

1 Running title: Genetic basis of the hoopoe microbiome

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3 Title: **The microbiome of the uropygial secretion in hoopoes is shaped along the**  
4 **nesting phase**

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24 **Abstract**

25 Microbial symbiont acquisition by hosts may determine the effectiveness of the  
26 mutualistic relationships. A mix of vertical and horizontal transmission may be  
27 advantageous for hosts by allowing plastic changes of microbial communities  
28 depending on environmental conditions. Plasticity is well known for gut microbiota, but  
29 is poorly understood for other symbionts of wild animals. We here explore the  
30 importance of environmental conditions experienced by nestling hoopoes (*Upupa*  
31 *epops*) during the late nesting phase determining microbiota in their uropygial gland. In  
32 cross-fostering experiments of 8 days old nestlings, “sibling-sibling” and “mother-  
33 offspring” comparisons were used to explore whether the bacterial community naturally  
34 established in the uropygial gland of nestlings could change depending on experimental  
35 environmental conditions (i.e. new nest environment). We found that the final  
36 microbiome of nestlings was mainly explained by nest of origin. Moreover, cross-  
37 fostered nestlings were more similar to their siblings and mothers than to their  
38 stepsiblings and stepmothers. We also detected a significant effect of nest of rearing,  
39 suggesting that nestling hoopoes acquire most bacterial symbionts during the first days  
40 of life, but that the microbiome is dynamic and can be modified along the nestling  
41 period depending on environmental conditions. Estimated effects of nest of rearing, but  
42 also most of those of nest of origin are associated to environmental characteristics of  
43 nests, which are extended phenotypes of parents. Thus, natural selection may favor the  
44 acquisition of appropriated microbial symbionts for particular environmental conditions  
45 found in nests.

46 **Key-words:** Cross-fostering experiment, Horizontal transmission, Microbial symbiont,  
47 Microbial transmission, Parent-Offspring comparisons, Plasticity, *Upupa epops*,  
48 Vertical transmission

## 49 **Introduction**

50

51 Hosts may acquire symbionts directly by vertical transmission from parents to  
52 offspring [1, 2], or by horizontal transmission from the environment [3]. Although the  
53 vast majority of symbioses described in eukaryotes involve bacteria [1, 4], studies on  
54 mechanisms of bacterial transmission are limited to a handful of model systems [5, 6].  
55 Horizontally transmitted bacteria are known for squids [3], tubeworms [7] and mussels  
56 [8], while mechanisms of vertical transmission have been detected for instance in  
57 ascidians [9], bryozoans [10] and earthworms [11]. For some other model systems,  
58 microbial symbionts are acquired both vertically and horizontally, as it is the case for  
59 beneficial gastrointestinal microbiomes of animals [12] or for enterococci of the  
60 uropygial gland of hoopoes (*Upupa epops*) [13].

61 Modes of bacterial acquisition may determine the effectiveness of the  
62 mutualistic relationship [14]. On the one hand, fitness of vertically transmitted  
63 symbionts is closely related to that of their hosts and, thus, enhancing reproductive  
64 success of hosts will directly benefit their own performance [14-17]. On the other hand,  
65 different symbionts may provide hosts with characteristics that are more appropriate for  
66 particular environments and/or symbiont effectiveness may vary with environmental  
67 conditions. Although vertical transmission is identified as a key route to host  
68 specialization on effective symbionts [14, 18], hosts with horizontally transmitted  
69 symbionts have the opportunity to adjust the community of symbionts to environmental  
70 characteristics [19]. In situations of variable environmental conditions with  
71 unpredictable selection pressures, a mix of vertical and horizontal transmission shaping  
72 symbiont bacterial communities might be of selective advantage for hosts because it  
73 would guarantee the simultaneous presence of potential beneficial microorganisms from

74 an for different environments [5, 14, 20]. In this mixed mode of symbiont acquisition  
75 the symbiotic community that hosts acquire from mothers and/or environment during  
76 the first days of life could change in relation to variable environmental conditions  
77 experienced later, in subsequent phases of life. Symbiotic community changes (i.e.,  
78 plasticity) of hosts in relation to environmental changes are well known for gut  
79 microbiota [21, 22] but are poorly understood for other symbionts of wild animals.

80 We experimentally explore whether microbiome of the uropygial gland of  
81 nestling hoopoes change along the nesting period in relation to environmental  
82 conditions hosted in their uropygial gland. Symbiotic bacteria have only been detected  
83 by traditional culture methods in incubating females and nestlings [23, 24]. Nowadays  
84 we know that males and non-reproducing females also harbor bacteria in their gland, but  
85 at very low density [25]. Interestingly, we also know that antibiotic producing  
86 enterococci are transmitted from mother hoopoes to offspring soon after hatching  
87 (vertical transmission), and that hatchlings (i.e. before uropygial gland functions) are  
88 able to incorporate new enterococci symbionts from new environments after cross-  
89 fostering experiments (horizontal transmission) [13]. The question that we try to answer  
90 here is whether the bacterial community, once it is established in the uropygial gland of  
91 nestlings (i.e. functioning), could change depending on experimental environmental  
92 conditions (i.e. new nest environment). We approached this aim by cross-fostering  
93 nestlings of intermediate age (i.e. with an established bacterial community) from  
94 different nests and characterizing bacterial communities of fledglings by mean of  
95 ARISA (Automatic Ribosomal Intergenic Spacer Analysis). We first describe the  
96 bacterial communities in uropygial secretions of nestlings and females in terms of  
97 prevalence, richness, and Operational Taxonomic Units (OTUs) composition. The  
98 relative contribution of factors acting during early (i.e. genetic plus early environment

