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6 **Performance evaluation of micro-aerobic hydrolysis of mixed sludge: Optimum**
7 **aeration and effect on its biochemical methane potential**

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9 S. MONTALVO^{1*}, F. OJEDA¹, C. HUILIÑIR¹, L. GUERRERO², R. BORJA³ and
10 A. CASTILLO¹

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12 ^{1*}*Chemical Engineering Department, Universidad de Santiago de Chile, Ave. Lib. Bdo*
13 *O'Higgins 3363, Santiago de Chile*

14 ²*Chemical and Environmental Engineering Department, Universidad Federico Santa*
15 *María, Valparaíso, Chile*

16 ³*Instituto de la Grasa (CSIC), Campus Universitario Pablo de Olavide, Edificio 46,*
17 *carretera de Utrera, km 1, 41013-Sevilla, Spain*

18

19 **ABSTRACT**

20

21 This study evaluated the performance of a micro-aerobic hydrolysis of mixed sludge and its
22 influence as a pretreatment of this waste for its subsequent anaerobic digestion. Three
23 experimental series were carried out to evaluate the optimum micro aeration levels in the
24 range from 0.1 to 0.5 vvm and operation times within the range 24-60 h. The maximum

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26 *Address correspondence to Professor Silvio Montalvo, Chemical Engineering Department
27 University of Santiago de Chile; phone : 56-2-27181826
28 e-mail: silvio.montalvo@usach.cl

29 methane yield (35 mL CH₄/g VSS added) was obtained for an aeration level of 0.35 vvm.
30 This methane yield value increased 114% with respect to that obtained with the non-aerated
31 sludge. In the micro aeration process carried out at an aeration level of 0.35 vvm, increases
32 in soluble proteins and total sugars concentrations of 185% and 192% with respect of their
33 initial values were found respectively after 48 h of aeration. At the above micro-aerobic
34 conditions, soluble COD augmented 150% while volatile suspended solids (VSS) content
35 decreased until 40% of their initial respective values. Higher COD_s increases and VSS
36 decreases were found at 60 h of micro-aeration, however, the above parameters did not vary
37 significantly with respect to the values found at 48 h.

38

39 **Keywords:** Aerobic hydrolysis, anaerobic digestion, methane production, mixed sludge.

40

41 INTRODUCTION

42

43 The anaerobic process, commonly called anaerobic digestion, is the most used
44 treatment technology for organic matter removal from primary and waste activated sludge
45 (WAS).^[1-3] The benefits associated with anaerobic technology include mass reduction, odor
46 removal,^[4-5] pathogen reduction, and more significantly, energy recovery in the form of
47 methane.^[6] However, it should be emphasized that sludge contains 10 times the energy
48 required to treat it. It has been proven to be technically feasible to recover energy from the

49 sludge, which can be directly used in wastewater treatment or be sold to the network,
50 reducing the facility's dependency on conventional electricity and helping the stressed
51 public budgets. Nevertheless, the anaerobic digestion of sewage sludge has several
52 disadvantages such as relatively low methane production, 30 - 50 % biodegradability and
53 the presence of some inhibitory compounds that make necessary the use of high retention
54 times in the digesters with high mixing costs.^[7-9] These limiting factors are generally
55 associated with the hydrolysis stage.^[10-11] During hydrolysis, cell walls are ruptured and
56 extracellular polymeric substances (EPS) are degraded resulting in the release of readily
57 available organic material for the acidogenic microorganisms. This mechanism is
58 particularly important in mixed sludge digestion, since the major constituent of its organic
59 fraction are cells, being a relatively unfavorable substrate for microbial degradation.

60 In order to avoid or reduce the existence of the hydrolysis process in the sludge anaerobic
61 digestion several methods have been studied, alone or in combination such as thermal^[12-14]
62 , physical-chemical^[15-16] and mechanical.^[17-18] Some biological methods have been also
63 investigated.^[19-20]

64 Among biological, the use of micro aeration as a treatment prior to anaerobic digestion has
65 received less attention, even though it has been reported that the limited oxygen supply
66 caused an increase of the enzymatic hydrolysis rates for the case of complex organic matter
67 in batch tests.^[21] Results from some micro aerobic research carried out with municipal solid
68 wastes and other wastes suggest that hydrolysis, and therefore, the COD solubilization
69 might be improved by micro aeration.^[22-24] However, the presence of oxygen in the
70 anaerobic digestion can also produce a problem regarding to the use and degradation of
71 organic matter. In presence of oxygen, there is a competition by the substrate between
72 facultative and strict anaerobes^[25], which could reduce the organic matter available for

73 methanogenic archaea, because part of the substrate is used for the production of CO₂ and
74 biomass by facultative microorganisms. Therefore, the management of micro-aeration
75 should be carefully carry out in order to improve the hydrolytic rate with a minimum
76 consume of organic matter by facultative microorganisms with CO₂ and biomass
77 production.

78 Regarding the effect of micro-aeration on sewage sludge anaerobic digestion, few studies
79 have been reported. Jenicek et al.^[26], using a continuous bioreactor and pumping air
80 continuously into the sludge recirculation stream, showed that VSS/TSS ratio of the
81 digested sludge, soluble COD concentration, ammonia nitrogen and phosphate
82 concentration decreased in all experiments with micro aerobic conditions. Jenicek et al.^[27]
83 working with a continuous stirred tank reactor (CSTR) under continuous micro aeration,
84 indicated that efficient H₂S removal, higher specific methane production, lower methane
85 concentration in biogas due to dilution by nitrogen remained from the air dosed were
86 achieved in the anaerobic digestion of the pre-aerated sludge, while better sludge liquor
87 quality and lower foaming potential and foam stability were observed in the pre-treated
88 sludge after using micro aeration. Montalvo et al.^[28] determined that applying 0.3 vvm, and
89 at temperature of 35 C were the best operational conditions increasing hydrolysis rate of
90 mixed sludge. However they did not find the optimum amount of air for hydrolysis nor
91 tested the effect of aerobic hydrolysis to different aeration rates on anaerobic digestion
92 sludge

93 Given this background, the behavior and performance of the micro aerobic hydrolysis of
94 mixed sewage sludge looking for the optimum level of aeration were evaluated in the
95 present research work with the aim of achieving maximum efficiency of hydrolysis. The
96 effect of the micro-aeration level of the mixed sludge on the methane yield was also

97 evaluated in biochemical methane potential tests carried out at 35 °C in batch mode,
98 comparing the results obtained with the pre-aerated sludge with those achieved for control
99 sludge without pre-aeration.

