

Divergent responses of flagship, keystone and resource-limited bio-indicators to forest structure

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#### Abstract

Bio-indicators are often proposed to set conservation priorities in forest habitat owing to the difficulties of determining forest intrinsic ecological value. Here, we tested the efficacy of a number of potential bird indicator groups in monitoring beech Fagus sylvatica forest status by analyzing their associations with the spatial and structural variables of forest vegetation that indicate key ecological patterns and processes. The density of cavity nesting birds, indicating the presence of limited forest resources ("resource-limited indicators"), was influenced by tree species diversity, vertical species mingling and diameter, parameters reflecting maturity, gap-dynamic processes, as well as resource and shelter availability. Heterogeneity in shrub species composition, another parameter depending on forest dynamics, was positively associated with the occurrence of Capercaillie, a "flagship" species for forest conservation. The presence of woodpeckers, a "keystone" group that provides shelter and foraging substrate to other organisms, was positively affected by the basal area of standing dead trees that is indirectly associated with natural nutrient availability. These findings suggest that single indicators fail to provide a complete assessment of forest status, and their use in monitoring or managing forest ecosystem need to be contextualized to specific ecological patterns. The combined use of several indicators, representing various taxa, functions and life histories, appears to be preferable, and is logistically feasible if these can be surveyed together. Several indicators would likely display a wider range of sensitivities to the modification of natural processes and permit more comprehensive tracking of forest dynamics than single flagship, keystone or resource-limited indicators

Key-words: birds, bioindicators, Capercaillie, woodpeckers, tits, forest structure.

## Introduction

Biological indicators, or bioindicators, are organisms or groups of organisms whose characteristics, such as presence, density or reproductive performance, are used to monitor environmental changes when the complexity of a habitat prevents the appraisal of all its ecological attributes (Landres et al. 1988). Bioindicators have multiple applications in conservation biology and environmental policy. Some, the so called "keystone species", serve to track community-wide processes, for instance when they create the conditions for the presence of other species, others are associated with aspects of habitat quality and diversity (biodiversity indicators, or "umbrella species"). Species that do not meet these criteria, but have a "charismatic appeal", may contribute to attracting public interest and funds for conservation, or serve in educational programs ("flagship species"; Caro 2010), although their efficacy has been questioned in both instances (Andelman and Fagan, 2000; Genovart et al. 2013).

Among terrestrial habitats, forests have an exceptional intrinsic ecological value, as a reservoir of biodiversity, for playing a key role in nutrient cycling and gas emission reduction, and for protecting soil and water (Vitousek 1984; Waring and Schlesinger 1985). Forests are also one of the most endangered and complex habitat on the earth (Bowles et al. 1998) and, unsurprisingly, the creation and restoration of natural woodland has become a major objective of sustainable forestry throughout the world and especially Europe, where the declining trend is reverting (Schröter al. 2005). A wealth of indicators has been proposed to measure forest specific ecological conditions and has been used in management for decades. The conservation and ecological value of these indicators is however still debated (Noss 1999), owing to the complexity of the processes underlying the actual conservation status of forests, which is hardly

characterized by single bio-indicators (Carignan and Villard 2002). Moreover, although several studies have tested for the congruence and associations of different indicators across taxa (e.g. by comparing bird, insect or mammal indicators), few have analyzed the association of different indicators with aspects of ecosystem status (Lawton et al. 1998; Tremblay et al. 2007; Carrascal et al. 2012).

Among the most popular habitat quality indicators are forest birds (Bani et al. 2006; Moning and Muller 2008). Birds, apart from exhibiting diverse ecological functions, are sensitive to some structural and spatial characteristics of forests that indicate pivotal ecological process, such as canopy-gap dynamics, plant–animal interactions, and forest carbon storage (Laurance et al. 2006; Sekercioglu 2006). For instance, the abundance of specific guilds, such as those of frugivorous, nectarivorous or hole-nesting birds is determined by the spatial and temporal availability of critical resources and by the structure of forest food webs (Levey 1988). The overall diversity of forest birds may depend on micro-climatic stability, tree replacement and demography (Attiwill 1994; Brawn et al. 2001; Pretzsch 2009). The density of certain forest specialists, such as woodpeckers and scavengers, is strictly tied to biomass decomposition dynamics and responds to changes in the relative density of live and dead tree biomass (Petty and Avery 1990; Virkkala et al. 1994; Nair 2007). Eventually, forest specialists may sometimes serve as flagship species, facilitating conservation publicity (Veríssimo et al. 2009).