99 including any pre-manipulation maternal effects) and late (experimental nest  
100 environment) nesting phase were determined by (i) comparing the proportion of  
101 variance explained by nest of origin and nest of rearing respectively. Relative  
102 contribution of early and later environments explaining microbiota of the uropygial  
103 secretion was also explored by comparing (ii) levels of similarity between siblings  
104 reared in different nests and between stepsiblings reared in the same nest, and (iii)  
105 between cross-fostered nestlings and biological and stepmothers.

106

## 107 **Materials and methods**

### 108 *Study species, study area and general methods*

109 The hoopoe is distributed throughout Europe, Asia and Africa, inhabiting open woods  
110 or open areas as steppes, grasslands, pastures, semi-deserts, or crops whenever they  
111 have scattered trees, walls or buildings providing holes for nesting and soil without tall  
112 vegetation for feeding [26-28]. Females lay one or two clutches of 6-8 eggs along the  
113 breeding season, between February and July [29]. Incubation lasts 17 days and starts  
114 with the first or second egg, which results in eggs hatching asynchronously at 24 h or  
115 even greater intervals [30].

116 The fieldwork was performed during the breeding seasons 2010-2011 in a wild  
117 population located in the Hoya de Guadix (37°18'N, 38°11'W), southern Spain, where  
118 hoopoes breed in crops, forests and gullies within nest-boxes placed in trees or  
119 buildings. In 2011, hoopoes were also sampled in a captive population descendant from  
120 our wild population and breeding in captivity since 2008. The captive pairs were  
121 distributed in two different subpopulations located in South-eastern Spain, one of them  
122 in installations of the University of Granada in Hoya of Guadix (Granada), and the other  
123 one in facilities of the Estación Experimental de Zonas Áridas (CSIC) at the Finca

124 Experimental La Hoya in Almería (36°50'N, 2°28'W). All females and nestlings were  
125 ringed with numbered rings and females also with color rings for individual recognition.

126 Nestboxes in the wild were visited twice per week, from mid-February to the  
127 end of July to record laying date, clutch size and hatching date. Pairs of hoopoes  
128 breeding in captivity were housed in independent cages at least 3m x 2m x 2m installed  
129 in the open, scattered and isolated to avoid interactions between pairs and ensure  
130 successful breeding. Cages had access to soil and were provided with live food  
131 (crickets, vitamin-enriched fly larvae and meat (beef heart)) *ad libitum* and were visited  
132 daily.

133

#### 134 ***Experimental design and sampling***

135 The cross-fostering experimental design consisted in the exchange of two experimental  
136 nestlings among pairs of nests of similar ( $\pm 1$  day) hatching date and similar brood size.  
137 The exchange was carried out when the oldest nestling in each nest was 8 days old (i.e.,  
138 when nestlings start to produce secretion containing bacteria). Two of the four heaviest  
139 nestlings in each nest were randomly selected and exchanged with those from another  
140 nest (i.e. with the same age and similar weight). Comparisons were later performed with  
141 all nestling in the experimental nests. Nestlings were individually marked by painting  
142 their tarsus with permanent innocuous markers. Cross-fostering experiments were  
143 performed between wild nests in 2010 and in 2011 between one nest in captivity and the  
144 other in wild conditions. When this was not possible, experimental nestlings were  
145 exchanged between two captivity nests, or between two wild nests. This was done so to  
146 increase phenotypic variance among cross-fostered nests that allow a more realistic  
147 estimation of the effects of nest of origin and of nest of rearing (Falconer 1989).

148 Transport of nestlings between nests lasted approximately 1 h and was done in a  
149 portable incubator at 37 °C to reduce stress due to temperature change.

150 Uropygial secretions of females were sampled before hatching date (i.e. 14 days  
151 after laying the first egg), whereas those of nestlings were sampled 10 days after nest  
152 exchange (i.e. oldest nestlings had 18 days old). Incubating females were captured  
153 within the nest-box by hand, quickly sampled, and released again within the nest to  
154 reduce disturbance. For each capture, we wore new sterile latex gloves cleaned with  
155 70% ethanol for the whole process to limit external bacterial contamination. Before  
156 collecting samples from uropygial gland, we softly washed the circlet of feathers and  
157 surrounding skin with a cotton swab slightly soaked in ethanol to reduce the risk of  
158 contamination with external bacteria. After evaporation of the alcohol, a sterile  
159 micropipette tip (1-10 µl micropipette (Finpipette)) was introduced in the gland papilla.  
160 The papilla was pressed softly with a finger and the uropygial secretion entirely  
161 collected was transferred to a sterile microfuge tube. Afterwards, 5µl were separated in  
162 a different sterile microfuge tube for the molecular analyses. Nestling hoopoes were  
163 sampled with identical protocol than adult females were. For further molecular analyses,  
164 all samples were individually stored in 1.2 ml sterile microfuge tubes in a portable  
165 cooler (1-3 °C) until being stored in the lab at -20° C the same day of sampling.

