

**Molecular, genetic, patient and surgical factors involved  
in the development and outcome of central nervous  
system tumours**

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## **Abstract**

**The University of Manchester**

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### **Molecular, genetic, patient and surgical factors involved in the development and outcome of central nervous system tumours**

Prognostic factors come in a variety of forms and may be patient, tumour or environmental related.

This thesis examines the interaction of prognostic factors for a variety of tumour types. It particularly focuses on single nucleotide polymorphisms (SNPs) of the vascular endothelial growth factor (*VEGF*) gene.

The first section on meningiomas describes the frequency of sex steroid receptors in meningiomas. In this study, absence of progesterone receptors is associated with high tumour grade and male gender. Tumours that are progesterone receptor negative have an odds ratio for recurrence of 5.

Choroid plexus carcinomas are aggressive malignant tumours generally occurring in young children. Gross total surgical resection has been shown to be a highly significant factor in tumour recurrence and survival. This study describes a treatment paradigm of neoadjuvant ICE chemotherapy in these children which decreases the vascularity and increase the chance of a complete removal. The operative blood loss with this regimen is reduced to 0.22 blood volumes from 1.11 blood volumes without neoadjuvant chemotherapy.

The *VEGF* gene is highly polymorphic and SNPs of the region have previously been shown to influence VEGF protein expression. This study looks at cohorts of both adult gliomas and a variety of paediatric brain tumours; comparing them to controls. There are several associations described between the development of certain tumours and specific SNP genotypes. In addition to this, certain genotypes and haplotypes have an influence on survival of adult grade 2 astrocytomas and paediatric medulloblastomas and ependymomas. There are consistent themes to the prognostic genotypes throughout both the adult and the paediatric tumours.

Prognostic factors come in a variety forms as described in this thesis. It is vital to understand the complex interaction between factors to best utilise them for the benefit of patients.

## DECLARATION

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## **Personal contribution to the work**

I have personally undertaken the bulk of the practical work and data analysis for this thesis. For the meningioma study I was one of the reviewers of the receptor immunohistochemistry. I performed the volumetric analysis of scan data for the choroid plexus study and the calculations of blood loss. I undertook all the quantification of DNA and VEGF genotyping assays for both the adult and paediatric tumour patients and paediatric controls. I obtained the clinical information and undertook all the statistical analysis for each of the studies.

There have, however, been others who have contributed to the practical work and where this is the case I acknowledge them below.

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Dedicated to

My Mother Ann and Father Yadoullah; My Children, Anna, Sara and Laurence  
and most of all my Wife Helen

## Chapter 1 – Introduction

**Prognosticate:** To forecast or predict (something future) from present indications or signs; prophesy.

From Old Italian prognosticare, from Latin prognosticum (-con), from Ancient Greek προγνωστικόν (prognostikon), neutral of προγνωστικός (prognostikos) "foreknowing, prescient, prognostic", from prefix πρό- (pro-) + γνωστικός (gnostikos) "of or for knowing, good at knowing", from γινώσκω (gignosko) "to learn to know, to perceive, to mark, to learn".

This thesis is an examination of various factors and their influence the development and outcome in central nervous system (CNS) tumours.

The introduction will discuss the nature of prognostic factors in oncology, followed by an overview of the various CNS tumours involved in the thesis and then a more detailed review of angiogenesis and particularly vascular endothelial growth factor (VEGF) biology and its association with CNS tumours.

### 1.1 Prognostic factors in oncology

In the field of medicine and oncology in particular, the requirement for clinicians to provide an accurate prognosis for a condition is vital. Along with diagnosis and treatment, prognosis is one of the three core elements of the art of medicine,<sup>2</sup> and Hippocrates included prognosis as a principle concept of medicine.<sup>3</sup> The aspiration is to be able to predict if and when a tumour



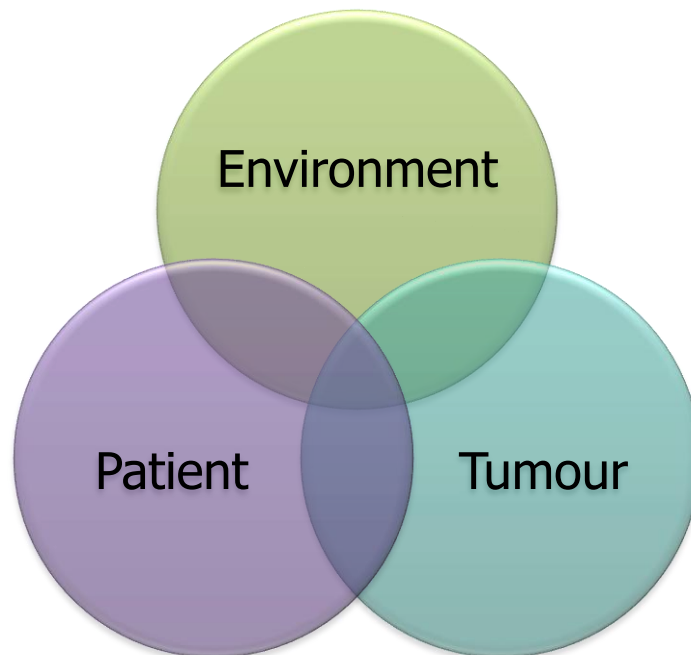
will recur and what the life expectancy of a patient with cancer will be with 100% accuracy. Obviously given the complex nature of the development and progression of tumours this is impossible, but on a daily basis there is a need for individual patients to have this information in its most accurate form in order for decisions on their management to be made.

I will describe the various types of prognostic factors as well as the application of such factors. I will then discuss validation of factors and the guidelines for the development of prognostic factors in medicine.

### **1.1.1 Types of prognostic factors**

There are three commonly used groups of prognostic factors in oncology; tumour, patient and environment (Figure 1.1).<sup>2</sup> There will be a complex interplay between factors in these different groups that will determine the outcome of the disease. It is unusual in modern medicine to be truly able to assess the "natural history" of a disease and the factors that may have influence on that in the absence of any intervention whatsoever. Therefore all the various factors may have different weighting of influence depending upon the treatments that the patient may receive.<sup>2</sup> In the literature there is an attempt to differentiate these factors by referring to prognostic factors as those that have an influence on patient outcome and predictive factors that will determine response to treatment.<sup>4</sup> Prognostic factors have some interplay with aetiological factors and there are many similarities in the

design and analysis of both types of studies but the prediction of outcomes is not synonymous with the explanation of the cause of a particular tumour.<sup>5</sup>



**Figure 1.1 – Interaction of prognostic factor types – Tumour, Patient and Environment.**

#### ***1.1.1.a Tumour related factors***

The most common and longest used of factors relate to the nature and extent of the tumour itself. One of the primary and most useful factors is the phenotypic histological appearance of the tumour. For non-central nervous system tumours the International Union against Cancer (UICC) TNM (tumour, nodes and metastasis) classifications relating to the extent of local and distant invasion of the tumour are widely used.<sup>6</sup> Individual tumour biology has been recognised as important for many years as a marker of prognosis and response to treatment e.g. secretion of markers such as  $\alpha$ -fetoprotein or  $\beta$ -human chorionic gonadotrophin. There has, though, been an explosion of studies describing biological and genetic factors in tumours

as the techniques required for their identification have become more widespread and easily performed.<sup>1, 2, 4</sup> Opening up this field has exponentially increased the complexity of interaction between prognostic factors for each tumour.

#### ***1.1.1.b Patient related factors***

These can be split into those factors that may be related to the presence of the tumour e.g. age, gender and ethnicity and those that are independent of the tumour but may still have a significant impact on the disease course e.g. co-morbidities, performance status and immune status. For central nervous system tumours it has been recognised for many years that age and performance status have an influence on outcome.<sup>7</sup> Ethnicity has also been shown to have an influence via genetic differences in malignant glioma<sup>8</sup> and gender may have an influence in survival for patients with medulloblastoma.<sup>9</sup>

Somatic genetic changes within tumours are recognised to have an impact on outcome. Alterations in chromosomes 1p and 19q in Oligodendrogliomas are associated with a significant improvement in the response to chemotherapy.<sup>10</sup> Constitutional genetic makeup can also have an influence on the development and outcome of central nervous system tumours. A good example of this is neurofibromatosis type 1 (NF1) patients who are at increased risk of developing optic pathway gliomas but their clinical course is more indolent than those tumours occurring outside the setting of NF1.<sup>11</sup>

Furthermore genetic traits have the potential to act as disease modifiers and angiogenesis is good model for this with known differences in individual's genetic expression of angiogenic factors at both constitutional and inducible

levels having the potential to affect the progression of tumours.<sup>12, 13</sup> This will be discussed in more detail later in this chapter.

### ***1.1.1.c Environmental related factors***

Environmental factors are clearly related to the development of certain cancers e.g. smoking and lung cancer, ultraviolet light exposure and skin cancer. In addition there are significant environmental factors that influence outcome of cancers. These relate to organisational and social issues such as availability of treatments, specialist care and health care policy.<sup>14-16</sup> Social deprivation has also been shown in several studies to have an influence on cancer outcomes.<sup>17-19</sup>

### **1.1.2 Application of prognostic factors**

There are a variety of ways that prognostic factors are used and the applications are constantly increasing as research and knowledge of individual tumour types deepens.<sup>2</sup>

By identifying prognostic factors we can further our knowledge of the biology of certain tumours and also the factor related outcomes in both treated and untreated patients.

There are several ways that prognostic factors can be applied to patient care. Patients and families cannot make informed decisions regarding potential benefits of treatments and interventions if they are not accurately informed of the outcomes if no treatments are given. There may be the opportunity to either intensify or avoid treatments depending upon

prognostic factors. The most appropriate follow up care and monitoring will be determined by factors influencing the chance of tumour recurrence. Lastly there is a vital role for educating and informing patients and care givers, particularly in the palliative phase of cancer care.

There is a necessity for information on the spectrum of prognostic factors for an individual tumour to be more utilised in the conducting of research studies. For the effect of an intervention to be validated there is a requirement in study design, conduct and analysis to account for other known prognostic factors. Knowing that a prognosis is poor and response to other treatments limited can allow for appropriate recruitment into experimental/phase 1 and 2 studies.

In a wider setting, prognostic factors can help plan healthcare resources and monitor for the effect of screening programmes. They can be effectively used in the design and evaluation of clinical practice guidelines. Patient health education can be informed and enhanced with appropriate information from prognostic factors. Lastly as a greater understanding of the factors influencing prognosis for a particular tumour are known there will be better understanding of the apparent variation in clinical outcome.

### **1.1.3 Guidelines for the development of prognostic factors**

There has been concern in the literature that the explosion of prognostic factors in oncology has led to studies that are badly designed, poorly analysed, poorly reported and subject to various types of bias.<sup>1, 4, 20-28</sup>

This problem has led to a variety of articles trying to standardise studies into prognostic factors.<sup>1, 4, 27, 29</sup> One of the issues with previous studies have been the difficulties with multiple testing.<sup>23</sup> This is particularly problematic with the multiple variables that are generated with detailed genetic analysis. It is recognised that there is the potential need for adjusting the significance level for such multiple testing. The most commonly used method being the Bonferonni correction where the standard level of cut off for significance is divided by the number of variables with the idea of reducing the chance of a type 1 error (where the null hypothesis is falsely rejected and a significant factor is found where it does not exist). However, it is also recognised undertaking this correction, particularly in the context of a biologically or clinically relevant factor may lead to a type 2 error (where the null hypothesis is falsely accepted and a genuine prognostic factor is not shown to be significant).<sup>30</sup>

Sample size to determine significance is difficult to ascertain in prognostic multivariable studies.<sup>5</sup> A general rule of thumb is that for each candidate predictor studied there should be at least 10 outcome events, although one study showed that this may not be necessary in certain circumstances.<sup>31</sup>

For continuous variables there is a concern that cut off points which may not be appropriate are selected to optimise the significance of the variable.<sup>20</sup>

In order to correct for low sample size there have been methods available for some time to address this with systematic reviews and meta-analysis of both published and individual patient data undertaken.<sup>32, 33</sup> These methods

do have their own difficulties. A study on microvessel density in non-small cell lung cancer found that when individual patient data was entered into a meta-analysis the significance of microvessel density as a prognostic factor was lost contradicting the findings of a previous meta-analysis on published data only.<sup>34</sup>

Certain authors have outlined the different phases of studies in multivariable prognostic research.<sup>4, 5, 21</sup>

Phase 1 is developmental studies, identifying potential prognostic factors and determining the weight of their effect. Internal validation should be performed at this stage.

Phase 2 involves further validation studies. There are various forms of validation (essentially assessing whether the factor is predicting what it is designed to do) and various ways of testing it e.g. temporal validity where an already identified factor is retested on a later cohort of patients from the same institution. The best form of validity for a factor to be universally useful is external validity where the factor is tested on a cohort of patients external to the initial study.

Phase 3 involves impact studies looking at the utilisation of a factor in practice to see if it maintains its usefulness and relevance.

In an attempt to standardise studies, The National Cancer Institute and European Organisation for Research and Treatment of cancer have published

## reporting recommendations for tumour marker prognostic studies

(REMARK)(Table 1.1).<sup>1</sup>

<p><b>INTRODUCTION</b></p> <p>1. State the marker examined, the study objectives, and any prespecified hypotheses.</p> <p><b>MATERIALS AND METHODS</b></p> <p><b>Patients</b></p> <p>2. Describe the characteristics (e.g., disease stage or co-morbidities) of the study patients, including their source and inclusion and exclusion criteria.</p> <p>3. Describe treatments received and how chosen (e.g., randomized or rule-based).</p> <p><b>Specimen characteristics</b></p> <p>4. Describe type of biological material used (including control samples) and methods of preservation and storage.</p> <p><b>Assay methods</b></p> <p>5. Specify the assay method used and provide (or reference) a detailed protocol, including specific reagents or kits used, quality control procedures, reproducibility assessments, quantitation methods, and scoring and reporting protocols. Specify whether and how assays were performed blinded to the study endpoint.</p> <p><b>Study design</b></p> <p>6. State the method of case selection, including whether prospective or retrospective and whether stratification or matching (e.g., by stage of disease or age) was used. Specify the time period from which cases were taken, the end of the follow-up period, and the median follow-up time.</p> <p>7. Precisely define all clinical endpoints examined.</p> <p>8. List all candidate variables initially examined or considered for inclusion in models.</p> <p>9. Give rationale for sample size; if the study was designed to detect a specified effect size, give the target power and effect size.</p> <p><b>Statistical analysis methods</b></p> <p>10. Specify all statistical methods, including details of any variable selection procedures and other model-building issues, how model assumptions were verified, and how missing data were handled.</p> <p>11. Clarify how marker values were handled in the analyses; if relevant, describe methods used for cut point determination.</p> <p><b>RESULTS</b></p> <p><b>Data</b></p> <p>12. Describe the flow of patients through the study, including the number of patients included in each stage of the analysis (a diagram may be helpful) and reasons for dropout. Specifically, both overall and for each subgroup extensively examined report the numbers of patients and the number of events.</p> <p>13. Report distributions of basic demographic characteristics (at least age and sex), standard (disease-specific) prognostic variables, and tumour marker, including numbers of missing values.</p> <p><b>Analysis and presentation</b></p> <p>14. Show the relation of the marker to standard prognostic variables.</p> <p>15. Present univariate analyses showing the relation between the marker and outcome, with the estimated effect (e.g., hazard ratio and survival probability). Preferably provide similar analyses for all other variables being analyzed. For the effect of a tumour marker on a time-to-event outcome, a Kaplan – Meier plot is recommended.</p> <p>16. For key multivariable analyses, report estimated effects (e.g., hazard ratio) with confidence intervals for the marker and, at least for the final model, all other variables in the model.</p> <p>17. Among reported results, provide estimated effects with confidence intervals from an analysis in which the marker and standard prognostic variables are included, regardless of their statistical significance.</p> <p>18. If done, report results of further investigations, such as checking assumptions, sensitivity analyses, and internal validation.</p>
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**Table 1.1 – Summary of reporting recommendations for tumour marker prognostic studies (REMARK).<sup>1</sup>**



## **1.2 Central nervous system tumours**

### **1.2.1 Meningiomas**

#### ***1.2.1.a Definition***

Meningiomas are tumours of meningotheial (arachnoidal) cell origin.

Generally they are benign, slow growing tumours but there are a minority that are defined as atypical or anaplastic that are more aggressive lesions.<sup>35</sup>

#### ***1.2.1.b Epidemiology***

After gliomas, meningiomas are the second most common primary central nervous system tumour. They generally occur at an older age (peak 50-70 years – median 65 years) and are more common in females (1.5 to 3 times). In autopsy series there is a prevalence of 2.7% of males and 6.2% of females over 80 years of age.<sup>35</sup> Annual incidence is approximately 5.35 (95% CI 5.28-5.42) per 100,000.<sup>36</sup>

#### ***1.2.1.c Aetiology***

Most meningiomas are spontaneous but there is an association with ionising radiation. This was first determined in the group of immigrants into Palestine in the 1950s where tinea capitis was regularly treated with ionising radiation. There was a much higher incidence of meningiomas in this treated population with a delay in development of about 35 years.<sup>37</sup>

In addition the incidence of meningiomas in the population of Hiroshima and Nagasaki was abnormally high with a similar delay in presentation.<sup>38</sup> There is also an increased incidence of meningiomas post high dose therapeutic

cranial irradiation but with these doses the delay in presentation is only about five years.<sup>39</sup>

#### **1.2.1.c.i Progesterone and oestrogen receptors**

Given the increased incidence in post menopausal women and the observation of rapid growth of meningiomas in pregnancy (first documented by Harvey Cushing in 1929) there has been a longstanding link with sex steroids and meningiomas.<sup>40</sup> Growth has also been shown to accelerate during the luteal phase of the menstrual cycle.<sup>41</sup> Female preponderance is described in most series<sup>36</sup> and a significant association between meningiomas and breast cancer has been reported.<sup>42</sup>

It has been recognised for many years that some meningiomas do express sex steroid receptors.<sup>43-59</sup> The overall findings of these studies are that generally a proportion of meningiomas express progesterone receptors but they rarely express oestrogen receptors. Whilst this has been well documented in many series, the prognostic significance of the receptors has been less well studied.

Several papers have shown association with grade 2 and 3 meningiomas and progesterone receptor negativity.<sup>57, 60</sup>

A paper in 1995 was the first to show a correlation between steroid receptors and proliferative index using the MIB-1 antibody to Ki-67. The tumours that were progesterone receptor negative had a significantly higher proliferative index (6.53% +/- 4.3%) compared to progesterone positive tumours (2.35% +/-2.12%).<sup>61</sup> A further paper in 1997 confirmed this

finding of higher proliferative index in progesterone negative tumour spheroids and monolayer cultures.<sup>62</sup>

Another paper in 1997 from 70 selected patients with a variety of grade 1 to 3 meningiomas showed that absence of progesterone receptors, high mitotic index and higher tumour grade were significant factors for shorter disease free interval. The significance of these factors was maintained in a multivariable Cox proportional hazards model but the relative contribution of each to the overall model was not indicated. In this study they found, like others previously,<sup>47, 56</sup> that males were more likely to be progesterone receptor negative, but gender in itself was not a significant prognostic factor for tumour recurrence.

Papers from 2000 and 2007 showed that for grade 1 meningiomas, lack of expression of progesterone receptors was a significant prognostic indicator for higher tumour recurrence.<sup>63, 64</sup> In the earlier paper there was a low incidence of atypical tumours with 60 being grade 1 out of an unselected series of 62 patients.<sup>63</sup> In the second paper the cohort of 100 patients was very highly selected to be grade 1 tumours that had been completely excised. In their series they had a very high recurrence rate for completely excised grade 1 tumours of 50%.<sup>64</sup> In these grade 1 patients, they did find a significant correlation with mitoses per ten high powered field and both recurrence and progesterone receptor status.

In 2006 a study of 239 patients with meningiomas found that progesterone receptor negative tumours were associated with an accumulation of

qualitative and quantitative karyotype abnormalities and an increasing potential for aggressive clinical behaviour, progression, and recurrence of these lesions.<sup>65</sup>

Most recently a study on 31 meningioma patients found an association with progesterone receptor negativity and variations in gene expression using array analysis.<sup>66</sup> This was particularly true for genes on the long arm of chromosome 22 near the *NF2* gene locus.

#### **1.2.1.d Molecular pathology**

Meningiomas are generally spontaneous but there is a strong association with neurofibromatosis type 2 (NF2). The majority of NF2 patients will develop meningiomas and approximately 60% of spontaneous tumours will have mutations of the *NF2* gene on chromosome 22q.<sup>67</sup> The *NF2* gene product, the protein merlin (schwannomin) belongs to the 4.1 family of proteins that links the cytoskeleton to proteins of the cytoplasmic membrane. Some meningiomas have been shown to be associated with loss of expression of other 4.1 family proteins e.g. 4.1B (DAL-1) and 4.1R.<sup>68</sup>

#### **1.2.1.e Histopathology**

The histological appearance of meningiomas is very variable. Broadly they fall into the World Health Organisation (WHO) grades 1, 2 and 3 representing benign, atypical and anaplastic lesions respectively.<sup>69</sup>

85-90% of lesions are WHO grade 1 benign lesion and are split into 9 variants: meningothelial (Figure 1.2), fibrous/fibroblastic, transitional

(mixed), psammomatous, angiomatous, microcystic, secretory lymphoplasmacyte-rich and metaplastic.

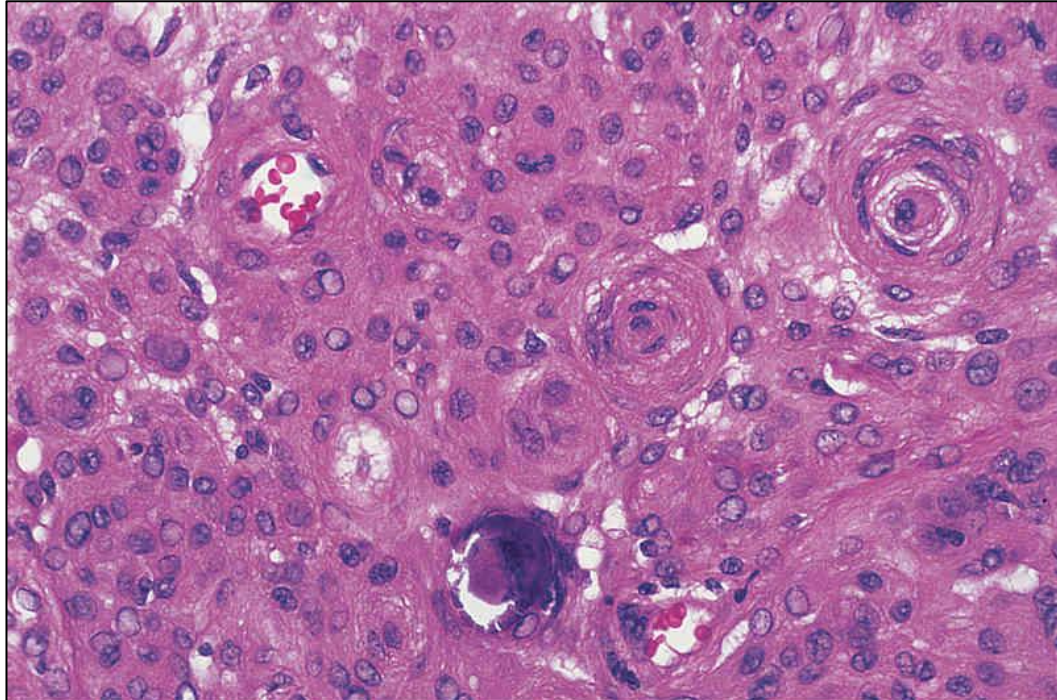
There are three variants of grade 2 lesions. The most common are atypical which are defined as tumours having 4 or more mitoses per high powered field or three or more of the following features: increased cellularity, presence of small cells with high nuclear/cytoplasmic ratios, prominent nucleoli, pattern-less growth or foci of necrosis. Meningiomas that show brain invasion but otherwise appear benign are known to act in a more aggressive manner and are therefore classified as grade 2 lesions.

The other grade 2 lesions are the less common clear cell and chordoid variants.

WHO grade 3 or anaplastic lesions show a more aggressive and invasive phenotype. There are again 3 variants: the most common are anaplastic meningiomas which show an obvious malignant morphology with 20 or more mitoses per high powered field and often significant brain invasion. The other rarer grade 3 variants are rhabdoid and papillary.

Immunohistochemically meningiomas typically are positive for vimentin and epithelial membrane antigen although the latter can sometimes be somewhat patchy. The Ki-67 expression is generally low in grade 1 meningiomas (<5%) and is higher in grade 2 and 3 lesions but these levels are variable and have not been made part of diagnostic criteria. Secretory meningiomas do show expression of cytokeratins and carcinoembryonic antigen (CEA). Immunoreactivity for S-100 or CD-34 has been shown in

some cases but is less prevalent than it is in schwannomas and other fibrous tumours.



**Figure 1.2 – Histology of a Meningioma. Indistinct cytoplasmic boundaries, nuclear clearing ('pseudoinclusions'), cellular whorls, and a psammoma body are all apparent in this view of a meningotheliomatous (syncytial) meningioma. (Image courtesy of PathConsult - <http://www.pathconsultddx.com>)<sup>70</sup>**

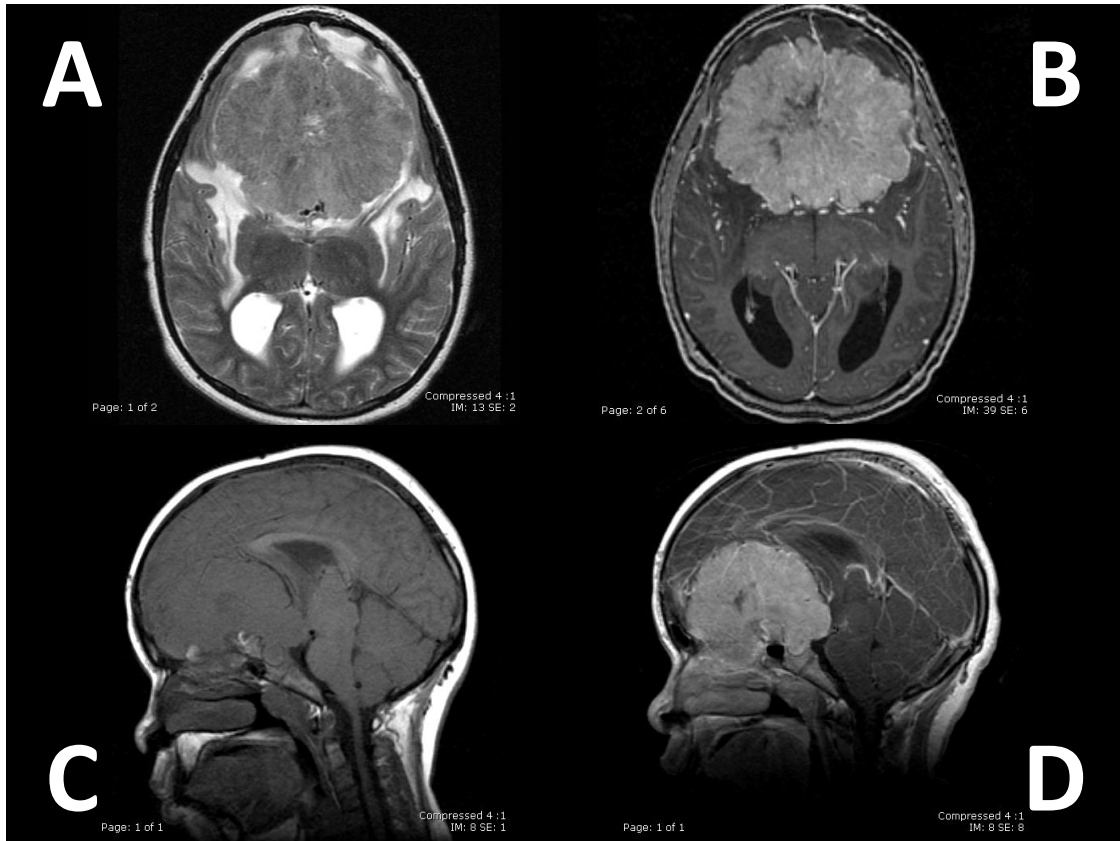
#### ***1.2.1.f Macroscopic appearance and localisation***

The majority of meningiomas are intracranial with some spinal and intraorbital. They are generally durally based and are typically found in a variety of locations such as convexity, parafalxine, sphenoid wing, olfactory groove, petrous ridge, tentorial, posterior fossa, parasellar and optic nerve sheath. Intraventricular meningiomas do occur as there are meningothelial cells present in the choroid plexus and tela choroidea.<sup>35</sup>

On magnetic resonance imaging they generally appear as a uniformly enhancing, durally based lesion (Figure 1.3). There would generally be an

attachment to the dura with a tail sign at the edge of the lesion.

Meningiomas can invade into overlying bone and it is common to see a reactive hyperostosis in those circumstances.



**Figure 1.3 – MR Scan of patient with a large olfactory groove meningioma. A – axial T2, B – post contrast axial T1, C – sagittal T1 and D – sagittal T1 post contrast MR scans showing a large, brightly enhancing, extra-axial lesion arising from the floor of the anterior fossa.**

### **1.2.1.g Treatment**

Given the relatively high prevalence of meningiomas in the aging population, treatment would generally be given only for symptomatic or growing lesions.

#### **1.2.1.g.i Surgery**

For the majority of patients surgical resection would be the treatment of choice. The location of the lesion is critical to determine the surgical approach and pre-operative surgical goal.

It has been recognised for many years that the more complete the resection, the less likely of recurrence of a meningioma. This was first formally assessed by Simpson in 1957 who described a grading system with a score of 1 to 5 depending upon the extent of resection (Table 1.2).<sup>71</sup>



<b>Simpson Grade</b>	<b>Description</b>	<b>Cases</b>	<b>Recurrences</b>
<b>I</b>	Complete removal of tumour and dural attachment	90	8 (8.9%)
<b>II</b>	Complete removal of tumour with coagulation to dural attachment	114	14 (15.8%)
<b>III</b>	Complete removal of tumour without removal or coagulation of dural attachment	24	7 (29.2%)
<b>IV</b>	Partial removal leaving intradural tumour in situ	51	20 (39.2%)
<b>V</b>	Simple decompression with or without biopsy	9	8 (88.9%)

**Table 1.2 – The original Simpson grade descriptions and rates of recurrence.<sup>71</sup>**

### **1.2.1.g.ii Radiotherapy/radiosurgery**

It would be usual to consider conventional radiotherapy post surgery for any tumours that were anaplastic or if there had been a partial resection of an atypical tumour. Furthermore radiotherapy is a treatment option as a primary treatment in patients where surgery would be high risk. This would usually halt the progression of a growing tumour with reports of 95% tumour control at 5 years.<sup>72</sup> Radiosurgery is a treatment option for smaller, surgically inaccessible or high risk tumours with control rates of 85%-97% at 5 years.<sup>72</sup>

### **1.2.1.g.iii Endovascular embolisation**

There is an option to attempt pre-operative embolisation to reduce haemorrhage during a resection. Meningiomas tend to have a very rich blood

supply and there is the potential for significant, uncontrollable haemorrhage. Therefore the practice of pre-operative embolisation has become more established in recent years. Generally, however, the bulk of the blood supply to the lesion is taken away during the approach to the lesion and embolisation should be restricted to larger or less accessible lesions as it is not without complication as a procedure.<sup>73, 74</sup>

#### **1.2.1.g.iv Chemotherapy**

Generally there is no role for chemotherapy for meningiomas, even for anaplastic variants.<sup>75, 76</sup>

There has been a hope for some time that because of the presence of progesterone and also dopamine receptors in meningiomas that there may be the possibility of hormonally manipulating the tumours but as yet there is no proven agent that has achieved this.<sup>77</sup> Hydroxyurea has been shown to have a therapeutic effect in some patients but there is no good phase III trial confirming this observation.<sup>78, 79</sup>

#### **1.2.1.h Prognosis**

As mentioned above tumour grade, location and extent of surgical resection have all been shown to have an influence on recurrence. It is not clear from the literature, which of these factors has the most influence on an individual's chance of their tumour recurring.<sup>35</sup>

## **1.2.2 Choroid plexus carcinomas**

### ***1.2.2.a Definition***

Choroid plexus carcinomas are rare tumours of neuroectodermal origin.

They have a papillary pattern that resembles normal choroid plexus.

### ***1.2.2.b Epidemiology***

Together with choroid plexus papillomas they represent approximately 0.5% of all brain tumours with an annual incidence of 0.3 cases per million.<sup>80, 81</sup>

However, choroid plexus carcinomas are mainly seen in childhood and account for approximately 3% of paediatric brain tumours overall<sup>81, 82</sup> and up to 20% of brain tumours presenting in the first year of life.<sup>83</sup> Choroid plexus carcinomas represent 37% of choroid plexus tumours.<sup>81</sup> The overall median age at diagnosis is 3.5 years.<sup>81</sup>

### ***1.2.2.c Aetiology***

There is no known environmental cause for choroid plexus carcinomas.<sup>35</sup>

The majority of tumours are spontaneous but there are recognised genetic associations with the development of choroid plexus tumours.

### ***1.2.2.d Molecular pathology***

There are both germline and somatic abnormalities at several genetic loci associated with the development of these tumours.

#### ***1.2.2.d.i TP53 Gene***

The *TP53* gene is located on chromosome 17p13.1 and expresses the protein product p53 which has an influence on tumour suppression via a variety of mechanisms including DNA repair, apoptosis, cellular differentiation and angiogenesis.<sup>84</sup> Mutation of the gene causes loss of

function of p53 together with prolongation of the half life of the protein. This means that increased immunohistochemical staining for p53 protein can be used as a surrogate marker of gene mutation.<sup>85</sup>

Choroid plexus carcinomas are one of the tumours found in Li Fraumeni families with *TP53* germline mutations.<sup>86-89</sup> In addition spontaneous germline and somatic p53 mutations are both described in patients with choroid plexus carcinoma.<sup>90</sup> Positive nuclear staining for p53 protein is evident in the majority of choroid plexus carcinomas (10 of 11) whilst it is only rarely seen in choroid plexus papillomas (1 of 12).<sup>91</sup> *TP53* mutations have not been extensively studied in choroid plexus papillomas however germline mutations have been reported.<sup>92, 93</sup>

#### **1.2.2.d.ii *hSNF5/INI1* Gene**

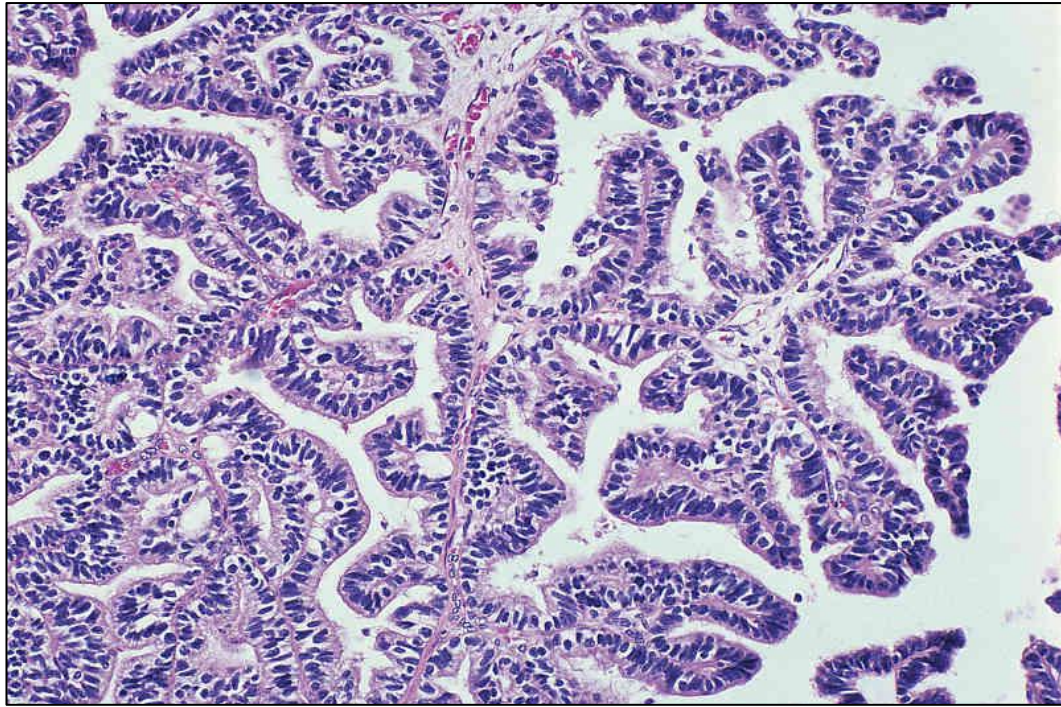
The *hSNF5/INI1* gene is located on chromosome 22q11.2 and encodes a member of the SWI/SNF ATP-dependant chromatin-remodelling complex.<sup>94</sup> Germline mutations of the gene have been described as rhabdoid predisposition syndrome and families with the mutation develop both renal and extra-renal malignant rhabdoid tumours, choroid plexus carcinomas, atypical teratoid rhabdoid tumours (AT/RT) and medulloblastomas.<sup>94, 95</sup> Somatic mutations of the *hSNF5/INI1* gene have also been reported in choroid plexus carcinoma.<sup>90</sup> There are several studies describing the genotypic and phenotypic overlap between choroid plexus carcinomas and AT/RT.<sup>96, 97</sup> However, it has been reported that the majority of choroid plexus carcinomas have preserved hSNF5/INI1 protein expression on immunohistochemistry.<sup>98, 99</sup> It is therefore suggested that tumours described

as being choroid plexus carcinoma with *hSNF5/INI1* mutations may actually be AT/RTs.<sup>98</sup>

There is no evidence of *hSNF5/INI1* point mutations in choroid plexus papilloma.<sup>92</sup>

### **1.2.2.e Histopathology**

Generally choroid plexus tumours show a cellular architecture that resembles normal choroid plexus.<sup>35</sup> There is a single layer of well-differentiated cuboidal epithelium around a fibro-vascular stalk. There are finger like projections that gives the typical papillary appearance. There is some controversy in the histological classification of choroid plexus tumours. For benign WHO grade 1 tumours (Figure 1.4) there should be a lack of malignant features and less than 1 mitosis per ten high powered fields. The average MIB-1 labelling index is 3.7%.<sup>100</sup>

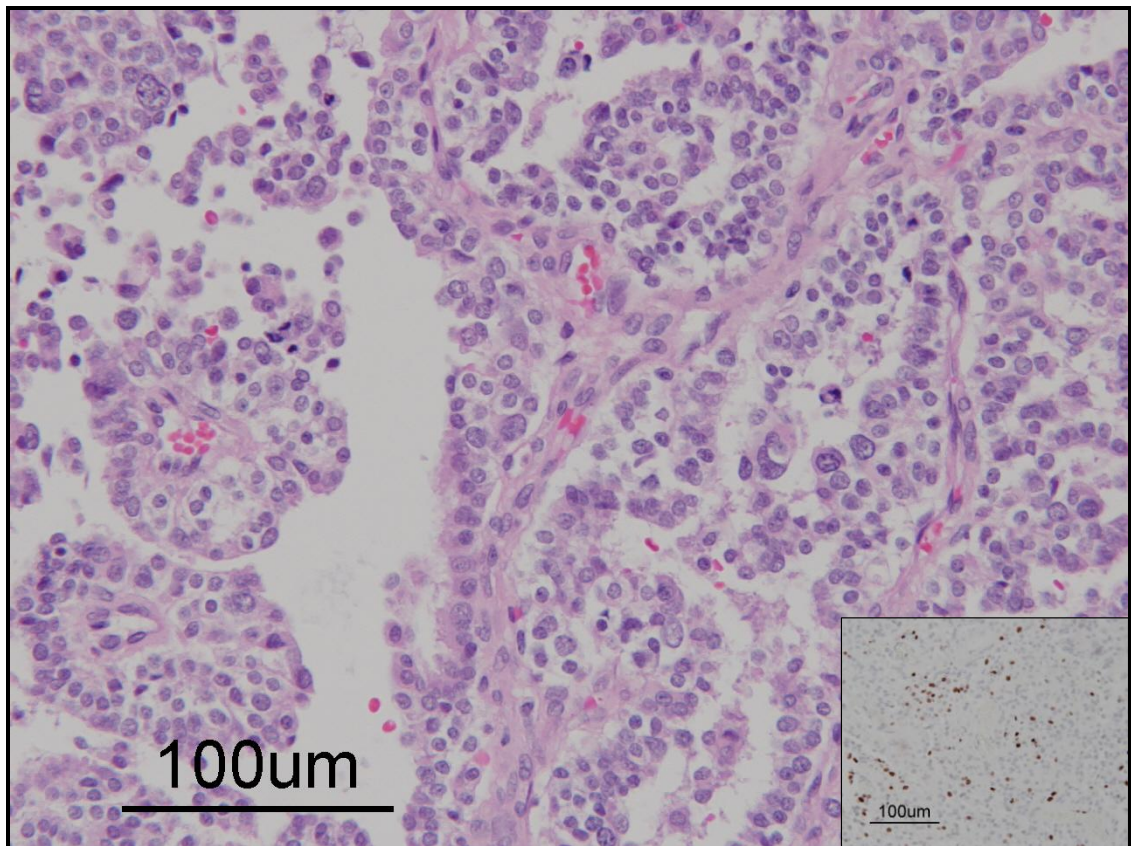


**Figure 1.4 – Histology of a Choroid Plexus Papilloma. This example's delicate fibrovascular fronds are covered by an orderly, low columnar epithelium. (Image courtesy of PathConsult - <http://www.pathconsultddx.com>)<sup>70</sup>**

The World Health Organisation recently recognised atypical choroid plexus tumours (WHO grade 2) as lesions showing increased cellularity, nuclear pleomorphism and particularly increased mitotic activity with two or more mitoses per ten high powered fields.<sup>101</sup>

Choroid plexus carcinomas are classified as grade 3 tumours by the World Health Organisation. Typically the features seen in a choroid plexus carcinoma would be cellular anaplasia, loss of papillary architecture, nuclear pleomorphism, necrosis and giant cell formation but often these features do not correlate with biological behaviour of an individual tumour (Figure 1.5).<sup>102</sup> One particular area of controversy is the classification of a lesion as a choroid plexus carcinoma based purely upon evidence of local brain invasion.<sup>103</sup> It was felt for many years that this was a prime differentiating

feature of carcinomas but there have now been lesions identified with invasion and otherwise benign histological appearance that do act in a biologically benign way.<sup>104</sup> The mean MIB-1 index for choroid plexus carcinomas is 14%.<sup>100</sup>



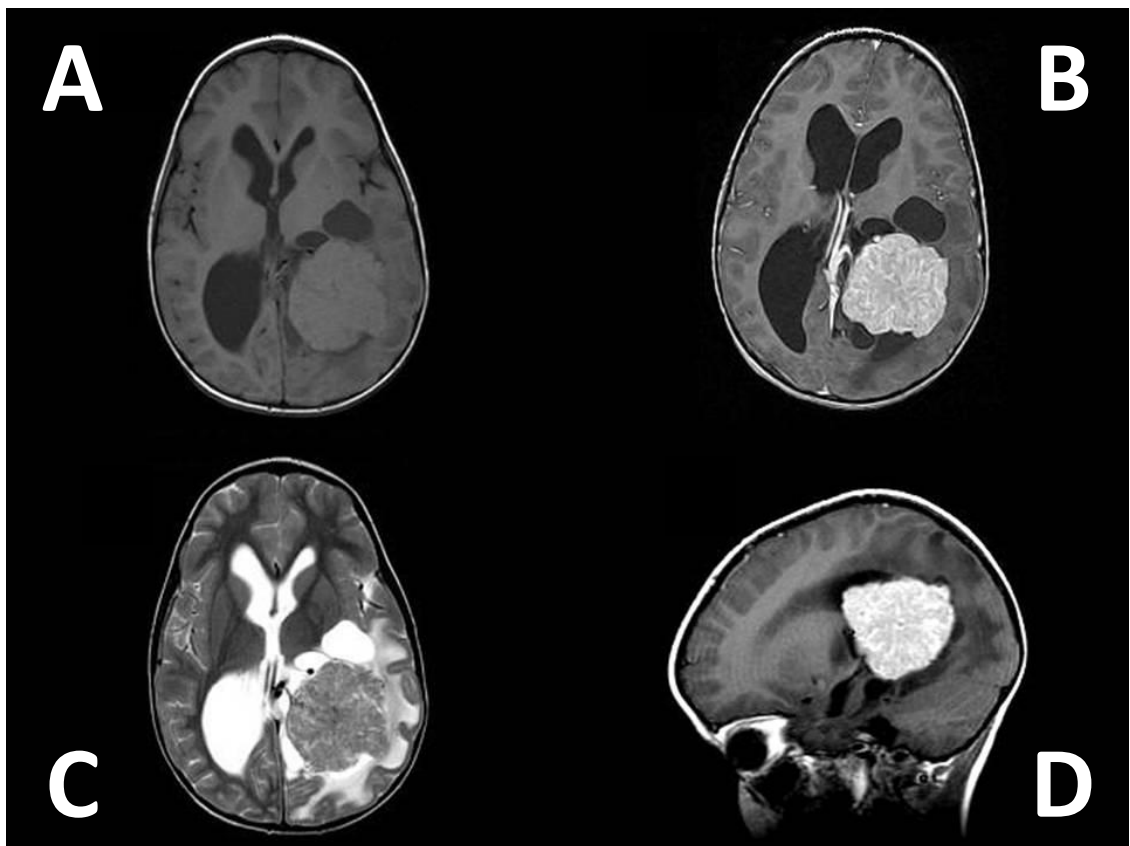
**Figure 1.5 – Histology of a choroid plexus carcinoma. This example, compared to the choroid plexus papilloma, shows cellular anaplasia, loss of papillary architecture and nuclear pleomorphism. MIB-1 immunostaining (insert) shows a high proliferative index. (Image courtesy of Dr William Halliday, Hospital for Sick Children, Toronto)**

It is a recognised phenomenon that choroid plexus papillomas can progress histologically to have a carcinoma phenotype.<sup>105</sup>



### **1.2.2.f Macroscopic appearance and localisation**

There does not appear to be any difference in localisation of choroid plexus papillomas and carcinomas. In children approximately 75% of all choroid plexus tumours are located in the lateral ventricles (Figure 1.6). As the location becomes more caudal, the median age at presentation increases being 1.5 years in the lateral ventricles, 1.5 years in the third ventricle, 22.5 years in the fourth ventricle and 35.5 years in the cerebello-pontine angle.<sup>81</sup> The presence of metastases at presentation is recognized in 12% of patients being more common in choroid plexus carcinomas.<sup>81</sup>



**Figure 1.6 – MR scan of a patient with a choroid plexus carcinoma. A – axial T1, B – axial T1 post contrast, C – axial T2 and D – sagittal T1 post contrast images showing a brightly enhancing lesion in the left lateral ventricle with evidence of brain invasion.**



### **1.2.2.g Treatment**

#### **1.2.2.g.i Surgery**

In the available meta-analyses of choroid plexus carcinoma the primary prognostic factor is the extent of surgical resection with 2 year survival rates of 72% (+/- 10%) for gross total resection vs. 34% (+/- 10%) with incomplete resection.<sup>81</sup> However, given the increased vascularity, large size of these tumours and median age of presentation gross total resection can be difficult and is achieved in only 33% - 64%<sup>81, 106-108</sup> of cases in the literature. For incomplete resections second surgery should be considered as it has been shown to improve survival (2-year overall survival 69% versus 30% with no second surgery).<sup>106</sup>

#### **1.2.2.g.ii Radiotherapy**

Results of adjuvant therapy for residual disease are mixed. Radiotherapy is a limited option in choroid plexus carcinomas because the neurocognitive and endocrine sequelae would be marked given the young age at presentation of the majority of patients. A survival advantage has been shown following radiotherapy for patients with both gross total resection and residual disease.<sup>81, 109, 110</sup> However, the groups in these studies were not matched for age which is a potential independent prognostic factor.<sup>81</sup>

#### **1.2.2.g.iii Chemotherapy**

With only small case series and a limited number of systematic studies in the literature, determining the benefit of chemotherapy as adjuvant therapy has been restricted. Studies utilising a variety of protocols have demonstrated residual tumour control in a proportion of patients.<sup>81, 111-113</sup> A recent meta-

analysis has however shown a survival advantage in choroid plexus carcinoma patients.<sup>110</sup> This advantage was maintained irrespective of whether radiotherapy was given or if a gross total resection was achieved.<sup>110</sup>

Given the definite advantage of gross total resection, chemotherapy has been used by a variety of institutions to either reduce the size or more importantly the vascularity of choroid plexus carcinomas in order to facilitate second look surgery.<sup>103, 112, 114, 115</sup> Although these reports qualitatively describe a benefit to this approach the extent of the effect and impact on patient outcome has not been determined.

### ***1.2.2.h Prognosis***

As mentioned above the ability to surgically achieve a gross total resection appears to be the most significant factor in outcome for choroid plexus carcinomas.<sup>81</sup> Age at presentation is also felt to be an independent prognostic factor in addition to the more difficult prospect of achieving a complete surgical resection in these younger children. Strong evidence for adjuvant therapies is difficult to attain given the small numbers of this rare tumour presenting to individual units each year. As it stands the evidence would suggest survival advantage for both radiotherapy<sup>109</sup> and chemotherapy<sup>110</sup> following best surgical resection. Overall one, five, and ten year projected survival rates of choroid plexus carcinoma patients are 71, 41 and 35% respectively.<sup>81</sup>

### **1.2.3 Low grade astrocytomas**

#### ***1.2.3.a Definition***

Astrocytomas account for approximately 60% of all glial neoplasms.<sup>35</sup> The World Health Organisation categorises these tumours as low grade (WHO grades 1 and 2) and high grade (WHO grade 3 and 4).<sup>69</sup> Within the low grade tumours there are two major categories, the more common diffuse infiltrating lesions and the less common circumscribed lesion. The latter circumscribed lesions are generally seen in children and young adults and have a more favourable outcome.

#### ***1.2.3.b Epidemiology***

Low grade astrocytomas account for 15% of adult and 25% of children's brain tumours. Age of presentation is biphasic with a childhood peak at 6-12 years and adult peak between the third and fifth decades with a median age of 35 years.<sup>35</sup> Overall incidence of diffuse low grade gliomas is 0.09 (95% CI 0.08-0.10) per 100,000.<sup>36</sup>

The most common form of circumscribed astrocytomas are pilocytic astrocytomas (WHO grade 1) which form 10-15% of all paediatric brain tumours and over 25% of posterior fossa tumours in children.<sup>35</sup> The annual incidence of pilocytic astrocytomas is 0.34 (95% CI 0.32-0.36) per 100,000.<sup>36</sup>

#### ***1.2.3.c Aetiology***

Apart from ionizing radiation, which is the cause for a very limited number of brain tumours, there are no convincing environmental aetiological factors identified for patients with astrocytomas.<sup>116</sup> Within low grade astrocytomas

there is a recognised strong association with neurofibromatosis type 1 and the development of pilocytic astrocytomas, particularly tumours of the optic pathway/hypothalamus.<sup>117</sup>

#### **1.2.3.d Molecular pathology**

The only genetic alteration consistently associated with low grade gliomas is mutation of the *p53* gene.<sup>118</sup> The location of the *p53* gene is on 17p13.1 an area often deleted in astrocytomas of all grades and loss of heterozygosity of chromosome 17p is present in 50-60% of diffuse astrocytomas and up to 80% of gemistocytic tumours.<sup>35</sup> Increased expression of the platelet derived growth factor receptor alpha and its ligand PDGF $\alpha$  is also common particularly in tumours with loss of heterozygosity on chromosome 17p.<sup>119</sup> Mutations affecting codon 132 of the isocitrate dehydrogenase 1 gene (*IDH1*) are found in more than 60% of diffuse astrocytomas.<sup>120</sup> In contrast to oligodendrogliomas, combined loss of heterozygosity on chromosomes 1p and 19q is rare in diffuse astrocytomas.<sup>121</sup>

Pilocytic astrocytomas commonly carry either duplications of the *BRAF* oncogene on chromosome 7q34 or more rarely *BRAF* activating mutations. This leads to increased mitogen-activated kinase signaling.<sup>122</sup> Pilocytic astrocytomas in neurofibromatosis type 1 patients commonly carry allelic losses at the *NF1* gene locus on 17q11.2. This is rarely seen in spontaneous tumours.<sup>123</sup>

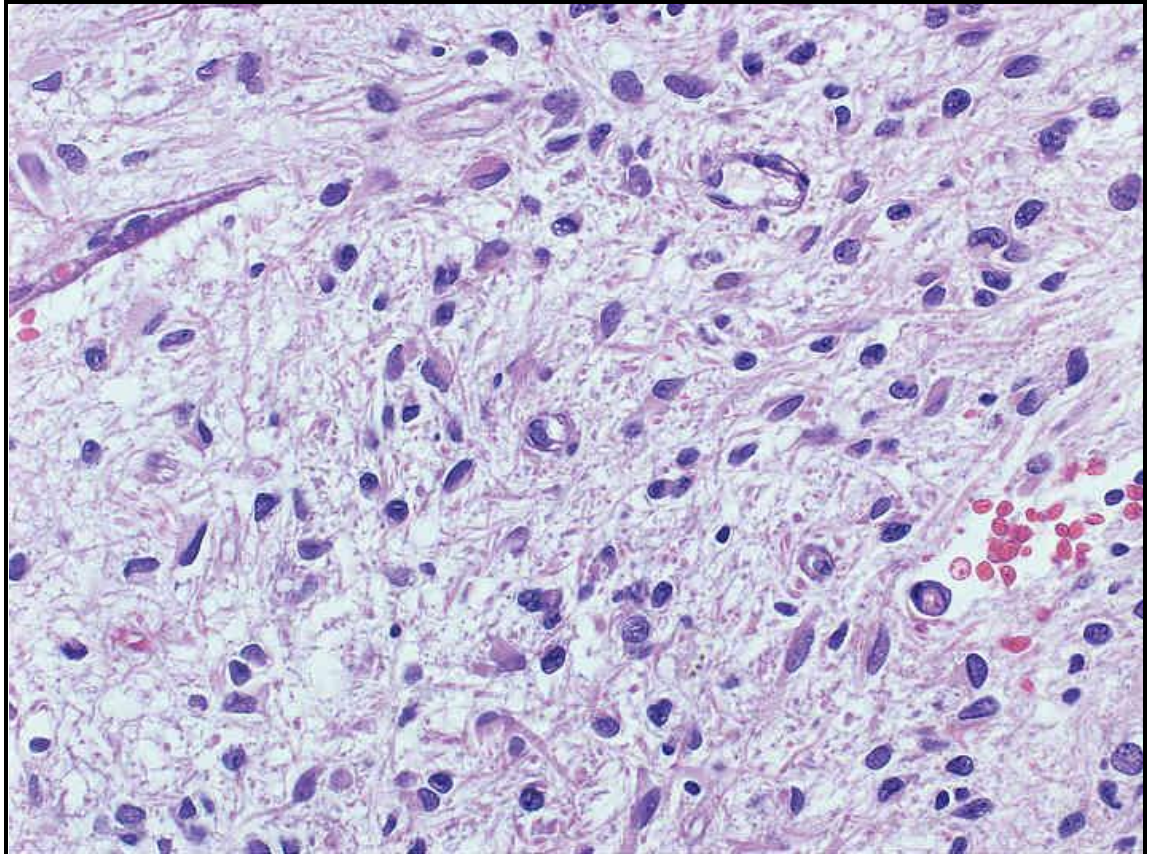
In comparison to diffuse astrocytomas, most pilocytic astrocytomas do not show allelic loss on chromosome 17p or mutations of the *p53* or *IDH1* genes.

### ***1.2.3.e Histopathology***

Microscopy shows a well differentiated tumour of astrocytic lineage with low to moderate cellularity and without necrosis, microvascular proliferation or high mitotic activity.<sup>35</sup> There are three histological subtypes of diffuse astrocytoma and four subtypes of circumscribed tumours.

### 1.2.3.e.i Fibrillary astrocytomas

This is the most common type of diffuse astrocytoma (Figure 1.7) and is composed of multipolar neoplastic astrocytes with scant cytoplasm and fine cell processes that go to build up a fibre-rich glial matrix.

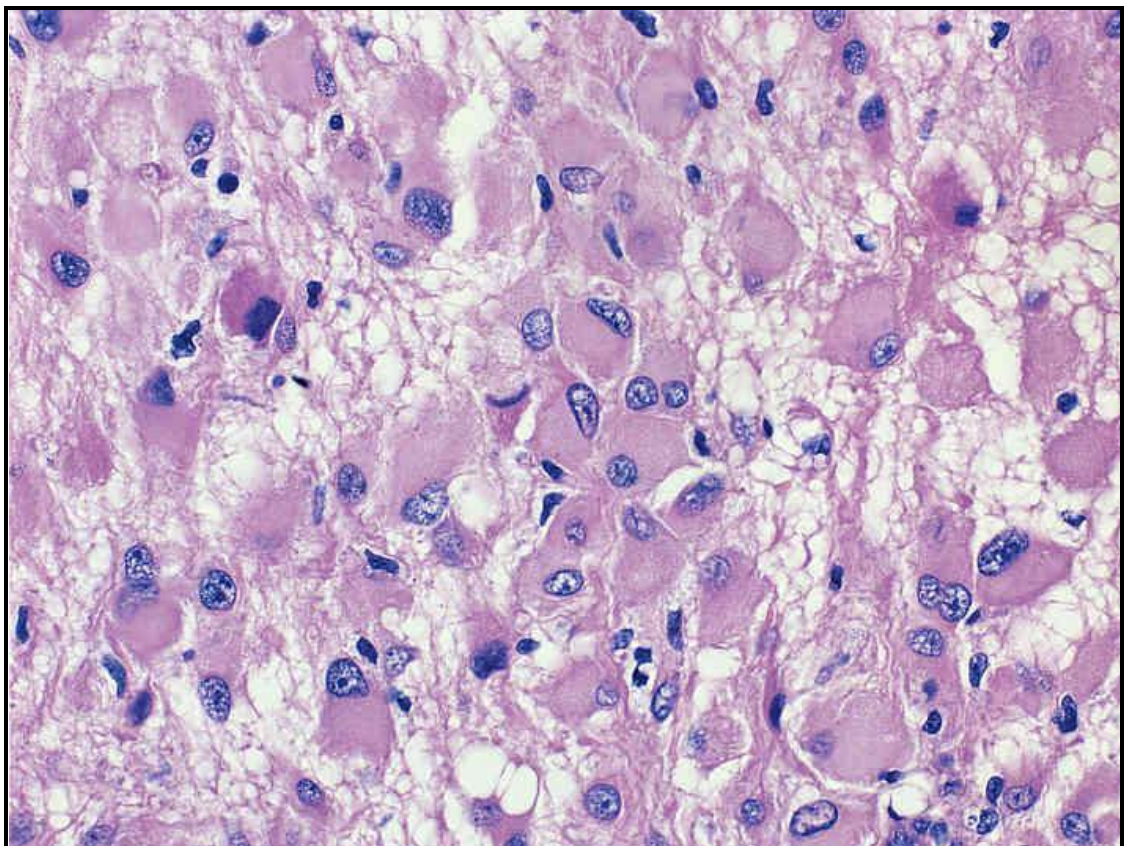


**Figure 1.7 – Histology of a diffuse fibrillary astrocytoma. Conspicuous cytoplasmic processes, mild nuclear pleomorphism, and only modest hyperchromasia are evidenced by the cells of this well-differentiated astrocytoma. The absence of mitotic activity supports its classification as a low-grade lesion. Note the dyscohesive growth pattern. (Image courtesy of PathConsult - <http://www.pathconsultddx.com>)<sup>70</sup>**



### 1.2.3.e.ii Gemistocytic astrocytoma

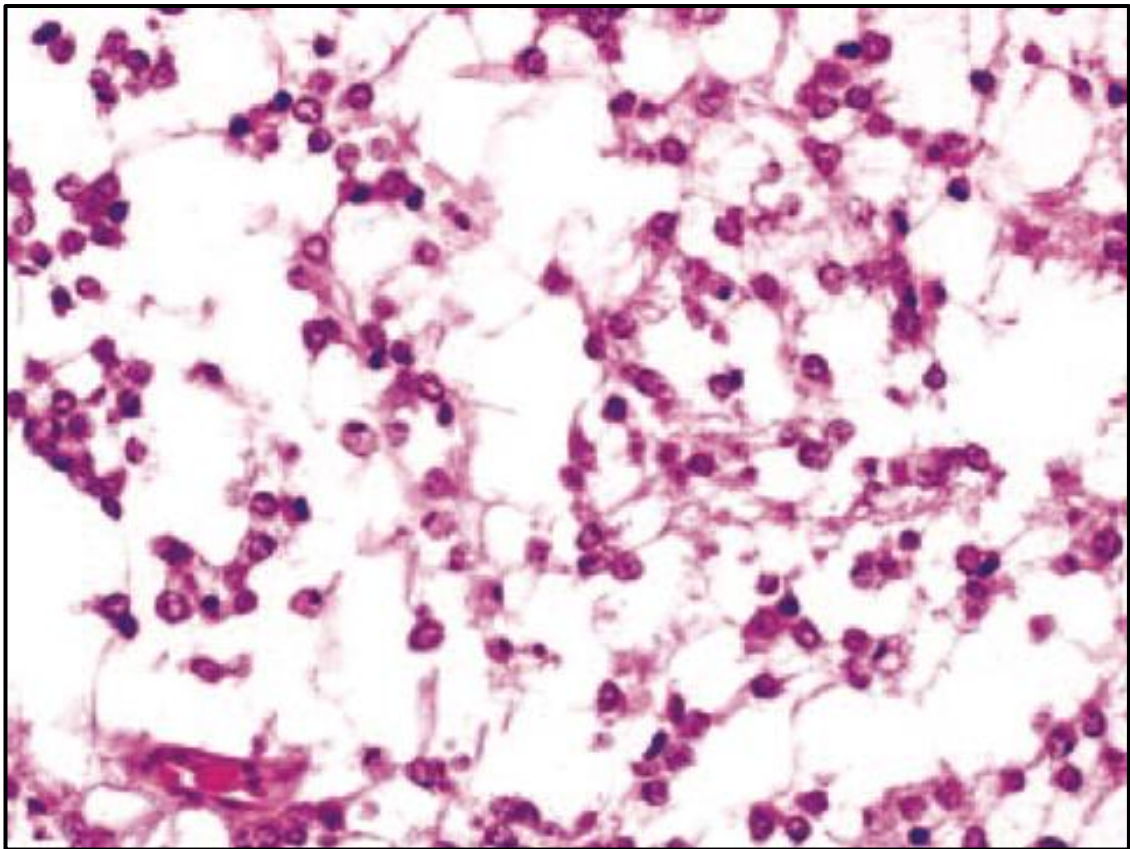
Gemistocytes are cells with an enlarged eosinophilic cytoplasm, eccentric nucleus and stout processes (Figure 1.8). To make the diagnosis of a gemistocytic astrocytoma there needs to be greater than 20% of the cells evident demonstrating the gemistocytic phenotype. It was previously thought that this subtype had a worse prognosis and be more considered a grade 3 rather than its actual grade 2 classification. However in recent years the evidence for this has been questioned.<sup>124</sup>



**Figure 1.8 – Histology of a gemistocytic astrocytoma. Gemistocytic cells are plump with glassy pink cytoplasm. Radially arranged gemistocytes project short cytoplasmic processes towards centring blood vessels to form pseudorosettes. (Image courtesy of PathConsult - <http://www.pathconsultddx.com>)<sup>70</sup>**

### 1.2.3.e.iii Protoplasmic astrocytoma

This is a rare variant of diffuse astrocytoma, composed of tumour cells with eosinophilic cytoplasm and a few flaccid processes embedded in a microcystic or mucoid matrix (Figure 1.9). There is a suggestion in the sparse literature that they may favour younger male patients with a fronto-temporal location and similar biological behaviour to fibrillary variants.<sup>125</sup>



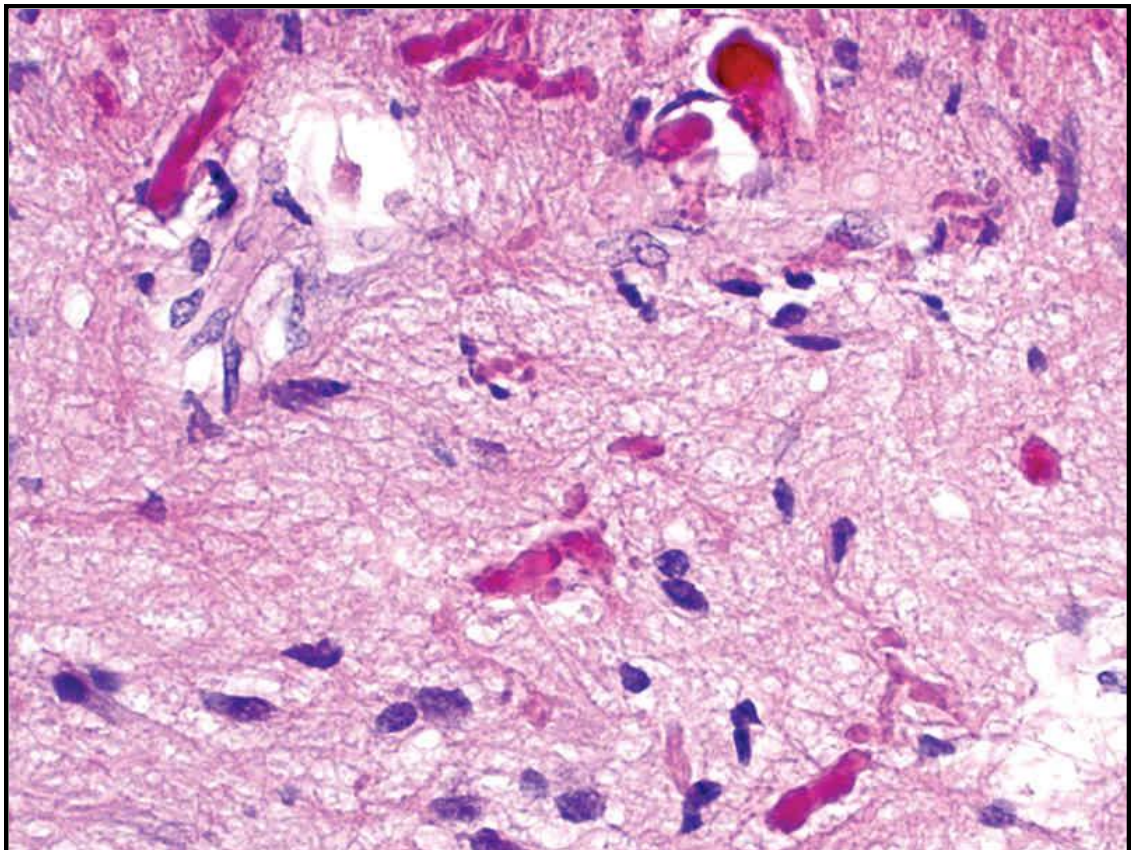
**Figure 1.9 – Histology of a protoplasmic astrocytoma. The features consist of a relatively homogenous, small astrocytic cell population with short, delicate processes. (Image courtesy of PathConsult - <http://www.pathconsultddx.com>)<sup>70</sup>**



#### 1.2.3.e.iv Pilocytic astrocytoma

This is the most common variant of circumscribed low grade astrocytomas.

