aliphatic  
524 chain in the phenol which might convert these compounds into more lipophilic  
525 compounds: will these new compounds, at present considered emerging antioxidants

526 with an enormous potential (Liu, Jin, and Zhang, 2014), be produced naturally by the  
527 carbonyl-scavenging action of phenolic compounds?

528 Biological function of dietary phenolics is still poorly understood. Thus, for example,  
529 epidemiological studies inversely correlate colorectal cancer incidence with the intake  
530 of fruits and vegetables but not with their phenolic content. In addition, although  
531 preclinical studies using in vitro cell lines and animal models have reported anticancer  
532 effects of some phenolic compounds, these conclusions have been poorly translated into  
533 clinical trials (Núñez-Sánchez, González-Sarrias, Romo-Vaquero, García-Villalba,  
534 Selma, Tomás-Barberán, García-Conesa, & Espín, 2015). The studies presented in this  
535 review suggest that the phenolic compounds have different functions and their role  
536 might be better understood if they are classified according to their functions. Therefore,  
537 the use of groups of phenols with similar functions in the place of total phenolic content  
538 in epidemiological studies might produce better understandable results. Furthermore,  
539 the biological effects described so far for phenol-metal chelates and carbonyl-phenol  
540 adducts might also be playing a role in all these effects.

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778

779 **Figure legends**

780 **Fig. 1.** Chemical structures of catechin, quercetin, and theaflavin. Carbon numbering  
781 and the letter usually employed to name the ring are indicated.

782 **Fig. 2.** PhIP formation from phenylacetaldehyde and creati(ni)ne.

783 **Fig. 3.** Alkanal addition to resorcinol and quercetin.

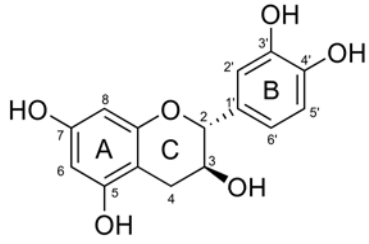
784 **Fig. 4.** Chemical structures of adducts formed between phenylacetaldehyde and either  
785 naringenin or norartocarpetin.

786 **Fig. 5.** Reaction of 2-alkenals with resorcinol.

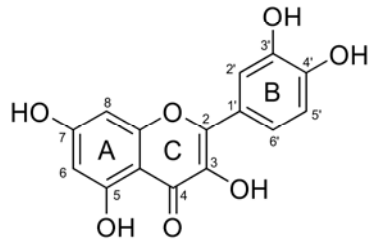
787 **Fig. 6.** The triple defensive barrier of phenolic compounds against the lipid oxidation  
788 damage in food products. LH, lipid; L<sup>•</sup>, lipid radical; LO<sup>•</sup>, lipid alkoxy radical;  
789 LOO<sup>•</sup>, lipid peroxy radical; LOOH, lipid hydroperoxide; <sup>•</sup>OH, hydroxyl radical.

790 **Fig. 7.** Presence of chelating, free radical-scavenging, and carbonyl-scavenging regions  
791 in selected flavonoids.