166 We sampled 44 nests and got information for the 44 breeding females and for  
167 165 nestlings; 93 of them did grow in the same nests where they hatched, whereas 72  
168 were moved to foreign nests. However, final sample sizes were reduced due to  
169 predation of wild nests or failures with ARISA. We obtained complete information of  
170 siblings that were reared in the same nests of hatching ( $N = 57$ ) or moved to another  
171 nests ( $N = 44$ ) for 28 nests. Only for 21 of these nests, we got the necessary information  
172 to compare the bacterial community of experimental nestlings with that of their foster

173 and genetic siblings on the one hand, and with the bacterial community of their mother  
174 and stepmother on the other hand.

175

176 ***Laboratory work***

177 Bacterial genomic DNA for the uropygial secretion samples was extracted with a  
178 commercial kit (The FavorPrep™ Blood Genomic DNA Extraction Kit, Favorgen).  
179 ARISA (Fisher and Triplett 1999), which amplifies an intergenic transcribed spacer  
180 (ITS) region between the prokaryotic 16S and 23S rDNA, was used to characterize the  
181 composition of bacterial communities. This region is highly variable both in size and  
182 sequence between species and, thus, offers an appropriate taxonomic resolution for  
183 microbiota characterization (Danovaro et al. 2006). The ITS was amplified using the  
184 primer pair ITSF (5'-GTCGTAACAAGGTAGCCGTA-3') and ITSReub (5'-  
185 GCCAAGGCATCCACC-3') [31]. The primer ITSReub was labeled fluorescently with  
186 6-FAM. Amplifications were performed in 50 µL reaction volumes containing ultrapure  
187 H<sub>2</sub>O, 20 µL of 5 PRIME MasterMix (2.5x) including 1.5mM Mg(OAC)<sub>2</sub>, 200 µM  
188 dNTPs, 1.25 U Taq DNA polymerase 0.2 µM of primers and 5µL of diluted DNA 1:10.  
189 PCRs were carried out in Eppendorf Mastercycler Nexus. Fragments were amplified  
190 under the following conditions: initial denaturation at 94 °C 2 min, followed by 30  
191 cycles with denaturation at 94 °C 45 s, annealing at 52 °C 45 s and extension at 72 °C 1  
192 min, with a final extension at 72 °C 5 min. Amplified PCR products were diluted 1:10  
193 and denatured by heating in formamide. Fragment lengths were determined by mean of  
194 automated fluorescent capillary electrophoresis on 3130 Genetic Analyzer.  
195 Electropherogram peak values were calculated after interpolation with an internal size  
196 standard named GeneScan™ 1200 LIZ dye Size Standard (both Applied Biosystems).



197 These analyses were performed at the Scientific Instrumentation Center of the  
198 University of Granada.

199

### 200 *Statistical analysis*

201 Peak Scanner 1.0 (Applied Biosystems) was used to determine fragment length (i.e.  
202 OTUs) in terms of base pairs (bp). For binning DNA fragment lengths from different  
203 samples we used available scripts in R-environment [<http://cran.r-project.org/>] at  
204 [www.ecology-research.com](http://www.ecology-research.com) [32] with a window size of 4 base pairs (bp) and a distance  
205 of two consecutive binning frames (i.e. shift) of 0.1. Peaks with RFI values of < 0.09%  
206 were considered as background peaks. Only fragments above a threshold of 50  
207 fluorescence units and ranging between 100 and 1,000 bp [32] were used for  
208 constructing and analyze the presence-absence matrices depicting bacterial  
209 communities.

210 We described the bacterial community harbored in uropygial secretion of  
211 females and nestlings with information obtained from ARISA for all individuals (44  
212 females and 165 nestlings). To explore the differences in bacterial richness (number of  
213 OTUs per sample) between adult females and nestlings, we performed ANOVAs with  
214 one fixed factor (adult females *vs.* nestlings). Moreover, we explored differences in  
215 prevalence of OTUs detected in uropygial secretions of adult females and nestlings, but  
216 considering the most frequent OTUs; i.e., those that were detected in more than 30% of  
217 females or nestlings uropygial secretion sampled. Pearson correlation coefficients were  
218 used to explore whether OTU's prevalence in females and nestling samples were  
219 related. We did this analysis with all detected OTUs and also including only those that  
220 were present in more than 30% of females or nestlings sampled. Furthermore, we  
221 analyzed differences in the composition of bacterial communities hosted in uropygial

222 secretions of females and nestlings by one-way PERMANOVAs analysis (Jaccard's  
223 distance), taking into consideration all females and only non-moved nestlings. Trying to  
224 reduce probability of detecting significant differences among females and nestlings due  
225 to rare OTUs, we only considered those that appeared in more than 3 samples of  
226 females or nestlings. We used classical multidimensional scaling analysis, Principal  
227 Coordinates Analysis (PCoA) to graphically show variation in bacterial communities of  
228 uropygial secretions of females and nestlings. This technique represents the objects  
229 (communities) on a plot with canonical axes, where the distance between the objects  
230 shows their underlying similarity [33].