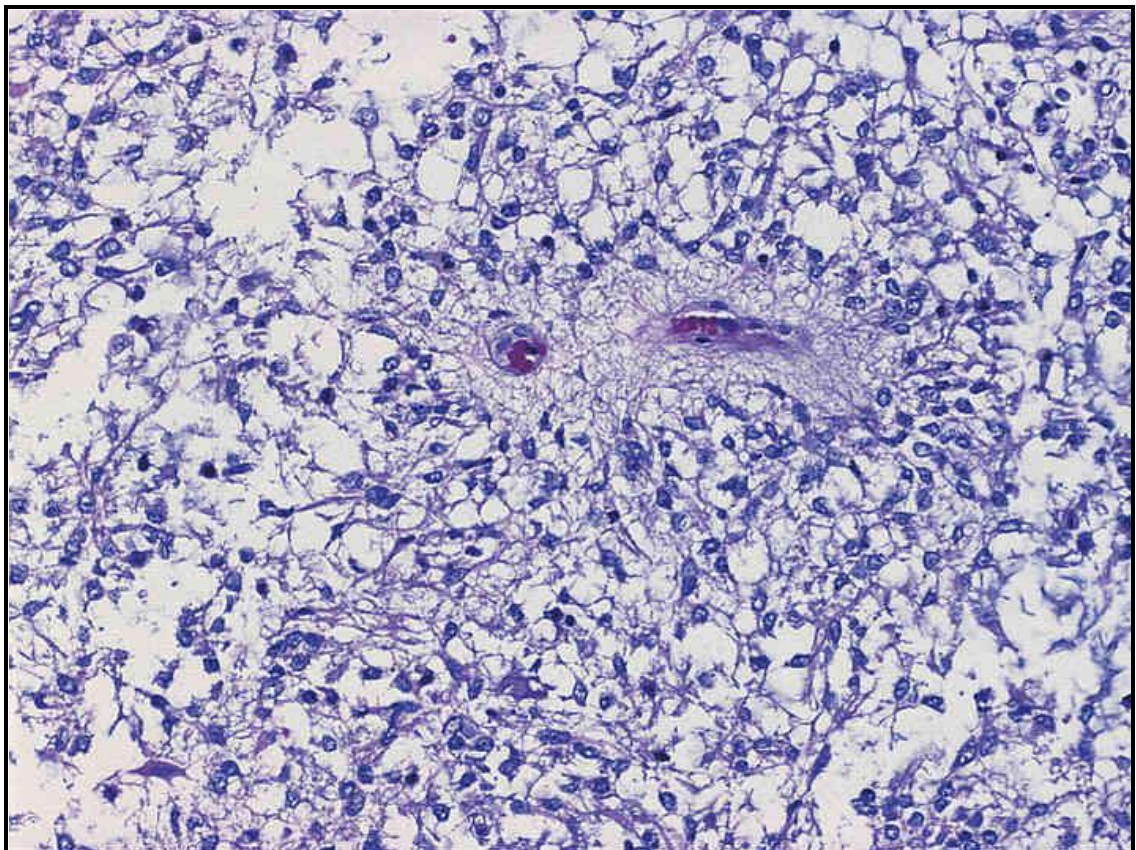
The histological appearance is one of low to moderate cellularity with a biphasic pattern consisting of areas with bipolar (piloid) cells and multipolar (microcystic) tumour cells (Figure 1.10).<sup>35</sup> An important diagnostic feature of pilocytic astrocytomas is the presence of Rosenthal fibres. These are intracytoplasmic eosinophilic hyaline masses that are characteristic but not pathognomonic of pilocytic astrocytomas as they are also seen in gliosis and Alexander's disease.



**Figure 1.10 – Histology of a pilocytic astrocytoma. The biphasic cellular populations and architecture of the classic pilocytic astrocytoma are in evidence. The lesion's process-bearing spindle cell (piloid) constituents fashion a densely fibrillar matrix, whereas its process-poor (protoplasmic) elements aggregate in regions of myxoid change that often progress to microcyst formation. (Image courtesy of PathConsult - <http://www.pathconsultddx.com>)<sup>70</sup>**

### 1.2.3.e.v Pilomyxoid astrocytoma

These are a variant of pilocytic astrocytomas that were first described in 1999<sup>126</sup> and have recently been added to the World Health Organisation classification of CNS tumours.<sup>69</sup> They are characterised by a monomorphic population of bipolar neoplastic astrocytes on a background of a myxoid matrix (Figure 1.11). In contrast to pilocytic astrocytomas, Rosenthal fibres are often missing. They are typically found in optic chiasm/hypothalamic region and have a higher risk of local recurrence and dissemination compared to pilocytic astrocytomas.<sup>126</sup> The World Health Organisation therefore classifies them as a grade 2 tumour.



**Figure 1.11 – Histology of a pilomyxoid astrocytoma. Spindled cytologic features, diffuse myxoid change, and focal perivascular pseudorosetting (centre top) characterize this emerging entity. (Image courtesy of PathConsult - <http://www.pathconsultddx.com>)<sup>70</sup>**

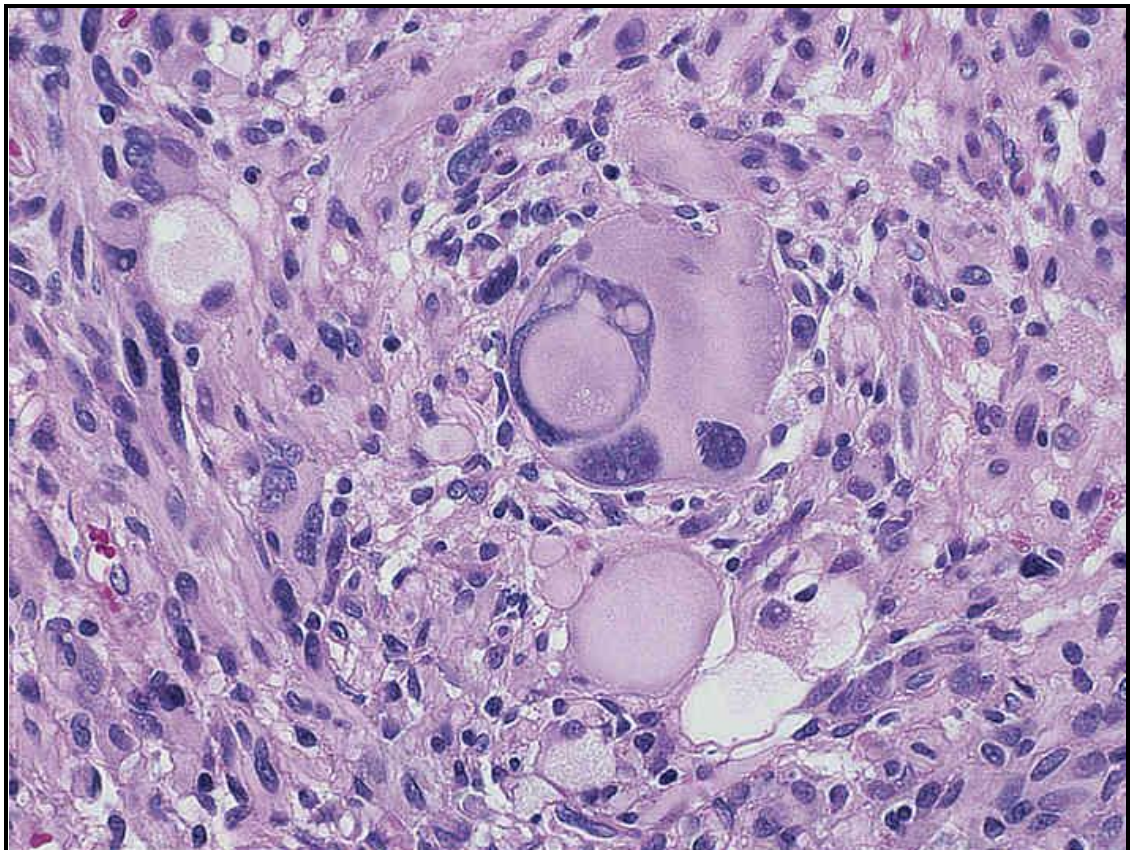


### 1.2.3.e.vi Pleomorphic xanthoastrocytoma

These are another rare variety of generally circumscribed astrocytic tumours.

They are often cystic and tend to occur peripherally in the cortex, extending into the leptomeninges with the temporal lobe being the most common location.<sup>35</sup> Histologically they show a fascicular growth pattern with the hallmark of bizarre, pleomorphic multinucleated giant cells (Figure 1.12).

The tumours are classified as grade 2 by the World Health Organisation and have a reasonably favourable prognosis with a 10 year survival of greater than 70%.<sup>127</sup>



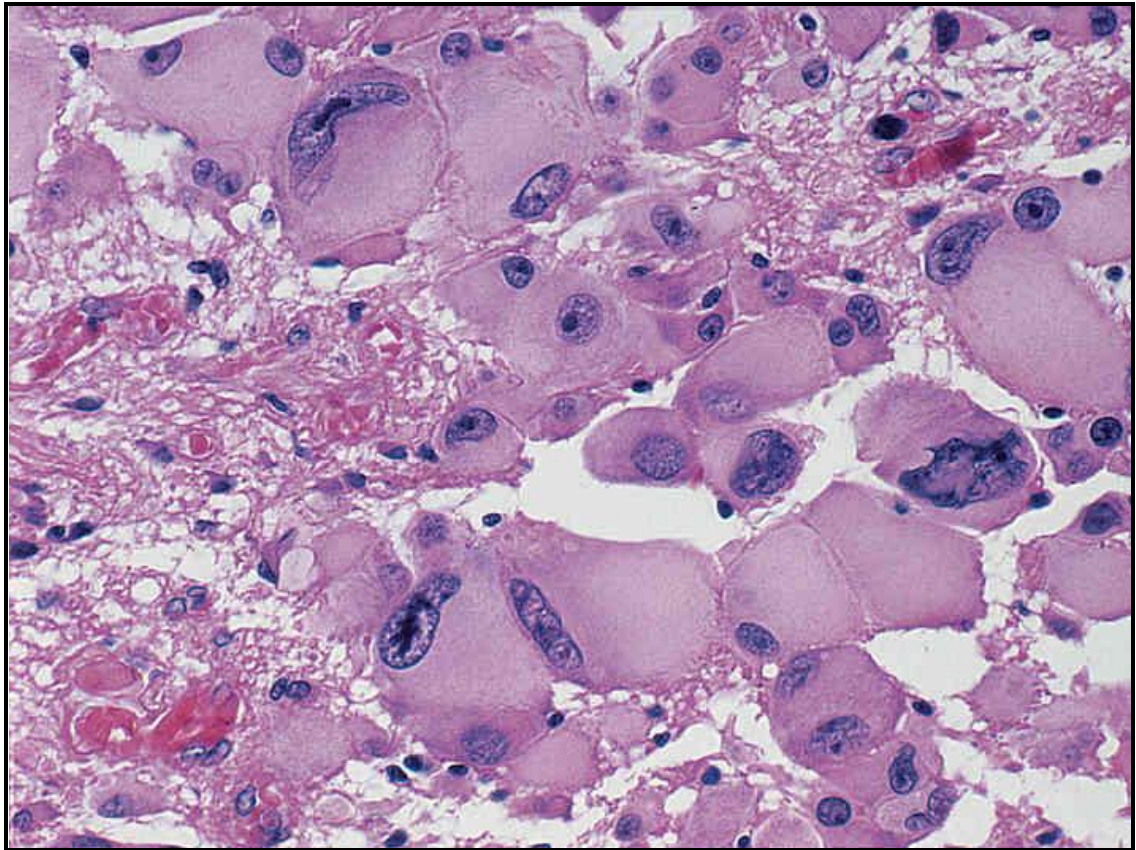
**Figure 1.12 – Histology of a pleomorphic xanthoastrocytoma. Spindle and giant cells, including bizarre multinucleated forms, combine to give this relatively indolent neoplasm a most disturbing appearance. Note hyaline, granular, and vacuolar cytoplasmic alterations, the last attesting to lipid accumulation. (Image courtesy of PathConsult - <http://www.pathconsultddx.com>)<sup>70</sup>**

### **1.2.3.e.vii Subependymal giant cell astrocytoma.**

These are well circumscribed lesions typically arising from the ventricular wall at the foramen of Monroe. They are very closely associated with tuberous sclerosis although spontaneous cases have been described. It was initially thought that these cases were due to a forme fruste of tuberous sclerosis secondary to somatic mosaicism. More recently, cases have been described where a two hit loss of heterozygosity and mutation have been shown in one of the tuberous sclerosis genes only within the tumour itself ruling out a somatic mosaicism.<sup>128</sup>

Subependymal giant cell astrocytomas are moderately cellular tumours composed of pleomorphic large astrocytic cells with abundant glassy eosinophilic cytoplasm and round gangloid nuclei with distinct nucleoli (Figure 1.13).

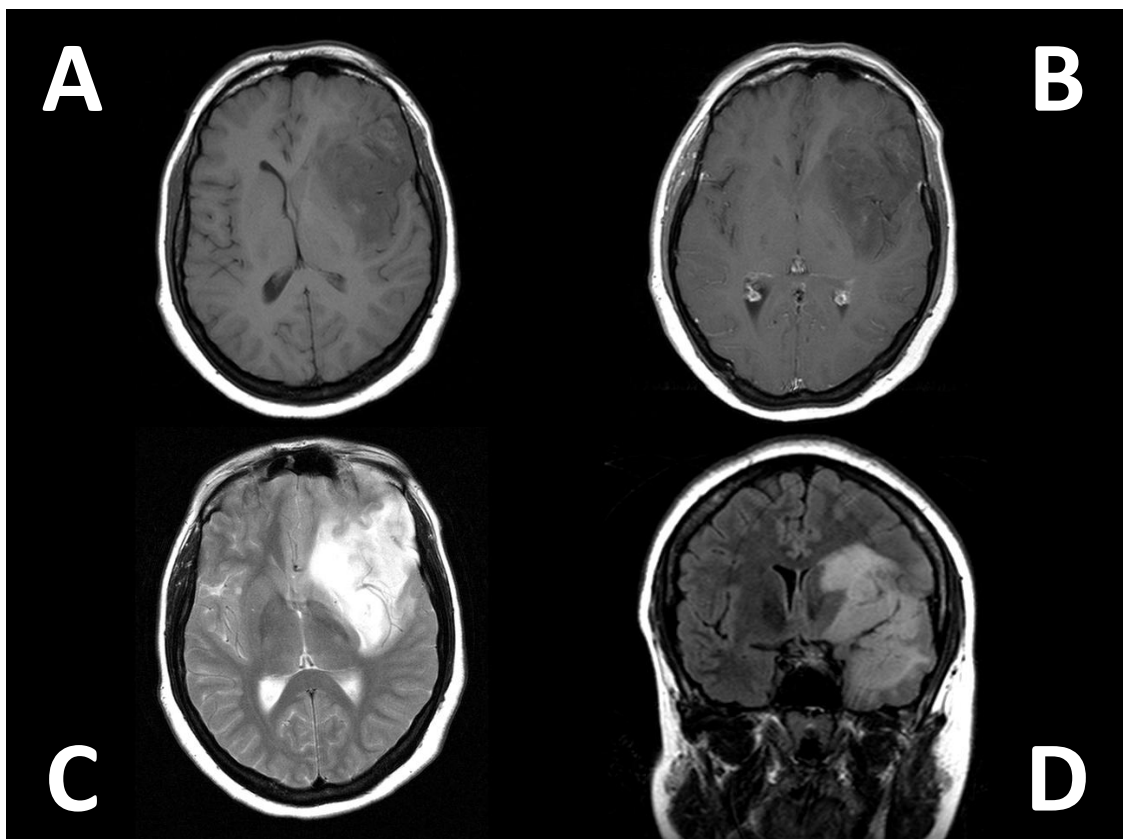
Subependymal giant cell astrocytomas are classified as grade 1 tumours by the World Health Organisation and have a favourable clinical outcome. Recent studies have shown that the pathway activated in tuberous sclerosis involves the mammalian target of Rapamycin receptor and that Subependymal giant cell astrocytomas do respond to blocking of this pathway with Rapamycin therapy.<sup>129</sup>



**Figure 1.13 – Histology of a subependymal giant cell astrocytoma. Tumour cells that can achieve giant proportions, often polygonal in contour and closely apposed in lobular array, are responsible for this neoplasm's name (but not evident in all cases). (Image courtesy of PathConsult - <http://www.pathconsultddx.com>)<sup>70</sup>**

### **1.2.3.f Macroscopic appearance and localisation**

Diffuse astrocytomas predominantly grow in the cerebral hemispheres (Figure 1.14) although the brainstem is a recognised location in children. The lesions tend to be ill-defined masses that enlarge existing structures with blurred anatomical margins. There may be infiltration along white matter tracts and across the corpus callosum into the contralateral hemisphere.

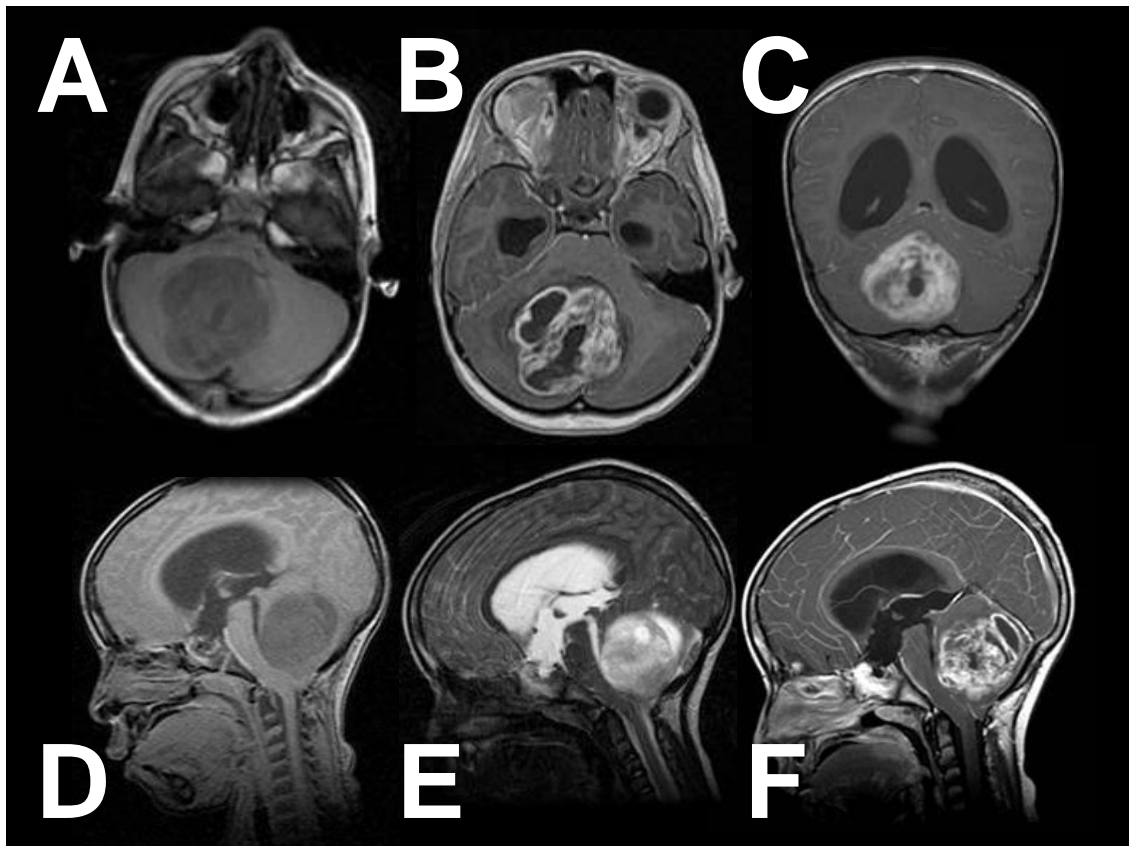


**Figure 1.14 – MR scan of a patient with a grade II astrocytoma of the insular region. A – axial T1, B – axial T1 post contrast, C – axial T2 and D – coronal flair images showing an extensive left fronto-temporal lesion, low signal on T1 and high signal on T2 with no enhancement.**

The posterior fossa is the most common location for pilocytic astrocytomas (Figure 1.15). They tend to be based in the cerebellar hemispheres and are



often cystic with a brightly enhancing mural nodule. The cyst wall may or may not itself enhance.<sup>35</sup>



**Figure 1.15 – MR scan of a patient with a pilocytic astrocytoma of the posterior fossa. A – axial T1, B – axial T1 post contrast, C – coronal T1 post contrast, D – sagittal T1, E – sagittal T2 and F – sagittal T1 post contrast images showing an enhancing, partly cystic lesion arising from the right cerebellar hemisphere.**

### ***1.2.3.g Treatment***

#### **1.2.3.g.i Observation**

For low grade astrocytomas diagnosed without raised intracranial pressure or neurological deficit there is a management option to observe the patient, clinically and radiologically. There have been a variety of studies that have shown that a course of management such as this does not have any impact on overall survival.<sup>130, 131</sup> There is no doubt, however that undertaking

biopsies in patients with radiological low grade gliomas will show more aggressive histology a proportion of times. This, together with the psychological stress that the uncertainty of diagnosis may bring would advocate at least an initial biopsy at the time of presentation.<sup>35</sup> If during a period of observation there is evidence of growth or new enhancement within a tumour then this would generally mandate histological confirmation of the lesion with a biopsy/resection.

#### **1.2.3.g.ii Surgery**

Options for surgical intervention for patients with low grade astrocytomas include biopsy (either stereotactic or open) and open resection. Stereotactic biopsy of low grade astrocytomas is generally a safe, well tolerated procedure with morbidity and mortality rates less than 1%.<sup>132</sup> The issue with undertaking any form of biopsy is that a low grade lesion undergoing the start of malignant transformation would often be heterogeneous in histological appearance and there is therefore the possibility of being falsely reassured with a low grade result on biopsy. Therefore biopsies of multiple areas are advocated in these circumstances.<sup>133</sup>

The role of the extent of resection in diffuse low grade gliomas is a controversial topic. There are no randomised controlled trials examining this question and there are now unlikely ever to be such trials given the general lack of equipoise, limited numbers of patients and potential for long survival times.<sup>35</sup> There are many cohort studies that have tried to answer this question including more recent larger studies and systematic reviews.<sup>134, 135</sup> There is a general weighting of the evidence in favour of greater resection of



lesions to have a progression free and overall survival advantage. In a study of 216 patients from 2008, those with at least 90% extent of resection had 5- and 8-year overall survival rates of 97% and 91%, respectively, whereas patients with less than 90% extent of resection had 5- and 8-year OS rates of 76% and 60%, respectively. After adjusting each measure of tumour burden for age, Karnofsky performance score (KPS), tumour location, and tumour subtype, overall survival was predicted by extent of resection (hazard ratio = 0.972; 95% CI, 0.960 to 0.983; P < .001).<sup>135</sup> Various surgical adjuncts such as image guidance, intra-operative imaging and intra-operative monitoring are all utilised to try and achieve maximum surgical resection.<sup>136-138</sup>

For circumscribed low grade astrocytomas the surgical goal is to try and achieve a gross total resection. If this is accomplished for pilocytic astrocytomas then the 25 year survival rate is approximately 95%.

#### **1.2.3.g.iii Radiotherapy**

There have been several well conducted randomized controlled trials in this area of treatment. The dose response in two studies showed that lower dose treatment resulted in equivalent disease control with fewer complications to high dose treatments.<sup>139, 140</sup> As a result a standard dose of 45-50.4 Gy in 25-28 fractions of 1.8 Gy is accepted as standard practice in the UK and internationally.

In terms of the timing of radiotherapy in the disease course, another well conducted randomized controlled trial showed no benefit to overall survival

in patients receiving radiotherapy at the time of histological diagnosis compared with those receiving radiotherapy at the time of a malignant progression of their tumour.<sup>141, 142</sup> In this study there was an improvement in progression free survival from 3.4 to 4.8 years with initial radiotherapy at histological diagnosis, but this study generally supports an initial observational strategy in order to avoid earlier complications of radiation therapy in the disease course. However this approach is being readdressed with the availability of more advanced techniques for focally delivering radiotherapy that are now more generally available.<sup>35</sup>

Radiotherapy for circumscribed astrocytomas is generally used when there has been failure to control the disease surgically. In these circumstances there is reasonable expectation of local control with approximately 60% response at 10 years following radiotherapy.<sup>143</sup>

#### **1.2.3.g.iv Chemotherapy**

The most commonly used chemotherapy agents for diffuse low grade gliomas are temozolomide, procarbazine, CCNU and vincristine. The use of temozolomide is becoming the mainstay of current treatment with response rates from 31-61% and its use as an alternative to radiotherapy post surgical resection of low grade astrocytomas has been explored.<sup>144, 145</sup>

Chemotherapy for circumscribed astrocytomas would usually be reserved for disease that cannot be treated surgically where radiotherapy is contra-indicated, notably in the under 3 age group. For pilocytic astrocytomas the standard first line treatment internationally is vincristine and carboplatin with

radiographic response rates of 52% in patients with recurrent disease and 62% in newly diagnosed patients.<sup>146-148</sup>

### **1.2.3.h Prognosis**

Malignant transformation is a well described phenomenon in low grade astrocytomas, with 13% to 86% of initially diagnosed low grade tumours reported to have recurred at a higher histological grade.<sup>35</sup> This means that although there is significant variation in the literature, most studies give a median survival for low grade astrocytomas of between 7 to 9 years.<sup>149-153</sup> This figure appears to have improved over recent decades. A study from Norway showed the median survival for low grade astrocytomas improved from the period 1970-1981 where it was 4.5 years (95% CI 3.4–5.7) to 9.1 years (95% CI 7.4–10.9) in the period 1982-1993. The most recent figures for survival for diffuse low grade astrocytomas are 1, 5 and 10 year survival rates of 73.5%, 46.9% and 37.7%.<sup>36</sup>

There are several clinical factors that have been shown to be associated with improved survival in low grade astrocytomas. These include age less than 40 at diagnosis, presence of seizures at diagnosis, absence of neurological deficits at diagnosis, Karnofsky Performance Score greater than or equal to 70 and mini mental state examination score greater than 26 out of 30.<sup>140, 149, 154-159</sup> In terms of tumour factors a pre-operative maximum diameter of greater than 5-6 cm and the presence of contrast enhancement are poor prognostic factors.<sup>140, 149</sup> The effect of surgical resection on survival is discussed above in section 1.2.3.f.ii.

For pilocytic astrocytomas gross total resection is the most important prognostic factor as discussed above. Subtotal resections do reduce progression free survival,<sup>160, 161</sup> however, there is a recognised incidence of tumour involution of between 14-45% with subtotal resections leaving small volumes of tumour.<sup>162-164</sup> Overall survival rates for pilocytic astrocytomas at 1, 5 and 10 years are 96.1%, 92.0% and 90.3%.<sup>36</sup>

## **1.2.4 Oligodendrogliomas**

### ***1.2.4.a Definition***

Oligodendrogliomas are diffusely infiltrating, well differentiated gliomas that are preferentially located in the cerebral hemispheres of adult patients composed of neoplastic cells resembling oligodendrocytes.

### ***1.2.4.b Epidemiology***

Oligodendrogliomas represent 5% of all reported brain tumours. The median age of diagnosis is 41-49 with an annual incidence of 0.67 (95% CI 0.64-0.71) per 100,000 population.<sup>36</sup>

### ***1.2.4.c Aetiology***

As with astrocytomas there are no strongly associated aetiological factors in the development of oligodendrogliomas

### ***1.2.4.d Molecular pathology***

Oligodendrogliomas typically show allelic loss on chromosome arms 1p and 19q in approximately 80% of cases. In most instances this is due to an unbalanced t(1;19)(q10;p10) translocation.<sup>165</sup> *IDH1* mutations are common

as with diffuse astrocytomas.<sup>120</sup> There is evidence that hypermethylation of the O<sup>6</sup>-methylguanine methyltransferase (*MGMT*) gene is found in oligodendrogliomas, particularly those with 1p 19q loss.<sup>166</sup>

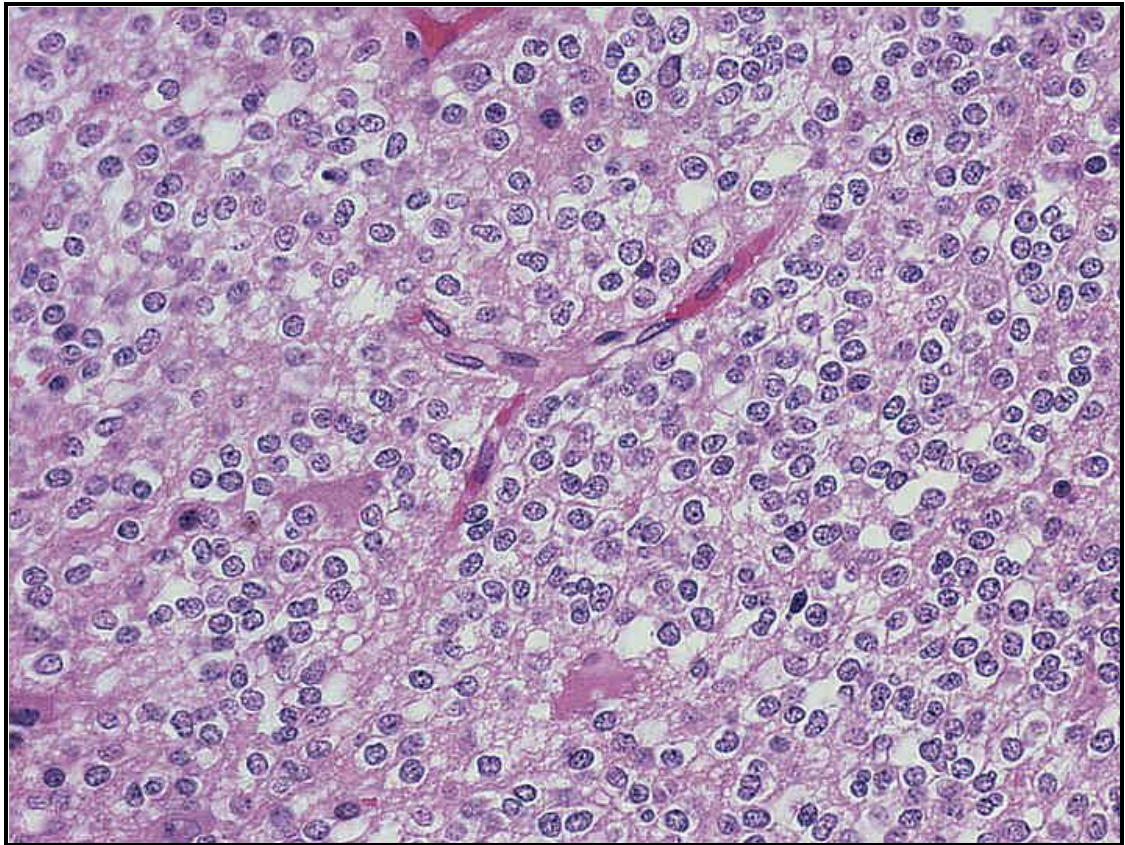
#### ***1.2.4.e Histopathology***

There are two grades of oligodendroglial tumours, grade 2 and grade 3 (anaplastic).<sup>69</sup>

Grade 2 oligodendrogliomas are moderately cellular, diffusely infiltrating tumours consisting of isomorphic cells with round hyperchromatic nuclei (Figure 1.16).<sup>35</sup> Nodular areas of increased cellularity may be present but if the mitotic activity is low this does not automatically categorise them into grade 3. The cells suffer from artefactual swelling that results in clear cells with central spherical nuclei and well defined cell margins (fried egg appearance).

Anaplastic oligodendrogliomas show increased mitotic activity, microvascular proliferation and necrosis, with or without pseudopalisading. Otherwise the typical cellular architecture of oligodendrogliomas is still recognisable.

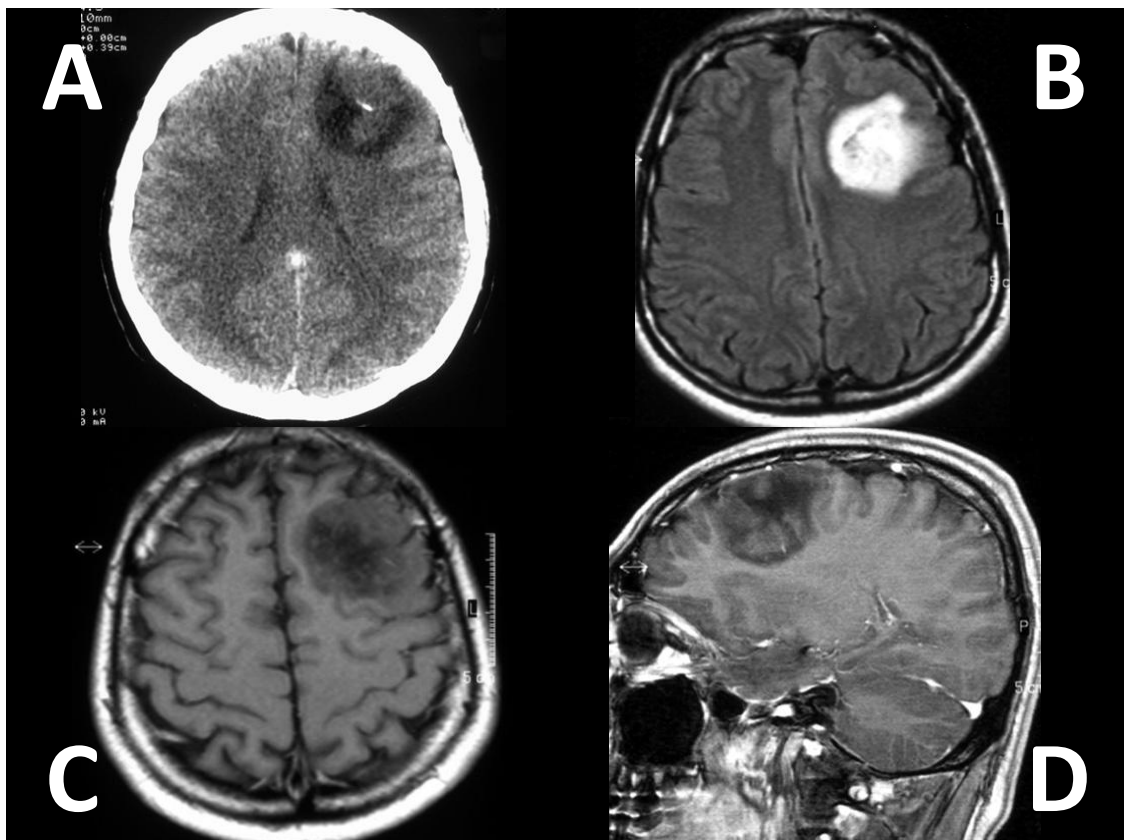
Oligoastrocytoma tumours exist with a mixture of distinct neoplastic cell types that may be either intermingled (diffuse variant) or in separate areas within the tumour (compact variant).<sup>35</sup> The tumours show distinct populations of both astrocytic and oligodendroglial lineage. They can show typical anaplastic features within both cellular components to be classified as grade 3 anaplastic oligodendrogliomas.



**Figure 1.16 – Histology of an oligodendroglioma. Uniform, round nuclei and clear perinuclear halos (artefacts of delayed fixation) typify well-differentiated oligodendrogliomas. (Image courtesy of PathConsult - <http://www.pathconsultddx.com>)<sup>70</sup>**

#### **1.2.4.f Macroscopic appearance and localisation**

The most common location for oligodendrogliomas is in the frontal lobes with the mass centred in the white matter and frequently invading into cortex (Figure 1.17). Rarely, they can develop in the cerebellum, brainstem or spinal cord. Calcification within the tumour is a common finding in oligodendrogliomas.<sup>35</sup>



**Figure 1.17 – MR scan of a patient with an oligodendroglioma. A – axial CT scan, B – axial flair, C – axial T1 post contrast and D – Sagittal T1 post contrast images showing a partly calcified non-enhancing lesion in the left frontal lobe.**

### **1.2.4.g Treatment**

#### **1.2.4.g.i Surgery**

The principles for surgical management of oligodendrogliomas are similar to those for astrocytomas (see 1.2.3.g.ii). One added factor in recent years has been the recognition of improved response to chemotherapy in oligodendrogliomas, particularly when there is loss of 1p 19q.<sup>167</sup> This may alter the surgical strategy, either by biopsying an apparent low grade glioma sooner or being less aggressive surgically with a known oligodendroglioma with 1p19q loss.

#### **1.2.4.g.ii Radiotherapy**

There is no randomised controlled trial comparing observation against radiotherapy for either low grade or anaplastic tumours. There is, however, good evidence that radiotherapy at doses of 54-60 Gy given in fractions of 1.8-2 Gy provides durable control for patients with both low grade<sup>142</sup> and grade 3 oligodendrogliomas.<sup>168</sup>

It is recognised that up to 30% of patients with oligodendrogliomas will experience leptomeningeal seeding.<sup>35</sup> Even with this high rate craniospinal radiotherapy is not routinely given to these patients because of the side effects and likelihood of response to chemotherapy of disseminated disease.



#### **1.2.4.g.iii Chemotherapy**

The classic therapy for oligodendrogliomas is the PCV regimen of procarbazine, lomustine/CCNU and vincristine. This regimen had shown response rates of more than 50% and median survival times of 15-24 months in patients with recurrent oligodendroglial tumours.<sup>169</sup>

Temozolomide has generally replaced PCV as first line therapy for oligodendrogliomas for both primary<sup>170</sup> and relapsed tumours.<sup>171</sup>

The finding of improved survival with oligodendrogliomas was first described in 1998.<sup>167</sup> Subsequent studies have confirmed this finding but also found that there is no survival benefit without adjuvant treatment, particularly chemotherapy. It is therefore felt that this is a factor predictive of response to treatment rather than an independent prognostic factor in itself.<sup>172</sup>

#### **1.2.4.h Prognosis**

Given the generally good response to chemotherapy in the majority of oligodendrogliomas, survival rates are generally better than those for astrocytomas. Survival at 1, 5 and 10 years is 90.5%, 75.5% and 56.1% in grade 2 oligodendrogliomas and 77.4%, 50.4 and 33.8% in anaplastic oligodendrogliomas respectively.

## **1.2.5 High Grade Astrocytoma**

### ***1.2.5.a Definition***

Tumours in this category would be WHO grade 3 anaplastic astrocytomas and WHO grade 4 Glioblastomas.<sup>69</sup>

Glioblastoma is the most common glial neoplasm which is preferentially located in the cerebral hemispheres of adult patients. Broadly they are categorised into primary glioblastomas that occur de novo with no pre-existing lesion and secondary glioblastomas which represent malignant change within a lower grade diffuse glial tumour.<sup>35</sup>

Anaplastic astrocytomas are astrocytic gliomas with increased cellularity, cytological atypia and increased mitotic activity. As with glioblastomas they can occur de novo or secondary to a lower grade astrocytoma.<sup>35</sup>

### ***1.2.5.b Epidemiology***

Glioblastoma comprises 80% of malignant gliomas and 18.5% of all brain tumours. Median age at diagnosis for glioblastomas is 64 years. Anaplastic astrocytomas form 10-25% of astrocytic gliomas and overall 2.7% of brain tumours. The median age of diagnosis for anaplastic astrocytomas is 51.<sup>36</sup>

Overall malignant gliomas comprise approximately 2% of all cancers with an annual incidence of 5 per 100,000 population. However, given the younger age of presentation and overall poor life expectancy, these tumours have a relatively greater impact on loss of years of working life than other more common tumours.<sup>36</sup>

### **1.2.5.c Aetiology**

As with low grade gliomas, the only environmental aetiological factor that has been identified is therapeutic ionising radiation.<sup>173</sup> There are several genetic conditions that are predisposed to developing high grade astrocytomas. The autosomal dominant *p53* gene germline mutation Li-Fraumeni syndrome are known to develop malignant astrocytomas as one of tumours related to the condition.<sup>88</sup> Turcot's syndrome is a genetic condition involving familial polyposis of the colon with an increased risk of colon cancer. These patients have a higher rate of developing malignant astrocytomas.<sup>174</sup> Low grade astrocytomas occurring in patients with tuberous sclerosis and neurofibromatosis type 1 and 2 have the potential to progress to malignant tumours.

### **1.2.5.d Molecular pathology**

Genetic analysis has shown marked differences between primary and secondary gliomas.<sup>175</sup>

Primary glioblastomas more frequently demonstrate EGFR amplification, homozygous deletion of *CDKN2A* and *p14<sup>ARF</sup>*, *CDK4* amplification, *MDM2* or *MDM4* amplification, *RB1* mutation/homozygous deletion, monosomy 10 and *PTEN* mutation.<sup>176</sup>

*p53* mutations are more commonly associated with secondary glioblastomas, being present in over 60% compared to 30% of primary glioblastomas.

In secondary glioblastomas, *EGFR*, *MDM2* or *MDM4* amplification and *PTEN* mutation is rare. Allelic loss on chromosome 10 is generally confined to

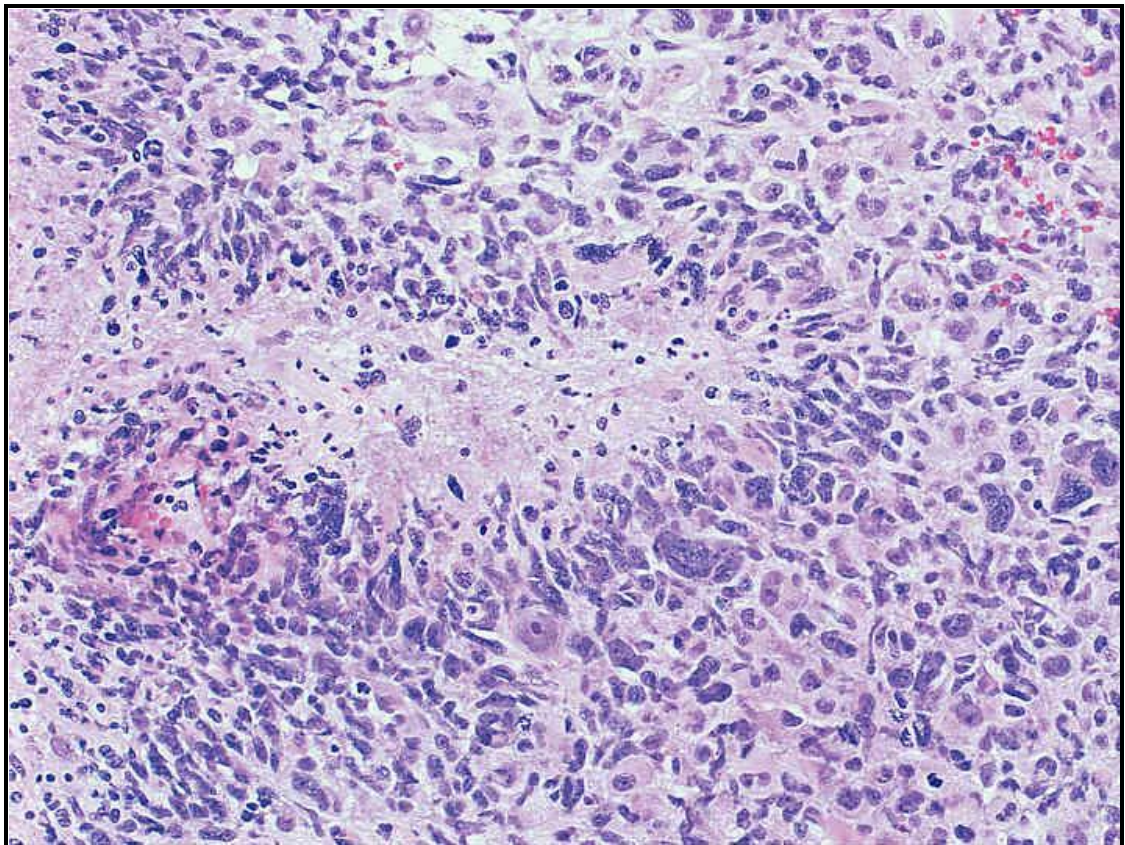
markers on 10q. Allelic loss of 19q and 13q, promoter hypermethylation of the *RB1* gene and overexpression of *PDGFRA* are more common in secondary glioblastoma. IDH1 point mutations are also far more common in secondary glioblastoma.<sup>120</sup>

Although the genetic alterations in primary and secondary glioblastomas are very different, there are common pathways that both target, namely the p53/pRb1, Pten/Pi3k/Akt and mitogen-activated protein kinase pathways.<sup>176, 177</sup>

### **1.2.5.e Histopathology**

Glioblastomas are highly cellular tumours composed of cells with a variety of morphologies (Figure 1.18). Nuclear atypia is common and mitotic activity is usually high. It is essential to see microvascular proliferation and or necrosis to make the diagnosis.<sup>69</sup>

There are two variants of glioblastoma in the WHO classification, giant cell glioblastoma and gliosarcoma.



**Figure 1.18 – Histology of a glioblastoma. Dense cellularity, striking pleomorphism, and zones of coagulative necrosis lined by 'palisading' tumour cells characterize the prototypical glioblastoma. Note the complex 'glomeruloid' quality of the microvascular proliferation at right. Astrocytic elements are seen on the left side. (Image courtesy of PathConsult - <http://www.pathconsultddx.com>)<sup>70</sup>**

#### **1.2.5.e.i Giant cell glioblastoma**

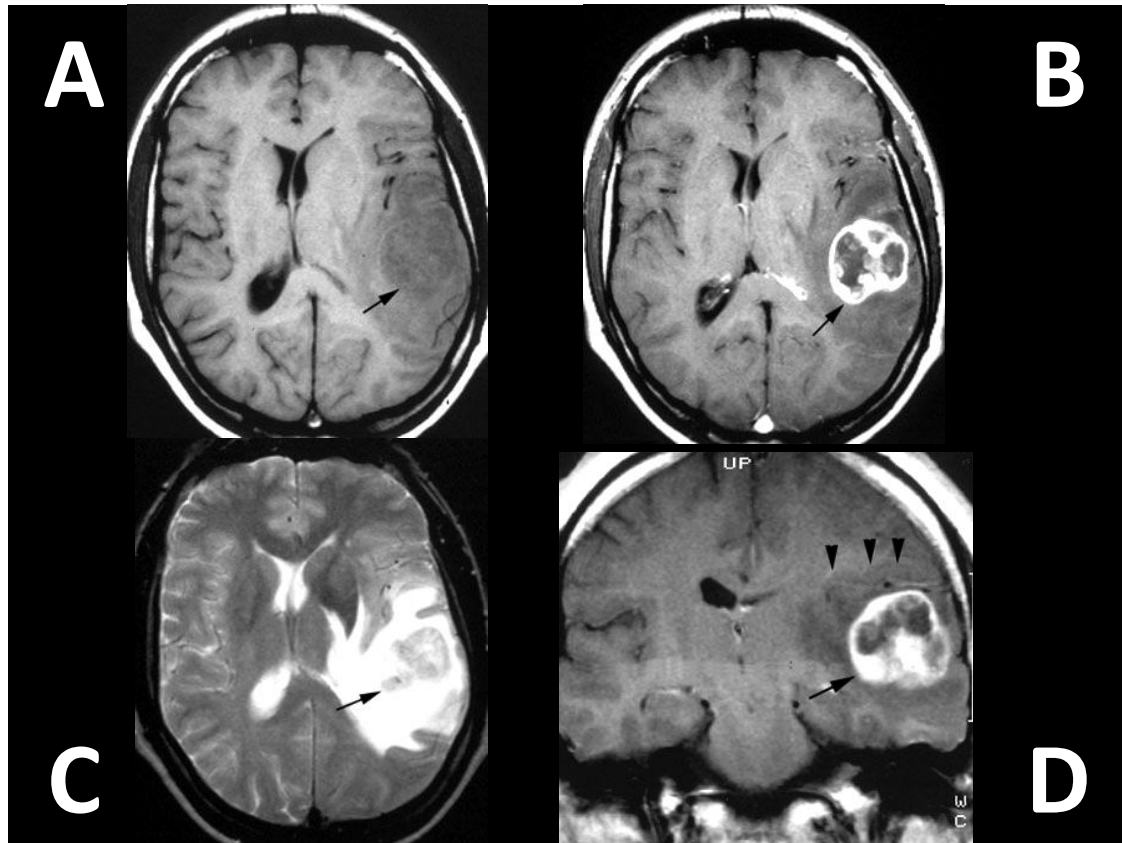
This variant is characterised by numerous, pleomorphic, multinucleated giant cells. Some giant cell glioblastomas demonstrate a collagen and reticulin fibre-rich matrix. On imaging and clinically they tend to be well circumscribed lesions and this may be why there is some evidence that they can do better than classical glioblastomas.<sup>35</sup>

#### **1.2.5.e.ii Gliosarcomas**

These tumours show a biphasic pattern of gliomatous and sarcomatous elements. The gliomatous elements have the typical appearance of a glioblastoma and stain positive for glial fibrillary acidic protein (GFAP). The sarcomatous elements are rich in reticulin fibres and composed of GFAP-negative spindle cells. The outcome for gliosarcoma is felt to be slightly worse than a classical glioblastoma.<sup>178</sup>

### **1.2.5.f Macroscopic appearance and location**

The vast majority of glioblastomas arise in the cerebral hemispheres (Figure 1.19). They appear as peripherally enhancing, centrally necrotic lesions with surrounding oedema on imaging. There is frequently invasion to adjacent structures and through the corpus callosum to the contralateral hemisphere.



**Figure 1.19 – MR scan of a patient with a glioblastoma. A – axial T1, B – axial T1 post contrast, C – axial T2 and D – coronal T1 post contrast images showing a left temporal peripherally enhancing lesion, which, as in this example, can have a well demarcated boundary (arrows with tails). There is a large amount of surrounding oedema (arrows without tails).**

### **1.2.5.g Treatment**

#### **1.2.5.g.i Surgery**

There is ongoing debate on the role and influence of surgical intervention in high grade astrocytomas/glioblastomas. There is consensus that histological confirmation of a lesion in those fit to undergo a biopsy is an appropriate management strategy. In terms of the effect of extent of resection in outcome of these tumours, there has never been a randomised controlled trial comparing biopsy with maximal surgical resection. There is however a growing body of evidence that suggests the greater the extent of resection then the better the outcome.<sup>179, 180</sup>

In addition to the potential benefits of surgical resection, there are new surgical technologies available that can deliver local therapies directly to the tumour. The currently most used example is Gliadel wafers, which are a polymer that provides a sustained release of carmustine (BCNU) directly to the resected tumour bed.<sup>181</sup> In a phase III trial the median survival has been shown to increase from 11.6 to 13.9 months with the use of Gliadel.<sup>181</sup>

#### **1.2.5.g.ii Radiotherapy**

There have been multiple trials showing a benefit to survival following fractionated radiotherapy for glioblastomas.<sup>182</sup> The standard dose is generally 54Gy to 60Gy in 30 fractions of 1.8Gy to 2Gy.

With its wider availability stereotactic radiosurgery is becoming increasingly utilised in the treatment of glioblastoma. This is particularly in the setting of nodular recurrence following previous fractionated radiotherapy. As yet



there is no strong evidence of a significant improvement in tumour control or survival.<sup>183</sup>

#### **1.2.5.g.iii Chemotherapy**

For many years patients with high grade gliomas were treated with systemic chemotherapy following radiotherapy or at the time of relapse. For glioblastoma there was no good clinical evidence to support this and for anaplastic astrocytoma there were only a few non-controlled trials showing a benefit. In 2001 a randomised controlled trial of chemotherapy following radiotherapy versus radiotherapy alone showed no benefit with chemotherapy for either glioblastoma or anaplastic astrocytoma.<sup>184</sup>

In recent years, however, temozolomide, an oral alkylating agent, given concomitantly with radiotherapy has been shown to increase median survival by 2.5 months and give a 2 year survival of 26% compared to 8% in patients treated with radiotherapy alone.<sup>185</sup> The DNA repair gene O<sup>6</sup>-methylguanine DNA methyltransferase (MGMT) plays an important role on the effect of temozolomide in glioblastomas. When it is epigenetically silenced by hypermethylation the benefits of temozolomide versus radiotherapy alone are significantly higher with an increase of 6.4 months in median survival to a median of 21.7 months. MGMT promoter methylation was evident in 45% of glioblastoma patients and the effect is so strong that all future trials involving temozolomide will need to stratify patients based upon their MGMT methylation status.<sup>186</sup>

### ***1.2.5.h Prognosis***

Despite recent advances, the overall prognosis for high grade astrocytomas remains poor. No therapy will reliably offer long term control of the tumours.

Proven factors related to prognosis are age and Karnofsky performance score at presentation.<sup>35</sup> Patients over 65-70 or who have a Karnofsky performance score of less than 70 will have a worse outcome.

Survival rates at 1, 5 and 10 years for anaplastic astrocytoma are 60.3%, 29.4% and 22.4% and for glioblastoma are 29.6%, 3.4% and 2.4% respectively.<sup>36</sup>

## **1.2.6 Medulloblastoma**

### ***1.2.6.a Definition***

Medulloblastomas are a malignant embryonal tumour of the cerebellum, mainly occurring in children, with predominantly neuronal differentiation and a tendency to disseminate through CSF pathways.

### ***1.2.6.b Epidemiology***

Medulloblastomas are the most common malignant brain tumour in children. The annual incidence is approximately 0.5 per 100,000 with a peak age of presentation of 7 years. Infants and young adults can also be affected. There is generally a slight male predominance with approximately 65% of patients being male.<sup>187</sup>

### **1.2.6.c Aetiology**

Most cases of medulloblastoma are sporadic but there have been several familial tumour syndromes with an association with medulloblastomas including Gorlin syndrome, Rubenstein-Tyabi syndrome, Li-Fraumeni syndrome, ataxia-telangiectasia, Turcot syndrome, neurofibromatosis and tuberous sclerosis.<sup>35</sup>

### **1.2.6.d Molecular pathology**

In recent years there has been much discovered about the molecular pathways that are involved in the formation of medulloblastomas. The cell of origin of medulloblastomas is felt to be the granule cell progenitor.<sup>188</sup> Overactive sonic hedgehog signalling promotes granule cell progenitor proliferation and stimulates the development of medulloblastomas.

Although there is still some debate about the details, several groups have shown a reproducible molecular classification of medulloblastoma subtypes that have overlap with histological subgroups and strong associations with prognosis and survival.<sup>189-192</sup>

The most frequent genetic alteration in medulloblastomas is loss of chromosome 17p which is found in over 50% of cases and associated with gain of 17q, hence forming the isochromosome 17q. This genetic abnormality is associated with classic and large cell/anaplastic histological variants. Target genes that have been implicated on 17p are the *REN* (*KCTD11*) and *HIC1* genes.<sup>193, 194</sup>

WNT pathway activation is seen in 15% of medulloblastomas and is most frequently caused by somatic *CTNNB1* mutations indicated by nuclear accumulation of its gene product  $\beta$ -catenin. This molecular subtype is associated with a subgroup of the classic histological variant which lacks chromosome 17 alterations (otherwise typical in classical variant) but having chromosome 6q losses. This subtype is seen in older children and has a more favourable prognosis.

Desmoplastic histological variant medulloblastomas also often lack 17p losses but are associated with sonic hedgehog pathway (SHH) abnormalities.<sup>195</sup>

These include mutations in the tumour suppressor gene *PTCH* on chromosome 9q. *PTCH* encodes a receptor component of the SHH pathway that control granule cell progenitor proliferation in cerebellar development. Inactivating mutations of *PTCH* or the Human Suppressor of Fused (*hSUFU*) gene on chromosome 10 leads to uncontrolled activation of the SHH pathway and over-proliferation of granule cell progenitor cells. This is the mechanism by which germline mutations of the *PTCH* gene (Gorlin syndrome) or *hSUFU* gene predispose to medulloblastomas.<sup>196, 197</sup>

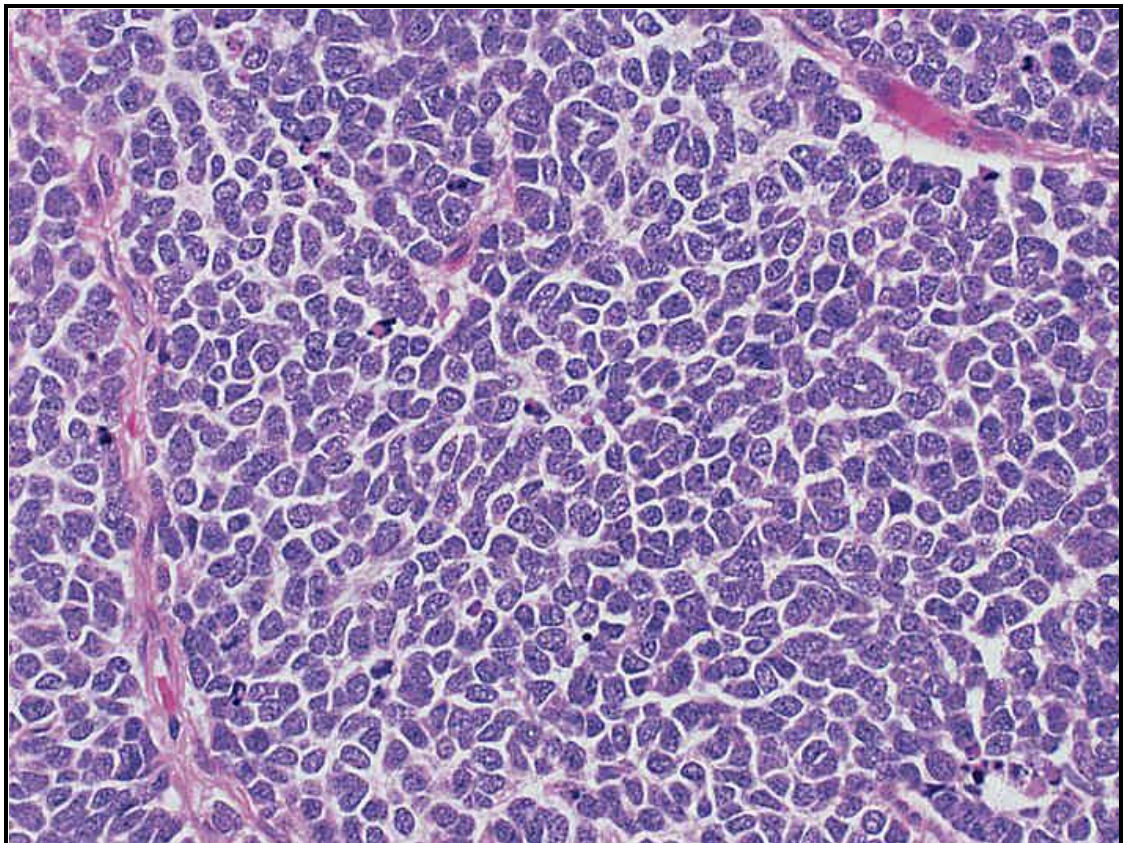
Amplification of the *MYC* gene (most commonly *MYCC* and *MYCM*) on chromosome 8q24 occurs in 5-10% of cases. This is associated with the large cell/anaplastic histological variants and an overall poor prognosis.<sup>198-200</sup>

### **1.2.6.e Histopathology**

As already described the histological variants of medulloblastoma are closely associated with molecular profiles of the tumours. There are five subtypes of medulloblastoma in the WHO classification.<sup>69, 201</sup>

#### **1.2.6.e.i Classic medulloblastoma**

Medulloblastomas are composed of densely packed, small, round tumour cells with scant cytoplasm, round or carrot shaped nucleus and condensed chromatin (Figure 1.20). The classic variant occurs in approximately 70% of cases. There may be formation of Homer-Wright rosettes.



**Figure 1.20 – Histology of a medulloblastoma. The classic medulloblastoma is a highly cellular neoplasm composed of diminutive, undifferentiated-looking elements possessed of little definable cytoplasm and prone to nuclear moulding. (Image courtesy of PathConsult - <http://www.pathconsultddx.com>)<sup>70</sup>**

#### **1.2.6.e.ii Desmoplastic/Nodular medulloblastoma**

Desmoplastic medulloblastoma comprises of 10-20% of cases and is made up of islands of well differentiated cells surrounded by large amounts of reticulin and collagen. It generally carries a more favourable prognosis.<sup>202</sup>

#### **1.2.6.e.iii Medulloblastoma with extensive nodularity**

These tumours show large nodules and advanced neurocytic differentiation with smaller areas resembling desmoplastic medulloblastoma. It occurs in infants and with treatment the prognosis appears to be favourable.

#### **1.2.6.e.iv Anaplastic medulloblastoma**

Cases of medulloblastoma showing severe and diffuse anaplasia qualify for the diagnosis of anaplastic. This variant is associated with a poor outcome.

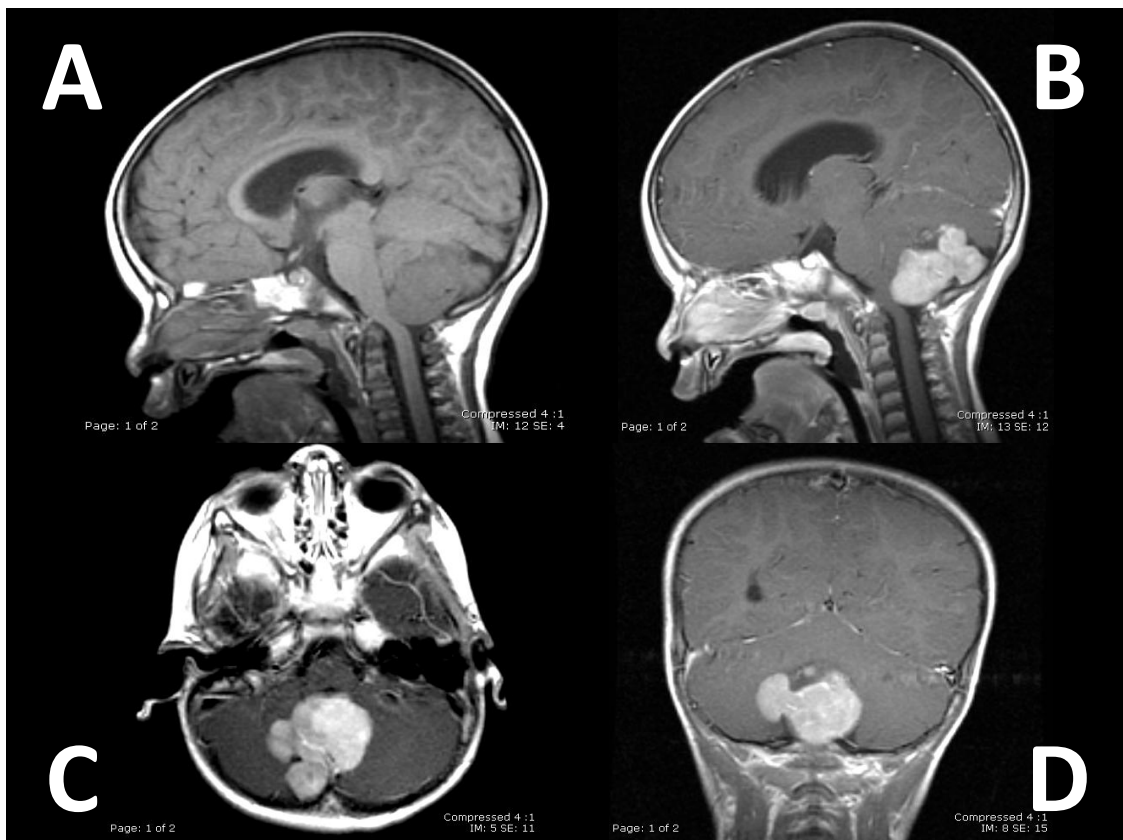
#### **1.2.6.e.v Large cell medulloblastoma**

This rare subtype is characterised by large tumour cells with eosinophilic cytoplasm, enlarged nuclei and single prominent nucleoli. Mitoses and apoptotic figures are abundant with large areas of necrosis commonly seen. Clinically this variant has very aggressive behaviour and is associated with a poor prognosis.

### **1.2.6.f Macroscopic appearance and localisation**

Medulloblastomas typically arise in the midline of the posterior fossa dorsal to the fourth ventricle (Figure 1.21) although the desmoplastic variants are often more centred in a cerebellar hemisphere. On pre contrast CT scan they appear hyperdense as a consequence of their cellularity.

At the time of diagnosis 30% will have evidence of metastatic spread through the CSF pathways.



**Figure 1.21 – MR scan of a patient with a medulloblastoma. A – sagittal T1, B – sagittal T1 post contrast, C – axial T1 post contrast and D – coronal T1 post contrast images showing a uniformly enhancing, somewhat nodular lesion arising from the cerebellar vermis, causing a secondary obstructive hydrocephalus. It is isointense on pre-contrast T1 images suggesting a cellular tumour.**

### **1.2.6.g Treatment**

It has been recognised for some years that the goal of surgical resection of medulloblastoma should be to achieve a gross total resection or be leaving less than 1.5cm<sup>3</sup> of tumour as anything more that this significantly worsened survival.<sup>203</sup>

Given the location of the tumour, obstructive hydrocephalus is a common presenting finding and overall about 20-30% of patients will require a permanent CSF diversion at some point of their treatment.<sup>35</sup>

### **1.2.6.h Radiotherapy**

Radiotherapy has been shown to be beneficial for medulloblastomas but it is limited by its long term complications in younger children. Most children under 3 would not be treated with post operative radiotherapy but attempts would be made to hold the disease with chemotherapy until they had reached at least 3 years of age.

Standard doses would be 36 Gy in 20 fractions to the craniospinal axis and 54 Gy boost in 30 fractions to the posterior fossa. Attempts have been made to spare the dose as much as possible given the cognitive sequelae even in children over 3 years old. One study described a reduced dose of radiotherapy to the standard risk (age >3, <1.5cm<sup>3</sup> residual tumour post operation and no metastases at presentation) group of 23.4 Gy to the craniospinal axis, 36 Gy to the posterior fossa and 55.8 Gy to the tumour bed. High risk patients received 36-39.6 Gy to the craniospinal axis, with a 3D conformal boost totalling 55.8 Gy to the tumour bed. Survival at 5 years was 85% in the standard risk and 70% in the high risk group.<sup>204</sup>.



As well as the cognitive side effects there is risk following radiotherapy of developing a second malignancy which has been reported as 10%.<sup>205</sup>

#### ***1.2.6.i Chemotherapy***

The medical treatment of medulloblastoma is constantly evolving.<sup>206, 207</sup>

However, there are a variety of different agents and regimens that have been trialled in multicentre settings that have not clearly shown great advantage over the others. With increasing knowledge of the molecular basis of medulloblastomas it is hoped that more individually targeted and effective therapies will be available in the future.

#### ***1.2.6.j Prognosis***

Many of the important prognostic factors have already been discussed above and it is clear that the molecular characteristics of the tumours will have more influence in terms of prognosis and treatment in the future.

With improvements in survival rates the quality of survival of children must be carefully considered and assessment and support of development is essential in this vulnerable group.<sup>208</sup>

## **1.2.7 Ependymoma**

### **1.2.7.a Definition**

Ependymomas are glial tumours with cellular features of ependymal differentiation. They arise from radial glial-like stem cells<sup>209</sup> in the cerebral subventricular zone lining the fourth ventricle and within the spinal cord.