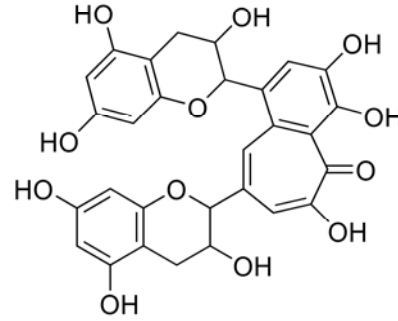
792



catechin



quercetin



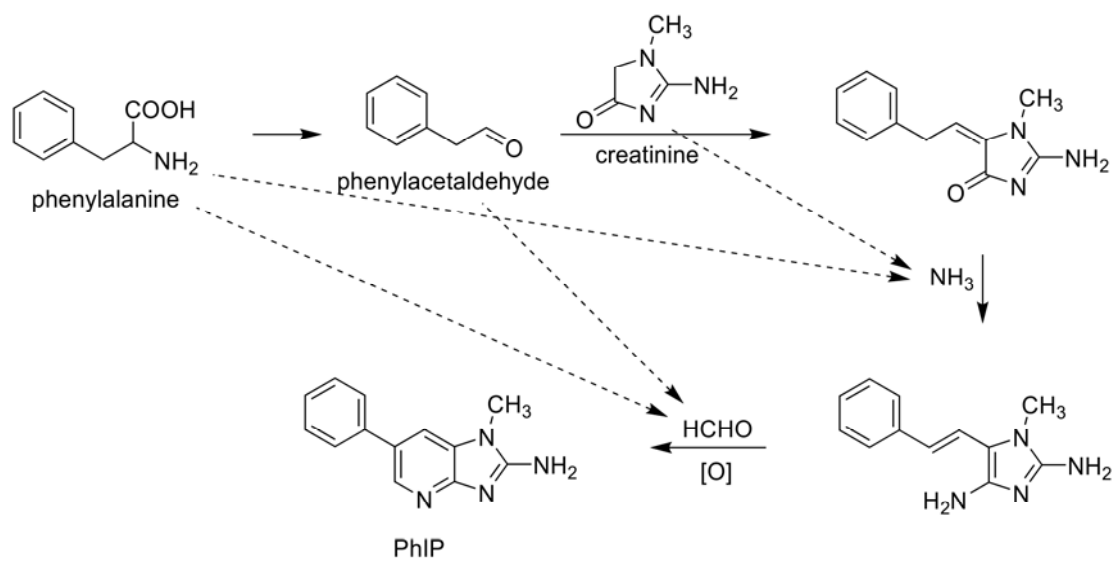
theaflavin

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**Figure 1**

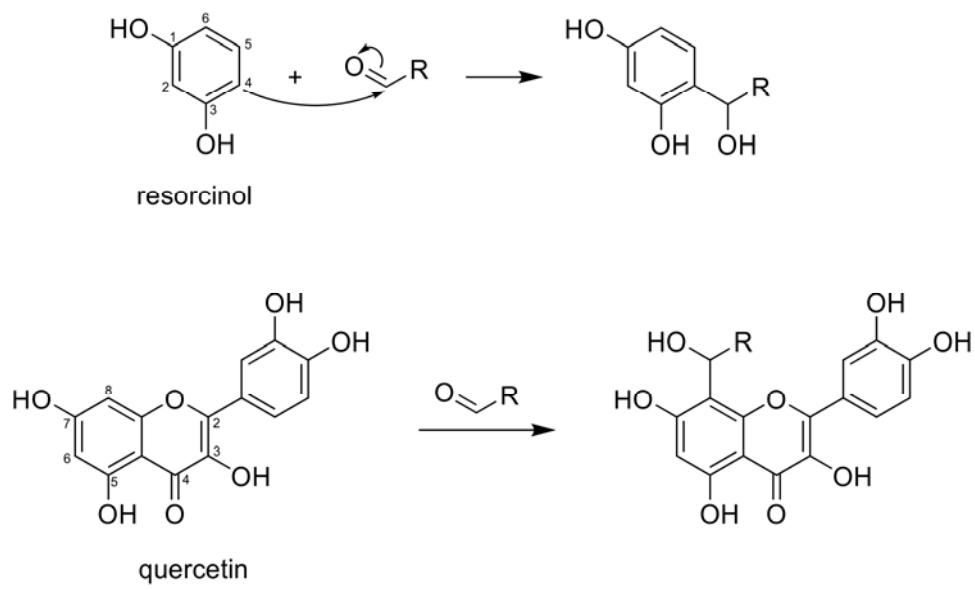


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**Figure 2**

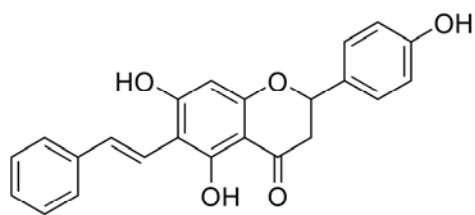


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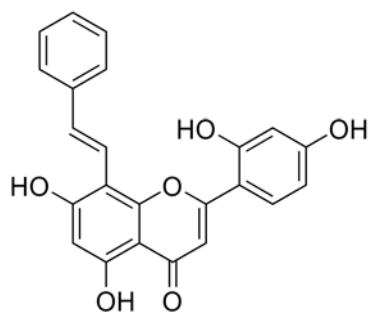
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**Figure 3**



