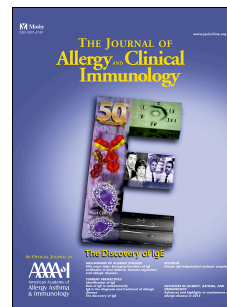


Accepted Manuscript

Thymus Transplantation for Complete DiGeorge Syndrome: European Experience

E Graham Davies, MA, FRCPCH, Melissa Cheung, BSc, Kimberly Gilmour, PhD, Jesmeen Maimaris, MRCPCH, Joe Curry, FRCS, Anna Furmanski, PhD, Neil Sebire, FRCPATH, Neil Halliday, MBBS BSc, Konstantinos Mengrelis, PhD, Stuart Adams, PhD, Jolanta Bernatoniene, MD, Ronald Bremner, FRCPCH, Michael Browning, FRCPATH, Blythe Devlin, PhD, Hans Christian Erichsen, MD, H Bobby Gaspar, PhD, Lizzie Hutchison, RCN, Winnie Ip, PhD, Marianne Ifversen, MD, T Ronan Leahy, MD, Elizabeth McCarthy, PhD, Despina Moshous, PhD, Kim Neuling, FRCPCH, Malgorzata Pac, MD, Alina Papadopol, MD, Kathryn L. Parsley, PhD, Luigi Poliani, PhD, Ida Ricciardelli, PhD, David M. Sansom, PhD, Tiia Voor, MD, Austen Worth, PhD, Tessa Crompton, PhD, M Louise Markert, PhD, Adrian J. Thrasher, PhD



PII: S0091-6749(17)30576-6

DOI: [10.1016/j.jaci.2017.03.020](https://doi.org/10.1016/j.jaci.2017.03.020)

Reference: YMAI 12738

To appear in: *Journal of Allergy and Clinical Immunology*

Received Date: 8 July 2016

Revised Date: 3 March 2017

Accepted Date: 15 March 2017

Please cite this article as: Davies EG, Cheung M, Gilmour K, Maimaris J, Curry J, Furmanski A, Sebire N, Halliday N, Mengrelis K, Adams S, Bernatoniene J, Bremner R, Browning M, Devlin B, Erichsen HC, Gaspar HB, Hutchison L, Ip W, Ifversen M, Leahy TR, McCarthy E, Moshous D, Neuling K, Pac M, Papadopol A, Parsley KL, Poliani L, Ricciardelli I, Sansom DM, Voor T, Worth A, Crompton T, Markert ML, Thrasher AJ, Thymus Transplantation for Complete DiGeorge Syndrome: European Experience, *Journal of Allergy and Clinical Immunology* (2017), doi: 10.1016/j.jaci.2017.03.020.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

1 **THYMUS TRANSPLANTATION FOR COMPLETE DIGEORGE SYNDROME: EUROPEAN**
 2 **EXPERIENCE**

3
 4 E Graham Davies, MA, FRCPCH ^{1,2}, Melissa Cheung, BSc¹, Kimberly Gilmour, PhD², Jesmeen
 5 Maimaris, MRCPCH ¹, Joe Curry, FRCS ², Anna Furmanski, PhD^{1,3}, Neil Sebire, FRCPATH ², Neil
 6 Halliday, MBBS BSc ⁴, Konstantinos Mengrelis, PhD¹, Stuart Adams, PhD², Jolanta
 7 Bernatoniene, MD⁵, Ronald Bremner, FRCPCH⁶, Michael Browning, FRCPATH ⁷, Blythe Devlin,
 8 PhD⁸, Hans Christian Erichsen, MD ⁹ H Bobby Gaspar, PhD^{1,2}, Lizzie Hutchison, RCN⁵, Winnie
 9 Ip, PhD^{1,2}, Marianne Ifversen, MD¹⁰, T Ronan Leahy, MD¹¹, Elizabeth McCarthy, PhD ⁸,
 10 Despina Moshous, PhD¹², Kim Neuling, FRCPCH ¹³, Malgorzata Pac, MD¹⁴, Alina Papadopol,
 11 MD ¹⁵, Kathryn L Parsley, PhD^{1,2}, Luigi Poliani, PhD¹⁶, Ida Ricciardelli, PhD ¹, David M Sansom,
 12 PhD⁴ Tiia Voor, MD¹⁷, Austen Worth, PhD^{1,2}, Tessa Crompton, PhD¹, M Louise Markert,
 13 PhD⁷, Adrian J Thrasher, PhD ¹

14

15 ¹ Institute of Child Health, University College London, UK

16 ² Great Ormond Street Hospital, London, UK

17 ³ University of Bedfordshire, Luton, UK

18 ⁴ Royal Free Hospital, University College London, UK⁴

19 ⁵ Bristol Children's Hospital, Bristol, UK

20 ⁶ Birmingham Children's Hospital, Birmingham, UK

21 ⁷ Leicester Royal Infirmary, Leicester, UK

22 ⁸ Duke University Medical Center, Durham, N Carolina, USA

23 ⁹ Oslo University Hospital, Norway

24 ¹⁰ Rigshospitalet, Copenhagen University Hospital, Copenhagen, Denmark

25 ¹¹ Our Lady's Children's Hospital, Crumlin, Dublin, Ireland

26 ¹² Hopital Necker, Paris, France

27 ¹³ University Hospital, Coventry, UK

28 ¹⁴ Children's Memorial Health Institute, Warsaw, Poland

29 ¹⁵ Paediatric Clinic, Bucharest, Romania

30 ¹⁶ University of Brescia, Brescia, Italy

31 ¹⁷ Children's clinic of Tartu University Hospital, Tartu, Estonia

32

33

34

35 **CORRESPONDING AUTHOR:**

36 E Graham Davies, Consultant Paediatric Immunologist

37 Great Ormond Street Hospital, Great Ormond Street, London WC1N 3JH, United Kingdom.

38 Tel: +442078298834

39 Fax: +442078138552

40 Email: Graham.Davies@gosh.nhs.uk

41

42

43 **FUNDING:**

44 The research leading to these results has received funding from: European Union

45 Seventh Framework Programme ([FP7/2007-2013] [FP7/2007-2011]) under grant

46 agreement N° 261387; Great Ormond Street Hospital Children's Charity, Mason Medical

47 Research Fund, Wellcome Trust. The work reported is based on independent research,
48 partly supported by the National Institute for Health Research, Great Ormond Street
49 Hospital Biomedical Research Centre (KG, EGD, AJT). The views expressed in this
50 publication are those of the authors and not necessarily those of the NHS, the National
51 Institute for Health Research or the Department of Health. AJT is a Wellcome Trust
52 Principal Research Fellow.

53

ACCEPTED MANUSCRIPT

54 ABSTRACT

55 **Background:** Thymus transplantation is a promising strategy for the treatment of athymic
56 complete DiGeorge syndrome (cDGS).

57 **Methods:** Twelve patients with cDGS were transplanted with allogeneic cultured thymus.

58 **Objective:** To confirm and extend the results previously obtained in a single centre.

59 **Results:** Two patients died of pre-existing viral infections without developing thymopoeisis
60 and one late death occurred from autoimmune thrombocytopaenia. One infant suffered
61 septic shock shortly after transplant resulting in graft loss and the need for a second
62 transplant. Evidence of thymopoeisis developed from 5-6 months after transplantation in
63 ten patients. The median (range) of circulating naïve CD4 counts ($\times 10^6/L$) were 44(11-440)
64 and 200(5-310) at twelve and twenty-four months post-transplant and T-cell receptor
65 excision circles were 2238 (320-8807) and 4184 (1582 -24596) per 10^6 T-cells. Counts did not
66 usually reach normal levels for age but patients were able to clear pre-existing and later-
67 acquired infections. At a median of 49 months (22-80), eight have ceased prophylactic
68 antimicrobials and five immunoglobulin replacement. Histological confirmation of
69 thymopoeisis was seen in seven of eleven patients undergoing biopsy of transplanted tissue
70 including five showing full maturation through to the terminal stage of Hassall body
71 formation. Autoimmune regulator (AIRE) expression was also demonstrated. Autoimmune
72 complications were seen in 7/12 patients. In two, early transient autoimmune haemolysis
73 settled after treatment and did not recur. The other five suffered ongoing autoimmune
74 problems including: thyroiditis (3); haemolysis (1), thrombocytopaenia (4) and neutropenia
75 (1).

76 **Conclusions:** This study confirms the previous reports that thymus transplantation can
77 reconstitute T cells in cDGS but with frequent autoimmune complications in survivors.

78

79 CLINICAL IMPLICATIONS

80 Thymus transplantation should be the treatment of choice for infants with cDGS except
81 possibly in those with severe pre-existing viral infections. The risk of autoimmune
82 complications is a significant issue for survivors and further work is needed to understand
83 this better.

84 CAPSULE SUMMARY

85 In twelve patients with complete DiGeorge syndrome treated with thymus transplantation,
86 there was a 75% survival with T-cell reconstitution. Autoimmunity, mostly manageable, was
87 a frequent occurrence in survivors.

88 KEY WORDS

89 DiGeorge syndrome; athymia; thymus transplantation

90

ACCEPTED MANUSCRIPT

91 INTRODUCTION

92

93 DiGeorge Syndrome with athymia, complete DiGeorge Syndrome (cDGS), results in a state
94 of profound T cell deficiency. The causal associations have been reviewed elsewhere[1];
95 DGS can be associated with a hemizygous microdeletion at chromosome 22q.11, CHARGE
96 syndrome, mutations in TBX1, deletions at chromosome 10p13-14 or fetal toxin exposure
97 from glucose, ethanol or retinoic acid. Around 1.5 % of children with 22q.11 deletion have
98 the complete form of DiGeorge Syndrome [2] whereas the incidence of the problem in
99 relation to other causes is unknown. The immunological phenotype is either of a profound
100 T-cell lymphopenia or, in atypical cDGS, there may be oligoclonal expansions of memory
101 phenotype T-cells conferring little or no protective immunity and causing inflammatory
102 disease in the form of rashes, enteropathy and lymphadenopathy [3]. cDGS differs from
103 severe combined immunodeficiency (SCID) in that the underlying defect prevents
104 development of the thymus whereas the underlying defect in SCID is a genetic defect in the
105 hematopoietic lineage.. Patients with both cDGS and SCID, have a similar high risk of early
106 death from infection.

107

108 Two approaches have been used to correct the immunodeficiency in patients with cDGS.
109 The first is T-cell replete haematopoietic stem cell transplantation (HSCT) but, because of
110 the absence of thymus, this approach can only achieve engraftment of post-thymic T-cells.
111 Whilst there are a number of reports of long lasting survival in patients treated in this way,
112 particularly after matched sibling donor transplantation, the quality of the immune
113 reconstitution achieved is poor [4]. Survival after matched unrelated donor and matched
114 sibling transplants were reported as being 33% and 60% respectively [5]. The alternative
115 approach is to use thymus transplantation, which aims for a more complete reconstitution
116 with ability to produce naïve T cells that show a broad T cell receptor (TCR) repertoire.
117 Postnatal thymus tissue is readily available as it is routinely removed from infants
118 undergoing open heart surgery through median sternotomy. This approach has been used
119 at a single centre in the United States since the mid-1990s. There may have been some
120 patient selection bias in the thymus transplanted group as patients suffering from severe co-
121 morbidities or with serious opportunistic infections were excluded. Nevertheless, the results
122 compare very favourably with the outcome of HSCT with an approximately 75% long term
123 survival in 60 patients[6]. Evidence of thymopoiesis and a diverse repertoire of naïve
124 circulating T-cells, capable of HLA restricted specific antigen responses was seen in
125 survivors. Non-survival in this cohort was mostly associated with pre-transplant morbidity,
126 mainly viral infections and/or chronic lung disease [7]. Autoimmune hypothyroidism was
127 relatively common at just over 20% whilst an additional number of patients developed this
128 problem pre-transplantation [6]. More serious and potentially life threatening
129 autoimmunity including immune cytopenias and enteropathy was also reported though
130 much less commonly. The reasons for the occurrence of these complications are ill-
131 understood[8].