231         Cross-fostering experiments are a well-established approach for partitioning  
232 phenotypic variance in its genetic and environmental components in mixed statistical  
233 models that include the identity of nest of origin and rearing (nested within nest of  
234 origin) as random factors [34]. This experimental approach has been previously used to  
235 explore genetic and environmental influences determining cloacal bacterial assemblages  
236 in great tit (*Parus major*) [35], and the enterococci community of the uropygial gland of  
237 hoopoes [13]. Here, we performed cross-fostering experiments of nestlings of  
238 intermediate age to estimate the relative importance of early and late nestling periods  
239 explaining the whole microbiota of the uropygial gland of hoopoes. The effects of early  
240 nestling phase, which include genetic component and any pre-manipulation maternal  
241 and environmental effects, were estimated by the proportion of variance of microbiome  
242 composition explained by nest of origin and by mother-offspring (i.e. vertical  
243 transmission) and sibling-sibling comparisons. The effects of late nesting phase, which  
244 would only include environmental components, were estimated by the proportion of  
245 variance associated to the nest of rearing and by stepmother-offspring and sibling-  
246 stepsibling comparisons.

247           The similarity matrix among all bacterial communities of the sampled  
248 individuals were based in Jaccard's distance [36]. The similarity values were used as the  
249 dependent variables of PERMANOVA model using type III estimation of mean squares.  
250 This model try to explain similarity among nestlings including two random factors: nest  
251 of origin and nest of rearing (nested within nest of origin). For this model we used only  
252 the 28 nests for which we have information for moved and non-moved nestlings from  
253 the same nest of origin. Finally, for the 21 experiments with all the information (see  
254 above), we estimated mean values of similarities among bacterial communities of  
255 experimental nestlings and those of their genetic (reared in different nests but  
256 genetically related) or foster (reared in the same environment but genetically unrelated)  
257 siblings. We estimated for the same nests mean values of similarities among bacterial  
258 communities of experimental nestlings and their genetic or foster mothers.

259           All multivariate analyses and figures trying to explain similarity matrices  
260 (PERMANOVAs) were performed with PRIMER v7 (PRIMER-E) software (Anderson  
261 et al. 2008). Statistical inferences (e.g., P-values) of all PERMANOVAs were based on  
262 9999 permutations. Statistical tests trying to explain variation in bacterial richness and  
263 prevalence of different bacterial strains, as well as those comparing mean values of  
264 similarities estimated for genetically related and unrelated individuals, were performed  
265 with STATISTICA10 software [37].

266

## 267 **Results**

### 268 *Description of bacterial communities in uropygial secretions: prevalence, richness,* 269 *and composition*

270 We detected 143 different OTUs (length of the ITS fragment varying between 100 bp  
271 and 847 bp) in the bacterial community of the uropygial secretion of female and nestling

272 hoopoes, 141 of which were present in nestlings, and 116 in females. All except two  
273 OTUs that were detected in females at very low prevalence (143 bp and 603 bp, 2.22%  
274 and 4.44%, respectively) were also present in nestling samples. Prevalence of detected  
275 OTUs ranged from 0.61% (OTU with 847 bp) to 84.44% (OTU with 183 bp), and were  
276 similar for females and nestlings as shown by the strong positive relationships among  
277 their values (Appendix 1,  $R^2 = 0.89$ ,  $N = 143$ ,  $t = 34.2$ ,  $p < 0.0001$ ). This relationship  
278 was evident even when only considering the 28 OTUs that were present in more than  
279 30% of samples from females or nestlings (Fig. 1,  $R^2 = 0.73$ ,  $N = 28$ ,  $t = 8.44$ ,  $p <$   
280  $0.0001$ ). Richness of bacterial community of the uropygial secretions of nestlings (mean  
281 (SE) = 22.64 (0.66)) was also similar to that of females (mean (SE) = 21.78 (1.37)) ( $F =$   
282  $0.34$ ,  $df = 1$ ,  $207$ ,  $p = 0.55$ ). Additionally, composition of bacterial communities of  
283 nestlings and females did not significantly differ (one-way PERMANOVA,  $F = 1.53$ ,  $df$   
284  $= 1$ ,  $135$ ,  $p = 0.0572$ , Fig. 2).

285

### 286 *Effects of early and late nesting phase on the bacterial community*

287 The similarity matrix among bacterial communities of the uropygial gland of  
288 experimental nestlings was significantly explained by nest of origin and nest of rearing  
289 (Table 1). The proportion of variance explained by the nest of origin was relatively  
290 larger than that explained by nest of rearing (Table 1). This result suggests that the  
291 influence of the early nesting phase (i.e., genetic factors and/or pre-manipulation  
292 maternal and environmental effects) explaining uropygial microbiome of hoopoes was  
293 relatively larger than that of late nesting period (i.e., environmental influence and  
294 maternal effects after the experiment). This inference was further confirmed by the  
295 significantly larger similarity values of comparisons of siblings reared in different nests  
296 than those for comparisons of stepsiblings reared in the same nest (GLM,  $F = 19.33$ ,  $df =$

297 1, 20,  $p = 0.0002$ ; Fig. 3a). Results from comparisons of similarities between bacterial  
298 communities of cross-fostered nestlings and those of their foster and genetic mothers;  
299 (Fig.3b) were also in accordance with a relatively larger influence of the early nesting  
300 phases determining the bacterial community of the uropygial secretion of hoopoe  
301 nestlings (GLM,  $F = 20.42$ ,  $df = 1, 20$ ,  $p = 0.0002$ ).

