

Original Article

Acute Type A aortic dissection in the United Kingdom:

Surgeons volume-outcome relation

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41 **Glossary:**

42 AAD: Acute Aortic Dissection

43 ATAD: Acute Type A aortic dissection

44 IRAD: International Registry for Acute Dissection

45 SCTS: Society for Cardiothoracic Surgery

46 NICOR: National Institute for Cardiovascular Outcomes Research

47 TAVI: Transcatheter aortic valve implantations

48 NACSA: National Adult Cardiac Surgery Audit

49 CCS: Canadian Cardiovascular Society

50 NYHA: New York Heart Association

51 ONS: Office for National Statistics

52 MAV: Mean Annual case Volume

53 IQR: Inter-Quartile Range

54

55 **ABSTRACT**

56 **Objectives**

57 Surgery for acute Type A aortic dissection carries a high risk of operative mortality. We
58 examined the surgeon volume-outcome relation with respect to in-hospital mortality for
59 patients presenting with this pathology in the United Kingdom.

60 **Method:**

61 Between April 2007 and March 2013, 1550 acute Type A aortic dissection procedures were
62 identified from the National Institute for Cardiovascular Outcomes Research database. 249
63 responsible consultant cardiac surgeons from the UK recorded one or more of these
64 procedures in their surgical activity over this time period. We describe the patient population
65 and mortality rates, focusing on the relationship between surgeon volume and in-hospital
66 mortality.

67 **Results:**

68 The mean annual volume of procedures per surgeon during the 6-year period ranged from 1
69 to 6.6. The overall in-hospital mortality rate was 18.3% (283/1550). A mortality improvement
70 at the 95% level was observed with a risk adjusted mean annual volume >4.5 . Surgeons with
71 a mean annual volume over the study period ≥ 4 had significantly higher in-hospital mortality
72 rates in comparison to surgeons with a mean annual volume <4 (19.3% vs. 12.6%; $P =$
73 0.015).

74 **Conclusion:**

75 Acute Type A aortic dissection patients who are operated on by lower volume surgeons
76 experience higher levels of in-hospital mortality. Directing these patients to higher volume
77 surgeons may be a strategy to reduce in-hospital mortality.

78 **Keywords:** aorta, dissection, aneurysm, surgeon volume-outcome, AAD

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INTRODUCTION

Acute Type A aortic dissection (ATAD) is a lethal condition and a cardiac surgical emergency. The incidence of aortic dissection is 30-43 per million population per year and this is incrementally increasing^{1,2,3}. Forty percent of patients with dissection are aged 60 to 74 but 27% are aged 17 to 59 years, thus all ages are affected⁴.

In medically treated patients, mortality rates are 1-2% per hour after the initial event, with death due to coronary or other organ malperfusion, cardiac tamponade, acute heart failure due to aortic regurgitation or aortic rupture. Emergency surgery can convert a 90% mortality rate at 30-days to a 75-90% long-term survival rate⁴. Mortality rates may vary, the International Registry for Acute Dissection (IRAD)⁵ and the UK Society for Cardiothoracic Surgery (SCTS) 'Blue Book'⁶ have published operative mortality rates of 25.1% and 22.8% respectively. In contrast, the German registry GERAADA published their series with lower rates of 17%⁷. This variation in reported mortality might be due to the volume-outcome relationship that has been at the center of debate and discussion. The Mount Sinai group utilizing the Nationwide Inpatient Sample of North America reported that lower-volume surgeons and centers have approximately double the risk-adjusted mortality of patients undergoing repair by the highest volume care providers⁸.

This study aims to report the national UK surgeon outcomes in the operated ATAD patient population and explore the relationship in this population between surgeon volume and adjusted in-hospital mortality.

102 **METHODS**

103 **NICOR database**

104 Prospectively collected data were extracted from the National Institute for
105 Cardiovascular Outcomes Research (NICOR) National Adult Cardiac Surgery Audit
106 (NACSA) registry (version 4.1.2) on 20th November 2014 for all adult cardiac surgery
107 procedures performed in the UK. As described elsewhere, reproducible cleaning
108 algorithms were applied to the database⁹. Briefly, duplicate records and non-adult
109 cardiac surgery entries [including transcatheter aortic valve implantations (TAVIs)]
110 were removed, transcriptional discrepancies harmonized and clinical and temporal
111 conflicts and extreme values corrected or removed. Data summaries are returned
112 regularly to each unit for local validation as part of the NACSA in the UK¹⁰.