132

133 In order to test whether the technology could be successfully translated from the single
134 centre and to make this treatment approach more readily available in Europe, a centre for
135 thymus transplantation was established in London to provide this treatment for patients in
136 Europe. This report outlines the results of the first 12 patients treated with more than 24
137 months of follow up.

138

139 **METHODS**

140

141 **PATIENTS**

142 Patients were recruited between 2009 -2014. In order to qualify for the study, those with
143 typical cDGS had a maximum T-cell count of $50 \times 10^6/L$, no naïve T-cells and absent
144 proliferative response to phytohaemagglutinin (PHA) response. Atypical cDGS patients had
145 less than 5% naïve CD4 cells ($CD45 RA^+$, $CD27^+$ or $CD45 RA^+$, $CD62L^+$). In addition there had to
146 be at least one feature of the following: congenital heart disease, hypoparathyroidism,
147 hemizygoty for 22q.11 deletion or CHARGE syndrome. For further patient details see
148 Online Repository.

149 Patients with typical cDGS, without clonal expansions were not given any
150 immunosuppression. In those with atypical cDGS, CyclosporinA (CSA) was used pre-
151 transplantation to control inflammatory disease and this was continued post-
152 transplantation. These patients were also treated with three doses of rabbit anti-thymocyte
153 globulin (ATG, Genzyme) 2 mg/kg body weight, Methylprednisolone 2 mg/kg intravenously
154 for four days followed by oral prednisolone 1mg/kg for five days.

155

156

157 **OBTAINING, CULTURING AND TRANSPLANTING DONOR THYMUSES**

158 For details, including screening of donors, and the transplant procedure which has been
159 described previously [9] see Online Repository. To assess cellular composition changes
160 during the period of culture, separate thymuses were cultured specifically for analysis. For
161 detailed methods see Online Repository

162

163

164

165 **LABORATORY ANALYSIS**

166 Flow cytometric analysis, mitogen responsiveness and measurement of T-cell receptor
167 signal joint excision circle (TREC) levels involved standard methods described in the Online
168 Repository. Testing for possible donor T cell engraftment using short tandem repeats
169 utilised a method previously described [10]

170 Clonality of T cells was assessed using T-cell receptor V beta chain spectratyping on the CD3
171 positive population as previously described [11]. Regulatory T cell (Treg) numbers were
172 measured on the CD4 population using CD25 and CD127 and intracellular staining for FoxP3.
173 Spectratyping was also performed on Treg populations purified by cell sorting based on
174 $CD4^+$, $CD25^{Hi}$, $CD127^-$ cells and compared to the remaining CD4 cells. For assessment of
175 Treg function, total $CD4^+$ cells were isolated and FoxP3 cells studied for CTLA4
176 upregulation and transendocytosis of CD80 based on a previously reported method [12]
177 modified by running the assay for a period of 21 rather than 16 hours and by
178 fixing/permeabilising the cells to allow staining for total CTLA4 rather than cycling surface
179 CTLA4.

180 The frequency of interferon-gamma (IFN- γ)-producing cells in response to either an
181 autologous or third party EBV-transformed lymphoblastoid cell line (LCL)-specific
182 stimulation was assessed on peripheral blood mononuclear cells (PBMC) using an ELISPOT
183 assay, as previously reported [13]

184 Histological studies were performed on formalin fixed tissue including
185 immunohistochemical analysis by standard methods or as described previously [14] . Details
186 of the antibodies used are given in the Online Repository.

187

188 **ETHICS**

189 The study was approved by the Institute of Child Health and Great Ormond Street Hospital
190 Research Ethics Committee covering both thymus donation, including screening of the
191 donors, and the transplant procedure in the recipient. Culture of thymus was undertaken
192 under a licence from the UK Human Tissue Authority.

193

194

195 **RESULTS**

196

197 **PATIENTS**

198 Details of the patients, including the genetic defect, comorbidities and infections acquired
199 pre-transplantation are shown in Table I. Median age at transplantation was 10 (range 2.5-
200 26) months. In two cases, the molecular basis of the DGS was undefined though,
201 subsequently, in one of these a putative mutation has been found in TBX1 (analysis
202 performed by Prof Klaus Schwartz, University of Ulm, Germany). Neither of these cases was
203 an infant of a diabetic mother. Atypical cDGS cases outnumbered typical in a ratio of 2:1.
204 There was no evidence of Bacillus Calmette Guerin (BCG) -associated disease in the two
205 recipients of this vaccine. Two patients had hypothyroidism before transplantation, the
206 cause of which was not established. Both had negative tests for thyroid peroxisomal
207 antibodies. One had a low TSH suggesting a possible central cause whilst in the other the
208 problem proved to be transient. No patients had clear cut autoimmune disease prior to
209 transplantation.

210

211 **THYMUS CULTURES**

212 During the period of thymic culture there was progressive lymphoid cell depletion and
213 reciprocal increase in the proportion of EpCam positive TEC cells (Figure 1 a-c). A small
214 fraction of T cells remained with a predominance of single positive CD4 cells (Figure 1 d)
215 which could be induced to activate and to proliferate (Figure 1 e-f). Histological sections of
216 thymus slices before and after culture confirmed lymphoid depletion though some
217 persisting lymphoid cells could be seen. There was preservation of a “network” of
218 epithelium seen on cytokeratin staining with CK5 and CK14, staining predominantly
219 medullary thymic epithelium (mTEC), and with CK8 staining both mTEC and cortical thymic
220 epithelium (cTEC) (Figure E1 in the Online Repository, CK14 data not shown).

221

222 **CLINICAL OUTCOMES**

223 The surgical procedure was well tolerated in all patients. There were no wound infections or
224 problems with wound healing. The “dose” of thymus transplanted ranged between 8-18
225 g/m² BSA.

226 Of the eight patients with atypical cDGS, all received CSA but three did not receive ATG
227 because of concerns over potential worsening of pre-existing viral infections. One patient
228 (P11) with atypical cDGS additionally received two courses of Alemtuzumab to control
229 inflammatory features within 3 months prior to transplantation.

230

231 Nine of the 12 patients are alive at median follow up time of 49 months (range 21-80
232 months). Two patients (P7 and P12) died at eight months and two weeks respectively after
233 transplantation from pre-existing viral infections: disseminated cytomegalovirus (CMV) and
234 parainfluenza 3 pneumonitis respectively. ATG had been withheld in both of these. One
235 further patient died of cerebral haemorrhage associated with immune thrombocytopenia
236 at 23 months post-transplantation. In P1, a first thymus graft failed to survive and she
237 received a second successful graft after 12 months. More clinical detail of this case is given
238 in the Online Repository.

239 Clinical outcomes in survivors have generally been good with exceptions mainly from
240 autoimmune problems or other non-immunological aspects of DGS (Table II). All developed
241 thymopoeisis as evidenced by detection in the blood of naïve T cells with TRECs, with or
242 without additional evidence from biopsies showing the features of thymopoeisis.

243

244

245 ***Skin rashes***

246 Three patients, P1 (after 2nd transplant), P2 and P6, developed skin rashes early (3-6 weeks)
247 after transplant. They underwent skin biopsy which showed a non-specific dermatitis similar
248 to the spongiotic dermatitis previously described in these cases. No donor DNA could be
249 detected in the skin or blood in any of these patients.

250

251 ***Infections cleared***

252 Patients were able to clear a range of infectious agents after transplantation including those
253 present before and those acquired after transplantation (Table II). Both cases receiving BCG
254 vaccine prior to transplantation developed a localised severe inflammatory response at the
255 inoculation site and in regional lymph nodes as T cell reconstitution occurred. In patient 3, a
256 primary EBV infection occurred 15 months after transplantation. He was able to clear this
257 infection though low level EB viraemia persisted for 18 months before clearing. P2, on
258 chronic immunosuppression, managed to clear a number of virus infections.

259

260 ***Autoimmunity***

261 Some form of autoimmune complication occurred in seven of the ten patients surviving to
262 12 months (Table II). This took one of two forms, a very early onset before evidence of T cell
263 immune reconstitution or an onset at or after T cell reconstitution. More detail of the
264 autoimmune/inflammatory complications in each patient are provided in the Online
265 Registry (Table E1). Two cases (P4, P9) were in the early onset category, both with
266 haemolytic anaemia which responded to treatment and did not recur. In five other patients,
267 autoimmune problems, occurring at or after the time of T cell reconstitution, comprised
268 mainly cytopenias and/or thyroiditis. The latter was associated with the presence of anti-
269 thyroid peroxidase (TPO) antibodies. A number of other transient autoimmune
270 /inflammatory phenomena also occurred in some patients at or soon after immune
271 reconstitution. It was not possible to identify any association between the development of
272 autoimmunity and any methodological factors including the choice of thymus donor,
273 thymus culture medium used, amount of tissue transplanted or use of ATG conditioning. Six
274 of the ten patients surviving to 12 months had partial HLA matching at 1-5 loci at 4-digit
275 resolution typing (Table EII in Online Repository). The three patients without any
276 autoimmune complications all fell in to this group but three others, also with some
277 matching, developed autoimmunity though in one of these this was just a transient early

278 haemolysis. All patients without any HLA matching developed autoimmunity (one with
279 transient early haemolysis only). A trend towards less autoimmunity in the presence of
280 some HLA matching was not statistically significant (Fisher's exact test).

281

282

283 **IMMUNOLOGICAL TESTING POST TRANSPLANTATION**

284

285 ***T cell Immunity***

286 Donor leukocyte engraftment was not detected in any of the patients. Circulating T-cell
287 numbers in surviving patients rose from around 5 months and naïve T cells from around 6-7
288 months after transplantation (Figure 2). The correlation between naïve cell numbers using
289 different flow cytometric strategies is shown in the Online Registry (Figure E2). Cell numbers
290 achieved, generally, did not reach the normal age-related range (Table E III in the Online
291 Repository). There was a continuing rise in naïve cell numbers up to 24 months and then
292 maintenance at a relatively steady level. Low numbers of T cells in P2 were likely due to
293 immunosuppression. No other patients received long term immunosuppression. Numbers
294 of TRECs showed a similar time course to naïve T cells (Figure 3a). There was a relatively
295 poor correlation between TRECs and naïve CD4 and CD8 cells (Figure E3 in the Online
296 repository). Normal TCR diversity by V beta spectratyping of CD3 cells was achieved in seven
297 patients, including those with atypical cDGS and an abnormal spectratype pre-
298 transplantation (Figure E4 in the Online Repository). An abnormal spectratype persists in
299 three patients (P2 P6 and P9). Further analysis showed a normal CD4 spectratype in P6
300 whilst both CD4 and CD8 spectratypes were abnormal in P9. Mitogen responsiveness to PHA
301 (Figure 3b) improved in all patients but fell again with the immunosuppression in P2. For
302 unknown reasons, it never normalised in P1. . This patient had good evidence for
303 thymopoeisis on biopsy and blood analysis. Following primary EBV infection, peripheral
304 blood mononuclear cells from Patient 3 showed the ability to produce a good interferon
305 gamma (IFN γ) response against an autologous EBV transformed lymphoblastoid cell line
306 (LCL) but responded significantly less well to a third party LCL (Figure 3c). Phenotyping of
307 circulating cells with markers of Tregs was performed in five patients (P2,P4,P6,P9,P10)and
308 showed these cells to be present in low absolute numbers though when expressed as the
309 proportion of CD4 cells there was no difference to a healthy age- range matched control
310 group (Figure 4a&b and Figure E5 in the Online Repository). In P2, P4, P6, P9 the proportions
311 of CD45RA positive Tregs was 6,32,8 and 44 % respectively, whilst in the controls the
312 median level was 67 (range 27-94). The functional ability of CD4+ Foxp3+ cells in six
313 patients (P2,4,5,6,8,9) in terms of CTLA4 upregulation upon activation and transendocytosis
314 of CD80 was comparable to adult control samples (Figure 4 c &d and Figures E6 &7 in the
315 Online Repository). In P9, spectratyping performed on sorted Treg cells showed a diverse
316 repertoire (Figure E8 in the Online Repository).