100

101 **MATERIALS AND METHODS**

102

103 Three experimental runs were carried out at laboratory-scale. A summary of the operating
104 conditions assayed in each experimental run is shown in Table 1.

105 The substrate used in all the assays was a mixed sludge (mixture of waste activated sludge
106 and primary sludge). This mixed sludge was obtained from the urban wastewater treatment
107 plant (UWTP) called “La Farfana”, which is located in Santiago de Chile city. Each studied
108 experimental run was carried out by duplicate.

109 The experimental run I was performed with one sample of mixed sludge while the
110 experimental series II and III were carried out with four samples of mixed sludge taken
111 during different times along the day with the aim of obtaining more representative results.

112 In the experimental run I, batch reactors of 5 L of total volume and 4 L of working volume
113 were used for the micro aeration process. After micro aerobic pretreatment, 200 mL of
114 pretreated sludge is passed into batch anaerobic digesters of 280 mL of total volume. After
115 that, 50 mL of anaerobic inoculum is added to each anaerobic digester. The anaerobic
116 inoculum came from the anaerobic plants that digest waste activated sludge at “La Farfana”
117 UWTP. This anaerobic inoculum had a VSS concentration of 10 g/L and a specific
118 methanogenic activity of 0.32 g COD-CH₄/(g VSS·d). The anaerobic digesters were sealed
119 and headspace flushed with N₂ at the beginning of the test. The produced biogas was
120 measured by liquid displacement after going through a 3% (w/w) NaOH solution to capture

121 the produced CO₂; the remaining gas was assumed to be only methane. The anaerobic tests
122 were run for a period of c.a. 20-25 days until the accumulated gas production remained
123 essentially unchanged, i.e. on the last day production was lower than 3% of the
124 accumulated methane produced. The main objective of this experimental run was to obtain
125 the best aeration level within the range from 0.1 to 0.5 vvm (volume of air/(volume of
126 reactor · minute)) taken into account as criterion the methane production achieved in the
127 batch anaerobic digestion experiment of the pre-aerated sludge. The experiment
128 corresponding to an aeration level of 0.3 vvm was extended until 60 h with the aim of
129 assessing the evolution of proteins and total sugars with time.

130 The experimental run II had two main objectives: firstly, to obtain the optimum aeration
131 level and, secondly, to assess the influence of aeration time on the hydrolysis process. After
132 this run, no batch anaerobic digestion experiments of the pre-aerated sludge were made.

133 Finally in the experimental run III, the performance of the micro-aerated hydrolysis was
134 evaluated from the evolution of different parameters such as soluble proteins and sugars
135 with time and its influence on the methane production of the pre-aerated sludge. In this run
136 II, it was used the best aeration level obtained in the second experimental run (0.35 vvm).

137 The operating temperature selected for the micro aerobic hydrolysis experiments was 35 °C
138 according to previous results reported in the literature.^[28] This temperature was also used
139 for batch anaerobic digestion experiments of the pretreated sludge because it coincides with
140 the optimum temperature reported for achieving maximum methane production within the
141 mesophilic interval.^[7]

142 All chemical analysis were determined according to American Public Health Association
143 Standard Methods.^[29] Specifically, chemical oxygen demand (COD) and volatile suspended
144 solids were analysed according to the closed digestion and colorimetric 5220D method and

145 2540B method, respectively of the Standard Methods (APHA, 2012).^[29] pH was determined
146 using a pH-meter model Crison 20 Basic.

147 Soluble proteins and total sugars were determined using the ASTM D5712 and ASTM
148 D6406 standard methods, respectively.

149 For the statistic processing and analysis of the data, the software Minitab 8 was utilized. An
150 ANOVA analysis was done and a comparison of confidence intervals for mean values was
151 made with a confidence of 95%.

152

153 **RESULTS AND DISCUSSION**

154

155 No significant differences in the behavior of duplicate reactors were observed in all assays.

156 Therefore, only the results of one of the duplicate reactors will be shown.

157

158 Assay I

159

160 Figure 1 shows the evolution of the methane production (measured as mL methane/g of
161 volatile suspended solids (VSS) added), which will allow to assess the variation of the
162 accumulated methane with time per each gram of VSS added at the beginning of the
163 anaerobic digestion process.

164 As can be seen for an aeration level of 0.1 vvm only a small increase in methane production
165 was observed compared to the control (not subjected to aeration). An ANOVA analysis of
166 the data revealed that this difference in production of methane was not statistically
167 significant with a confidence level of 95%. In this case, the following values of statistical
168 parameters were obtained: $F=0.141$; $p=0.709$; $F_{critical}=4.130$ ($F_{critical}$ value was higher than

169 F). By contrast, for an aeration level of 0.3 vvm an increase of 114% in the methane
170 production of the pre-aerated sample was achieved compared to the control without
171 aeration. In this case, the difference was statistically significant as was shown in the
172 statistical analysis ($F = 6.789$; $p = 0.013$; $F_{\text{critical}} = 4.149$). Finally, for the experimental run
173 of 0.5 vvm, no significant differences between the methane production of the pre-aerated
174 sludge and the control were detected ($F=0.044$; $p=0.834$; $F_{\text{critical}}=4.130$), which
175 demonstrates that a high aeration may not be beneficial, and, even, harmful for the
176 anaerobic digestion process.

177 Therefore, according to these results an aeration level of 0.3 vvm contributes to better
178 performance of methanogenic microorganisms confirmed by the methane production
179 obtained in this case. When the aeration level increased up to 0.5 vvm a decrease in
180 methane production was observed as consequence of the higher concentration of dissolved
181 oxygen, which inhibited the metabolism and activity of methanogens. Gerritse and
182 Gottschal^[30] demonstrated that an aeration level of 0.1 vvm did not increase the methane
183 formation compared to a control sample. However, a short time of exposure to oxygen did
184 not reduce the methanogenic activity and under these conditions the methanogens can
185 survive more time than previously expected.^[31] For instance, oxygen concentration levels
186 in the range of 4.9-6.4 mg/L are required to inhibit 50% of the methanogenic activity.^[32]
187 All these results clearly indicated that an adequate optimization of oxygen supply to
188 aeration is required in all cases to avoid the inhibition of methanogenic activity.