Despite this evidence however, at the local scale birds are the preferred target for forest monitoring and environmental assessment because they are widespread and easy to survey with standard methodology, rather than solely because of ecological aspects (Pearson 1995). In this study, we aimed to compare the efficacy of several bird indicator groups in monitoring forest status and conservation by analyzing their associations with

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the spatial and structural variables of forest vegetation, which indicate forest integrity, ecological patterns and processes. For instance, tree size and distribution, which indirectly indicate forest maturity, are important features determining the resistance of forest ecosystems to physical perturbations (Castedo-Dorado et al. 2009), resilience to pest outbreaks (Jactel et al. 2005), disease (Pautasso et al. 2005), fire (Wirth 2005), or extreme weather events (Dhôte 2005). As a further example, tree species composition is a key driver of forest biodiversity and ecosystem processes, such as nutrient cycling, and may reflect forest gap-dynamics (Oxbrough et al. 2012). Eventually, the amount of fallen or standing dead wood is associated with nutrient reservoir and recycling within forest ecosystems (Ranius and Fahrig 2006).

To serve as forest bird indicators, we centered this study on (1) cavity nesting birds, in their role of resource-limited species, i.e. species requiring specific resources that are in critically short supply (Noss 1999), (2) woodpeckers, specialized cavity-excavating birds that are known to disproportionately impact the community given their abundance (keystone species) (Mikusiński et al. 2001; Drever et al. 2008; Drever and Marin 2010; Remm and Lohmus 2011), and (3) Capercaillie *Tetrao urogallus*, a flagship species that promotes public support for conservation efforts (Obeso and Bañuelos 2003) and which, in specific spatial contexts, becomes an umbrella species associated with high diversity values (Suter et al. 2002; Pakkala et al. 2003; Laiolo et al. 2011) (Table 1). We studied the habitat requirements of the three different indicators, expecting that they will respond differently to different forest structural predictors, given their diverse ecology. Woodpeckers and cavity nesting birds may be more common in plots with high tree biomass and abundant snags, while the capercaillie may favor heterogeneous forests (Swenson and Angelstam 1993; Martin et al. 2004; Sánchez et al. 2007; Laiolo et al. 2011). We test whether some indicator (resource-limited, flagship or keystone) is better

than others in capturing variation in forest characteristics and can, ultimately, serve to predict ecological changes in forest ecosystems.

## Materials and methods

The montane forests of Cantabrian Mountains in Asturias (NW Spain) have a broadleaf canopy dominated by the beech *Fagus sylvatica*, with the white birch *Betula alba* and oaks *Quercus* spp. covering the upper and lower fringes, respectively. The scarce underwood of these forests is composed by the hazelnut *Corylus avellana*, the holly *Ilex aquifolium*, the rowan *Sorbus aucuparia*, and medium - to small size shrubs of the genus *Vaccinium*, *Erica*, *Rubus*, *Cytisus* (more details in Vegetation Structure section). These forests have been heavily logged in the past centuries, but since the 1960-70s wood extraction declined and they are now included in a wide network of regional and national protected areas (García et al. 2005; Robles et al. 2007).

This study was conducted across the entire latitudinal and altitudinal range (434 to 1558 m a.s.l.) of mature beech forests (i.e. unlogged for at least 50 years and composed of > 80% beech trees) (Appendix 1). These forests present strong structural gradients and host threatened and diverse fauna, thus are well suited to analyzing the relationships between bioindicators and forest structure and composition.

#### Indicator survey: woodpeckers and cavity nesting birds

In April-June 2010-2011 we surveyed the entire bird community in 185 circular plots of 100 m radius, separated by > 200 m from each other. These plots were arranged along 34 daily transects, 1.5 – 2.5 km long, each including from 5 to 8 plots. Plots were surveyed from sunrise until 12 noon, the period of maximum bird activity, in good

weather conditions (Bibby et al. 2000). We followed a standard point count method, well suited to survey small passerines and forest birds in general (Blondel et al. 1970; Järvinen and Lokki 1978; Caprio et al. 2008), in which we recorded all birds seen or heard in 10 min periods in the circular bands of 50 and 100 m (a laser telemeter was used, Nikon 550 AS and Leica Rangemaster 1200). Each plot was visited only once during early spring (Shiu and Lee 2003). Geographical coordinates and elevation of the centre of each plot were established by means of a GPS.

From the whole bird data-set, we extracted density values of cavity nesting birds (8 species, listed in Table 1) in the circular band of 50 m radius only, since these species can be accurately surveyed in 0.78 ha areas (Laiolo et al. 2011). The presence/absence of woodpeckers, represented by three species in the study area (Table 1), was estimated in the widest survey band (100 m, surveyed area = 3.14 ha), in order to take into account the largest home range size of these species. Since woodpeckers can move large distances during breeding (Rolstad et al. 1995), we were careful not to record the same individual in successive plots along the same transect.