302 Importantly, we found statistically significant effects of nest of rearing  
303 explaining bacterial community of nestling hoopoes (Table 1), which suggest that  
304 environmental conditions experienced by hoopoes during the late nesting phase also  
305 contribute to mould microbial community.

306

## 307 **Discussion**

308 Our main results are twofold. The first one is that bacterial communities of the  
309 uropygial secretion of hoopoe nestlings did not differ significantly from those of their  
310 mothers. The second group of results pointed out strong pre-manipulation effects  
311 explaining the composition of bacterial communities in experimental cross-fostered  
312 nestlings. We also detected a significant effect of nest of rearing, suggesting that  
313 environmental characteristics experienced after experimental treatment contributes to  
314 the microbiome of the uropygial secretion of hoopoes. Below, we discuss the  
315 importance of these findings for understanding the mechanisms of acquisition of  
316 bacterial symbionts by nestling hoopoes, and the implication for coevolutionary  
317 relationships between hoopoes and bacteria of their uropygial secretion.

318 Previous work has shown that the prevalence of cultivable bacterial strains (i.e.,  
319 enterococci) in the uropygial secretion of females and nestlings differs [13]. These  
320 differences were at least partially due to the effect of few enterococci strains that  
321 appeared at a higher prevalence in samples of females or nestlings [13]. However, when

322 considering the bacterial community as a whole, differences between females and  
323 nestlings did not reach statistical significance, and prevalence of different OTUs in  
324 samples from females and from nestlings correlated positively. In terms of bacterial  
325 diversity, even when considering the group of enterococci, estimates for females and  
326 nestlings did not differ significantly [13]. Thus, although prevalence of some OTUs in  
327 communities of females and nestlings may differ, the microbiome of the uropygial  
328 secretion of females and nesting hoopoes is quite similar.

329         Results from previous cross-fostering experiments performed by Ruiz-Rodríguez  
330 et al. [13] with recently hatched nestlings that were exchanged before they started to  
331 produce secretion (4 days old) strongly suggested that enterococci from the new  
332 environment are incorporated into the community of the uropygial secretion of  
333 nestlings, although some strains came from the uropygial secretion of mothers [13]. We  
334 here considered the whole microbiome of the uropygial gland of hoopoes, and  
335 performed the experiment with nestlings of intermediate age, once they started to  
336 produce uropygial secretion. Even with these nestlings, we also found a significant  
337 effect of nest of rearing and of nest of origin explaining variation in microbial  
338 community. Thus, the effect of nest of origin detected here also included environmental  
339 effects before the experiment and, accordingly, was stronger than that detected for  
340 enterococci community in cross-fostering experiments performed with 4-days-old  
341 nestlings. Moreover, the detected effect of nest of rearing of 8 days old cross-fostered  
342 nestlings confirms that experimental nestlings incorporate new bacteria to their  
343 uropygial microbiome once the uropygial gland is functioning. Consequently, results  
344 from these two experiments considered together suggest that nestling hoopoes acquire  
345 most bacterial symbionts during the first days of life, but that the microbiome of

346 hoopoes is dynamic and can be modified along the nestling period depending on  
347 environmental conditions.

348         The effect of either nest of origin or nest of rearing included possible maternal  
349 effects that respectively occur before (from genetic mother) and after (from stepmother)  
350 the experimental translocation of nestlings [34, 38]. Mechanisms of vertical  
351 transmission of symbionts is by definition a maternal effect that contributes to offspring  
352 phenotype, but that is genetically determined in mothers (i.e. indirect genetic effect, [39-  
353 41]). Thus, the detected effects of nest of origin on the microbial community of nestling  
354 secretions, as well as the relatively high similarities between related nestlings, and  
355 between nestlings and mothers, may be explained by direct vertical transmission of  
356 symbionts from mother to offspring. However, previous results suggested that direct  
357 contacts with mother or nest material are not necessary for hatchling hoopoes to develop  
358 normal uropygial glands and acquire enterococci symbionts [13]. Thus, it is unlikely  
359 that the strong influence of nest of origin, and the similarity among bacterial  
360 communities of related individuals detected here, were exclusively explained by vertical  
361 transmission. An additional interpretation of these results is that the first microbes to  
362 colonize the hatchling glands will exclude subsequent colonists. So an empty gland  
363 acquires any microbe, but this is biased in nature because the natal nest is dominated by  
364 microbes from the mother, and later from the hatchling itself after it has been colonized.  
365 Thus, the transmission would be technically horizontal (because it has an external  
366 phase) in this scenario, but the external phase is determined by the mother similar to  
367 vertical transmission.

368         An alternative explanation of the strong effects of nest of origin is that related  
369 hoopoes share characteristics of their uropygial gland and/or secretion (i.e., chemical  
370 properties) that influence the composition of the bacterial community established.

371 Bacteria from the environment that were compatible with characteristics of the  
372 uropygial gland and secretion of hoopoes would colonize hosts. Within the uropygial  
373 gland, competitive ability of different bacterial strains would depend on the particular  
374 environment (i.e. chemicals, resources, etc.) provided by hoopoes, which would  
375 determine the stabilized microbiome of the uropygial secretion [see 19]. Even if this  
376 was the explanation of the large detected microbiome similarities among relatives, the  
377 detected effects of nest of rearing suggest a plastic microbiome response to  
378 environmental changes after reaching certain stability level (i.e. uropygial gland  
379 functioning).