189 As was shown in Figure 1, the maximum methane yield (35 mL CH₄/g VSS added) was
190 obtained for an aeration level of 0.3 vvm. This value represents an increase of 114% in
191 methane production compared to that obtained with the non-aerated sludge. This increase in
192 the methanogenic activity is attributed to an improvement in the growth of facultative

193 anaerobic microorganisms, which can keep a low redox potential, providing the best
194 conditions for the growth of strict anaerobes.^[23]

195 Table 2 shows the effect of aeration on the final pH of the reactors. As can be seen, a pH
196 increase of 7.1% and 3.2% was achieved in the reactors with aeration and non-aeration,
197 respectively. This pH increase can be due to two main factors: firstly, the hydrolysis of
198 proteins produces the liberation of amino groups (-NH₂), which are converted into
199 ammonia (NH₃), which when is dissolved generates ammonium (NH₄⁺) and hydroxyl ions
200 (OH⁻), causing a pH increase.^[33] Second, when micro-aeration is applied, a CO₂ stripping
201 takes place by the air supplied to the process.

202 Figures 2 shows the evolution of the soluble proteins (measured as equivalent concentration
203 of bovine serum albumin, BSA) during the micro-aeration process at 0.3 vvm of the three
204 samples of sludge.

205 As can be seen in Figure 2, an increase in the soluble proteins of 185% was observed after
206 48 h of aeration (at 0.3 vvm), while that an increase of only 7.8% was detected in the non-
207 aerated reactor. The evolution of the soluble proteins with time had a behavior of bell-
208 shaped, achieving a maximum value at 48 h of aeration. This behavior can be explained
209 taking into consideration that a part of the mixed sludge is composed by waste activated
210 sludge, which consisted of flocs formed by clusters of cells and organic matter within a
211 viscous material. For this reason, there was an increase in soluble proteins until 48 h, which
212 were released and at the same time the insoluble proteins retained in the flocs could also be
213 solubilized. This process is called de-flocculation, and is caused, among other factors, by a
214 decrease in the aeration of the activated sludge process, reducing the amount of dissolved
215 oxygen, which coincides with the operating parameters in the present work, where low air

216 flows were injected, causing the low amount of dissolved oxygen in the reactors, its
217 concentration was found to be zero in all measurements carried out.

218 Another possible explanation of the increase in the protein concentration during the first 48
219 h of aeration is the solubilization of insoluble proteins found in the organic matter of the
220 sludge by proteases enzymes.

221 Finally, once the maximum protein concentration was achieved at 48 h, a decrease in the
222 protein content was observed due to its degradation by non-hydrolytic bacteria.

223 Figure 3 shows evolution of total sugars (measured as equivalent concentration of glucose).

224 As it is known hydrolysis is the rate-limiting step for the carbohydrates conversion.^[34]

225 Therefore, the total sugar concentration also increased in a similar manner during the first
226 48 h of aeration, obtaining an increase of 192% with respect of its initial value (Figure 3).

227 This rise can be mainly attributed to the hydrolysis of polysaccharides to monosaccharides
228 when micro-aeration is applied, which is extremely beneficial for anaerobic digestion. A
229 maximum value in the sugar concentration was achieved at 48 h of aeration, after which a
230 soft decrease was detected. This may be attributed to a certain conversion of
231 polysaccharides after this time and the beginning of the transformation of the
232 monosaccharides. By contrast, the increase in the total sugars in the non-aerated reactor
233 was only of 3%.

234 Table 3 summarizes the values of the concentration of total ammonia (TAMON), total
235 COD (COD_T), soluble COD (COD_S) and VSS (average of the three samples of mixed
236 sludge) after micro-aeration (0.3 vvm) during 48 h and after non-aeration conditions.

237 The results shown in Table 3 indicate that the micro aeration process of mixed sludge
238 influence the significant increase of ammonia nitrogen, which is due to the hydrolysis of
239 proteins and their further conversion to amino-acids and ammonia nitrogen. This agrees

240 with the increase of pH from 5.8 to 6.0-6.2, which results beneficial for the anaerobic
241 digestion process of the pre-aerated sludge. Despite the increase in ammonia concentration
242 up to values of 920 mg/L, this value cannot be considered as toxic or inhibitory for
243 anaerobic process, whose values range from 1500-3000 mg/L^[35-36] The COD_S also
244 increased both in the non-aerated as in the micro-aerated reactors. However, in the reactors
245 with micro-aeration, the COD_S increased 150% with respect of its initial value, while in the
246 non-aerated ones this increase was only of 27%. These values showed that micro-aeration
247 promotes the hydrolysis of the complex organic matter and its conversion to soluble matter
248 with a considerable rise of the COD_S as a result of the enzymatic reaction.^[37]

249 On the other hand, the COD_T experimented a relatively small decrease in both cases
250 studied, being this decrease quite less for the non-aerated reactor. This implies that the
251 complex organic matter can be transformed into more simple matter but never to develop a
252 removal process of organic matter aerobically, which would imply a much higher energy
253 expenditure.

254 Finally, the VSS concentration also decreased in all reactors, although this decrease was
255 quite higher in the micro-aerated reactors (40%) compared to that achieved in the control
256 reactors (11%). This again shows the micro-aeration promote the hydrolysis of the complex
257 organic matter, and this matter is precisely the substrate of enzymatic reaction.^[38]

258

259 Assay II

260

261 Figure 4 shows the evolution of the COD_S with time for each one of the four samples of
262 mixed sludge (A, B, C and D) subjected to micro-aerobic hydrolysis using aeration levels
263 of 0.3, 0.35, 0.4, 0.45 and 0.5 vvm. As can be seen, an increase in COD_S with the aeration

264 time was observed in most cases. Specifically, increases in COD_S of between 100% and
265 383% were observed for the three experimental runs carried out (Figures 8 B, C and D)
266 when the aeration intensity increased from 0.3 to 0.5 vvm. Therefore, when aeration was
267 increased between the mentioned values, the COD_S was at least doubled. For the best
268 aeration level tested (0.35 vvm) an increase in the solubility from 8% to 23% was obtained
269 when the aeration time increased from 48 to 60 h.