317

318 There was no correlation found between the level of immunological reconstitution achieved
319 and factors relating to the choice of thymus donor, thymus culture medium used, amount of
320 tissue transplanted or the use of ATG conditioning.

321

322 ***B cell immunity***

323 All patients were on immunoglobulin replacement prior to transplantation. Five patients
324 stopped immunoglobulin at around 24 months post-transplant as per the protocol and have

325 normal IgG levels. To date, five patients have been immunised against tetanus toxoid and
326 show protective responses. Three received conjugate pneumococcal vaccine and two of
327 these have made good protective responses. One patient failed to respond to this vaccine
328 and is being re-immunised. IgA levels were undetectable before transplant in 11/12
329 patients and low (0.1g/L) in the other. The levels have normalised after transplant in all
330 survivors with the exception of P2.

331 B cell numbers remained normal (Figure E9a in the Online Repository) in all patients except
332 those (P2, 4) receiving treatment with anti CD20 monoclonal antibody (rituximab) The
333 proportion of CD19+ B cells which were CD27+ IgD- (class switched memory B, CSMB, cells)
334 was tested in 9 patients. It remained relatively low compared to published age related
335 controls [15] in some patients whereas in others it was within normal limits particularly
336 after two years. (Figure E9b in Online Repository)

337

338 **THYMIC BIOPSIES**

339 Biopsies of up to four transplanted thymic slices were undertaken on 11 patients (including
340 one after each transplant in P1) at a median time of 4 months (range 2-8 months) after
341 transplantation. Areas of histologically normal thymic tissue were seen in the muscle,
342 including cortico-medullary distinction and Hassall body formation in 5 biopsies. In these
343 biopsies immunohistochemical staining showed abundant T (CD3+) cells with evidence of
344 cortical thymopoeisis, as defined by the expression of TdT, CD1a and Ki67, and of normal
345 maturation to the late medullary thymic epithelial (mTEC) stage defined by the expression
346 of CK5 and CK14, Claudin 4, AIRE and involucrin. Foxp3 staining showed frequent positive
347 cells present (Fig 5 and Figure E10 in Online Repository). Biopsies in a further two cases
348 (P8,P9) showed less well developed thymic architecture but definite evidence of cortical
349 thymopoeisis as defined by the presence of CD1a and Ki67 positive cortical thymocytes (not
350 shown). Biopsies with no evidence of thymopoeisis were found in P1 (first transplant), 2, 5
351 and 7. In P 2 & 5 it was likely the biopsies "missed" thymus in the muscle as there was later
352 appearance of thymic emigrants in the blood indicating thymopoeisis. In P7 who died of
353 CMV, a biopsy taken at four months showed viable thymic epithelium but very little
354 thymopoeisis (Figure E11a-d in Online Repository)). CMV could not be demonstrated in this
355 thymic tissue (not shown). P12 died very early after transplant and a post mortem
356 examination of transplanted thymus revealed viable epithelium with extensive
357 neovascularisation (Figure E11 e-f in Online Repository).

358

359

360

361

362

363 **DISCUSSION**

364

365 This study shows that transplantation of cultured thymic epithelium can reconstitute T cell
366 immunity in patients with complete DiGeorge syndrome enabling them to control
367 opportunistic infections and to have a quality of life not restricted by susceptibility to
368 infection. This confirms and adds to the results in the previously reported series [6, 7], with
369 the survival rate and the level of immune reconstitution achieved being similar between the
370 two series. The proportion of children suffering autoimmune complications is higher in the
371 present study but as numbers are relatively small it is difficult to know if this difference is

372 significant. In the present study, novel data documenting changes in the cellular
373 composition of thymus slices during culture are provided as well as data on TREC levels
374 achieved and numbers, phenotype and function of Tregs. There is also detailed histological
375 evidence on thymic biopsies to confirm full maturation of mTEC. Whilst all but one of the
376 patients in this study had a recognised genetic cause for DGS, the previous studies included
377 a number of such cases, including those with maternal diabetes, and showed that such
378 patients have an equivalent outcome.

379

380 The levels of T cell reconstitution achieved in surviving patients were not usually normal for
381 age but were sufficient to allow clearance of viral and other infections. In most cases,
382 normal mitogen responsiveness was achieved and a diverse repertoire was demonstrated
383 on TCR spectratyping. Circulating Tregs could be detected in proportions similar to control
384 children though at lower absolute numbers and their CTLA4 mediated function was shown
385 to be normal. . Apart from one case in which an IFN γ response to EBV was shown, antigen
386 specific T cell responses were not assayed in this study. Such responses were studied to
387 tetanus and candida antigens in the previous series and showed positive responses in all but
388 one of the surviving patients [7] Most patients with follow up of more than two years have
389 been able to stop immunoglobulin and, in those tested so far, all show normal antibody
390 responses to tetanus and two of three to conjugated pneumococcal vaccine. IgA deficiency
391 corrected in all but one patient. The numbers of class switched memory B cells remains
392 relatively low in some patients, but in order to assess the significance of this finding longer
393 follow up is needed to see if the proportions rise with time. The reasons for the suboptimal
394 numbers of T cells achieved in most patients is not clear. It could be that insufficient thymus
395 tissue was transplanted but against this is the fact that there was no correlation in this study
396 or in the North American series [8] between the amount of tissue transplanted and the
397 eventual T cell or naïve T cell counts achieved. Neither was there any association between
398 counts and the type of medium used for culture, the use of ATG nor the presence of chance
399 overlap of HLA antigens between donor and recipient.

400 We have shown here that the cultured thymus loses most of its lymphoid cell populations
401 during culture and is relatively enriched for thymic epithelial cells (TECs). However, viable
402 lymphoid cells capable of proliferation are still present. These cells may be necessary for the
403 maintenance and growth of the TECs[16]. Theoretically, these cells could mediate graft
404 versus host disease but this was not seen and, on blood analysis, engraftment of donor
405 haematopoietic cells was not detected in any patient. One situation where thymopoeisis
406 may not develop is in the context of pre-existing cytomegalovirus (CMV) infection as seen in
407 P7 in this study and in the previous studies [7, 17]. The finding of viable thymic epithelium
408 but no thymopoeisis on biopsy is consistent with the possibility that this virus, the agents
409 used to treat it or both may inhibit the development of thymopoeisis. Children with cDGS
410 complicated by CMV infection did not survive in either this or the previous study.
411 Biopsy of transplanted thymus has been shown to be helpful in determining whether
412 thymopoeisis is developing[17]. In that report biopsies were done at around two months
413 post-transplantation. The positive ones all showed evidence of cortical thymopoeisis but, in
414 over half, no thymic medulla or Hassalls corpuscles were seen[17]. In the present study,
415 biopsies were done later (median 4 months). In most of those that were positive there was
416 clear cortico-medullary differentiation as well as development of Hassalls corpuscles with

417 immunohistochemical evidence that differentiation of mTEC proceeds to the terminal
418 stages. It is likely that the difference in timing of the biopsies accounted for these
419 differences between this and the previous series.

420

421 In the present and previous series, autoimmune complications were relatively common,
422 predominantly involving thyroiditis and cytopaenias. Some of these complications were of a
423 transient nature which may reflect immune dysregulation during T cell reconstitution
424 sometimes seen in other clinical situations such as after HSCT and in experimental models
425 [18]. Two very early cases of autoimmunity were seen before any T cell emergence and
426 could conceivably have had nothing to do with the transplant.

427 The reasons for the susceptibility to autoimmune complications are poorly understood. The
428 possibility that inadequate negative selection by non-MHC matched mTEC contributes to
429 the development of autoimmunity was not supported by the finding in this and the previous
430 larger study [8] of no beneficial effect of chance, partial HLA matching.

431 In conclusion, this study has strengthened the case for thymus transplantation being the
432 corrective treatment of choice for complete DiGeorge syndrome, offering the possibility of
433 immune reconstitution to a degree that will give a quality of life not limited by infection
434 susceptibility. Autoimmunity, a common complication, can often be managed relatively
435 easily but a proportion of children can suffer serious consequences. Further work is required
436 to understand better the pathogenesis of this problem. As newborn screening programmes
437 for SCID expand, more patients may require this treatment. Further work is needed to
438 streamline the labour-intensive process requiring specialised facilities for generating and
439 transplanting thymus. A model of human thymus transplantation into the nude mouse may
440 be useful in further exploring this [19]. Other patients who might benefit from this approach
441 include SCID infants who fail to immune reconstitute after HSCT or gene therapy because of
442 thymic insufficiency.

443

444 **ACKNOWLEDGMENTS**

445 The following provided technical help in thymus preparation: Margaret Brocklesby;
446 Geoffrey White, Chris Fisher, Catherine Ingram, Gulrukh Ahsan, Patricia Plumbly.

447 Drs John Hartley, James Soothill and Dr Garth Dixon provided invaluable help in
448 microbiological screening of donors and donor thymuses.

449 Dr Christine Rivat helped sort cells for spectratyping.

450 Patricia Cheng provided invaluable help in manuscript preparation.

451 The following assisted in the clinical care of the patients: Tore Gunnar Abrahamsen,
452 Nathalie Aladjidi, Waseem Qasim, Caroline Laffort, Christine Vaksdal Nilsen, Mari-Anne Vals.

453

454 **REFERENCES**

455

- 456 1. Davies, E.G., *Immunodeficiency in DiGeorge Syndrome and Options for Treating Cases with*
457 *Complete Athymia*. Front Immunol, 2013. **4**: p. 322.
- 458 2. Ryan, A.K., et al., *Spectrum of clinical features associated with interstitial chromosome 22q11*
459 *deletions: a European collaborative study*. J.Med.Genet., 1997. **34**(10): p. 798-804.
- 460 3. Markert, M.L., et al., *Complete DiGeorge syndrome: development of rash, lymphadenopathy,*
461 *and oligoclonal T cells in 5 cases*. J Allergy Clin Immunol, 2004. **113**(4): p. 734-41.
- 462 4. McGhee, S.A., M.G. Lloret, and E.R. Stiehm, *Immunologic reconstitution in 22q deletion*
463 *(DiGeorge) syndrome*. Immunol.Res., 2009. **45**(1): p. 37-45.