380 Nests of birds are considered as extended phenotypes of parents because nest  
381 site selection, nest building behavior, nest sanitation behavior, etc. are characters with  
382 strong genetic components [42, 43]. Thus, nests are indirect genetic (i.e., parental)  
383 effects for nestlings where natural selection would work [39]. Estimated effects of nest  
384 of rearing, but also most of those of nest of origin are likely associated to environmental  
385 characteristics of nests (included bacterial communities and characteristics of mothers).  
386 Thus, independently of the relative importance of genetic, environmental and maternal  
387 effects, the factors determining the bacterial community of the uropygial secretion of  
388 nestling hoopoes have a considerable genetic background to be modulated by natural  
389 selection.

390 Detecting mechanisms explaining how beneficial microbiomes are established  
391 and maintained within their hosts is a major question in evolutionary biology [5, 44].  
392 Here, we found a strong effect of nest of origin that likely included indirect genetic  
393 effects, but also evidence of an influence of the environment. This effect suggests the  
394 composition of the microbial community in the uropygial secretion of hoopoes for  
395 which evidence of beneficial effects for hosts are accumulating [23, 45-47] is plastic.



396 We expect that these results will encourage further research directed to detect factors  
397 driving phenotypic plasticity of the symbiotic microbiome of hoopoe uropygial gland,  
398 including physiological and morphological characteristics of the gland as well as  
399 characteristics of the microbiome of mothers, siblings and nests.

400

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409

#### 410 *Authorship statement*

411 JJS and MM-V designed the study, JJS and AM-G carried out statistical analyses. AM-  
412 G together with SRR performed molecular analyses. AM-G, LA and JMPS performed  
413 most of the field work. AM-G wrote the first version of the manuscript with substantial  
414 contribution from MRR and JJS. All authors contributed to the final version of the  
415 article.

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555 **Table 1.** Results of a PERMANOVA model explaining matrices of similarity among  
 556 the bacterial communities found in the uropygial secretions of hoopoe nestlings. The  
 557 model includes identity of nest of origin (genetic factor) and rearing (environmental  
 558 factor) nested within nest of origin. Bold p-values are those lower than 0.05.

Factors	Pseudo-F	df	p	Permutations	% Explained variance
(a) Nest of origin	3.56	27	<b>&gt;0.001</b>	9693	37.0
(b) Nest of rearing (nested in (a))	1.28	27	<b>0.013</b>	9737	14.9

559

560

561 **Figure 1.** Prevalence (%) of different bacterial OTUs (named by their length in terms of  
562 base pairs (bp)) found in more than 30% of samples from uropygial glands of hoopoe  
563 nestlings (N = 165) and females (N = 44).

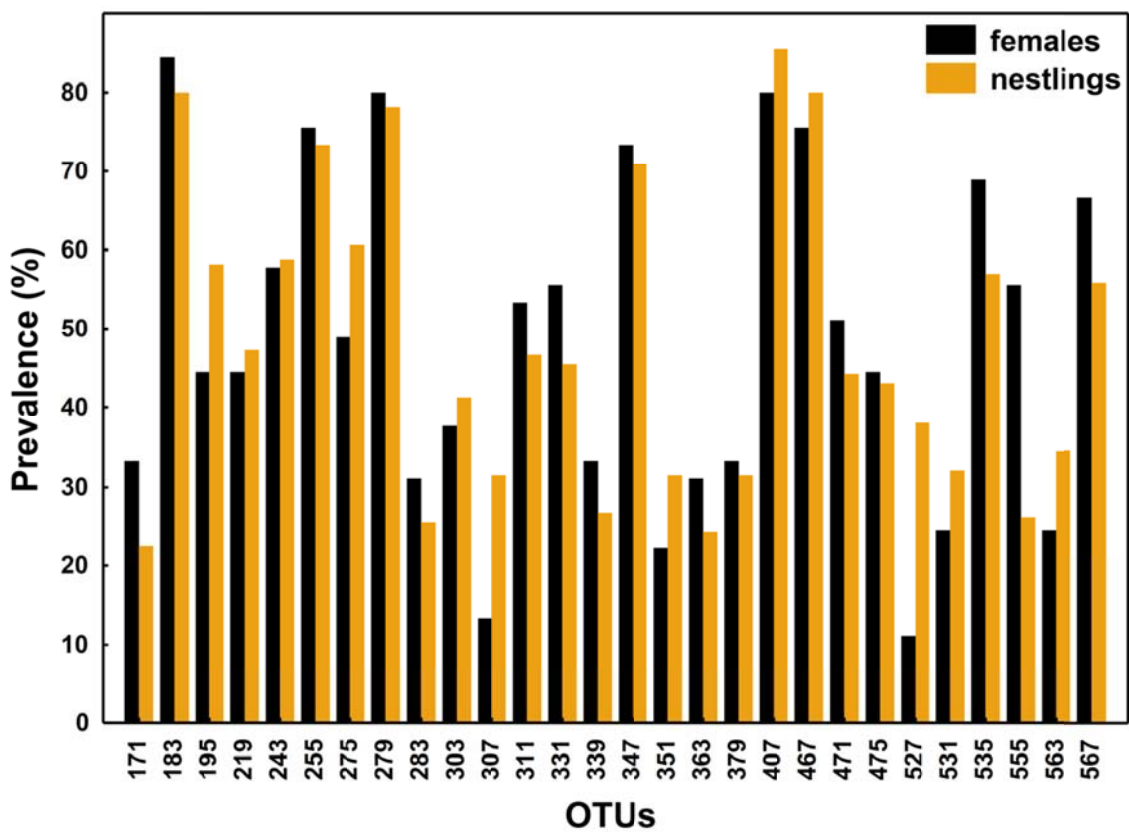
564 **The running ti 2.** Multidimensional space representation (PCoA) based on similarities  
565 of the OTUs communities harbored in uropygial secretions of hoopoe females and non-  
566 moved nestlings. The total variance explained is also shown (captured by the three axes  
567 = 33.1%).