#### Indicator survey: Capercaillie

Because of its special protection in Asturias, spatial requirements and daily routine, the Capercaillie cannot be surveyed by point counts as other bird indicators can. We therefore considered the surveys performed by the Asturian Environmental Agency to obtain data on the presence/absence of this grouse in the study plots in the period 2005 and 2007, plus updated information obtained in 2010 (Laiolo et al. 2011). Local environmental wardens surveyed 47 sexual display areas (here called leks for simplicity), that were known to be occupied since the 1980s, when the species surveys

were first performed. Each Capercaillie lek was surveyed at least twice by 2-3 wardens between April and May, period when both cocks and hens center their activities (foraging, displaying and breeding) in the lek area (Martínez 1993). Each lek area was reached during the night to record Capercaillie dawn activities without altering bird behavior, and it was left well after the end of displaying. When no activity was recorded the observers searched for Capercaillie signs (feathers, fresh droppings, footprints in snow, etc.) in an area of up to 1 km<sup>2</sup> to confirm lek abandonment (Quevedo et al. 2006a and b). The same procedure was used by Laiolo et al. (2011) to record Capercaillie occurrence and to study its association with bird diversity at increasing distance from the lek center. The entire survey procedure of wardens in the Cantabrian range is described in Quevedo et al. (2006a) and Blanco-Fontao et al. (2011 and 2012).

## Vegetation structure

We assessed vegetation parameters in woodlots that ranged in size from 400 to 3600 m<sup>2</sup>, depending on stand density, in order to achieve a minimum of 35 trees to measure structural variables; vegetation diversity was instead estimated in the smallest surface  $(20 \times 20 \text{ m})$  (see below, Castaño-Santamaría et al. 2013). We first measured dasometric variables including diameter, total height, and base canopy height of 35 trees, which were used to estimate the number of trees per hectare, and the basal area (i. e. the area of a given section of land covered by the cross-section of tree trunks and stems at their base; measured in m<sup>2</sup> of wood divided by the plot surface). By focusing on the four trees with the largest diameter, we measured their height and diameter and averaged them. These field measurements were used to calculate a series of indexes that describe the spatial and structural patterns of vegetation in woodlands, in keeping with Motz et

al. (2010). Briefly, forest spatial structure can be characterized by three aspects: aggregation, "species mingling" and size differentiation (Gadow et al. 2011). Aggregation is expressed by an index of regularity of tree positions (Clark and Evans Index, R – regularity); "species mingling" reflects the degree of spatial segregation of the tree species in a forest and is measured by two indexes of segregation, in the vertical (Simpson vertical Index,  $D_v$  – vertical species mingling) and horizontal layers (Simpson stratified Index, D<sub>st</sub> – horizontal species mingling) (Appendix 2). The above indexes were always estimated taking into account the edge-correction proposed by Pommerening and Stoyan (2006). Size differentiation was measured by two different indexes: the coefficient of variation of tree diameter (CV<sub>dbh</sub>) and the coefficient of variation of tree height (CV<sub>h</sub>) (Pommerening 2002). Within woodlots we also measured the total basal area of standing dead trees (or snags; BA<sub>d</sub>) (Ranius and Fahrig 2006) (Appendix 2). Eventually, in the  $20 \times 20$  m lots we measured tree species diversity (Simpson Diversity Index, D) to account for the occurrence of tree species other than beech (Quercus petraea, Betula pubescens, Sorbus aria, S. aucuparia, Ilex aquifolium, Taxus baccata and Acer pseudoplatanus) and shrub species richness (S), to account for forest strata other than the canopy (Vaccinium myrtillus, Erica arborea, E. vagans, E. australis, Ilex aquifolium and Crataegus monogyna). Orientation and slope were measured in the field, using a hypsometer to determine slope and an optic compass to determine orientation.

Due to large number of vegetation parameters estimated per each lot in the field (see below), we placed 1-2 woodlot within each bird transect (so that the distance of lot center from point-count plots in that transect was 0 - 490 m), and one in each Capercaillie lek area (i.e. at < 900 m from Capercaillie lek centers), for a total of 57 lots. Home ranges of the Capercaillie are large enough (several hundred hectares) to include

the lots inside, while in the case of cavity nesting birds (the species with the smallest territories) some lots indeed bordered the survey areas without falling within. Lots were however located where vegetation structure was representative of the forest patch (transect or lek area): dissimilarity in vegetation structural parameters changed with distance only when considering distances > 1 km from the lot, and even at longer distances did not display a clear decreasing or increasing pattern (Mantel test, -0.134 < R < 0.121, all P < 0.011 for the three indicator data sets). On the basis of this evidence, we consider that our estimates of forest structure adequately represent the habitat characteristics of the bird survey areas (transects or leks).