- 464 5. Janda, A., et al., *Multicenter survey on the outcome of transplantation of hematopoietic cells*
465 *in patients with the complete form of DiGeorge anomaly*. *Blood*, 2010. **116**(13): p. 2229-
466 2236.
- 467 6. Markert, M.L., B.H. Devlin, and E.A. McCarthy, *Thymus transplantation*. *Clin.Immunol.*, 2010.
468 **135**(2): p. 236-246.
- 469 7. Markert, M.L., et al., *Review of 54 patients with complete DiGeorge anomaly enrolled in*
470 *protocols for thymus transplantation: outcome of 44 consecutive transplants*. *Blood*, 2007.
471 **109**(10): p. 4539-4547.
- 472 8. Markert, M.L., et al., *Factors affecting success of thymus transplantation for complete*
473 *DiGeorge anomaly*. *Am.J.Transplant.*, 2008. **8**(8): p. 1729-1736.
- 474 9. Markert, M.L., et al., *Successful formation of a chimeric human thymus allograft following*
475 *transplantation of cultured postnatal human thymus*. *J.Immunol.*, 1997. **158**(2): p. 998-1005.
- 476 10. Hassan, A., et al., *Host natural killer immunity is a key indicator of permissiveness for donor*
477 *cell engraftment in patients with severe combined immunodeficiency*. *J Allergy Clin Immunol*,
478 2014. **133**(6): p. 1660-6.
- 479 11. Amrolia, P.J., et al., *Adoptive immunotherapy with allodepleted donor T-cells improves*
480 *immune reconstitution after haploidentical stem cell transplantation*. *Blood*, 2006. **108**(6): p.
481 1797-808.
- 482 12. Schubert, D., et al., *Autosomal dominant immune dysregulation syndrome in humans with*
483 *CTLA4 mutations*. *Nat Med*, 2014. **20**(12): p. 1410-6.
- 484 13. Yang, J., et al., *Characterization of Epstein-Barr virus-infected B cells in patients with*
485 *posttransplantation lymphoproliferative disease: disappearance after rituximab therapy does*
486 *not predict clinical response*. *Blood*, 2000. **96**(13): p. 4055-63.
- 487 14. Rucci, F., et al., *Abnormalities of thymic stroma may contribute to immune dysregulation in*
488 *murine models of leaky severe combined immunodeficiency*. *Front Immunol.*, 2011. **2**(15).
- 489 15. Morbach, H., et al., *Reference values for B cell subpopulations from infancy to adulthood*.
490 *Clin Exp Immunol*, 2010. **162**(2): p. 271-9.
- 491 16. Anderson, G. and E.J. Jenkinson, *Lymphostromal interactions in thymic development and*
492 *function*. *Nat.Rev.Immunol.*, 2001. **1**(1): p. 31-40.
- 493 17. Markert, M.L., et al., *Use of allograft biopsies to assess thymopoiesis after thymus*
494 *transplantation*. *J.Immunol.*, 2008. **180**(9): p. 6354-6364.
- 495 18. King, C., et al., *Homeostatic expansion of T cells during immune insufficiency generates*
496 *autoimmunity*. *Cell*, 2004. **117**(2): p. 265-77.
- 497 19. Furmanski, A.L., et al., *T-cell reconstitution after thymus xenotransplantation induces hair*
498 *depigmentation and loss*. *J.Invest Dermatol.*, 2013. **133**(5): p. 1221-1230.
- 499 20. Shearer, W.T., et al., *Lymphocyte subsets in healthy children from birth through 18 years of*
500 *age: the Pediatric AIDS Clinical Trials Group P1009 study*. *J Allergy Clin Immunol*, 2003.
501 **112**(5): p. 973-80.

502

503

504 Table I – Patient Characteristics

505

Patient/Gender/Age at transplant (months)	Diagnosis	CD3 (naïve) x 10 ⁶ /L	Other problems & infections at the time of transplantation
1. Female, 14 & 26*	CHARGE (CHD7)	Typical 20 (0)	Atrioventricular Canal, Hypoparathyroidism, Recurrent Sepsis, Non-specific enteropathy, Previous B cell lymphoma, HHV6
2. Male, 8	22q.11.2 deletion	Typical 30 (0)	Fallots Tetralogy, Hypoparathyroidism, <i>C.difficile</i>
3. Male, 18	CHARGE (CHD7)	Atypical 1200 (0)	Choanal atresia –Tracheostomy, Bilateral facial nerve palsy, Small ventricular septal defect (closed), Chronic lung disease (colonised)
4. Male, 26	CHARGE (CHD7)	Atypical 800 (0)	Truncus arteriosus, Nephrocalcinosis, Chronic lung disease, enteropathy, Rotavirus
5. Male, 9	Undefined	Typical 30 (0)	Truncus arteriosus, Hypoparathyroidism, Not dysmorphic, BCG, Rotavirus
6. Male, 10	CHARGE (CHD7)	Atypical 650 (0)	Fallots Tetralogy, Hypoparathyroidism, Chronic enteropathy, Norovirus
7. Male, 4 ⁺	22q.11.2 deletion	Atypical 1470 (0)	Patent ductus, Bronchomalacia, Hypoparathyroidism, CMV
8. Male, 5	22q.11.2 deletion	Atypical 350 (0)	Recurrent aspiration, Hypoparathyroidism, Ventricular septal defect (closed), Patent foramen ovale
9. Male, 16	Undefined (Putative Mutation in TBX1)	Atypical (mild) 120 (2)	Recurrent sepsis, Mastoiditis, Hypoparathyroidism, Hypothyroidism, BCG, Rotavirus,
10. Female 2.5 ⁺	22q.11.2 deletion	Typical 0	Truncus arteriosus Aortic incompetence, Hypoparathyroidism, Hypothyroidism, Recurrent pneumonia
11. Male, 5	22q.11.2 deletion	Atypical 1250 (40)	Hypoparathyroidism, Asymptomatic Coronavirus
12. Male, 14 ⁺	22q.11.2 deletion	Atypical 370 (0)	Hypoparathyroidism, chronic lung disease, Parainfluenza 3, Rotavirus

506 **Footnotes:** * two transplants; ⁺ these patients subsequently died after transplantation

507 BCG – Bacillus Calmette Guerin, CMV – Cytomegalovirus, RSV – Respiratory syncytial virus

508 Table II - Clinical outcome in patients surviving beyond 12 months

509

510

Patient Follow up (months)	Infections cleared (bold if present pre-transplantation)	Autoimmunity	Attending School/ Pre-school	Significant Ongoing Treatments
1. 69 (after second transplant)	HHV6	Transient nephritis Thyroiditis	YES	Thyroxine
2. 80	C. difficile , RSV Adenovirus ⁺ Enterovirus, Varicella Parainfluenza 3 Norovirus, Rhinovirus	Transient colitis Chronic AIHA ITP	YES	Splenectomy, Sirolimus Iron Chelation IG replacement
3.M, 67	RSV, Parainfluenza 3 Metapneumovirus, EBV (primary)	None	YES	Azithromycin prophylax Tracheostomy decanulation
4.M, 55	Rotavirus Parainfluenza 3 Metapneumovirus, RSV, Influenza A	Early transient AIHA	YES	Azithromycin prophylax
5.M, 49 mo	BCG, Rotavirus Parainfluenza 3	None	YES	Azithromycin prophylax
6.M, 46	Norovirus	None	YES	Ig therapy Cleft lip/palate re
8. M 30	Rhinovirus, RSV	Thyroiditis ITP, Neutropenia	YES	Gastrostomy feed Thyroxine

9. M 25	BCG, Rotavirus, RSV	Early transient AIHA	NO	On Ig therapy Thyroxine
10. F 23	HHV6, Adenovirus	ITP – Fatal at 23 months post-transplant	NO	On Ig therapy Thyroxine
11. M 21	Coronavirus, C.difficile Campylobacter	Thyroiditis ITP Elevated transaminases	YES	On Ig therapy Thyroxine

511

512 **Footnotes:**513 ⁺ -1x10⁵ copies/ml of blood

514 AIHA – Autoimmune haemolytic anaemia; BCG – Bacillus Calmette –Guerin; EBV – Epstein

515 Barr virus; HHV6 – Human herpes virus 6; Ig – Immunoglobulin ; ITP – Immune

516 thrombocytopaenia; RSV – Respiratory syncytial virus;

517

518

519

520

521

522

523

524

525

526

527 **Legends to Figures:**

528 **1.** Analysis of cellular composition of thymus slices by flow cytometry at different
529 time points during culture. **a.** Dot plots show representative anti-CD45 versus EpCam
530 1 staining. The percentages of EpCam1+CD45- cells are given in the regions shown.
531 Histograms show anti-HLA DR staining gated on the EpCam1+ CD45- population
532 shown in the dot plots. **b.** Number of live cells recovered showing the overall
533 number of thymocytes and the number of CD4/CD8 double positive (DP) thymocytes
534 retrieved per mg of tissue. **c.** The percentage of cells that were CD45-EpCam1+HLA-
535 DR+ (as frequency of live gate). **d.** Proportion of cells in each thymocytesubset (SP,
536 single positive; DP, double positive; DN, double negative) based on CD4 and CD8
537 surface expression. **e.** When stimulated for 5 days, thymocytes from day 15 slices
538 proliferate. **f.** When stimulated for 72hours, CD4 single positive thymocytes from day
539 22 slices upregulate the activation marker, CD25

540 **2.** T cell reconstitution after transplantation. Dotted lines indicate 10th percentile of
541 published lymphocyte subset counts in normal children aged 1-2 years and 2-5 years
542 [20].

543 **3. a.** TREC levels performed on CD3 cells with 10th percentile for *in-house* normal
544 ranges for children of <2 years and 2-5 years. **b.** PHA responses – maximum counts
545 per minute after stimulation of isolated mononuclear cells stimulated with
546 phytohaemagglutinin. Dotted line indicates the 10th percentile for *in-house* normal
547 adult controls **c.** Frequency of interferon gamma producing cells in patient's
548 peripheral blood mononuclear cells (PBMC) measured by ELISPOT (mean ± SEM) in
549 response to autologous and third party EBV transformed lymphoblastoid cell lines in
550 P3 Following primary Epstein Barr virus infection. Two-tailed Student's t-test for
551 unpaired samples was applied.

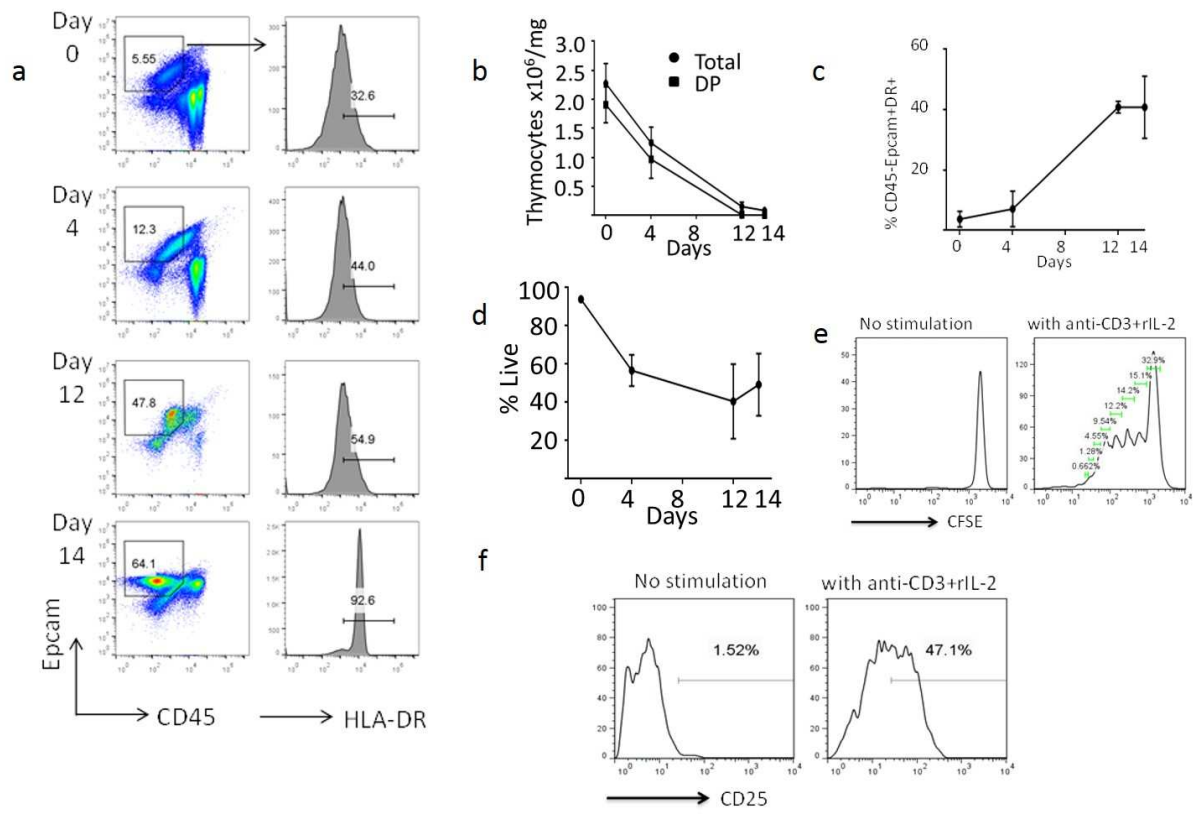
552 1) **4. a & b.** Cells with T regulatory phenotype expressed as percentage of CD4 cells and
553 in absolute numbers in patients (n=5) and an age-range matched control group
554 (n=11). **c. & d.** Transendocytosis assay shows CD4 + FOXP3+ cells in patients (n=6)
555 and controls (n=5) incubated with anti CD3 plus untransfected Chinese Hamster
556 ovary (CHO) cells, or with anti CD3 plus CHO transfected with CD80 with or without
557 anti-CTLA4. **c.** Upregulation of CTLA4 expression (shown as mean fluorescence
558 intensity, MFI, of Tregs normalised to MFI of CTLA4 in that individual's own naïve
559 conventional T cells (as internal negative control). Panel **d.** Relative total
560 fluorescence intensity of CD4+ Foxp3 + cells that have acquired GFP tagged onto
561 CD80 as a result of transendocytosis of CD80. This is derived from MFI of GFP
562 multiplied by the number of GFP positive cells to get total fluorescence intensity,
563 divided by number of Tregs acquired. In both panels, the patients and controls
564 showed equivalent results.