270 The variation of the VSS with time for the four experimental runs carried are with different
271 aeration intensities is shown in Figure 5. A decrease in the VSS content was observed for
272 all the cases studied. VSS reduction percentages in the range from 11.5% to 23.6% were
273 achieved after 60 h of aeration. This reduction represents the decomposition of the complex
274 organic matter that is transformed in the substrate of the hydrolytic reactions. The highest
275 VSS removal was reached with an aeration level of 0.35 vvm. Therefore, the use of a
276 controlled aeration with a specific aeration level allow eliminating certain non-
277 biodegradable compounds or compounds more difficult to biodegrade using only anaerobic
278 digestion.^[39] VSS reductions of between 2-5% were only reached between the 48 and 60 h
279 of aeration for the experiments carried out with an aeration level of 0.35 vvm.

280 Finally, the VSS removal percentages obtained at an aeration level of 0.35 vvm (23.6%)
281 were higher than others reported in the literature using an increase of temperature between
282 40 and 50°C (20% VSS reduction) instead of a micro-aeration step.^[40]

283

284 Assay III

285

286 Figure 6 shows the variation of the COD_T with time during the anaerobic digestion
287 processes of the non-aerated sludge (control) and micro-aerated sludge at 0.35 vvm. As can

288 be seen a higher COD_T removal efficiency was always observed for the micro-aerated
289 sludge compared to the control, being the final COD_T removal efficiency for the pre-aerated
290 sludge at 23th day of digestion time 17% higher than for the control, difference that can be
291 considered statistically significant.

292 Figure 7 illustrates the variation of the VSS with digestion time for the anaerobic digestion
293 of micro-aerated sludge and control. As can be seen a clear decrease in the VSS content
294 with time was observed from the beginning of the process, in which the hydrolytic stage
295 takes place, achieving low values of this parameter, especially in the case of the pre-aerated
296 sludge. Similar trends in the evolution of VSS with time were reported by Diak et al.^[41],
297 although final VSS values close to 15000 mg/L were obtained in this case, being this value
298 much higher than those reached in the present work

299 Figure 8 shows the variation of methane production (measured as accumulated CH₄ in
300 mL/g VSS added) as a function of the digestion time, which allows evaluating the volume
301 of methane produced per each gram of VSS added at the beginning of the anaerobic
302 digestion process. According to the data shown in this Figure, a significant increase (110%)
303 in the production of methane was obtained when applying to the mixed sludge aerobic
304 hydrolysis compared to the digestion process of non-aerated sludge. These results are
305 consistent with the evolution of the COD and VSS presented previously. Lower increments
306 in the methane yield (21%) were previously reported during the anaerobic co-digestion of
307 brown water and food waste (during 45 day operation time) previously subjected to an
308 micro-aerobic pretreatment with an aeration intensity of 0.0375 L O₂/L_{reactor}/day.^[41]

309

310 **CONCLUSIONS**

311

312 This study demonstrated that biological hydrolysis by micro aeration of mixed sludge from
313 urban wastewater treatment plants is an effective pretreatment of this waste for its
314 subsequent anaerobic digestion. The most efficient aeration level to increase significantly
315 the methane yield of the micro aerated sludge compared to a control without pre-aeration
316 was 0.35 vvm. Although higher COD increases and VSS decreases were found at 60 h of
317 micro aeration, the above parameters did not vary significantly with respect to the values
318 found at 48 h. Therefore, it would be necessary carefully evaluate the need to extend the
319 aeration for more than 48 h since the process improvement was not significantly increased
320 after 2 days of aeration. The application of a hydrolysis by micro aeration also allowed
321 stimulating the production of exoenzymes that carried out the degradation of slowly
322 biodegradable compounds which were otherwise resistant to degradation under completely
323 anaerobic conditions.

324

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326

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329

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FIGURE CAPTIONS

457 **Figure 1.** Variation of the methane production with time for the different experiments
458 carried out in the assay I

459 **Figure 2.** Evolution of the soluble protein concentration during the micro-aeration process
460 at 0.3 vvm

461 **Figure 3.** Evolution of the total sugars concentration during the micro-aeration process at
462 0.3 vvm

463 **Figure 4.** Effect of the aeration level on the COD_S concentrations during the micro-aerobic
464 hydrolysis of the four samples of mixed sludge from urban wastewaters

465 **Figure 5.** Effect of the aeration level on the VSS contents during the micro-aerobic
466 hydrolysis of the four samples of mixed sludge from urban wastewaters

467 **Figure 6.** Evolution of the total COD (COD_T) with time in the anaerobic digestion
468 processes of the non-aerated and micro-aerated mixed sludge

469 **Figure 7.** Evolution of the VSS with time in the anaerobic digestion processes of the non-
470 aerated and micro-aerated mixed sludge.

471 **Figure 8.** Variation of the methane production (mL CH₄/g VSS) with time in the anaerobic
472 digestion processes of the non-aerated and micro-aerated mixed sludge.