#### Statistical analysis

To test for the relationships between bird indicators and between indicators and the vegetation structure variables, we performed Generalized Linear Models (GLMs) and Generalized Linear Mixed Models (GLMMs) respectively. Type III models were run, testing each main effect after controlling for the other determinants. In GLMMs, apart from vegetation variables as fixed effects, we entered the identity of the woodlot as a random effect (more than one point-count could be associated with a woodlot within a transect), and bird plot geographic coordinates and elevation as covariates, to take into account (and control for) the potential non independence of data from areas close by. The time of day and day number (month) were originally accounted for in the analysis as covariates, in order to control for changes in bird detectability; however none of these temporal variables did affect our dependent variables, worsening model performances. A Poisson distribution of errors was used in the case of density data (cavity nesting birds) and a Binomial distribution of errors was used in the case of occurrence data

(woodpeckers, Capercaillie). We tested those models that included the variables that were biologically and ecologically relevant on the basis of literature on deciduous forest avifauna (Laiolo et al. 2004; Laiolo et al. 2011) (20 models per indicator group, listed in Appendix 3). The Akaike's Information Criterion (AIC) was used to identify the best parsimonious models to explain woodpecker occurrence, cavity nesting bird density and Capercaillie occurrence; we considered similar strength evidence those models separated by  $\Delta$ AIC < 2 (Anderson 2008).

All statistical analyses were performed with the software R 1.21, with the package *lme4* (R DevelopmentCore Team 2006).

## Results

### Relationships between indicators

In the 47 Capercaillie survey plots, no significant association was found between the occurrence of this grouse and the abundance of cavity nesting birds or the occurrence of woodpeckers as estimated by point-counts (GLMMs, all Z < 0.037, P > 0.93). On the contrary, the occurrence of woodpeckers and the density of cavity-nesting birds were positively associated (GLM: Z = 3.879, P < 0.001, N = 185 plots).

## Relationship between indicators and habitat characteristics

Cavity nesting birds occurred in almost all plots (148), with abundances of between 1 and 12 individuals. The highest elevation, northernmost and westernmost plots hosted the lowest density of cavity nesting species (GLM: elevation: Z = -4.89, P < 0.001, N =

185, latitude: Z = 3.79, P < 0.001, N = 185, and longitude: Z = -2.390, P < 0.005, N = 185). When all vegetation variables were entered however, geographic variables did not affect abundances further and models entering them had the highest AIC values (see Appendix 3). In the two best models we found a negative relationship between bird abundances and tree height variation, which suggests that cavity nesting species were more abundant in woodlots with scarce vertical heterogeneity. A positive relationship was found with tree species diversity, vertical species mingling and tree diameter variation, (Table 2, Figure 1A).

Woodpeckers were present in 27 plots and occurred at low abundances (one or two individuals per plot). We found a positive relationship between this indicator group and the basal area of snags, suggesting that woodpeckers were more abundant in plots with abundant dead wood (Table 2, Figure 1B).

The Capercaillie was found to occur in only 14 of the 47 lek areas surveyed. This grouse was positively associated with shrub species richness and occurred in the highest elevation plots of the study forests (Table 2, Figure 1C; Appendix 3).

In summary, the three indicators exhibited clear differences in their habitat preferences (Table 3).

#### Discussion

We found that each indicator was associated with different forest characteristics, with snag biomass being important for woodpeckers, heterogeneity in the canopy layer for cavity nesting birds and heterogeneity in the understory for the Capercaillie. Presence and abundance of indicators were likely controlled by distinct patterns and processes within forest stands and, as a consequence, these species were not always spatially associated between themselves, making all of them necessary to determine the complexity of processes occurring in forest habitats. Indeed, the density of cavity nesting birds was positively associated with the occurrence of woodpeckers, suggesting that common structural elements attract both groups of indicators. Large mature trees with decaying portions present both crevices that shelter a diverse insect fauna and large canopy surfaces, thus benefitting both insectivorous birds that feed on foliage and those that feed on stems (Fan et al. 2003; Laiolo et al. 2004). Heterogeneity in forest structure or composition favored both the Capercaillie and cavity nesting birds, although, due to their trophic requirements and habits, the former occurred in stands in which the diversity of the shrub layer peaked and the latter where the diversity of the canopy layer (tree species diversity, vertical species mingling and diameter variability) was the highest (Laiolo 2002; Laiolo et al. 2004; Bañuelos et al. 2008).