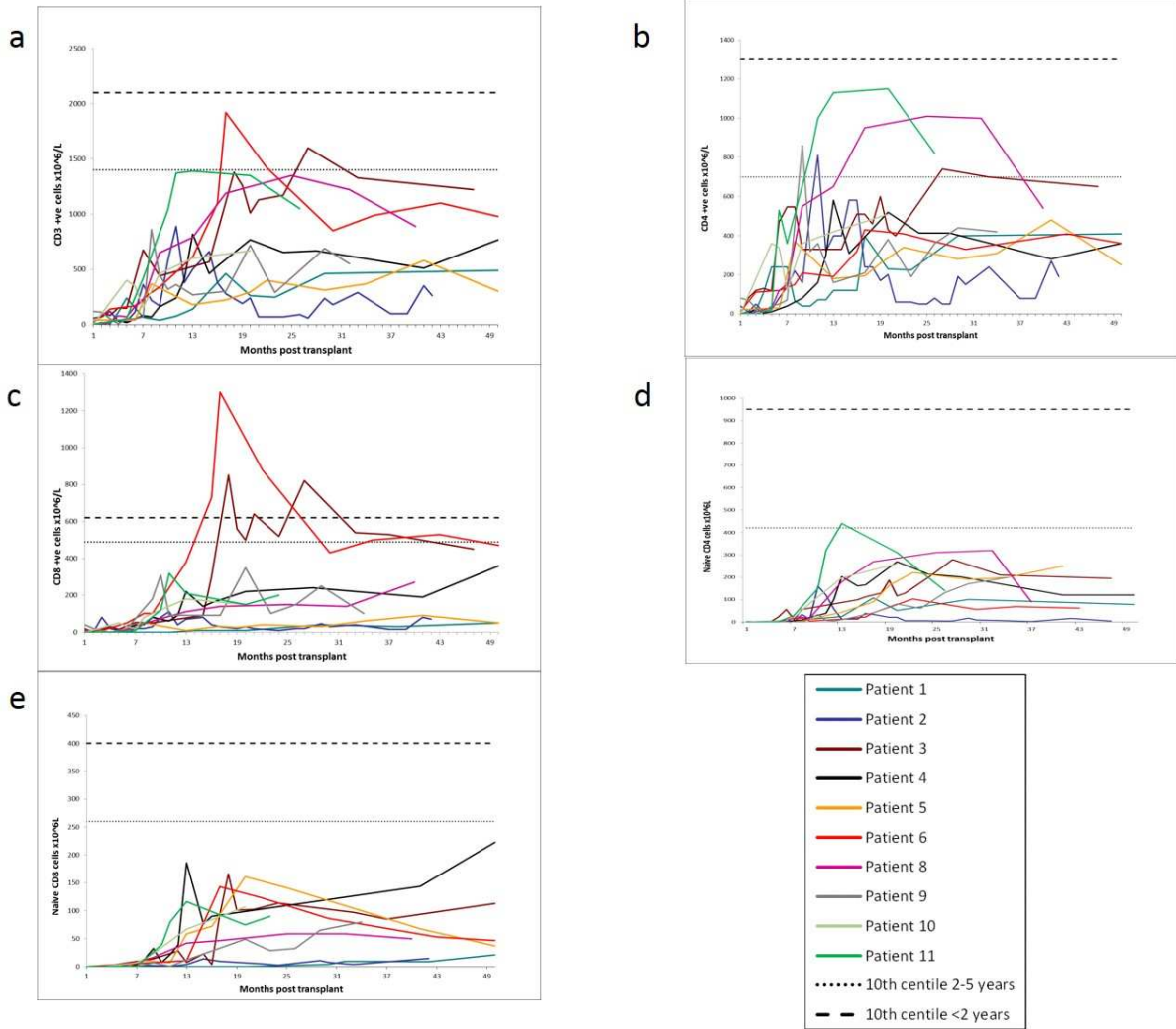
565

566 **5.** Histological appearances of positive thymic biopsies. **a & b.** haematoxylin & eosin
567 showing medullary differentiation and Hassall body formation. Original

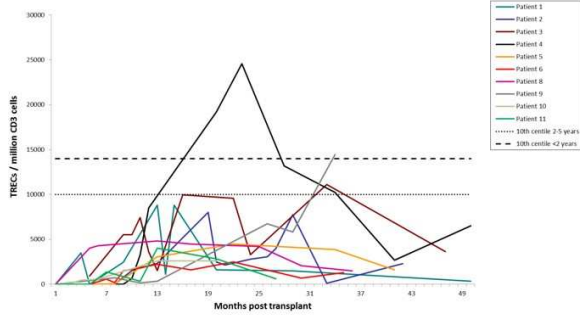
568 magnification 10x and 40x respectively. **c.** expression of Foxp3 within thymic medulla
569 (brown). Original magnification 20x. **d.** Double staining with TdT (brown, nuclear
570 signal) showing immature thymocytes within the cortical area and CD3 (blue,
571 membrane signal) highlighting maturing T lymphocytes within the medulla (Original
572 magnification 40x).**e.** AIRE expressing cells within medullary region (original
573 magnification 20x) **f.** Double staining for AIRE (brown) and Involucrin (blue) that
574 shows co-localization of AIRE expressing cells with fully mature involucrin expressing
575 mTEC (original magnification 40x).