(*E*)-5,7-dihydroxy-2-(4-hydroxyphenyl)-6-styrylchroman-4-one



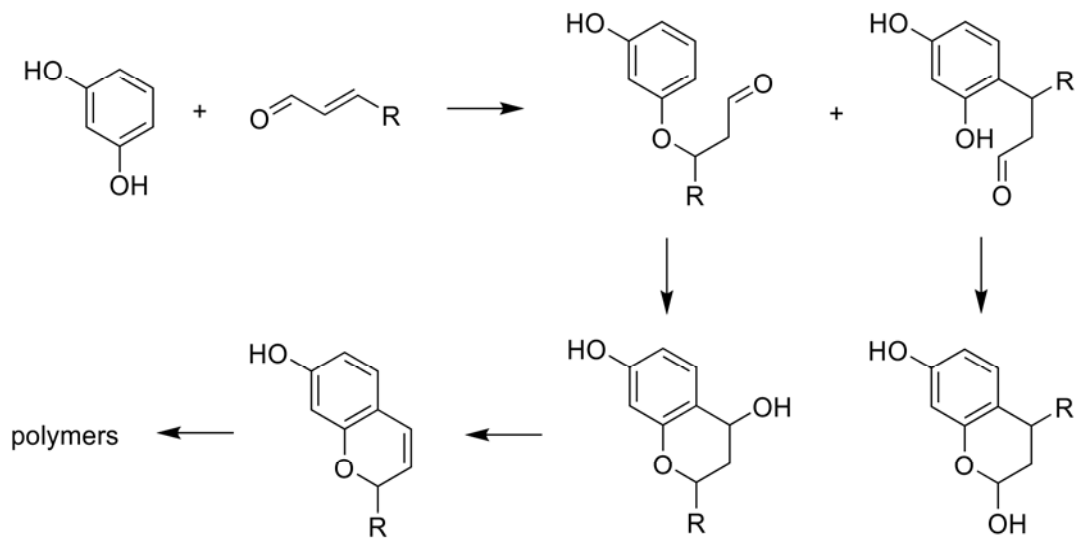
(*E*)-2-(2,4-dihydroxyphenyl)-5,7-dihydroxy-8-styryl-4*H*-chromen-4-one

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**Figure 4**



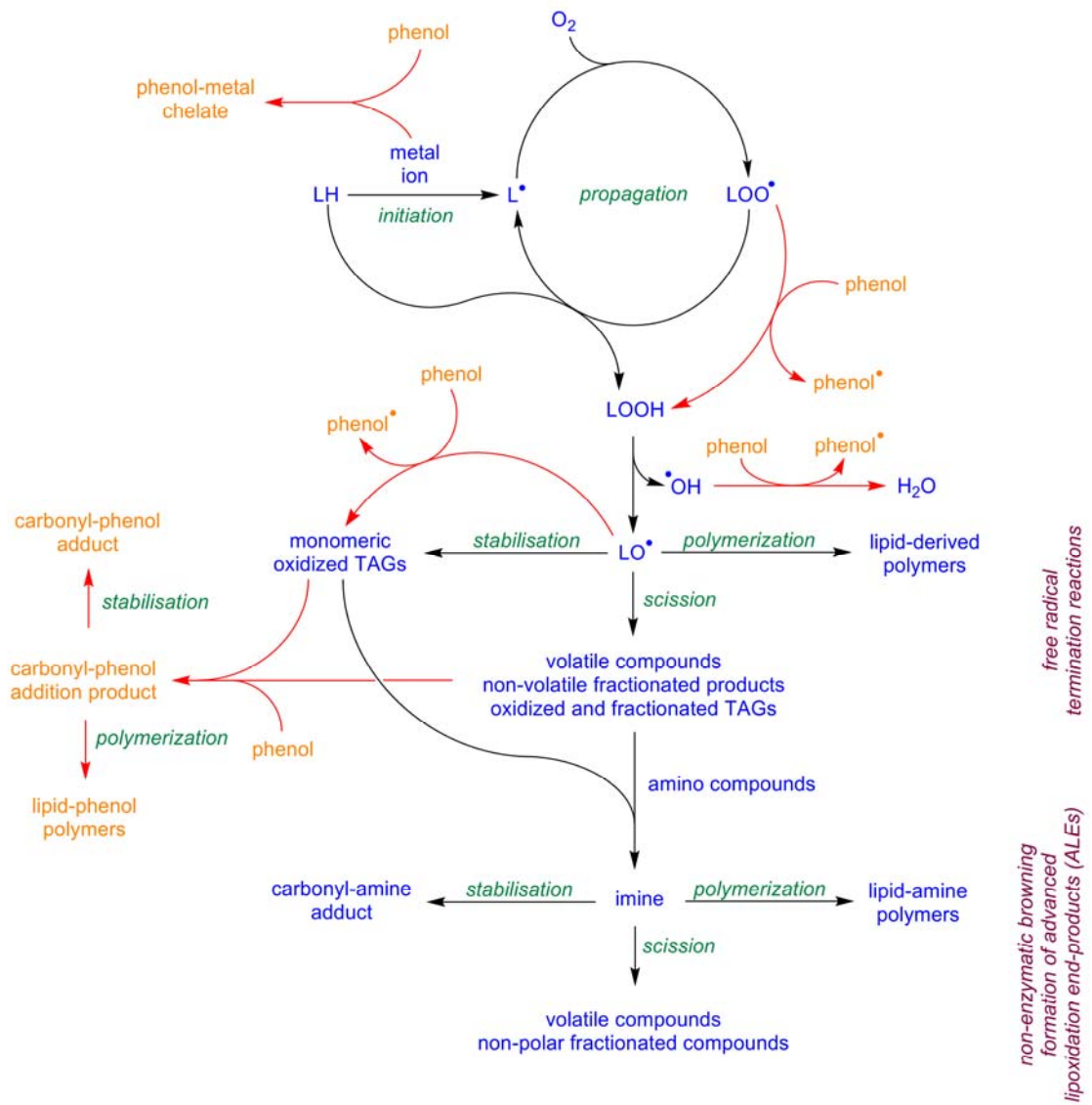
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**Figure 5**



