

1 **Validation of computational approaches for antiretroviral dose optimisation**

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15 **Abstract**

16 Strategies to reduce antiretroviral doses and drug cost can support global access and
17 numerous options are being investigated. Efavirenz pharmacokinetic simulation generated
18 with a bottom-up physiologically based model were successfully compared with data
19 obtained from the Encore I clinical trial (Efavirenz 400mg qd versus 600mg qd). These
20 findings represent a pivotal paradigm for the prediction of pharmacokinetics resulting from
21 dose reductions. Validated computational models constitute a valuable resource to optimise
22 therapeutic options and predict complex clinical scenarios.

23 **Main text**

24 The global access to treatment will favour a more effective strategy against the HIV
25 pandemic but defines several challenges in terms of drug production and distribution.
26 Antiretroviral dosing strategies have been selected to warrant inhibition of viral replication
27 but there is growing recognition that some antiretroviral drugs may be administered at doses
28 above those required for efficacy. This may place a higher demand than necessary on
29 medication budgets and manufacturing costs in resource-limited settings where the need is
30 greatest.

31 Alternative strategies to lower doses and drug cost could effectively support global access
32 and several reduction strategies are being investigated (1). A rational identification of optimal
33 dose reductions is challenging and is commonly based on large clinical studies.

34 Drug distribution can be quantitatively investigated through computational approaches,
35 utilising data from clinical studies to provide a Top-down description and its variability in
36 populations (i.e population pharmacokinetic modelling, popPK) or integrating drug specific in
37 vitro data in models to predict Bottom-up pharmacokinetics in populations of virtual patients
38 (i.e physiologically based pharmacokinetic modelling, PBPK). PBPK modelling is based on
39 the mathematical representation of absorption, distribution and elimination processes
40 defining pharmacokinetics (2). Drug specific (lypophilicity, apparent permeability, in vitro

41 clearance, induction and inhibition potential) and patient specific factors (demographics,
42 enzyme expression, organ volume and blood flows) are integrated in order to provide a
43 realistic description of pharmacokinetics (3-5). A virtual population of patients can be
44 simulated by considering anatomical and physiological characteristics, and their covariance.
45 The pharmacokinetic assessment after administration of efavirenz (EFV) 400mg once daily
46 (qd) versus 600mg qd conducted as part of the Encore I study was recently published (6).
47 Prior to this clinical analysis we made a prediction of the drug exposure from 400mg using
48 PBPK modelling that we also published 3 years previously (7).

49 The purpose of this work is to exemplify the utility of PBPK modelling in exploration of the
50 pharmacokinetic consequences of dose reduction by reporting a formal comparison of the
51 previous PBPK prediction against the popPK model (top down) that was constructed with the
52 clinical data from Encore I (6).

53 The frequency of the *CYP2B6* 516 G>T genotype from our previously published PBPK
54 model were amended to match the population of the Encore I trial to provide a more realistic
55 description of the inter-patient variability. The median of pharmacokinetic variables such as
56 C_{max} , C_{12hr} and C_{24hr} obtained through the PBPK simulations and their variability were
57 compared with model predicted PK parameters from Encore I. As shown in Figure 1 the key
58 pharmacokinetic descriptors of EFV were accurately predicted by the PBPK model after
59 correcting the frequency of *CYP2B6* 516G>T. The predicted pharmacokinetic variables (C_{max}
60 , C_{12hr} and C_{24hr}) were in satisfactory agreement with the data observed for the dose
61 reduction to 400 mg. These findings can be viewed as a paradigm for prediction of the
62 pharmacokinetic consequences of dose reduction. While PBPK modelling cannot help
63 establish the accuracy of existing pharmacokinetic therapeutic cut-off values (which Encore I
64 has shown is likely to be inaccurate for EFV), it can certainly help define the potential for
65 pharmacokinetic success prior to costly and labour-intensive prospective clinical trials.
66 Therefore, integration of PBPK modelling prior to or during design of prospective studies is
67 warranted to ensure effective deployment of available resources.

68 It is increasingly evident that computational approaches can assist in answering questions
69 that cannot easily be examined because of prohibitive ethical or logistical barriers. PBPK
70 modelling can bridge from drug development through *in vitro* data into the clinical scenario
71 and reduce the number of clinical studies required to optimise therapies. This modelling
72 approach can support the design of clinical studies in terms of sample size, timing of doses
73 and sampling as recently indicated in several regulatory guidelines and documents (8-10).
74 Our findings demonstrate the utility of PBPK modelling for dose optimisation, and a
75 comparison between Bottom-Up and Top-Down approaches can build the basis for a future
76 wider application of this modelling approach (11-13). The pharmacology of antiretrovirals
77 and other anti-infective drugs is based on the co-administration of complex regimens and
78 often administered to patients with specific characteristics defining challenging clinical
79 scenarios (14, 15). Computational predictive models such as PBPK can represent a pivotal
80 resource in answering questions that cannot otherwise be examined in pre-clinical or clinical
81 development, supporting the rational design of therapeutic options, identifying strategies to
82 maximise the efficiency and safety of therapies in various populations of patients.

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85 **Figure 1** Scatter dot representing the main pharmacokinetic descriptors (AUC_{0-24} , C_{max} , and
86 C_{24hr}) simulated through the PBPK model (7) and population PK model developed for
87 ENCORE I (6) for 400mg qd (A) or 600 mg (B). 25th percentile (open circle), median (black
88 circle) and 75th percentile (patterned circle) are presented. The solid line represents the
89 identity line and dotted lines represent 50-200% range

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92 **Conflict of Interest**

93 Marco Siccardi has received research funding from ViiV and Janssen. Laura Dickinson is
94 supported by Pre-DiCT-TB and has received a travel busary from Gilead. Andrew Owen has
95 received research funding from Merck, Pfizer and AstraZeneca, consultancy from Merck and
96 Norgine.

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