### **1.2.7.b Epidemiology**

Ependymomas are the third most common CNS tumour in children following astrocytoma and medulloblastoma. They represent 5% of CNS tumours in adults, 10% in children under 15 years and 30% in children under 3 years of age. The overall annual incidence is approximately 0.34 (95% CI 0.32-0.34) per 100,000.<sup>36</sup>

### **1.2.7.c Aetiology**

No environmental factors have been identified in the development of ependymomas. Approximately 2-5% of patients with neurofibromatosis type 2 develop ependymomas which usually occur in the spine.<sup>35</sup>

### **1.2.7.d Molecular pathology**

The most common chromosomal change is the loss of chromosome 22 in 30-60% of cases.<sup>210</sup> The actual gene on chromosome 22 remains to be identified since the *NF2* gene is mutated in only a small subgroup of patients and *hSNF5/INI1* mutations are absent. p53 mutations and amplifications of *CDK4* and *EGFR* are usually absent in ependymomas. However expression of *ERBB2*, *ERBB4* and *EGFR* is often up-regulated and *EGFR* over-expression is linked to poor prognosis.<sup>211, 212</sup>

There are marked genetic differences in ependymomas depending upon their location with supratentorial ependymomas expressing elevated levels of *EPHB-EPHRIN* and *NOTCH* pathway members, whereas spinal ependymomas showed up regulated expression of *HOX* genes.<sup>209</sup>

Increased expression of the catalytic subunit of human telomere reverse transcriptase (*hTERT*) has a negative effect on survival. Five-year overall survival was 84% (SEM, 7%) and 41% (SEM, 7%) for hTERT-negative and hTERT-positive tumours, respectively (P = .001).<sup>213, 214</sup>

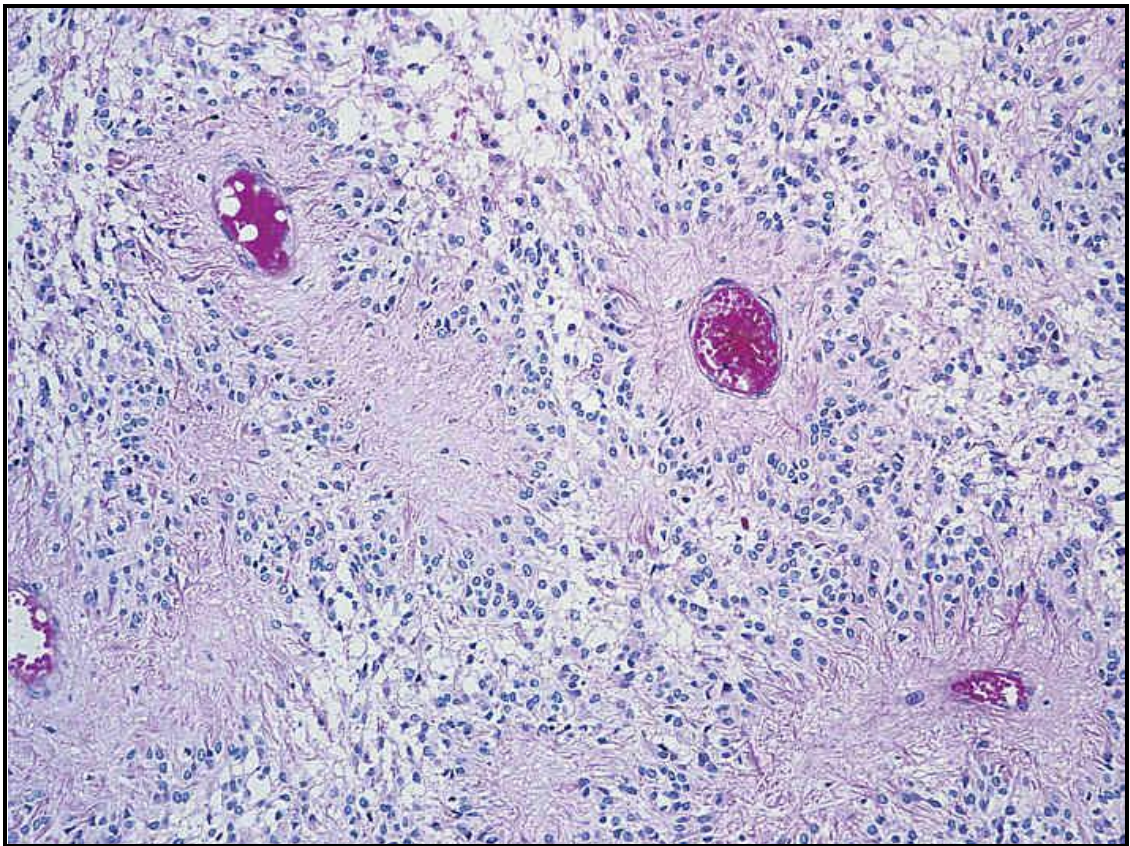
#### **1.2.7.e Histopathology**

The diagnostic hallmarks of ependymoma are perivascular pseudorosettes which are tumour cells extending radial fibrillary processes towards vessels and true ependymal rosettes which are canals and tubuli composed of a single layer of cuboidal tumour cells (Figure 1.22).

The World Health Organisation classifies ependymomas into four broad categories; grade 2 ependymomas, grade 3 anaplastic ependymomas, myxopapillary ependymomas and subependymomas.

The classic or benign grade 2 ependymomas are further split into four histological variants. Cellular ependymoma is characterised by a hypercellular appearance with narrow perivascular pseudorosettes, a fairly uniform cellular appearance but with a low proliferative index. Papillary ependymomas are rare tumours that contain tubulovillous architecture as their characteristic feature. Clear cell ependymomas have clear cytoplasm with a perinuclear halo which resembles oligodendrogliomas, neurocytomas,

clear cell carcinomas and haemangioblastomas. Its immunoreactivity to glial fibrillary acidic protein (GFAP) and features on electron microscopy would usually differentiate it from these other tumour types. Tanycytic ependymomas consist of elongated cells arranged in fascicles. Ependymal rosettes and perivascular pseudorosettes are poorly delineated with these tumours.



**Figure 1.22 – Histology of an ependymoma. The cytoplasmic processes of ependymal tumour cells condense about blood vessels to form pseudorosettes. In addition, true ependymal rosettes are seen with ependymal cells forming tubular structures. (Image courtesy of PathConsult - <http://www.pathconsultddx.com>)<sup>70</sup>**

Anaplastic (grade 3) ependymomas are malignant tumours with high cellularity, nuclear atypia, hyperchromatism, necrosis, vascular proliferation and high mitotic index. They are estimated to occur in 30% of

ependymomas. Historically the differentiation between these and grade 2 lesions has been controversial, leading to the finding of similar outcomes in both tumour grades.<sup>215</sup> This has particularly been when ependymomas in different locations have been analysed together, where there is now good evidence that they are biologically distinct lesions.<sup>209</sup>

Myxopapillary ependymomas almost exclusively occur at the conus, but have been reported throughout the cranial spinal axis. They are characterized by GFAP-expressing, cuboidal to elongated tumour cells radially arranged in a papillary manner around vascularised stromal cores. Mitotic activity is very low. A mucoid matrix material accumulates between tumour cells and blood vessels, and fills the tumour microcysts. They are classified as WHO grade 1 tumours and have a favourable prognosis, particularly with complete excision.

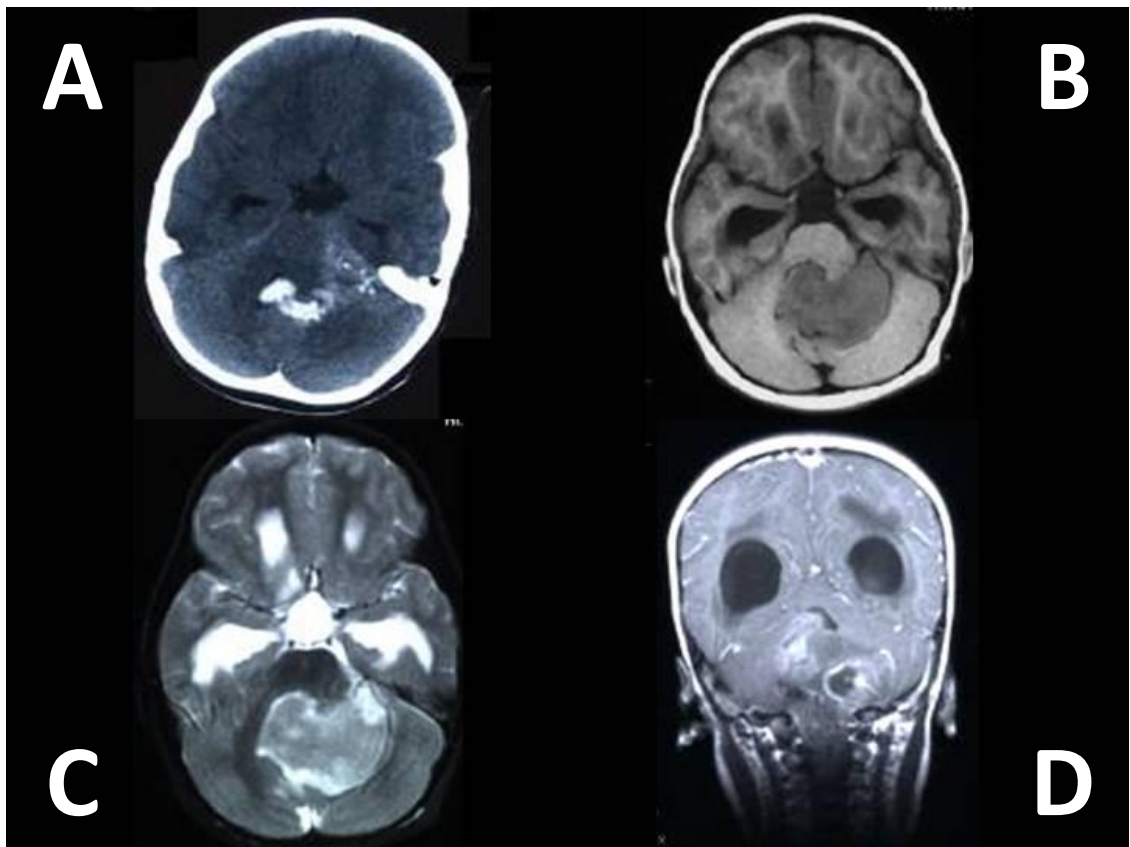
Subependymomas are typically well demarcated, non-enhancing lesions located in the walls of the ventricular system. They are characterized by clusters of isomorphic nuclei embedded in a dense fibrillary matrix of glial cell processes with frequent occurrence of small cysts. Mitoses are very rare or absent. They are classified as WHO grade 1 tumours and surgical excision is curative.

#### ***1.2.7.f Macroscopic appearance and localisation***

Ependymomas can occur anywhere within the cranial spinal axis, usually related to the CSF pathways. The majority (>90%) are cranial with 65-70% of these being infratentorial.<sup>35</sup> Supratentorial ependymomas are equally

divided between the parenchyma and ventricular system (75% lateral and 25% third ventricle).

A typical lesion in the posterior fossa would show some calcification on CT scan and on MR scan would show cellular signal characteristics (isointense to grey matter on T1 and T2) with a fourth ventricular location and extension of the tumour out of the foramina of Luschka and Magendie. There is often some heterogeneous enhancement seen post contrast (Figure 1.23).



**Figure 1.23 – CT and MR scans of a patient with a posterior fossa ependymomas. A – axial CT, B – MR axial T1, C – axial T2, D – coronal T1 post contrast images showing a partly calcified lesion located in the fourth ventricle, extending out into the left foramen of Luschka with cellular signal characteristics and patch enhancement.**

### **1.2.7.g Treatment**

#### **1.2.7.g.i Surgery**

There is consensus that gross surgical excision of ependymomas should be the surgical goal where possible with five year survival rates of 88% where this is achieved compared with 53% where only a subtotal resection was performed.<sup>35</sup> Complete tumour removal reduced the rate of spinal seeding from 9.5% to 3.3% with subtotal.

However, gross total removal of infratentorial lesions is only achieved in 50-70% of cases.<sup>35</sup>

Hydrocephalus is common at presentation of posterior fossa ependymomas and even with aggressive tumour removal 30-50% of patients will still require some form of CSF diversion.

#### **1.2.7.g.ii Radiotherapy and radiosurgery**

Intracranial ependymomas appear to be relatively radiation resistant tumours. The use of adjuvant radiotherapy following surgery is somewhat controversial for some indications but there is reasonable agreement that it is beneficial for patients with posterior fossa tumours, subtotally resected tumours, WHO grade 3 tumours and all patients presenting with disseminated disease. Standard doses of 54-59.4 Gy fractionated over a 5-6 week period to the tumour bed with a 1-2cm margin are usually given.

For children under 3 years of age there has been a general avoidance of radiotherapy because of the concerns over significant compromise to cognitive development. However a recent study has shown good tumour

control with conformal radiotherapy in the under 3 population, but long term cognitive consequences remain to be seen.<sup>216</sup>

#### **1.2.7.g.iii Chemotherapy**

The desire to avoid radiotherapy in young patients has led to the investigation of chemotherapy as an adjuvant therapy. This has been shown to be effective in delaying radiotherapy but the long term consequences of this management strategy have also not been fully investigated.<sup>217</sup> Overall response rates for most chemotherapy regimens in ependymomas have been in the order of 20%.

#### **1.2.7.h Prognosis**

One of the greatest problems with defining prognosis for ependymomas has been the difficulty with classification and the mixing of biologically different tumours within the analysis of various studies.

Overall survival at 1, 5 and 10 years is 88.5%, 72.5% and 65.0% respectively.<sup>36</sup> However age has a significant impact on survival with 1, 5 and 10 year survival rates of 86.1%, 53.4% and 47.1% for patients aged 0-14 years and 92.3%, 86.2% and 80.4% for patients aged 20-44 years.<sup>36</sup>



## **1.3 Vascular Endothelial Growth Factor (VEGF)**

### **1.3.1 Introduction**

The vascular system of the skin comprises the blood and lymphatic circulations, both of which are located in the dermis. Early in embryogenesis the blood vascular system evolves from the primary capillary plexus.<sup>218</sup> The development of the lymphatic vasculature during embryogenesis lags behind that of the blood vessels, suggesting that both processes are regulated by different signals.<sup>219</sup> Over one hundred years ago, Florence Sabin proposed a theory on the venous origin of the lymphatic vasculature;<sup>220</sup> it is only in recent years that studies have provided experimental evidence in support of Sabin's model.<sup>221, 222</sup> VEGF signalling often represents a critical rate-limiting step in angiogenesis - the formation of new blood vessels from a pre-existing vascular bed.<sup>223, 224</sup> Substantial evidence implicates VEGF as a primary angiogenic factor and mediator of pathological angiogenesis.<sup>225</sup>

### **1.3.2 VEGF: a historical perspective**

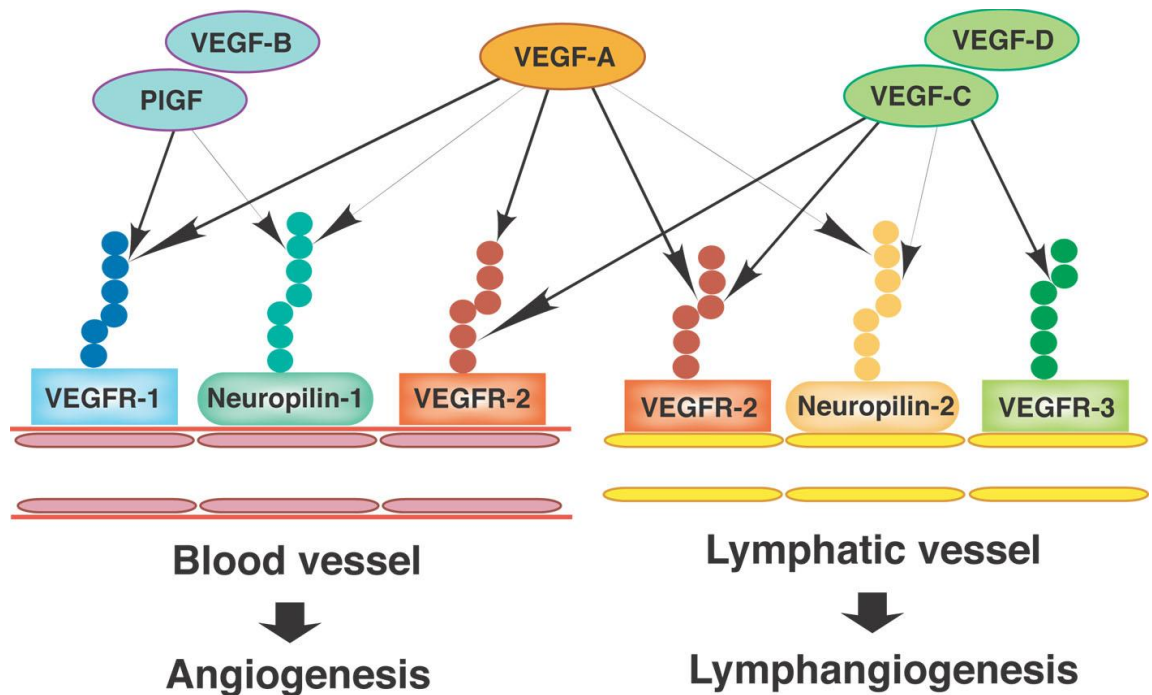
The observation that tumour growth was accompanied by increased vascularity was made more than a century ago by several investigators, including the celebrated pathologist Rudolf Virchow, in German-language publications.<sup>224</sup> In 1939, Ide et al. postulated the existence of a tumour-derived blood vessel growth-stimulating factor.<sup>226</sup> In 1945, Algire et al. advanced this concept, proposing that "the rapid growth of tumour transplants is dependent upon the development of a rich vascular supply".<sup>227</sup>

Algire also suggested that vascular proliferation was a critical step in tumourigenesis, because it was likely to confer a growth advantage on the tumour cells relative to normal cells.<sup>227</sup> In 1968, the first experiments to test the hypothesis that tumours produce angiogenic factors were performed by Greenblatt and Shubi<sup>228</sup> and Ehrmann and Knoth.<sup>229</sup> They demonstrated that tumour angiogenesis was mediated by diffusible factor(s) produced by the tumour cells. In 1971, Folkman proposed that anti-angiogenesis might be an effective approach to treat human cancer<sup>230</sup> and attempted to isolate a "tumour angiogenesis factor" from human and animal tumours.

Subsequently, the angiogenic effects of various factors, including epidermal growth factor (EGF), transforming growth factor (TGF)- $\alpha$ , TGF- $\beta$ , tumour necrosis factor (TNF)- $\alpha$  and angiogenin were reported.<sup>231</sup>

Independent and unrelated lines of research converged toward the identification of VEGF. In 1983, Senger et al. described the partial purification of a protein able to induce vascular leakage in the skin, which was named "tumour vascular permeability factor" (VPF).<sup>232</sup> In 1989, Ferrara and Henzel reported that they had isolated a diffusible endothelial cell-specific mitogen from medium conditioned by bovine pituitary follicular cells.<sup>233</sup> They named the isolated protein "vascular endothelial growth factor" (VEGF) to reflect the restricted target cell specificity of this molecule. Subsequently, cDNA cloning of the genes for both VPF<sup>234</sup> and VEGF,<sup>235</sup> also in 1989, demonstrated that they were the same molecule.

It is now understood that VEGF belongs to a family of closely related vascular growth factors that have a unique role in controlling growth and differentiation of multiple anatomic components of the vascular system (Figure 1.24). In addition to VEGF (also known as VEGF-A) the VEGF-family also includes placental growth factor (PIGF),<sup>236, 237</sup> VEGF-B,<sup>238, 239</sup> VEGF-C,<sup>240, 241</sup> VEGF-D<sup>242, 243</sup> and a viral form, VEGF-E.<sup>244</sup> VEGF-C and VEGF-D primarily regulate lymphangiogenesis.<sup>221, 245</sup> In the remainder of this thesis VEGF-A will be referred to as VEGF.



**Figure 1.24 - VEGF-A is the founding member of a family of closely related growth factors and is well established as a pro-angiogenic cytokine. The VEGF receptors (VEGFRs) are expressed on the endothelial cells of both blood and lymphatic vessels. VEGFR-1 is exclusively expressed on blood-vessel endothelial cells (BECs), VEGFR-3 is exclusively expressed on lymphatic endothelial cells (LECs); and VEGFR-2 is expressed on both BECs and LECs. (Courtesy of Professor MJ Detmar, Boston, MA, USA.)**

### **1.3.3 Angiogenesis**

#### ***1.3.3.a The angiogenic pathway: molecular regulation of angiogenesis***

The adult blood vasculature is usually quiescent but retains the ability to initiate a rapid physiological or pathological angiogenic response if the balance between endogenous inhibitors and stimuli is altered - the "angiogenic switch".<sup>246</sup> New vessel growth and maturation are highly complex and coordinated processes, requiring the sequential activation of a series of receptors by numerous ligands in endothelial cells.<sup>247-249</sup> The sequence of events involved in angiogenesis includes: increased vascular permeability and leakage; degradation of basement membrane; endothelial cell proliferation and migration through the surrounding extra cellular matrix (ECM) and maturation and stabilisation of the newly formed vessel bed.

The first stage of angiogenesis includes capillary vasodilation and hyperpermeability with subsequent extravasation of plasma proteins, including prothrombin and fibrinogen, into the surrounding ECM. Fibrin derived from this cascade provides a provisional scaffold to support endothelial cell adhesion and migration.<sup>250</sup> Local enzymatic degradation of the confining basement membrane is required for endothelial cells to egress from the parent vessel and sprout into the surrounding tissue.<sup>251</sup> This process involves secretion and activation of matrix metalloproteinases (MMPs),<sup>252, 253</sup> zinc-dependent extracellular endopeptidases, growth factors (e.g. VEGF) or cell-matrix interactions.<sup>254</sup> MMPs known to be secreted by endothelial cells include MMP-1, MMP-2, MMP-9 and membrane-type-

MMP.<sup>254, 255</sup> MMPs possess the capacity to degrade all components of the ECM and appear to be important for neovascularisation. The evidence for this includes: MMP-2-deficient mice which have reduced angiogenic responses; and specific MMP inhibitors which prevent new blood vessel formation both in vitro and in vivo.<sup>256, 257</sup> MMPs are likely to contribute to neovascularisation pathways in several ways including the facilitation of movement of proliferating vascular sprouts through the surrounding stroma and liberation of stimulatory angiogenic factors normally sequestered in inactive form within the ECM.

Movement of endothelial cells is further mediated through interactions with the matrix via integrins. These integrins include  $\alpha_v\beta_3$  which is constitutively expressed at low levels on quiescent blood vessels but up regulated on the surface of endothelial cells of newly formed capillaries following exposure to stimuli such as VEGF.<sup>258, 259</sup> The interaction between VEGF and  $\alpha_v\beta_3$  activates a calcium-dependent signalling pathway which promotes endothelial cell migration.<sup>260</sup> Disruption between matrix and integrin using  $\alpha_v\beta_3$  antagonists results in endothelial cell apoptosis and termination of the angiogenic response.<sup>259</sup>  $\alpha_v\beta_3$  also binds directly to MMP-2 on the surface of proliferating, invading endothelial cells thereby facilitating cell surface localisation of this enzyme and potentiating its ECM matrix degradative effects.<sup>261</sup> A non-catalytic carboxy-terminal haemopexin-like domain of MMP-2 (known as PEX) is also generated during angiogenesis. PEX is responsible for blocking binding of  $\alpha_v\beta_3$  and MMP-2 and hence produces a

negative feedback system to down-regulate new blood vessel formation after the initial stimulus<sup>262</sup>.

Pericytes, pluripotential perivascular cells of mesenchymal origin, are involved in the final step in angiogenesis - the assembly of endothelial cells into tubes and remodelling of the immature vascular bed. Interactions between pericytes and endothelial cells, via long cytoplasmic processes, are of key importance in the stabilisation of newly formed, leaky blood vessels.<sup>263</sup> The function of pericytes in these pathways of maturation and maintenance are in turn under the influence of the BB isoform of platelet-derived growth factor (PDGF)<sup>264</sup> and angiopoietin (Ang)-1 and -2.<sup>265</sup>

The angiopoietins are produced by mesenchymal cells and are expressed at sites of blood vessel proliferation and remodelling. The Ang family of proteins appears to play complementary and co-ordinated roles in vascular development with VEGF. They bind to the Tie (tyrosine kinase with immunoglobulins and EGF homologous domains) receptors, of which two have been described: Tie-1 and Tie-2.<sup>266</sup> Although a ligand for Tie-1 has not yet been confirmed, Ang-1 binds to Tie-2 leading to increased permeability and sprouting of endothelial cells and pericyte recruitment to the vascular bed.<sup>267</sup> Ang-2, which exhibits 60% homology with Ang-1, also binds to Tie-2 but acts as a natural antagonist to Ang-1.<sup>268</sup> Ang-2 de-stabilises mature blood vessels by displacing Ang-1 from its receptor Tie-2. Inter-relationships between the VEGF and angiopoietin families are further demonstrated by studies where disruption of a stable vascular bed by Ang-2, in the absence of

VEGF, leads to vessel regression; if VEGF is highly expressed, however, further neovascularisation occurs.<sup>269</sup> This model may be of clinicopathological relevance in situations where VEGF levels are elevated, Ang-2 is highly expressed and active neovascularisation is occurring. In addition, strategies to block VEGF might not work solely by inhibition of its direct angiogenic effects but perhaps by allowing Ang-2-induced regression to proceed uninterrupted. Fiedler et al have found that Ang-2 is a key cytokine which can up regulate both inflammation and angiogenesis – two processes thought to involve relatively few common molecular mechanisms.<sup>270, 271</sup> The study findings suggest that on blood-vascular endothelial cells (BECs), Ang-2 operates as a counterbalance to the anti-inflammatory Ang-1.<sup>270</sup> Not only does Ang-2 destabilise mature vessels, it also promotes inflammation and VEGF-induced angiogenesis. The study demonstrates that the effects of both Ang-1 and Ang-2 are mediated through the same receptor, Tie-2. Activation of Tie-2 by Ang-1 seems to down-regulate inflammation (paracrine regulation) by blocking nuclear transcription factor kappa B (NF-κB;<sup>272</sup> and prevents the uncontrolled onset of inflammatory reactions induced by minor amounts of TNF-α.<sup>270</sup> However, the study illustrates that TNF-α induces production and secretion of Ang-2 by the endothelium itself (autocrine regulation).<sup>270</sup> Thus, competitive binding of Ang-2 to Tie-2 blocks the anti-inflammatory function of Ang-1 and sensitises the endothelium to the TNF-α signals. Furthermore, Ang-2 also sensitises endothelial cells to VEGF, thus promoting angiogenesis, vascular rearrangement, endothelial cell migration and proliferation.<sup>270, 271</sup>

### **1.3.3.b Anti-angiogenic regulators**

#### **1.3.3.b.i Thrombospondins**

Angiogenesis is under the control of both stimulatory and inhibitory regulators. The best characterised of the inhibitory molecules are the thrombospondins (TSPs) 1-5 of which TSP-1 and -2 have been the most extensively studied. TSP-1 is a multifunctional matrix protein produced by various cell types including dermal microvascular endothelial cells.<sup>273</sup> It accumulates in the basement membrane of quiescent vessels but is absent in actively forming endothelial sprouts.<sup>274</sup> It has been shown to inhibit angiogenesis both in vitro and in vivo and appears to inhibit endothelial cell migration by binding to matrix proteins in addition to endothelial cell surface receptors and integrins such as  $\alpha_v\beta_3$ .<sup>275, 276</sup> TSP-2, similar to TSP-1 in structure, also exerts powerful anti-angiogenic effects.<sup>277</sup>

#### **1.3.3.b.ii Tissue inhibitors of metalloproteinases**

MMP activity is inhibited by specific tissue inhibitors of metalloproteinases (TIMPs) 1- 4 which bind and inactivate MMP in a 1:1 stoichiometric fashion and possess anti-angiogenic properties.<sup>278, 279</sup> TIMP-1 and TIMP-2 have been the most completely characterised molecules of the group and appear to inhibit the activity of most MMPs, although TIMP-1 preferentially inhibits MMP-1 and TIMP-2 blocks MMP-2.<sup>280</sup> A newly described family with anti-angiogenic properties, METH-1 and METH-2, which contain metalloproteinase and thrombospondin domains, specifically inhibits human endothelial cell proliferation in vitro although their mode of action is at present unknown.<sup>281</sup>



### **1.3.3.b.iii Protein fragments**

Negative regulators of new blood vessel formation can be stored in the ECM, often as cryptic segments within larger proteins which are not themselves inhibitors. Examples of such molecules include: angiostatin,<sup>282</sup> a 38 kilo Daltons (kDa) fragment of plasminogen; and endostatin,<sup>283</sup> a 20kDa carboxy-terminal fragment of collagen XVIII. These factors exert their angioinhibitory effects by reducing endothelial cell migration and proliferation and by promoting apoptosis.<sup>282, 284</sup>

### **1.3.3.c Vascular structure and function in brain tumours**

Normal brain structure is highly specialised and brain tumours disrupt this structure. As well as endothelial cells and pericytes, astrocytes form part of the structure that selectively restricts the exchange of molecules between the intracerebral and extracerebral circulations. This is termed the blood brain barrier (BBB).

Tight junctions between endothelial cells prevent any hydrophilic molecule over 500kDa from passively entering the brain. In addition to this there are several active transport proteins that exclude exogenous compounds from the brain such as the P-glycoprotein/multi-drug resistance proteins (P-gp/MDR) that contribute to drug resistance in brain tumours.<sup>285</sup> It is felt that this is why there is an increased incidence of brain metastases in extracranial tumours treated with new biological agents, where this barrier creates a sanctuary for the tumour cells.<sup>286</sup>

Brain tumour vessels show typical neoplastic features of marked angiogenesis with endothelial proliferation.<sup>287</sup> The blood brain barrier is

disrupted in large areas of the tumour vasculature but there is preservation of its function within some of the tumour vessels with less transvascular transport than in the same tumour types grown subcutaneously.<sup>288</sup> The abnormal leakiness of tumour vessels adds to tumour tissue extracellular fluid accumulation causing oedema and an increase in tumour tissue fluid pressure which has been shown to be a cause of reduced drug delivery to tumours.<sup>289</sup>

### **1.3.4 Activities of VEGF**

#### ***1.3.4.a Role of VEGF in physiological angiogenesis***

Angiogenesis, the formation of new blood vessels from a pre-existing vascular bed, is important for a number of physiological processes such as tissue repair, reproduction<sup>290</sup> and endocrine gland function.

##### **1.3.4.a.i Embryonic and postnatal development**

In 1996, two studies demonstrated an essential role of VEGF in embryonic vasculogenesis, angiogenesis and early haematopoiesis in mice and that inactivation of a single VEGF allele resulted in embryonic lethality between 11 and 12 days.<sup>291, 292</sup> Further investigations identified a critical VEGF gene-dosage dependence during development. For instance, conditional VEGF gene inactivation in VEGF loxP mice, using a Nestin promoter-driven Cre-recombinase (causes recombination), showed that severe reductions in the dosage of VEGF from neural progenitor cells led to decreases in vascularity and subsequent hypoxia, resulting in the specific degeneration of the cerebral cortex and neonatal lethality.<sup>293, 294</sup> In contrast, even modest

increases in VEGF gene expression, achieved by the insertion of a LacZ cassette in the 3'-untranslated region of the VEGF gene, result in severe abnormalities in heart development and embryonic lethality at embryonic day 12.5 – 14.<sup>295</sup> VEGF-C also plays an essential role in development, as its inactivation results in embryonic lethality due to defective lymphatic development and fluid accumulation in tissues<sup>221</sup>. However, by comparison, inactivation of placental growth factor (PlGF)<sup>296</sup> or VEGF-B<sup>297</sup> does not result in major development abnormalities.

In early postnatal life VEGF is required not only for proliferation but also for survival of endothelial cells.<sup>298</sup> VEGF has a key role in neonatal renal development<sup>299</sup> although in adult mice,<sup>298</sup> rats<sup>300</sup> and in juvenile primates<sup>301</sup> VEGF neutralisation has no significant effect on glomerular function.

#### **1.3.4.a.ii Skeletal growth and endochondral bone formation**

Endochondral bone formation is a fundamental mechanism for longitudinal bone growth during which cartilage, an avascular tissue, is replaced by bone in the process of endochondral ossification.<sup>302</sup> It is reported that a VEGF gradient is needed for directional growth and cartilage invasion by metaphyseal blood vessels<sup>298, 303</sup> and VEGF blockade in developing mice and primates is accompanied by almost complete arrest of this process together with impaired trabecular bone formation and marked expansion of the hypertrophic chondrocyte zone.<sup>298, 301</sup> An important corollary is that cessation of anti-VEGF treatment is followed by capillary invasion, restoration of bone growth, and normalisation of the growth plate architecture.

#### **1.3.4.a.iii Angiogenesis in endocrine glands**

Angiogenesis is key for normal cyclical ovarian function. Selection of a dominant follicle in monovular species,<sup>304</sup> follicular growth, and the development of the corpus luteum are dependent on the proliferation of new capillary vessels.<sup>305</sup> Angiogenesis associated with corpus luteum development also plays a key role in the delivery of cholesterol to luteal cells for progesterone biosynthesis.<sup>306</sup> Subsequently, the blood vessels regress, suggesting the coordinated action of inducers as well as inhibitors of angiogenesis in the course of the ovarian cycle.<sup>268, 307</sup> Previous studies have observed that the VEGF mRNA expression is temporally and spatially related to the proliferation of blood vessels in the ovary<sup>308, 309</sup> and that administration of VEGF inhibitors delays follicular development<sup>310</sup> and suppresses luteal angiogenesis in rodents<sup>311, 312</sup> and primates.<sup>301, 313-315</sup>

Studies have identified a novel angiogenic factor, endocrine gland derived VEGF (EG-VEGF), which is selectively expressed in steroidogenic tissues and which plays a cooperative role with VEGF in the regulation of angiogenesis in the human ovary.<sup>316</sup> EG-VEGF is not structurally related to VEGF but belongs to a unique gene family having distant homology to Dickkopf, an inhibitor of Wnt signalling.<sup>317, 318</sup>

Investigators have also demonstrated that VEGF is required for the formation of a dense network of fenestrated capillaries in some, but not all, pancreatic islets. In addition, glucose tolerance tests reveal that the VEGF-induced capillary network is not strictly required for blood glucose control but is essential for fine tuning blood glucose regulation.<sup>319</sup>

#### **1.3.4.b Role of VEGF in physiological lymphangiogenesis**

VEGF is well established as a pro-angiogenic cytokine. However, depending on the target tissue, it may also induce lymphangiogenesis<sup>320, 321</sup> and support the growth of isolated LECs.<sup>322</sup> At least some of the effects of VEGF on lymphatic vessels might be indirect, secondary to oedema or to recruitment of inflammatory cells that produce VEGF-C and VEGF-D.<sup>323, 324</sup> In addition, several other molecules are known to be important for later stages of lymphatic development. These include Ang-2,<sup>325</sup> podoplanin, a cell surface glycoprotein,<sup>326, 327</sup> Net, a member of the Ets transcription factor family,<sup>328</sup> and the integrin  $\alpha 9\beta 1$  complex which might be involved in lymphatic vessel stabilisation.<sup>329</sup> Interestingly, the vascular expression pattern of neuropilin (NP)2 resembles that of VEGFR-3. VEGF-C binds to NP2, suggesting that NP2 could act as a co-receptor for VEGFR-3.<sup>330</sup>

#### **1.3.4.c Mitogenesis and endothelial survival**

VEGF is a survival factor for endothelial cells, both in vitro and in vivo.<sup>331-337</sup> In vitro, VEGF prevents endothelial apoptosis by mechanisms mediated by the phosphatidylinositol 3-kinase (PI3 kinase) /Akt pathway<sup>336, 338</sup> and also induces expression of the anti-apoptotic proteins Bcl-2, A1,<sup>335</sup> X-linked inhibitor of apoptosis (XIAP),<sup>339</sup> and survivin<sup>340</sup> in endothelial cells. In vivo, the ability of VEGF to prolong the survival of endothelial cells is dependent on developmental stage. For instance, VEGF inhibition results in extensive apoptotic changes in the vasculature of neonatal, but not adult, mice.<sup>298</sup> It has also been shown that VEGF stimulates surfactant production by alveolar Type II cells, resulting in a protective effect from respiratory distress

syndrome in mice.<sup>341</sup> Studies in amyotrophic lateral sclerosis have illustrated the potential role of VEGF as a neuronal protective factor.<sup>342</sup>

#### ***1.3.4.d Effects of VEGF on bone marrow cells and haematopoiesis***

VEGF has been shown to promote monocyte chemotaxis<sup>343</sup> and to have haematopoietic effects.<sup>344</sup> Delivery of VEGF to adult mice has been reported to inhibit the development of dendritic cells<sup>345, 346</sup> and it has been suggested that in this way VEGF facilitates tumour growth through escape from the host immune system. VEGF also increases production of B-cells and the generation of immature myeloid cells.<sup>347</sup>

#### ***1.3.4.e Enhancement of vascular permeability and haemodynamic effects***

VEGF, through mechanisms which involve nitric oxide (NO),<sup>348-350</sup> plays a key role in the regulation of vascular permeability and has been shown to induce endothelial fenestration in some vascular beds.<sup>351</sup> There has been much debate whether there is a correlation between vascular permeability and angiogenesis. Some investigators have suggested that an increase in microvascular permeability is necessary for angiogenesis as it permits extravasation of fibrin, which can act as a scaffold for endothelial cell proliferation and migration.<sup>352, 353</sup> However, others<sup>354</sup> have reported that members of the Src family are differentially involved in mediating VEGF-dependent permeability and that enhanced vascular permeability is not a requirement for VEGF-dependent angiogenesis.

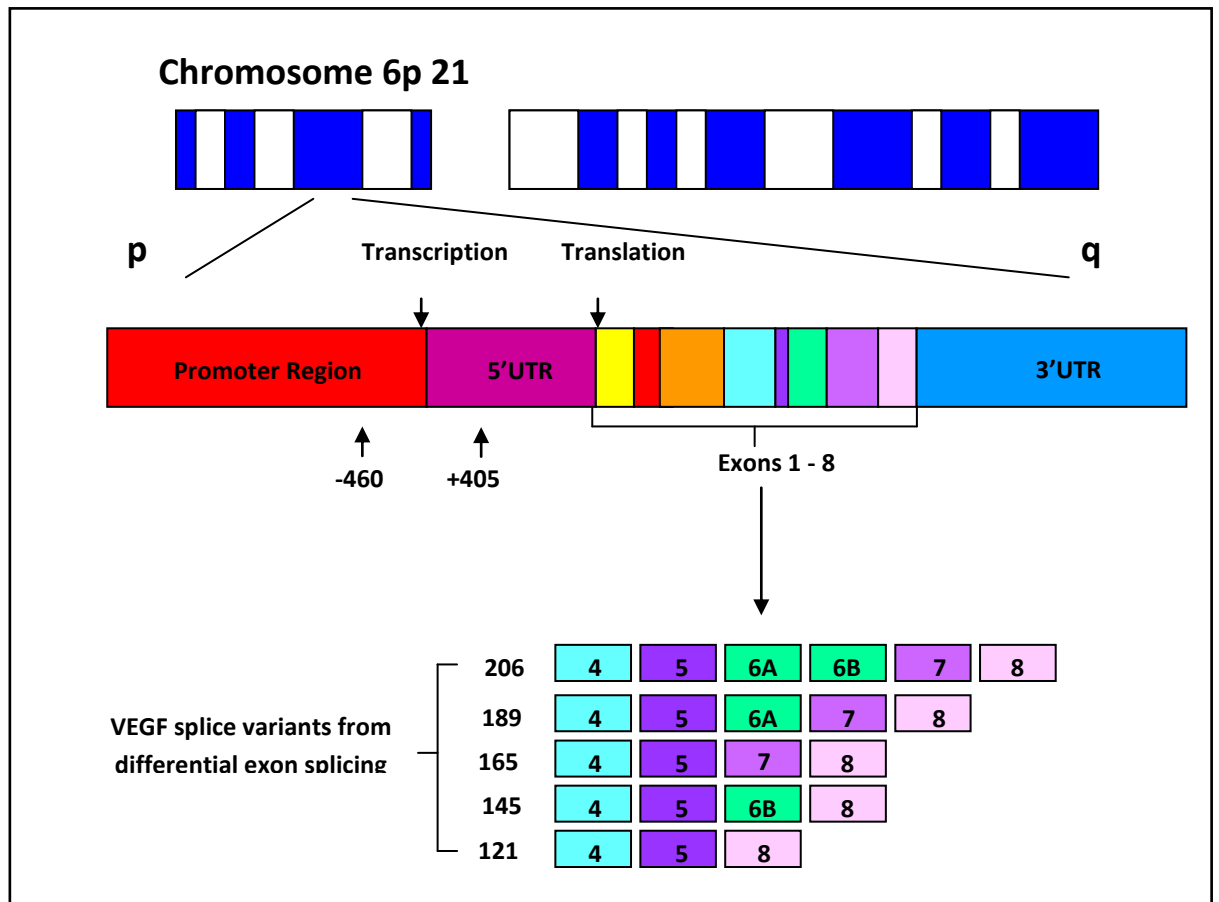
VEGF induces vasodilatation in vitro in a dose-dependent fashion<sup>355, 356</sup> and has a tonic homeostatic role in the regulation of blood pressure. The

mechanism is likely to involve endothelial NO synthase, but remains to be fully elucidated. Hypotension has been observed to be a dose-limiting side effect in human trials in which VEGF was systemically administered<sup>357</sup> and conversely, administration of anti-VEGF monoclonal antibodies to cancer patients results in blood pressure elevation.<sup>358</sup>

### 1.3.5 VEGF Isoforms

The human VEGF gene is localised on chromosome 6p21.3<sup>359</sup> and has nine possible exons.<sup>360, 361</sup> As a result of alternative exon splicing a number of different VEGF isoforms, of varying amino acid length, can be generated (Figure 1.25).<sup>225</sup> Commonly occurring VEGF isoforms include - VEGF<sub>121</sub>, VEGF<sub>165</sub>, VEGF<sub>189</sub>, VEGF<sub>206</sub>.<sup>360, 361</sup> However, less frequent isoforms have also been described including - VEGF<sub>145</sub>,<sup>362</sup> VEGF<sub>183</sub>,<sup>363</sup> and VEGF<sub>162</sub>.<sup>364</sup> Substitution of exon 8 for exon 9 by alternate splicing is reported to convert pro-angiogenic forms into inhibitory forms such as VEGF<sub>165b</sub>.<sup>365</sup> Mouse and rat isoforms are shorter by one amino acid.





**Figure 1.25 - The VEGF gene is located on chromosome 6 - at 6p21 - and is highly polymorphic. The two polymorphisms occurring at highest frequency in the promoter and 5 prime untranslated regions of the gene are situated at positions -460 and +405 (numbering from transcription start). As a result of alternative exon splicing a number of different VEGF isoforms, of varying amino acid length, can be generated. Commonly occurring VEGF isoforms include - VEGF<sub>121</sub>, VEGF<sub>165</sub>, VEGF<sub>189</sub>, VEGF<sub>206</sub>.**

VEGF<sub>165</sub> is the major VEGF isoform<sup>366</sup> and is a heparin-binding homodimeric glycoprotein of 45kDa<sup>233</sup> which structurally forms an antiparallel homodimer (two VEGF monomers oriented side-by-side and head-to-tail) covalently linked by two disulphide bridges between cystine-51 and cystine-60.<sup>367</sup> The dominant feature within the VEGF monomer is the cystine knot motif that is found in other growth factors of the "cystine-knot superfamily", whose members are characterised by a common motif of eight spatially conserved cysteines, which are involved in intra- and intermolecular disulfide bonds.<sup>367,</sup>

<sup>368</sup> VEGF<sub>165</sub> has optimal characteristics of bioavailability and biological potency because although it is secreted, a significant fraction remains bound to the cell surface and ECM.<sup>369</sup> In contrast, VEGF<sub>121</sub> is an acidic polypeptide which fails to bind heparin and thus remains freely diffusible.<sup>225, 366</sup> VEGF<sub>189</sub> and VEGF<sub>206</sub> are highly basic and therefore bind heparin with high affinity and are almost completely sequestered in the ECM.<sup>366</sup> The ECM-bound isoforms may be released in a diffusible form by heparin or heparanase, which displaces or liberates them from their binding to heparin sulphate moieties. Loss of the heparin-binding domain results in a reduction in the mitogenic activity of VEGF<sup>370</sup> and other studies have demonstrated that the heparin-binding VEGF isoforms provide essential stimulatory cues for the initiation of vascular branch formation.<sup>371</sup>

### **1.3.6 VEGF Receptors**

VEGF binds to two, specific, structurally related, high-affinity type III receptor tyrosine kinases (RTKs), VEGFR-1 (also known as flt-1; fms-like tyrosine kinase) and VEGFR-2 (also known as KDR; kinase-insert-domain-containing receptor), triggering signal transduction pathways that mediate the angiogenic and permeability modulating responses.<sup>372</sup> VEGF-C binds not only to VEGFR-2 to induce angiogenesis,<sup>240, 373</sup> but also to a third, structurally related, receptor, VEGFR-3 (also known as flt-4), on lymphatic endothelium to induce lymphangiogenesis.<sup>240, 374</sup> VEGFR-3 also binds VEGF-D.<sup>374</sup> Both VEGFR-1 and VEGFR-2 have seven Ig-like domains in the extracellular region, a single-transmembrane component, and a consensus tyrosine kinase sequence that is interrupted by a kinase insert domain.<sup>375-377</sup>

Neuropilins (NPs), cell surface glycoproteins important in neuronal development, have been identified as a family of co-receptors for VEGF.<sup>225</sup>

VEGF, VEGFR-1 and VEGFR-2 represent a regulatory system essential for both normal and pathological angiogenesis<sup>378-382</sup> and both receptors are almost exclusively expressed within endothelial cells and preferentially within proliferating endothelium.

#### **1.3.6.a VEGFR-1**

VEGFR-1 is expressed in two forms:<sup>381, 383</sup> a full-length, membrane bound receptor capable of transducing signal, and a truncated, soluble receptor capable of sequestering ligand or dimerising with full-length receptor and preventing signal transduction – soluble (s)VEGFR-1.<sup>381</sup> Gene-targeting studies have demonstrated the essential role of this molecule during embryogenesis. VEGFR-1 knockout mice die in utero at approximately the ninth day.<sup>379, 384</sup> In these mice endothelial cells develop but fail to organise in vascular channels. VEGFR-1 binds VEGF, PlGF<sup>385</sup> and VEGF-B.<sup>238</sup> The binding site for VEGF (and PlGF) has been mapped primarily to the second Ig-like domain.<sup>333, 386, 387</sup> VEGFR-1 has a greater affinity for VEGF than VEGFR-2,<sup>388-390</sup> yet VEGFR-2 is phosphorylated approximately 10-fold more efficiently upon ligand binding.<sup>382, 391</sup>

VEGFR-1 expression is up-regulated by hypoxia via a hypoxia-inducible factor (HIF)-1 dependent mechanism.<sup>392</sup> High levels of sVEGFR-1 reportedly occur in plasma during pregnancy and in patients with essential hypertension.<sup>393-395</sup> Significantly lower levels have been observed in the plasma of patients with

cardiovascular disease and in smokers.<sup>396, 397</sup> In contrast to sVEGFR-1, which is an alternatively spliced cellular product, circulating sVEGFR-2 is thought to derive from shedding of the receptor from endothelial cell membrane into the circulation. Thus, circulating levels of both VEGF receptors could be regarded as surrogate markers of endothelial cell activity – with increased endothelial cell turnover likely to result in shedding of membrane bound receptor into the plasma.

Although VEGFR-1 was the first RTK to be identified as a VEGFR,<sup>391</sup> the precise function of this molecule is still the object of debate. Park et al. proposed that VEGFR-1 may be a “decoy” receptor that functions to limit VEGF/VEGFR-2 mediated angiogenesis by sequestering VEGF ligand and rendering it less available to VEGFR-2.<sup>385</sup> Gille et al. identified a repressor motif in the juxtamembrane region of VEGFR-1 that impairs PI3 kinase activation and endothelial cell migration in response to VEGF.<sup>398</sup> However, there is evidence that the tyrosine kinase domain of VEGFR-1 does play an angiogenic role and other studies have indicated that VEGFR-1 is able to interact with various signal-transducing proteins and generate, in some circumstances, a mitogenic signal.<sup>399, 400</sup>

Induced VEGFR-1/VEGFR-2 heterodimers can transduce signal.<sup>380</sup> VEGF-induced NO release appears to be mediated by VEGFR-1, and this NO release in turn acts as a molecular switch, inhibiting VEGFR-2 mediated proliferation and affecting de-differentiation of endothelial cells into capillary-like structures.<sup>378</sup> Autiero et al. have proposed that PlGF regulates inter- and

intramolecular cross-talk between the VEGF RTKs.<sup>401</sup> Activation of VEGFR-1 by PlGF resulted in transphosphorylation of VEGFR-2, thus amplifying VEGF-driven angiogenesis through VEGFR-2.<sup>401</sup> Experimental findings have also suggested that a key function of VEGFR-1 signalling in the vascular endothelium is not the regulation of angiogenesis but, rather, the paracrine release of tissue-specific growth/survival factors, possibly in a vascular bed-specific fashion.<sup>402</sup> Other studies suggest that at least in some circumstances, VEGFR-1 may transmit a pro-survival signal in endothelial cells, possibly mediated by induction of the anti-apoptotic gene survivin.<sup>403</sup>

#### **1.3.6.b VEGFR-2**

VEGFR-2 has a key role in developmental angiogenesis and haematopoiesis. In VEGFR-2 null mice, defective vasculogenesis leads to a failure to develop blood islands and organised blood vessels which results in intrauterine death between day 8.5 and day 9.5.<sup>404</sup> The VEGF binding site has been mapped to the second and third Ig-like domain<sup>405</sup> and VEGFR-2 undergoes dimerisation. It has been suggested that VEGFR-2 is the major mediator of the mitogenic, angiogenic, and permeability-enhancing effects of VEGF. VEGFR-2 activation by VEGF results in PI3 kinase/Akt-dependent activation of several integrins<sup>406</sup> and also induces endothelial cell growth by activation of the Raf-Mek-Erk pathway. VEGFR-2 activation has been shown to be required for the anti-apoptotic effects of VEGF for human umbilical vein endothelial cells with the pro-survival effect mediated by the PI3 kinase/Akt pathway.<sup>336</sup>

#### **1.3.6.c VEGFR-3 (Flt-4)**

In adults, the expression of VEGFR-3 is confined to the lymphatic endothelium.<sup>407</sup> VEGF-C and VEGF-D, which bind to, and activate, VEGFR-3, induce lymphangiogenesis (e.g. in the differentiated chick chorioallantoic membrane and when delivered to mouse skin using adenoviruses or by transgene expression.<sup>408-410</sup> Conversely, inhibition of these ligands by the expression of a soluble VEGFR-3–Ig fusion protein in mice starting at embryological day 15 caused regression of the developing lymphatic vessels by endothelial cell apoptosis.<sup>411</sup>

#### **1.3.6.d Neuropilin (NP)1 and 2**

Some tumour and endothelial cells were reported to express cell surface VEGF-binding sites distinct in affinity and molecular mass from the VEGF RTKs.<sup>412</sup> It was also observed that VEGF<sub>121</sub> failed to bind these sites, indicating that exon 7-encoded basic sequences were required for binding to this putative receptor.<sup>412</sup> Subsequently, Soker et al. identified NP1, a molecule previously implicated in neuronal guidance, which enhanced the binding of VEGF<sub>165</sub> to VEGFR-2.<sup>413</sup> NP1 has also been shown to bind directly with VEGFR-1, suggesting that one of the mechanisms by which VEGFR-1 functions as a negative regulator of VEGF activity is competing for NP1 binding.<sup>414</sup> Other studies have linked another of the NP family, NP2, to lymphatic vessel development.<sup>415</sup>

### **1.3.7 Role of VEGF in Pathological Conditions**

VEGF has been implicated in the pathogenesis of a large number of pathological conditions including solid tumours, haematological malignancies, intraocular neovascular syndromes, cerebral oedema and diseases of the female reproductive tract.<sup>290</sup> VEGF up-regulation has also been implicated in various inflammatory disorders including wound healing, rheumatoid arthritis and psoriasis.<sup>416, 417</sup> Reinders et al. provided evidence for a role of VEGF as a proinflammatory mediator in allograft rejection. VEGF was found to be functional in the trafficking of human T-cells into skin allografts in vivo in the humanised severe combined immunodeficiency (SCID) mouse.<sup>418</sup>

Whereas tissue repair and tumour growth are predominantly associated with sprouting angiogenesis (i.e., the outgrowth of new capillaries from pre-existing vessels<sup>419, 420</sup>), the predominant type of angiogenesis observed during inflammation consists of vascular enlargement of pre-existing vessels rather than the formation of new blood vessels.<sup>421</sup> However, endothelial cell proliferation and vascular hyperpermeability are shared by both types of angiogenesis, and enlarged and hyperpermeable dermal microvessels are also a consistent feature of the skin inflammation associated with delayed-type hypersensitivity (DTH) reactions.<sup>422</sup>

Lymphatic vessels provide one of the main routes for tumour metastasis, especially for tumours of the breast, lung and gastrointestinal tract, which frequently colonise draining regional lymph nodes. Compared to the blood vasculature, relatively little is known about the biology of lymphatic vessels in tumours, the regulation of tumour lymphangiogenesis or the mechanisms

that determine the interactions of tumour cells with the lymphatic vessels. Although peritumoural lymphatic vessels contribute to tumour metastasis, opposite views exist as to whether intratumoural lymphatics have any role in tumour metastasis.<sup>423</sup> Many human tumours express VEGF-C, and increased VEGF-C expression correlates with lymph node metastasis in, for example, thyroid, prostate, gastric, colorectal and lung cancers.<sup>424, 425</sup> In breast cancer, VEGF-C expression correlates with lymph node positive tumours, whereas VEGF-D showed expression predominantly in inflammatory breast carcinoma.<sup>426</sup> Interestingly, although there are no lymphatic vessels in brain tumours, VEGF-C and VEGFR-3 have both been shown to be expressed in haemangioblastomas and glioblastomas.<sup>427</sup> Another study showed correlation of VEGFR-3 and tumour grade in grade 2 to 4 gliomas.<sup>428</sup> The mechanisms regulating VEGF-C or VEGF-D expression in tumours are not fully understood. Although VEGF-C is commonly expressed in cancer, it is not known to what extent tumour cells are directly responsible for the secretion of lymphangiogenic growth factors, such as VEGF-C and VEGF-D.<sup>429</sup>

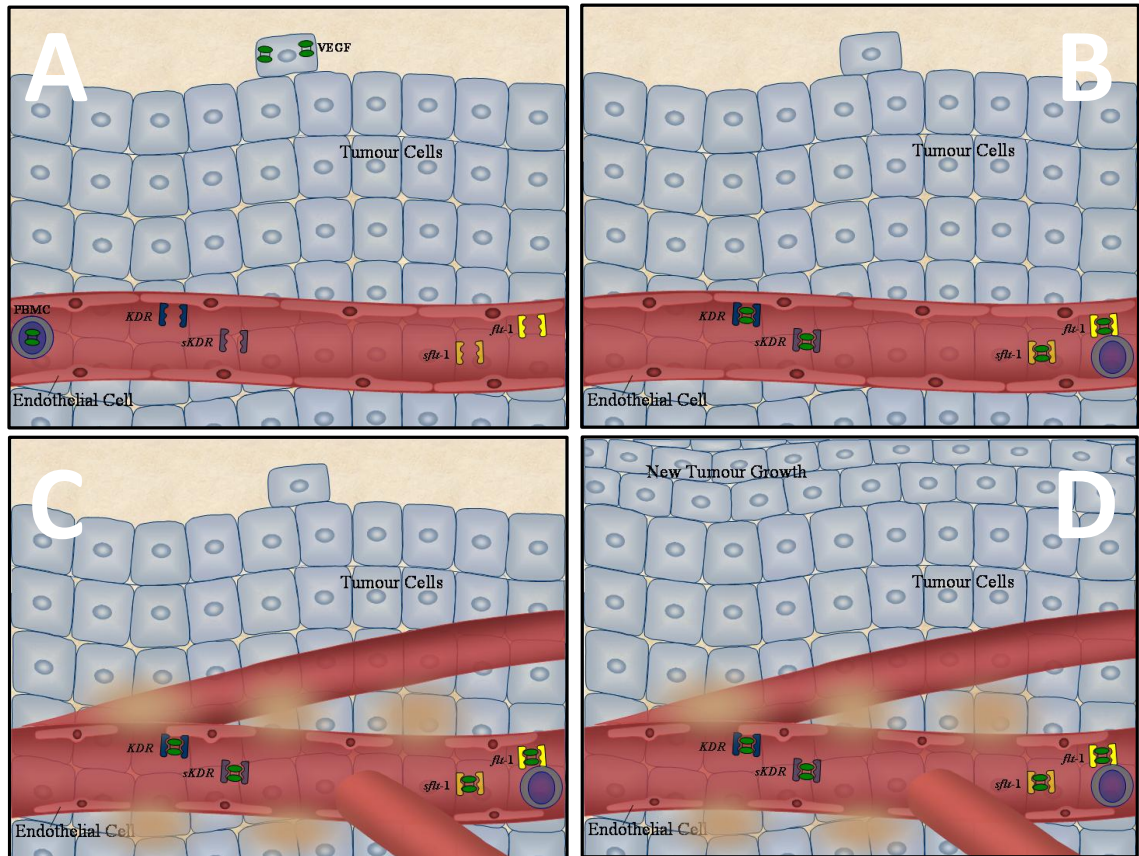
Studies using various rodent models have provided evidence that tumour lymphangiogenesis facilitates lymphatic metastasis. Similarly, overexpression of VEGF-C or VEGF-D in murine tumours increased the number of peri- and/or intra-tumoural lymphatic vessels and enhanced metastasis to regional lymph nodes.<sup>245, 430-432</sup> The secreted, soluble VEGFR-3-Ig fusion protein produced by transfected human breast or lung carcinoma cells that have a high VEGF-C expression, or delivered by a systemic route



using adenoviruses, inhibits tumour lymphangiogenesis and lymph node metastasis in immunodeficient mice, further supporting the role of lymphatics in tumour development.<sup>430, 433</sup>

### **1.3.8 VEGF in brain tumours**

VEGF and its receptors have been shown to be expressed in brain tumours and it is felt to play a major role in tumour angiogenesis and formation of peri-tumoural oedema (Figure 1.26).<sup>434-436</sup> VEGF has been shown to be expressed in gliomas,<sup>436</sup> haemangioblastomas,<sup>437</sup> meningiomas,<sup>438</sup> medulloblastomas<sup>439</sup> and ependymomas.<sup>440</sup>



**Figure 1.26 – The biology of VEGF in brain tumours. A - VEGF is a multifunctional cytokine that is stimulated to be produced by tumour and peripheral blood mononuclear cells by various triggers including hypoxia and hypoglycaemia. B - VEGF binds to two receptors *flt-1* and *KDR* which are present in both membrane bound and soluble forms. C - Following receptor binding, signalling pathways cause both new vessel formation and increased vascular permeability. D - New vascular supply allows delivery of the necessary substrates for tumour growth and progression.**

### **1.3.8.a Astrocytomas**

Low grade astrocytomas have a vascular pattern that is similar to normal brain, whereas glioblastomas are amongst the most vascular solid tumours seen.<sup>441</sup> Thus it is felt that in order for a low grade tumour to progress, there is a need for an “angiogenic switch”.<sup>12, 434</sup>

In glioblastomas, VEGF expression is 50 times that of normal brain.<sup>436</sup> This expression is spatially restricted to perinecrotic (palisading) cells<sup>442, 443</sup> suggesting that hypoxia is able to induce VEGF in vivo. There is a positive

correlation of VEGF levels and general vascularity of tumours.<sup>444</sup> Abnormal vessel morphometry (e.g. vessel shape, length, compactness and branching), which is also associated with VEGF levels<sup>445</sup> has been shown to correlate with grade of astrocytoma and survival.<sup>446</sup> In addition cerebrospinal fluid levels of VEGF have been shown to correlate with tumour grade in astrocytomas.<sup>447</sup>

VEGFR-2 is confined to vascular cells of high grade gliomas, whereas VEGFR-1 is expressed in low and high grade gliomas, whilst neither are expressed to any significant level in normal brain. The levels of the receptors correlate with VEGF levels in tumours suggesting a co-ordinated up regulation as a component of tumour progression, possibly mediated via autocrine action of VEGF itself.<sup>442, 448</sup> In addition to this as well as tumour grade VEGF also correlates with survival in astrocytomas.<sup>449-452</sup>

Although vascular proliferation is a hallmark for malignant progression in low-grade astrocytomas, pilocytic astrocytomas seem to be an exception. Leung et al. found high level of VEGF transcripts with up-regulation of its receptors VEGFR-1 and VEGFR-2 in 14 pilocytic astrocytomas.<sup>453</sup> These findings suggest that VEGF expression in pilocytic astrocytoma may be responsible for the pronounced vascular proliferation and cyst formation commonly observed in these tumours. As hypoxia in low-grade astrocytomas has been not shown, it is still speculative, how VEGF is regulated in these tumours. A proposed mechanism to explain the expression of VEGF mRNA in low-grade astrocytomas is loss of function of

tumour suppressor gene p53.<sup>454</sup> However, immunohistochemical studies have failed to show a correlation between p53 accumulation (the mutant protein has an increased half-life) and VEGF expression.<sup>442, 455</sup>

### **1.3.9 VEGF and Therapeutic Angiogenesis**

“Therapeutic angiogenesis” may be beneficial for conditions characterised by inadequate tissue perfusion as, at present, there are no effective alternatives to surgical reconstruction procedures. Early studies indicated that intra-arterial or intramuscular administration of VEGF<sub>165</sub> could significantly augment perfusion and development of collateral vessels in a rabbit model of chronic hind limb ischaemia.<sup>456, 457</sup> Arterial gene transfer with cDNA encoding VEGF also led to revascularisation in the same rabbit model to an extent comparable to that achieved with the recombinant protein.<sup>458, 459</sup>

In clinical trials, arterial gene transfer of naked plasmid DNA encoding VEGF<sub>165</sub> resulted in angiographic and histological evidence of angiogenesis after 4 weeks in a single patient with severe limb ischaemia.<sup>460</sup> However, a placebo-controlled phase II study (174 patients) in which recombinant human VEGF<sub>165</sub> was administered as a single intracoronary infusion, followed by three iv injections, did not demonstrate clinical benefit after 60 days, although some improvement in angina class was measured at a later time point.<sup>357</sup> Another controlled trial has reported an increase in vascularity following adenovirus-mediated delivery of VEGF<sub>165</sub> in limb ischaemia patients.<sup>461</sup> Several groups are exploring the possibility that more persistent

exposure to VEGF may achieve better results than in earlier trials. In this context, studies using a conditional VEGF switch showed that early cessation of the VEGF stimulus is followed by regression of newly formed vessels in the heart and liver but, after a critical duration of exposure, vessels persisted for several months and improved organ perfusion was observed.<sup>462</sup>

Other studies have shown that both recombinant<sup>463</sup> and adenovirus-delivered<sup>464</sup> VEGF leads to enhanced blood vessel formation and ossification in models of bone damage - findings which may have future clinical implications.

### **1.3.10 Anti-VEGF therapy in Brain Tumours**

VEGF inhibition has been shown to suppress pathological angiogenesis in a wide variety of models, including genetic models of cancer, leading to the clinical development of a variety of VEGF inhibitors. This was particularly attractive in brain tumours given the high degree of endothelial proliferation and pro-angiogenic growth factor expression seen.<sup>416</sup>

Initial encouraging phase II results were followed by setbacks, such as the lack of efficacy of SU5416 (a VEGFR-2 tyrosine kinase inhibitor, Semaxanib®; developed by SUGEN Inc. a subsidiary of Pfizer Inc., New York, NY, USA) in a phase III study in metastatic colorectal carcinoma in combination with chemotherapy, or the lack of survival benefit in patients with refractory metastatic breast cancer treated with a humanised anti-VEGF

monoclonal antibody (bevacizumab; Genentech, South San Francisco, CA, USA) plus chemotherapy as a third-line therapy.<sup>465</sup>

However, a large phase III study in colorectal carcinoma was the first to provide unequivocal evidence that VEGF inhibition, using bevacizumab in combination with chemotherapy, may provide a substantial clinical benefit, including increased survival.<sup>466</sup> In terms of side-effect profile hypertension was more common in the bevacizumab-treated group but was readily managed in all cases with oral anti-hypertensive agents.<sup>466</sup> An increased incidence of thrombosis and proteinuria was observed in a phase II but not in a subsequent phase III study. Bevacizumab (Avastin) was approved by the US FDA on 26th February 2004 as a first-line treatment for metastatic colorectal carcinoma.

Subsequent to this there have been various studies on bevacizumab in glioblastoma, and as a consequence of two particular studies, the FDA approved its use for glioblastomas on May 6<sup>th</sup> 2009.<sup>467, 468</sup> The first trial randomly assigned 167 patients with recurrent glioblastoma to bevacizumab therapy with or without irinotecan.<sup>467</sup> Response rates were reported to be between 28% and 38%, and 6 month progression free survival rates ranged from 43% to 50%. As had been reported in previous studies, most patients reduced their corticosteroid doses by 50% or more due to the marked antipermeability effect of bevacizumab. Adverse events were infrequent, with 8 (4.9%) intracerebral haemorrhages reported, the majority of which were not life-threatening, and 23 (14.1%) thromboembolic complications noted.

The other phase 2 trial evaluated by the FDA involved bevacizumab monotherapy in 48 heavily pre-treated patients with recurrent GBM.<sup>468</sup> The radiographic response rate was 35%, and the 6 month progression free survival rate was 29%. In addition to hemorrhagic and thromboembolic complications, common toxicities observed in these studies included hypertension, proteinuria, fatigue, and wound-healing complications.

As well as targeting VEGF, small molecular inhibitors of VEGFR-2 are currently being investigated. A phase II trial of cediranib which inhibits all known subtypes of VEGFR was undertaken in patients with recurrent glioblastomas.<sup>469</sup> Results were comparable to those reported for bevacizumab, with a response rate of 56% and a 6 month progression free survival rate of 26%.