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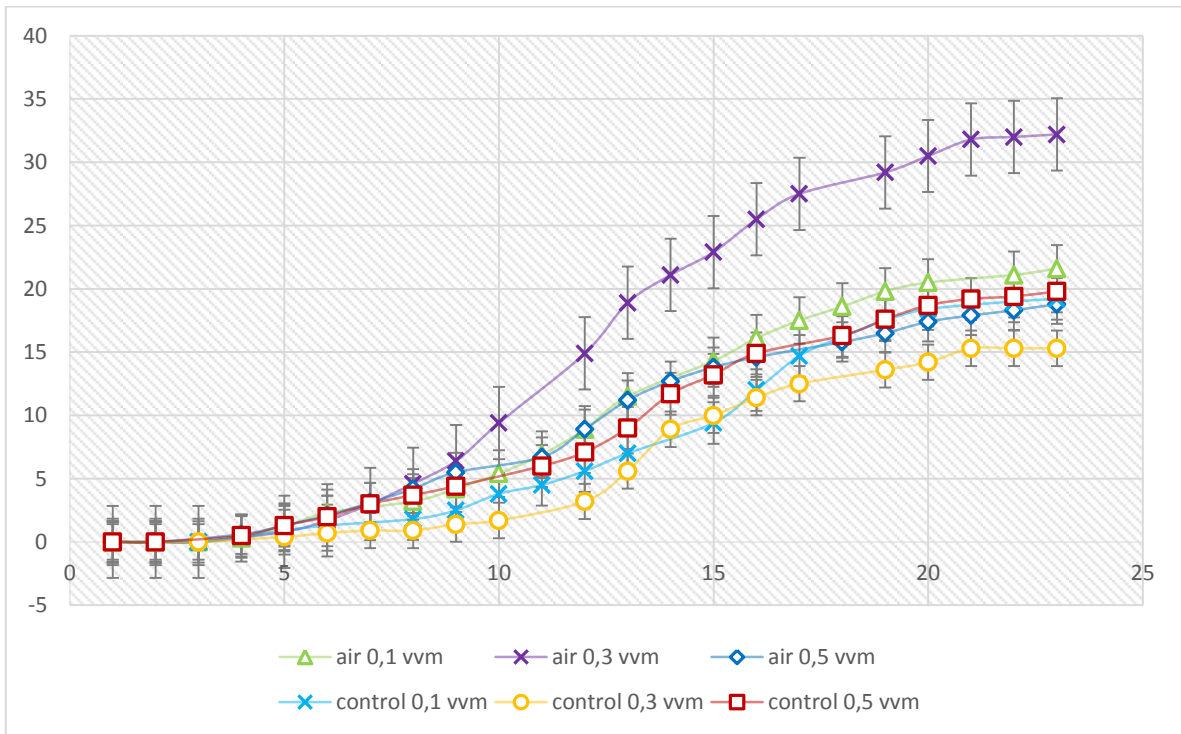
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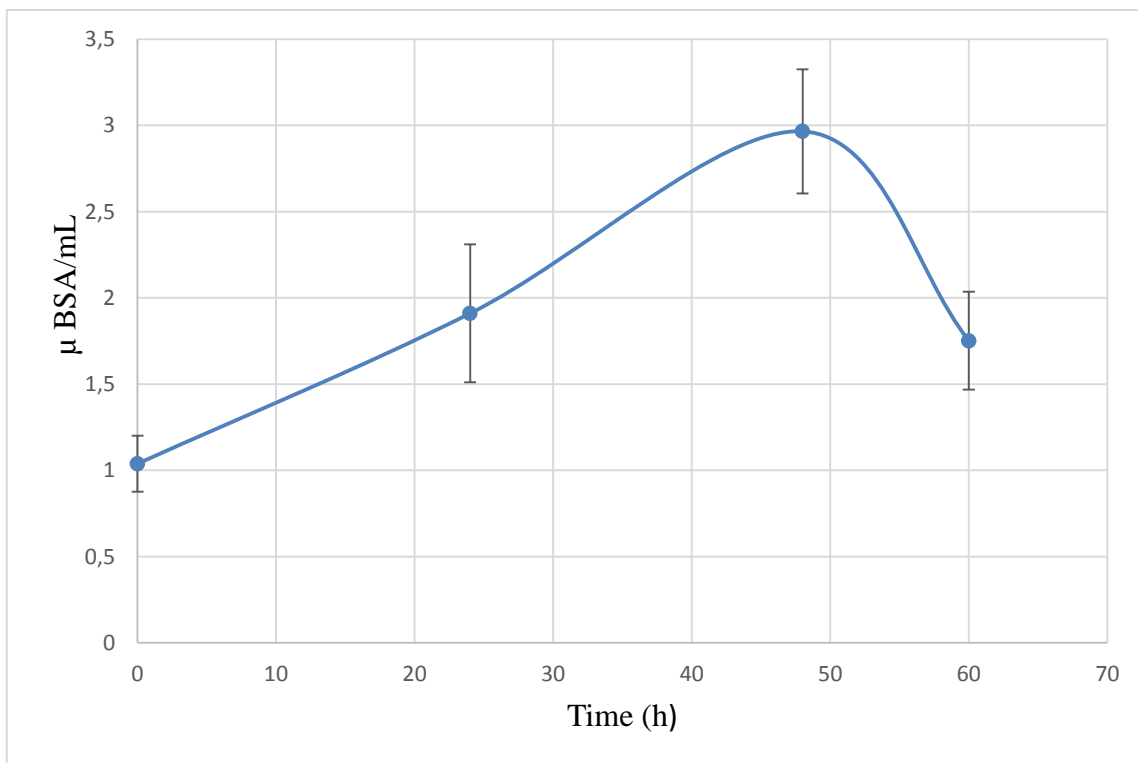


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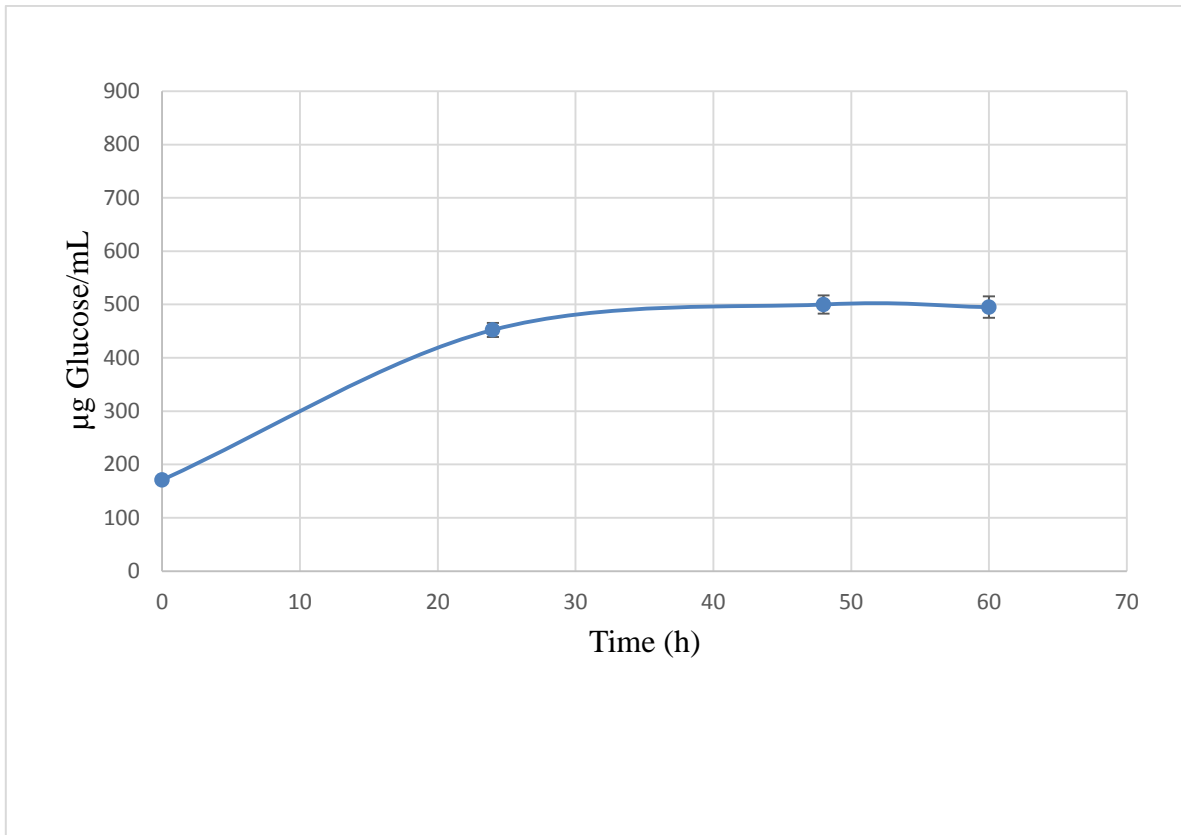
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485 Figure 2.