#### Habitat associations of cavity nesting birds

The density of cavity nesting birds was influenced by canopy height structure and tree species diversity, peaking in stands with the highest tree species composition and vertical species mingling. These parameters, which reflect gap-dynamic processes in mature undisturbed forests (Kohyama 1993), are positively associated with resource availability for birds (Sabo and Holmes 1983), and are good indicators of the diversity and stability of interactions in a forest ecosystem (Levey 1988; Laiolo and Rolando 2005). The density of cavity nesting species also increased in plots with large trees, which were heterogeneous in size, a characteristic that allows the coexistence of a more diverse and abundant assemblage of foliage, twig, and stem bird feeders (Laiolo 2004). This diversity turns out to be important for the maintenance of the forest itself, since

these birds improve forest resilience in the face of severe disturbance events and they also control insect outbreaks (Martin and Eadie 1999; Mols and Visser 2002), thus they help retain the integrity of trophic processes and indirectly defend canopy trees from insect damage (Van Bael et al. 2003).

#### Habitat associations of woodpeckers

The association between woodpeckers and the basal area of snags can be explained by selection for nesting and as rich foraging habitats, since the abundance of crevices and large xilophagous insects increases in old-growth stands with dead trees, especially when these trees are large (Tyrrell and Crow 1994; Hagan et al. 1997). In our study area, the basal area of snags was more important than their number (woodpeckers occurred when snag basal area > 0.2 m<sup>2</sup> ha<sup>-1</sup>), and a similar relationship has been observed in woodpeckers from other montane forests of Europe (Bütler et al. 2004). This critical dead wood threshold could become a practical management target, to preserve the various ecological functions provided by this group of species. Woodpeckers, by removing the bark of dead or partially dead wood when foraging, expose the underlying substrate, and its hidden arthropod communities, to other insectivorous animals that benefit from this behavior (Bull and Jackson 1995). Moreover, they accelerate the process of wood decomposition and nutrient redistribution by exposing trees to the action of wood-decomposing organisms, as well as contributing to the dispersal of fungi that participate in the process of decay (Farris et al. 2004). This ecosystem service contributes/adds to the other important role of woodpeckers, that of providing nesting habitats for cavity nesting species (birds, mammals, amphibians, reptiles and insects; Thomas et al. 1979; Welsh 1990), which is

often constrained by hole availability more than by food (Newton 1994; Martin and Eadie 1999; Sánchez et al. 2007). *Dryocopus martius* digs large cavities suitable for nocturnal raptors (Sonerud 1985; Johnsson et al. 1993), while *Dendrocopos major* provides small-medium size cavities for passerines (Martin et al. 2004), thus both species may exert a strong control on the density of secondary cavity nesting species (Martin and Eadie 1999; Camprodon et al. 2008), as also highlighted by the positive association between these indicators found in this study.

#### Habitat associations of the Capercaillie

The wide home ranges and large scale habitat requirements, together with the pronounced decline in recent decades, likely override the importance of local vegetation structure in determining the actual distribution of the Capercaillie in the Cantabrian Mountains (Obeso and Bañuelos 2003; Quevedo et al. 2006a; Laiolo et al. 2011). In this study, the only vegetation variable that was associated with Capercaillie occurrence was the diversity of the shrub belt. The growth of a complex understory is facilitated by irregularities in the canopy that permit light to reach the lower belt, which is often shaded by a dense canopy in young or managed beech woods but may be exposed in undisturbed, old beech stands (Laiolo et al. 2004). Shrubs in lek areas likely provide shelter or foraging habitat for the Capercaillie, which feeds on bilberry leaves and fruits (Storch 1995; Blanco-Fontao et al. 2012). The preference of this grouse for upland forests may be related either to the overall higher availability of less-disturbed forest at higher elevations (García et al. 2005), or to an increase in bilberry quality, an important food source, with elevation (Obeso and Fernández-Calvo 2002).

Although a flagship species needs to be popular, not necessarily ecologically significant (Caro and O'Doherti 1999), it must be acknowledged that large areas of the study montane forests have been protected in the last decades thanks to the threatened Cantabrian Capercaillie (García et al. 2005). The occurrence of this grouse has therefore served to conserve the habitat of less charismatic taxa and reasonably preserve forest structure from major anthropogenic disturbance, all factors that in the long run may promote the maintenance (or natural evolution) of forest ecological functions.