576

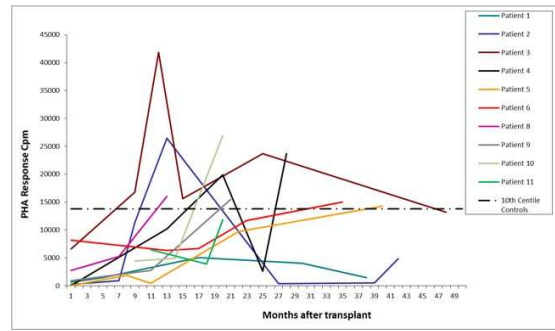




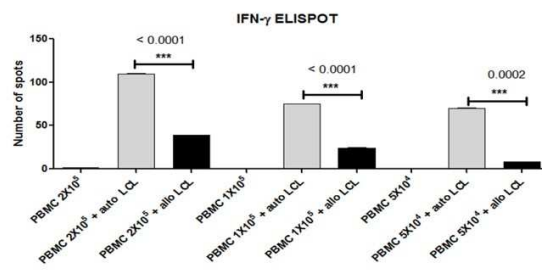
a



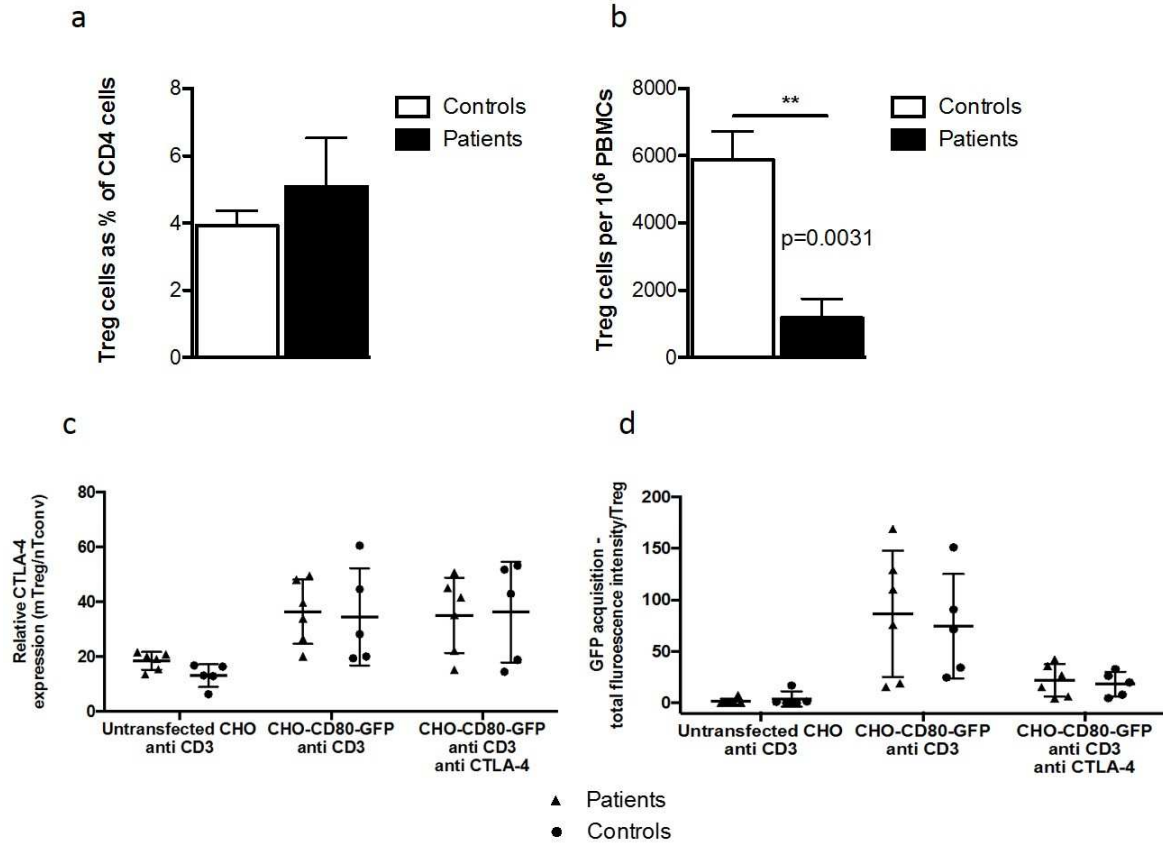
b

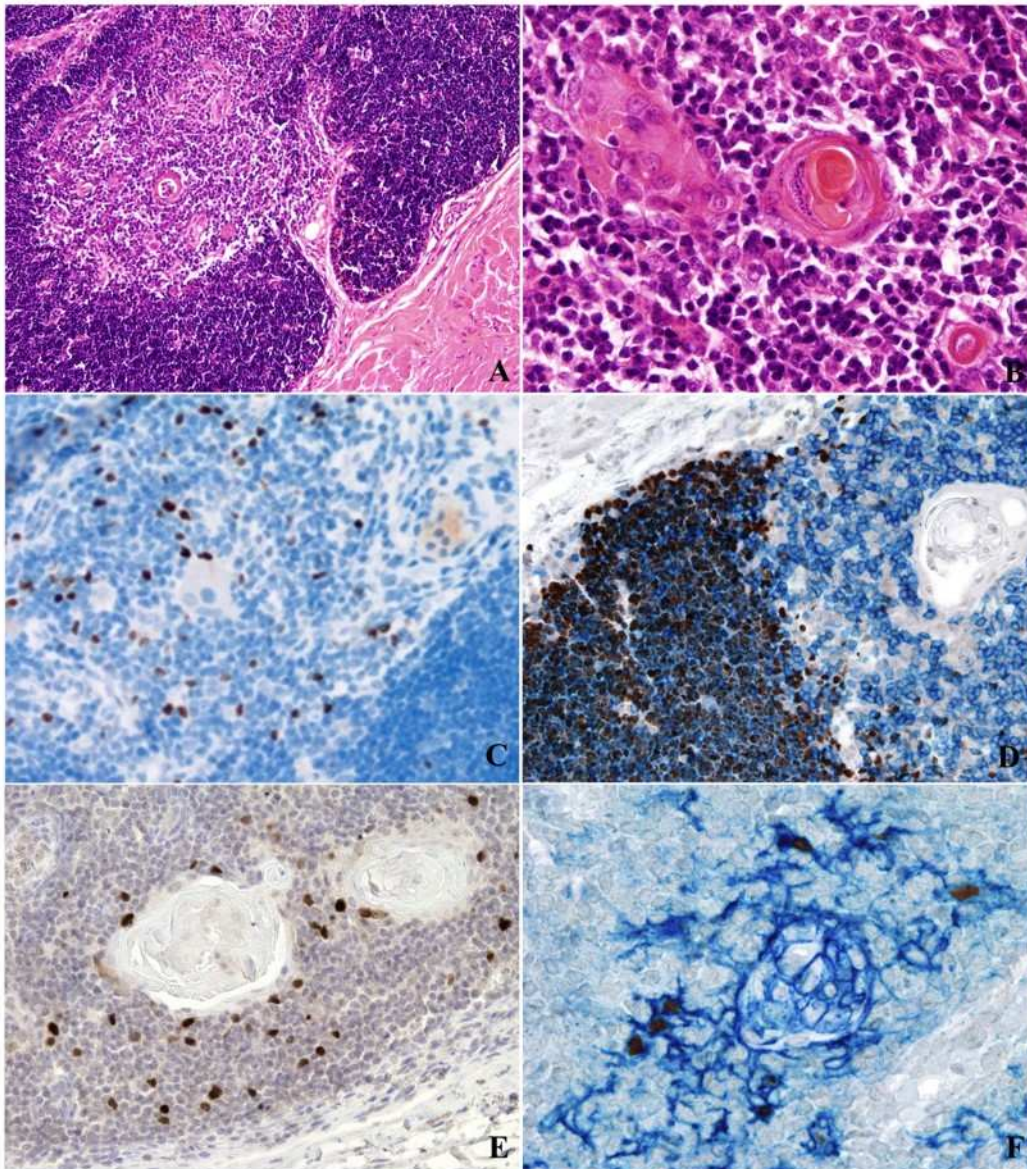


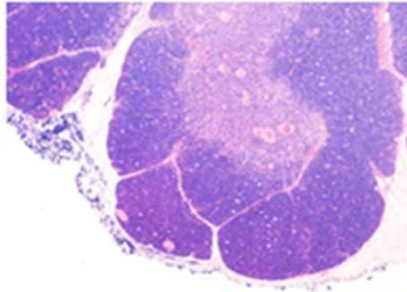
c



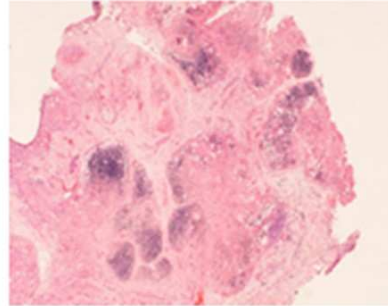
ACCEPTED MANUSCRIPT



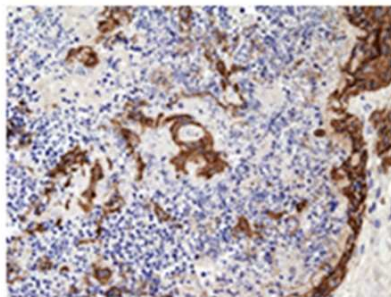