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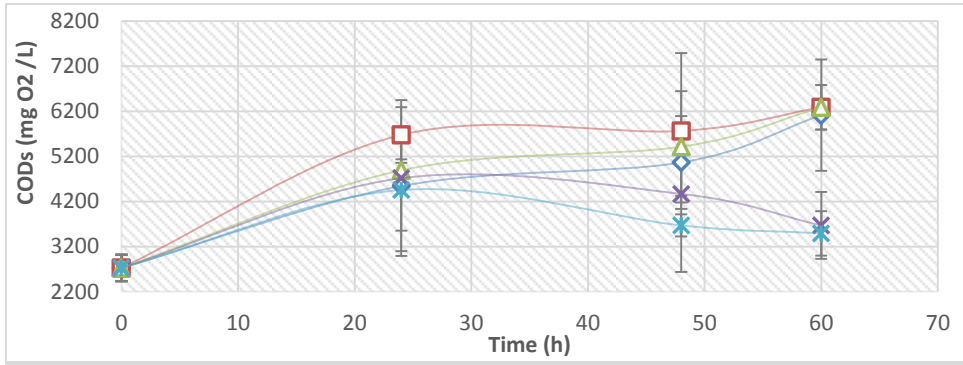
488 Figure 3.

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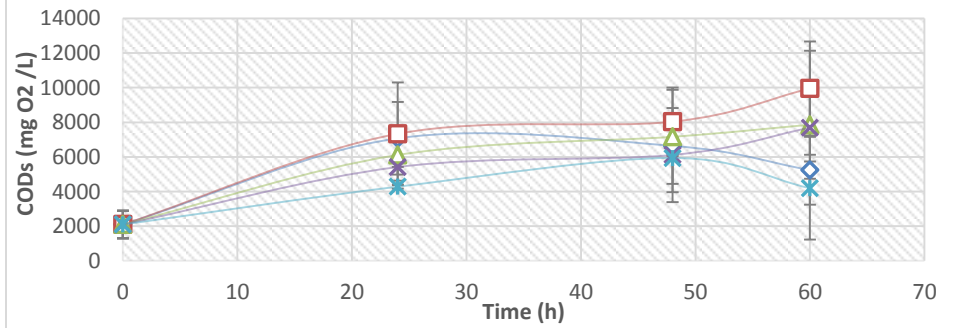
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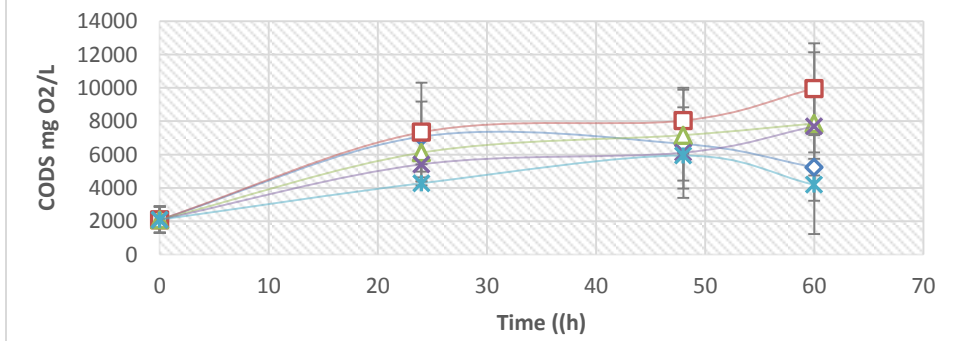
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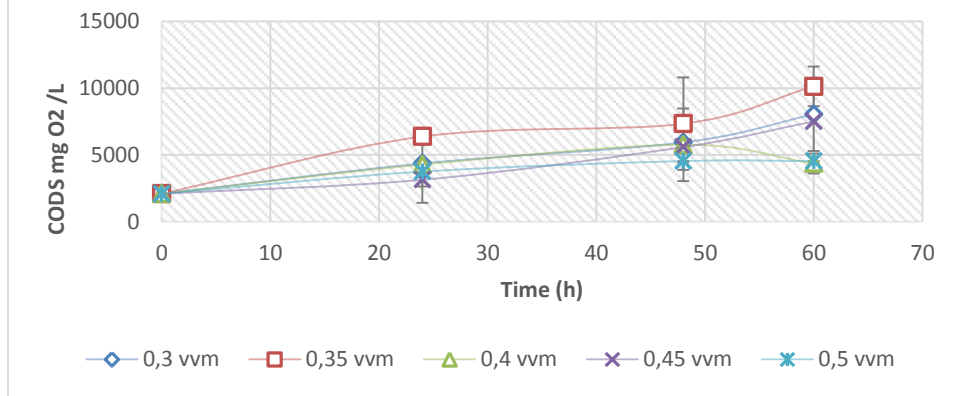
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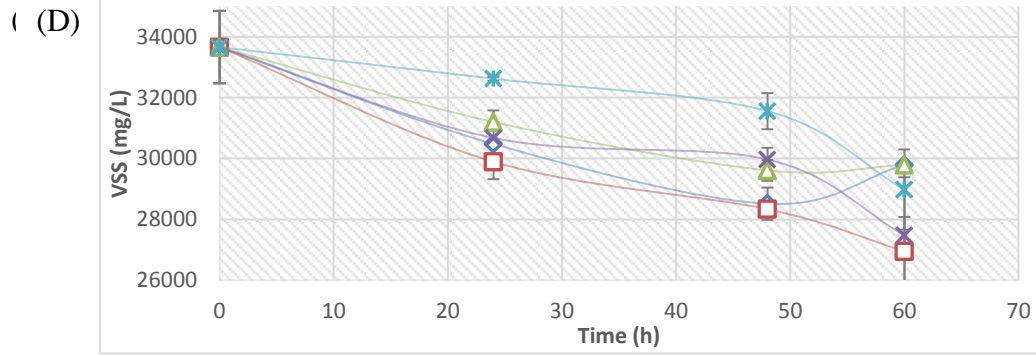
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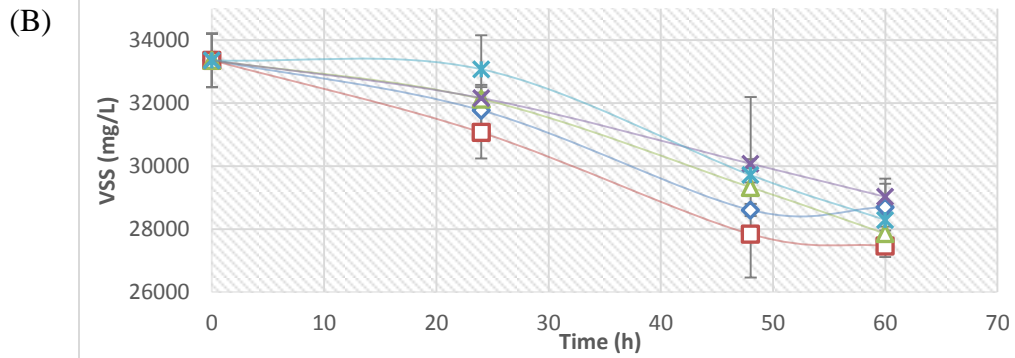