#### Conclusions

We found close relationships between bird indicators and the characteristics of the forest stands where they live, but each indicator was associated with different patterns and processes within forest stands. Although single bird indicators fail to provide a complete assessment of forest status, we believe that they may still provide insight into whether specific ecological processes are increasing or decreasing in extent. For instance, gap-dynamics may be tracked by monitoring cavity nesting birds and the Capercaillie, since they likely create suitable habitats for both indicators, although through different mechanisms (via an effect on tree composition and structure in the former, and on shrub species richness in the latter). The state and level of natural wood decay processes may determine woodpecker presence (via the amount of dead wood) and, indirectly, that of cavity nesting birds (via the influence of woodpeckers in providing new foraging or nesting substrates in decaying wood).

Therefore, although monitoring forest dynamics and modification may require a wide range of taxa to be studied (Carignan and Villard 2002), this study shows that the bird taxon includes assemblages that can be surveyed within the same survey framework

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with relatively limited effort (Laiolo et al. 2011), and which possess life histories divergent enough to display a wide range of sensitivities to the modification of natural processes within forest habitats.

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## Tables

Table 1. List of the bird indicators considered in this study, the species comprising them and their relevance in forest conservation and ecology.

opeeles	Significance
Sitta europea	
Certhia barchydactyla	
Certhia familiaris	
Cyanistes caeruleus	Resource-limited species (Noss, 1999)
Parus major	and Muller, 2008; Virkkala et al., 1994)
Poecile palustris	
Lophophanes cristatus	
Periparus ater	
Dendrocopos major	
Picus viridis	nesting species (Drever et al. 2008, 2010)
Dryocopus mariius	Jonsson, 1993; Mikusiński et al., 2001)
	Elanshin species (Obeso and Bañuelos, 2003
Tetrao urogallus	Umbrella species (Laiolo et al., 2011; Pakkala et al., 2003; Suter et al., 2002)
	Sitta europea Certhia barchydactyla Certhia familiaris Cyanistes caeruleus Parus major Poecile palustris Lophophanes cristatus Periparus ater Dendrocopos major Picus viridis Dryocopus martius

Table 2. Results of generalized linear mixed models explaining variation in cavity nesting bird density, woodpecker occurrence and Capercaillie occurrence. The best models (i.e. those with the lowest AIC, Akaike Information Criterion) are shown. See Appendix 3 for the full list of models tested. D = Tree species diversity; S = Shrub species richness;  $D_v$  = Vertical species mingling;  $CV_{dbh}$  = Tree diameter variation;  $CV_h$ = Tree height variation,  $BA_d$  = Standing dead wood; R = Regularity.

	Model 1	AIC	= 169
	Predictors	Estimate	SE
	D	1.242	0.350
	$D_{v}$	2.550	0.926
	$\mathrm{CV}_{\mathrm{dbh}}$	1.517	0.487
Cavity nesting birds	$CV_h$	-1.766	0.447
	Model 2	AIC = 170.7	
	Predictors	Estimate	SE
	D	1.207	0.353
	$D_v$	2.497	0.926
	$\mathrm{CV}_{\mathrm{dbh}}$	1.506	0.487
	$CV_h$	-1.705	0.457
	R	0.102	0.172
	Model 1	AIC =	= 152.4
	Predictors	Estimate	SE
	BAd	1.003	0.544
	Model 2	AIC = 153.3	
	Predictors	Estimate	SE
Woodpeckers	$BA_d$	1.026	0.532
■-•	R	0.959	0.91
	Model 3	AIC = 153.4	
	Predictors	Estimate	SE
	BAd	1.113	0.561
	$D_v$	3.963	4.060
	Model 4	AIC = 153.9	
	Predictors	Estimate	SE
	CV <sub>h</sub>	-2.367	1.876
Capercaillie	Model 1	AIC =	= 48.02
	Predictors	Estimate	SE

S	0.530	0.240
Elevation	0.013	0.004
Model 2	AIC =	49.46
Predictors	Estimate	SE
Predictors S	<b>Estimate</b> 0.535	<b>SE</b> 0.251

Table 3. Summary of forest structural and spatial variables affecting each indicator. + positive association; - negative association; D = Tree species diversity; S = Shrub species richness;  $D_v$  = Vertical species mingling;  $CV_{dbh}$  = Tree diameter variation;  $CV_h$  = Tree height variation,  $BA_d$  = Standing dead wood.

	Cavity nesting birds	Wo	oodpeckers	Caper	caillie
D	(+)	$BA_d$	(+)	Elevation	(+)
$D_{v}$	(+)			S	(+)
$\mathrm{CV}_{\mathrm{dbh}}$	(+)				
$\mathrm{CV}_{\mathrm{h}}$	(-)				

## **Figure captions**

Figure 1. Cavity nesting birds were more abundant in plots with high vertical species mingling (a), woodpeckers were more common in plots with large amounts of standing dead trees (b), and Capercaillie preferred plots with a complex shrub layer (c). Mean values and standard errors are shown for woodpeckers and Capercaillie data.