568

569 **Figure 3.** Similarities in composition of bacterial communities (Jaccard's distance in  
570 percentage) among samples from cross-fostering experiments. (A) Similarity between  
571 uropygial secretions of nestlings that did not grow in their nests of origin (experimental  
572 nestlings) and genetic siblings reared in their native nests (between siblings), or foster  
573 siblings (between stepsiblings). (B) Similarities between microbiomes of the  
574 experimental moved nestlings and those of their genetic (mother - nestlings) or foster  
575 (stepmother - nestlings) mothers. Lines connect estimates of individuals from the same  
576 pair of cross-fostered nests.

577

579 Fig 1



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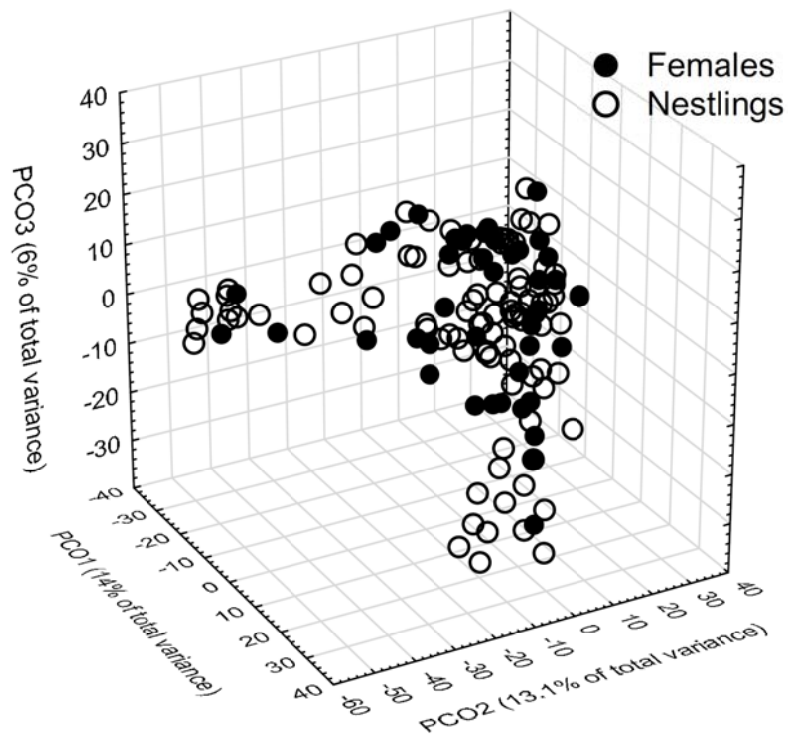
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583 Fig 2

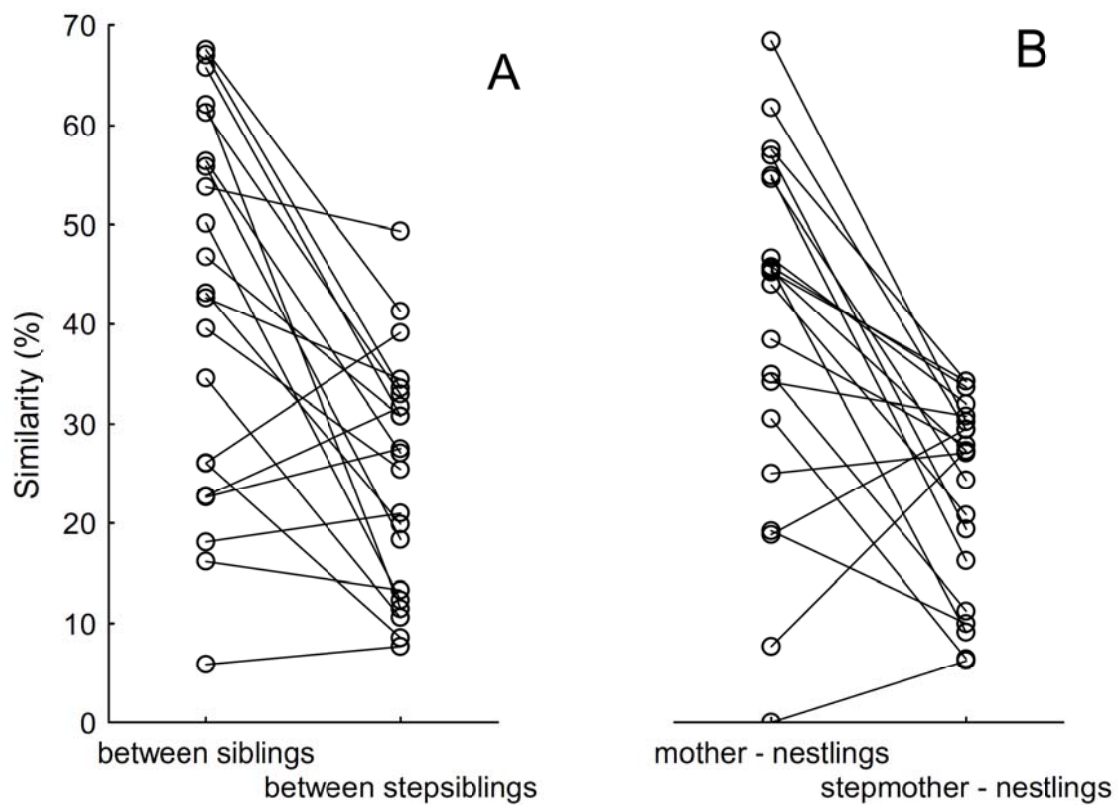
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587 Fig 3