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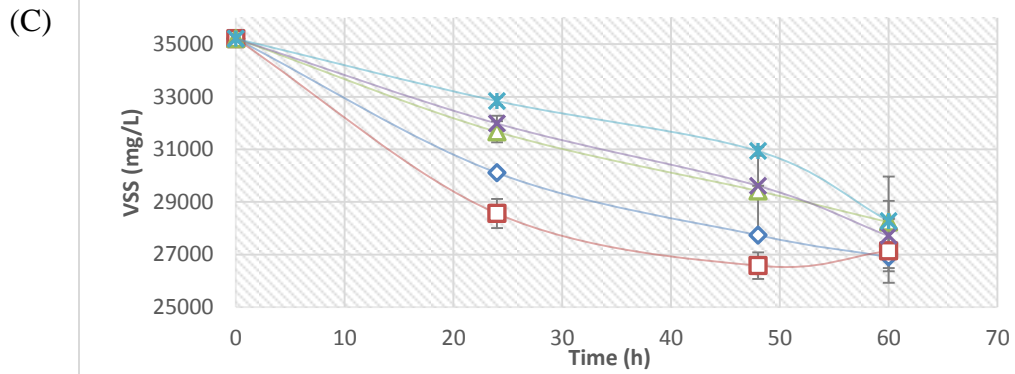
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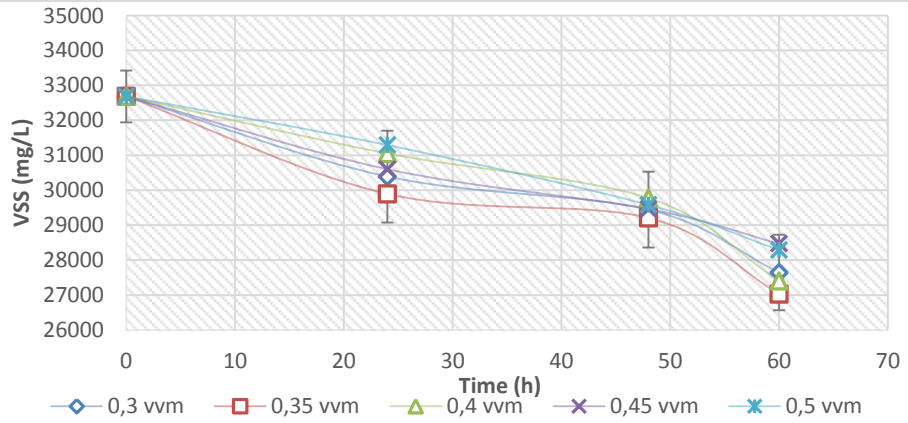
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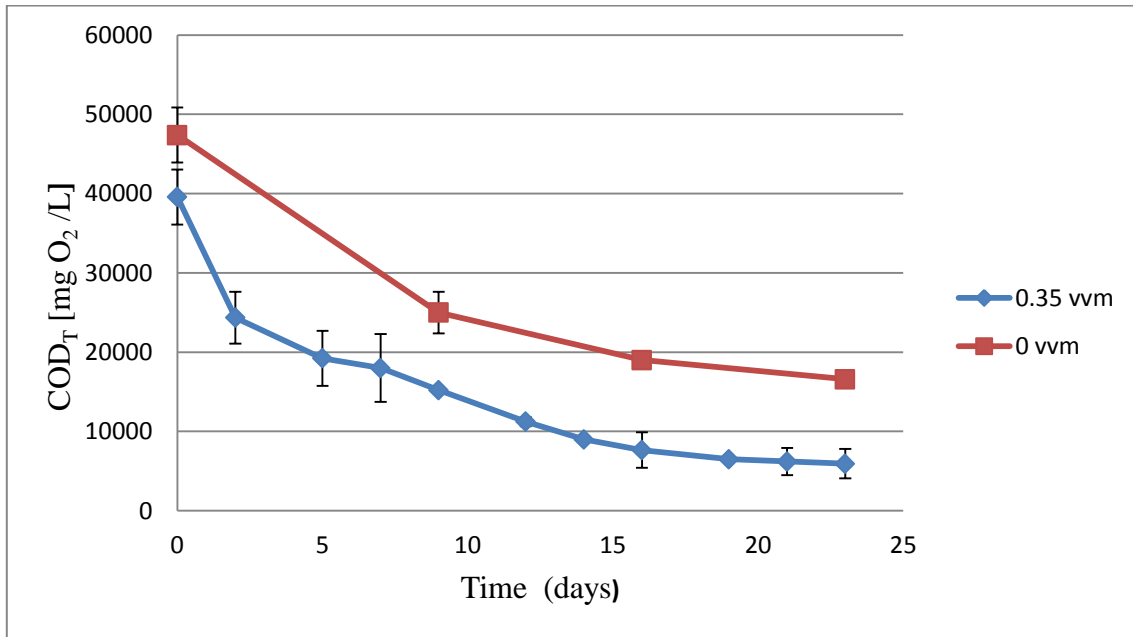