Other vascular inhibitors include PDGFR, because of its role in pericyte recruitment.<sup>470</sup> The integrins  $\alpha_v\beta_3$  and  $\alpha_v\beta_5$  are highly expressed by tumour endothelial cells and facilitate angiogenesis as described earlier. Cilengitide (EMD121974) inhibits these integrins and appears promising in GBM patients with methylation of the *MGMT* gene promoter.<sup>471</sup>

### **1.3.11 Genetics**

#### ***1.3.11.a Genetic mapping of complex disease***

Over the last 20 years it has become possible to identify the genes underlying many monogenic or Mendelian diseases through development of techniques such as genetic linkage and positional cloning methodology. However, detection of the genetic aetiology of complex or multifactorial diseases is more complicated as by definition these conditions also have contributory environmental components. However, recent advances in the definition of haplotype structure and linkage disequilibrium (LD) within the human genome have provided new tools for the study and mapping of complex diseases. In common complex diseases there may be numerous susceptibility genes reported and replication of findings may be difficult. In different populations, a variety of genetic loci might confer genetic susceptibility to a particular disease. This phenomenon is called locus heterogeneity and may arise from difficulty in defining disease phenotype - complex diseases typically vary in severity of symptoms and age of onset. This problem may be diminished by stringent application of clinical diagnostic criteria and through the sub grouping of patients.<sup>472-474</sup>

#### ***1.3.11.b Allelic structure of complex diseases***

Two models have been proposed to explain the genetic basis for complex diseases – the common disease/common variant (CD/CV) hypothesis and the genetic heterogeneity hypothesis. The CD/CV hypothesis suggests that for complex diseases, the genetic risk is conferred by relatively high frequency disease-predisposing alleles present at a small number of loci. The



alternative, genetic heterogeneity hypothesis, proposes that numerous loci have rare alleles, each of which can cause the disease.<sup>472, 475, 476</sup> Neither model has been confirmed but the CD/CV model has more support based on statistical models of human population expansion and differences in the kinetics of rare and common alleles.<sup>477-479</sup>

The mutation rate is approximately the same for both rare and common alleles. Rare alleles causing Mendelian disease mutations are highly penetrant and are usually under very strong selection pressure due to their adverse effect.<sup>477-479</sup> Susceptibility variants in complex disease appear to have moderate or low penetrance and therefore are much less prone to selection and thus able to reach higher frequencies. Common alleles are likely to be ancestral in origin, whereas rare alleles have relatively rapid turnover due to selection thus allele frequency remains low. In designing a genomics study, knowledge regarding the allelic structure of the disease to be investigated is key. Association studies are more likely to be successful if there are a few predominating alleles. Allelic heterogeneity can be accommodated by linkage analysis although locus heterogeneity may explain unsuccessful replication of linkage loci in genome scans.<sup>478, 480</sup>

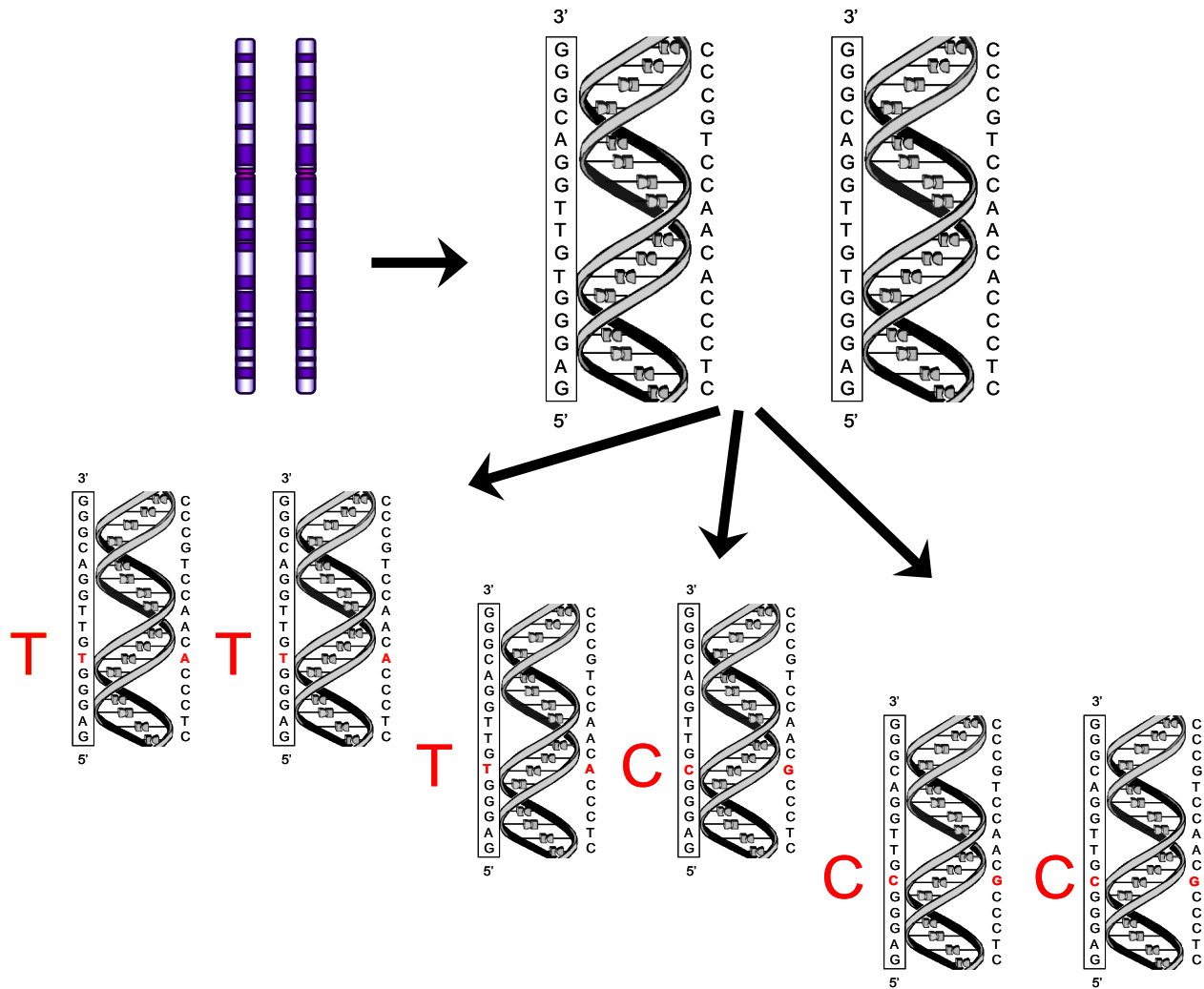
There are, however, only a few examples of successful susceptibility gene mapping for complex diseases such as the APOE $\epsilon$ 4 allele in Alzheimer's disease. Although these disease variants may have higher penetrance and simpler allelic architecture than other complex diseases,<sup>478</sup> the susceptibility

alleles are fairly prevalent in the population thus supporting the CD/CV hypothesis.

### ***1.3.11.c Single nucleotide polymorphisms***

Single nucleotide polymorphisms (SNPs) are single base pair variations in genomic DNA for which different alleles exist in normal individuals and where the least frequent allele has a frequency of 0.01 or greater in the general population (Figure 1.27). In addition to bi-allelic polymorphism the term is sometimes also incorrectly used to describe tri- and tetra-allelic polymorphisms together with insertion and deletion variants. Bi-allelic variants comprise 4 different types, with the cytosine / thymine (C/T) - guanine / adenine (G/A) transition accounting for approximately two-thirds of SNPs.<sup>481</sup> It has been estimated that the total number of SNPs in the human genome may be about ten million,<sup>482</sup> with about 3 million of these accounting for common SNPs (minor allele frequency > 20%) or one in every 1 kilo bases (kb).<sup>482-484</sup> Region-specific differences in SNP density occur with SNPs being most frequent in non-coding regions rather than in coding regions. Those SNPs which do occur within coding regions tend to be synonymous SNPs, which do not result in alteration of the amino acid sequence and are probably a result of selection against deleterious alleles.<sup>472,</sup>

475



**Figure 1.27 - Single nucleotide polymorphisms (SNPs).** These are single base pair variations in genomic DNA for which different alleles exist in normal individuals. Bi-allelic variants comprise 4 different types, with the cytosine / thymine (C/T) - guanine / adenine (G/A) transition accounting for approximately two-thirds of SNPs. For bi-allelic polymorphisms individuals can have one of three possible genotypes. The SNP illustrated above is a C/T transition and possible genotypes include TT, TC or CC.

The random nature and low mutation rate of base-changing events confer reasonable stability to SNP alleles.<sup>485</sup> Consequently over 80% are common to all human populations (but with different allele frequencies).<sup>483</sup> Due to the frequency within the genome of SNPs and because it is possible to use high-throughput methods to rapidly genotype them, common SNPs are thought to be good markers for genome-wide mapping of complex diseases.

#### **1.3.11.d Association studies**

Association studies are effective tools in the study of complex diseases due to their greater statistical power than linkage analysis when there is locus heterogeneity.<sup>473, 474</sup> Most association studies in complex disease have been performed as candidate-gene studies. Candidate genes are usually selected on the basis of their possible biological function in disease pathogenesis and allelic variants of the candidate gene tested for association.<sup>486, 487</sup> To date, most association studies have used non-synonymous coding SNPs of candidate genes although SNPs within promoter regions or other important regulatory elements may also be disease-causing variants.

The case-control study design is the most commonly employed strategy in association studies. However, study design is key and patient and control groups need to be selected carefully and adequately matched otherwise statistically significant associations may reflect differences between the cases and controls rather than the actual influence of the studied allele. As any systematic allele frequency differences between cases and controls can appear to be an association,<sup>486, 488</sup> isolated populations have been suggested as a good case-control sample set because of the homogenous background.<sup>489</sup> However, population substructure must then be considered.<sup>490</sup> Control ascertainment may also be improved by using a prospective study cohort, as this will also allow monitoring of environmental factors. Furthermore, sample size should be sufficiently large to detect significant results and findings should always be replicated in other populations.<sup>488</sup>

To reduce the effect of population stratification family-based, as opposed to population-based, controls can be used in association analysis. The transmission disequilibrium test (TDT) is the most commonly used family-based association test.<sup>491, 492</sup> However, family-based testing is less powerful statistically than case-control studies and generally requires much larger sample sizes. Indeed, as disease onset is often late in complex disease, parents of the index case may be deceased.<sup>488</sup>

#### **1.3.11.e Genome-wide linkage analysis**

Genetic linkage means that alleles from two loci segregate together rather than independently in meiosis because of their close proximity on a chromosome. The extent of linkage between two loci is measured by the recombination fraction ( $\theta$ ), which is the fraction of meiotic events that show recombination between the loci of all possible meioses. For unlinked loci  $\theta = 0.5$  and for completely linked loci  $\theta = 0$ .<sup>493, 494</sup> If the loci are syntenic, i.e. they lie on the same chromosome, then they might be expected always to segregate together, with no recombinants. However, during prophase of meiosis I, pairs of homologous chromosomes synapse and exchange segments. Only two of the four chromatids are involved in any particular crossover. A crossover will create two recombinant chromatids and leave two non-involved chromatids non-recombinant. Thus one crossover generates 50% recombinants between loci flanking it.<sup>495</sup>

Linkage analysis is used to locate a disease gene based on its close proximity to a segregating marker allele on the same chromosome. The standard tools for polymerase chain reaction (PCR) linkage analysis are microsatellites;

these are mostly (CA) $n$  repeats. Tri- and tetranucleotide repeats are gradually replacing dinucleotide repeats as the markers of choice because they give cleaner results - dinucleotide repeat sequences are peculiarly prone to replication slippage during PCR amplification.<sup>495</sup> Morton (1955) demonstrated that logarithm ( $\log_{10}$ ) of the odds of linkage (LOD) scores represent the most efficient statistic for evaluating pedigrees for linkage, and derived formulae to give the LOD score (as a function of  $\theta$ ) for various standard pedigree structures.<sup>496</sup> The LOD score is calculated as the ratio between the alternative assumptions that the loci are linked or are not linked as a function of the recombination fraction. LOD scores may then be calculated for a range of  $\theta$  values and the most likely recombination fraction is the one that produces the highest positive LOD score. In a set of families, the overall probability of linkage is the product of the probabilities in each individual family.<sup>493, 494, 496</sup>

Standard LOD score analysis is a parametric linkage approach where the inheritance pattern, penetrance of the trait and gene frequency must be known or correctly estimated. If these parameters are incorrectly defined, the results can be skewed. In complex diseases, parametric analysis often uses both recessive and dominant inheritance models with different gene frequencies and penetrance values to see which model gives the highest LOD score. However, when performing multiple tests, the threshold for a significant linkage score has to be increased accordingly.

Non-parametric linkage analysis is the method of choice for mapping complex disease genes since prior knowledge of the parameters that define the mode of inheritance is not required.<sup>493</sup> Non-parametric linkage analysis is based on the higher than expected sharing of alleles by affected individuals. Affected-sibling pair (ASP) analysis is the predominant method used and involves monitoring of alleles which are identical by descent (IBD).<sup>493, 497</sup> However, the major disadvantage of this methodology is loss of statistical power because it is often difficult to determine whether two alleles are identical by state (IBS) or IBD. Consequently more families are needed than for parametric analysis<sup>493</sup> and the analysis is limited to pedigrees of moderate size since computational time increases exponentially with the number of individuals included.<sup>498</sup>

For simple Mendelian traits, a parametric LOD score  $>3$  in two-point analysis has traditionally been used as significant evidence of linkage and this corresponds to a 5% significance level for a specific locus and a 9% genome-wide significance level. To minimise false-positive linkage results in complex diseases, more stringent criteria have been suggested. According to the widely accepted criteria of Lander and Kruglyak, the linkage results are classified into the following three categories: suggestive of linkage, significant evidence of linkage and highly significant evidence of linkage.<sup>499</sup> These would be expected to occur 1, 0.05 and 0.001 times in a genome scan respectively. These criteria have been questioned and only replication of a significant linkage result in a further sample can be interpreted as confirmed linkage.<sup>500</sup>

### ***1.3.11.f Linkage disequilibrium (LD) mapping***

LD is where alleles at two or more linked loci on the same chromosome occur together more often than by chance. LD is disrupted by recombination, mutation and gene conversion events. Mean LD declines with chromosomal distance, but there is large variation between different chromosomal regions. Recombination will rarely separate loci that lie very close together on a chromosome, because only a crossover located precisely in the small space between the two loci will create recombinants.<sup>495</sup>

Therefore sets of alleles on the same small chromosomal segment tend to be transmitted as a block through a pedigree. Considerable variation exists in the size of the blocks in different genomic regions (from 1kb to 200kb).

Such a block of alleles is a haplotype and these mark recognisable chromosomal segments which can be tracked through pedigrees and populations.<sup>495</sup> The European and Asian haplotypes are almost identical<sup>479,</sup><sup>501</sup> - characteristics in agreement with the "out of Africa" theory.<sup>502</sup>

The allelic structure of haplotype blocks is promising for genome-wide LD mapping as they can be treated for mapping purposes as alleles at a single highly polymorphic locus.<sup>495</sup> Over 80% of the haplotypes of a block are defined by less than 10% of the total SNPs of the block. Therefore only 2 or 3 SNPs per block, known as tag SNPs, may be needed to identify a block. However, the haplotype map for the whole genome must first be constructed – involving the typing of millions of SNPs in order to identify the tag SNPs. Subsequently, a much smaller subset of SNPs will need characterisation for whole genome LD mapping.<sup>501, 503, 504</sup>



### ***1.3.11.g Use of population isolates***

Population isolates have proven useful for mapping and cloning Mendelian disease genes. They offer the advantages of common environment and culture thus helping to reduce some of the background environmental noise surrounding complex diseases. Some population isolates such as Finland, have the advantage of good genealogical records.<sup>489</sup> Nevertheless, the population history and structure of genetic isolates together with the expected disease allele frequency are key considerations when choosing a population isolate for complex disease genetic study.<sup>505</sup> In young population isolates (<100 generations), such as Finland and Iceland, allelic heterogeneity is reduced, but due to a relatively large number of founders it may still be too high for successful mapping of a common complex disease. Very young isolates (<20 generations), such as sub-isolates in the Netherlands and French Canada have study advantages as they have experienced a very narrow bottleneck following rapid genealogical expansion. In these populations the allelic heterogeneity is reduced and LD is thought to extend over longer sections of DNA compared with young isolates.<sup>489, 506</sup>

### **1.3.12 The VEGF gene**

#### ***1.3.12.a General considerations***

The gene for VEGF is located on chromosome 6p.21 (Figure 1.25).<sup>359, 507</sup> The VEGF gene is highly polymorphic (Figure 1.28) with at least 15 SNPs described in the promoter region and 5'-untranslated region.<sup>13, 508, 509</sup> It is

therefore likely that this area of the VEGF gene represents a genetic “hot spot” and may be a region of key biological importance.<sup>510</sup> In a Manchester, UK based population of 115 mixed race healthy individuals, Watson et al identified the presence of 15 polymorphic sequences within the VEGF gene after screening 1262 base pairs (bp) of the VEGF promoter and all of exon 1 using PCR-single-stranded conformation polymorphism (SSCP) analysis.<sup>13</sup> Eight of the polymorphisms identified either created a new restriction endonuclease recognition site or destroyed an existing site.<sup>13</sup> PCR-restriction fragment length polymorphism (RFLP) typing strategies were developed for ten of the polymorphisms described including the two most common polymorphisms at -460 and +405.<sup>13</sup>

The two most common promoter/5'-UTR SNPs are a C→T transition at position -460 (Genebank accession number rs833061) in the promoter region and a G→C transversion at position +405 (Genebank accession number rs2010963) in the 5'-UTR. These SNPs are useful for association studies as they occur at highest frequency in this area of the gene and have been implicated as candidate SNPs in diseases with a putative angiogenic basis.<sup>511, 512</sup> Watson et al have previously documented significant linkage disequilibrium between the -460 and the +405 SNPs in the VEGF gene.<sup>13</sup> To ascertain haplotypes for the two polymorphisms at -460 and +405 they developed a combined sequence specific priming (SSP) PCR typing system which identified the cis/trans orientation of each allele. The -460 C/+405 G haplotype was found to be the most commonly observed haplotype in the

normal population. The -460 C/+405 C haplotype was very rare and only observed in one out of the 230 chromosomes analysed (frequency=0.004).<sup>13</sup>



**Figure 1.28 - The gene for vascular endothelial growth factor (VEGF) is highly polymorphic. A small section of the VEGF gene sequence showing a large number of polymorphisms (highlighted in yellow).**

### **1.3.12.b Regulation of VEGF gene expression**

VEGF gene expression is tightly regulated, mostly at the transcriptional level, but also at the translational and post-translational levels.

Hypoxia is the major regulator, via binding of hypoxia inducible factor (HIF)-1 and HIF-2, each consisting of two subunits ( $\alpha$  and  $\beta$ ) to the hypoxia-responsive element (HRE) located in the VEGF promoter.<sup>513, 514</sup> Other mechanisms regulating VEGF transcription include several growth factors [e.g., epidermal growth factor (EGF), platelet-derived growth factor (PDGF), insulin-like growth factor (IGF)-1, tumour necrosis factor (TNF)- $\alpha$ , transforming growth factor (TGF)- $\alpha$ , TGF- $\beta$ ] and inflammatory cytokines (e.g., IL-1a, IL-1b, IL-6, IL-10 and IL-13).<sup>372</sup>

Hormones are also important regulators of VEGF gene expression. Thyroid stimulating hormone (TSH) has been shown to induce VEGF expression in several thyroid carcinoma cell lines.<sup>515</sup> Adrenocorticotrophic hormone (ACTH) is able to induce VEGF expression in cultured human fetal adrenal cortical cells<sup>516</sup> and several studies have implicated sex steroids as an important stimulus for VEGF regulation in hormone-sensitive tissues. The gonadotropins have been shown to be potent inducers of VEGF transcription in the ovary, both in vivo<sup>311, 443</sup> and in vitro.<sup>517</sup> Oestradiol has been shown to directly activate VEGF transcription through a variant oestrogen response element located 1.5kb from the transcription start<sup>518</sup> and progestins have also been reported to induce VEGF gene transcription in endometrial carcinoma cells.<sup>519</sup>

### **1.3.12.b.i Transcriptional factors**

There have been a number of potential binding sites identified in the 5'-flanking region of the VEGF gene with the most important being specific protein-1 (Sp1), hypoxia-inducible factor 1 (HIF-1), signal transducer and activator of transcription-3 (Stat-3) and activator protein-1 (AP-1).<sup>520</sup>

Via phosphorylation modification, the transcriptional activity of Sp-1 can be regulated by affecting its DNA binding ability.<sup>521</sup> There have been four possible Sp-1 binding sites identified in the -38 to -109bp relative to the transcriptional start site.<sup>520</sup> These binding sites are essential for basal transcription of the VEGF gene and TNF- $\alpha$  dependent promoter activation in a human gliomas cell line.<sup>522</sup> Other factors may have an influence on Sp-1 activity including tumour suppressor genes von Hippel Lindau (*VHL*), *p53*, *p73* and oncogenes such as *Ras*, *Src* and *HER2/neu*. These genes can also have an effect on the transcriptional activity of HIF-1.<sup>520</sup> Stat3 binding sites have been localised to -842 to -849bp and it is activated by a variety of factors including EGF, PDGF, VEGF and IL-6.<sup>523</sup> There are a putative four AP-1 binding sites in the promoter region of the VEGF gene and the signalling occurs through the protein kinase C (PKC) and MAP kinase pathway.<sup>520</sup>

### **1.3.12.c Biological effect of VEGF single nucleotide polymorphisms**

As mentioned above the VEGF gene is highly polymorphic and there have been many studies looking at the various polymorphisms in relation to disease associations, functional significance and prognosis in relation to both individual SNPs and more functionally the associated haplotypes (Table 1.3).

As can be seen from the table there is a huge amount of variation in results with contradictory findings in many studies.

**Table 1.3 – The major papers describing VEGF SNP biology. The table summarises the available evidence on the topic of VEGF SNP biology. Nomenclature of SNPs varies in the literature with some groups defining the distance of the SNP from transcription start and others from translation start. The equivalent SNPs are: -1540 C→A (Genebank accession number rs699947) = -2578; -460 C→T (rs833061) = -1498; -116 G→A (1570360) = -1154; +405G→C (rs2011063) = -634). The +936 C→T is in the 3' untranslated region and is consistently named.**

Study	-460 Associations	+405 Associations	Genotype Functional	Haplotype	Haplotype Functional	Other SNPs & Prognostic
Watson 2000 <sup>13</sup>			Stimulated VEGF Production +405 GG>GC>CC			
Renner 2000 <sup>524</sup>			+936 T allele decreased plasma VEGF in healthy subjects			
Awata 2002 <sup>511</sup>		+405 CC diabetic retinopathy	+405 CC increased basal serum VEGF in health subjects			
Shahbazi 2002 <sup>525</sup>			-1540 CC & -116 GG increased stimulated VEGF			-1540 C Allele & -116 G Allele increases renal allograft failure
McCarron 2002 <sup>526</sup>						-116 AA Prostate Cancer
Howell 2002 <sup>527</sup>				-1540/-116/+405 CAC melanoma less advanced disease		-116 AA genotype melanoma less advanced and thinner disease
Lambrechts 2003 <sup>342</sup>		+405 GG amyotrophic lateral sclerosis	+405 G Allele impairs IRES B translation -116 A Allele reduces VEGF Transcription	-1540/-116/+405 AAG & AGG amyotrophic lateral sclerosis	-1540/-116/+405 AAG & AGG low VEGF Production	-116 AA amyotrophic lateral sclerosis
Stevens 2003 <sup>528</sup>					-1540/-460/-160/-152/-116/+405 ACTAGG>ACCAAG=wild type CTCGGC Basal & stimulated VEGF Production	
Chen 2003 <sup>529</sup>	-460 T allele calcium oxylate kidney stones					
Yang 2003 <sup>530</sup>			-2549 18bp DD genotype increased VEGF transcription			-2549 18bp DD genotype diabetic nephropathy
Lin 2003 <sup>531</sup>	-460 T allele Prostate Cancer					
Ray 2004 <sup>532</sup>	-460 C allele proliferative diabetic retinopathy					
Hsieh 2004 <sup>533</sup>	-460 T allele increased risk endometriosis					
Boiardi 2004 <sup>534</sup>		+405 C allele giant Cell arteritis	-2549 18 bp Insertion/Deletion polymorphism II genotype increased stimulated VEGF production			-2549 18bp Insertion giant cell arteritis
Cooke 2004 <sup>535</sup>		+405 G allele retinopathy of prematurity				
Young 2004 <sup>417</sup>		+405 CC Psoriasis				
Papazoglou 2004 <sup>536</sup>						+936 T allele severe pre-eclampsia
Papazoglou 2004 <sup>537</sup>						+936 T allele pre-term delivery
Salvarani 2004 <sup>538</sup>		+405 C allele Bechet's disease	-2549 18bp II increased stimulated VEGF in healthy adults			-2549 18bp I Bechet's disease +936 T allele uveitis

Study	-460 Associations	+405 Associations	Genotype Functional	Haplotype	Haplotype Functional	Other SNPs & Prognostic
Szeto 2004 <sup>539</sup>						-1540 A allele worse survival for peritoneal dialysis patients -1540 CC genotype high serum & low peritoneal dialysate VEGF levels
Kariyazono 2004 <sup>540</sup>		+405 G allele coronary artery lesions in Kawasaki's				
Koukourakis 2004 <sup>541</sup>			+405 GG decreased VEGF expression and vascular density in non-small cell lung cancer (NSCLC)			-1540 CC decreased VEGF expression & vascular density in NSCLC -116 GG increased VEGF expression in NSCLC
Wolf 2004 <sup>542</sup>						+936 C allele increased FDG PET uptake in breast cancer
Lu 2005 <sup>543</sup>						+405 GG genotype worse overall survival -460/+405/+936 TCC haplotype better overall survival in breast cancer
Jin 2005 <sup>544</sup>						+405 CC genotype & -1540/+405 CC haplotype large tumours and high grade in breast cancer
Kim 2005 <sup>545</sup>						-1540 CC genotype poor grade in bladder cancer
Medford 2005 <sup>546</sup>						+936 T allele ARDS & increased APACHE scores
Bhanoori 2005 <sup>547</sup>		+405 GG endometriosis		-460/+405 T/C lower in endometriosis		
Tzanakis 2006 <sup>548</sup>						+405 CC genotype larger, higher grade tumours & worse survival in gastric cancer
Rueda 2006 <sup>549</sup>						-116 G & +405 C alleles -116/+405 GC haplotype increased risk of Henoch-Schönlein purpura with nephritis
Sfar 2006 <sup>550</sup>		+405 C allele prostate cancer				-116 A & +405 C alleles development and higher grade in prostate cancer
Hefler 2007 <sup>551</sup>						-1540/-116/+405 CGC homozygous haplotype worse overall survival in ovarian cancer
Kim 2007 <sup>552</sup>						+936 T allele worse survival in all gastric cancer -460 C allele worse survival in stage 0 & 1 gastric cancer
Kong 2007 <sup>553</sup>						+405 CC genotype better survival in hepatocellular cancer
Langsenlehner 2007 <sup>554</sup>						+405 C allele small tumour size in breast cancer
Kim 2008 <sup>555</sup>						+936 T allele better survival in acute myeloid leukaemia (AML) -1540/-460/+405 CTG haplotype worse survival in AML
Kim 2008 <sup>556</sup>						+405 GG genotype & +936 T allele &

Study	-460 Associations	+405 Associations	Genotype Functional	Haplotype	Haplotype Functional	Other SNPs & Prognostic
						-1540/+405/+936 CGC haplotype worse survival in colorectal cancer
Lurje 2009 <sup>557</sup>						+405 C allele worse progression free survival in stage II colon cancer
Maltese 2009 <sup>558</sup>				-1540/-460/+405 ACG & CCC haplotypes increased risk of colorectal cancer		-1540 AA genotype increased risk of colorectal cancer
Masago 2009 <sup>559</sup>						-1540 AA genotype -460 CC genotype -116 A allele worse survival in advanced non-small cell lung cancer
Pastuszczak 2009 <sup>560</sup>						+405 GG genotype Worse 30 day mortality following coronary artery bypass grafting
Smerdel 2009 <sup>561</sup>						-460 CT & +405 CG genotypes better survival in ovarian cancer
Lambrechts 2009 <sup>562</sup>				-1540/-116/+405 AAG & AGG no longer associated in amyotrophic lateral sclerosis meta analysis		-1540 AA genotype Amyotrophic lateral sclerosis in males only
Bradbury 2009 <sup>563</sup>						+936 C allele & -460/+405/+936 CGC worse survival in oesophageal cancer
Dassoulas 2009 <sup>564</sup>						-1540 A & +936 T alleles trend to association with colorectal cancer -1540 A, +405 C & +936 T alleles worse survival in colorectal cancer
Steffensen 2010 <sup>565</sup>				-1540 C allele -460 T allele +405 C allele increased serum VEGF in ovarian cancer		-1540/-460/-116/+405/+936 ACGGC Improved survival in ovarian cancer
Hansen 2010 <sup>566</sup>						-1540 CA, -460 CT & +405 CG genotypes Response to chemotherapy in colorectal cancer
Kim 2010 <sup>567</sup>						-1540 C & -460 C allele and -1540/-460/+405 ACG homozygous haplotype disease progression in chronic myeloid leukaemia
Hansen 2010 <sup>568</sup>			-460 T allele & +405 C allele increased VEGF expression in normal but not cancerous colorectal tissue			
Riuz 2010 <sup>569</sup>						-116 GG genotype local invasion in head and neck cancer
Lorenzen 2010 <sup>570</sup>						+936 T allele worse event free survival oesophageal cancer



There are a variety of oncological and non-oncological diseases where positive findings have been made, with the common theme of the diseases being a putative link with angiogenesis.

From a functional perspective, a significant correlation has been observed between VEGF protein production and the VEGF +405 polymorphism in both healthy<sup>13</sup> and disease states.<sup>342, 511, 532</sup> Watson et al observed a significant correlation between +405 genotype and LPS-stimulated peripheral blood mononuclear cell (PBMC) VEGF protein production in healthy subjects, with lowest VEGF protein production observed for CC homozygotes and highest production for GG homozygotes.<sup>13</sup> Further work from the Manchester group using a promoter readout assay to analyse the effect of the VEGF -460/+405 polymorphisms on VEGF gene expression, showed that a complex haplotype containing the VEGF -460C and +405G alleles, is associated with 71% higher basal VEGF promoter activity when compared with wild type.<sup>528</sup> The study describes two promoter haplotypes containing the VEGF -460C and +405G alleles, which differ in activity - those containing the -160 C→T (minor allele frequency 0.017) or the -116 G→A (minor allele frequency 0.3) polymorphisms.<sup>528</sup> This suggests that one or both of these SNPs may be functionally influential in VEGF production in a haplotype which contains the VEGF -406C and +405 G alleles.<sup>512, 528</sup>

Elevated serum VEGF levels have also been associated with the +405 C allele in patients with retinopathy and Type 2 diabetes.<sup>511</sup> However, it is arguable that it is inappropriate to measure VEGF in serum, as platelets represent a

large source of stored VEGF which can be released on serum collection.<sup>571</sup>

Elevated plasma levels of VEGF have been documented in association with the +405 C allele in patients with amyotrophic lateral sclerosis<sup>342</sup> and the +405 G allele was observed to reduce both internal ribosome entry site mediated VEGF expression and translation of the large (L)-VEGF isoform.<sup>342</sup>

The 5'-UTR of VEGF contains two internal ribosome entry sites, (IRES)-A at nucleotide +744 to +1037 and IRES-B at nucleotide +90 to +482, both of which are involved in enhancing the translation of adenine-uracil-guanine (AUG)-initiated VEGF.<sup>572</sup> However, the predicted secondary structure of the IRES-B sequence is significantly remodelled for the +405 G allele and it has been suggested that this could make the IRES-B site less optimal for IRES-dependent VEGF translation.<sup>342</sup> L-VEGF is 205 amino acid residues longer than the AUG-initiated VEGF forms due to initiation of VEGF translation at the first (out of four) cytosine-uracil-guanine (CUG) codons which is situated at position +498 in the 5'-UTR.<sup>573</sup> Analysis of the secondary structure of the 5'-UTR shows that this initiation codon is located in the immediate vicinity of +405 G→C. Thus sequence variation at this site could significantly alter the structure of the translation initiation codon and could result in reduced production of VEGF.<sup>342</sup> L-VEGF is proteolytically cleaved at the peptide signal sequence, converted to the shorter isoforms (VEGF<sub>121</sub>, VEGF<sub>165</sub> and VEGF<sub>189</sub>) isoforms and secreted into the extracellular milieu.<sup>573</sup> The role of L-VEGF is not clear although it may serve as a reservoir for generation of the shorter isoforms.<sup>572</sup> Therefore, a reduction in both internal ribosome entry site

mediated VEGF expression and translation of the large L-VEGF isoform could result in lower plasma levels of VEGF in patients with the +405 G allele.<sup>342</sup>

## **Chapter 2 – Prognostic factors relating to sex steroid receptors in meningiomas**

### **2.1 Clinical Material and Methods**

All patients with a histologically confirmed diagnosis of Meningioma operated on between 1988 and 1998 in the Department of Neurosurgery, Royal Preston Hospital were recruited for this study. Clinical information was obtained from the hospital case notes together with special files prospectively kept for the Meningioma follow up clinic run in the department. Where patients were lost to follow up, an attempt was made to contact their General Practitioner to confirm their current status. Recurrence was defined as either reappearance at the site of a previously fully resected tumour or enlargement in size of the residual tumour on follow up imaging.

#### **2.1.1 Steroid Receptor Immunohistochemistry**

The tumours were evaluated for presence of progesterone receptors (PR) and oestrogen receptors (ER) using immunohistochemistry.

The antibodies used were a polyclonal rabbit anti-human antibody (Dako UK Ltd., Ely, Cambridgeshire) and a monoclonal mouse anti-human oestrogen receptor  $\alpha$ , clone 1D5 (Dako UK Ltd., Ely, Cambridgeshire).

The archival formalin fixed paraffin embedded tissue blocks of the meningiomas were sectioned at 5 $\mu$ m, mounted on glass slides and dewaxed in xylene and graded alcohols. Epitope retrieval was achieved by microwaving in a pH 9 citrate buffer for 20 minutes. The primary antibodies

were applied to consecutive levels of the Meningioma for 30 minutes at room temperature. The Dako duet kit solution (Dako UK Ltd., Ely, Cambridgeshire) containing biotinylated goat anti-mouse/rabbit immunoglobulin was applied followed by the streptavidin label and the chromogen diaminobenzidine which ultimately stains the receptors brown. The sections were counterstained with haematoxylin, dehydrated, mounted and examined by light microscope. A positive result was registered when more than 10% of the cells were stained brown. Known positive controls of ductal breast carcinoma were run in each batch. Negative controls were prepared by omitting the primary antibody and replacing it with normal serum.

The sections were examined by two histopathologists and a neurosurgeon assessing for nuclear and cytoplasm positivity for both progesterone and oestrogen receptors. In addition the meningioma histological subtype was determined by both the histopathologists.

Steroid hormone receptors are found in the cytoplasm of cells but are only functionally active in the nucleus. The immunohistochemical analysis of the receptors has the advantage over cytosol assays in that the receptors can be localised to the biologically active nucleus.

### **2.1.2 Statistical Analysis**

The Chi squared or Fisher's exact test with the Mantel-Haenszel estimate of common odds ratio were undertaken to compare differences in proportions.

Kaplan-Meier survival analysis with log rank testing and the Cox proportional hazards model were used to estimate differences in survival.

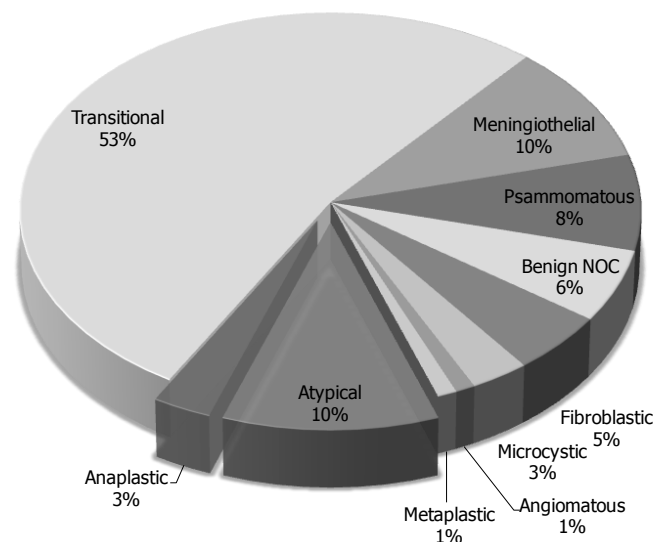
## 2.2 Results

There were 102 patients in total during this time period with 74 females and 28 males. Mean age at presentation was 60 years 9 months (range 27 to 87). Mean follow up was 7 years 7 months (maximum 17 years 2 months).

### 2.2.1 Histological Subtypes

The distribution of histological grade was typical with 88 (87%) being grade 1. Overall 11 (10%) were grade 2 or atypical and 3 (3%) were grade 3 or anaplastic (Figure 2.1).

Distribution of Meningioma Histological Subtypes (n=102)



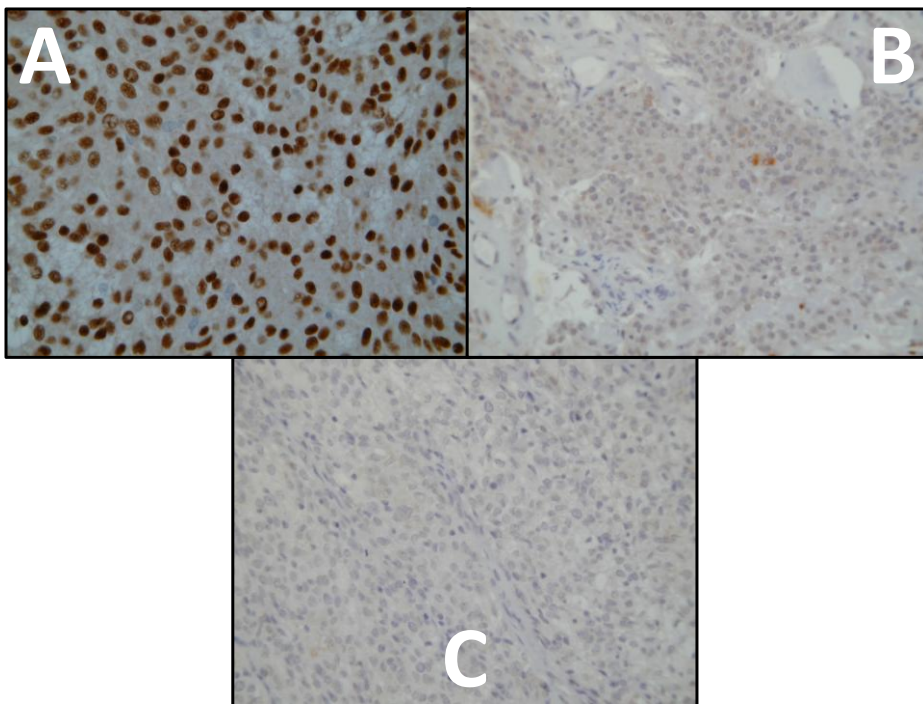
**Figure 2.1 - Distribution of Meningioma histological subtypes. The majority of tumours were grade 1. Grade 2 (atypical) and grade 3 (anaplastic) tumours are exploded on the pie chart**

### 2.2.2 Steroid Receptor Status

There was 100% Agreement in designation of tumour receptor status between the 3 independent assessors (Figure 2.2).

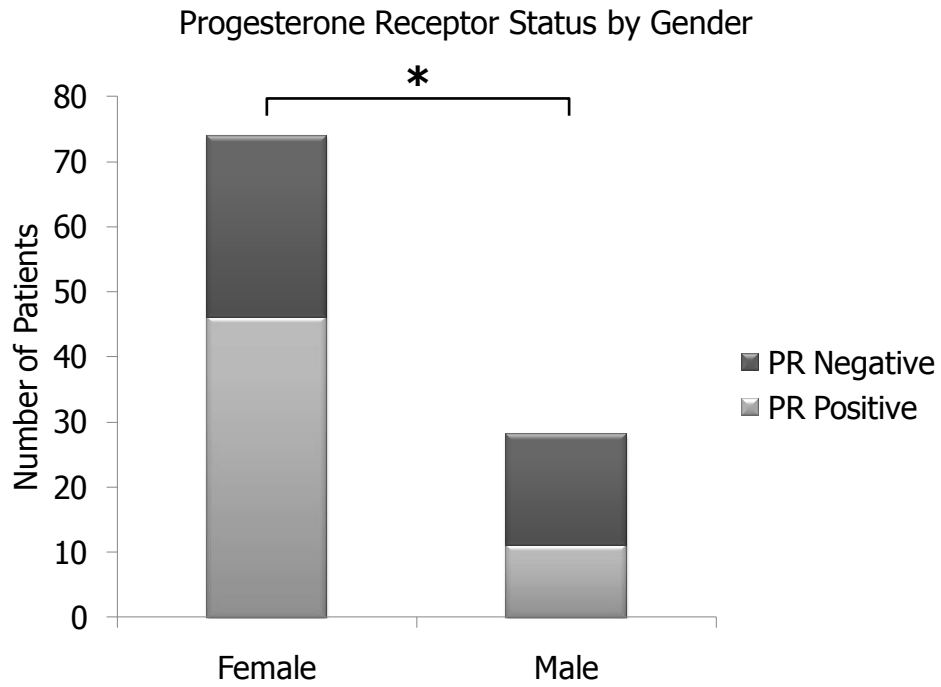
Nuclear progesterone staining was positive in 56 (55%) of all tumours.

Cytoplasmic progesterone receptor staining was positive in 90 (88%) of tumours including 35 out of the 46 nuclear progesterone receptor negative patients. Nuclear oestrogen receptor staining was very rare with only 1 case (1%) positive in the whole cohort. Oestrogen receptor cytoplasmic staining was more frequently seen in 54 (53%) of all tumours.



**Figure 2.2 – Immunohistochemistry results of steroid receptors. Sections showing immune-staining for tumours that are: A – nuclear and cytoplasm receptor positive; B – cytoplasm receptor positive and C – nuclear and cytoplasm negative.**

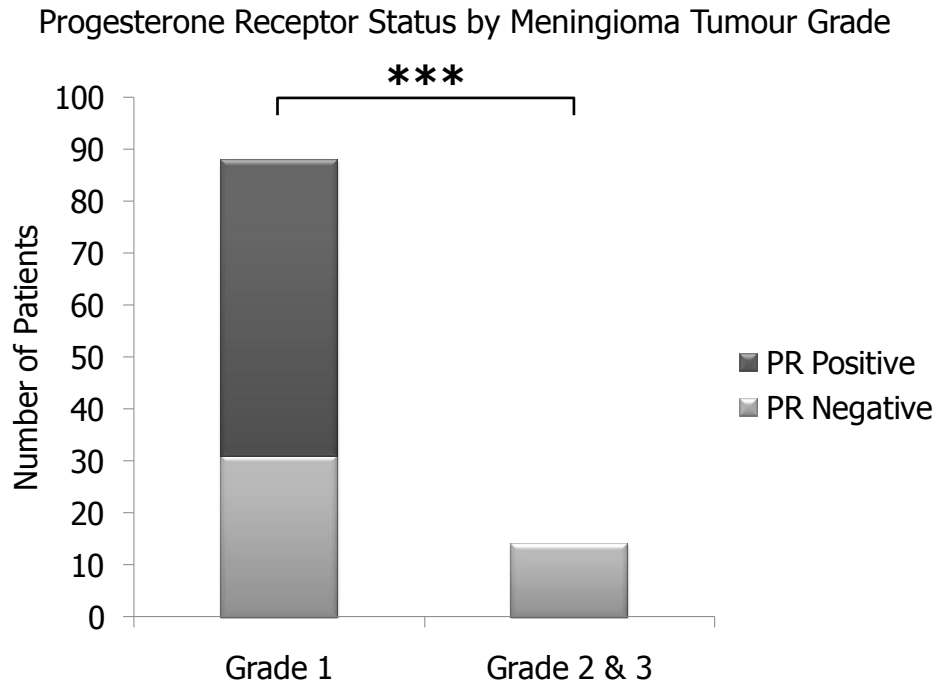
There was a significantly higher proportion of males that were progesterone receptor negative compared to females (Figure 2.3).



**Figure 2.3 - Progesterone receptor status by gender. Meningiomas in males are significantly more likely to be progesterone receptor negative; males 60.7% females 37.8% PR negative ( $\chi^2 = 4.312$ ,  $df = 1$ ,  $p = 0.038$ )**



There was a strong association with progesterone receptor status and tumour grade. All of the 14 grade 2 or 3 Tumours were progesterone receptor negative (Figure 2.4).



**Figure 2.4 - Progesterone receptor status by meningioma tumour grade. There is a strong association with higher grade of tumour and negative PR status ( $\chi^2 = 20.555$ ,  $df = 1$ ,  $p = 0.000003$ )**

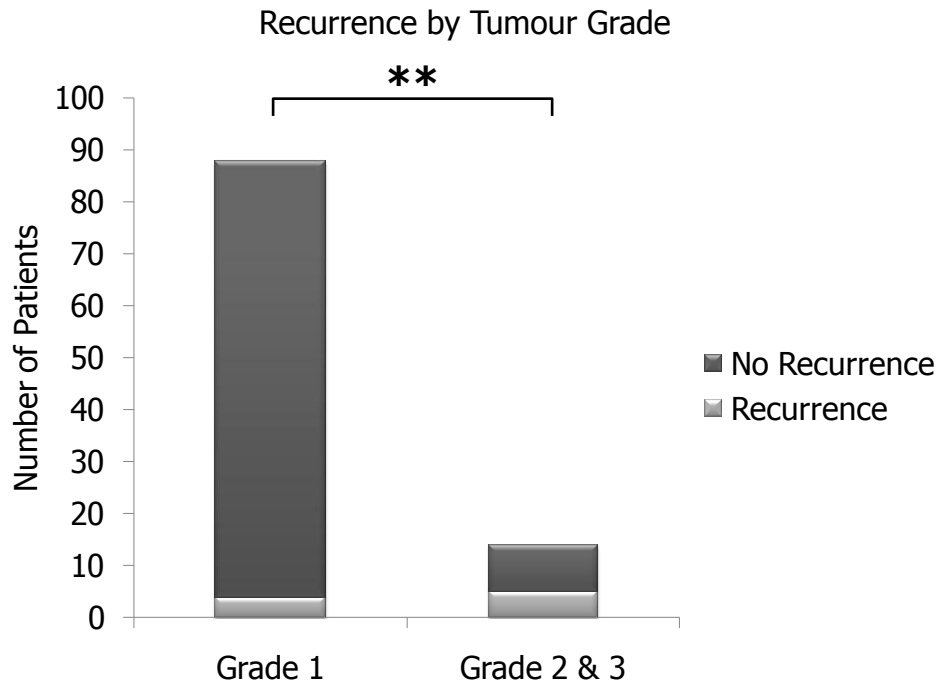
### 2.2.3 Recurrence

There were 9 patients that recurred at a mean time of 3 years 4 months and median time of 2 years 3 months from initial diagnosis (Range 1 year to 8 years 9 months) (Table 2.1).

		<b>Non- Recurrent (%)</b>	<b>Recurrent (%)</b>	<b>Total</b>	<b>Odds Ratio</b>	<b>Fisher's/ <math>\chi^2</math> P value</b>
<b>All Patients</b>		93 (91)	9 (9)	102		
<b>Gender</b>	Male	27 (96)	1 (4)	28	NS	0.438
	Female	66 (89)	8 (11)	74		
<b>Progesterone Receptors</b>	Nuclear +ve	55 (96.5)	2 (3.5)	57	5.066	0.041*
	Nuclear -ve	38 (84)	7 (16)	45		
	Cytoplasm +ve	83 (92)	7 (8)	90	NS	0.286
	Cytoplasm -ve	10 (83)	2 (17)	12		
<b>Oestrogen Receptors</b>	Nuclear +ve	1 (100)	0 (0)	1	NS	1.000
	Nuclear -ve	92 (91)	9 (9)	101		
	Cytoplasm +ve	48 (89)	6 (11)	54	NS	0.495
	Cytoplasm -ve	45 (94)	3 (6)	49		
<b>WHO Tumour Grade</b>	Grade 1	84 (94.5)	4 (4.5)	88	11.667	0.002**
	Grade 2 & 3	9 (64)	5 (36)	14		
<b>Simpson Grade</b>	Grade 1	27 (96)	1 (4)	28	NS	0.100
	Grade 2	26 (96)	1 (4)	27		
	Grade 3	6 (86)	1 (14)	7		
	Grade 4	20 (77)	6 (23)	26		
	Grade 5	2 (100)	0 (0)	2		
<b>Dichotomised Simpson Grade</b>	Grade 1 & 2	53 (96)	2 (4)	55	6.623	0.025*
	Grade 3,4 & 5	28 (80)	7 (20)	35		

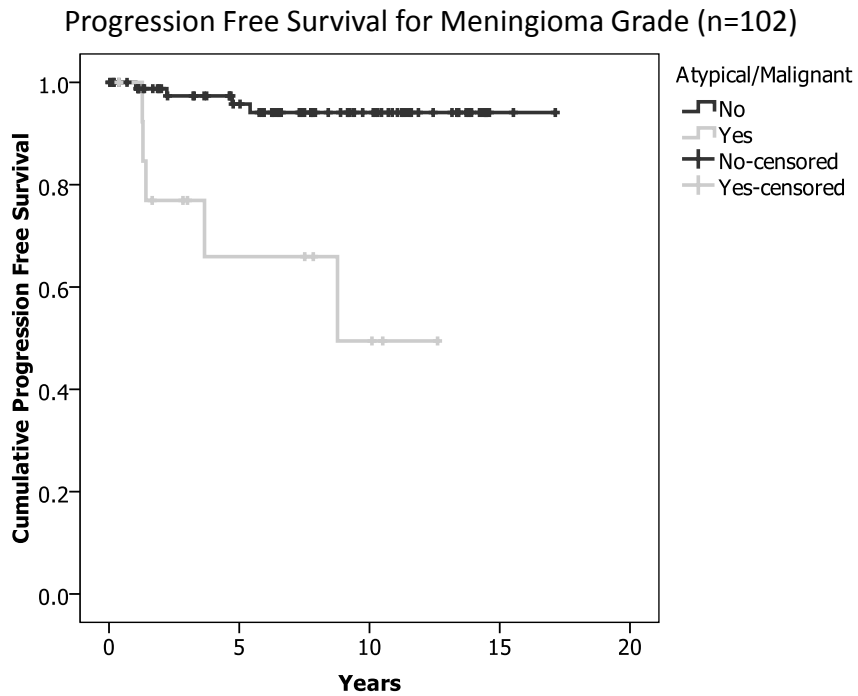
**Table 2.1 – Factors relating to recurrence of meningiomas. There are significant associations with recurrence and progesterone receptor negativity, higher WHO grades and decreased resection as categorized by a dichotomised Simpson grade.**

There was a strong association with atypical/anaplastic grade tumours (grade 2 and 3) and recurrence (Figure 2.5).



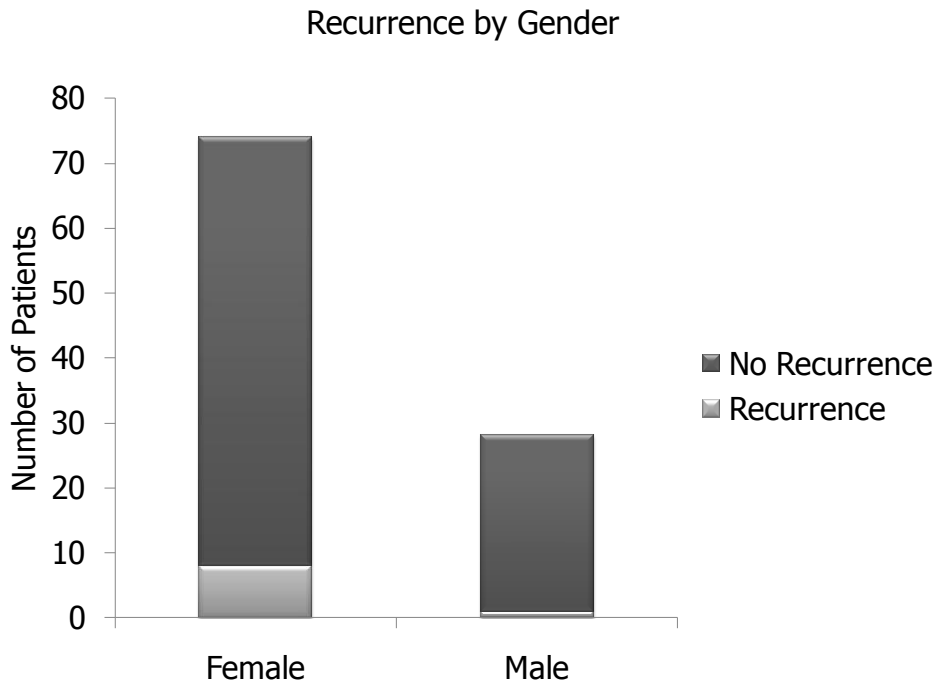
**Figure 2.5 - Recurrence by tumour grade. There was a significantly higher risk of recurrence with grade 2 or 3 histology ( $\chi^2 = 14.586$ ,  $df = 1$ , Fisher's Exact 2-sided  $p = 0.002$ ; Odds ratio = 11.667, 95% CI 2.646 to 51.438)**

Kaplan-Meier plots show a significantly worse progression free survival for patients with atypical or anaplastic tumours (Figure 2.6).

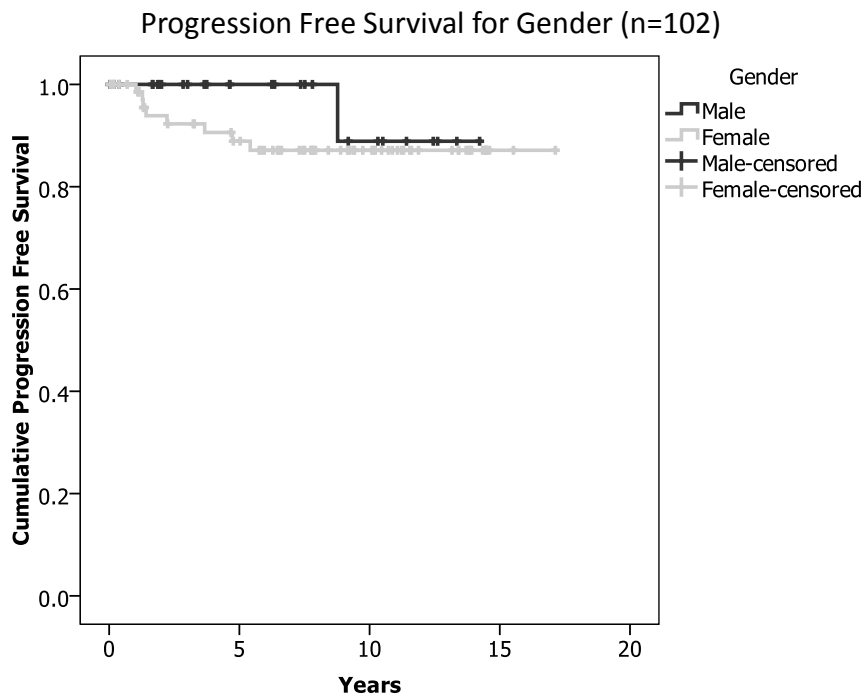


**Figure 2.6 - Kaplan-Meier plot of progression free survival by tumour grade (grade 1 vs. grade 2 & 3). There was a significantly higher recurrence rate in the grade 2 & 3 patients (Log rank = 17.127, p = 0.000035)**

There was no difference in recurrence between males and females (Figures 2.7 & 2.8)

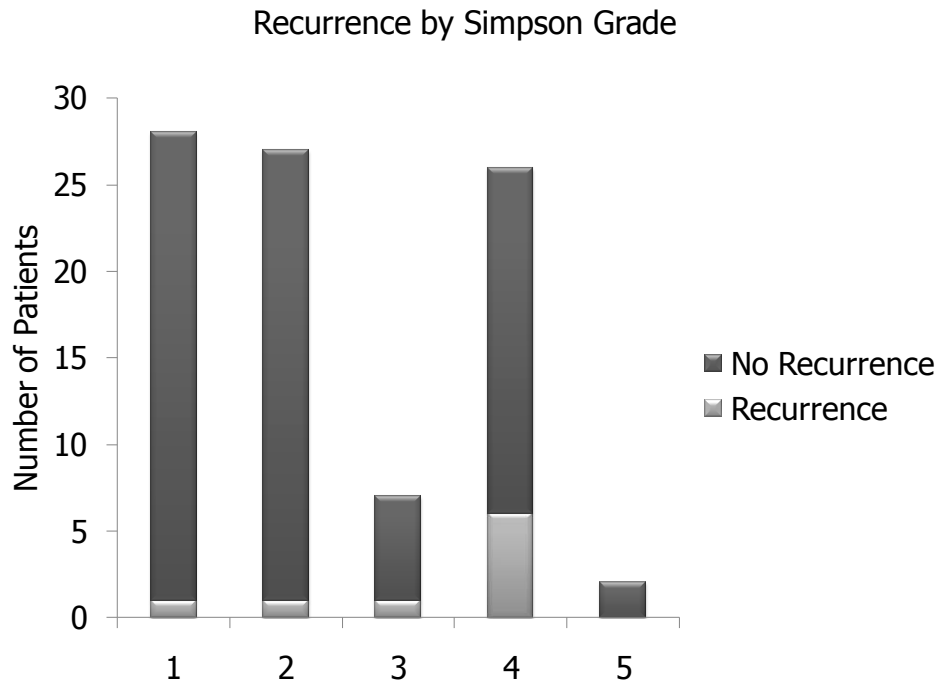


**Figure 2.7 - Meningioma recurrence rate by gender. There was no difference in recurrence rate between males and females ( $\chi^2 = 1.323$ ,  $df = 1$ , Fisher's Exact 2-sided  $p = 0.438$ )**



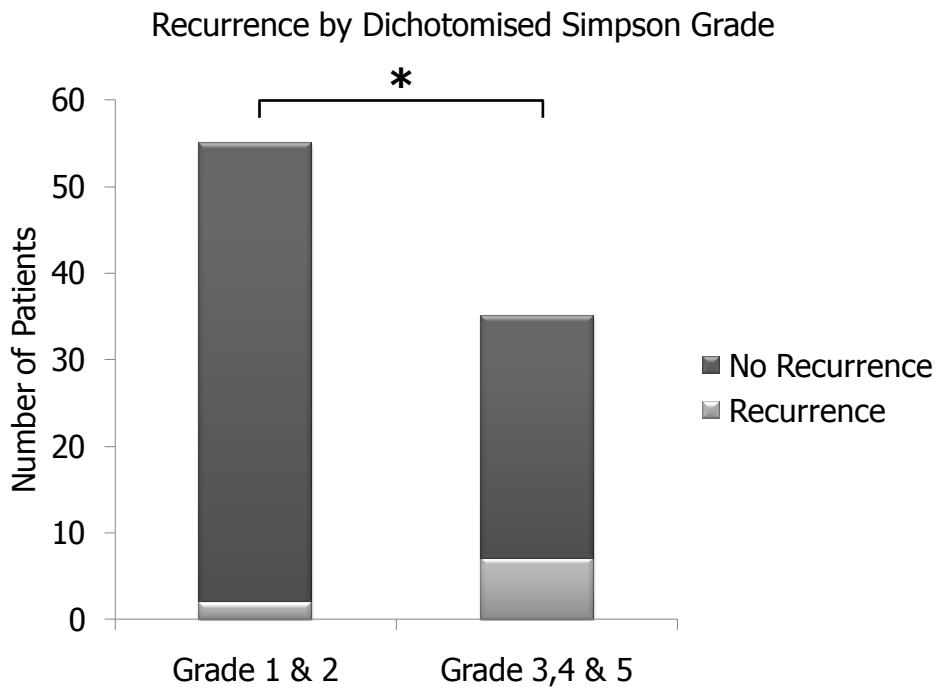
**Figure 2.8 - Kaplan-Meier plot of progression free survival by gender. There was no difference in recurrence of tumour between males and females (Log rank = 0.938, df = 1, p = 0.333)**

Overall there was a non-significant trend of association with reduction of surgical resection on the Simpson grade and recurrence (Figure 2.9).



**Figure 2.9 - Recurrence by individual Simpson meningioma resection grade. There was a non-significant trend of increase in recurrence with higher Simpson grades ie more residual tumour ( $\chi^2 = 7.780$ , df 4, p = 0.100)**

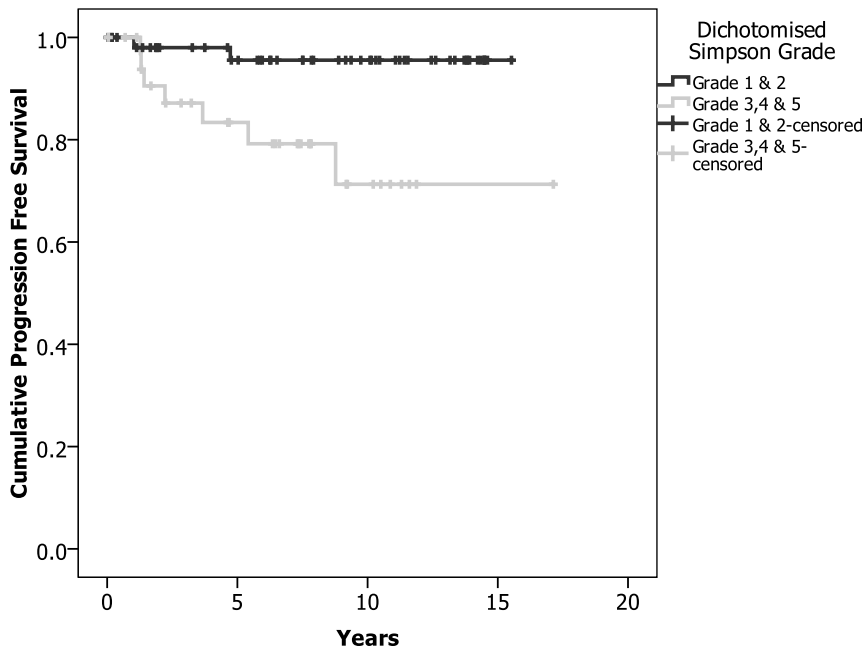
When the Simpson grade is dichotomised between grades 1 and 2 (no residual tumour with either excision or diathermy of dura) and 3,4 and 5 (dural origin unable to be diathermised, subtotal removal or biopsy) then there is a significant association with the grade 3,4 and 5 group and recurrence (Figures 2.10 & 2.11).



**Figure 2.10 - Recurrence rate with dichotomised Simpson grade. There is a significant increase in recurrence with the grade 3,4 & 5 group ( $\chi^2 = 6.364$ ,  $df = 1$ , Fisher's Exact 2-sided  $p = 0.025$ ; Odds ratio = 6.623, 95% CI 1.289 to 34.483)**

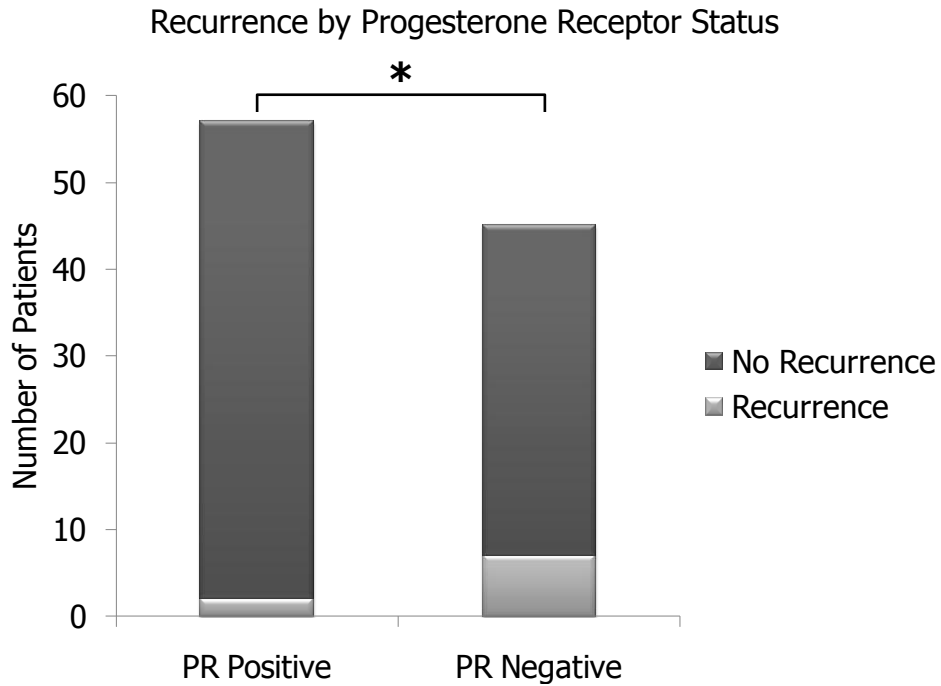


Progression Free Survival for Dichotomised Simpson Grade (n=102)



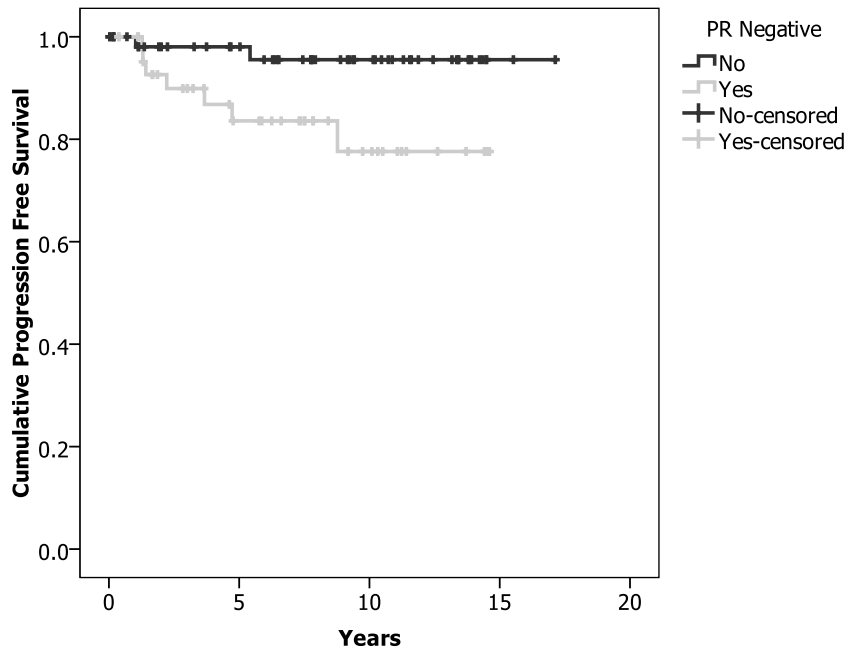
**Figure 2.11 - Kaplan Meier plot of recurrence by dichotomised Simpson grade group. There is a significantly increased risk of recurrence with the grade 3,4 & 5 group (Log rank = 6.748, df = 1, p = 0.009)**

There is an association with progesterone receptor negativity and meningioma recurrence (Figures 2.12 & 2.13).



**Figure 2.12 - Recurrence by progesterone receptor status. There is an increase in recurrence rate for meningiomas that are progesterone receptor negative ( $\chi^2 = 4.536$ ,  $df = 1$ , Fisher's Exact 2-sided  $p = 0.041$ ; Odds ratio = 5.066, 95% CI 0.998 to 25.725)**

Progression Free Survival for Progesterone Receptor Status (n=102)



**Figure 2.13 - Kaplan-Meier plot of recurrence by receptor status. There is a significantly increased risk of recurrence for meningiomas that are progesterone receptor negative (Log rank = 4.760, df = 1, p = 0.029)**

### 2.2.4 Cox Proportional Hazards Model

The significantly associated variables of tumour grade, extent of surgical resection classified by Simpson grade and progesterone receptor status were entered into a proportional hazards model. The overall model was significant with only the dichotomised Simpson grade maintaining significance with atypical/anaplastic histology on the borderline of significance (Table 2.2). In this multivariable model progesterone receptor negativity was not an independent predictor of recurrence.

	P value	Risk Ratio	95% CI for RR	
			Lower	Upper
<b>Atypical/Anaplastic (Tumour grade 2&amp;3)</b>	.070	4.657	.882	24.596
<b>Progesterone Receptor Negative</b>	.431	2.204	.308	15.788
<b>Simpson Grade 3,4 &amp; 5</b>	.042	5.222	1.059	25.745

**Table 2.2 - Cox regression analysis results for factors relating to meningioma progression free survival. Extent of resection as categorized by the dichotomized Simpson grade maintains significance with WHO tumour grade 2 & 3 borderline significant and progesterone receptor status not significant in this model.**

## **Chapter 3 – The effect of neoadjuvant chemotherapy on operative blood loss and surgical resection for Choroid Plexus Carcinomas**

### **3.1 Clinical Material and Methods**

At the Hospital for Sick Children, Toronto, Canada 16 children with a diagnosis of Choroid Plexus Carcinoma were treated between 1982 and 2004. The charts, radiology and histology were reviewed for these patients.

The patients were split into two groups: group 1 consisted of patients who did not receive any chemotherapy prior to the attempt at surgical resection of their tumour (n=8) and group 2 who following an initial biopsy to confirm the diagnosis of their lesion were given neoadjuvant chemotherapy prior to an attempted surgical resection (n=8). The chemotherapy utilised was the ICE regimen (ifosfamide 3 g/m<sup>2</sup> on days 1 and 2, etoposide 150 mg/m<sup>2</sup> on days 1 and 2 and carboplatin 600 mg/m<sup>2</sup> on day 3). The patients received a median of 4 cycles of the ICE chemotherapy prior to the operation (range 2 to 7).