## Figures

Figure 1



# Appendices

Appendix 1. Distribution of beech forests in the Cantabrian Mountains in Asturias (green areas) and location of the bird study plots (red).



Appendix 2. List of the structural and spatial vegetation indexes used in this study, in keeping with Motz, Sterba and Pommerening (2010), Pretzsch (1996) (for  $D_v$ ) and Weber (2000) (for  $D_{st}$ ).

Index	Variable definition	
Aggregation index (R) Regularity		
$R = \frac{\overline{r}_{observed}}{E(r)}$	$\overline{r}_{observed}$	Arithmetic mean of distances between a reference tree in the woodlot and its four nearest neighbors.
	$E(r) = 0.5 \sqrt{\frac{A}{N}}$	N: number of trees in the woodlot; A: woodlot area
Simpson vertical index $(D_v)$ Vertical species mingling		
$D_{v} = 1 - \sum_{i=1}^{s} \sum_{j=1}^{z} p_{ij}^{2}$	p <sub>ij</sub>	Relative frequency of species $i$ in height stratum $j$ referring to the overall stem number in the woodlot [three strata were considered: 0-50%, 50-80% and 80-100% canopy height (Pretzsch, 1996)]
Simpson stratified index $(D_{st})$ Horizontal species mingling		
$D_{st} = 1 - \sum_{i=1}^{n} \left( \frac{h \cdot p_i}{n \cdot h_i} \right)^2$	<i>p</i> <sub>i</sub>	Relative frequency of stratum <i>i</i> referring to the overall stem number in the woodlot. hi = height of tree i ; h = maximum height of the
$i=1 \langle n n_i \rangle$	$\left(\frac{h}{n\cdot h_i}\right)$	woodlot. A weighting factor was used to correct for differences between the intervals used.
Simpson species richness (D)		
$D = 1 - \sum_{i=1}^{s} p_i^2$	$p_i$	Relative frequency of species $i$ in the forest referring to stem number in the woodlot.
Coefficient of variation of tree diameters at breast height (1.3 m above the ground); $(V_{dbh})$		

$CV_{dbh} = \frac{SDD}{D_m}$	SDD	Standard deviation of four tree diameters measured at breast height
	$D_m$	Mean diameters of 32-81 trees measured at breast height.
Coefficient of variation of tree height $(CV_h)$		
$CV_h = \frac{SDH}{H_m}$	SDH	Standard deviation of four tree heights.
	$H_m$	Mean height of 32-81 trees.
Standing dead wood (BA <sub>d</sub> )		
$BA_d = \frac{\pi}{4} \sum_{i=1}^n d_i^2$	$d_i$	Diameter at breast height of standing dead tree <i>i</i> .

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Appendix 3. List of the 20 models tested per indicator group and their AIC (Akaike Information Criterion) values. The best models (separated by less than 2 AIC points) are highlighted in bold. R = Regularity; D = Tree species diversity; S = Shrub species richness;  $D_v = Vertical$  species mingling;  $D_{st} =$  Horizontal species mingling;  $CV_{dbh} =$ 

Tree diameter variation;  $CV_h$  = Tree height variation,  $BA_d$  = Standing dead wood.