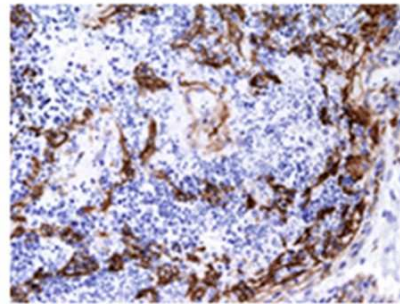
a. Fresh



b. Day 16 culture

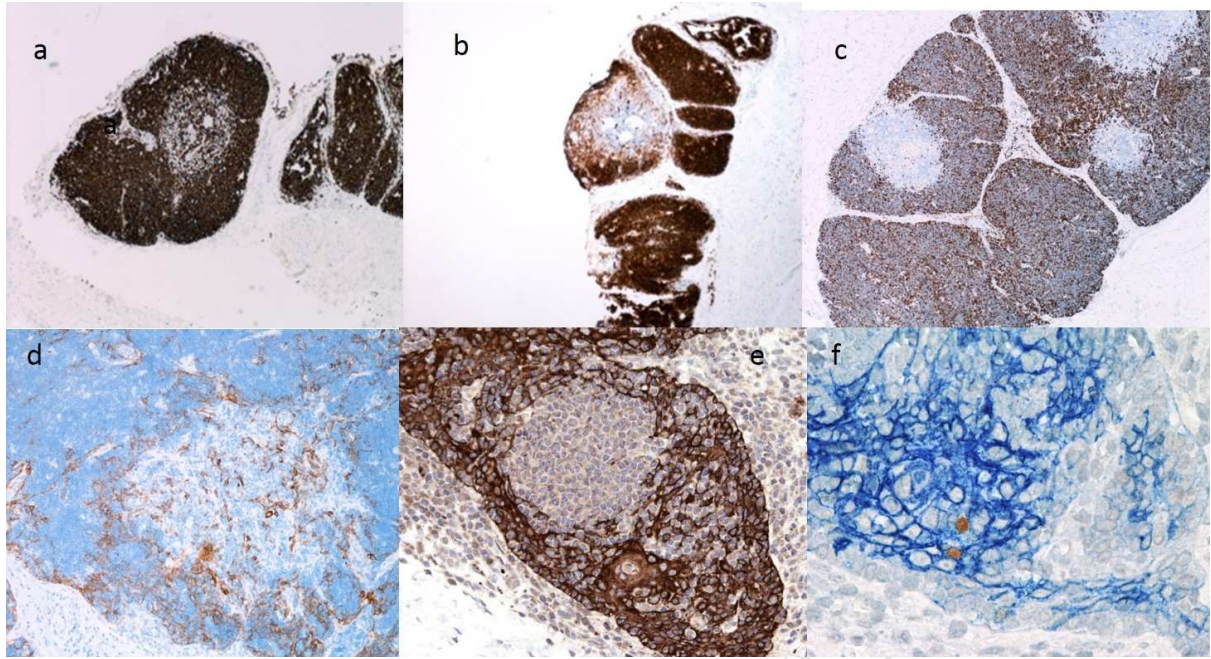


c. CK5 - d16

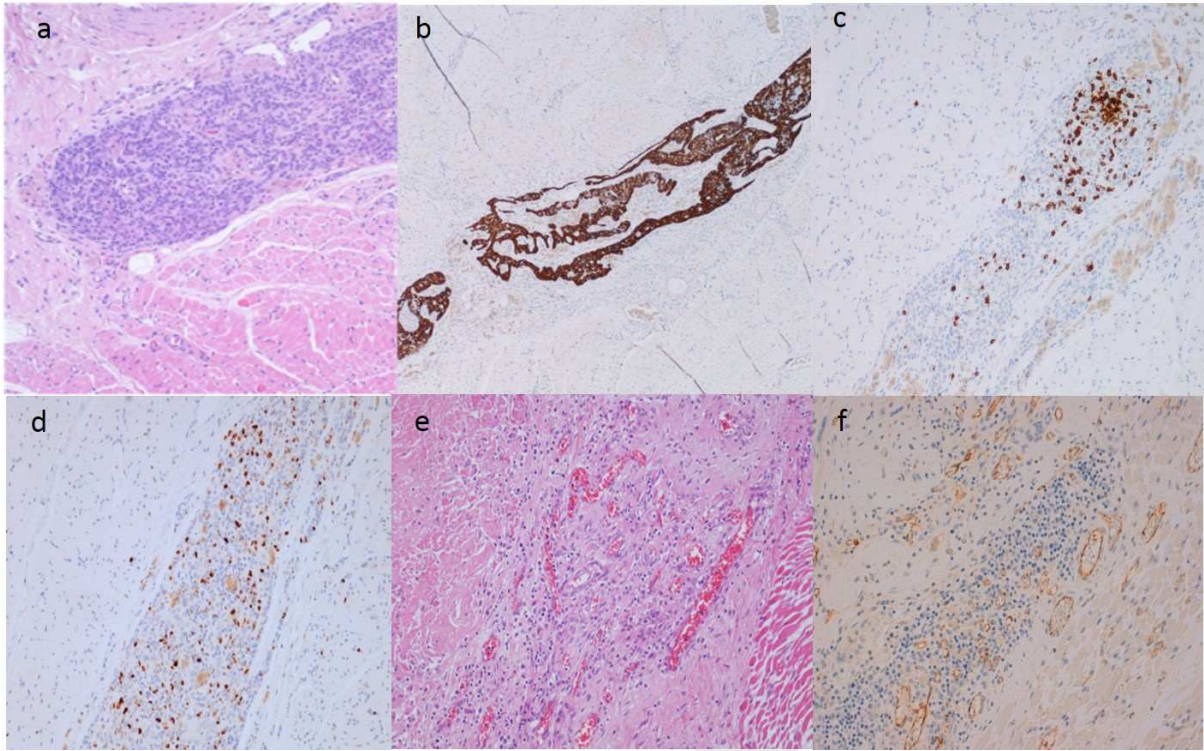


d. CK8 - d16

ACCEPTED

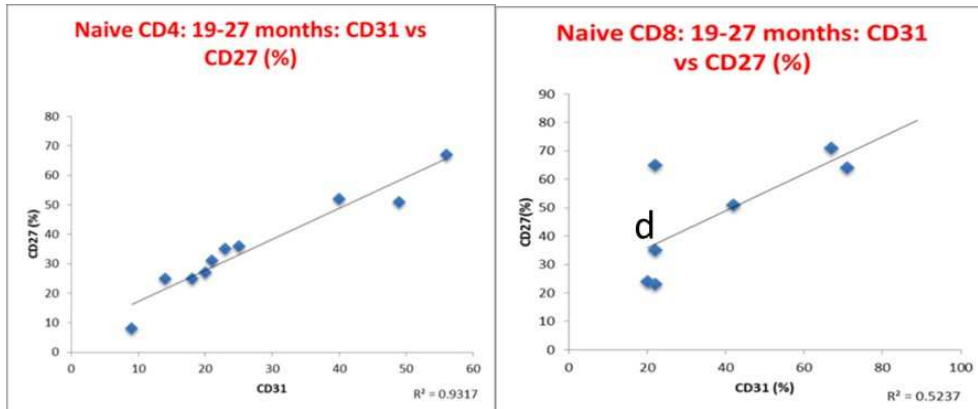


ACCEPTED MANUSCRIPT

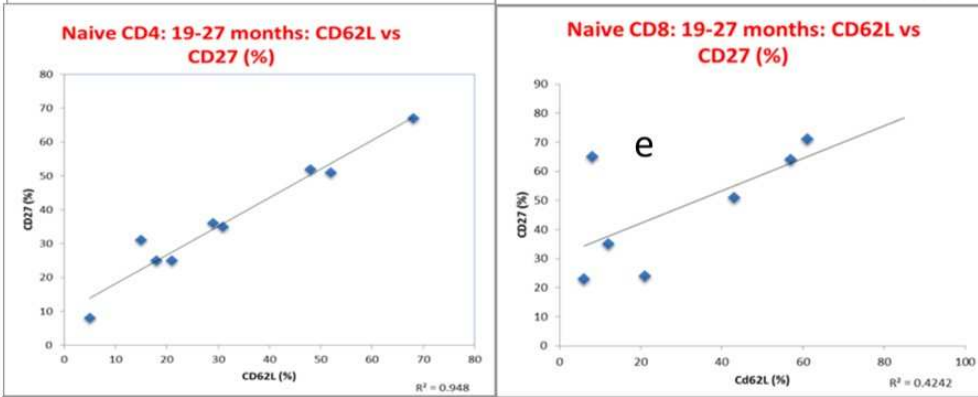


ACCEPTED MANUSCRIPT

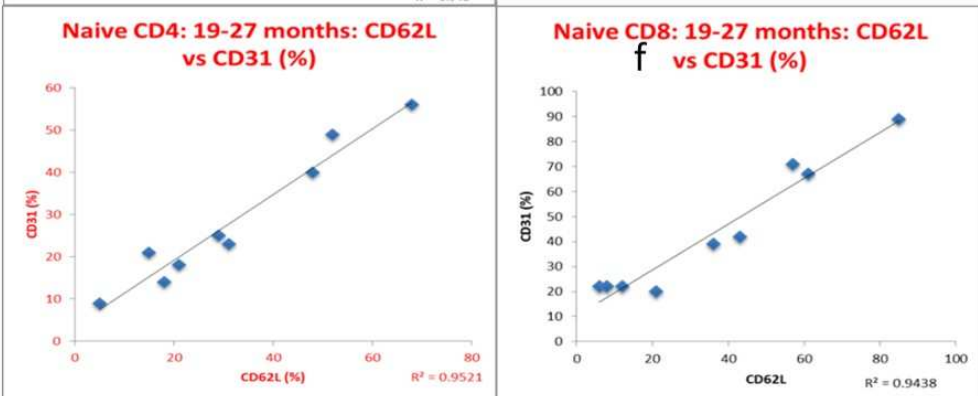