Blood loss for each operation was calculated in terms of red blood cell volume using the previously described technique(16) and the following formula:

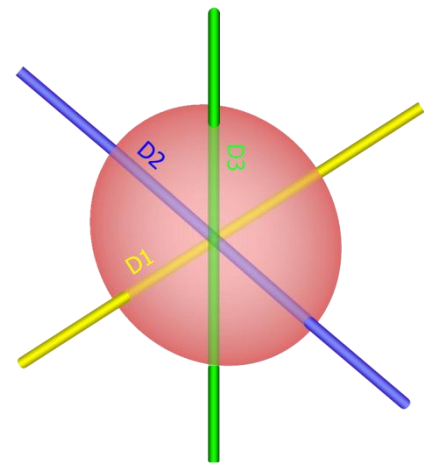
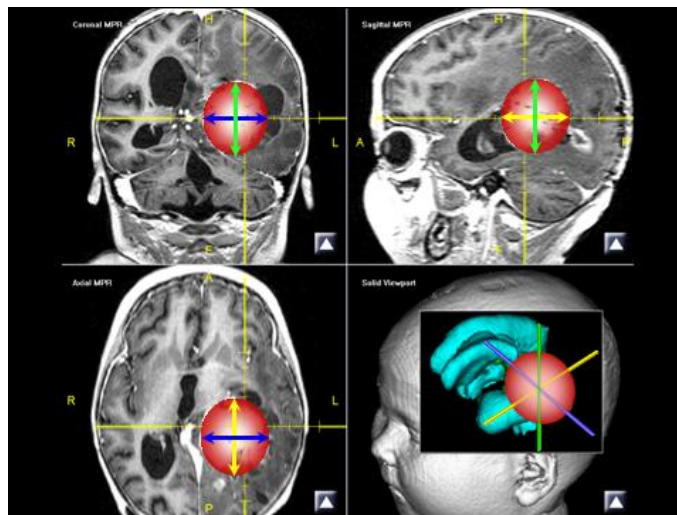
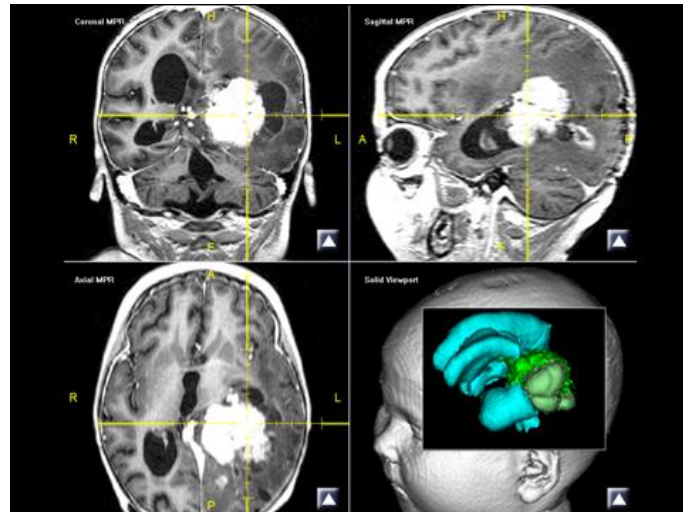
$$\text{CBL} = \text{RBC Volume Transfused} + \text{EBV} \times (\text{Hct Pre Op} - \text{Hct Post Op})$$

CBL, Calculated Blood Loss; RBC, Red Blood Cell; EBV, Estimated Blood Volume (80mls/Kg Weight); Hct, Hematocrit.

Tumour volume was calculated from either CT or MR scans using a 3 dimensional diameter method with the following formula:(17)

$$\text{Volume} = 1/6 \times \pi \times \text{D1} \times \text{D2} \times \text{D3}$$

D1 Anterior-Posterior Diameter; D2 Medial-Lateral Diameter; D3 Superior-Inferior Diameter (Figure 3.1)



**Figure 3.1 - Method for estimating tumour volume. These T1 weighted MR scans with contrast in 3 orthogonal planes demonstrate a choroid plexus carcinoma in the left lateral ventricle. The estimation of tumour volume uses the formula for calculating the volume of a prolate ellipsoid by entering the three orthogonal diameters (D1-3) as measured on the scan.**

### **3.1.1 Statistical Analysis**

Comparison of means was undertaken using t tests with Levene's test for equality of variance. Multivariable linear regression analysis was used to identify the relationship between blood loss and potential predictive variables. Kaplan-Meier plots with the log rank test was used to analyse survival. Statistical analysis was performed using SPSS for Windows release 16.0.2 2008. Chicago: SPSS inc.

## **3.2 Results**

### **3.2.1 General**

The 16 patients consisted of 10 males and 6 females. The median age at presentation of all the patients was 21 months with a median duration of symptoms of 4 weeks. CSF diversion was required in 12 of 16 patients at some point during their treatment. There was no difference in the pre-operative characteristics of the two treatment groups in terms of tumour size, age or weight of patient (Table 3.1). There was one peri-operative death secondary to haemorrhage in a child who had not received pre-operative chemotherapy. This child was excluded from survival analysis.



By Operations	Group 1 No-Chemotherapy (n=13)		Group 2 Pre-Operation Chemotherapy (n=11)		P Value
	Mean	SE	Mean	SE	
Age	3 yr 5 mo	1 yr 3 mo	1 yr 9 mo	7 mo	0.25 NS
Patient Weight (kg)	15.5	4.3	12.9	1.8	0.60 NS
Size of Tumour (cm <sup>3</sup> )	82.1	16.7	55.3	22.5	0.34 NS
By Patient	Group 1 No-Chemotherapy (n=8)		Group 2 Pre-Operation Chemotherapy (n=8)		P Value
	Mean	SE	Mean	SE	
Age	3 yr 7 mo	1 yr 6 mo	2 yr 0 mo	9 mo	0.38 NS
Patient Weight (kg)	15.0	5.0	13.0	2.4	0.72 NS
Size of Tumour (cm <sup>3</sup> )	80.2	20.9	72.0	29.0	0.82 NS

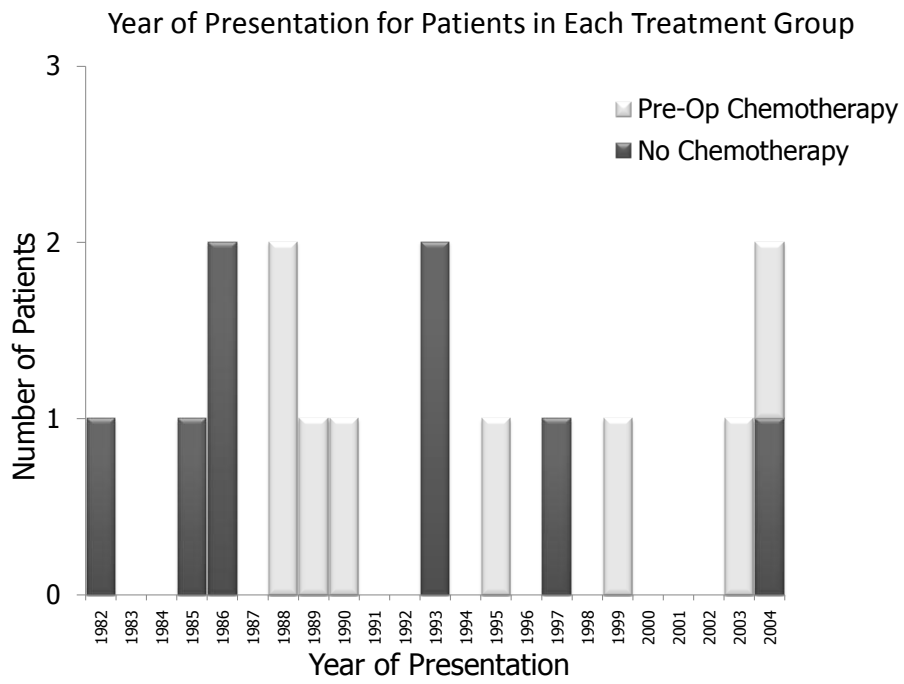
**Table 3.1 – Characteristics of the two treatment groups by operations undertaken and patient. There was no difference in the pre-operative age, weight or size of the tumour between groups.**

One patient experienced marked cerebral oedema following her first cycle of chemotherapy which led to a hemiparesis that ultimately resolved and cortical blindness that was permanent. No other patients developed a permanent neurological deficit whilst on the chemotherapy regimen. The number of cycles of chemotherapy administered was dependent upon both response of the tumour and also the patients clinical condition. Symptoms from mass effect of the tumour with raised intracranial pressure were treated with steroids during the chemotherapy treatment, however

uncontrollable pressure symptoms were often the indication to discontinue chemotherapy and undertake surgical resection of the tumour.

The 16 patients underwent a total of 24 operations where the pre-operative surgical goal was gross total resection. For both groups, operations where only a biopsy was performed (less than 5% of total tumour volume removed) were not included in the analysis of blood loss and tumour volume reduction.

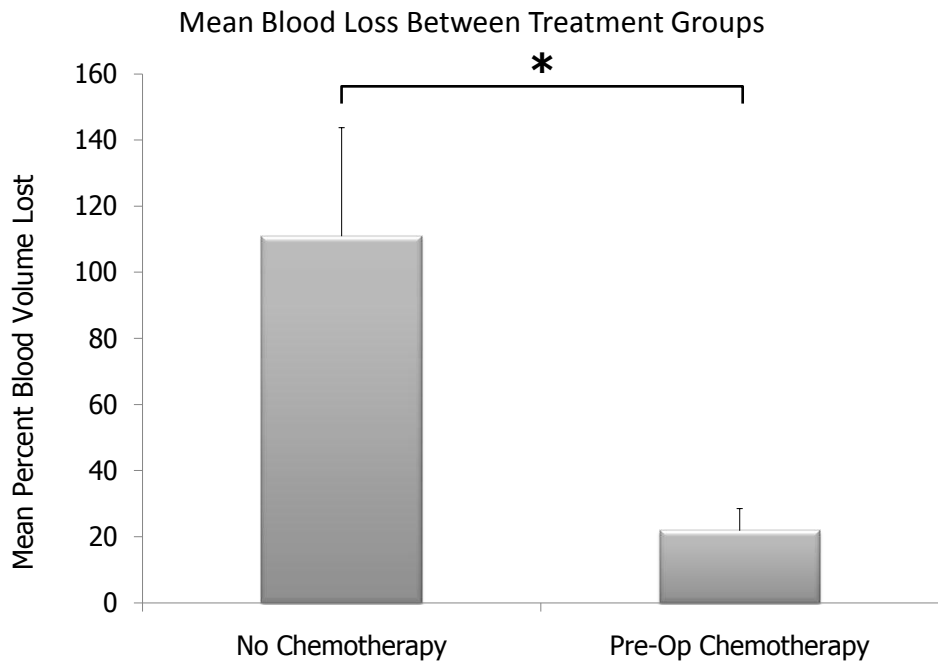
Figure 3.2 shows the year of presentation of each of the patients. The patients in the two treatment groups presented throughout the time period of the study with equal numbers from each group in the first and last 8 patients to present.



**Figure 3.2 - Graph demonstrating the year of presentation of each of the patients in the two treatment groups.**

### 3.2.2 Blood Loss

Operative blood loss was significantly reduced with pre-operative chemotherapy from a mean of 1.11 blood volumes in group 1 (n = 13, SE 0.33) to 0.22 blood volumes in group 2 (n = 11, SE 0.07) (t = -2.66, p = 0.02, two tailed)(Figure 3.3).



**Figure 3.3 - Mean operative blood loss as a percentage of total patient blood volume in the two treatment groups. There was a significant reduction in blood loss in the neoadjuvant chemotherapy group (t = -2.66, p = 0.02, two tailed).**

A linear regression model was set up using blood loss/volume as the dependent variable and post-chemotherapy, age at operation and volume of tumour removed as independent variables. Using the enter method, a significant model emerged (F = 4.125, p = 0.02. Adjusted R<sup>2</sup> = 0.29). P values for predictor variables are shown in table 2 with pre operative chemotherapy being the most significant variable and age at operation also

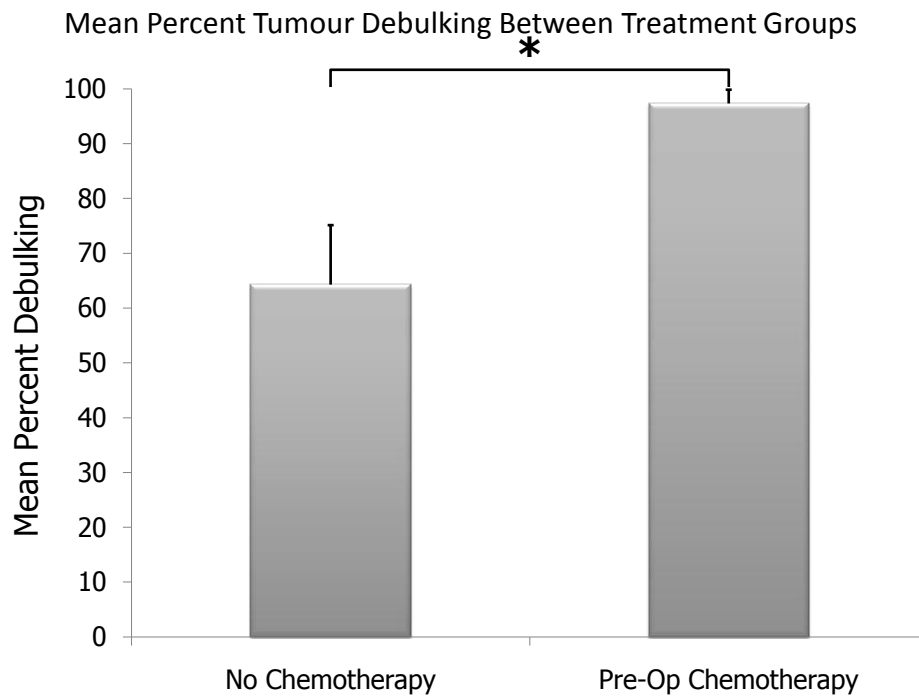
found to be significant. The Beta value in table 2 indicates the relative contribution of the predictor variables to blood loss i.e. being in the post-chemotherapy group contributes 52.9% of the total reduction (given the negative value) of blood loss seen. Volume of tumour removed was not a significant variable in this model.

<b>Predictor variable</b>	<b>Beta</b>	<b>p</b>
Post-chemotherapy	-0.529	p = 0.008**
Age at operation	-0.436	p = 0.042*
Volume of tumour removed	0.343	p = 0.098 NS

**Table 3.2 – The predictors of blood loss in a linear regression model with blood loss/volume the dependent factor. Neoadjuvant was the most significant factor with age at operation also significant but extent of surgical resection becoming non-significant in this model.**

### 3.2.3 Extent of Surgical Resection

Mean percentage of tumour removed was higher in group 2 (97.3%, n = 11) compared with group 1 (64.3%, n = 13) ( $t = 2.969$ ,  $p = 0.01$ )(Figure 3.4).

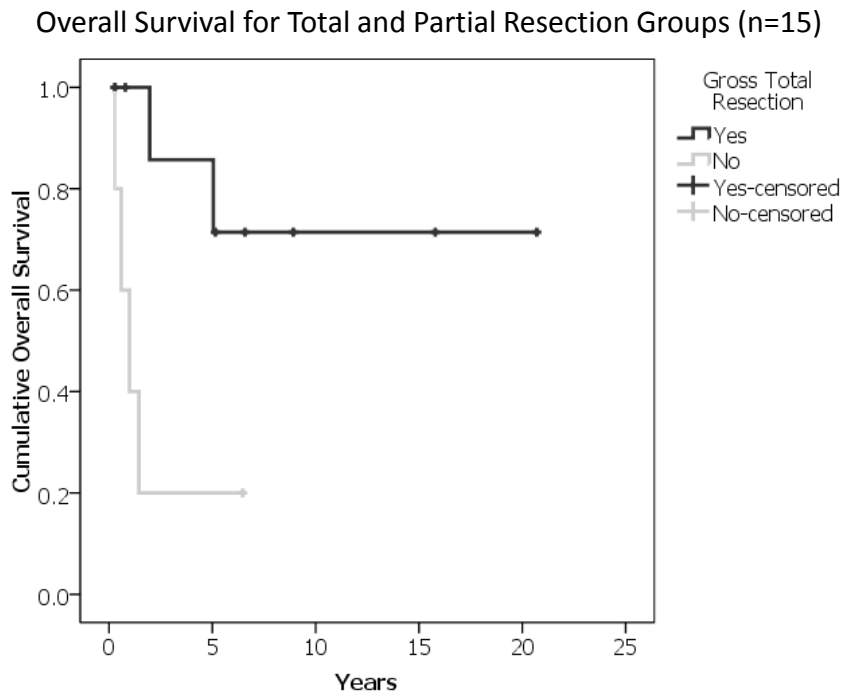


**Figure 3.4 - Mean percent debulking between the two treatment groups. There was a significantly higher mean percent debulking in the neoadjuvant chemotherapy group ( $t = 2.969$ ,  $p = 0.01$ ).**

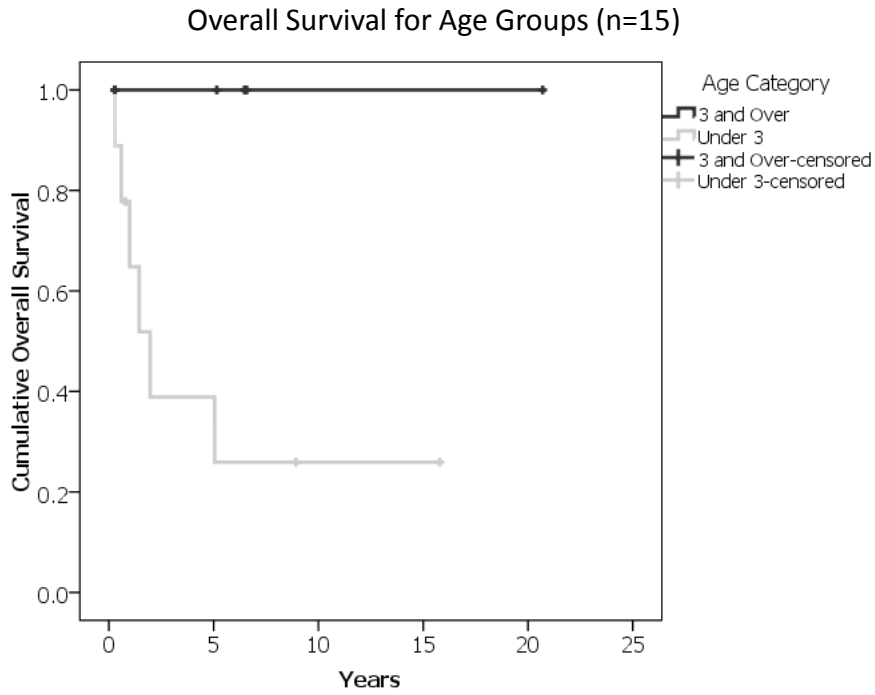
Gross total resection was ultimately achieved in seven out of eight patients in group 2 compared with only 4 out of eight in group 1.

### 3.2.4 Survival

Kaplan-Meier survival analysis showed that gross total resection (Figure 3.5; Log rank = 6.107, df = 1, p=0.013) and age at presentation of 3 years or greater (Figure 3.6; Log rank = 4.860, df = 1, p=0.027) were associated with improved survival.



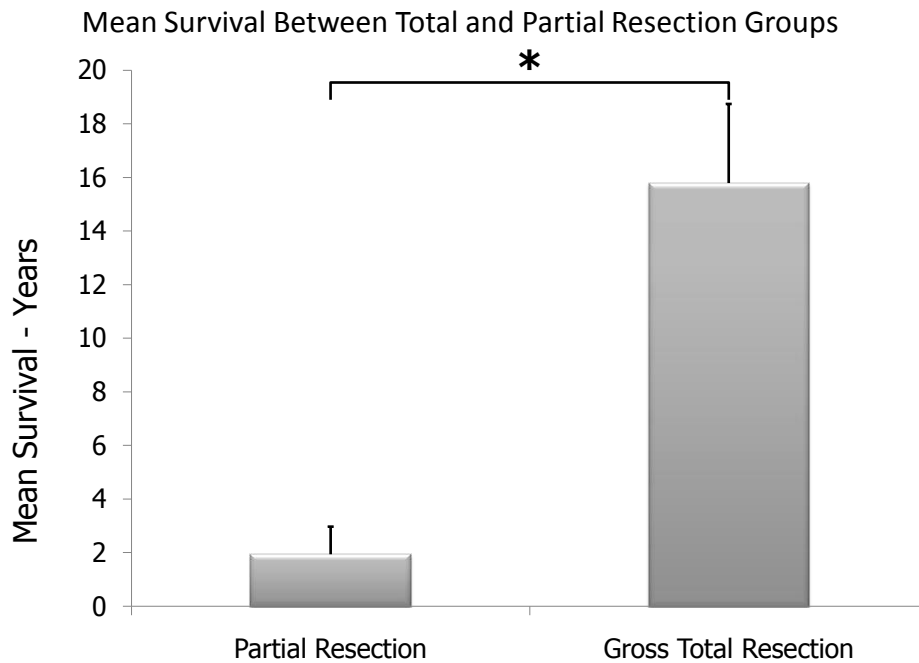
**Figure 3.5 - Kaplan-Meier plot for survival between total and partial resection groups. There is a significant survival advantage for those patients that underwent a gross total resection (Log rank = 6.107, df = 1, p=0.013).**



**Figure 3.6 - Kaplan-Meier plot for survival between age groups. There is a significant survival advantage for patients age 3 and over (Log rank = 4.860, df = 1, p=0.027).**



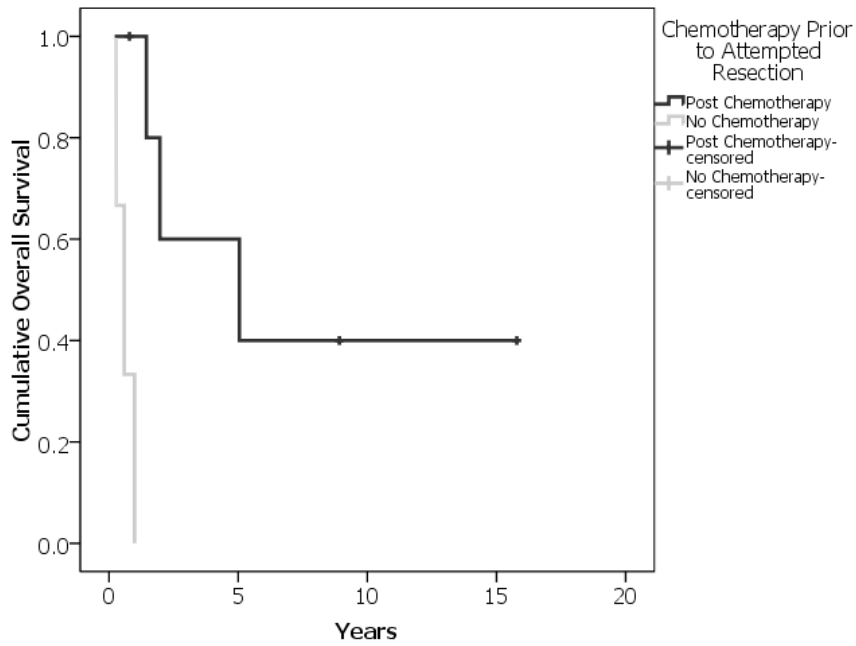
Mean survival was 15 years 9 months (SE 2 years 11 months) in the gross total resection group compared with 1 year 11 months (SE 1 year 0 months) in patients with a partial resection (Figure 3.7).



**Figure 3.7 - Mean survival between total and partial resection groups. There was a significant mean survival advantage for those patients in which a gross total resection was achieved (Log rank = 6.107, df = 1, p=0.013).**

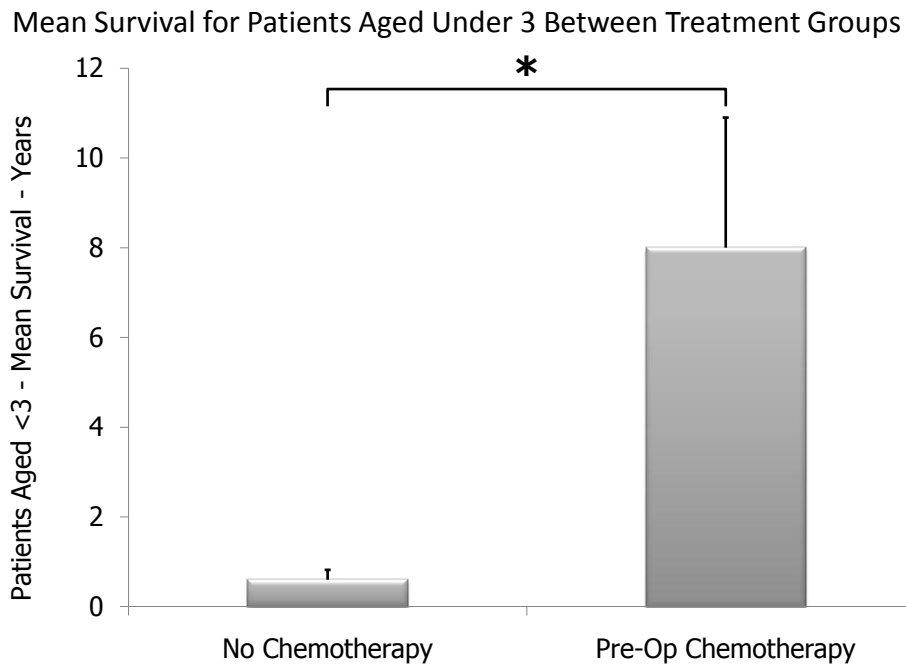
Looking at all the patients there was no survival advantage in the group receiving pre-operative chemotherapy. However, in the under 3 age group (n = 9) there was a significant advantage in following this treatment paradigm (Figure 3.8).

Overall Survival for Age < 3 Years Between Treatment Groups (n=9)



**Figure 3.8 - Kaplan-Meier plot of overall survival between treatment groups in patients under 3 years old. There was a significant survival advantage in the group receiving neoadjuvant chemotherapy (Log rank = 9.228, df = 1, p=0.002).**

For the under 3 year olds, mean survival was 7 months (SE 3 months) in the group not receiving chemotherapy (n = 3) compared to 8 years 0 months (SE 11 months) in those who did (n = 6) (Figure 3.9; Log rank = 9.228, df = 1, p=0.002).



**Figure 3.9 - Mean survival between treatment groups in patients under 3 years old. There is a significant increase in mean survival in those patients receiving neoadjuvant chemotherapy (Log rank = 9.228, df = 1, p=0.002).**

## **Chapter 4 – Single nucleotide polymorphisms of the vascular endothelial growth factor gene and their association with the development and survival of adult cerebral gliomas**

### **4.1 Clinical Material and Methods**

Samples from 129 patients with a confirmed diagnosis of glioma from 1980 to 1997 in the Brigham and Women's/Boston Children's Hospital tumour bank were analysed. Patient samples were either tumour or whole blood and were collected with prospective clinical information under Institutional Review Board approved protocols. In addition 101 healthy adult controls were recruited for the study to donate whole blood. The study adhered to the Declaration of Helsinki Guidelines and required all subjects to give written, informed consent.

Clinical information was retrieved from the prospectively collected database of patients within the tumour bank.

#### **4.1.1 DNA Extraction**

DNA was extracted from tumour or whole blood using Qiagen DNA extraction kits. Genomic DNA was isolated from whole blood and red cell pellets using Qiagen QIAamp DNA blood midi kit (Qiagen Ltd UK, Crawley, West Sussex, UK). Samples of whole blood or red cell pellets were mixed with protease and incubated at 70°C for 10 minutes. After further mixing with absolute ethanol the samples were loaded into QIAamp Midi columns and centrifuge

filtered, allowing DNA to remain within the filter but permitting residual waste to pass through. After two washing steps the entrapped DNA was eluted from the filter with distilled water. Quantification of DNA concentration and purity was performed using a spectrophotometer.

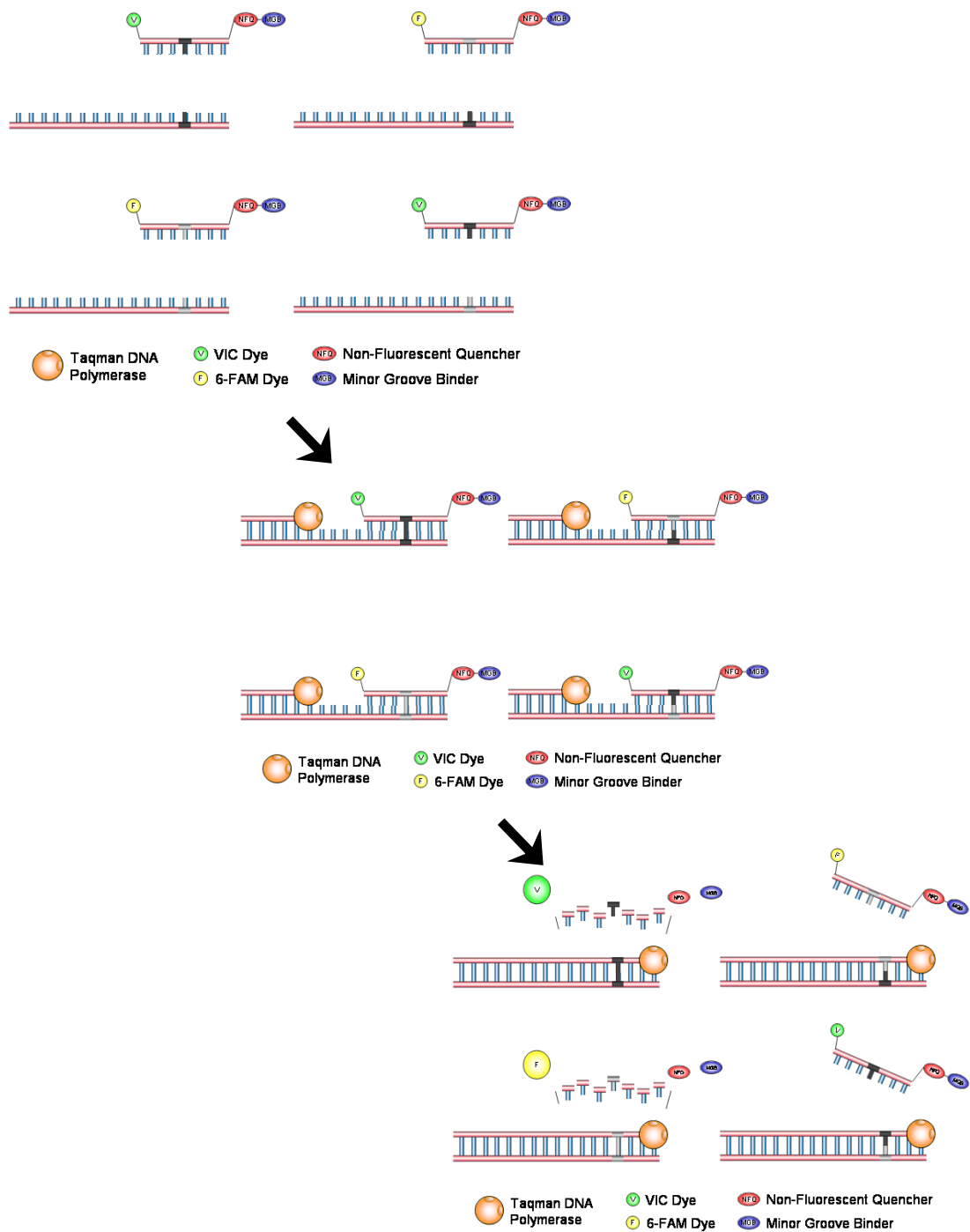
#### **4.1.2 VEGF SNP Genotyping**

Genotyping of the -460 and +405 VEGF SNPs (Genebank numbers rs833061 and rs2010963) was performed using the SNP genotyping assay (Applied Biosystems, Foster City, CA). A 10 $\mu$ l rather than a 25 $\mu$ l reaction volume was used but otherwise the assay was performed according to manufacturer's instructions. The primers and probes were designed using the assays-by-design™ service for the -460 SNP (Applied Biosystems) and were available from the assays-on-demand™ service for the +405 SNP (Applied Biosystems). Each PCR reaction contained 15ng genomic DNA, 5 $\mu$ l TaqMan Universal PCR Master Mix, No AmpErase® UNG, 0.5 $\mu$ l 20X SNP Genotyping Mix, and 4.5 $\mu$ l DNase-free water. PCR and genotyping analysis was performed using 96-well plates on an ABI PRISM® 7000 Sequence Detection System (Applied Biosystems). Thermal cycling conditions consisted of an initial denaturation step at 95°C for 10 minutes followed by 40 cycles at 92°C for 15 seconds (denature) and 60°C for one minute (anneal/extension).

Allelic discrimination using the TaqMan assay is possible because the fluorescence signal generated by PCR amplification is indicative of which alleles are present within the sample. The technique is advantageous over

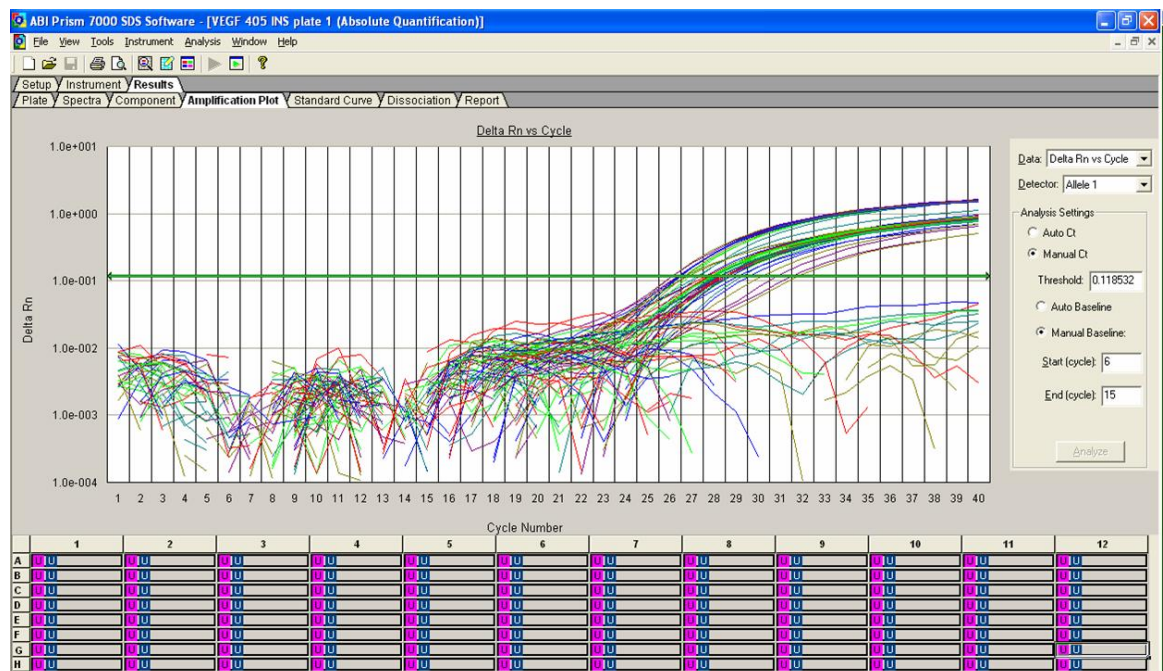
conventional techniques such as PCR-RFLP in that it requires no post-PCR manipulation or agarose gel electrophoresis.

Two allele-specific probes are used which contain reporter and quencher dyes bound to a minor groove binder. After strong probe binding, which is allele-specific, PCR causes probe disintegration (Figure 4.1).



**Figure 4.1 - TaqMan allelic discrimination assay. This utilizes two allele specific probes that when bound strongly with an allelic match, will result in disintegration at the next PCR cycle thus releasing the fluorescent dye from its quencher. If there is no match then the probe will dislodge intact and not cause fluorescence.**

Dissociation between reporter dye and quencher results in a fluorescent emissions which permits allelic discrimination. The TaqMan genotyping method results in allele-specific fluorescent emissions which permits allelic discrimination using a computer software package (Figure 4.2).



**Figure 4.2 - Allelic discrimination software. This program detects the fluorescence giving a read out of the genotype of the DNA in each well.**

Linkage disequilibrium for the -460 and +405 SNPs was investigated using the HelixTree programme (Golden Helix Inc, Montana, USA – [www.goldenhelix.com](http://www.goldenhelix.com)) and the  $D'$  and  $r^2$  normalised co-efficients of disequilibrium were estimated. Haplotypes were determined from the unphased genotype data using the Expectation/Maximization (EM) algorithm and assigned to individuals using SNPHAP software (<http://www->



gene.cimr.cam.ac.uk/clayton/software/snphap.txt ). This required confirmation that the populations were in Hardy-Weinberg equilibrium.<sup>30</sup>

#### **4.1.3 Statistical Analysis**

Hardy-Weinberg equilibrium for cases and controls at both the -460 and +405 SNPs was tested using Chi squared. The Chi squared test with the Mantel-Haenszel estimate of common odds ratio was undertaken to compare differences in the observed genotype and haplotype frequencies. Kaplan-Meier survival analysis with log rank testing and the Cox proportional hazards model were used to estimate differences in survival.

## 4.2 Results

### 4.2.1 General

Ethnicity was similar in the patient and control groups ( $\chi^2 = 5.146$ ,  $df = 3$ ,  $p = 0.161$ ). There was a male predominance in the glioma group compared to the controls; however, there was no gender difference in -460 or +405 genotype frequency for either group (-460  $\chi^2 = 0.545$ ,  $df = 2$ ,  $p = 0.761$ ; +405  $\chi^2 = 3.236$ ,  $df = 2$ ,  $p = 0.198$ ) (Table 4.1).

		Total	VEGF -460 Genotype			$\chi^2$ p value	VEGF +405 Genotype			$\chi^2$ p value
			CC (%)	CT (%)	TT (%)	CC (%)	CG (%)	GG (%)		
<b>Controls</b>	All Controls	101	21 (21)	60 (59)	20 (20)	0.117	7 (7)	48 (47.5)	46 (45.5)	0.226
	Male Controls	26	8 (31)	16 (61)	2 (8)		0 (0)	12 (46)	14 (54)	
	Female Controls	75	13 (17)	44 (59)	18 (24)		7 (9)	36 (48)	32 (43)	
<b>Patients</b>	All Patients	129	29 (22)	54 (42)	46 (36)	0.014* vs. control	19 (15)	53 (41)	57 (44)	0.165 vs. control
<b>Gender</b>	Male	77	19 (25)	31 (40)	27 (35)	0.761	10 (13)	28 (36)	39 (51)	0.198
	Female	52	10 (19)	23 (44)	19 (37)		9 (17)	25 (48)	18 (35)	
<b>Histology</b>	Astrocytoma	51	13 (26)	22 (43)	16 (31)	0.055	6 (12)	21 (41)	24 (47)	0.219
	Oligodendroglioma	21	1 (5)	9 (43)	11 (52)		6 (29)	10 (48)	5 (24)	
	Oligoastrocytoma	19	6 (31.5)	7 (37)	6 (31.5)		4 (21)	6 (32)	9 (47)	
	Neuroepithelial	4	1 (25)	3 (75)	0 (0)		0 (0)	2 (50)	2 (50)	
	Glioblastoma	34	8 (24)	13 (38)	13 (38)		3 (9)	14 (41)	17 (50)	
<b>WHO Tumour Grade</b>	Grade 1		1 (12.5)	4 (50)	3 (37.5)	0.723	2 (25)	0 (0)	6 (75)	0.200
	Grade 2		9 (35)	9 (35)	8 (30)		3 (12)	11 (42)	12 (46)	
	Grade 3		11 (18)	28 (46)	22 (36)		11 (18)	28 (46)	22 (36)	
	Grade 4		8 (24)	13 (38)	13 (38)		3 (9)	14 (41)	17 (50)	

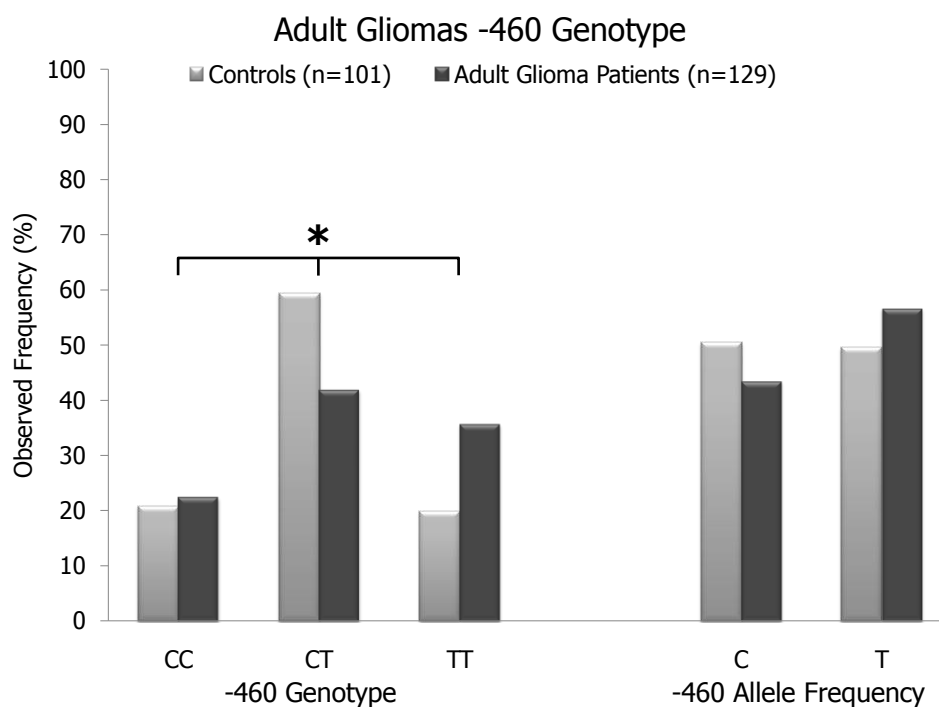
**Table 4.1 – Distribution of genotypes for adult gliomas. For overall genotype there was a significant difference between patients and controls in distribution of frequencies at the VEGF -460 locus. There was no difference in frequencies within the groups of gender, histology or tumour grade at either locus.**

Histological subtypes of the gliomas were 51 (40%) Astrocytomas, 21 (16%) Oligodendrogliomas, 19 (15%) Oligoastrocytomas, 34 (26%) Glioblastomas and 4 (3%) Neuroepithelial tumours. The tumour grades for the study population were 8 (6%) grade one, 26 (20%) grade two, 61 (47%) grade three and 34 (27%) grade four.

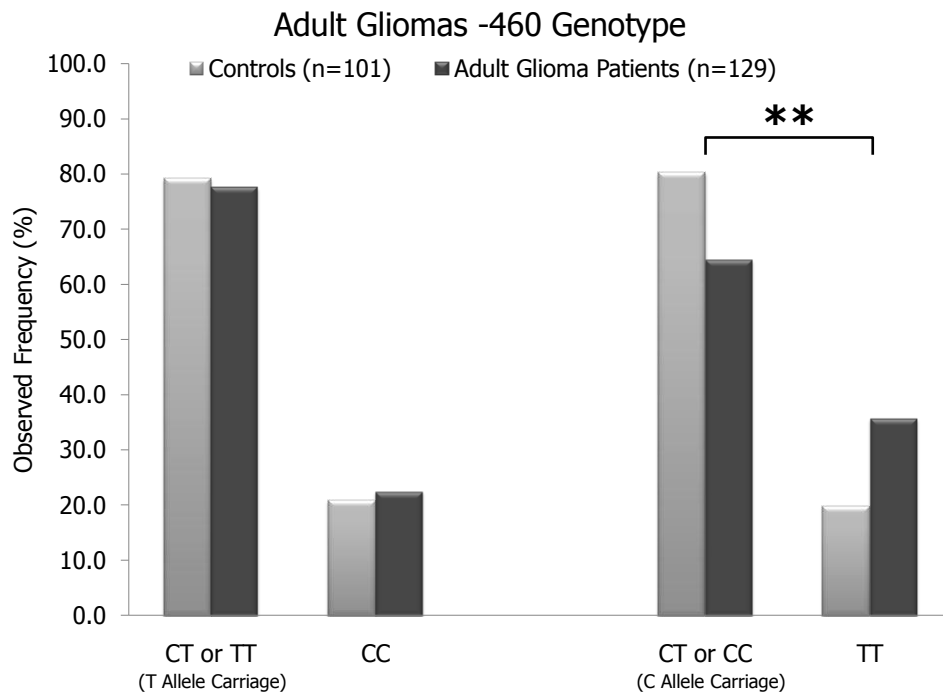
#### 4.2.2 VEGF -460 Locus

At the VEGF -460 locus the overall distribution of genotype frequencies was significantly different to controls (Figure 4.3;  $\chi^2 = 8.556$ ,  $df = 2$ ,  $p = 0.014$ ).

The main factor for this was a significantly increased frequency of the TT genotype compared to controls (Figure 4.4; TT vs. non-TT  $\chi^2 = 6.961$ ,  $df = 1$ ,  $p = 0.008$ ; Odds ratio = 2.245, 95% CI 1.222 - 4.121).



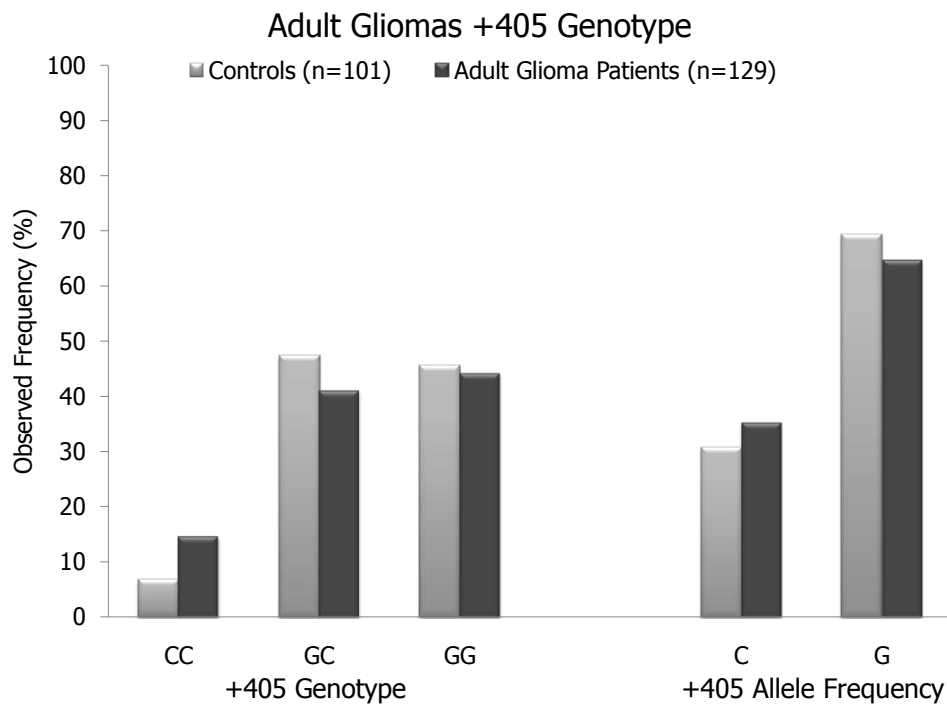
**Figure 4.3 - Distribution of genotypes at the VEGF -460 locus. There was a significant difference in frequency of genotypes between glioma patients and controls ( $\chi^2 = 8.556$ ,  $df = 2$ ,  $p = 0.014$ ) but no difference in allele frequency ( $\chi^2 = 2.285$ ,  $df = 1$ ,  $p = 0.131$ ).**



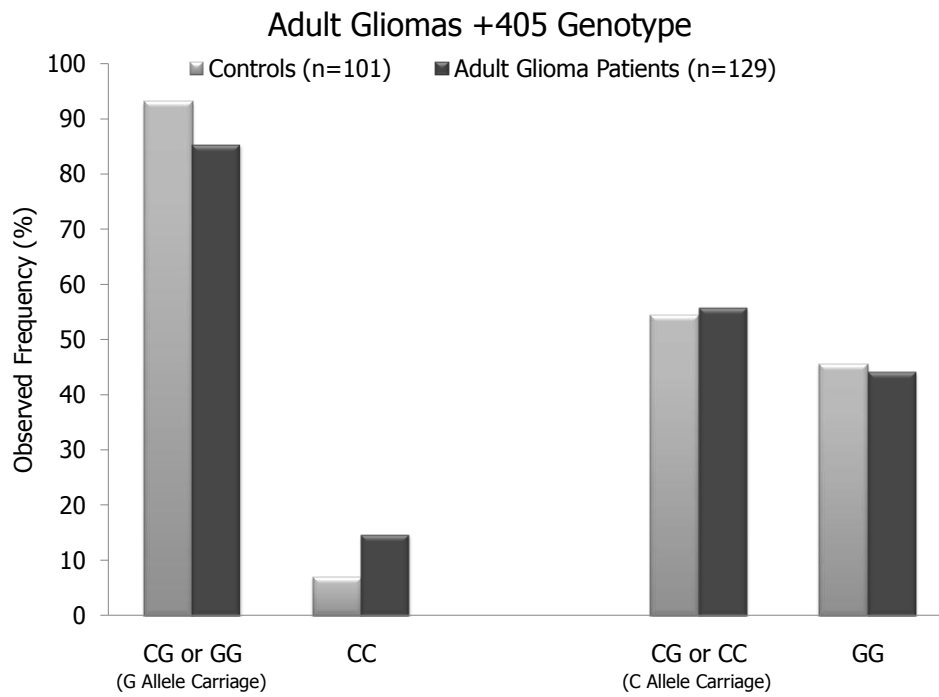
**Figure 4.4 - Distribution of homozygous genotypes at the VEGF -460 locus in adult gliomas. There was a significant increase in the TT homozygous genotype in glioma patients compared to controls (TT vs. non-TT  $\chi^2 = 6.961$ ,  $df = 1$ ,  $p = 0.008$ ; Odds ratio = 2.245, 95% CI 1.222 - 4.121).**

### 4.2.3 VEGF +405 Locus

At the +405 locus there was no overall difference in genotype frequencies (Figure 4.5;  $\chi^2 = 3.605$ ,  $df = 1$ ,  $p = 0.165$ ) but there was a trend of increased CC genotype that did not quite reach statistical significance (Figure 4.6; CC vs. non-CC  $\chi^2 = 3.436$ ,  $p = 0.06$ ; Odds ratio = 2.319, 95% CI 0.934 – 5.758).



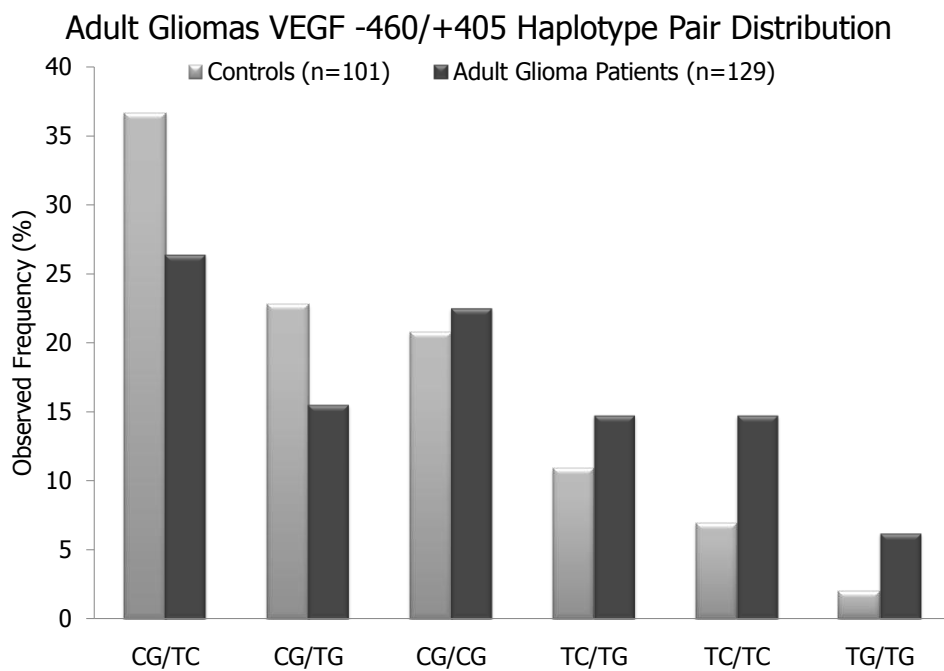
**Figure 4.5 - Distribution of genotype and allele frequencies at the VEGF +405 locus. There was no difference between in either genotype or allele frequency between glioma patients and controls (Genotype  $\chi^2 = 3.605$ ,  $df = 2$ ,  $p = 0.165$ ; Allele  $\chi^2 = 1.070$ ,  $df = 1$ ,  $p = 0.300$ ).**



**Figure 4.6 - Distribution of homozygous genotypes at the VEGF +405 locus in adult gliomas. There was a trend towards increase in the CC homozygous genotype in glioma patients compared to controls (CC vs. non-CC  $\chi^2 = 3.436$ ,  $df = 1$ ,  $p = 0.064$ ; Odds ratio = 2.319, 95% CI 0.934 – 5.758).**

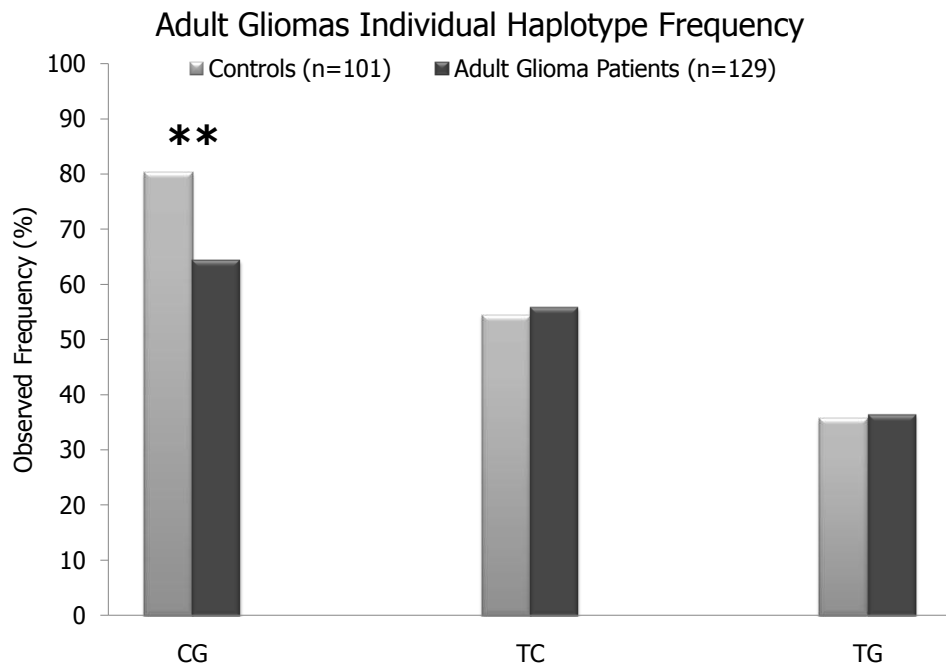
#### 4.2.4 Haplotype Analysis

There was no significant difference in overall distribution of haplotype pairs comparing adult gliomas to controls (Figure 4.7). There was however, in the glioma group a significant reduction in the frequency of subjects that carried a copy of the CG haplotype (Figure 4.8).



**Figure 4.7 - Distribution of haplotype pairs for the VEGF -460/+405 haplotype in adult gliomas. There was no significant difference in haplotype pair frequencies ( $\chi^2 = 9.622$ , df = 5,  $p = 0.087$ ).**





**Figure 4.8 - Individual haplotype frequencies for the VEGF -460/+405 haplotype. There was a significantly reduced frequency of the CG haplotype in adult glioma patients compared to controls ( $\chi^2 = 6.961$ ,  $df = 1$ ,  $p = 0.008$ ; Odds ratio = 0.446, 95% CI 0.243 to 0.818).**

#### **4.2.5 Tumour Grade**

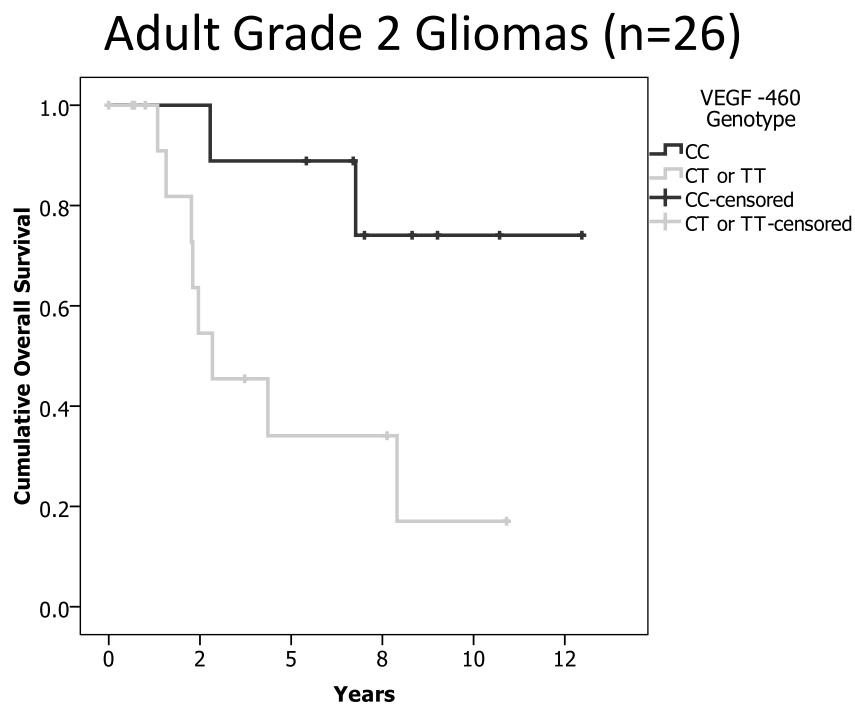
There was no difference in tumour grade for any genotype of either the -460

( $\chi^2 = 3.656$ ,  $df = 6$ ,  $p = 0.723$ ) or +405 SNPs ( $\chi^2 = 8.563$ ,  $df = 6$ ,  $p =$

0.200) (Table 4.1).

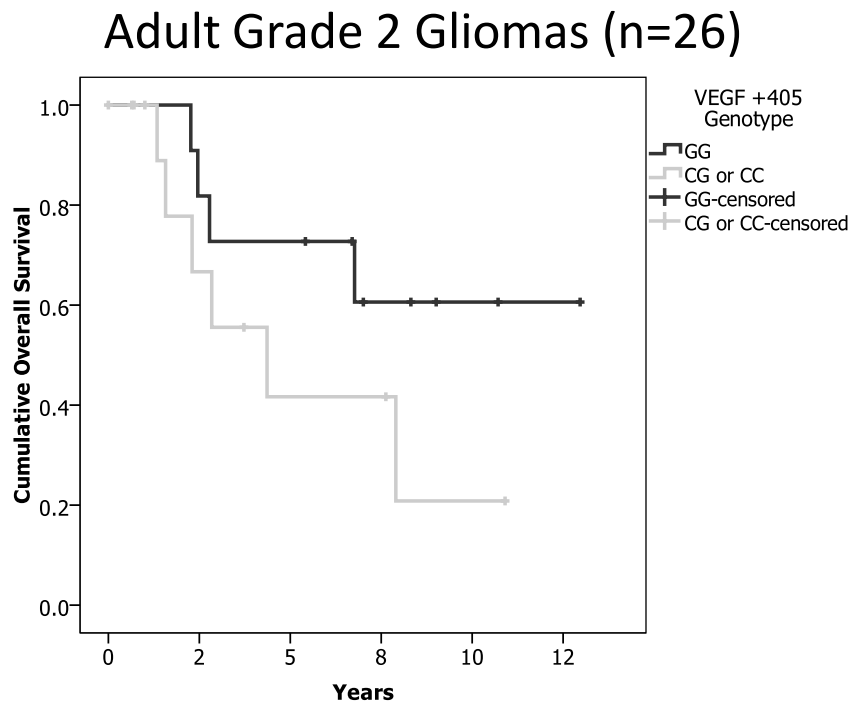
#### 4.2.6 Survival Analysis

Kaplan-Meier analysis of the grade 2 tumours (n=26) shows a significant survival advantage for the CC genotype (i.e. absence of the T allele) of the -460 SNP, with mean survival of 10.9 years compared to 5.3 years for non-CC genotypes (Figure 4.9; Log rank = 5.679, df = 1, p = 0.017). Five year survival was 89% for the -460 CC genotype group compared to 45% for the non-CC group ( $\chi^2 = 4.683$ , df = 1, p = 0.027).



**Figure 4.9 - Kaplan-Meier plot of overall survival in the grade 2 glioma patients between CC and non-CC genotypes at the VEGF -460 locus. There was a significant survival advantage for the CC genotype patients (Log rank = 5.679, df = 1, p = 0.017)**

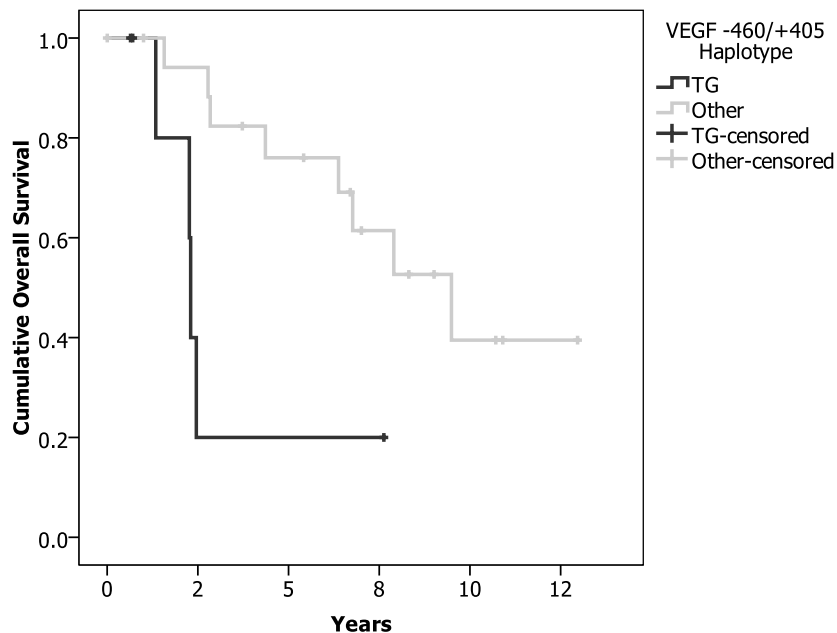
For the grade 2 tumours at the +405 locus there appeared to be spreading of the Kaplan-Meier survival lines but this was not statistically significant (Figure 4.10; Log rank = 1.915, df = 1, p = 0.166).



**Figure 4.10 - Kaplan-Meier plot of survival in adult grade 2 gliomas between VEGF +405 genotypes. There was spreading of the lines but no significant difference in survival comparing GG to non-GG genotypes (Log rank = 1.915, df = 1, p = 0.166).**

Kaplan-Meier analysis of the grade 2 tumour patient's -460/+405 haplotype assignments showed a significantly worse survival for patients who have the TG haplotype on either chromosome with mean survival of 3.2 years compared to 8.7 years for patients without the TG haplotype (Figure 4.11; Log rank = 7.01, df = 1, p = 0.008). Five year survival figures were 20% for those patients with TG haplotype on either chromosome compared to 76% for the Non-TG haplotypes ( $\chi^2 = 4.877$ , df = 1, p = 0.027).

### Adult Grade 2 Gliomas (n=26)



**Figure 4.11 - Kaplan-Meier plot of survival in adult grade 2 gliomas between presence and absence of the VEGF -460/+405 TG haplotype. There was a significant worsening in survival if the TG haplotype was present on either chromosome (Log rank = 7.01, df = 1, p = 0.008)**

For our group of grade 2 glioma patients Kaplan-Meier survival analysis for both age and Oligodendroglial histological subtype did not show any survival difference (Log rank = 0.46 - 1.34, p = 0.795 - 0.247) and these factors

were therefore not entered into further proportional hazards assessment for this population.

#### 4.2.7 Cox proportional hazard model

Cox proportional hazards analysis was performed with -460 CC vs. Non-CC genotype and -460/+405 TG vs. Non-TG Haplotype as factors (Table 1).

Significance was maintained in the model for both factors with risk ratios of 5.3 for CC genotype (improved survival) and 5.0 for TG haplotype (worsened survival).

	P value	Risk Ratio	95% CI for RR	
			Lower	Upper
<b>CC Genotype (Improved Survival)</b>	0.032	5.304	1.155	24.355
<b>TG Haplotype (Worsened Survival)</b>	0.016	5.010	1.351	18.575

**Table 4.2 – Cox regression analysis results for VEGF -460 CC genotype and -460 / +405 TG Haplotype. Significance is maintained for both factors in the model.**

## **Chapter 5 – Single nucleotide polymorphisms of the vascular endothelial growth factor gene and their association with the development and survival of paediatric brain tumours**

### **5.1 Clinical Material and Methods**

This study utilised the Ontario molecular-epidemiological, case-control database of childhood brain tumours. This was a Government backed, Province wide initiative in Ontario, Canada, that recruited all children with brain tumours along with age and gender matched healthy controls identified through Ontario Government records between 1997 and 2005. Controls were recruited on a two per case basis. Cases and controls donated whole blood for the purpose of DNA extraction. The study was subject to ethical committee approval and written, informed consent from all parents was obtained.

Clinical information was retrieved from the prospectively collected database of patients within the tumour bank which is held at the Hospital for Sick Children in Toronto. Extent of resection was assessed using both operative reports and post operative radiological reports.

#### **5.1.1 DNA Extraction**

See Chapter 3.1.1

#### **5.1.2 VEGF SNP Genotyping**

See Chapter 3.1.2

### **5.1.3 Statistical Analysis**

Hardy-Weinberg equilibrium for cases and controls at both the -460 and +405 SNPs was tested using Chi squared. The Chi squared test with the Mantel-Haenszel estimate of common odds ratio was undertaken to compare differences in the observed genotype and haplotype frequencies. Kaplan-Meier survival analysis with log rank testing and the Cox proportional hazards model were used to estimate differences in survival.

## 5.2 Results

There were 193 cases representing the spectrum of paediatric brain tumours (Figure 5.1).

# Paediatric Tumour Diagnoses

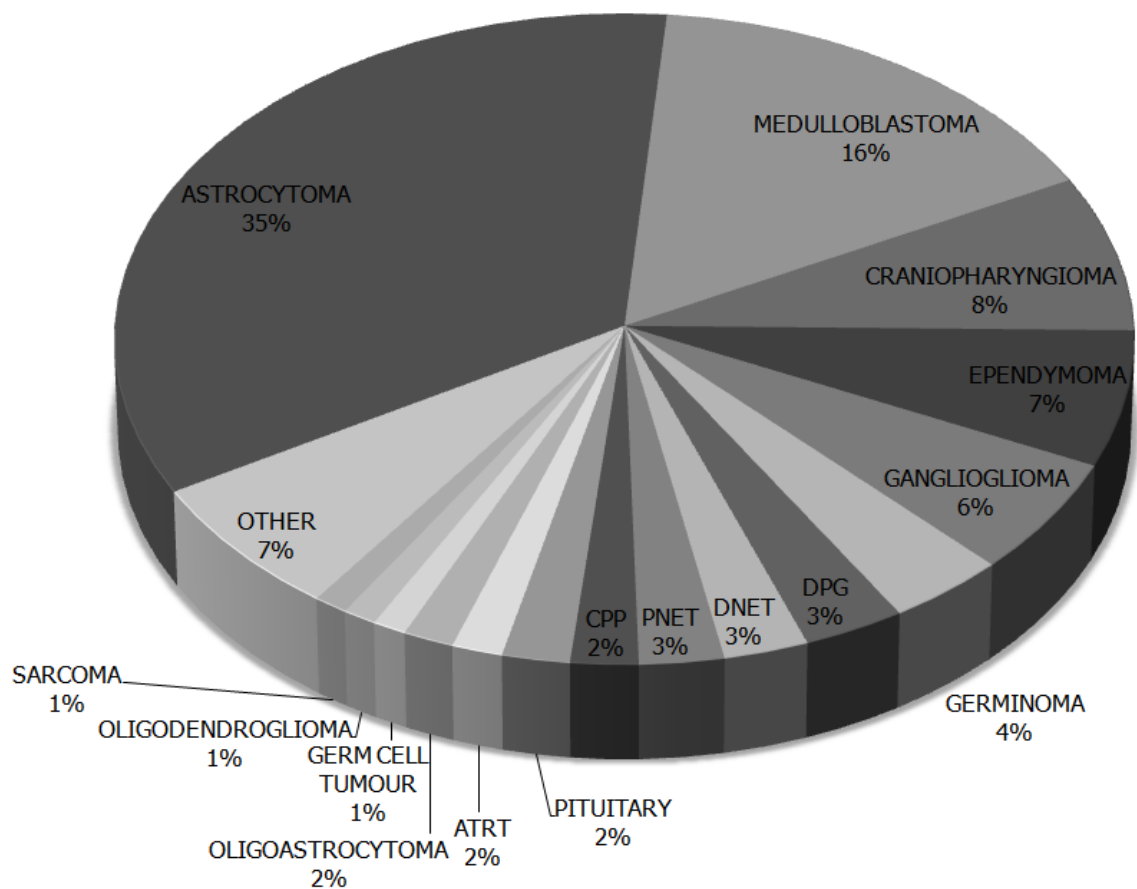


Figure 5.1 – Frequency of histological paediatric tumour types in the study (n = 193).