Cavity nesting birds	AIC
$\mathbf{D} + \mathbf{D}_{\mathbf{v}} + \mathbf{C}\mathbf{V}_{\mathbf{h}} + \mathbf{C}\mathbf{V}_{\mathbf{dbh}}$	169
$\mathbf{R} + \mathbf{D} + \mathbf{D}_{\mathbf{v}} + \mathbf{C}\mathbf{V}_{\mathbf{h}} + \mathbf{C}\mathbf{V}_{\mathbf{dbh}}$	170.7
$R + D + D_v + CV_h + CV_{dbh} + BA_d$	172.4
$R + D + D_v + D_{st} + CV_h + CV_{dbh}$	172.6
$R + D + D_v + CV_h + CV_{dbh} + S + BA_d$	174
$R + D + D_v + D_{st} + CV_h + CV_{dbh} + S + BA_d$	176
$CV_h$	182.3
Elevation + Slope + Orientation	180.5
D <sub>st</sub>	181.9
BA <sub>d</sub>	184.7
R	186.7
D	187.6
D <sub>v</sub>	187.8
S	189.5
$\mathrm{CV}_{\mathrm{dbh}}$	189.7
$CV_h$ + Elevation + Longitude	232.5
$CV_h$ + Elevation + Longitude + Latitude	232.4
$CV_h + CV_{dbh} + Elevation + Longitude + Latitude$	232.1
$D_v + CV_{dbh} + CV_h + Elevation + Longitude + Latitude$	231.7
$D + D_v + CV_{dbh} + CV_h + Elevation + Longitude + Latitude$	229.6
$D + D_v + CV_{dbh} + CV_h + S + Elevation + Longitude + Latitude$	229.5
$D + D_v + D_{st} + CV_{dbh} + CV_h + S + Elevation + Longitude + Latitude$	231.5
$D + D_v + D_{st} + CV_{dbh} + CV_h + S + BA_d + Elevation + Longitude + Latitude$	232.4
$R + D + D_v + D_{st} + CV_{dbh} + CV_h + S + BA_d + Elevation + Longitude + Latitude$	234.3
$R + D + D_v + D_{st} + CV_{dbh} + CV_h + S + BA_d + Elevation + slope + Orientation + Longitude + Latitude$	238.5
Elevation + Slope + Orientation + Longitude + Latitude	240.3
Longitude + Latitude	246.6
Woodpeckers	AIC
BA <sub>d</sub>	152.4
$\mathbf{R} + \mathbf{B}\mathbf{A}_{\mathbf{d}}$	153.3
$D_v + BA_d$	153.4
CV <sub>h</sub>	153.9
$R + CV_{dbh} + BA_d$	154.5
R	154.6
D <sub>st</sub>	154.8
$R + D_{st} + CV_{dbh} + BA_d$	156.1

$R + D + D_{st} + CV_{dbh} + BA_d$	157.2
D <sub>v</sub>	155
$\mathrm{CV}_{\mathrm{dbh}}$	155.3
D	155.3
S	155.5
Longitude + Latitude	157.4
Elevation + Slope + Orientation	158.7
$R + D + D_{st} + CV_{dbh} + S + BA_d$	158.9
$R + D + D_{st} + CV_{dbh} + S + BA_d$	158.9
$R + D + D_v + D_{st} + CV_{dbh} + S + BA_d$	160.5
$R + D + D_{st} + CV_{dbh} + S + BA_d + Elevation$	160.8
$R + D + D_v + D_{st} + CV_h + CV_{dbh} + S + BA_d$	162.2
Elevation + Slope + Orientation + Longitude + Latitude	162.4
$R + D + D_v + D_{st} + CV_{dbh} + S + BA_d + Elevation$	162.5
$R + D + D_v + D_{st} + CV_{dbh} + S + BA_d + Elevation + Longitude$	164.5
$R + D + D_v + D_{st} + CV_{dbh} + S + BA_d + Elevation + Longitude + Latitude$	166.1
$R+D+D_v+D_{st}+CV_{dbh}+CV_h+S+BA_d+Elevation+Longitude+Latitude$	168
$R + D + D_v + D_{st} + CV_{dbh} + CV_h + S + BA_d + Elevation + Slope + Orientation + Longitude + Latitude$	171.9

Capercaillie	AIC
S + Elevation	48
$D_v + S + Elevation$	49.4
$D + D_v + Dst + S + Elevation$	50.3
$D_v + D_{st} + S + Elevation$	51.3
$D + D_v + D_{st} + S + BA_d + Elevation$	51.8
Elevation	52.1
$D + D_v + D_{st} + CV_h + S + BA_d + Elevation$	52.1
$D + D_v + D_{st} + CV_{dbh} + CV_h + S + BA_d + Elevation$	52.2
$R + D + D_v + D_{st} + CV_{dbh} + CV_h + S + BA_d + Elevation$	52.5
Elevation + Slope + Orientation	54.1
$R + D + D_v + D_{st} + CV_{dbh} + CV_h + S + BA_d + Elevation + Longitude$	54.9
$R + D + D_v + D_{st} + CV_{dbh} + CV_h + S + BA_d + Elevation + Longitude + Latitude$	56.3
$R + D + D_v + D_{st} + CV_{dbh} + CV_h + S + BA_d + Elevation + Longitude + Latitude + Slope + Orientation$	58.3
S	59.7
$R + D + D_v + D_{st} + CV_{dbh} + CV_h + S + BA_d$	59.7
D <sub>v</sub>	60.4
D <sub>st</sub>	60.9
$CV_h$	61.4
Longitude + Latitude	61.5
BA <sub>d</sub>	62.2
$\mathrm{CV}_{\mathrm{dbh}}$	62.4
R	62.4
D	62.4

Appendix 4. Relationships between the diversity of cavity nesting birds and tree species diversity (D), tree height variation  $(CV_h)$  and tree diameter variation  $(CV_{dbh})$  in woodlots.