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500 Figure 5.

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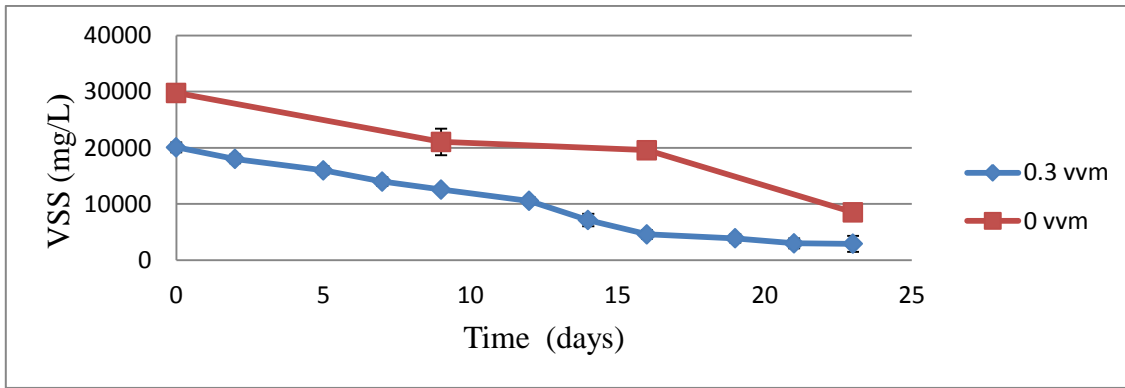
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513 Figure 7.

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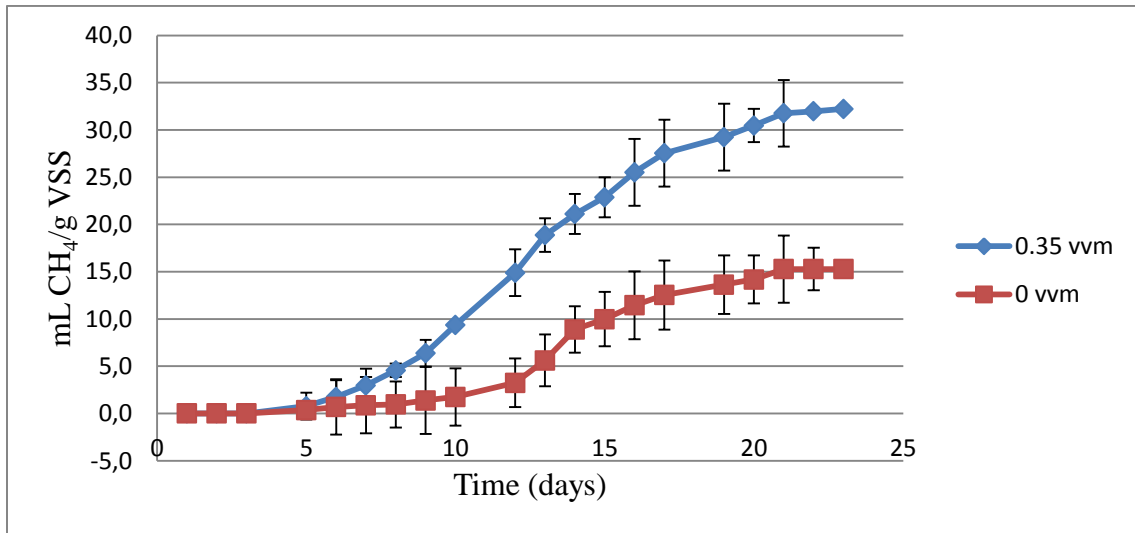
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525 Figure 8.

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541 **Table 1.** Operational conditions of the three experimental runs carried out

# Assay	Aeration level (vvm)	Aeration Time (hours)	Operating Temperature (°C)	Batch anaerobic digestion at 35°C
I	0	48	35	YES
	0.1	48	35	YES
	0.3	48	35	YES
	0.5	48	35	YES
III	0.3	24 – 48 - 60	35	NO*
	0.35	24 – 48 - 60	35	NO*
	0.4	24 – 48 - 60	35	NO*
	0.45	24 – 48 - 60	35	NO*
	0.5	24 – 48 - 60	35	NO*
III	0	48	35	YES
	0.35	48	35	YES

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550 **Table 2.** Influence of aeration on the final pH of the reactor

Aeration level [vvm]	Initial pH (t=0 h)	Final pH (t=48 h)
0	5.80 ± 0.05	6.00 ± 0.04
0.35	5.80 ± 0.05	6.20 ± 0.03

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570 **Table 3.** Variation of the concentration of total ammonia (TAMON), COD_T, COD_S and
 571 VSS after the micro-aeration and non-aeration processes

Parameter (mg/L)	Aeration level (vvm)	Aeration time (hours)		Increase (+) o decrease (-) (%)
TAMON	0	0	48	25 (+)
		300	375	
	0.3	300	920	207 (+)
COD _T	0	48900	47433	3 (-)
	0.3	48900	39609	19 (-)
COD _S	0	2500	3175	27 (+)
	0.3	2500	6250	150 (+)
VSS	0	33457	29776	11(-)
	0.3	33457	20074	40 (-)

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