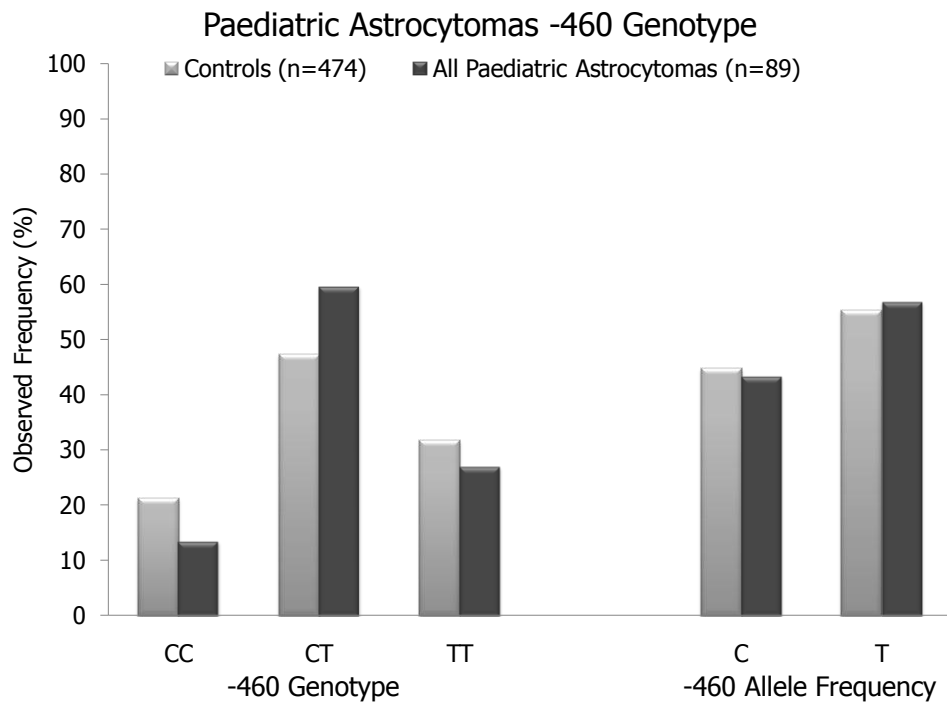


### 5.2.1 VEGF -460 Locus

Looking at the overall group of paediatric brain tumours there was no difference in the distribution of genotypes at the -460 locus (Table 5.1). For all patients with Astrocytomas (n=89), there was no difference in either the genotype distribution or allele frequency at -460 (Figure 5.2).

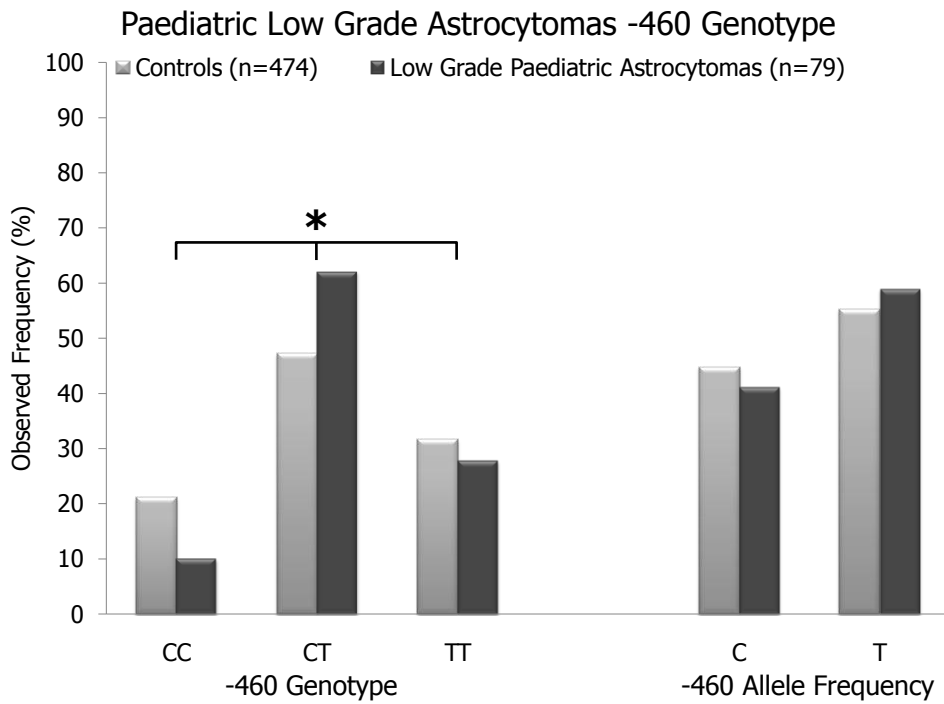
		Total	VEGF -460 Genotype			$\chi^2$ p value	VEGF +405 Genotype			$\chi^2$ p value
			CC (%)	CT (%)	TT (%)	CC (%)	CG (%)	GG (%)		
<b>Controls</b>	All Controls	474	100 (21)	224 (47)	150 (32)		42 (9)	208 (44)	224 (47)	
<b>Patients</b>	All Patients	193	42 (22)	94 (49)	57 (29)	0.867 <sup>a</sup>	24 (12)	87 (45)	82 (43)	0.287 <sup>a</sup>
<b>Histology</b>	Astrocytoma	89	12 (13)	53 (60)	24 (27)	0.081 <sup>a</sup>	12 (14)	44 (49)	33 (37)	0.143 <sup>a</sup>
	Low Gr Astrocytoma	79	8 (10)	49 (62)	22 (28)	0.024 <sup>a*</sup>	12 (15)	39 (50)	28 (35)	0.070 <sup>a</sup>
	Medulloblastoma	31	8 (26)	12 (39)	11 (35)	0.640 <sup>a</sup>	5 (16)	13 (42)	13 (42)	0.396 <sup>a</sup>
	Ependymoma	13	3 (23)	7 (54)	3 (23)	0.805 <sup>a</sup>	1 (8)	5 (38)	7 (54)	0.896 <sup>a</sup>
<b>Gender</b>	Male	112	25 (22)	53 (47)	34 (31)	0.959 <sup>b</sup>	18 (16)	45 (40)	49 (44)	0.265 <sup>b</sup>
	Female	78	16 (21)	40 (51)	22 (28)		6 (8)	41 (52)	31 (40)	
<b>WHO Tumour Grade</b>	Grade 1	108	18 (17)	59 (54)	31 (29)	0.374 <sup>b</sup>	13 (12)	50 (46)	45 (42)	0.999 <sup>b</sup>
	Grade 2	11	3 (27)	5 (46)	3 (27)		1 (9)	5 (45.5)	5 (45.5)	
	Grade 3	22	7 (32)	11 (50)	4 (18)		3 (14)	10 (45)	9 (41)	
	Grade 4	38	11 (29)	14 (37)	13 (34)		4 (10)	17 (45)	17 (45)	

**Table 5.1 – Distribution of genotypes for paediatric tumours at the VEGF -460 and +405 Loci. Looking at overall genotype distribution there was a significant difference between low grade astrocytomas and controls at the VEGF -460 locus. <sup>a</sup>  $\chi^2$  p value comparing genotype distribution of factor with control. <sup>b</sup>  $\chi^2$  p value comparing genotype distribution within the group.**

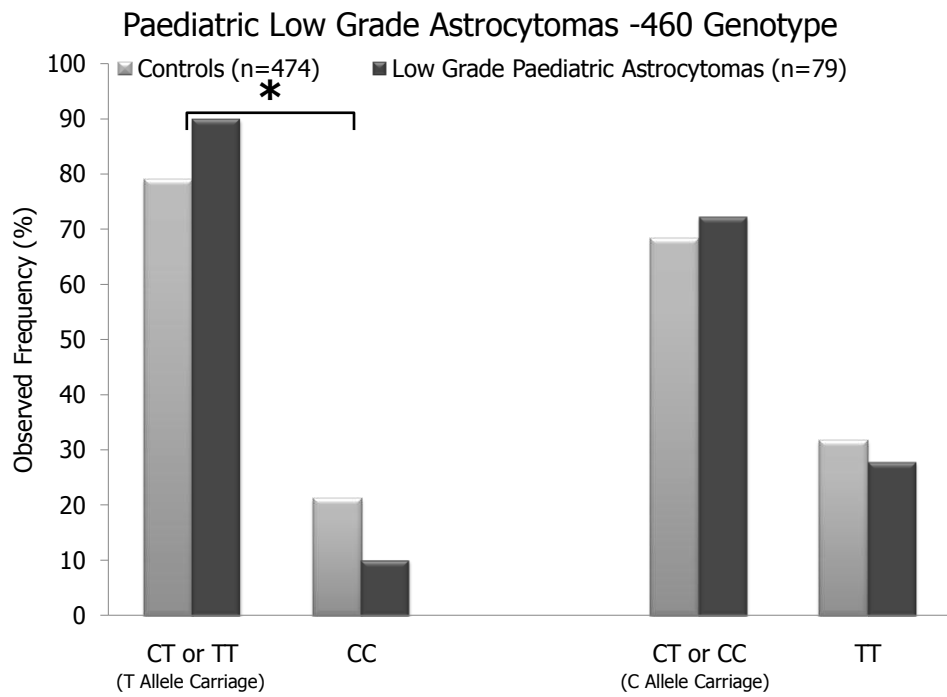


**Figure 5.2 - Genotype and allele frequencies for all paediatric astrocytomas at the VEGF - 460 locus. There were no differences in either genotype or allele frequencies between cases and controls (Genotype  $\chi^2 = 3.887$ ,  $df = 2$ ,  $p = 0.143$ ; Allele  $\chi^2 = 0.013$ ,  $df = 1$ ,  $p = 0.908$ ).**

However looking at the low grade (grades 1 & 2) glioma group (n=79), there was an overall difference in genotype distribution with an increased frequency of the heterozygous CT genotype and a decrease in the homozygous CC genotype (Figures 5.3 & 5.4).



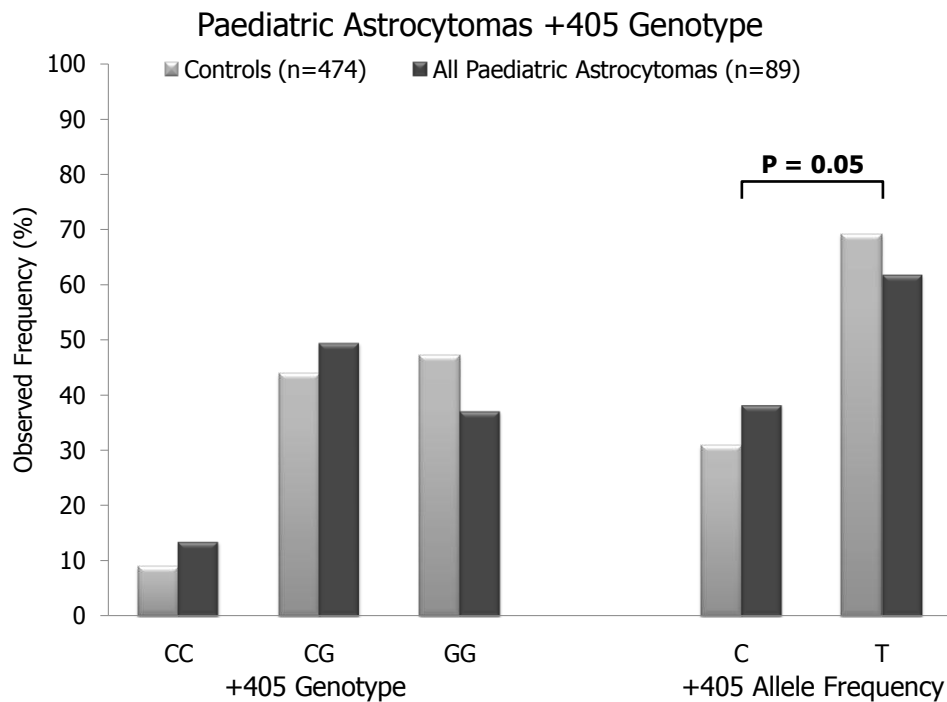
**Figure 5.3 - Distribution of genotype and allele frequency for paediatric low grade astrocytomas at the VEGF -460 locus. There was a significant difference in genotype frequency but not allele frequency between cases and controls (Genotype  $\chi^2 = 7.478$ , df = 2, p = 0.024; Allele  $\chi^2 = 0.706$ , df = 1, p = 0.401).**



**Figure 5.4 - Distribution of homozygous genotypes at the VEGF -460 locus for low grade paediatric astrocytomas. There was a significant decrease in the CC homozygous genotype in low grade astrocytoma patients compared to controls (CC vs. non-CC  $\chi^2 = 5.186$ ,  $df = 1$ ,  $p = 0.023$ ; Odds ratio = 0.421, 95% CI 0.196 to 0.904).**

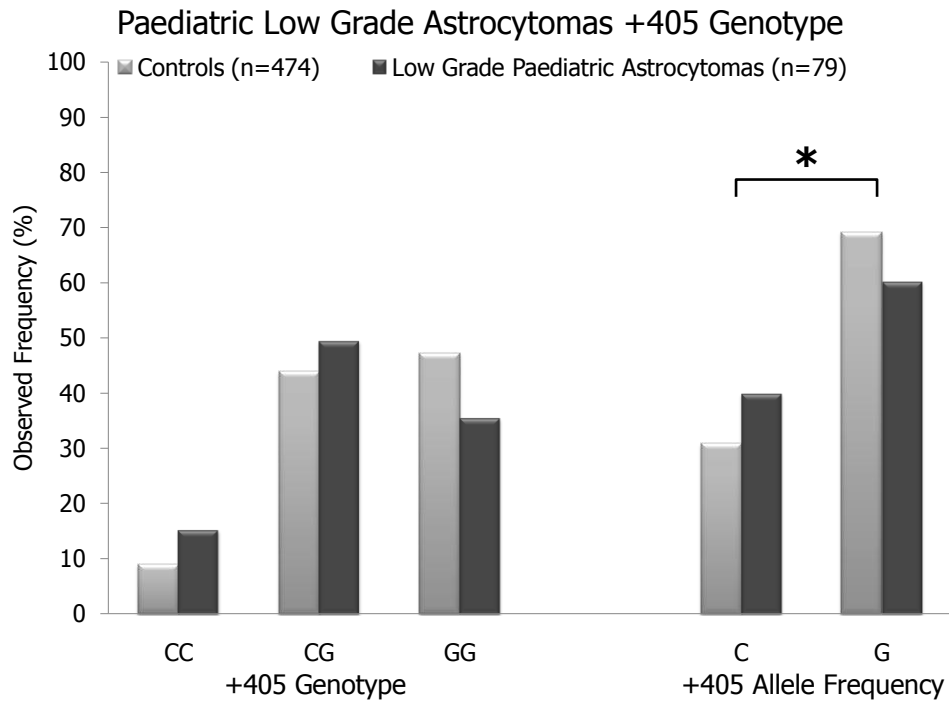
### 5.2.2 VEGF +405 Locus

Again, looking at all tumour types combined, there was no difference in genotype or allele frequency at the +405 locus. For the group of Astrocytomas, there was a borderline significant increase in C allele frequency at +405 (Figure 5.5).

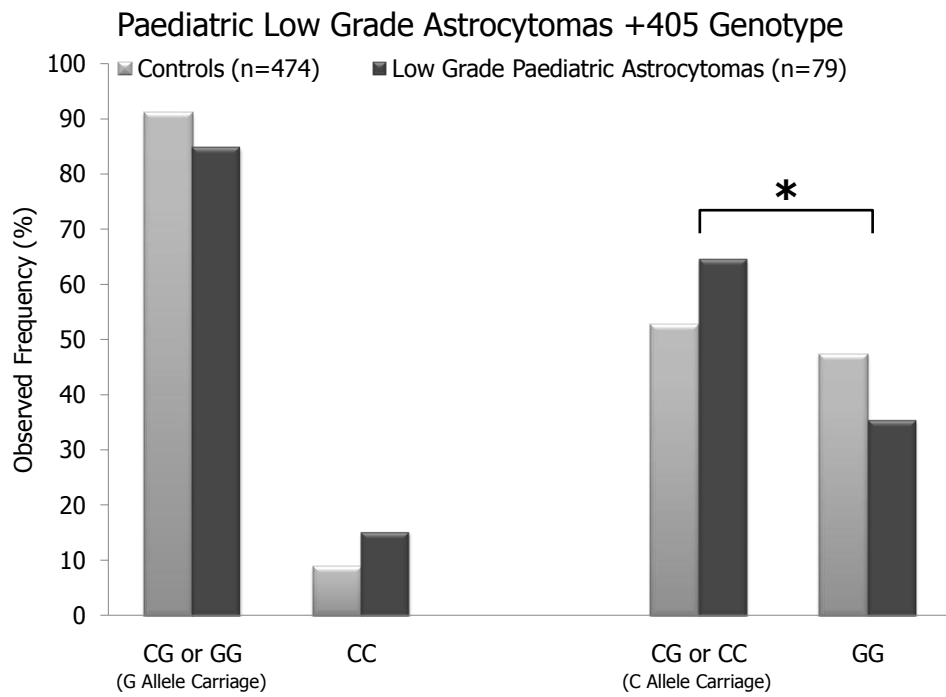


**Figure 5.5 - Distribution of genotype and allele frequency for all paediatric astrocytomas at the VEGF +405 locus. There was a significant difference in allele frequency but not genotype frequency between cases and controls (Genotype  $\chi^2 = 3.887$ ,  $df = 2$ ,  $p = 0.143$ ; Allele  $\chi^2 = 3.774$ ,  $df = 1$ ,  $p = 0.052$ ).**

For the low grade gliomas there was a significant increase in C allele frequency with a decrease in homozygous GG frequency at +405 (Figures 5.6 & 5.7).



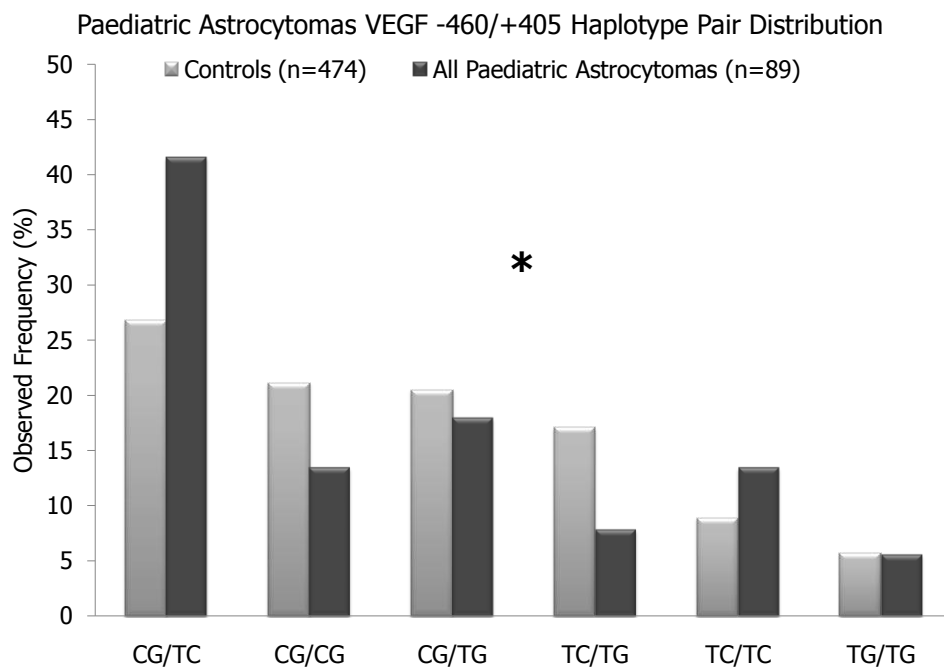
**Figure 5.6 - Distribution of genotype and allele frequency for paediatric low grade astrocytomas at the VEGF +405 locus. There was a significant increase in C allele frequency but not genotype frequency between cases and controls (Genotype  $\chi^2 = 5.308$ ,  $df = 2$ ,  $p = 0.070$ ; Allele  $\chi^2 = 5.114$ ,  $df = 1$ ,  $p = 0.024$ ).**



**Figure 5.7 - Distribution of homozygous genotypes at the VEGF +405 locus for low grade paediatric astrocytomas. There was a significant decrease in the GG homozygous genotype in low grade astrocytoma patients compared to controls (GG vs. non-GG  $\chi^2 = 3.873$ ,  $df = 1$ ,  $p = 0.049$ ; Odds ratio = 0.610, 95% CI 0.372 to 1.001).**

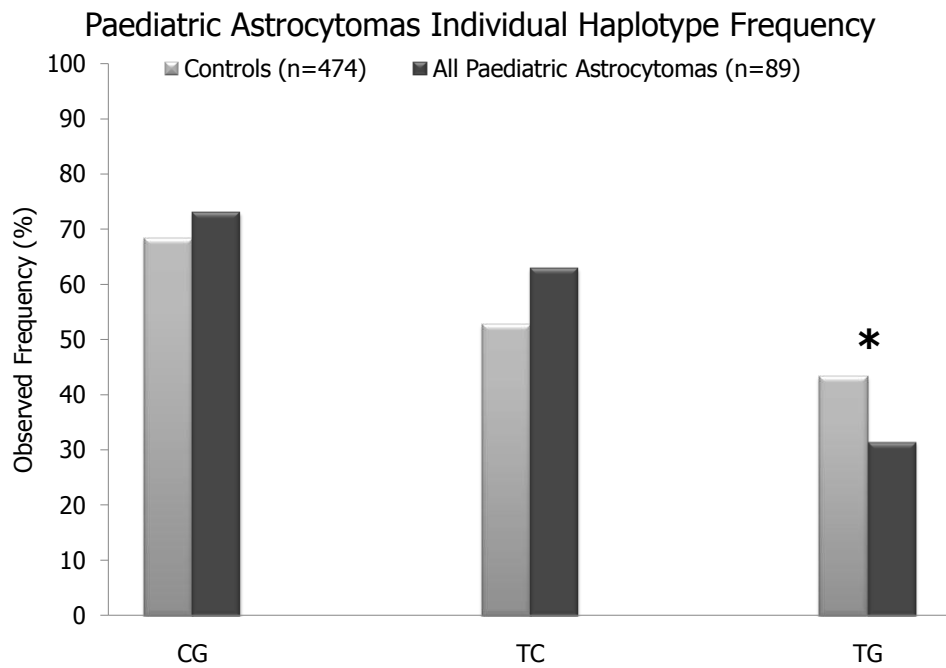
### 5.2.3 Haplotype Analysis

For the collective group of all paediatric tumours, there was no difference in haplotype frequency when compared to controls. For all grades of Astrocytomas there was an overall difference in distribution of haplotype pairs compared to controls with a decreased frequency of TG haplotype on either chromosome (Figures 5.8 & 5.9).



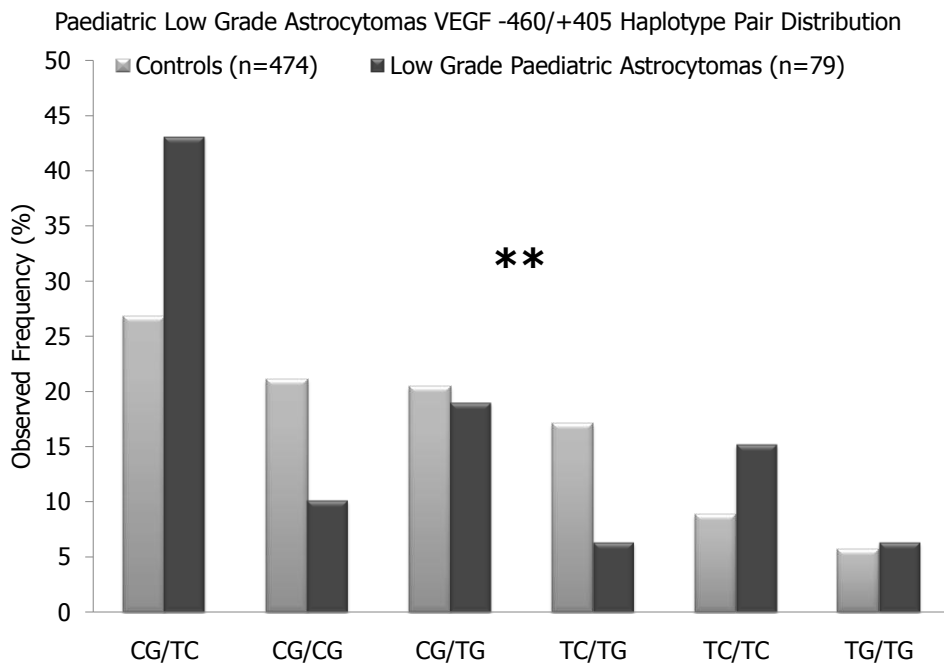
**Figure 5.8 - Distribution of haplotype pairs for the VEGF -460/+405 haplotype in all paediatric astrocytomas. There was significant difference in haplotype pair frequencies comparing cases with controls ( $\chi^2 = 13.782$ ,  $df = 5$ ,  $p = 0.017$ ).**



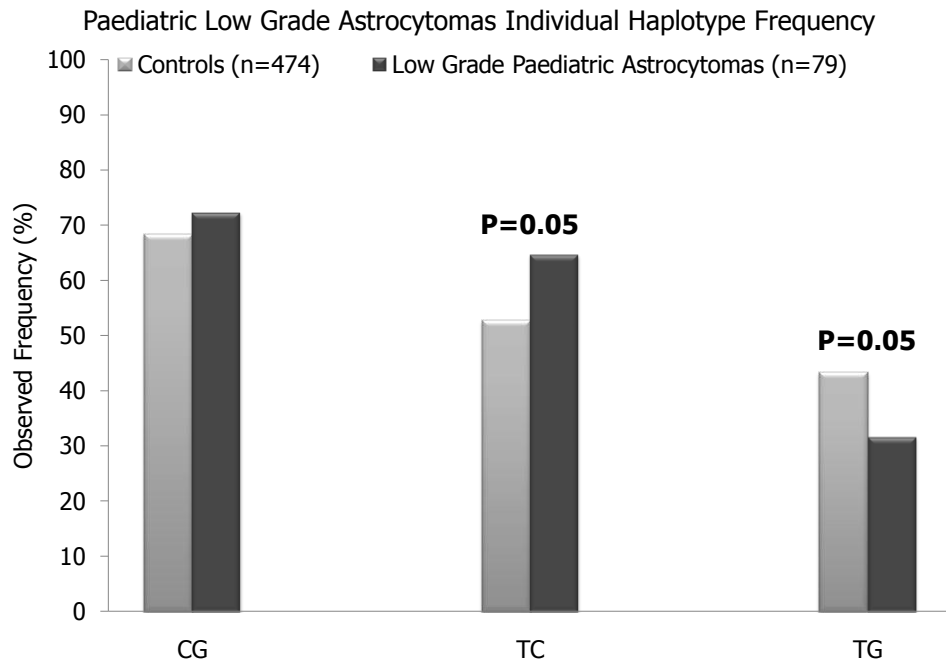


**Figure 5.9 - Individual haplotype frequencies for the VEGF -460/+405 haplotype. There was a significantly reduced frequency of the TG haplotype in paediatric astrocytoma patients compared to controls ( $\chi^2 = 4.292$ ,  $df = 1$ ,  $p = 0.038$ ; Odds ratio = 0.602, 95% CI 0.372 to 0.976).**

For low grade Astrocytomas there was again a difference in distribution of haplotype pairs together with borderline increase of TC haplotype and borderline decrease of TG haplotype when compared to controls (Figures 5.10 & 5.11).

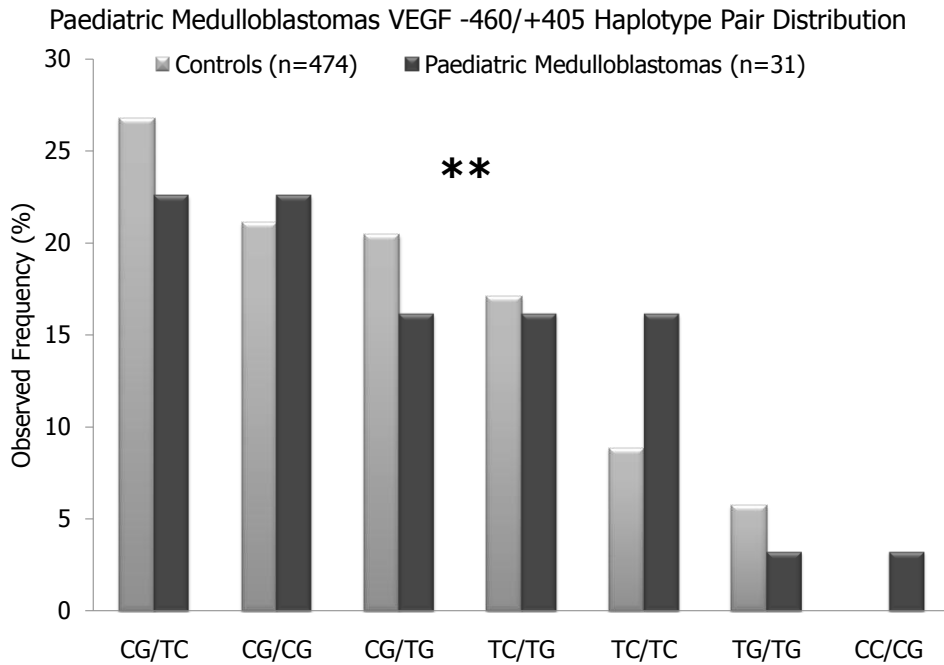


**Figure 5.10 - Distribution of haplotype pairs for the VEGF -460/+405 haplotype in paediatric low grade astrocytomas. There was a significant difference in haplotype pair frequencies between cases and controls ( $\chi^2 = 18.249$ ,  $df = 5$ ,  $p = 0.003$ ).**



**Figure 5.11 - Individual haplotype frequencies for the VEGF -460/+405 haplotype. There was a borderline increased frequency of the TC haplotype and borderline decrease in the TG haplotype in paediatric low grade astrocytoma patients compared to controls (TC  $\chi^2 = 3.811$ , df = 1, p = 0.051; Odds ratio = 1.632, 95% CI 0.995 to 2.677; TG  $\chi^2 = 3.753$ , df = 1, p = 0.053; Odds ratio = 0.607, 95% CI 0.366 to 1.009).**

For Medulloblastomas (n=31), there was an overall significant difference in distribution of haplotype pairs compared to controls (Figure 5.12) but otherwise no difference in genotype at either locus.



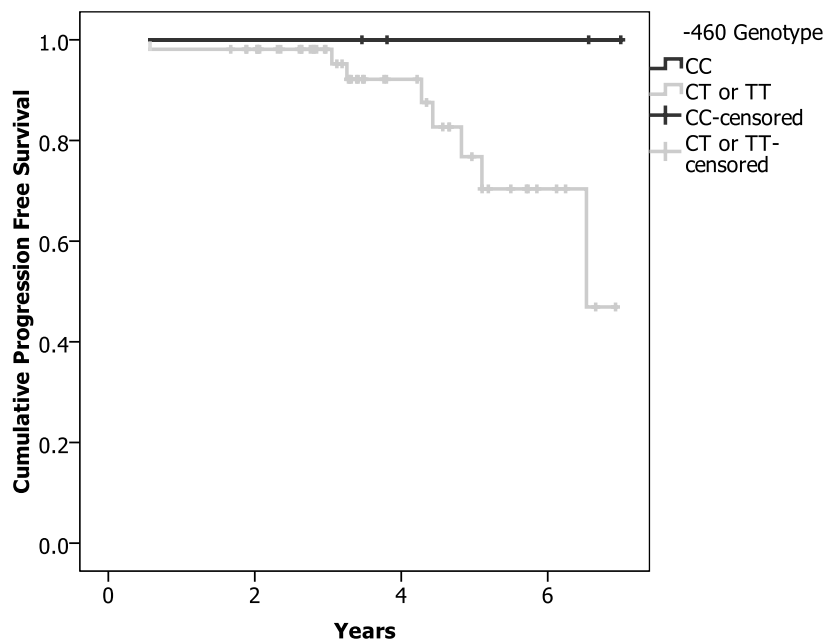
**Figure 5.12 - Distribution of haplotype pairs for the VEGF -460/+405 haplotype in paediatric medulloblastomas. There was a significant difference in haplotype pair frequencies between cases and controls ( $\chi^2 = 17.773$ ,  $df = 5$ ,  $p = 0.007$ ).**

## 5.2.4 Survival Analysis

### 5.2.4.a Low Grade Astrocytoma – Progression Free Survival

For the group of low grade Astrocytomas there was 100% progression free survival in the VEGF -460 CC genotype patients (n=4) compared to 15% of cases recurring in the other genotype groups (n=53). Although the Kaplan-Meier plots appear to separate, this was not a statistically significant difference (Figure 5.13).

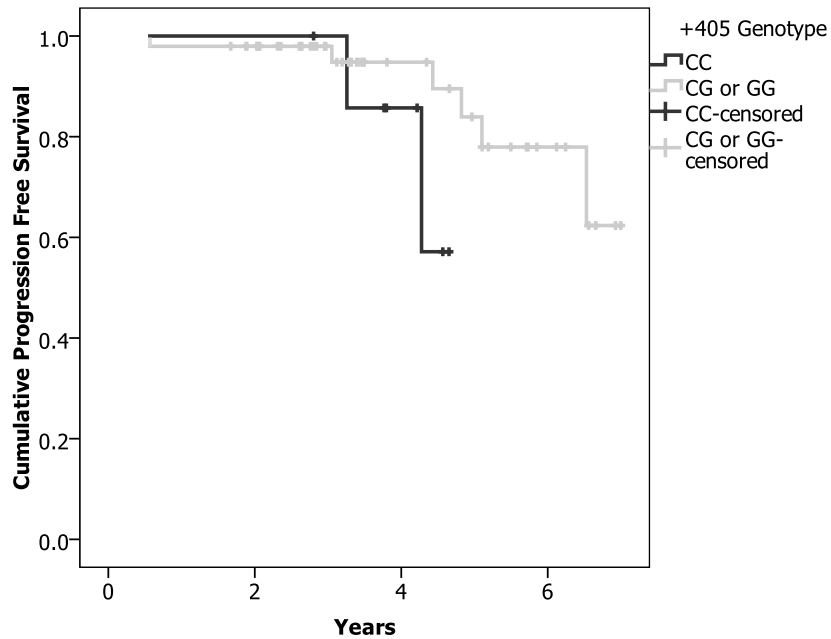
#### Paediatric Low Grade Astrocytomas (n=57)



**Figure 5.13 - Kaplan-Meier plot of progression free survival in paediatric low grade astrocytomas between CC and non-CC genotype at the VEGF -460 locus. Although the lines separate this was not statistically significant (Log rank = 1.457, df = 1, p = 0.227).**

At the +405 locus there was again a trend in progression free survival differences with patients having the CC genotype appearing to have a tendency to recur (Figure 5.14).

### Paediatric Low Grade Astrocytomas (n=57)

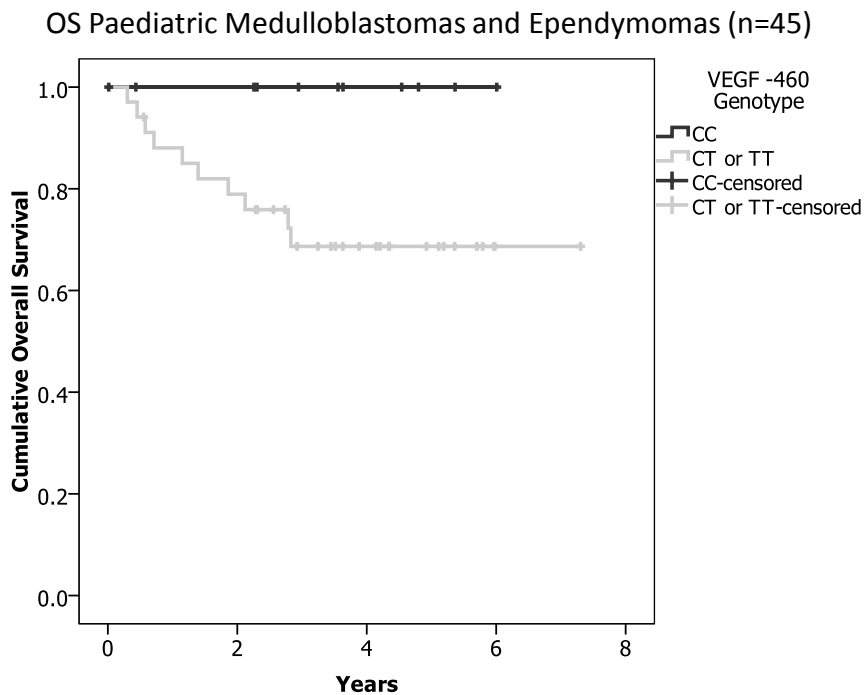


**Figure 5.14 - Kaplan-Meier plot of progression free survival in paediatric low grade astrocytomas between CC and non-CC genotype at the VEGF +405 locus. Although the lines separate indicating a tendency to recur with CC genotype this was not statistically significant (Log rank = 2.404, df = 1, p = 0.121).**

### 5.2.4.b Medulloblastomas and Ependymomas – Overall Survival

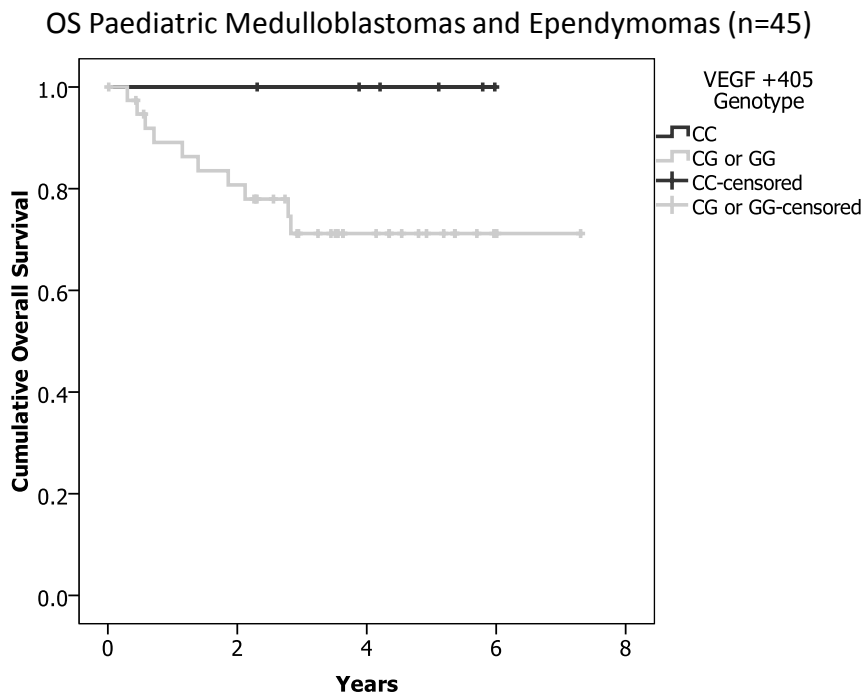
#### 5.2.4.b.i VEGF Genotype and Haplotype

For the combined group of Medulloblastomas and Ependymomas there appeared to be several trends in overall survival between the different genotypes and haplotypes. Survival of the VEGF -460 CC genotype in this group was 100% with no deaths in the 11 patients with CC compared to 10 deaths in the 34 patients (29.6%) with other (CT or TT) genotypes (Figure 5.15).



**Figure 5.15 - Kaplan-Meier plot of overall survival in paediatric medulloblastomas and ependymomas between CC and non-CC genotype at the VEGF -460 locus. Although the lines separate with a trend to improved survival with the CC genotype, this was not statistically significant (Log rank = 3.151, df = 1, p = 0.076).**

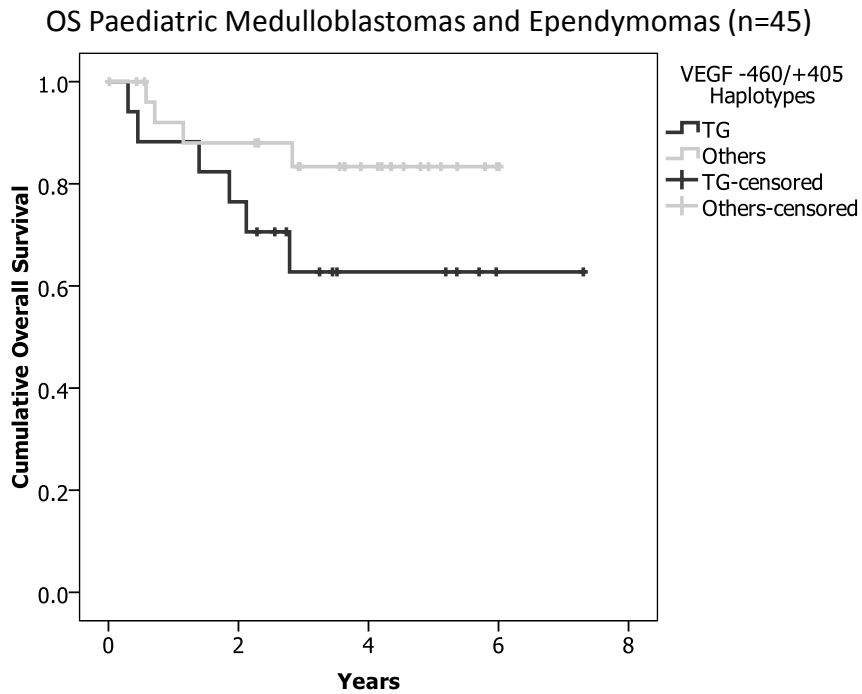
At the +405 locus, there was a trend for the CC genotype to have a better overall survival compared to other (CG and GG) genotypes. There were no deaths in the 6 CC genotype patients with 10 deaths out of 39 (25.6%) in the patients with other genotypes (Figure 5.16).



**Figure 5.16 - Kaplan-Meier plot of overall survival in paediatric medulloblastomas and ependymomas between CC and non-CC genotype at the VEGF +405 locus. Although the lines separate with a trend to improved survival with the CC genotype, this was not statistically significant (Log rank = 1.915, df = 1, p = 0.166).**



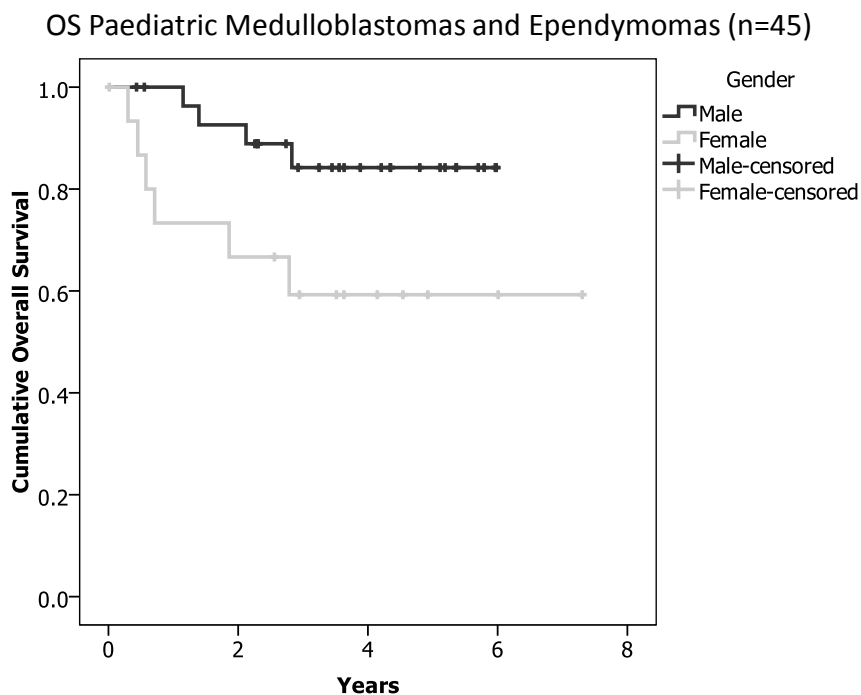
For the haplotype analysis there was a trend of worse survival with the presence of the TG haplotype on either chromosome (Figure 5.17).



**Figure 5.17 - Kaplan-Meier plot of overall survival in paediatric medulloblastomas and ependymomas between presence and absence of VEGF -460/+405 TG haplotype. Although the lines separate with a trend to worse survival with presence of the TG haplotype, this was not statistically significant (Log rank = 2.268, df = 1, p = 0.132).**

### 5.2.4.b.ii Gender

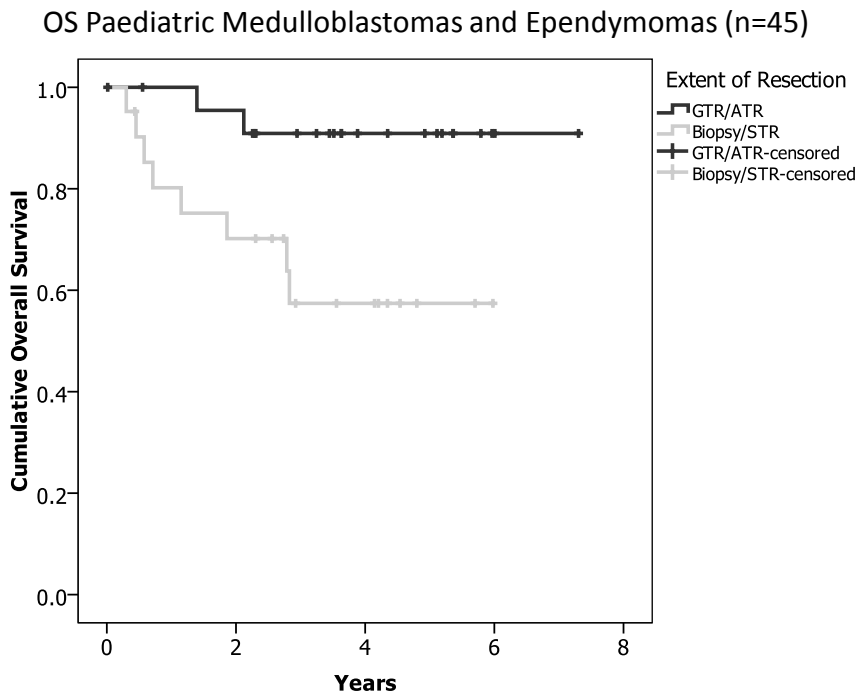
For this combined group of patients there was a significant survival advantage in male patients (Figure 5.18). Looking at the Medulloblastomas and Ependymomas separately there was a survival advantage in the males but this was not independently significant in either group (Medulloblastoma Log rank = 1.195, df = 1, p = 0.274 and Ependymoma Log rank = 2.718, df = 1, p = 0.099).



**Figure 5.18 - Kaplan-Meier plot of overall survival in paediatric medulloblastomas and ependymomas between genders. There was a significantly better survival in males in this combined group (Log rank = 3.928, df = 1, p = 0.047).**

### 5.2.4.b.iii Extent of Resection

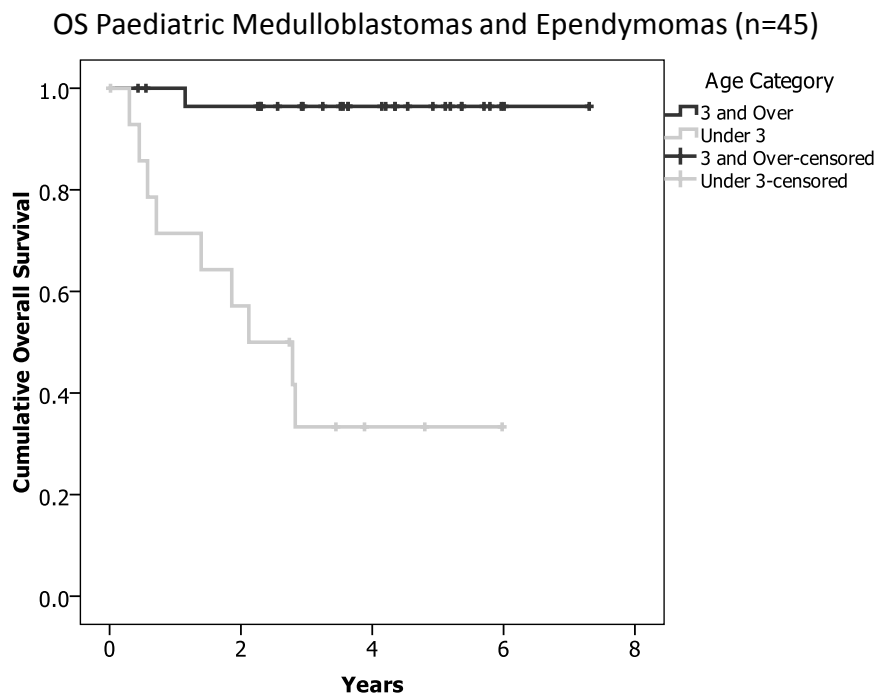
The amount of surgical resection had a significant effect on survival with patients where a gross total resection (GTR) or almost total resection (ATR), i.e. >95% resection, was achieved having a significant survival advantage over subtotal resection or biopsy (Figure 5.19).



**Figure 5.19 - Kaplan-Meier plot of overall survival in paediatric medulloblastomas and ependymomas between gross or almost total resection and subtotal resection or biopsy. There was a significant survival advantage with gross or almost total resection (Log rank = 5.874, df = 1, p = 0.015).**

#### 5.2.4.b.iv Age at Presentation

There was a strong influence of age at presentation on survival with patients under 3 years of age at presentation having a significantly worse prognosis compared to older patients (Figure 5.20).



**Figure 5.20 - Kaplan-Meier plot of overall survival in paediatric medulloblastomas and ependymomas between age groups. There was a strongly significant survival advantage for patients age 3 and over (Log rank = 21.221, df = 1, p = 0.000004).**

### 5.2.5 Cox proportional hazards model

The statistically significant variables on univariable analysis were entered into a Cox proportional hazards model. Significance was maintained in the model for age category and gender but not extent of resection (table).

	P value	Risk Ratio	95% CI for RR	
			Lower	Upper
<b>Age under 3 (Worsened Survival)</b>	0.004	30.693	3.038	310.110
<b>Gender (Female Worsened Survival)</b>	0.039	4.860	1.081	21.848
<b>Extent of Resection</b>	0.730	1.381	0.220	8.654

**Table 5.2 – Cox regression analysis results for age under 3, gender and extent of resection. Significance is maintained for age under 3 and gender but not extent of resection in the model.**

## **Chapter 6 Discussion**

### **6.1 Prognostic factors relating to sex steroid receptors in meningiomas**

This study like many before has identified sex steroid receptors in meningiomas. Our findings of the distribution of tumour grades and subtypes would be consistent with the published literature.<sup>35</sup> Our overall recurrence rate for such a long period of follow up is somewhat low at 9%.<sup>71</sup> This should be an accurate figure as the patients are from an area with relatively low levels of migration and they are followed up both clinically and radiologically in a specific meningioma clinic.

#### **6.1.1 Sex steroid receptors**

For progesterone receptors our proportion of patients who are receptor positive (55%) was again consistent with the published literature.<sup>58, 65</sup>

Oestrogen receptor levels is more variable and controversial in the literature. The findings of low levels of nuclear, positive oestrogen receptors (1%) has been the finding of many studies but others have described higher results, up to 94%.<sup>574</sup> This is partly a manifestation of assay type with most of the studies showing higher levels of oestrogen receptors having performed cytosol assays of receptor levels. This study demonstrated that oestrogen receptors are present in the cytoplasm in 53% of tumours and obviously this would have given a positive result on cytosol assay, but the receptor is only biologically active in the nucleus.<sup>575</sup>

In this study there is a correlation with progesterone receptors and gender. Tumours were progesterone receptor negative in 60.7% of males and 37.8% of females. This has been found in other studies,<sup>58, 65</sup> but there is no known mechanism that explains this finding.

This study also showed a strong association with tumour grade and progesterone receptor status. All of the grade 2 and 3 tumours were progesterone receptor negative. Many other studies have shown a similar correlation with tumour grade and progesterone receptor status, but they have not found an absolute relationship, describing some grade 2 and 3 tumours as being progesterone receptor positive.<sup>58, 65</sup>

### **6.1.2 Recurrence**

In univariable analysis tumour grade was associated with recurrence with an odds ratio of 11.667. This is a consistent finding with other published studies.<sup>58, 65</sup> The numbers were too small in this study to separate out the magnitude of effect between grade 2 and 3 tumours.

The absolute Simpson grade was not statistically significant in relation to recurrence in this cohort but this was presumably due to small numbers of recurrences. When the Simpson grade was dichotomised to grades 1 and 2 vs. grades 3-5 (where there is some amount of residual nodular tumour left at the time of resection) then there was a clear association with recurrence and less extent of resection.

For this study, overall gender was not a prognostic factor for tumour recurrence. This is, as with other studies, despite their being an increased incidence of higher grade tumours in males and the implication is that males must have other protective factors to counteract the larger proportion of higher risk tumours.

In univariable analysis on this study, progesterone receptor status is predictive of tumour recurrence, with an odds ratio of five times higher in PR negative tumours. This has been a finding in other studies, looking at both grade 1 tumours and all tumour types.<sup>58, 63-65</sup>

### **6.1.3 Multivariable analysis**

Of the papers who have found an association with progesterone receptor status and recurrence, only one undertook multivariable analysis to control for other known predictive factors.<sup>58</sup> In this study a Cox regression model was constructed with a combination of progesterone receptor status, number of mitoses and grade 3 histology as co-variables. They found that the models were significant overall but there was no indication as to whether or not each of the individual co-variables maintained their significance in the model.

In the two studies that found progesterone receptor status to be predictive of recurrence in grade 1 patients,<sup>63, 64</sup> both had high rates of recurrence for grade 1 patients of 28% and 50% and it may be that some of these tumours were actually grade 2.



In this study, the Cox regression analysis with progesterone receptor status, grade 2 and 3 tumours and extent of resection using a dichotomised Simpson grade was a significant model overall, but progesterone receptor status clearly lost significance. Extent of resection was borderline significant and tumour grade 2 and 3 was borderline non-significant. This finding is due to the very strong association with progesterone receptor status and tumour grade and implies that decreased nuclear progesterone receptor expression is a secondary phenomenon to increasing tumour grade.

#### **6.1.4 Biological influence of progesterone receptors**

The evidence that progesterone receptors are biologically important in meningiomas is reasonably strong with evidence of increased growth of tumours at the time of high circulating progestogens,<sup>40, 41</sup> increased frequency in females,<sup>36</sup> and an association with breast cancer.<sup>42</sup> In addition, a study in nude mice showed a reduction of growth of a human meningioma xenograft with the administration of an antiprogestone.<sup>576</sup> Finally there have been a variety of studies looking at hormonal manipulation of meningiomas with mixed success but no proven therapy shown in a phase III randomised controlled trial.<sup>577-581</sup>

With the advent of high throughput chromosomal and genetic expression analysis recent studies have shown an increase in chromosomal abnormalities<sup>65</sup> and changes in gene expression<sup>66</sup> in meningiomas with loss of progesterone receptor expression. The chromosomal losses are most

notable in chromosomes 22 and 14, particularly in the progesterone receptor negative tumours. The location of the progesterone receptor gene on chromosome 11q22 did not appear to be involved in the chromosomal changes seen in more progressive tumours.<sup>65</sup> However, there is altered gene expression in progesterone negative meningiomas, including the mitogen activated protein kinase 4 (*MAP4K4*) gene.<sup>66</sup> MAP kinases have been shown to be important in the regulation of progesterone receptor activity and localisation within the nucleus.<sup>582, 583</sup> Also, increased cell turnover in itself has a down regulating effect on progesterone receptor expression.<sup>62</sup>

#### **6.1.5 Limitations**

This study involved the retrospective analysis of the clinical findings of the patient cohort. Although this is one of the biggest studies in the published literature, the relatively small number of recurrences meant a limited number of events in the survival analysis.

#### **6.1.6 Summary**

It is reasonable from this evidence to assume that progesterone receptors are important in the growth of meningiomas. The recurrent finding in many studies of worse prognosis with loss of nuclear progesterone receptors would initially seem counter intuitive to this argument. The findings of this study would imply that atypical and malignant grade is a more important factor in terms of recurrence than progesterone receptor status. Findings from

genetic studies have shown evidence of altered expression of genes important in the biological localisation and activity of progesterone receptors. This study demonstrated that there were progesterone receptors present in the cytoplasm of 35 out of the 46 nuclear progesterone receptor negative patients. It may be that alteration of MAP kinases or other co-factors localising the receptors to the cytoplasm with increasing atypia in meningiomas is the reason why there is an association with negative nuclear progesterone receptors and worse outcome.

## **6.2 The effect of neoadjuvant chemotherapy on operative blood loss and surgical resection for Choroid Plexus Carcinomas**

Complete surgical resection has consistently been shown to be the most important factor in the survival of patients with choroid plexus carcinomas<sup>81</sup> and this finding is evident in this study. In addition we also demonstrated the previously described finding that young age at presentation was associated with a poor outcome.

### **6.2.1 Neoadjuvant chemotherapy**

In this patient group, giving neoadjuvant chemotherapy as a pre-operative intervention does appear to facilitate surgical resection by reducing the vascularity of the tumours rather than their size. It is certainly my experience that the tumours operatively handle more favourably following chemotherapy and these quantitative findings of a reduction of mean blood loss from 1.11 blood volumes to 0.22 of a blood volume would appear to support that perception.

The process of administering the chemotherapy in the per-operative setting can be very difficult and requires a lot of input from a multidisciplinary team to maintain control of raised intracranial pressures secondary to the tumour mass and associated hydrocephalus.

### **6.2.2 Limitations**

Given that choroid plexus carcinomas are rare tumours the study is understandably limited in its size. In addition the choice of whether a child received neoadjuvant chemotherapy was multifactorial and based upon surgeon/oncologist preference.

### **6.2.3 Summary**

The striking benefits seen within the limitations of this study would support neoadjuvant chemotherapy for choroid plexus carcinomas as a valid treatment option and warrant further studies of its use in this often devastating condition.

### **6.3 Single nucleotide polymorphisms of the vascular endothelial growth factor gene and their association with the development and survival of adult cerebral gliomas**

This study involved the analysis of VEGF genotype and haplotype in a cohort of adult glioma patients

#### **6.3.1 VEGF -460 locus**

At the -460 locus there was an overall difference in the distribution of genotypes between gliomas patients and controls and this was most directly associated with an increase in the presence of the homozygous TT genotype in gliomas patients. There was a non-significant trend towards an overall increase in the T allele frequency.

#### **6.3.2 VEGF +405 locus**

Overall at the +405 locus there was no difference in genotype frequencies between cases and controls but there was a trend towards the presence of the homozygous CC genotype in gliomas patients.

#### **6.3.3 VEGF -460/+405 haplotype analysis**

Linkage disequilibrium allows a highly predictive allocation of haplotypes for the locus. Overall there was no difference in haplotype frequency but there was a significant decrease seen in the presence of the CG haplotype in gliomas patients.

#### **6.3.4 Survival analysis**

For the group as a whole the VEGF polymorphisms were not predictive of survival. When the subgroup of grade 2 astrocytomas was analysed a significant influence was found with the patients with the -460 CC genotype having a better outcome. At the +405 locus there was a trend to better survival with the GG genotype and on haplotype analysis the patients with the TG haplotype on either chromosome having a significantly worse survival.

Both -460 CC genotype and TG haplotype maintained their significance in a Cox regression analysis model.

#### **6.3.5 Limitations**

Although the cases and control group have a similar ethnic distribution, they are taken from geographically different areas and this may have an influence on genotype frequencies. This would not impact on the survival analysis.

The whole group is made up of a heterogeneous cohort of gliomas and therefore the subgroup sample size is small.

For the group of grade 2 astrocytomas, accurate information on initial tumour size and extent of resection was not available. These are factors that have been shown to influence survival for these tumours although this is not a universal finding in all studies.<sup>35</sup>

### **6.3.6 Summary**

This is the first study to show an association with a single nucleotide polymorphism of the *VEGF* gene and cerebral gliomas. In addition, genotype and haplotype of the *VEGF* gene has been shown to have an association with outcome for grade 2 astrocytomas.



## **6.4 Single nucleotide polymorphisms of the vascular endothelial growth factor gene and their association with the development and survival of paediatric brain tumours**

This study utilised the Ontario molecular-epidemiological, case-control database of childhood brain tumours to investigate the association of *VEGF* gene polymorphisms and paediatric brain tumours.

### **6.4.1 VEGF -460 locus**

Looking at the variety of tumour types in total there was no significant difference in genotype frequency compared with controls.

For patients with low grade astrocytomas there was an increase in the frequency of the CT genotype and a decrease in the homozygous CC genotype at the -460 locus compared to controls.

### **6.4.2 VEGF +405 locus**

There was no difference in genotype frequency between all the combined tumour types and controls.

For all grades of astrocytoma there was a significant increase in the overall C allele frequency. This was also seen in the low grade astrocytoma subgroup along with a decrease in the homozygous GG genotype.

### **6.4.3 VEGF -460/ +405 haplotype analysis**

For the subgroup of all grades of astrocytoma there was an overall difference in the frequency of haplotype pairs compared to controls. This was most evident with the TG haplotype which was decreased compared to controls.

For low grade astrocytomas there was again an overall difference in the haplotype pairs compared to controls. For individual haplotypes there was a significant increase in the TC haplotype and a decrease in the TG haplotype.

For medulloblastomas there was an overall difference in distribution of haplotype pairs compared to controls.

### **6.4.4 Survival analysis**

For low grade astrocytomas there was a non-significant progression free survival advantage for patients with the VEGF -460 CC genotype with no recurrence of tumours in the patients with this genotype. At the VEGF +405 locus there was also a non-significant progression free survival advantage for patients with the CC genotype.

The group of medulloblastomas and ependymomas were combined for this analysis. These tumour types have a similar outcome and progression profile overall and it is generally different to the other common paediatric brain tumours.<sup>35</sup> At the VEGF -460 locus the CC genotype patients showed 100% overall survival which was just not significant compared to other genotypes. At the VEGF +405 locus the CC genotype again showed a non-

significant overall survival advantage with 100% survival in this group. On haplotype analysis the TG haplotype of VEGF -460/+405 showed a trend to worse overall survival compared to patients with non-TG haplotypes.

In this combined group of medulloblastomas and ependymomas there was an overall survival advantage for males compared to females. There have been conflicting studies as to the effect of gender in medulloblastomas and ependymomas. The largest series from the Surveillance, Epidemiology, and End Results (SEER) database have shown for medulloblastomas, no overall survival difference but in the over 3 years patients a female advantage and in the under 3 years a trend towards a male survival advantage.<sup>9</sup> For ependymomas there was an overall survival advantage for females in all age groups including adults.<sup>584</sup>

Overall in the group there was a significant survival advantage for a greater than 95% surgical resection and age 3 or greater at presentation. These associations have been shown previously in various studies for both tumour types.<sup>35</sup>

A Cox proportional hazards model of the significant variables on univariable analysis showed an overall significant model with age greater than 3 at presentation and gender maintaining their significance but extent of resection becoming non-significant.

#### **6.4.5 Limitations**

Given the rare nature of these tumours the sample sizes, particularly in the subgroups were small with a limited number of events in the survival analysis. This is, however, one of the largest cohorts of its nature looking at paediatric brain tumours, collected over several years from large catchment population.

## **6.5 Possible influence of VEGF SNPs on development and progression of CNS tumours**

### **6.5.1 Tumour type**

For both the adults and paediatric CNS tumours presented in these studies there have been associations demonstrated with the development of certain tumour types. In addition, differences in survival have also been shown depending upon genotype and haplotype within the gene.

The tumours that have been shown to be most influenced are adult low grade astrocytomas and paediatric medulloblastomas and ependymomas.

The other large groups of tumours; adult high grade astrocytomas/glioblastomas and paediatric high grade astrocytomas tend to be more aggressive tumours with much shorter median survivals.

The angiogenic profile of high grade astrocytomas is different to that of low grade astrocytomas.<sup>585</sup> A study from 2003 showed that angiogenic gene profiling, particularly *VEGF* was different in low grade astrocytomas compared to glioblastomas. This was most marked with primary glioblastomas where secondary glioblastomas shared some similarities with the other two types.<sup>585</sup> Another paper from 2006 confirmed this finding in primary and secondary glioblastomas.<sup>586</sup>

It has been postulated for many years that for a tumour to grow and progress to a more malignant lesion, there is a need for the switch to an angiogenic phenotype.<sup>290</sup> To have a constitutional angiogenic genotype would make this process more straightforward. This is a disease modulating

process as angiogenic factors such as VEGF will in themselves not promote malignant transformation. A study on anaplastic astrocytoma cells that were genetically modified to secrete four times the normal levels of VEGF found that the mutant cells grew more quickly but did not transform in glioblastomas.<sup>587</sup>

VEGF genotype did not appear to have an influence on survival of high grade astrocytomas/glioblastomas in this study. This is probably a consequence of loss of multiple controls of cell division and apoptosis in these malignant tumours together with marked autocrine expression of angiogenic factors that would render a background constitutional elevation of VEGF a non-significant factor. There is some evidence for this in the levels of VEGFR-1 (a physiological inhibitor of VEGF) in gliomas of different grades. Levels of VEGFR-1 rise from grade 2 to grade 3 tumours but then fall off again for grade 4 tumours.<sup>588</sup> The authors of this study postulate that this is a sign that the constitutional antiangiogenic pathways have given up the battle by the time a lesion is grade 4.

### **6.5.2 Mechanism of variable action of VEGF SNPs**

Within the literature there have been a variety of studies that have demonstrated functional differences between VEGF SNPs and haplotypes.<sup>342, 511, 525, 528, 568</sup> Often these studies appear to directly contradict each other. Lambrechts found that the -2578/-1154/-634 AAG haplotype reduced VEGF expression and Stevens found that the same AAG genotype increased VEGF

expression.<sup>342, 528</sup> These studies both looked at this in cell cultures with Lambrechts using gliosarcoma and Stevens using breast cancer cell lines. Other studies have shown that within the same patient the VEGF -460 T allele and the +405 C allele were associated with lower levels of VEGF in normal colorectal tissue but this was not the case in adjacent colorectal cancer tissue.<sup>568</sup>

Awata found an association with the VEGF +405 C allele and diabetic proliferative retinopathy.<sup>511</sup> In the same study they found an increase in serum levels of VEGF in 11 healthy volunteers with the VEGF +405 CC genotype. The measurement of serum VEGF levels can be questioned as it will increase from normal background plasma levels because of release of VEGF from platelets during clotting.<sup>571</sup> Watson found the opposite to Awata with the VEGF +405 GG showing the highest levels of VEGF protein production in lipopolysaccharide stimulated peripheral blood mononuclear cells.<sup>13</sup> Koukourakis showed a borderline decrease in vascular density with the +405 GG genotype, but in this study there was no correlation to outcome.<sup>541</sup>

Gender has been shown to have a variable influence on VEGF SNPs with Lambrechts paper where subgroup analysis by gender revealed that the VEGF -2578 AA genotype, which lowers VEGF expression, increased the risk of ALS in males.