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589 **Appendix 1.** Prevalence (%) of different bacterial OTUs (named by their length in terms of base pairs (bp)) found in  
 590 all sampled uropygial glands of nestlings (N=165) and females (N=44). Bold numbers show OTUs that were detected  
 591 in more than 30% of samples from females or nestlings

OTU	Females	Nestlings	OTU	Females	Nestlings	OTU	Females	Nestlings
100	11.11	9.09	295	0.00	1.21	499	2.22	5.45
103	2.22	1.82	299	22.22	13.33	503	0.00	1.21
107	0.00	0.61	<b>303</b>	37.78	41.21	507	6.67	4.85
111	6.67	10.30	<b>307</b>	13.33	31.52	511	28.89	25.45
115	4.44	1.21	<b>311</b>	53.33	46.67	515	17.78	12.73
119	0.00	9.09	315	4.44	7.27	519	17.78	14.55
123	0.00	3.64	319	28.89	28.48	523	15.56	16.97
127	15.56	16.97	323	4.44	10.91	<b>527</b>	11.11	38.18
131	13.33	27.27	327	13.33	28.48	<b>531</b>	24.44	32.12
135	11.11	15.15	<b>331</b>	55.56	45.45	<b>535</b>	68.89	56.97
139	28.89	16.36	335	11.11	24.24	539	11.11	10.91
143	2.22	0.00	<b>339</b>	33.33	26.67	543	2.22	17.58
147	13.33	22.42	343	6.67	15.15	547	0.00	2.42
151	4.44	13.33	<b>347</b>	73.33	70.91	551	6.67	6.06
155	8.89	21.82	<b>351</b>	22.22	31.52	<b>555</b>	55.56	26.06
159	2.22	7.88	355	17.78	13.33	559	15.56	8.48
163	6.67	4.24	359	2.22	2.42	<b>563</b>	24.44	34.55
167	0.00	4.24	<b>363</b>	31.11	24.24	<b>567</b>	66.67	55.76
<b>171</b>	33.33	2.42	367	15.56	16.97	571	8.89	12.73
179	2.22	3.03	371	0.00	1.21	575	2.22	5.45
<b>183</b>	84.44	80.00	375	0.00	0.61	579	11.11	4.24
187	8.89	10.91	<b>379</b>	33.33	31.52	583	17.78	18.79
191	17.78	20.61	383	0.00	0.61	587	13.33	10.91
<b>195</b>	44.44	58.18	387	0.00	1.21	591	2.22	2.42
199	15.56	13.94	391	4.44	5.45	595	8.89	4.85
203	4.44	1.21	395	2.22	6.06	599	6.67	4.24
207	0.00	1.21	399	11.11	6.06	603	4.44	0.00
211	2.22	3.03	403	6.67	5.45	611	6.67	4.24
215	4.44	6.67	<b>407</b>	80.00	85.45	619	4.44	2.42
<b>219</b>	44.44	47.27	411	11.11	13.94	639	2.22	1.21
223	4.44	3.03	415	2.22	1.21	647	8.89	4.85
227	8.89	4.85	419	8.89	18.79	651	0.00	0.61
231	15.56	10.91	423	24.44	21.82	659	2.22	4.24
235	17.78	2.42	427	13.33	20.00	667	0.00	0.61
239	11.11	10.91	431	0.00	2.42	675	0.00	0.61
<b>243</b>	57.78	58.79	439	8.89	8.48	679	0.00	0.61
247	15.56	6.67	451	2.22	4.85	699	2.22	9.70
251	2.22	2.42	455	4.44	1.82	703	0.00	1.82
<b>255</b>	75.56	73.33	459	2.22	3.03	711	2.22	2.42
259	2.22	6.06	463	4.44	3.64	715	0.00	0.61
263	20.00	18.79	<b>467</b>	75.56	80.00	719	0.00	0.61
267	0.00	1.82	<b>471</b>	51.11	44.24	731	0.00	1.21
271	15.56	18.18	<b>475</b>	44.44	43.03	755	0.00	0.61
<b>275</b>	48.89	60.61	479	15.56	14.55	767	4.44	2.42
<b>279</b>	80.00	78.18	483	2.22	1.21	775	0.00	0.61
<b>283</b>	31.11	25.45	487	2.22	2.42	779	0.00	0.61
287	6.67	10.91	491	24.44	17.58	847	0.00	0.61
291	0.00	6.67	495	4.44	8.48			

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