a



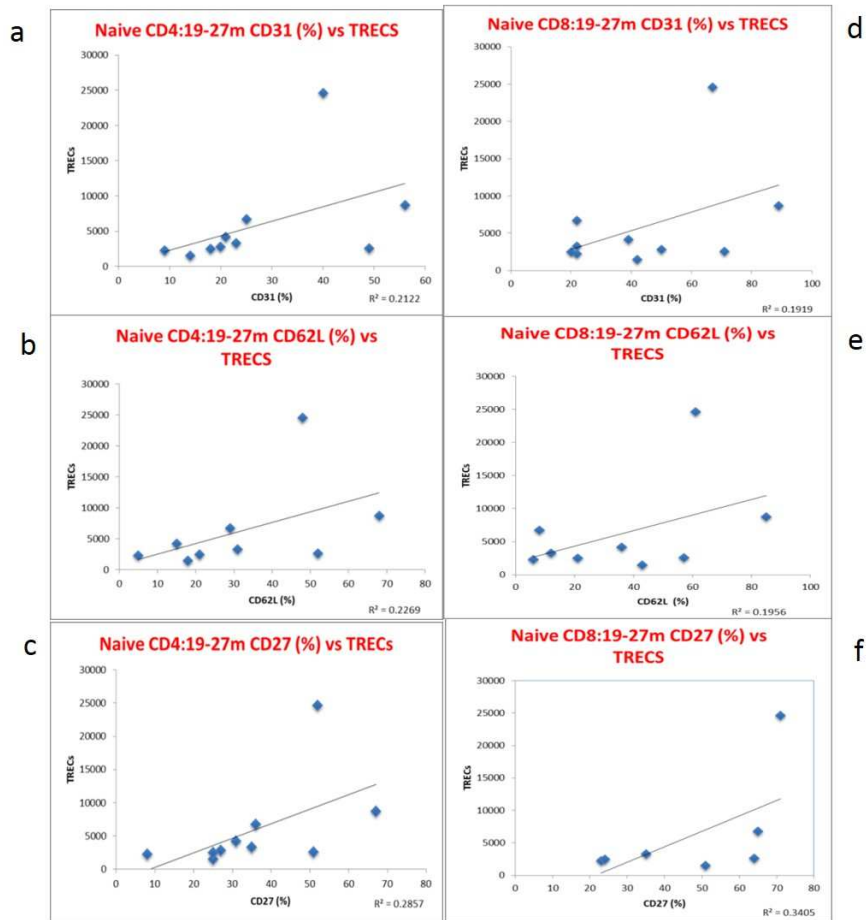
b

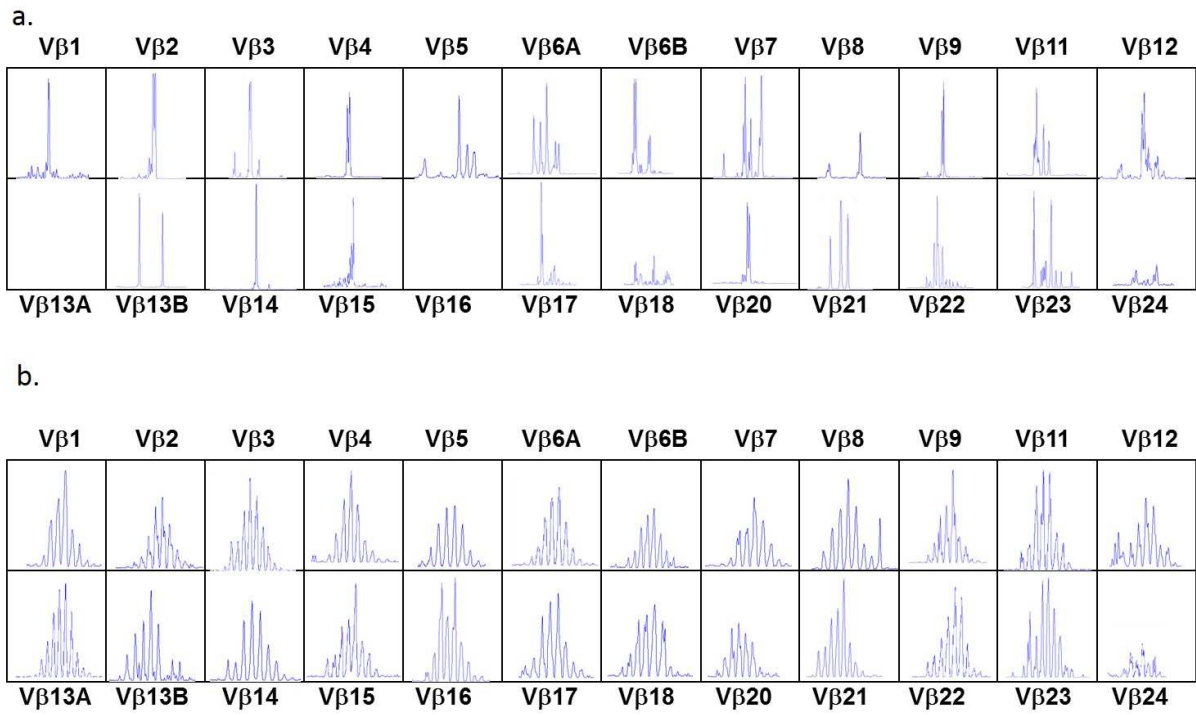


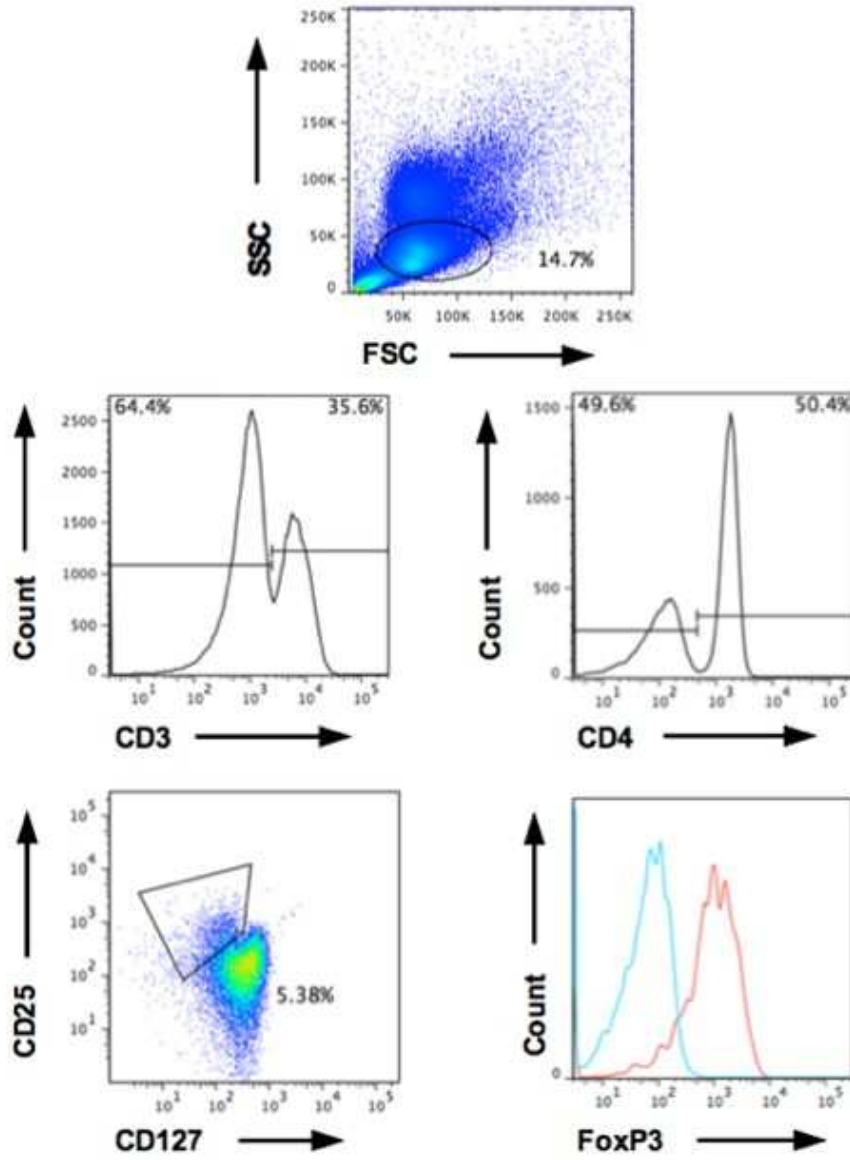
c

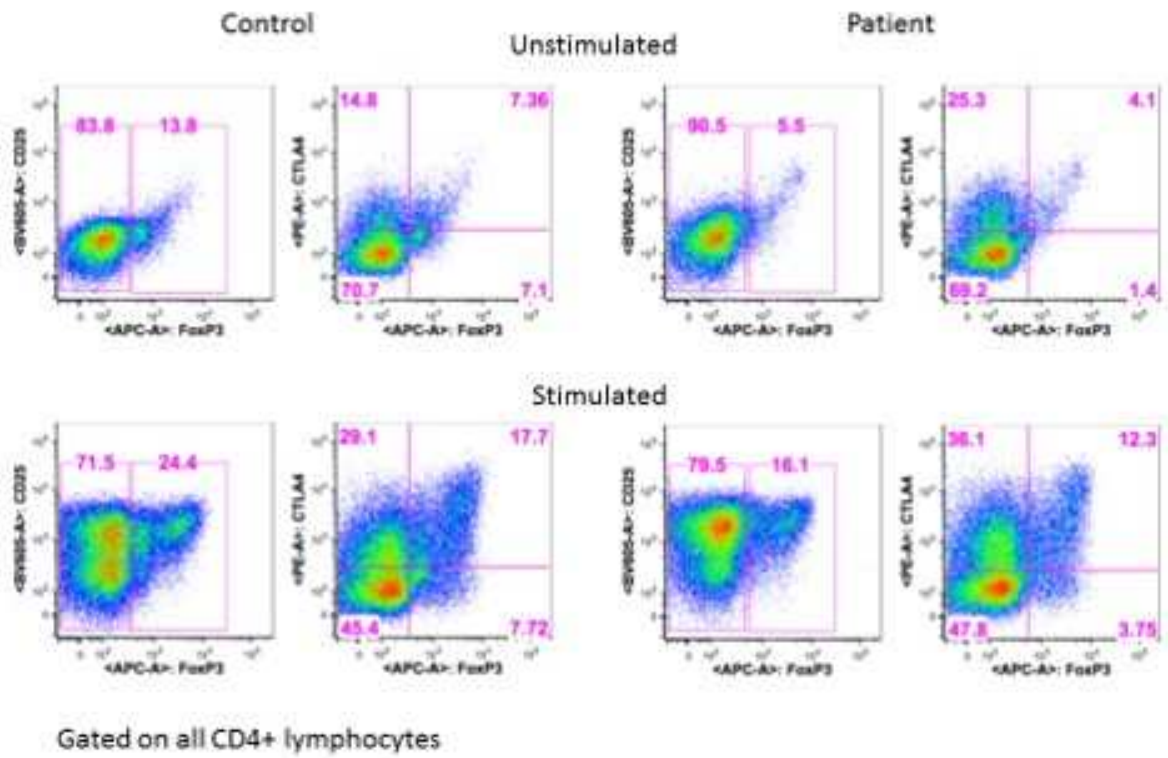


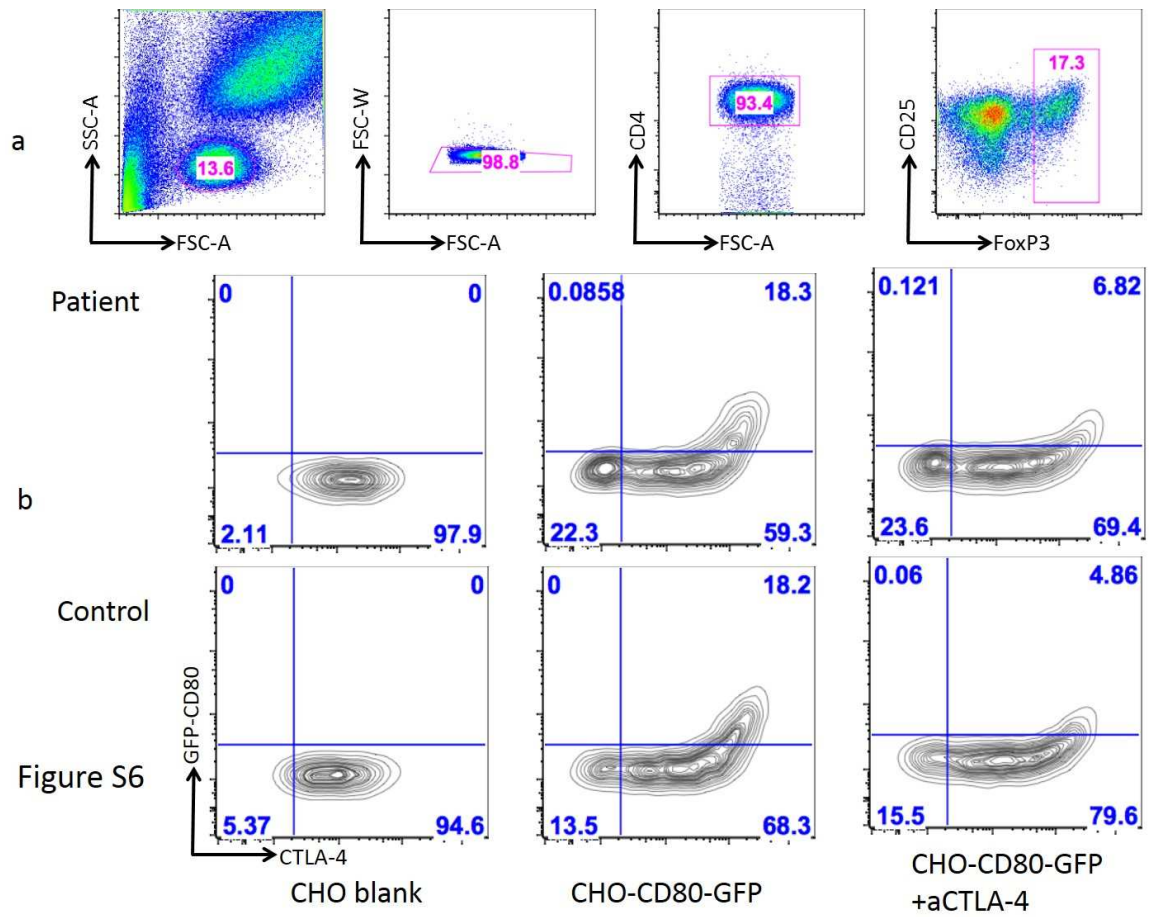
ACCEPTED

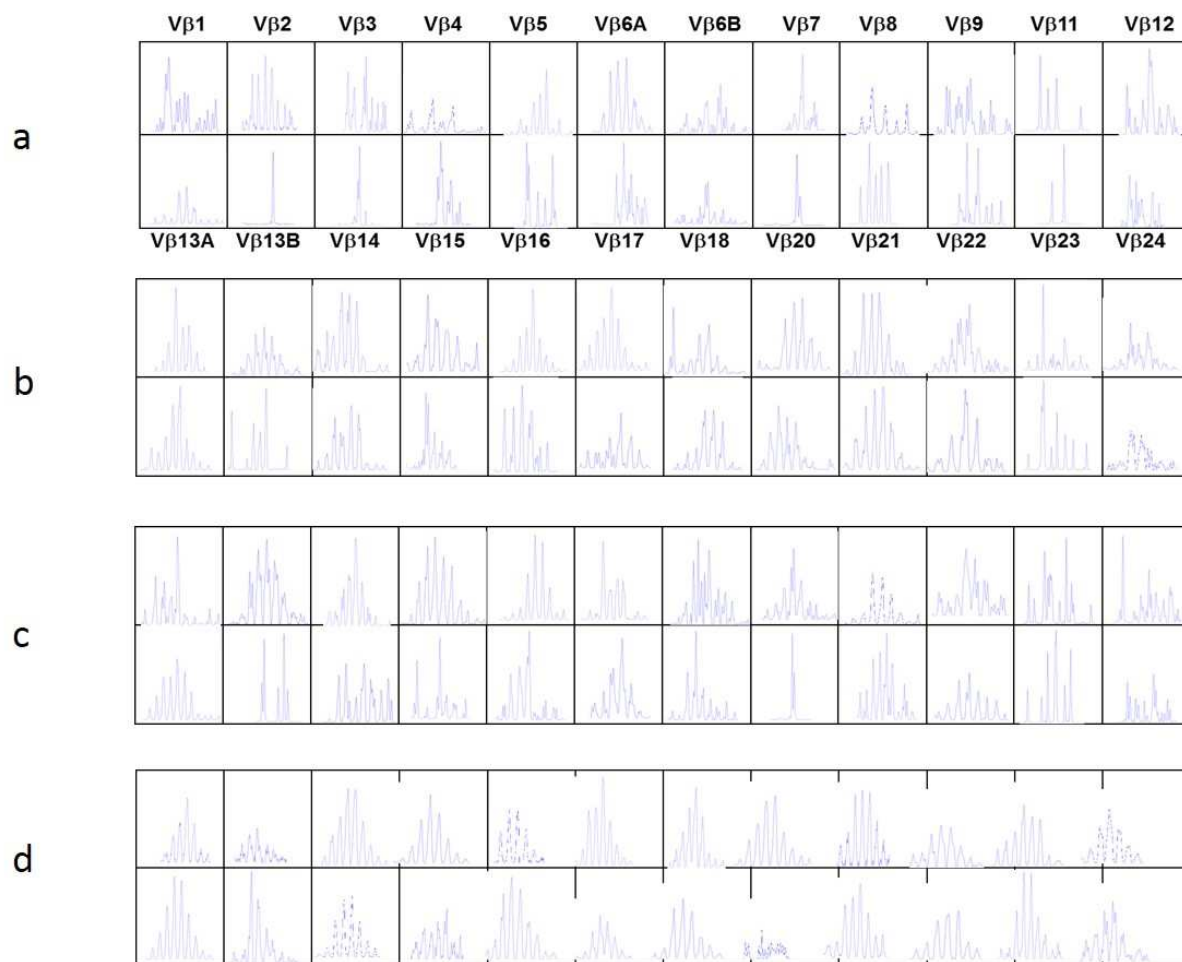




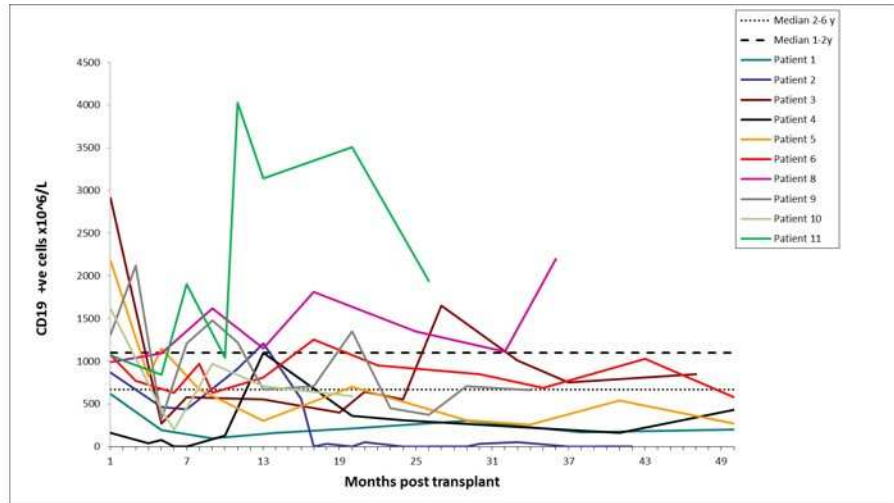








a



b