Race can also have an influence on the association of VEGF SNPs and disease. A large meta analysis of general cancer risk for the VEGF -460 T allele found an association with Asian people but not with Europeans.<sup>589</sup>

Environmental factors have also been shown to play a part in the association of VEGF SNPs and cancer. In oesophageal cancer patients with the C allele at VEGF -460 locus had an increased risk of developing cancer if they were smokers and a decreased risk compared to other genotypes if they were non-smokers.<sup>590</sup>

Finally angiogenesis involves a complex genetic interaction and polymorphisms at a variety of associated genes such as *VEGFR-2* and *EGFR* have also been shown to have an influence on survival in cancer patients.<sup>591,</sup>

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### **6.5.3 What are the significant VEGF genotypes and haplotypes?**

As can be seen in table 1.3, there is much variation and no consistency in the literature regarding the VEGF SNPs and their associations, functional significance and prognostic value. One example of this is two studies in breast cancer. One showed the CC genotype was associated with large tumours and high grade<sup>544</sup> and the other with small tumours.<sup>554</sup>

Overall the literature is fairly evenly split between VEGF -460 T and C alleles associations and VEGF +405 C and G allele associations (Table 1.3). There may be many reason for these discrepancies. As mentioned above gene-gene and gene-environmental interactions are evident but not usually



identified in a large proportion of genetic association studies. The SNPs that are chosen are not consistent and often not functionally (haplotypes) assessed. Multiple SNPs often on multiple genes are assessed without taking into account corrections for multiple testing. Finally the phenotypes are often not clearly defined and other proven associated factors not taken into account in multivariable testing.

With this study we found a variety of associations with different tumour types in adults and children. At the VEGF -460 locus there was a theme of increased T allele and decreased C allele. At VEGF +405 there was a general trend towards increased C allele and decreased G allele. In terms of survival there was significant improvement and trends towards better survival with VEGF -460 CC and VEGF +405 CC genotypes in both adult and paediatric tumours. With regards to haplotype a significant worsening in survival is seen with adult low grade astrocytomas and VEGF -460/+405 TG haplotype and this is mirrored with a trend to worse survival with TG haplotype in paediatric medulloblastomas and ependymomas.

#### **6.5.4 Antiangiogenic therapy**

With the advent of multiple antiangiogenic therapies becoming available to treat CNS malignancies,<sup>12</sup> the best use of VEGF SNPs would be as factors predictive of response to treatment. Young was the first to describe VEGF SNPs as being predictive of treatment response with retinoids in patients with psoriasis.<sup>593</sup> This study found an improved response to retinoids in the

VEGF -460 TC patients compared to other genotypes. Subsequent to this a phase III study comparing paclitaxel versus paclitaxel plus bevacizumab as initial chemotherapy for metastatic breast cancer showed improved response to the antiangiogenic treatment arm for patients with VEGF -1540 AA and VEGF -116 AA genotypes.<sup>594</sup> In addition the VEGF -460 TT and the VEGF +405 CC genotypes were associated with less grade 3 and 4 hypertension compared to the other genotypes. Overall survival in the study was improved for those patients who did experience grade 3-4 hypertension. This phenomenon has been seen in other studies.<sup>595, 596</sup> It is also felt that a low background level of plasma VEGF production predicts a better outcome with antiangiogenic therapy.<sup>597</sup>

## **6.6 Conclusions**

Studies examining prognostic factors have the potential to be incredibly helpful in the management of patients. There are, however many ways that such studies can become confusing and misleading. This is particularly true in the field of neuro-oncology where sample size is often necessarily small making the affirmation of correct conclusions often very difficult.

A good understanding of all the various influences that have an impact on the prognostic factors discussed in this work is vital so that the information that the studies provide is utilised to bring the most benefit to patients, both young and old.

## **6.7 Future work from this thesis**

The findings of my research throughout all the chapters lead to many further questions that I wish to pursue in the future.

For the progesterone receptors in meningiomas, I wish to further study the altered expression of genes associated with progesterone receptor function, notably the mitogen activated protein kinase 4 as postulated earlier in this chapter.

In my practice I have adopted the treatment paradigm of neo-adjuvant chemotherapy for choroid plexus carcinomas. I have also used this with great anecdotal effect in a patient with a large and vascular choroid plexus papilloma and further study of this patient group would be warranted. I am attempting with these patients to more accurately assess the reduction of vascularity by undertaking serial CT perfusion scans.

For the VEGF polymorphisms, there is a need for ongoing prospective validation of the findings from this thesis. In Manchester we are enrolling patients into trials using the anti-VEGF Bevacizumab (Avastin) and I would aim to undertake a pilot study to determine the VEGF genotype of these patients and their response to treatment. The long term goal would be to be able to predict response to anti-angiogenic therapy, based upon VEGF genotype and therefore provide a tailored pharmacogenomic based therapy to patients with brain tumours.

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## Appendix I – Meningioma receptor study data table

Number	Date of Birth	Age at Presentation	Sex	Histology Category	PRn Negative	PRc Negative	ERn Negative	ERC Negative	Date of Operation	Simpson Grade	Recurrence	Date Recurrence	Died	Final Follow Up
1	06/08/1927	68	F	Transitional	No	No	Yes	No	28/06/1996	1	No	14/04/2010	No	14/04/2010
2	24/01/1940	52	F	Transitional	No	No	Yes	No	01/01/1992	4	No	21/03/2001	No	21/03/2001
3	16/12/1953	42	M	Transitional	Yes	No	Yes	Yes	04/10/1996	4	No	11/02/2004	No	11/02/2004
4	31/07/1911	82	M	Microcystic	Yes	No	Yes	No	26/03/1993	4	No	01/06/2002	No	01/06/2002
5	27/08/1943	47	F	Meningothelial	Yes	Yes	Yes	No	07/03/1991	1	No	01/06/2002	No	01/06/2002
6	24/07/1929	65	F	Transitional	No	No	Yes	Yes	16/06/1995	4	No	02/05/2007	No	02/05/2007
7	07/11/1923	70	F	Transitional	Yes	No	Yes	No	01/01/1994		No	01/06/2002	No	01/06/2002
8	13/03/1959	31	M	Transitional	Yes	No	Yes	No	22/11/1990	1	No	21/02/1997	No	21/02/1997
9	21/03/1921	73	F	Angiomatous	No	No	Yes	No	24/03/1995	1	Yes	04/04/1996	No	19/04/2006
10	21/04/1947	49	M	Transitional	No	No	Yes	No	03/10/1996	4	No	23/07/2004	No	23/07/2004
11	15/01/1965	32	F	Microcystic	No	No	Yes	No	14/02/1997	2	No	14/04/2010	No	14/04/2010
12	15/05/1937	53	F	Transitional	No	No	Yes	No	28/03/1991	4	Yes	28/08/1996	Yes	14/03/1999
13	27/09/1926	65	F	Meningothelial	No	No	Yes	No	10/09/1992	4	No	09/06/2000	No	01/06/2002
14	09/01/1924	63	M	Anaplastic	Yes	No	Yes	No	15/05/1987	4	Yes	23/02/1996	No	22/10/2003
15	12/09/1931	62	F	Transitional	No	No	Yes	No	25/07/1994	4	No	31/03/1999	No	31/03/1999
16	11/02/1934	61	F	Fibroblastic	Yes	No	Yes	No	18/05/1995	2	Yes	10/02/2000	No	25/01/2006
17	04/09/1924	67	M	Transitional	No	No	Yes	No	16/04/1992	3	No	04/12/1996	No	04/12/1996
18	18/08/1908	87	F	Psammomatous	Yes	No	Yes	No	01/01/1995	4	No	24/04/1996	No	24/04/1996
19	02/04/1941	55	F	Transitional	Yes	Yes	Yes	No	19/07/1996	1	No	01/06/2002	No	01/06/2002
20	19/04/1919	77	M	Transitional	Yes	No	Yes	Yes	26/11/1996	2	No	07/10/1998	No	07/10/1998
21	17/03/1923	71	F	Atypical	Yes	Yes	Yes	Yes	18/04/1994	4	Yes	28/07/1995	Yes	14/09/1995
22	09/01/1941	51	F	Psammomatous	Yes	No	Yes	Yes	20/08/1992	4	No	31/03/1999	No	31/03/1999
23	12/04/1938	56	F	Psammomatous	Yes	Yes	Yes	Yes	29/11/1994	2	No	01/06/2002	No	01/06/2002
24	02/11/1941	51	F	Meningothelial	No	No	Yes	Yes	21/01/1993	5	No	09/03/2010	No	09/03/2010
25	25/08/1912	79	M	Fibroblastic	Yes	No	Yes	No	01/01/1992		No	25/08/1995	Yes	25/08/1995
26	15/07/1925	70	F	Metaplastic	No	No	No	No	23/11/1995	2	No	01/06/2002	No	01/06/2002
27	19/05/1927	64	F	Transitional	No	No	Yes	No	04/07/1991	2	No	18/06/1997	No	18/06/1997
28	21/08/1944	49	F	Transitional	No	No	Yes	No	28/06/1994	3	No	07/12/2001	No	07/12/2001
29	30/11/1936	59	F	Transitional	No	No	Yes	No	12/09/1996	4	No	30/11/2006	Yes	30/11/2006
30	25/04/1952	44	F	Atypical	Yes	No	Yes	Yes	11/10/1996	1	No	15/11/2006	No	15/11/2006
31	03/03/1936	54	F	Meningothelial	No	No	Yes	Yes	23/10/1990	4	No	01/06/2002	No	01/06/2002
32	05/10/1926	67	F	Atypical	Yes	No	Yes	Yes	28/07/1994	2	No	01/06/2002	No	01/06/2002
33	09/02/1962	28	F	Transitional	No	No	Yes	No	28/09/1990	1	No	10/01/1997	No	10/01/1997
34	13/03/1920	71	M	Atypical	Yes	Yes	Yes	Yes	28/11/1991	4	No	01/06/2002	No	01/06/2002
35	09/10/1924	71	M	Transitional	No	No	Yes	No	25/01/1996	4	No	01/06/2002	No	01/06/2002
36	12/10/1913	76	F	Atypical	Yes	Yes	Yes	Yes	21/12/1988	4	Yes	21/05/1990	Yes	11/07/1990
37	16/04/1947	49	F	Meningothelial	Yes	Yes	Yes	Yes	06/03/1997	1	No	12/12/2001	No	12/12/2001
38	10/04/1930	64	F	Transitional	No	No	Yes	No	06/10/1994	5	No	22/10/1994	Yes	22/10/1994

Number	Date of Birth	Age at Presentation	Sex	Histology Category	PRn Negative	PRc Negative	ERn Negative	ERc Negative	Date of Operation	Simpson Grade	Recurrence	Date Recurrence	Died	Final Follow Up
39	30/11/1937	54	F	Transitional	Yes	No	Yes	No	28/02/1992	2	No	03/12/1997	No	03/12/1997
40	28/05/1921	72	M	Atypical	Yes	No	Yes	No	29/11/1993	4	No	01/10/1996	Yes	01/10/1996
41	07/02/1933	58	F	Transitional	No	No	Yes	Yes	17/07/1991	3	No	01/06/2002	No	01/06/2002
42	09/09/1942	52	F	Transitional	Yes	No	Yes	No	02/02/1995	2	No	09/09/2009	Yes	09/09/2009
43	11/01/1940	56	F	Transitional	No	No	Yes	Yes	16/02/1996	3	No	25/10/1996	Yes	25/10/1996
44	28/04/1915	77	F	Meningothelial	No	No	Yes	No	19/01/1993	1	No	01/06/2002	No	01/06/2002
45	26/02/1927	67	F	Meningothelial	Yes	No	Yes	Yes	02/08/1994	4	No	01/06/2002	No	01/06/2002
46	12/06/1918	76	F	Psammomatous	Yes	Yes	Yes	Yes	29/09/1994	1	No	15/02/1995	No	15/02/1995
47	22/05/1935	60	M	Transitional	Yes	No	Yes	No	02/11/1995	4	No	07/07/1997	Yes	07/07/1997
48	05/10/1937	56	F	Transitional	No	No	Yes	Yes	24/02/1994	3	No	12/04/1995	No	12/04/1995
49	22/04/1936	59	F	Psammomatous	No	No	Yes	No	04/08/1995	2	No	11/11/1998	No	11/11/1998
50	19/11/1939	51	M	Benign	Yes	No	Yes	No	01/01/1991	1	No	01/06/2002	No	01/06/2002
51	27/08/1920	73	F	Transitional	Yes	No	Yes	Yes	24/06/1994	4	No	17/09/1997	Yes	17/09/1997
52	28/02/1947	48	F	Transitional	No	No	Yes	Yes	15/12/1995	3	No	01/06/2002	No	01/06/2002
53	21/06/1904	83	F	Transitional	No	No	Yes	Yes	02/12/1987	2	No	01/06/2002	No	01/06/2002
54	31/07/1922	65	F	Transitional	Yes	No	Yes	Yes	09/12/1987	2	No	01/06/2002	No	01/06/2002
55	29/11/1923	64	F	Transitional	No	No	Yes	Yes	29/07/1988	2	No	01/06/2002	No	01/06/2002
56	09/10/1945	43	F	Benign	Yes	No	Yes	Yes	16/09/1988	2	No	01/06/2002	No	01/06/2002
57	09/05/1944	45	M	Atypical	Yes	No	Yes	No	20/10/1989	1	No	01/06/2002	No	01/06/2002
58	09/01/1932	57	F	Transitional	No	No	Yes	No	06/04/1989	1	No	03/06/1998	No	03/06/1998
59	24/02/1920	69	M	Transitional	No	No	Yes	No	21/12/1989	2	No	01/06/2002	No	01/06/2002
60	06/07/1938	50	M	Transitional	No	No	Yes	No	25/01/1989	1	No	01/06/2002	No	01/06/2002
61	03/08/1921	75	F	Transitional	No	No	Yes	Yes	20/05/1997	2	No	01/06/2002	No	01/06/2002
62	31/03/1957	40	M	Transitional	No	No	Yes	Yes	20/05/1997	1	No	19/04/1999	No	19/04/1999
63	23/09/1958	39	F	Transitional	No	No	Yes	Yes	06/11/1997	1	No	23/12/1998	No	23/12/1998
64	30/08/1917	70	F	Transitional	Yes	Yes	Yes	Yes	04/08/1987	2	No	30/04/1997	No	30/04/1997
65	28/12/1926	61	M	Transitional	No	No	Yes	No	10/03/1988	1	No	01/06/2002	No	01/06/2002
66	10/06/1923	64	F	Transitional	No	No	Yes	No	28/01/1988	1	No	01/06/2002	No	01/06/2002
67	06/03/1931	66	M	Psammomatous	Yes	No	Yes	No	17/10/1997	1	No	01/06/2002	No	01/06/2002
68	18/10/1918	79	M	Transitional	No	No	Yes	No	05/03/1998	2	No	17/03/1998	Yes	17/03/1998
69	22/08/1910	87	F	Transitional	No	No	Yes	Yes	07/10/1997	2	No	07/12/1997	Yes	07/12/1997
70	28/06/1943	54	M	Transitional	No	No	Yes	No	18/09/1997	1	No	26/11/1997	Yes	26/11/1997
71	29/01/1913	76	F	Transitional	No	No	Yes	No	20/07/1989	1	No	29/01/2005	Yes	29/01/2005
72	04/08/1926	62	F	Transitional	No	No	Yes	Yes	01/07/1988	2	No	01/06/2002	No	01/06/2002
73	07/09/1928	59	F	Transitional	No	Yes	Yes	Yes	11/12/1987	2	No	01/06/2002	No	01/06/2002
74	01/01/1962	35	F	Fibroblastic	No	No	Yes	Yes	25/11/1997	2	No	01/02/1998	Yes	01/02/1998
75	11/05/1925	72	F	Meningothelial	Yes	Yes	Yes	Yes	15/04/1997	2	No	11/05/2008	Yes	11/05/2008
76	29/09/1940	55	M	Transitional	No	No	Yes	No	26/07/1996	1	No	29/07/1998	No	29/07/1998
77	05/12/1922	74	F	Transitional	No	No	Yes	Yes	24/01/1997	2	No	14/12/2005	No	14/12/2005
78	24/08/1923	70	F	Psammomatous	No	No	Yes	Yes	01/01/1994	2	No	03/12/2001	Yes	03/12/2001
79	03/09/1933	59	M	Anaplastic	Yes	No	Yes	No	01/04/1993		No	18/08/1993	Yes	18/08/1993
80	02/12/1939	49	F	Transitional	No	No	Yes	Yes	14/11/1990	2	No	01/06/2002	No	01/06/2002

Number	Date of Birth	Age at Presentation	Sex	Histology Category	PRn Negative	PRc Negative	ERn Negative	ERc Negative	Date of Operation	Simpson Grade	Recurrence	Date Recurrence	Died	Final Follow Up
81	06/03/1926	71	F	Atypical	Yes	No	Yes	No	01/03/1997	3	Yes	01/11/2000	No	04/04/2001
82	30/01/1926	67	M	Atypical	Yes	Yes	Yes	Yes	01/01/1993		No	05/01/1996	Yes	05/01/1996
83	24/05/1910	82	F	Benign	No	No	Yes	No	01/01/1993		No	01/06/2002	No	01/06/2002
84	30/08/1908	83	F	Meningothelial	Yes	No	Yes	No	01/01/1992		No	17/02/1993	No	17/02/1993
85	29/01/1932	58	M	Transitional	Yes	No	Yes	No	06/02/1992	1	No	01/06/2002	No	01/06/2002
86	24/09/1944	48	F	Transitional	No	No	Yes	No	04/02/1993	2	No	26/03/2003	No	26/03/2003
87	08/01/1954	43	M	Atypical	Yes	No	Yes	Yes	13/05/1997	1	No	18/11/2004	No	18/11/2004
88	12/03/1932	60	F	Meningothelial	Yes	No	Yes	Yes	18/03/1997	4	Yes	07/06/1999	No	06/02/2003
89	20/06/1915	81	F	Benign	No	No	Yes	Yes	22/04/1997	4	No	21/07/1999	No	21/07/1999
90	21/11/1935	61	M	Atypical	Yes	No	Yes	Yes	15/07/1997	1	No	12/03/1999	No	12/03/1999
91	21/02/1922	75	F	Fibroblastic	Yes	No	Yes	Yes	24/12/1997	4	No	14/04/2005	No	14/04/2005
92	28/07/1958	40	F	Microcystic	No	No	Yes	Yes	01/01/1989		No	01/06/2002	No	01/06/2002
93	30/04/1923	66	F	Transitional	No	No	Yes	No	01/01/1989		No	09/10/1996	No	09/10/1996
94	29/01/1913	76	F	Transitional	No	No	Yes	Yes	01/01/1989		No	01/06/2002	No	01/06/2002
95	15/10/1936	51	F	Benign	No	No	Yes	Yes	01/01/1988		No	21/09/1998	No	21/09/1998
96	12/11/1913	75	F	Transitional	No	No	Yes	Yes	05/08/1988	1	No	21/01/1999	No	21/01/1999
97	13/12/1940	47	F	Transitional	Yes	No	Yes	No	01/01/1988		No	01/06/2002	No	01/06/2002
98	09/02/1920	68	F	Benign	Yes	No	Yes	Yes	01/01/1988		No	09/02/1989	Yes	09/02/1989
99	08/08/1936	62	F	Fibroblastic	No	No	Yes	Yes	30/04/1998	4	No	19/08/2009	No	19/08/2009
100	28/10/1964	34	M	Transitional	No	No	Yes	No	24/04/1998	1	No	23/01/2002	No	23/01/2002
101	13/12/1928	69	F	Anaplastic	Yes	No	Yes	No	01/01/1998	4	Yes	20/04/1999	No	02/02/2000
102	07/06/1969	27	F	Psammomatous	No	No	Yes	No	19/03/1998	1	No	28/07/1999	No	28/07/1999

## Appendix II – Choroid plexus carcinoma data table

ID	Gender	DOB	Age Months	Group	GT R	Follow Up Date	Survival Years	Die d	Op Date	Op Age	Op Weight	% Debulking	Blood Vol	Blood Loss
1	Female	10/04/1986	23	2	1	23/03/1990	1.97	Yes	12/04/1988	2	13	33	1040	354.08
1	Female	10/04/1986	23	2	1	23/03/1990	1.97	Yes	16/08/1988	2	16	100	1280	125.6
1	Female	10/04/1986	23	2	1	23/03/1990	1.97	Yes	31/10/1989	3	18	100	1440	150.76
2	Female	22/01/1974	154	1	1	09/07/1993	6.58	No	13/12/1986	12	49	95	3920	680.68
2	Female	22/01/1974	154	1	1	09/07/1993	6.58	No	06/07/1993	19	50	100	4000	750
3	Male	12/07/1988	28	2	0	24/04/1992	1.45	Yes	21/11/1990	2	12	5	960	356.04
3	Male	12/07/1988	28	2	0	24/04/1992	1.45	Yes	08/05/1991	2	13	95	1040	217.92
4	Male	09/09/1988	0	2	1	16/07/2004	15.79	No	14/10/1988	0	4	20	320	286.56
4	Male	09/09/1988	0	2	1	16/07/2004	15.79	No	20/12/1988	0	5	100	400	54
5	Female	31/12/1992	6	1	1	24/07/1993	0.00	Yes	24/07/1994	0	7	100	560	1500
6	Male	24/04/1993	0	1	0	20/12/1993	0.59	Yes	25/05/1993	0	2.7	95	216	330.424
7	Male	20/07/1984	8	1	0	08/07/1985	0.22	Yes	22/04/1985	0	8	80	640	168.64
8	Male	07/11/1984	19	1	0	17/06/1987	0.99	Yes	03/07/1986	1	10.33	0	826.4	2848.965
9	Female	24/11/1993	63	3	1	28/04/2004	5.15	No	08/03/1999	5	17	5	1360	247.6
9	Female	24/11/1993	63	3	1	28/04/2004	5.15	No	17/06/1999	5	22.6	100	1808	244.576
10	Female	15/11/1988	7	2	1	13/07/1994	5.05	Yes	02/07/1989	0	8.1	55	648	541.576
10	Female	15/11/1988	7	2	1	13/07/1994	5.05	Yes	09/11/1989	0	9	95	720	215.44
10	Female	15/11/1988	7	2	1	13/07/1994	5.05	Yes	02/11/1990	1	10.1	100	808	79.992
10	Female	15/11/1988	7	2	1	13/07/1994	5.05	Yes	30/01/1991	2	10.2	100	816	86.496
11	Female	06/05/1995	4	2	1	31/08/2004	8.92	No	04/10/1995	0	7	50	560	223.44
11	Female	06/05/1995	4	2	1	31/08/2004	8.92	No	07/02/1996	0	8.7	100	696	55.68
12	Male	16/10/1993	39	1	0	29/07/2003	6.47	No	25/02/1997	3	14.7	95	1176	75.264
13	Male	16/08/2003	2	3	1	25/08/2004	0.79	No	03/08/2004	0	7.2	100	576	489.056
14	Male	15/02/2000	52	1	1	22/10/2004	0.30	No	07/07/2004	4	15.7	100	1256	3799.56
15	Male	07/02/1999	65	3	1	22/10/2004	0.28	No	23/07/2004	5	18.2	5	1456	133.952
15	Male	07/02/1999	65	3	1	22/10/2004	0.28	No	04/10/2004	5	22	100	1760	495.84
16	Male	11/01/1978	59	1	1	20/08/2003	20.70	No	20/12/1982	4	12.3	100	984	568.08

## Appendix III – Adult glioma VEGF data table

ID	Cases	Diagnosis	Histology	Died	FU Date	FU Years	p405 gen	m460 gen	Hap1	Hap2
1	study	04-Apr-93	Oligodendroglioma	NO	26-Feb-04	10.90411	GC	CT	TC	CG
2	study	11-Mar-94	Oligodendroglioma	NO	03-Sep-99	5.484932	CC	TT	TC	TC
3	Study	07-May-91	Neuroepithelial	YES	17-Mar-95	3.863014	GG	CT	TG	CG
4	study	17-Sep-90	Astrocytoma	YES	01-Jun-91	0.70411	GC	TT	TG	TC
5	study	04-Sep-93	Astrocytoma	YES	21-Aug-96	2.964384	CC	TT	TC	TC
6	study	10-Aug-94	Glioblastoma	NO	19-Aug-94	0.024658	GC	CT	TC	CG
7	study	21-Oct-92	Glioblastoma	YES	02-Jul-93	0.69589	GG	CT	TG	CG
8	study	15-Jul-92	Astrocytoma	YES	09-Feb-94	1.572603	GC	CT	TC	CG
9	study	09-Nov-95	Oligodendroglioma	YES	04-Mar-00	4.320548	CC	TT	TC	TC
10	study	21-Oct-92	Astrocytoma	YES	27-Nov-94	2.10137	GG	CT	TG	CG
11	study	29-Mar-93	Oligodendroglioma	NO	12-Dec-03	10.71233	GG	CC	CG	CG
12	study	20-Sep-93	Astrocytoma	YES	08-Sep-97	3.969863	GG	CC	CG	CG
13	study	29-Sep-92	Astrocytoma	NO	01-Oct-99	7.008219	GG	CC	CG	CG
14	study	30-May-92	Astrocytoma	YES	27-Sep-00	8.334247	GG	CC	CG	CG
15	study	26-Dec-90	Glioblastoma	YES	10-Sep-92	1.709589	GC	CT	TC	CG
16	study	15-Dec-88	Astrocytoma	YES	05-Mar-94	5.221918	GC	CT	TC	CG
17	study	16-Jan-93	Astrocytoma	NO	03-Sep-96	3.632877	GC	CT	TC	CG
18	study	07-Jul-94	Glioblastoma	YES	06-May-95	0.830137	GC	CT	TC	CG
19	study	25-Feb-94	Astrocytoma	NO	09-Nov-01	7.709589	CC	TT	TC	TC
20	study	13-Mar-90	Glioblastoma	YES	16-Mar-92	2.010959	GG	TT	TG	TG
21	study	15-Apr-95	Mixed Glioma	YES	07-Jul-98	3.230137	GC	CT	TC	CG
22	study	11-Aug-92	Glioblastoma	YES	31-Aug-93	1.054795	GG	TT	TG	TG
23	study	13-Mar-95	Mixed Glioma	YES	28-Nov-95	0.712329	GC	CT	TC	CG
24	study	22-Mar-93	Glioblastoma	YES	12-Feb-94	0.89589	GG	CC	CG	CG
25	study	16-Nov-94	Astrocytoma	NO	28-Nov-00	6.038356	GG	CT	TG	CG
26	study	15-Mar-89	Astrocytoma	YES	18-Dec-89	0.761644	CC	TT	TC	TC
27	study	25-Mar-92	Astrocytoma	YES	04-Jan-95	2.780822	GG	CC	CG	CG
28	study	01-Feb-93	Astrocytoma	NO	17-Jul-98	5.457534	GG	CC	CG	CG
29	study	23-Mar-92	Astrocytoma	YES	24-Jun-94	2.254795	GC	TT	TG	TC
30	study	01-Sep-92	Mixed Glioma	YES	10-May-95	2.687671	GC	CT	TC	CG
31	study	25-Aug-88	Mixed Glioma	YES	21-Mar-93	4.572603	GG	CT	TG	CG
32	study	07-Mar-88	Oligodendroglioma	YES	22-Jul-94	6.378082	CC	TT	TC	TC
33	study	21-Jan-94	Astrocytoma	NO	28-Jan-94	0.019178	GC	CT	TC	CG
34	study	01-Feb-91	Glioblastoma	YES	25-Sep-92	1.649315	GC	CT	TC	CG
35	study	25-Jun-91	Astrocytoma	NO	02-Oct-03	12.27945	GG	CT	TG	CG
36	study	03-Aug-92	Glioblastoma	YES	18-Jan-93	0.460274	GC	CT	TC	CG
37	study	10-Jan-92	Astrocytoma	YES	15-Oct-98	6.767123	GG	CC	CG	CG
38	study	09-Mar-94	Astrocytoma	NO	11-Mar-03	9.010959	GG	CC	CG	CG

ID	Cases	Diagnosis	Histology	Died	FU Date	FU Years	p405 gen	m460 gen	Hap1	Hap2
39	study	07-Sep-94	Mixed Glioma	YES	14-Jul-96	1.852055	GC	CT	TC	CG
40	study	02-May-94	Mixed Glioma	YES	08-Mar-96	1.852055	CC	TT	TC	TC
41	study	02-Jun-92	Mixed Glioma	NO	12-Feb-99	6.70137	GG	CC	CG	CG
42	study	03-Apr-95	Mixed Glioma	YES	20-Sep-96	1.468493	CC	TT	TC	TC
43	study	11-Aug-92	Glioblastoma	YES	11-Feb-95	2.50411	CC	TT	TC	TC
44	study	25-Feb-91	Glioblastoma	YES	21-Feb-93	1.991781	GG	CC	CG	CG
45	study	19-Jun-96	Oligodendroglioma	YES	23-Jul-98	2.093151	GC	CT	TC	CG
46	study	08-Apr-95	Astrocytoma	YES	03-Nov-95	0.572603	GG	CT	TG	CG
47	study	05-Mar-91	Glioblastoma	YES	11-Nov-91	0.687671	GG	CT	TG	CG
48	study	10-Sep-96	Oligodendroglioma	NO	17-Jul-03	6.852055	GC	CT	TC	CG
49	study	29-Jul-94	Astrocytoma	NO	21-Apr-04	9.736986	GG	TT	TG	TG
50	study	01-Jun-94	Astrocytoma	YES	17-May-95	0.958904	GC	CT	TC	CG
51	study	11-Jan-91	Oligodendroglioma	YES	29-Dec-95	4.967123	GC	TT	TG	TC
52	study	26-Jan-96	Oligodendroglioma	YES	05-Jun-00	4.361644	GC	CT	TC	CG
53	study	06-Jun-94	Glioblastoma	YES	20-Dec-94	0.539726	GG	CT	TG	CG
54	study	05-Jul-88	Glioblastoma	YES	01-Jan-89	0.493151	GG	TT	TG	TG
55	study	05-Nov-92	Astrocytoma	NO	11-Dec-92	0.09863	GG	CT	TG	CG
56	study	18-Sep-89	Oligodendroglioma	YES	15-Dec-01	12.24932	GG	CT	TG	CG
57	study	06-May-94	Glioblastoma	YES	25-Oct-94	0.471233	GC	TT	TG	TC
58	study	18-Jul-89	Glioblastoma	YES	12-May-91	1.816438	GG	CT	TG	CG
59	study	13-Jul-92	Astrocytoma	YES	20-Jan-95	2.523288	GC	TT	TG	TC
60	study	31-Jul-89	Glioblastoma	YES	04-Jul-91	1.926027	GC	TT	TG	TC
61	study	14-Jul-92	Astrocytoma	NO	02-Jul-03	10.9726	GG	CC	CG	CG
62	study	09-Feb-95	Astrocytoma	NO	20-Feb-04	9.035616	GG	CC	CG	CG
63	study	10-Jun-92	Mixed Glioma	YES	04-Jul-97	5.068493	GG	CC	CG	CG
64	study	11-Oct-91	Oligodendroglioma	YES	30-Aug-94	2.887671	GC	CT	TC	CG
65	study	01-May-92	Glioblastoma	YES	27-Nov-92	0.575342	GC	TT	TG	TC
66	study	14-Feb-94	Neuroepithelial	YES	11-Jun-96	2.323288	GG	CC	CG	CG
67	study	29-Sep-92	Glioblastoma	YES	14-Aug-94	1.873973	GG	CC	CG	CG
68	study	03-Feb-93	Oligodendroglioma	YES	10-Nov-94	1.767123	CC	TT	TC	TC
69	study	14-Dec-92	Astrocytoma	NO	02-Apr-99	6.30137	CC	TT	TC	TC
70	study	18-Jan-91	Glioblastoma	YES	15-May-91	0.320548	GG	TT	TG	TG
71	study	28-Dec-90	Astrocytoma	YES	02-Sep-95	4.682192	GC	TT	TG	TC
72	study	12-Sep-94	Neuroepithelial	YES	04-Apr-01	6.564384	GC	CT	TC	CG
73	study	04-Jan-93	Astrocytoma	YES	07-Nov-95	2.841096	GC	CT	TC	CG
74	study	04-Dec-91	Glioblastoma	YES	12-Dec-92	1.024658	GG	CC	CG	CG
75	study	02-Dec-94	Glioblastoma	YES	24-May-95	0.473973	GG	CC	CG	CG
76	study	15-Jul-94	Oligodendroglioma	NO	09-Sep-03	9.158904	CC	TT	TC	TC
77	study	20-Mar-92	Astrocytoma	YES	17-Apr-02	10.08219	GC	CT	TC	CG
78	study	20-Nov-92	Astrocytoma	NO	04-Aug-93	0.70411	GC	TT	TG	TC
79	study	09-May-89	Glioblastoma	YES	13-Sep-93	4.350685	CC	TT	TC	TC
80	study	09-Aug-92	Astrocytoma	YES	04-Feb-93	0.490411	GC	CT	TC	CG

ID	Cases	Diagnosis	Histology	Died	FU Date	FU Years	p405 gen	m460 gen	Hap1	Hap2
81	study	23-Oct-95	Astrocytoma	YES	15-Aug-96	0.813699	CC	TT	TC	TC
82	study	28-Sep-92	Astrocytoma	NO	02-Jun-95	2.676712	GG	CT	TG	CG
83	study	14-Nov-90	Astrocytoma	YES	05-Apr-93	2.391781	GG	CC	CG	CG
84	study	22-May-92	Glioblastoma	YES	16-Oct-92	0.40274	GC	TT	TG	TC
85	study	01-Feb-94	Astrocytoma	NO	10-Jul-98	4.438356	GG	CT	TG	CG
86	study	05-Nov-91	Neuroepithelial	NO	22-Nov-93	2.049315	GC	CT	TC	CG
87	study	09-Dec-91	Glioblastoma	YES	24-Jan-93	1.128767	GG	CT	TG	CG
88	study	16-Oct-92	Mixed Glioma	NO	17-Oct-93	1.00274	CC	TT	TC	TC
89	study	07-Aug-90	Mixed Glioma	NO	23-Jul-03	12.96712	GG	CC	CG	CG
90	study	08-Feb-93	Astrocytoma	NO	01-Oct-93	0.643836	GC	TT	TG	TC
91	study	20-Jun-91	Mixed Glioma	YES	16-Jul-93	2.073973	GG	CC	CG	CG
92	study	23-Jun-95	Astrocytoma	NO	14-Oct-03	8.315068	GG	CC	CG	CG
93	study	22-Jul-96	Oligodendroglioma	YES	15-Oct-02	6.235616	GC	CT	TC	CG
94	study	25-Nov-92	Glioblastoma	YES	07-Jan-94	1.117808	CC	TT	TC	TC
95	study	15-Nov-93	Oligodendroglioma	NO	19-May-98	4.509589	GG	TT	TG	TG
96	study	02-Feb-94	Oligodendroglioma	NO	03-Feb-94	0.00274	GC	CT	TC	CG
97	study	07-Jun-93	Oligodendroglioma	YES	30-Apr-01	7.90137	CC	TT	TC	TC
98	study	13-Mar-92	Astrocytoma	NO	10-Jan-04	11.83562	GC	TT	TG	TC
99	study	02-Apr-93	Astrocytoma	NO	02-Apr-93	0.00274	GC	CT	TC	CG
100	study	31-Mar-95	Oligodendroglioma	YES	05-Jul-97	2.265753	GG	CT	TG	CG
101	study	01-Jan-80	Mixed Glioma	YES	12-Dec-95	15.95616	GC	TT	TG	TC
102	study	06-Aug-93	Glioblastoma	YES	17-Nov-95	2.282192	GG	CC	CG	CG
103	study	03-Jul-96	Astrocytoma	NO	13-Jan-00	3.531507	GC	TT	TG	TC
104	study	28-Jun-89	Glioblastoma	YES	02-Aug-90	1.09589	GC	TT	TG	TC
105	study	11-Jul-96	Oligodendroglioma	NO	24-Feb-04	7.627397	GC	TT	TG	TC
106	study	19-Sep-95	Mixed Glioma	YES	12-Apr-96	0.564384	GG	TT	TG	TG
107	study	22-Mar-91	Glioblastoma	YES	04-Dec-91	0.70411	GC	CT	TC	CG
108	study	28-Dec-90	Oligodendroglioma	NO	16-May-04	13.39178	GG	TT	TG	TG
109	study	09-Dec-92	Mixed Glioma	NO	18-Oct-01	8.863014	GG	CC	CG	CG
110	study	01-Apr-95	Mixed Glioma	NO	10-Apr-01	6.030137	GC	CT	TC	CG
111	study	11-Jul-94	Glioblastoma	YES	05-Jun-95	0.90137	GC	CT	TC	CG
112	study	26-Feb-94	Glioblastoma	YES	24-Oct-94	0.657534	GG	CC	CG	CG
113	study	01-Mar-93	Astrocytoma	YES	07-Apr-95	2.10137	GG	CT	TG	CG
114	study	23-Nov-90	Astrocytoma	NO	20-Apr-04	13.41644	GC	CT	TC	CG
115	study	05-Jun-90	Glioblastoma	NO	06-Jun-90	0.00274	GC	CT	TC	CG
116	study	12-Mar-88	Astrocytoma	YES	05-Sep-97	9.490411	GC	CT	TC	CG
117	study	16-Jul-90	Mixed Glioma	NO	06-Apr-94	3.726027	CC	TT	TC	TC
118	study	02-Aug-93	Astrocytoma	YES	10-Oct-94	1.189041	GC	CT	TC	CG
119	study	21-May-96	Astrocytoma	NO	26-Jul-96	0.180822	GG	CC	CG	CG
120	study	15-Oct-96	Oligodendroglioma	YES	16-Feb-98	1.339726	GC	TT	TG	TC
121	study	11-Oct-96	Astrocytoma	YES	29-Jan-99	2.30137	GC	TT	TG	TC
122	study	16-Nov-96	Glioblastoma	YES	05-Jun-97	0.550685	GG	CC	CG	CG



ID	Cases	Diagnosis	Histology	Died	FU Date	FU Years	p405 gen	m460 gen	Hap1	Hap2
123	study	13-Aug-91	Mixed Glioma	NO	10-Jan-97	5.416438	GG	CC	CG	CG
124	study	07-Apr-97	Mixed Glioma	NO	25-Sep-97	0.468493	GG	CT	TG	CG
125	study	01-Apr-96	Astrocytoma	YES	15-Sep-98	2.457534	GG	CT	TG	CG
126	study	05-Aug-91	Glioblastoma	YES	10-Jul-98	6.934247	GC	TT	TG	TC
127	study	09-Sep-91	Astrocytoma	YES	26-May-93	1.712329	GG	CT	TG	CG
128	study	18-Nov-96	Astrocytoma	YES	17-Nov-97	0.99726	CC	TT	TC	TC
129	study	01-Mar-97	Astrocytoma	YES	03-Feb-99	1.928767	GG	CC	CG	CG
130	control	.			.	.	GC	CT	TC	CG
131	control	.			.	.	GG	CC	CG	CG
132	control	.			.	.	GC	CT	TC	CG
133	control	.			.	.	GC	TT	TG	TC
134	control	.			.	.	GC	CT	TC	CG
135	control	.			.	.	GG	CC	CG	CG
136	control	.			.	.	GC	CT	TC	CG
137	control	.			.	.	GG	CC	CG	CG
138	control	.			.	.	GG	CC	CG	CG
139	control	.			.	.	GC	TT	TG	TC
140	control	.			.	.	GC	TT	TG	TC
141	control	.			.	.	GG	CC	CG	CG
142	control	.			.	.	GC	CT	TC	CG
143	control	.			.	.	GC	CT	TC	CG
144	control	.			.	.	GC	TT	TG	TC
145	control	.			.	.	GC	CT	TC	CG
146	control	.			.	.	GG	CT	TG	CG
147	control	.			.	.	GC	CT	TC	CG
148	control	.			.	.	GG	CC	CG	CG
149	control	.			.	.	GG	CC	CG	CG
150	control	.			.	.	GC	CT	TC	CG
151	control	.			.	.	GC	CT	TC	CG
152	control	.			.	.	GC	TT	TG	TC
153	control	.			.	.	GG	CT	TG	CG
154	control	.			.	.	GG	CC	CG	CG
155	control	.			.	.	GC	CT	TC	CG
156	control	.			.	.	GG	CT	TG	CG
157	control	.			.	.	GC	CT	TC	CG
158	control	.			.	.	GC	TT	TG	TC
159	control	.			.	.	GG	CC	CG	CG
160	control	.			.	.	GG	CC	CG	CG
161	control	.			.	.	GC	CT	TC	CG
162	control	.			.	.	GC	CT	TC	CG
163	control	.			.	.	GG	CC	CG	CG
164	control	.			.	.	GC	CT	TC	CG

ID	Cases	Diagnosis	Histology	Died	FU Date	FU Years	p405 gen	m460 gen	Hap1	Hap2
165	control	.			.	.	CC	TT	TC	TC
166	control	.			.	.	CC	TT	TC	TC
167	control	.			.	.	GC	TT	TG	TC
168	control	.			.	.	GG	CT	TG	CG
169	control	.			.	.	GC	CT	TC	CG
170	control	.			.	.	GG	CC	CG	CG
171	control	.			.	.	GC	TT	TG	TC
172	control	.			.	.	GG	CC	CG	CG
173	control	.			.	.	GC	CT	TC	CG
174	control	.			.	.	GC	CT	TC	CG
175	control	.			.	.	GC	TT	TG	TC
176	control	.			.	.	GG	CC	CG	CG
177	control	.			.	.	GC	CT	TC	CG
178	control	.			.	.	GG	CT	TG	CG
179	control	.			.	.	GG	CT	TG	CG
180	control	.			.	.	GC	TT	TG	TC
181	control	.			.	.	GC	CT	TC	CG
182	control	.			.	.	GG	CT	TG	CG
183	control	.			.	.	GG	CT	TG	CG
184	control	.			.	.	GC	CT	TC	CG
185	control	.			.	.	GC	CT	TC	CG
186	control	.			.	.	GG	CT	TG	CG
187	control	.			.	.	GG	CT	TG	CG
188	control	.			.	.	GC	CT	TC	CG
189	control	.			.	.	GG	CC	CG	CG
190	control	.			.	.	GC	CT	TC	CG
191	control	.			.	.	GC	CT	TC	CG
192	control	.			.	.	GC	CT	TC	CG
193	control	.			.	.	GC	CT	TC	CG
194	control	.			.	.	GC	CT	TC	CG
195	control	.			.	.	GG	CT	TG	CG
196	control	.			.	.	GC	CT	TC	CG
197	control	.			.	.	GG	CT	TG	CG
198	control	.			.	.	CC	TT	TC	TC
199	control	.			.	.	GG	CC	CG	CG
200	control	.			.	.	GG	CT	TG	CG
201	control	.			.	.	GC	CT	TC	CG
202	control	.			.	.	CC	TT	TC	TC
203	control	.			.	.	GG	CT	TG	CG
204	control	.			.	.	GC	CT	TC	CG
205	control	.			.	.	GC	TT	TG	TC
206	control	.			.	.	GG	CT	TG	CG

ID	Cases	Diagnosis	Histology	Died	FU Date	FU Years	p405 gen	m460 gen	Hap1	Hap2
207	control	.			.	.	GC	CT	TC	CG
208	control	.			.	.	GC	CT	TC	CG
209	control	.			.	.	GC	CT	TC	CG
210	control	.			.	.	GG	TT	TG	TG
211	control	.			.	.	GG	CC	CG	CG
212	control	.			.	.	CC	TT	TC	TC
213	control	.			.	.	GG	CC	CG	CG
214	control	.			.	.	CC	TT	TC	TC
215	control	.			.	.	GG	CC	CG	CG
216	control	.			.	.	GG	CT	TG	CG
217	control	.			.	.	GG	CT	TG	CG
218	control	.			.	.	GG	CT	TG	CG
219	control	.			.	.	GG	CT	TG	CG
220	control	.			.	.	GC	CT	TC	CG
221	control	.			.	.	GG	CT	TG	CG
222	control	.			.	.	CC	TT	TC	TC
223	control	.			.	.	GG	CC	CG	CG
224	control	.			.	.	GC	CT	TC	CG
225	control	.			.	.	GG	CT	TG	CG
226	control	.			.	.	GG	CT	TG	CG
227	control	.			.	.	GG	CT	TG	CG
228	control	.			.	.	GG	TT	TG	TG
229	control	.			.	.	GC	CT	TC	CG
230	control	.			.	.	GG	CC	CG	CG

## Appendix IV – Paediatric tumour VEGF data table

ID	dob	Gend	Date Diagnosis	Diagnosis Category	Pres Age	Rec	Date Rec	Time Rec	Died	Date FU	Time FU	GTR	grade	m460 Gen	p405 Gen	Hap 1	Hap 2
1	26/09/1984	M	26/06/1998	PITUITARY	13.76							0	1	CC	GG	CG	CG
2	25/03/1985	F	25/08/1998	MEDULLOBLASTOMA	13.43	No	12/12/2005	7.30	No	12/12/2005	7.30	1	4	TT	GG	TG	TG
3	28/11/1985	M	04/11/1998	CRANIOPHARYNGIOMA	12.94	No	30/08/2004	5.82	No	30/08/2004	5.82	1	1	CC	GG	CG	CG
4	01/03/1986	M	07/01/2004	NEUROCYTOMA	17.87	Yes	07/01/2004	0.00	No	26/04/2004	0.30	0	3	CT	CG	CG	TC
5	25/05/1987	M	01/11/2001	GANGLIOGLIOMA	14.45	No	31/05/2005	3.58	No	31/05/2005	3.58	0	1	TT	CC	TC	TC
6	06/12/1987	F	11/09/1998	ASTROCYTOMA	10.77	No	06/05/2003	4.65	No	06/05/2003	4.72	1	1	TT	CC	TC	TC
7	21/11/1988	M	16/02/2000	ASTROCYTOMA	11.24	Yes	21/07/2004	4.43	No	30/05/2005	5.29	0	1	CT	CG	CG	TC
8	10/11/1984	M	30/05/1998	SARCOMA	13.56							0	3	TT	CC	TC	TC
9	05/05/1988	M	26/06/2000	GERM CELL TUMOUR	12.15	No	28/10/2004	4.34	No	28/10/2004	4.34	0	3	CT	CG	CG	TC
10	30/06/1987	F	07/05/1999	ASTROCYTOMA	11.86	Yes	01/03/2004	4.82	No	04/03/2004	4.90	0	1	CT	GG	CG	TG
11	27/05/1990	M	25/09/2001	DPG	11.34	Yes	19/10/2001	0.07	Yes	30/12/2001	0.26	0	4	TT	CG	TC	TG
12	17/07/1990	M	22/05/1998	PNET	7.85	No	13/05/1999	0.98	No	13/05/1999	0.98	0	3	CT	CG	CG	TC
13	01/05/1990	M	19/05/1998	ASTROCYTOMA	8.05	No	30/06/2004	6.12	No	30/06/2004	6.21	0	1	CT	CG	CG	TC
14	10/11/1984	M	26/03/1999	GERMINOMA	14.38	No	20/06/2002	3.24	No	20/06/2002	3.24	0	1	TT	GG	TG	TG
15	04/06/1991	M	31/08/2000	GERMINOMA	9.25	No	12/09/2000	0.03	No	12/09/2000	0.03	0	1	CT	CG	CG	TC
16	14/05/1991	M	19/12/2001	ASTROCYTOMA	10.61	No	01/12/2004	2.95	No	01/12/2004	2.99	0	1	CT	GG	CG	TG
17	25/04/1991	F	18/03/1998	ASTROCYTOMA	6.90	No	12/11/2002	4.66	No	12/11/2002	4.72	1	1	CT	CG	CG	TC
18		M	01/01/2001	GERMINOMA		No	21/04/2005	4.30	No	21/04/2005	4.30	0		CC	GG	CG	CG
19	15/02/1992	M	13/04/2000	ASTROCYTOMA	8.16	No	29/01/2004	3.80	No	29/01/2004	3.85	0	1	TT	CC	TC	TC
20	06/10/1992	M	12/10/2002	ASTROCYTOMA	10.02							0	1	TT	GG	TG	TG
21	02/05/1988	F	18/02/2000	ASTROCYTOMA	11.81	No	22/06/2004	4.35	No	22/06/2004	4.41	1	1	CT	GG	CG	TG
22	18/05/1992	F	18/12/1999	ASTROCYTOMA	7.59							0	1	CT	CG	CG	TC
23	05/12/1986	F	25/06/2001	GANGLIOGLIOMA	14.56	No	07/04/2004	2.79	No	07/04/2004	2.79	0	1	TT	CC	TC	TC
24	01/03/1993	F	18/06/2002	ASTROCYTOMA	9.30	No	29/06/2004	2.03	No	29/06/2004	2.06	1	1	CT	CG	CG	TC
25		F	19/03/1999											TT	CG	TG	TC
26	08/07/1986	M	05/12/1996	DNET	10.42	Yes	18/12/1997	1.04	No	13/04/2004	7.36	0	1	TT	CC	TC	TC
27	15/12/1997	F	26/02/1999	EPENDYMOMA	1.20	Yes	30/05/2000	1.26	Yes	05/01/2001	1.86	0	3	CT	GG	CG	TG
28	14/08/1993	F	03/12/2001	ASTROCYTOMA	8.31	No	31/05/2005	3.49	No	31/05/2005	3.54	1	1	CT	CG	CG	TC
29	14/07/1992	F	11/12/2000	ASTROCYTOMA	8.42	No	05/04/2004	3.32	No	05/04/2004	3.36	0	1	CT	CG	CG	TC
30	30/06/1992		21/11/1997	CRANIOPHARYNGIOMA	5.40	No	18/01/2005	7.16	No	18/01/2005	7.16	1	1	TT	GG	TG	TG
31	16/12/1993	M	11/02/2000	MEDULLOBLASTOMA	6.16	No	22/03/2005	5.11	No	22/03/2005	5.11	1	4	TT	CC	TC	TC
32	19/03/1990	M	19/03/2002	OLIGODENDROGLIOMA	12.01	No	05/08/2004	2.38	No	05/08/2004	2.38	1	2	TT	CG	TG	TC
33	14/06/1983	F	24/02/1998	GANGLIOGLIOMA	14.71	No	14/06/2001	3.30	No	14/06/2001	3.30	0	1	CT	CG	CG	TC
34	20/02/1986	F	28/04/1998	GANGLIOGLIOMA	12.19	No	04/02/2004	5.78	No	04/02/2004	5.78	1	1	CT	CG	CG	TC
35	11/03/1986	M	06/07/2000	PITUITARY	14.33	Yes	21/12/2001	1.46	No	10/03/2004	3.68	0	1	CC	GG	CG	CG
36	27/02/1989	M	24/12/2002	MEDULLOBLASTOMA	13.83	No	06/04/2005	2.28	No	06/04/2005	2.28	1	4	TT	CG	TG	TC
37	01/08/1991	M	08/06/2000	DNET	8.86	No	02/03/2005	4.73	No	02/03/2005	4.73	0	1	CT	GG	CG	TG
38	01/11/1986	M	10/09/1998	ASTROCYTOMA	11.87	No	06/12/2004	6.24	No	06/12/2004	6.33	1	1	CT	GG	CG	TG
39	17/12/1993	M	21/03/2001	MEDULLOBLASTOMA	7.26	Yes	05/03/2002	0.96	Yes	15/05/2002	1.15	0	4	CT	CG	CG	TC
40	01/10/1995	F	03/04/1998	ASTROCYTOMA	2.51	No	30/09/2003	5.50	No	30/09/2003	5.57	1	1	CT	GG	CG	TG
41	01/04/1995	M	11/09/2002	ASTROCYTOMA	7.45	No	04/10/2004	2.07	No	04/10/2004	2.09	1	1	CT	CG	CG	TC
42	17/04/1994	M	15/04/1999	MEDULLOBLASTOMA	5.00	No	31/03/2005	5.96	No	31/03/2005	5.96	1	4	CT	GG	CG	TG
43	31/12/1995	F	19/02/2002	ASTROCYTOMA	6.14	No	22/11/2004	2.76	No	22/11/2004	2.80	1	1	CT	CG	CG	TC
44	24/08/1995	M	22/01/2002	ASTROCYTOMA	6.42	No	03/05/2005	3.28	No	03/05/2005	3.33	1	1	CT	CG	CG	TC
45	16/06/1995	M	18/10/1997	MEDULLOBLASTOMA	2.34	Yes	21/05/2000	2.59	Yes	15/08/2000	2.83	0	3	CT	CG	CG	TC
46	29/09/1982	M	03/11/1997	GERMINOMA	15.11	No	21/06/2000	2.63	No	21/06/2000	2.63	0	1	CT	GG	CG	TG
47	02/10/1992	M	20/03/2001	ASTROCYTOMA	8.47	No	07/11/2003	2.64	No	07/11/2003	2.67	1	1	CT	GG	CG	TG
48	04/03/1999	M	27/09/1999	DNET	8.57	No	23/03/2004	4.49	No	23/03/2004	4.49	1	1	CC	GG	CG	CG

ID	dob	Gen	Date Diagnosis	Diagnosis Category	Pres Age	Rec	Date Rec	Time Rec	Died	Date FU	Time FU	GTR	grade	m460 Gen	p405 Gen	Hap 1	Hap 2
	1		9														
49	26/08/1996	M	17/12/1997	ASTROCYTOMA	1.31	No	18/11/2004	6.93	No	18/11/2004	7.02	0	1	CT	CG	CG	TC
50	10/02/1995	F	16/07/2001	MEDULLOBLASTOMA	6.43	No	19/01/2005	3.52	No	19/01/2005	3.52	1	4	TT	CG	TG	TC
51	17/09/1996	F	09/01/2002	ASTROCYTOMA	5.32	No	21/02/2005	3.12	No	21/02/2005	3.16	1	1	TT	CG	TG	TC
52	05/05/1996	M	13/11/1998	ASTROCYTOMA	2.53	No	28/07/2004	5.71	No	28/07/2004	5.79	1	1	CT	CG	CG	TC
53	18/12/1993	M	04/02/1999	GANGLIOGLIOMA	5.13	No	17/11/2004	5.79	No	17/11/2004	5.79	0	1	CT	CG	CG	TC
54	20/11/1993	F	19/12/1997	OLIGOASTROCYTOMA	4.08	No	17/03/2005	7.25	No	17/03/2005	7.25	1	2	CC	GG	CG	CG
55	13/01/1987	F	17/11/1997	DNET	10.85	No	27/01/2005	7.20	No	27/01/2005	7.20	1	1	CT	CG	CG	TC
56	19/08/1986	F	03/11/1997	GANGLIOGLIOMA	11.22	No	15/01/2002	4.20	No	15/01/2002	4.20	1	1	TT	GG	TG	TG
57	21/06/1994	M	02/07/1998	ATRTR	4.03	Yes	04/10/1998	0.26	Yes	04/10/1998	0.26	0	4	CC	GG	CG	CG
58	15/05/1990	M	16/10/1997	ASTROCYTOMA	7.43	No	04/12/1997	0.13	No	04/12/1997	0.14	1	3	CC	GG	CG	CG
59		M	24/02/1998	ASTROCYTOMA		No	14/09/2004	6.56	No	14/09/2004	6.56		1	CC	GG	CG	CG
60	30/10/1982	F	11/11/1997	EPENDYMOMA	15.04	No	28/06/2001	3.63	No	28/06/2001	3.63	1	2	CT	CG	CG	TC
61	13/05/1993	M	24/12/1997	ASTROCYTOMA	4.62	No	18/07/2002	4.57	No	18/07/2002	4.63	1	1	TT	CC	TC	TC
62	13/05/1993	F	11/09/1998	PINEOBLASTOMA	5.33	Yes	26/02/2001	2.46	Yes	02/04/2001	2.56	0	3	TT	CC	TC	TC
63	16/01/1994		26/02/1998	ASTROCYTOMA	4.12	No	23/02/2005	7.00	No	23/02/2005	7.00	1	1	CT	CG	CG	TC
64	07/04/1987	M	26/02/1998	ASTROCYTOMA	10.90	No	23/02/2005	7.00	No	23/02/2005	7.09	1	1	CC	GG	CG	CG
65	10/01/1998	F	23/11/2001	ASTROCYTOMA	3.87	No	10/05/2005	3.46	No	10/05/2005	3.46	1	1	CC	GG	CG	CG
66	04/11/1985	M	28/04/1999	DNET	13.49							0	1	CT	CG	CG	TC
67	18/10/1983	F	06/04/1998	GANGLIOGLIOMA	14.48	No	16/08/2001	3.36	No	16/08/2001	3.36	1	1	CC	GG	CG	CG
68	26/07/1996	M	14/04/1998	EPENDYMOMA	1.72	Yes	27/05/2000	2.12	Yes	27/05/2000	2.12	1	3	TT	GG	TG	TG
69	21/04/1994	M	14/05/1998	PNET	4.07							1	4	CC	GG	CG	CG
70	24/08/1992	F	09/06/1998	HAMARTOMA	5.79	No	31/05/2004	5.98	No	31/05/2004	5.98	0	1	CC	GG	CG	CG
71	01/05/1997	M	28/07/2000	MEDULLOBLASTOMA	3.24	No	01/12/2004	4.35	No	01/12/2004	4.35	1	4	CT	CG	CG	TC
72	12/11/1988	F	16/07/1998	MEDULLOBLASTOMA	13.68	No	17/06/2003	4.92	No	17/06/2003	4.92	1	4	CT	CG	CG	TC
73	03/03/1997	M	02/06/1998	ASTROCYTOMA	1.25	No	25/01/2005	6.65	No	25/01/2005	6.75	1	1	TT	CG	TG	TC
74	08/04/1994	M	10/06/1998	MEDULLOBLASTOMA	4.18	No	24/03/2004	5.79	No	24/03/2004	5.79	1	4	TT	CC	TC	TC
75	04/08/1995	M	31/01/2003	MEDULLOBLASTOMA	7.50	No	21/05/2005	2.30	No	21/05/2005	2.30	0	4	CC	GG	CG	CG
76	02/09/1994	M	28/01/1999	ASTROCYTOMA	4.41	No	23/11/2004	5.82	No	23/11/2004	5.91	0	1	CT	CG	CG	TC
77	20/05/1996	F	10/08/1998	ATRTR	2.22							0	4	CT	CG	CG	TC
78	22/11/1994	F	14/08/1998	ASTROCYTOMA	3.73	Yes	21/02/2005	6.53	No	01/06/2005	6.90	1	1	CT	CG	CG	TC
79	25/10/1992	F	21/08/1998	LYMPHOMA	5.82	No	01/04/2005	6.62	No	01/04/2005	6.62	0	3	CT	CG	CG	TC
80		F	16/06/1998	ASTROCYTOMA									3	CC	GG	CG	CG
81	19/06/1991	F	04/04/2001	CRANIOPHARYNGIOMA	9.80	Yes	04/04/2001	0.00	No	05/04/2004	3.01	0	1	CC	GG	CG	CG
82	27/08/1994	F	24/09/1998	OLIGODENDROGLIOMA	4.08	Yes	10/02/2005	6.39	No	08/06/2005	6.71	0	2	CC	GG	CG	CG
83	28/06/1996	M	09/09/1998	MEDULLOBLASTOMA	2.20	No	30/08/2004	5.98	No	30/08/2004	5.98	0		TT	CC	TC	TC
84	19/08/1998	M	18/10/2002	EPENDYMOMA	4.17	No	08/05/2003	0.55	No	08/05/2003	0.55	1	3	CT	CG	CG	TC
85	07/08/1984	M	14/10/1998	SCHWANNOMA	14.19	No	20/01/2002	3.27	No	20/01/2002	3.27	1	1	TT	GG	TG	TG
86	10/05/1998	M	13/11/1998	CPP	0.51	No	10/05/2005	6.49	No	10/05/2005	6.49	1	2	CT	CG	CG	TC
87	14/06/1994	M	19/11/1998	ASTROCYTOMA	4.44	No	11/08/2004	5.73	No	11/08/2004	5.81	0	1	CT	GG	CG	TG
88	21/09/1990	M	03/12/1998	CRANIOPHARYNGIOMA	8.21	No	11/01/2005	6.11	No	11/01/2005	6.11	0	1	CT	CG	CG	TC
89	26/07/1992	F	15/12/1998	MEDULLOBLASTOMA	6.39	No	16/12/2004	6.01	No	16/12/2004	6.01	1	4	CC	GG	CG	CG
90	20/05/1988	M	21/12/1998	ASTROCYTOMA	10.59	No	26/10/2004	5.85	No	26/10/2004	5.93	1	1	CT	GG	CG	TG
91	24/09/1984	M	21/04/1999		14.58	No	30/09/2002	3.45	No	30/09/2002	3.45			CC	GG	CG	CG
92	07/06/1985	F	12/01/1999	ASTROCYTOMA	13.61	No	17/10/2002	3.76	No	17/10/2002	3.82	1	1	TT	CC	TC	TC
93	28/01/1986	M	02/02/1999	MEDULLOBLASTOMA	13.02	No	11/06/2004	5.36	No	11/06/2004	5.36	1	4	TT	CG	TG	TC
94	15/12/1997	F	04/02/1999	EPENDYMOMA	1.14							1	3	CC	GG	CG	CG
95	01/07/1996	F	08/03/1999	SARCOMA	2.68	Yes	22/06/1999	0.29	No	22/06/1999	0.29	0	3	CT	CG	CG	TC
96	24/11/1993	F	08/03/1999	CPC	5.29	Yes	16/10/2000	1.61	No	28/04/2004	5.15	1	3	CT	CG	CG	TC
97	07/01/1994	F	12/03/1999	ASTROCYTOMA	5.18	No	18/05/2004	5.19	No	18/05/2004	5.26	1	1	CT	CG	CG	TC
98	26/02/1990	M	03/08/2000	CRANIOPHARYNGIOMA	10.44	No	05/08/2004	4.01	No	05/08/2004	4.01	0	1	CC	GG	CG	CG
99	08/01/1998	M	08/04/1999	HAEMANGIOENDOTHELIOMA	1.25	No	18/11/2003	4.62	No	18/11/2003	4.62	0	1	CC	GG	CG	CG

ID	dob	Gend	Date Diagnosis	Diagnosis Category	Pres Age	Rec	Date Rec	Time Rec	Died	Date FU	Time FU	GTR	grade	m460 Gen	p405 Gen	Hap 1	Hap 2
100		F	15/12/1999	ASTROCYTOMA		Yes	24/05/2003	3.44	No	24/11/2004	4.95	0	1	CC	GG	CG	CG
101	19/01/1992	F	31/05/1999	CRANIOPHARYNGIOMA	7.37	No	08/06/2004	5.03	No	08/06/2004	5.03	0	1	CT	CG	CG	TC
102	17/08/1985	F	04/03/2003	ASTROBLASTOMA	17.56	No	26/05/2003	0.23	No	26/05/2003	0.23	0	2	CC	GG	CG	CG
103	15/06/1989	M	27/07/1999	GANGLIOGLIOMA	10.12	Yes	01/11/2000	1.27	Yes	18/01/2001	1.48	0	3	CC	GG	CG	CG
104	25/07/1993	M	31/08/1999	EPENDYMOMA	6.10	Yes	20/07/2004	4.89	No	12/05/2005	5.70	0		CT	GG	CG	TG
105	06/03/1997	F	01/09/1999	EPENDYMOMA	2.49	Yes	01/02/2000	0.42	Yes	18/05/2000	0.71	0	3	CT	CG	CG	TC
106	02/06/1995	M	25/10/1999	MEDULLOBLASTOMA	4.40	No	05/03/2005	5.36	No	05/03/2005	5.36	1	4	CC	GG	CG	CG
107	20/04/1989	F	10/10/2001	OLIGOASTROCYTOMA	12.48	No	26/08/2004	2.88	No	26/08/2004	2.88	0	2	CT	GG	CG	TG
108	01/12/1990	M	02/12/1999	GERMINOMA	9.01	No	12/05/2005	5.45	No	12/05/2005	5.45	0	1	TT	CC	TC	TC
109	28/01/1997	F	22/12/1999	ASTROCYTOMA	2.90	No	07/12/2004	4.96	No	07/12/2004	5.03	1	1	CT	GG	CG	TG
110	29/06/1992	M	26/12/1999	CRANIOPHARYNGIOMA	7.50	Yes	04/11/2002	2.86	No	11/01/2005	5.05	0	1	CT	GG	CG	TG
111	23/06/1998	F	29/01/2000	ASTROCYTOMA	1.60	Yes	14/08/2000	0.54	No	01/12/2004	4.84	0	1	CT	CG	CG	TC
112	23/06/1998	F	29/01/2000	ASTROCYTOMA	1.60	Yes	14/08/2000	0.54	No	01/12/2004	4.91	1	1	CT	CG	CG	TC
113	26/08/1994	M	27/04/2000	GANGLIOGLIOMA	5.67	No	28/07/2004	4.25	No	28/07/2004	4.25	1		TT	CC	TC	TC
114	04/01/1992	M	07/02/2000	CRANIOPHARYNGIOMA	8.10	No	04/09/2002	2.58	No	04/09/2002	2.58	1	1	TT	CG	TG	TC
115	29/01/1998	F	25/02/2000	MEDULLOBLASTOMA	2.07	Yes	15/06/2000	0.30	Yes	08/08/2000	0.45	0	4	CT	GG	CG	TG
116	02/03/1992	M	10/03/2000	EPENDYMOMA	8.03	No	17/05/2005	5.19	No	17/05/2005	5.19	1	2	CT	GG	CG	TG
117	16/08/1986	F	15/05/2000	PITUITARY	13.76	No	22/06/2001	1.10	No	22/06/2001	1.10	1	1	CT	CG	CG	TC
118	07/10/1998	M	06/04/2000	ASTROCYTOMA	1.50	No	13/05/2005	5.10	No	13/05/2005	5.18	0	1	TT	CG	TG	TC
119	25/12/1993	F	11/04/2000	ASTROCYTOMA	6.30	Yes	17/05/2005	5.10	No	17/05/2005	5.17	0	1	TT	GG	TG	TG
120	08/08/1996	M	27/04/2000	ASTROCYTOMA	3.72	Yes	05/08/2004	4.28	No	05/08/2004	4.34	1	2	TT	CC	TC	TC
121	21/08/1997	M	29/04/2000	ASTROCYTOMA	2.69	No	17/02/2004	3.81	No	17/02/2004	3.86	1	1	CC	GG	CG	CG
122	12/09/1998	M	30/05/2000	MEDULLOBLASTOMA	1.72	Yes	12/03/2002	1.78	No	17/03/2005	4.80	0	4	CC	GG	CG	CG
123	22/09/1991	F	03/06/2000	EPENDYMOMA	8.70	No	16/12/2004	4.54	No	16/12/2004	4.54	0	3	CC	GG	CG	CG
124	04/02/1997	M	16/06/2000	ASTROCYTOMA	3.36	No	02/09/2004	4.22	No	02/09/2004	4.28	1	1	TT	CC	TC	TC
125	01/12/1990	M	29/06/2000	ASTROCYTOMA	9.58	Yes	01/10/2003	3.26	No	16/05/2005	4.95	1	1	TT	CC	TC	TC
126	01/12/1995	M	24/05/2002	MEDULLOBLASTOMA	6.48	Yes	20/02/2005	2.75	No	25/04/2005	2.92	0	4	CT	CG	CG	TC
127	20/10/1995	F	20/07/2000	ASTROCYTOMA	4.75	No	10/06/2002	1.89	No	10/06/2002	1.92	1	1	TT	GG	TG	TG
128	20/10/1995	M	29/07/2000	MEDULLOBLASTOMA	4.78	No	01/12/2004	4.35	No	01/12/2004	4.35	0	4	CT	CG	CG	TC
129	10/08/2000	M	26/04/2001	MELANOCYTOMA	0.71	Yes	25/07/2001	0.25	Yes	25/07/2001	0.25	0		TT	CC	TC	TC
130	14/12/1997	M	01/09/2000	GANGLIOGLIOMA	2.72	Yes	16/01/2001	0.38	Yes	12/03/2001	0.53	0	3	CT	CG	CG	TC
131	18/05/1992	F	19/01/2005	GERMINOMA	12.68	No	14/03/2005	0.15	No	14/03/2005	0.15	0		TT	CG	TG	TC
132	31/12/1986	F	24/10/2000	DIG	13.82	No	12/08/2004	3.80	No	12/08/2004	3.80	0	1	CC	GG	CG	CG
133	09/02/1994	F	25/10/2000	MEDULLOBLASTOMA	6.71	No	16/12/2004	4.15	No	16/12/2004	4.15	0	4	CT	CG	CG	TC
134	10/03/1990	F	02/11/2000	CRANIOPHARYNGIOMA	10.66	No	28/06/2004	3.65	No	28/06/2004	3.65	1	1	TT	CG	TG	TC
135	18/01/1995	F	13/11/2000	CRANIOPHARYNGIOMA	5.82	No	01/12/2004	4.05	No	01/12/2004	4.05	0	1	TT	GG	TG	TG
136	21/09/1998	F	19/12/2000	MEDULLOBLASTOMA	2.25	Yes	21/02/2003	2.18	Yes	02/10/2003	2.79	0	4	CT	GG	CG	TG
137	04/08/1994	M	20/01/2001	MEDULLOBLASTOMA	6.47	Yes	04/02/2005	4.04	No	04/04/2005	4.21	0	4	TT	CC	TC	TC
138	07/12/1990	M	26/02/2001	EPENDYMOMA	10.23	No	14/10/2004	3.63	No	14/10/2004	3.63	1	3	CC	GG	CG	CG
139	10/03/1993	M	27/02/2001	ASTROCYTOMA	7.98	No	18/08/2004	3.47	No	18/08/2004	3.47	1	1	CT	CG	CG	TC
140	10/03/1993	M	27/02/2001	ASTROCYTOMA	7.98	No	18/08/2004	3.47	No	18/08/2004	3.52	1	1	CT	CG	CG	TC
141	09/12/1992	F	16/04/2001	ASTROCYTOMA	8.36	No	29/03/2005	3.95	No	29/03/2005	4.01	0	1	CT	GG	CG	TG
142	07/08/1992	M	09/03/2001	PITUITARY	8.59	No	22/02/2005	3.96	No	22/02/2005	3.96	0	1	CT	CG	CG	TC
143	22/01/1996	M	22/03/2001	DPG	5.17	Yes	01/06/2002	1.19	Yes	18/10/2002	1.58	0	4	CT	CG	CG	TC
144	21/06/1993	M	26/03/2001	CRANIOPHARYNGIOMA	7.77	No	10/05/2005	4.13	No	10/05/2005	4.13	0	1	CT	GG	CG	TG
145		F	14/05/2001	DPG		Yes	12/10/2001	0.41	Yes	25/11/2001	0.53	0	4	CT	GG	CG	TG
146	23/06/1995	M	16/05/2001	DPG	5.90	Yes	02/05/2002	0.96	Yes	18/05/2002	1.01	0	4	TT	CG	TC	TG
147	02/07/1989	M	29/05/2001	ASTROCYTOMA	11.92	No	18/10/2004	3.39	No	18/10/2004	3.44	1	1	CT	GG	CG	TG
148		M	13/08/2001	ATRT		Yes	23/07/2002	0.94	Yes	03/08/2002	0.97	0	4	CC	GG	CG	CG
149	08/02/1988	F	12/06/2001	GERM CELL TUMOUR	13.35	No	10/03/2005	3.75	No	10/03/2005	3.75	0	1	TT	CG	TG	TC
150	31/07/1989	F	26/11/2001	OLIGOASTROCYTOMA	12.33	Yes	05/04/2004	2.36	No	17/11/2004	2.98	0	2	CT	CG	CG	TC
151	18/04/2000	M	29/06/2001	EPENDYMOMA	1.20	No	07/12/2001	0.44	No	16/05/2005	3.88	1	3	TT	CC	TC	TC