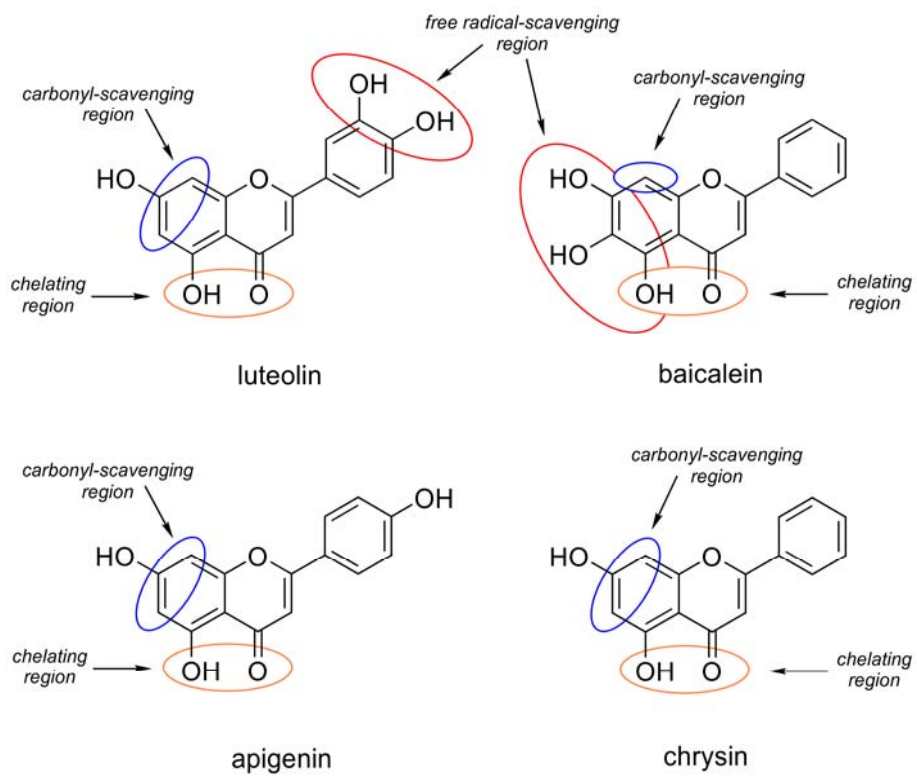


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**Figure 6**



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**Figure 7**