ID	dob	Gen	Date Diagnosis	Diagnosis Category	Pres Age	Rec	Date Rec	Time Rec	Died	Date FU	Time FU	GTR	grade	m460 Gen	p405 Gen	Hap 1	Hap 2
152	04/12/1996	M	20/07/2001	PNET	4.63	Yes	05/10/2001	0.21	Yes	28/01/2002	0.53	0	3	CC	GG	CG	CG
153	19/03/2001	M	07/08/2001	CPP	0.39	No	01/12/2004	3.32	No	01/12/2004	3.32	1	1	CC	GG	CG	CG
154	26/09/1996	M	18/08/2001	MEDULLOBLASTOMA	4.90	No	08/03/2005	3.56	No	08/03/2005	3.56	0	4	CC	GG	CG	CG
155	12/11/1987	F	11/12/2001	GANGLIOGLIOMA	14.09	No	20/09/2004	2.78	No	20/09/2004	2.78	0	1	TT	CC	TC	TC
156	26/08/1994	M	07/11/2001	PNET	7.21	Yes	03/09/2002	0.82	Yes	13/11/2002	1.02	0		CT	GG	CG	TG
157	01/10/1989	M	14/09/2001	ASTROCYTOMA	11.96	No	22/11/2004	3.19	No	22/11/2004	3.24	1	1	CT	CG	CG	TC
158			08/10/2001	DPG		Yes	02/06/2002	0.65	Yes	05/08/2002	0.82	0		CC	GG	CG	CG
159	21/06/1989	F	09/10/2001	ASTROCYTOMA	12.31	No	12/08/2004	2.84	No	12/08/2004	2.88	1	1	CT	CG	CG	TC
160	20/07/1990	F	22/10/2001	CRANIOPHARYNGIOMA	11.27	No	07/06/2005	3.63	No	07/06/2005	3.63	0	1	TT	CC	TC	TC
161	13/04/2000	M	21/10/2001	ASTROCYTOMA	1.52	No	07/11/2003	2.05	No	07/11/2003	2.08	1	1	TT	CG	TG	TC
162	02/04/1997	M	14/01/2002	MEDULLOBLASTOMA	4.79	No	13/04/2005	3.25	No	13/04/2005	3.25	1	4	CT	GG	CG	TG
163	22/10/1987	M	27/11/2001	CRANIOPHARYNGIOMA	14.11	No	25/11/2004	3.00	No	25/11/2004	3.00	0	1	TT	CG	TG	TC
164	26/02/1988	F	07/11/2001	CRANIOPHARYNGIOMA	13.71	No	17/05/2004	2.53	No	17/05/2004	2.53	0	1	CC	GG	CG	CG
165	13/07/2000	F	18/11/2001	MEDULLOBLASTOMA	1.35	Yes	04/02/2002	0.21	Yes	08/03/2002	0.30	0	4	TT	CG	TG	TC
166	07/03/1993	M	18/12/2001	ASTROCYTOMA	8.79	Yes	05/01/2005	3.05	No	05/01/2005	3.09	0	1	CT	GG	CG	TG
167	05/06/1994	F	28/12/2001	ASTROCYTOMA	7.57	No	26/05/2005	3.41	No	26/05/2005	3.46	0	1	CT	CG	CG	TC
168	04/05/1987	M	31/12/2001	ASTROCYTOMA	14.67	No	02/09/2003	1.67	Yes	02/09/2003	1.69	0	1	CT	CG	CG	TC
169	23/06/1999	M	09/01/2002	MEDULLOBLASTOMA	2.55	No	20/06/2005	3.45	No	20/06/2005	3.45	1	4	CT	GG	CG	TG
170		M	15/01/2002	PNET		No	09/03/2005	3.15		09/03/2005	3.15	1		CT	GG	CG	TG
171	14/03/1995	M	10/01/2002	ASTROCYTOMA	6.83	No	31/08/2004	2.64	No	31/08/2004	2.68	1	1	CT	GG	CG	TG
172	25/04/1988	M	13/01/2002	ASTROCYTOMA	13.73	No	01/11/2004	2.80	No	01/11/2004	2.84	1	1	TT	CC	TC	TC
173	17/03/2001	M	22/01/2002	ASTROCYTOMA	0.85	No	09/05/2005	3.30	No	09/05/2005	3.34	0	1	CT	CG	CG	TC
174	24/03/2001	M	06/03/2002	MEDULLOEPITHELIOMA	0.95	Yes	01/07/2003	1.32	Yes	28/07/2003	1.39	1	4	TT	CG	TG	TC
175	09/04/1989	F	24/02/2002	ASTROCYTOMA	12.89	No	03/10/2004	2.61	No	03/10/2004	2.64	1	1	TT	GG	TG	TG
176	26/10/1990	F	11/04/2002	ASTROCYTOMA	11.47	No	30/03/2005	2.97	No	30/03/2005	3.01	1	1	CT	CG	CG	TC
177	14/10/1992	M	09/05/2002	MEDULLOBLASTOMA	9.57	Yes	14/10/2002	0.43	No	14/10/2002	0.43	0	4	CC	GG	CG	CG
178		F	11/07/2002	GERMINOMA		No	21/04/2005	2.78	No	21/04/2005	2.78	0	1	TT	CG	TG	TC
179	14/02/1999	F	30/06/2002	CRANIOPHARYNGIOMA	3.38	No	08/04/2005	2.78	No	08/04/2005	2.78	0		TT	CG	TG	TC
180	25/11/1999	M	22/06/2002	EPENDYMOMA	2.58	No	17/03/2005	2.74	No	17/03/2005	2.74	0	2	TT	CG	TG	TC
181	13/09/1998	F	29/06/2002	MEDULLOBLASTOMA	3.79	No	08/06/2005	2.95	No	08/06/2005	2.95	1	4	CC	CG	CC	CG
182	04/05/1992	F	05/07/2002	MEDULLOBLASTOMA	10.18	No	24/01/2005	2.56	No	24/01/2005	2.56	0	4	TT	CG	TG	TC
183			15/07/2002	DPG		Yes	13/12/2002	0.41	Yes	08/01/2003	0.48	0		CT	CG	CG	TC
184	11/10/1999	M	29/07/2002	ASTROCYTOMA	2.80	No	18/11/2004	2.31	No	18/11/2004	2.34	1	1	CT	GG	CG	TG
185	26/08/1993	F	01/08/2002	ASTROCYTOMA	8.94	No	21/05/2005	2.81	No	21/05/2005	2.84	0	1	CT	CG	CG	TC
186	19/03/2002	F	22/08/2002	EPENDYMOMA	0.43	Yes	17/03/2003	0.57	Yes	21/03/2003	0.58	0		CT	CG	CG	TC
187	28/04/1993	F	17/09/2002	ASTROCYTOMA	9.39	No	03/08/2004	1.88	No	03/08/2004	1.91	1	1	CT	CG	CG	TC
188	03/07/2001	F	30/09/2002	CPP	1.24	No	10/05/2005	2.61	No	10/05/2005	2.61	1	1	CC	GG	CG	CG
189	21/06/1997	M	16/10/2002	MEDULLOBLASTOMA	5.32	No	17/01/2005	2.26	No	17/01/2005	2.26	1	4	CC	GG	CG	CG
190	08/10/1995	M	07/11/2002	ASTROCYTOMA	7.09	No	17/11/2004	2.03	No	17/11/2004	2.06	1	1	CT	CG	CG	TC
191	27/02/2001	M	12/11/2002	CPP	1.71	No	23/03/2005	2.36	No	23/03/2005	2.36	1	1	CT	CG	CG	TC
192	31/08/1997	M	19/12/2002	ASTROCYTOMA	5.30	No	26/04/2005	2.35	No	26/04/2005	2.39	0	1	CT	CG	CG	TC
193	20/08/1990	M	31/12/2002	MEDULLOBLASTOMA	12.37	No	21/04/2005	2.31	No	21/04/2005	2.31	1	4	TT	CC	TC	TC
194				CONTROL										TT	CC	TC	TC
195				CONTROL										CT	CG	CG	TC
196				CONTROL										CT	CG	CG	TC
197				CONTROL										CT	CG	CG	TC
198				CONTROL										TT	GG	TG	TG
199				CONTROL										TT	CG	TC	TG
200				CONTROL										TT	CG	TC	TG
201				CONTROL										CT	CG	CG	TC
202				CONTROL										TT	CG	TC	TG
203				CONTROL										CC	GG	CG	CG

ID	dob	Gend	Date Diagnosis	Diagnosis Category	Pres Age	Rec	Date Rec	Time Rec	Died	Date FU	Time FU	GTR	grade	m460 Gen	p405 Gen	Hap 1	Hap 2
204				CONTROL										CC	GG	CG	CG
205				CONTROL										CT	GG	TG	CG
206				CONTROL										TT	CG	TC	TG
207				CONTROL										CT	GG	TG	CG
208				CONTROL										CT	GG	TG	CG
209				CONTROL										TT	CG	TC	TG
210				CONTROL										CT	CG	CG	TC
211				CONTROL										TT	CC	TC	TC
212				CONTROL										TT	GG	TG	TG
213				CONTROL										CT	GG	TG	CG
214				CONTROL										CT	CG	CG	TC
215				CONTROL										CC	GG	CG	CG
216				CONTROL										CT	GG	TG	CG
217				CONTROL										TT	CG	TC	TG
218				CONTROL										CT	CG	CG	TC
219				CONTROL										CT	CG	CG	TC
220				CONTROL										CC	GG	CG	CG
221				CONTROL										TT	GG	TG	TG
222				CONTROL										CC	GG	CG	CG
223				CONTROL										TT	CC	TC	TC
224				CONTROL										CT	GG	TG	CG
225				CONTROL										CT	GG	TG	CG
226				CONTROL										CT	CG	CG	TC
227				CONTROL										TT	CG	TC	TG
228				CONTROL										CT	CG	CG	TC
229				CONTROL										CC	GG	CG	CG
230				CONTROL										CT	CG	CG	TC
231				CONTROL										CT	GG	TG	CG
232				CONTROL										CT	GG	TG	CG
233				CONTROL										TT	CG	TC	TG
234				CONTROL										CC	GG	CG	CG
235				CONTROL										CT	CG	CG	TC
236				CONTROL										CT	CG	CG	TC
237				CONTROL										CT	CG	CG	TC
238				CONTROL										CT	GG	TG	CG
239				CONTROL										TT	CG	TC	TG
240				CONTROL										CC	GG	CG	CG
241				CONTROL										CT	GG	TG	CG
242				CONTROL										CT	GG	TG	CG
243				CONTROL										CT	GG	TG	CG
244				CONTROL										CT	CG	CG	TC
245				CONTROL										TT	GG	TG	TG
246				CONTROL										CT	GG	TG	CG
247				CONTROL										TT	CC	TC	TC
248				CONTROL										TT	GG	TG	TG
249				CONTROL										CT	CG	CG	TC
250				CONTROL										TT	CC	TC	TC
251				CONTROL										CC	GG	CG	CG
252				CONTROL										CC	GG	CG	CG
253				CONTROL										TT	CG	TC	TG
254				CONTROL										CT	CG	CG	TC
255				CONTROL										CT	GG	TG	CG



ID	dob	Gend	Date Diagnosis	Diagnosis Category	Pres Age	Rec	Date Rec	Time Rec	Died	Date FU	Time FU	GTR	grade	m460 Gen	p405 Gen	Hap 1	Hap 2
256				CONTROL										CC	GG	CG	CG
257				CONTROL										TT	CC	TC	TC
258				CONTROL										CT	CG	CG	TC
259				CONTROL										CT	CG	CG	TC
260				CONTROL										CT	GG	TG	CG
261				CONTROL										CT	GG	TG	CG
262				CONTROL										TT	CG	TC	TG
263				CONTROL										TT	GG	TG	TG
264				CONTROL										CT	CG	CG	TC
265				CONTROL										TT	CG	TC	TG
266				CONTROL										CT	CG	CG	TC
267				CONTROL										CC	GG	CG	CG
268				CONTROL										TT	CG	TC	TG
269				CONTROL										TT	CG	TC	TG
270				CONTROL										TT	CG	TC	TG
271				CONTROL										TT	CC	TC	TC
272				CONTROL										CT	CG	CG	TC
273				CONTROL										TT	CG	TC	TG
274				CONTROL										CC	GG	CG	CG
275				CONTROL										TT	CG	TC	TG
276				CONTROL										CT	GG	TG	CG
277				CONTROL										CC	GG	CG	CG
278				CONTROL										CT	CG	CG	TC
279				CONTROL										CC	GG	CG	CG
280				CONTROL										CT	GG	TG	CG
281				CONTROL										TT	CG	TC	TG
282				CONTROL										CT	GG	TG	CG
283				CONTROL										CT	CG	CG	TC
284				CONTROL										TT	CG	TC	TG
285				CONTROL										TT	CC	TC	TC
286				CONTROL										CT	CG	CG	TC
287				CONTROL										TT	CG	TC	TG
288				CONTROL										CT	CG	CG	TC
289				CONTROL										TT	CG	TC	TG
290				CONTROL										TT	CC	TC	TC
291				CONTROL										CT	CG	CG	TC
292				CONTROL										TT	CC	TC	TC
293				CONTROL										TT	CG	TC	TG
294				CONTROL										TT	CG	TC	TG
295				CONTROL										CT	CG	CG	TC
296				CONTROL										CT	CG	CG	TC
297				CONTROL										CT	GG	TG	CG
298				CONTROL										CT	GG	TG	CG
299				CONTROL										CT	GG	TG	CG
300				CONTROL										TT	CC	TC	TC
301				CONTROL										CC	GG	CG	CG
302				CONTROL										TT	CC	TC	TC
303				CONTROL										TT	CG	TC	TG
304				CONTROL										CT	GG	TG	CG
305				CONTROL										CT	GG	TG	CG
306				CONTROL										CT	GG	TG	CG
307				CONTROL										CT	GG	TG	CG

ID	dob	Gend	Date Diagnosis	Diagnosis Category	Pres Age	Rec	Date Rec	Time Rec	Died	Date FU	Time FU	GTR	grade	m460 Gen	p405 Gen	Hap 1	Hap 2
308				CONTROL										TT	GG	TG	TG
309				CONTROL										CT	GG	TG	CG
310				CONTROL										CT	CG	CG	TC
311				CONTROL										CC	GG	CG	CG
312				CONTROL										TT	CG	TC	TG
313				CONTROL										CT	GG	TG	CG
314				CONTROL										CT	CG	CG	TC
315				CONTROL										CC	GG	CG	CG
316				CONTROL										CT	GG	TG	CG
317				CONTROL										CT	GG	TG	CG
318				CONTROL										CT	CG	CG	TC
319				CONTROL										CC	GG	CG	CG
320				CONTROL										TT	CG	TC	TG
321				CONTROL										TT	CG	TC	TG
322				CONTROL										CT	CG	CG	TC
323				CONTROL										TT	CG	TC	TG
324				CONTROL										TT	CC	TC	TC
325				CONTROL										CC	GG	CG	CG
326				CONTROL										TT	CG	TC	TG
327				CONTROL										CT	CG	CG	TC
328				CONTROL										CC	GG	CG	CG
329				CONTROL										CT	GG	TG	CG
330				CONTROL										CC	GG	CG	CG
331				CONTROL										CT	GG	TG	CG
332				CONTROL										TT	CC	TC	TC
333				CONTROL										CT	GG	TG	CG
334				CONTROL										CT	CG	CG	TC
335				CONTROL										TT	CC	TC	TC
336				CONTROL										CC	GG	CG	CG
337				CONTROL										CT	GG	TG	CG
338				CONTROL										CC	GG	CG	CG
339				CONTROL										CT	CG	CG	TC
340				CONTROL										CT	GG	TG	CG
341				CONTROL										TT	CG	TC	TG
342				CONTROL										CC	GG	CG	CG
343				CONTROL										TT	GG	TG	TG
344				CONTROL										TT	CG	TC	TG
345				CONTROL										CT	CG	CG	TC
346				CONTROL										CT	CG	CG	TC
347				CONTROL										CC	GG	CG	CG
348				CONTROL										CT	GG	TG	CG
349				CONTROL										TT	CC	TC	TC
350				CONTROL										CT	GG	TG	CG
351				CONTROL										CT	CG	CG	TC
352				CONTROL										CT	CG	CG	TC
353				CONTROL										TT	GG	TG	TG
354				CONTROL										CT	CG	CG	TC
355				CONTROL										CT	CG	CG	TC
356				CONTROL										CT	GG	TG	CG
357				CONTROL										CT	GG	TG	CG
358				CONTROL										TT	CG	TC	TG
359				CONTROL										TT	CC	TC	TC

ID	dob	Gend	Date Diagnosis	Diagnosis Category	Pres Age	Rec	Date Rec	Time Rec	Died	Date FU	Time FU	GTR	grade	m460 Gen	p405 Gen	Hap 1	Hap 2
360				CONTROL										TT	CG	TC	TG
361				CONTROL										CC	GG	CG	CG
362				CONTROL										CC	GG	CG	CG
363				CONTROL										CC	GG	CG	CG
364				CONTROL										CT	CG	CG	TC
365				CONTROL										TT	CG	TC	TG
366				CONTROL										CT	GG	TG	CG
367				CONTROL										TT	CG	TC	TG
368				CONTROL										TT	CG	TC	TG
369				CONTROL										CT	GG	TG	CG
370				CONTROL										TT	CG	TC	TG
371				CONTROL										CC	GG	CG	CG
372				CONTROL										TT	CG	TC	TG
373				CONTROL										CC	GG	CG	CG
374				CONTROL										TT	CC	TC	TC
375				CONTROL										TT	CG	TC	TG
376				CONTROL										TT	CG	TC	TG
377				CONTROL										CT	CG	CG	TC
378				CONTROL										CT	CG	CG	TC
379				CONTROL										CT	GG	TG	CG
380				CONTROL										CT	CG	CG	TC
381				CONTROL										CT	GG	TG	CG
382				CONTROL										TT	CG	TC	TG
383				CONTROL										CT	CG	CG	TC
384				CONTROL										TT	GG	TG	TG
385				CONTROL										TT	GG	TG	TG
386				CONTROL										TT	CC	TC	TC
387				CONTROL										TT	CG	TC	TG
388				CONTROL										CC	GG	CG	CG
389				CONTROL										CT	GG	TG	CG
390				CONTROL										TT	CG	TC	TG
391				CONTROL										CT	CG	CG	TC
392				CONTROL										CT	CG	CG	TC
393				CONTROL										CC	GG	CG	CG
394				CONTROL										CT	GG	TG	CG
395				CONTROL										CC	GG	CG	CG
396				CONTROL										TT	CC	TC	TC
397				CONTROL										TT	CC	TC	TC
398				CONTROL										CT	GG	TG	CG
399				CONTROL										CC	GG	CG	CG
400				CONTROL										CC	GG	CG	CG
401				CONTROL										CT	CG	CG	TC
402				CONTROL										TT	CC	TC	TC
403				CONTROL										CC	GG	CG	CG
404				CONTROL										CT	GG	TG	CG
405				CONTROL										CT	CG	CG	TC
406				CONTROL										CT	CG	CG	TC
407				CONTROL										CT	CG	CG	TC
408				CONTROL										TT	CG	TC	TG
409				CONTROL										CC	GG	CG	CG
410				CONTROL										CT	CG	CG	TC
411				CONTROL										CT	CG	CG	TC

ID	dob	Gend	Date Diagnosis	Diagnosis Category	Pres Age	Rec	Date Rec	Time Rec	Died	Date FU	Time FU	GTR	grade	m460 Gen	p405 Gen	Hap 1	Hap 2
41 2				CONTROL										TT	CC	TC	TC
41 3				CONTROL										CT	GG	TG	CG
41 4				CONTROL										CC	GG	CG	CG
41 5				CONTROL										CC	GG	CG	CG
41 6				CONTROL										CT	GG	TG	CG
41 7				CONTROL										TT	CC	TC	TC
41 8				CONTROL										CT	GG	TG	CG
41 9				CONTROL										TT	CG	TC	TG
42 0				CONTROL										CT	CG	CG	TC
42 1				CONTROL										TT	GG	TG	TG
42 2				CONTROL										CC	GG	CG	CG
42 3				CONTROL										CC	GG	CG	CG
42 4				CONTROL										CT	CG	CG	TC
42 5				CONTROL										TT	CG	TC	TG
42 6				CONTROL										TT	CC	TC	TC
42 7				CONTROL										CT	GG	TG	CG
42 8				CONTROL										CT	CG	CG	TC
42 9				CONTROL										TT	CG	TC	TG
43 0				CONTROL										CT	CG	CG	TC
43 1				CONTROL										CT	GG	TG	CG
43 2				CONTROL										CC	GG	CG	CG
43 3				CONTROL										TT	CG	TC	TG
43 4				CONTROL										CC	GG	CG	CG
43 5				CONTROL										CT	CG	CG	TC
43 6				CONTROL										TT	CG	TC	TG
43 7				CONTROL										CC	GG	CG	CG
43 8				CONTROL										CT	GG	TG	CG
43 9				CONTROL										CC	GG	CG	CG
44 0				CONTROL										CT	CG	CG	TC
44 1				CONTROL										CC	GG	CG	CG
44 2				CONTROL										CC	GG	CG	CG
44 3				CONTROL										TT	CG	TC	TG
44 4				CONTROL										TT	GG	TG	TG
44 5				CONTROL										TT	GG	TG	TG
44 6				CONTROL										CT	GG	TG	CG
44 7				CONTROL										CT	GG	TG	CG
44 8				CONTROL										TT	GG	TG	TG
44 9				CONTROL										CC	GG	CG	CG
45 0				CONTROL										CT	CG	CG	TC
45 1				CONTROL										CT	CG	CG	TC
45 2				CONTROL										CT	CG	CG	TC
45 3				CONTROL										CT	GG	TG	CG
45 4				CONTROL										TT	GG	TG	TG
45 5				CONTROL										CC	GG	CG	CG
45 6				CONTROL										CT	CG	CG	TC
45 7				CONTROL										TT	CG	TC	TG
45 8				CONTROL										CT	CG	CG	TC
45 9				CONTROL										CT	CG	CG	TC
46 0				CONTROL										CC	GG	CG	CG
46 1				CONTROL										TT	GG	TG	TG
46 2				CONTROL										TT	GG	TG	TG
46 3				CONTROL										CT	GG	TG	CG

ID	dob	Gend	Date Diagnosis	Diagnosis Category	Pres Age	Rec	Date Rec	Time Rec	Died	Date FU	Time FU	GTR	grade	m460 Gen	p405 Gen	Hap 1	Hap 2
464				CONTROL										TT	GG	TG	TG
465				CONTROL										CT	CG	CG	TC
466				CONTROL										CC	GG	CG	CG
467				CONTROL										CC	GG	CG	CG
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470				CONTROL										CT	CG	CG	TC
471				CONTROL										CC	GG	CG	CG
472				CONTROL										TT	CC	TC	TC
473				CONTROL										CT	CG	CG	TC
474				CONTROL										TT	CC	TC	TC
475				CONTROL										CC	GG	CG	CG
476				CONTROL										TT	CG	TC	TG
477				CONTROL										TT	GG	TG	TG
478				CONTROL										CC	GG	CG	CG
479				CONTROL										CT	CG	CG	TC
480				CONTROL										CT	GG	TG	CG
481				CONTROL										CT	CG	CG	TC
482				CONTROL										CC	GG	CG	CG
483				CONTROL										CT	CG	CG	TC
484				CONTROL										CT	GG	TG	CG
485				CONTROL										CC	GG	CG	CG
486				CONTROL										CT	CG	CG	TC
487				CONTROL										TT	CG	TC	TG
488				CONTROL										TT	CG	TC	TG
489				CONTROL										CC	GG	CG	CG
490				CONTROL										CC	GG	CG	CG
491				CONTROL										CT	GG	TG	CG
492				CONTROL										CT	GG	TG	CG
493				CONTROL										CT	CG	CG	TC
494				CONTROL										CT	CG	CG	TC
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502				CONTROL										CT	GG	TG	CG
503				CONTROL										CT	GG	TG	CG
504				CONTROL										TT	CC	TC	TC
505				CONTROL										TT	CG	TC	TG
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507				CONTROL										CT	CG	CG	TC
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510				CONTROL										CT	CG	CG	TC
511				CONTROL										CT	GG	TG	CG
512				CONTROL										TT	CG	TC	TG
513				CONTROL										CT	CG	CG	TC
514				CONTROL										TT	CG	TC	TG
515				CONTROL										TT	CG	TC	TG

ID	dob	Gend	Date Diagnosis	Diagnosis Category	Pres Age	Rec	Date Rec	Time Rec	Died	Date FU	Time FU	GTR	grade	m460 Gen	p405 Gen	Hap 1	Hap 2
516				CONTROL										TT	CC	TC	TC
517				CONTROL										CC	GG	CG	CG
518				CONTROL										CT	CG	CG	TC
519				CONTROL										CC	GG	CG	CG
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539				CONTROL										CT	CG	CG	TC
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544				CONTROL										CT	CG	CG	TC
545				CONTROL										CT	GG	TG	CG
546				CONTROL										CT	CG	CG	TC
547				CONTROL										CT	CG	CG	TC
548				CONTROL										CT	CG	CG	TC
549				CONTROL										CC	GG	CG	CG
550				CONTROL										CC	GG	CG	CG
551				CONTROL										CC	GG	CG	CG
552				CONTROL										CC	GG	CG	CG
553				CONTROL										TT	GG	TG	TG
554				CONTROL										CT	CG	CG	TC
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556				CONTROL										CT	CG	CG	TC
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561				CONTROL										CT	GG	TG	CG
562				CONTROL										CT	CG	CG	TC
563				CONTROL										CT	CG	CG	TC
564				CONTROL										TT	CG	TC	TG
565				CONTROL										TT	CG	TC	TG
566				CONTROL										CT	CG	CG	TC
567				CONTROL										CC	GG	CG	CG

ID	dob	Gend	Date Diagnosis	Diagnosis Category	Pres Age	Rec	Date Rec	Time Rec	Died	Date FU	Time FU	GTR	grade	m460 Gen	p405 Gen	Hap 1	Hap 2
568				CONTROL										CT	GG	TG	CG
569				CONTROL										TT	GG	TG	TG
570				CONTROL										CT	CG	CG	TC
571				CONTROL										CC	GG	CG	CG
572				CONTROL										TT	CC	TC	TC
573				CONTROL										CT	GG	TG	CG
574				CONTROL										CT	GG	TG	CG
575				CONTROL										TT	CC	TC	TC
576				CONTROL										TT	CG	TC	TG
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578				CONTROL										CT	CG	CG	TC
579				CONTROL										CT	CG	CG	TC
580				CONTROL										TT	CG	TC	TG
581				CONTROL										CC	GG	CG	CG
582				CONTROL										CT	CG	CG	TC
583				CONTROL										TT	GG	TG	TG
584				CONTROL										CT	CG	CG	TC
585				CONTROL										TT	CC	TC	TC
586				CONTROL										CT	GG	TG	CG
587				CONTROL										CT	CG	CG	TC
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589				CONTROL										CT	GG	TG	CG
590				CONTROL										CC	GG	CG	CG
591				CONTROL										CT	CG	CG	TC
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612				CONTROL										TT	CC	TC	TC
613				CONTROL										TT	CC	TC	TC
614				CONTROL										CT	CG	CG	TC
615				CONTROL										CT	CG	CG	TC
616				CONTROL										CC	GG	CG	CG
617				CONTROL										CT	CG	CG	TC
618				CONTROL										CC	GG	CG	CG
619				CONTROL										CC	GG	CG	CG

ID	dob	Gend	Date Diagnosis	Diagnosis Category	Pres Age	Rec	Date Rec	Time Rec	Died	Date FU	Time FU	GTR	grade	m460 Gen	p405 Gen	Hap 1	Hap 2
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621				CONTROL										CC	GG	CG	CG
622				CONTROL										CC	GG	CG	CG
623				CONTROL										TT	CG	TC	TG
624				CONTROL										CT	CG	CG	TC
625				CONTROL										TT	CG	TC	TG
626				CONTROL										CT	GG	TG	CG
627				CONTROL										CC	GG	CG	CG
628				CONTROL										CT	CG	CG	TC
629				CONTROL										CT	CG	CG	TC
630				CONTROL										CT	GG	TG	CG
631				CONTROL										CC	GG	CG	CG
632				CONTROL										CT	GG	TG	CG
633				CONTROL										CT	CG	CG	TC
634				CONTROL										CC	GG	CG	CG
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636				CONTROL										CC	GG	CG	CG
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639				CONTROL										CC	GG	CG	CG
640				CONTROL										CT	CG	CG	TC
641				CONTROL										TT	CG	TC	TG
642				CONTROL										TT	CG	TC	TG
643				CONTROL										TT	CC	TC	TC
644				CONTROL										CT	CG	CG	TC
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646				CONTROL										CC	GG	CG	CG
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648				CONTROL										CC	GG	CG	CG
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652				CONTROL										CT	GG	TG	CG
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654				CONTROL										CT	CG	CG	TC
655				CONTROL										CC	GG	CG	CG
656				CONTROL										CC	GG	CG	CG
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665				CONTROL										TT	GG	TG	TG
666				CONTROL										CC	GG	CG	CG
667				CONTROL										CT	CG	CG	TC



## Appendix V – Journal of Neurosurgery Pediatrics paper

# Use of ifosfamide, carboplatin, and etoposide chemotherapy in choroid plexus carcinoma

### Clinical article

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**Object.** Choroid plexus carcinomas (CPCs) are rare pediatric tumors with a generally poor prognosis. Although the role of surgery is well recognized, the role of adjuvant chemotherapy and radiation therapy remains unclear. In this paper, the authors' goal was to assess the role of second-look surgery and neoadjuvant ifosfamide, carboplatin, etoposide (ICE) chemotherapy in the management of CPC and to study neurocognitive outcome.

**Methods.** The authors performed an institutional retrospective review of patients in whom CPC was diagnosed between 1985 and 2006 at the Hospital for Sick Children in Toronto. Fourteen patients (7 boys and 7 girls) were included. The median age at diagnosis was 18.6 months (range 1.1–65.3 months). Four patients had evidence of metastatic disease at diagnosis. Two of the 14 patients underwent gross-total resection during initial surgery; 12 of the patients received neoadjuvant chemotherapy, 10 of whom underwent second surgery. In total, of 12 patients who received chemotherapy with a curative intent, 11 underwent a greater than 95% resection. Neoadjuvant ICE chemotherapy was given prior to second surgery (median 4 cycles, range 2–5 cycles) and was continued after second resection for a median total of 7 cycles (range 4–16 cycles).

**Results.** No tumor progression was observed during chemotherapy prior to second surgery. Five patients subsequently experienced tumor progression/relapse. At a median follow-up of 6.9 years (range 1.9–18.5 years), 8 patients are alive. None of the survivors received radiation therapy. However, 6 of 8 display significant neurocognitive and/or sensorial deficit.

**Conclusions.** In this experience, second surgery following neoadjuvant ICE chemotherapy led to a high rate of complete or near-complete resection. Chemotherapy appears to facilitate second-look surgery, in particular through a reduction of intraoperative blood loss. Despite radiation avoidance, the majority of survivors experienced significant neurocognitive impairment. (DOI: 10.3171/2010.3.PEDS09354)

**KEY WORDS** • choroid plexus carcinoma • second look surgery • adjuvant chemotherapy

**C**HOROID plexus tumors account for 0.4–0.6% of all intracranial tumors. However, the incidence of choroid plexus tumors is disproportionately high in children younger than 2 years, in whom they represent up to 12% of CNS tumors. Twenty to 40% of all choroid plexus tumors are CPCs, and 70% of all CPCs oc-

cur in patients younger than 24 months.<sup>2,10,16,18,23</sup> Unlike choroid plexus papillomas, which have a high cure rate after complete resection, CPCs carry a dismal prognosis.<sup>11,22</sup> Nonsurgical management of a CPC has included a variety of postoperative chemotherapy regimens with or without radiation therapy. Information collected from small series most often includes various modalities of postsurgical treatment, encompassing several eras of surgical and imaging techniques.<sup>2,5,23–25</sup> The role of surgery has been well reported, and it is now established that complete resection is a key prognostic factor in CPCs.<sup>8,16</sup>

*Abbreviations used in this paper:* CPC = choroid plexus carcinoma; GTR = gross-total resection; ICE = ifosfamide, carboplatin, etoposide; ICP = intracranial pressure; NTR = near-total resection; PFS = progression-free survival; STR = subtotal resection.

However, aggressive resection may be limited because of the very invasive nature and the extreme vascularity of CPCs. Some authors have therefore suggested a 2-stage surgical approach with an initial biopsy followed by neoadjuvant chemotherapy and definitive surgery,<sup>10,23</sup> but this experience has not been reported in a series of consecutive patients. We report herein a 20-year institutional experience during which patients with CPC were homogeneously treated, and we analyze the outcome in relation to surgical management, chemotherapy, and irradiation.

## Methods

This is a retrospective review of an institutional experience between 1985 and 2006 at the Hospital for Sick Children, where the philosophy of treatment for CPCs has been consistent throughout the study period. In brief, the aim of initial surgery was maximal resection whenever possible without causing further neurological deficit or massive blood loss. When initial surgery was incomplete or was limited to a biopsy, second-look surgery was considered after neoadjuvant ICE chemotherapy. Finally, because of the very young age of patients with CPCs, radiation therapy was never considered as part of the front-line therapy.

This approach was initially approved by the clinical practice committee of the Division of Pediatric Hematology/Oncology, and the retrospective study was approved by the research ethics board of the hospital. We performed a comprehensive chart review of all pediatric patients (< 18 years at the time of diagnosis) in whom CPC was diagnosed. All tumor specimens were reviewed by a neuropathologist (W.H.), and immunohistochemical staining for INI-1 (BAF47) was performed in all tumors to exclude atypical rhabdoid teratoid tumors. Regarding surgery, the degree of resection was based on the surgical report and postoperative imaging. Gross-total resection was defined as no identifiable residual tumor. Near-total resection referred to a greater than 95% resection, STR to a resection greater than 50% but less than 95%, partial resection to resection greater than 10% but less than 50%, and biopsy to a resection of less than 10% of the tumor mass.

The ICE protocol combined 3 g/m<sup>2</sup> ifosfamide (on Days 1 and 2), 150 mg/m<sup>2</sup> etoposide (on Days 1 and 2), and 500 mg/m<sup>2</sup> carboplatin (on Day 3). An incremental increase of the carboplatin dose by 50 mg/m<sup>2</sup> was performed every cycle to reach a maximum dose of 600 mg/m<sup>2</sup> according to clinical tolerance. Each course of ICE was delivered every 21–28 days, when the neutrophil count was greater than 1000/mm<sup>3</sup> and the platelet count was greater than 100,000/mm<sup>3</sup>. Neuroimaging studies (MR imaging or CT scanning) were usually performed every 2 cycles.

Assessment of tumor response was based on the radiological report and the review of imaging. The response was classified as follows: a complete response was defined as no evidence of detectable disease; a partial response was defined as a 50% or more reduction in the product of perpendicular diameter; and an objective effect was characterized by a greater than 25% but less than 50% decrease in tumor size. Progressive disease was defined

as an increase in tumor size greater than 25%. Stable disease related to all others situations.

## Statistical Analysis

Estimation of PFS and overall survival was performed using the Kaplan-Meier analysis. Overall survival was calculated from the date of diagnosis to the date of last follow-up or date of death from any cause. The PFS were calculated from the date of initial diagnosis to the date of earliest radiological disease progression. Statistical analyses were performed using SPSS version 15.0 (SPSS, Inc.).

## Results

### Patients Characteristics

Of the 38 patients in whom choroid plexus tumors were diagnosed between 1985 and 2006, 17 had a diagnosis of CPC. Three patients were excluded. One patient diagnosed with secondary CPC following radiation and chemotherapy for ependymoma was excluded due to insufficient data. Another was treated with a different chemotherapy regimen and whole-brain radiation therapy after incomplete resection. The third patient was excluded from the analysis after being found to have an atypical teratoid rhabdoid tumor on central pathology review. Therefore, 14 patients (7 boys and 7 girls) were included in this review. The median age at diagnosis was 18.6 months (range 1.1–65.3 months). Six patients (43%) were younger than 12 months old at diagnosis. The vast majority of the tumors were located in the lateral ventricles (8 in the left and 3 in the right lateral ventricles, and 3 in the fourth ventricle). Appropriate initial staging, with imaging of the entire neuraxis and CSF examination, was available in 8 patients (57.1%). The CSF examination was reported to be positive or suspicious in 2 of the 6 tested patients. Four patients were considered to have metastatic disease at diagnosis (2 with Stage M1, 1 with Stage M2, and 1 with Stage M3).<sup>3</sup>

### Initial Resection

All children underwent surgery at diagnosis. One patient underwent embolization prior to initial surgery. Two patients achieved an initial GTR but one of them died of intraoperative hemorrhage. One patient underwent an NTR, 5 an STR, 1 a partial resection, and 5 underwent a biopsy. The latest patient in this series developed an intratumoral hemorrhage 24 hours after initial biopsy and required urgent decompressive craniectomy and debulking surgery that led to a partial resection. One patient who had a poor postsurgical neurological outcome proceeded to undergo palliative therapy. Four patients with signs of chronic ICP and significant persistent cerebral edema after initial surgery required high doses of steroids until a second resection was performed. Overall, 12 patients received postoperative ICE chemotherapy. After neoadjuvant chemotherapy, second surgery was performed in 10 of the 12 remaining patients, leading overall to 9 GTRs, 2 NTRs, and 1 STR of the primary tumor site (Table 1). Two of these second procedures were preceded by elective embolization.

TABLE 1: Summary of treatment and outcome\*

Case No.	Age at Dx (mos), Sex	Met Stage (MRI/CSF cytology)†	Initial Op	Adjuvant Chemo?	Chemo Prior to 2nd Op	Tumor Response to Chemo Prior to 2nd Op	2nd Op of Primary Tumor	Total No. of Cycles of Chemo	RT Indication (vol dose)	Relapse	Salvage Therapy	Current Status, FU (mos)
1	9, M	-/UK	STR	no			GTR	ICE x 8	relapse CSI 3600/5400 cGy	1	CSI + chemo	DOD, 2.5
2	24.2, F	-/UK	STR	yes	ICE x 5	partial	GTR	ICE x 8		1	CSI + chemo	DOD, 25.4
3	1.1, M	-/UK	STR	yes	ICE x 3	OE	GTR	ICE x 6	0	?	chemo	alive, ≥234.9
4	7.5, F	-/UK	PR	yes	ICE x 4	SD	STR	ICE x 16	local residual disease CSI 3600/4860 cGy	1	0	DOD, 60.2
5	28.3, M	M2/UK	STR	yes	ICE x 5	partial	GTR	ICE x 7	residual met disease CSI 3600/4500 cGy	1	0	DOD, 17.0
6	6.7, F	-/UK	GTR	no		OE	GTR	ICE x 7	0	?	0	intraop death
7	5, F	-/M1	STR	yes	ICE x 4	SD†	no op	ICE x 10	0	0	0	alive, ≥151.4
8	40.3, M	-/M0	NTR	yes	ICE x 3	SD	GTR	ICE x 6	0	0	0	alive, ≥139.7
9	63.3, F	-/M1	biopsy	yes	ICE x 4; HDC x 2 (Cb & thiotepa)	SD	GTR	ICE x 4; HDC x 3 (Cb & thiotepa)	0	0	0	alive, ≥113.9
10	2.8, M	M3/UK	biopsy	yes	ICE x 4; HDC x 2 (Cb & thiotepa)	SD	GTR	ICE x 4; HDC x 3 (Cb & thiotepa)	0	0	0	alive, ≥52
11	52.6, M	-/M0	GTR	yes	ICE x 2	NA	no op	ICE x 8	0	1	0	DOD, 10.6
12	65.3, M	-/M0	biopsy	yes	ICE x 5	SD	GTR	ICE x 8	0	0	0	alive, ≥52
13	13, F	-/M0	biopsy	yes	ICE x 4	OE	GTR	ICE x 7	0	1	HDC x 3 (Bu & thio-tepa)	alive, ≥40.7
14	56.4, F	-/UK	biopsy/ PR§	yes	ICE x 4	SD	NTR	ICE x 5; HDC x 3 (Cb & thiotepa)	0	0	0	alive, ≥22.4

\* Bu = busulfan; Cb = carboplatin; Chemo = chemotherapy; CSI = craniospinal irradiation; DOD = died of disease; FU = follow-up; HDC = high-dose chemotherapy; Met = metastatic; NA = not applicable; OE = objective effect; PR = partial resection; RT = radiotherapy; SD = stable disease; UK = unknown; ? = questionable relapse in retrospect; - = negative.

† Tumor staging is according to the staging system by Chang et al.

‡ This patient did not undergo a second surgery. The tumor response was assessed after 4 cycles of ICE.

§ Partial resection was performed 24 hours after the initial biopsy.



A comparison of the initial histology with subsequent specimens showed a similar macroscopic appearance in all patients. However, the most striking finding was the evidence of fibrosis and collagenization within the tumor following chemotherapy, observed in 5 of 10 specimens. Collagenization was particularly apparent around large blood vessels and tumor nests in post-ICE chemotherapy specimens (Fig. 1). Comparison of first and second surgical specimens also showed evidence of tumor necrosis in 2 cases and trabecular maturation in 2 other cases, while a significant decrease in the number of mitotic figures and/or the Ki 67 proliferation index was observed in 7 cases.

After repeated surgical procedures, 2 patients had local GTR but persistent residual abnormalities at the metastatic sites, one in the left frontal horn and the other in the thoracic spine (Cases 5 and 10).

#### Postoperative Therapy

The ICE chemotherapy was administered in 12 patients after initial surgery. The total number of cycles of ICE delivered ranged from 4 to 16 (median 7 cycles). Ten patients who underwent second-look surgery received a median number of 4 cycles of ICE (range 2–5) prior to the second resection. Most recently, 2 patients with extensive disease received consolidation chemotherapy with 3 courses of sequential high-dose carboplatin and thiotepa with stem cell rescue following 4 cycles of ICE. Eleven patients with measurable disease after initial surgery were assessable for tumor response prior to second surgery: 2 patients had a partial response, 3 had an objective effect, and 6 had stable disease. Two patients received craniospinal irradiation for persistent disease (local in one and disseminated in the other) at 28 and 36 months of age, respectively. They both died of disease progression 39 and 9 months after radiation therapy.

#### Postoperative Outcome

Of 12 patients who underwent postsurgical treatment, 5 (41.7%) experienced progression or relapse at a median time of 12 months (range 5.2–58.8 months) from diagnosis. One patient developed an isolated single distant lesion, and 4 had disseminated metastatic relapse. In addition, 2 patients had questionable relapses in retrospect. One patient (Case 3) with a right lateral ventricular tumor presented with an isolated nodule in the left occipital horn 5.2 months after diagnosis. He received additional chemotherapy for 7 months (bleomycin/vinblastine/cisplatin, ICE, and carboplatin/vinblastine/etoposide). Repeated surgery after additional chemotherapy disclosed normal choroid plexus tissue. The patient is alive 17 years after diagnosis. Another patient (Case 7) showed diffuse thickening along the spinal cord on the end-of-treatment MR image, and CSF cytology was reported to be suspicious for malignant cells. She was offered palliative care and remains alive and well 12 years later without further therapy.

Two patients received active treatment at the time of recurrence. One underwent craniospinal irradiation with transient improvement but eventually died of disease progression. Another patient with isolated distant relapse 15 months after diagnosis (Case 13) underwent repeated sur-

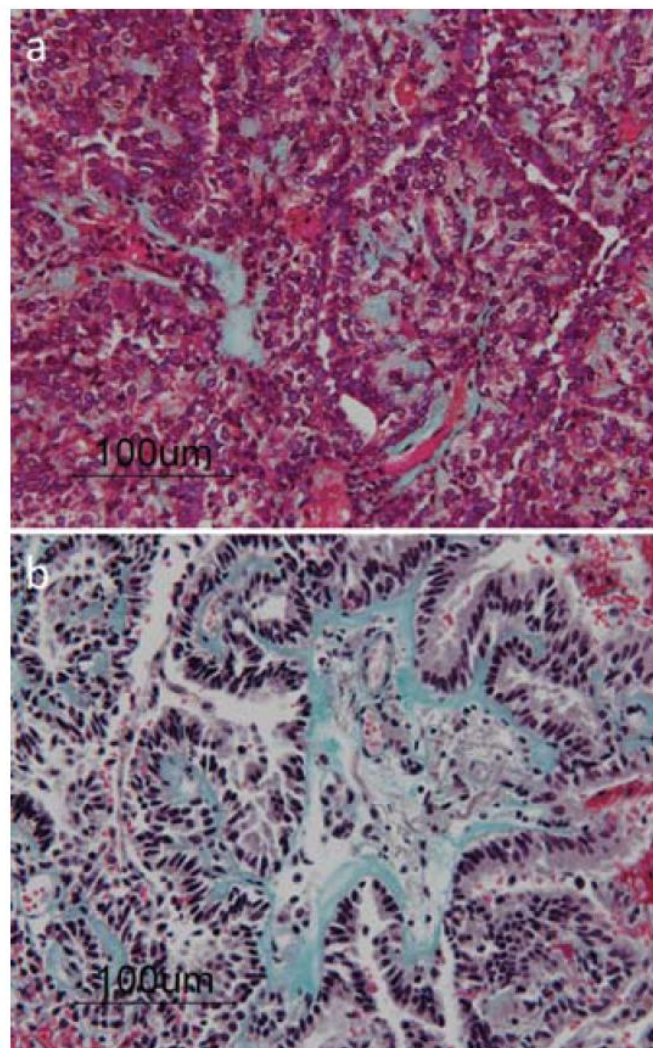


Fig. 1. Trichrome staining at time of initial resection (a) and second surgery following chemotherapy (b). The second specimen (post-ICE) shows the fibrovascular core of the papillary tumor to be more fibrotic than was evident on the original biopsy.

gery (GTR) followed by high-dose chemotherapy (busulfan and thiotepa) with stem cell rescue and remains in complete remission 26 months after relapse. All other patients with disseminated relapse died of disease progression.

At a mean follow-up time of 6.9 years (range 2.2–19.7 years), 8 (66.7%) of the 12 patients who received treatment with curative intent were alive. The PFS and overall survival for this group at 5 years were  $53.3 \pm 16.1\%$  and  $74.1 \pm 12.9\%$ , respectively. All the survivors had a resection greater than 95% (GTR and NTR), and none of the survivors received radiation therapy (Fig. 2).

#### Neurocognitive and Functional Outcome

Of the 8 survivors, 6 patients have significant neurocognitive impairment. Six patients underwent formal neuropsychological testing and 2 underwent developmental assessment (Table 2). One patient had normal academic performance 8 years after diagnosis (Case 8), and 1 patient diagnosed at the age of 13 months showed normal

## Use of ICE chemotherapy in choroid plexus carcinoma

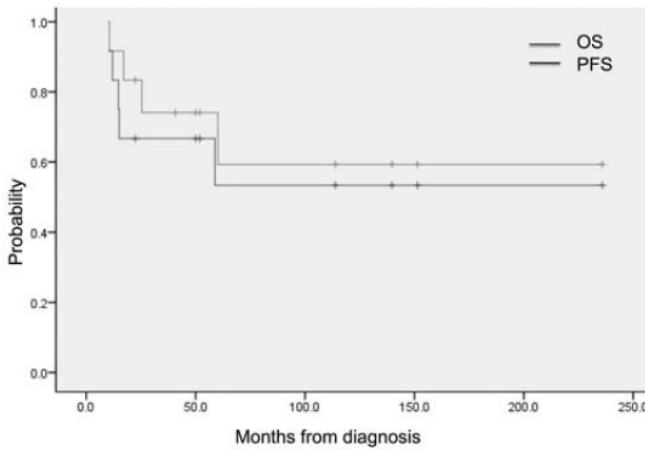


FIG. 2. Kaplan-Meier survival curves showing overall survival (OS) and PFS rates of the 12 patients who received treatment with intent to treat.

development at 2 years of age (Case 13). Details of neurocognitive assessments are described in Table 3.

Two patients are legally blind due to chronic increased ICP. Two other patients had residual dense right homonymous hemianopia. The 2 patients with visual impairment also suffer from severe hearing loss requiring hearing aids and another patient shows high frequencies hearing loss. Finally, 2 of the 8 survivors are being treated for epilepsy.

### Discussion

We report here a large institutional series of consecutive patients who were diagnosed with CPC and were treated with a consistent approach combining neoadjuvant ICE chemotherapy and delayed second-look surgery in case of incomplete initial resection. Following this strategy, an NTR was achieved in 11 of 12 patients, and 8 of these patients are alive. Complete resection is a well-recognized prognostic factor in CPC.<sup>2,6,8</sup> However,

given the high vascular nature of these tumors, patients with CPC may not always be safely amenable to complete resection at the time of diagnosis. Previous reports have advocated for initial limited surgical diagnostic procedure to reduce the risk of profuse intraoperative bleeding and to allow delayed resection during a second surgical procedure.<sup>23</sup> Our experience confirms that second-look surgery can safely achieve a complete resection in most patients.<sup>27</sup> However, this 2-stage approach may have some limitations. In this series, 1 patient with very large tumor experienced a life-threatening intratumoral hemorrhage following a limited biopsy. This patient required emergency debulking surgery, which was complicated by an extensive hemispheric stroke. Three other patients required high doses of steroids to control severe increased ICP, and 2 of these patients are legally blind as a result of chronic increased ICP.

The precise role of adjuvant therapy (chemotherapy and/or radiotherapy) in CPC remains controversial, especially in the setting of complete resection. In a review of literature, Wrede et al.<sup>28</sup> found a survival advantage for patients receiving chemotherapy with or without radiation therapy, regardless of the extent of resection. In another literature review of 75 patients in whom CPC was diagnosed between 1985 and 2000, Fitzpatrick et al.<sup>7</sup> found a significant benefit of adjuvant treatment (radiation with or without chemotherapy) only for patients with less than GTR. Chemotherapy in CPC may contribute to decreasing the size but more importantly the vascularity of the tumor, and therefore may facilitate second-look surgery.<sup>21</sup> In our experience, the use of the ICE regimen did not result in dramatic tumor shrinkage. However, from the surgeon's perspective, the role of chemotherapy was significant in reducing intraoperative blood loss and therefore in improving resection rates and outcomes. In a series overlapping ours, Kamaly-Asl et al.<sup>14</sup> described a significant reduction in blood loss from 111 to 22% of the estimated blood volume in the group who received neoadjuvant chemotherapy prior to second-look surgery

TABLE 2: Neurocognitive and functional outcomes\*

Case No.	Age at Dx (mos), Sex	Age at Last FU (yrs)	Formal Neuropsychological or Developmental Evaluation		Outcome				Lansky Score†
			Type	Diagnostic Outcome	Vision	Hearing	Seizure		
3	1.1, M	18.6	neuropsychological	developmental disability	normal	normal	yes	90	
7	5, F	13	neuropsychological	learning disability	normal	high-frequency HL	no	100	
8	40.3, M	15	neuropsychological	normal development	normal	normal	no	100	
9	63.3, F	14.8	neuropsychological	developmental disability	blind	hearing aids	yes	90	
10	2.8, M	4.6	developmental	developmental delay	blind	hearing aids	no	90	
12	65.3, M	9.6	neuropsychological	intellectual disability	rt dense homonymous hemianopia	normal	no	100	
13	13, F	4.5	developmental	normal development	normal	normal	no	100	
14	56.4, F	6.6	neuropsychological	intellectual disability	rt dense homonymous hemianopia	normal	no	80	

\* HL = hearing loss.

† Scores are based on the performance measurement scale of Lansky et al.



TABLE 3: Neurocognitive test data\*

Case No.	Age at Assessment (yrs)	VIQ	PIQ	FSIQ	Receptive Language	Reading	Spelling	Mathematics
3†	9.42	—	—	—	40	—	—	—
7	11.42	79	100	81	95	83	74	66
8	10.67	112	93	103	—	104	115	101
9†	8.42	50	—	—	—	—	—	—
12	7.92	79	61	60	57	60	70	57
14	5.67	78	77	74	—	—	—	—

\* Standard scores with a mean of 100 and standard deviation of 15. Abbreviations: FSIQ = full-scale IQ; PIQ = performance IQ; VIQ = verbal IQ; — = area was not assessed.

† For these patients, only limited assessment was possible due to their very low functioning.

( $p = 0.01$ ). In an attempt to reduce tumoral vascularity, other groups have reported the potential benefit of preoperative embolization. However, with only 3 patients who underwent this procedure (1 at the time of diagnosis and 2 prior to second-look surgery), no meaningful conclusion can be drawn from our series.<sup>19</sup> The surgical findings of reduced blood loss following adjuvant ICE chemotherapy are corroborated by the comparison of pathology specimens from the same patients with an obvious increase in perivascular collagen within the fibrovascular cores of the tumor papillae between the first and the second operation as illustrated in Fig. 1.

The median number of courses of ICE prior to second-look surgery was 4. The interval between initial and second-look surgery was not predetermined in our experience. Such interval should take into account the potential toxicity of chemotherapy and the ability to medically control cerebral edema when using chemotherapy and hyperhydration in children at risk for increased ICP due to persistent mass effect. Other factors may influence this interval, such as the age of the child, particularly in neonates and infants in whom significant increase in the size of the brain over a 3–6-month period may be critical in facilitating a safer resection of the tumor. The risk of infection associated with the use of high-dose steroids and the potential need for shunt revisions must also be taken into account. In the setting of a retrospective study, it would be perilous to establish a correlation between the duration of adjuvant chemotherapy and functional outcome. Ideally, response to chemotherapy should help decide the optimal timing of second surgery. However, since chemotherapy after a median of 4 cycles (about 4 months) did not lead to major shrinkage, our experience does not provide sufficient evidence that a shorter duration of chemotherapy would be as successful in reducing intraoperative blood loss. The optimal balance between the benefit of neoadjuvant chemotherapy and the risks of delayed surgery remains to be determined.

With a median follow-up of 6.9 years, the 5-year overall survival of 74.1% for intent-to-treat patients favorably compares with that in previous reports.<sup>2,4,6,8,18</sup> Whether adjuvant chemotherapy delivered after second surgery contributes to improved survival remains unknown. However, although 90% of our patients achieved at least an NTR, 3 patients experienced relapse after GTR. The fact that 1 patient with distant relapse underwent successful

salvage surgery followed by high-dose chemotherapy is also encouraging. This clearly suggests a role for adjuvant chemotherapy in CPC. However, several questions remain unanswered concerning the respective benefits and risks of adjuvant chemotherapy in this population, particularly in the context of germline *p53* mutation where the risk of secondary malignancy is not negligible. The use of nonalkylating agents in that context should be explored.

Our approach also allowed avoidance of radiation therapy. The use of adjuvant radiation in CPC is still unclear and raises ethical issues, particularly because of the large size of these tumors and the very young age of most patients at diagnosis.<sup>1,20,25,26</sup> Since only 3 patients received radiation therapy in the present series (1 at the time of recurrence and 2 for persistent disease), we cannot conclusively comment on any potential effects of such therapy. However, despite avoidance of radiation therapy, the majority of the survivors have neurocognitive impairment. Severe ICP at diagnosis, overproduction of CSF by the tumor leading to persistent hydrocephalus<sup>5,7,13,19</sup> (sometimes even after complete resection), and vascular complications may negatively impact intellectual outcome. Frequently reported complications such as chronic subdural collections, repeated shunt obstructions, and/or infections may additionally affect neurocognitive outcome.<sup>10,12,19</sup> In light of the neurocognitive profile of the survivors, the use of radiation should carefully be weighed since its benefit has not been clearly established.

In our series, 4 patients (28.6%) had metastatic disease at diagnosis. The prognostic value of the metastatic status at diagnosis has not been demonstrated in CPC.<sup>17</sup> Appropriate staging was only performed in 8 of our patients, and this limits our interpretation of the results and their generalization. In the St. Jude experience, 7 of the 10 patients with CPC had evidence of metastatic disease at diagnosis, and 5 of them were long-term survivors.<sup>5</sup> Similarly, among the 8 long-term survivors in the present series, 3 had evidence of metastatic disease at diagnosis. However, the radiological findings of the patient with Stage M3 disease in our experience did not change over time. This finding and the “pseudorecurrence” observed in the patient in Case 7 question the reliability of the radiological and cytological staging of this tumor, especially when atypical cells are present in the CSF or when the spinal MR imaging shows evidence of clumping of the roots or subtle leptomeningeal enhancement.<sup>9</sup> Some

of the abnormalities observed on spinal MR imaging in patients with CPC might be related to disorders of CSF flow and CSF resorption rather than to metastatic disease, and the diagnosis of metastatic disease may be overestimated in choroid plexus tumors, particularly in CPCs. Whether confirmation of the metastatic stage may require histological authentication is a matter of debate. However, implications in terms of treatment are significant, particularly with regard to radiation doses and volumes, when the decision to proceed to focal radiation is balanced against craniospinal radiation in these young patients.

**Conclusions**

In our series, second surgery following neoadjuvant ICE chemotherapy led to a high rate of complete resection. The ICE chemotherapy facilitated second surgery by reducing tumor vascularity. Following this strategy, the survival rate was 66.7% in the intent-to-treat patients. Despite avoidance of radiation therapy, the majority of long-term survivors experienced significant neurocognitive impairment. Future studies should further explore the safety of such staged approaches that might in addition benefit from the introduction of new antiangiogenic molecules.

**Disclosure**

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## Genetics of choroid plexus tumors

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Choroid plexus tumors consist of papillomas and carcinomas. A variety of germline and somatic genetic changes have been demonstrated for each of these subtypes. In this paper, the authors summarize the current knowledge of the genetic bases of these tumors.

**KEY WORDS** • choroid plexus carcinoma • choroid plexus papilloma • *TP53* gene •  
*hSNF5/INI1* gene • genetics

Choroid plexus tumors are rare tumors of neuroectodermal origin. They represent approximately 0.5% of all brain tumors, and their annual incidence is 0.3 cases per 1 million population.<sup>5,29</sup> Associated with the development of these tumors are both germline and somatic abnormalities located at several genetic loci.

#### The *TP53* Gene

The *TP53* gene is located on 17p13.1; this gene expresses the protein product p53, which influences tumor suppression via a variety of mechanisms including DNA repair, apoptosis, cellular differentiation, and angiogenesis.<sup>18</sup> A mutation of the *TP53* gene causes a loss of p53 function as well as prolongation of the half life of the protein. This means that increased immunohistochemical staining for p53 protein can be used as a surrogate marker of gene mutation.<sup>14</sup>

Choroid plexus carcinomas are one of the tumors found in Li-Fraumeni families with *TP53* germline mutations.<sup>8,10,26,27</sup> In addition, spontaneous germline and somatic p53 mutations have both been identified in patients with choroid plexus carcinomas.<sup>28,32</sup> Positive nuclear staining for p53 protein is evident in the majority of choroid plexus carcinomas (10 of 11), whereas it is only seen rarely in choroid plexus papillomas (one of 12).<sup>3</sup> Mutations of *TP53* have not been extensively studied in patients with choroid plexus papillomas; however, germline mutations have been reported.<sup>11,16</sup>

#### The *hSNF5/INI1* Gene

The *hSNF5/INI1* gene is located on 22q11.2 and encodes a member of the SWI/SNF adenosine triphosphate-dependent chromatin-remodeling complex.<sup>17</sup> Germline mutations of this gene have been described as rhabdoid predisposition

syndrome. In families with this mutation, researchers have identified the development of both renal and extrarenal malignant rhabdoid tumors, choroid plexus carcinomas, atypical teratoid rhabdoid tumors, and medulloblastomas.<sup>17,23</sup> Somatic mutations of the *hSNF5/INI1* gene have also been reported in cases of choroid plexus carcinoma.<sup>32</sup> Authors of several papers offer descriptions of the genotypic and phenotypic overlap between choroid plexus carcinomas and atypical teratoid rhabdoid tumors;<sup>4,30</sup> however, immunohistochemical studies have shown that, in the majority of cases of choroid plexus carcinomas, *hSNF5/INI1* protein expression is preserved.<sup>6,7</sup> It therefore has been suggested that tumors believed to be choroid plexus carcinomas with *hSNF5/INI1* mutations may actually be atypical teratoid rhabdoid tumors.<sup>6</sup>

There is no evidence of *hSNF5/INI1* point mutations in patients with choroid plexus papilloma.<sup>11</sup>

#### Other Syndromes

Aicardi syndrome is a rare, X chromosome-linked dominant condition that is observed in female patients. When it does occur in males with the normal allotment of sex chromosomes, this condition proves lethal during the early gestational period.<sup>1</sup> Affected female patients have callosal agenesis, infantile seizures, and chorioretinal lacunae. These children have visual impairments and usually display severe developmental delays and problematic seizures. Several authors have reported choroid plexus papillomas in girls with Aicardi syndrome.<sup>1,22,24,25</sup>

Hypomelanosis of Ito is a descriptive condition caused by a variety of chromosomal abnormalities and is often associated with other neurological and skeletal abnormalities. In the setting of an X;17(q12;p13) translocation, hypome-



lanosis of Ito has been associated with the development of choroid plexus papillomas.<sup>20,21,31</sup>

The constitutional 9p duplication is another rare abnormality whose association with choroid plexus hyperplasia and choroid plexus papilloma has been reported.<sup>12</sup> Extra copies of chromosome arm 9p have also been found in patients harboring either a sporadic choroid plexus papilloma or carcinoma—a finding that implicates this locus in the formation of both of these tumors.<sup>15</sup>

### Chromosomal Imbalances

Multiple chromosomal imbalances have been described in reports of comparative genomic hybridization of choroid plexus tumors.<sup>15</sup> Patients with choroid plexus papillomas have frequently displayed the following chromosomal additions and deletions: +7q (65%); +5q (62%); +7p (59%); +5p (56%); +9p (50%); +9q (41%); +12p and +12q (38%); +8q (35%); -10q (56%); -10p, and -22q (47%). Patients with choroid plexus carcinomas have primarily displayed the following additions and deletions: +12p; +12q, and +20p (60%); +1, +4q, and +20q (53%); +4p (47%); +8q and +14q (40%); +7q, +9p, and +21 (33%); -22q (73%); -5q (40%); -5p and -18q (33%). Certain imbalances are characteristic of the type of tumor and the age of the patient at presentation; from this we can infer a different genetic basis for these tumor variations. A survival analysis showed a survival advantage in patients with choroid plexus carcinomas in whom there was a gain of 9p and a loss of 10q ( $p = 0.0186$ , log-rank test). Nevertheless, the population group in this analysis was small (10 patients) and was not controlled for different treatments.<sup>15</sup>

### Polyomavirus Infection

The related ubiquitous polyomaviruses SV40, JC, and BK have been implicated in the development of choroid plexus neoplasms.<sup>2,9,10,13</sup> Choroid plexus tumors are induced experimentally when the common viral gene product, T antigen, is transgenically expressed in mice.<sup>19</sup> The mechanism of action is the binding of the large T antigen with both p53 and pRb tumor suppressor proteins, complexes demonstrated in humans harboring choroid plexus tumors.<sup>2,33</sup>

### Conclusions

A variety of genetic loci are implicated in the development of choroid plexus carcinomas and choroid plexus papillomas. The loci associated with carcinoma generally differ from those associated with papilloma, which leads us to infer a separate genetic basis for these two phenotypically related lesions. Carcinomas, in particular, can be difficult to manage in very young patients, and increased knowledge of the molecular biology of these tumors will hopefully lead to improvements in their treatments and outcomes.

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