113 For this study, records were included that corresponded to the following
114 criteria: procedure on one or more of the root, ascending or arch aortic segments with
115 a recorded pathology of “Acute Dissection” that were performed in England and
116 Wales between 1st April 2007 and 31st March 2013. Records missing responsible
117 consultant cardiac surgeon data (recorded in the form of General Medical Council
118 registration number) were excluded.

119 **Baseline and operative variables**

120 For each procedure, data are recorded on patient characteristics, comorbidities,
121 surgical team, intraoperative factors and postoperative outcomes. For this study, we
122 extracted data on patient age at the time of procedure (years), gender, body mass
123 index [BMI, defined as weight (kg) / height² (m²)], Canadian Cardiovascular Society
124 (CCS) angina class, dyspnoea (dichotomized as New York Heart Association
125 (NYHA) grade < III and NYHA grade ≥ III), recent myocardial infarction (defined as

126 within 90 days of surgery), history of major cardiac surgery, diabetes (diet or insulin
127 controlled), smoking status, history of hypertension, serum creatinine >200 µmol/l,
128 history of renal dysfunction, history of pulmonary disease, history of neurological
129 dysfunction, extracardiac arteriopathy, preoperative heart rhythm, left ventricular
130 ejection fraction (classified as <30, 30–50 and >50%), IV inotropes prior to
131 anaesthesia, preoperative ventilation, preoperative cardiogenic shock, operative
132 urgency, concomitant CABG and valve procedures, cardiopulmonary bypass time,
133 aortic cross-clamp time, and circulatory arrest time.

134 Administrative data were also extracted including: patient admission,
135 procedure and discharge dates, responsible consultant cardiac surgeon and
136 anonymized hospital identifier. Further details of variable definitions are available at:
137 <http://www.ucl.ac.uk/nicor/audits/adultcardiac/datasets>.

138 **Outcomes**

139 The primary outcome for this study was in-hospital mortality, defined as death
140 due to any cause during admission to the base hospital for cardiac surgery. The
141 secondary outcome was mid-term mortality followed up to 5 years. Follow-up data up
142 until the point of discharge was collected by the NACSA clinical registry system and
143 post-discharge survival data was collected by linking the records via patient NHS
144 numbers to the Office for National Statistics (ONS) death registry, which records all
145 deaths in England and Wales. The final date of follow-up was 30th July 2013. Data on
146 cause of death was unavailable. An attempt to back-fill missing in-hospital mortality
147 data was made by record linkage to the ONS registry prior to applying the extraction
148 criteria.

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150 **Case volumes**

151 For analytical purposes, case volumes are presented both continuously and
152 categorically. In the continuous analysis, the mean annual case volume (MAV) was
153 calculated. This was achieved by taking the total number of procedures for each
154 surgeon and dividing this by the number of years in which they contributed data to the
155 registry. In the categorical analysis, the case volume was stratified into two groups
156 (surgeon MAV of ATAD procedures <4 and ≥ 4 over the study period). The cut point
157 was selected as being clinically meaningful after the introductory analysis showed it
158 to be the approximate inflection point for improved mortality.

159 **Statistical analysis**

160 Categorical and dichotomous variables are summarized as absolute number
161 and percentage. Non-normally distributed continuous data are summarized as median
162 and inter-quartile range (IQR). The prevalence of missing data in the registry for
163 baseline and operative measurements, as well as in-hospital mortality, are reported.
164 Due to the relatively low number of missing data items for the majority of the
165 variables, categorical variables with missing data were imputed with the baseline
166 category and continuous variables were imputed with the mean value before
167 calculations were performed.

168 Where categorical comparisons are made between groups the chi-squared test
169 was used, for similar comparisons between continuous variables the Wilcoxon rank-
170 sum test was used.

171 To quantify the relationship between MAV and in-hospital mortality we
172 performed three separate multivariable regression analyses. Firstly, an initial
173 balancing score was fitted for each patient using a mixed-effects linear regression

174 model. The dependent continuous variable used was a log transformation of the
175 surgeon MAV, with random intercepts for each hospital, and the following patient
176 variables were entered as independent variables: age, gender, body mass index,
177 smoking, renal failure, hypertension, pulmonary disease, neurological disease,
178 neurological dysfunction, peripheral vascular disease, recent myocardial infarction,
179 unstable angina, arrhythmia, New York Heart Association class, previous cardiac
180 surgery, diabetes, ejection fraction, cardiogenic shock, pre-operative ventilation,
181 operative urgency, cardiopulmonary bypass time, circulatory arrest time, surgery on
182 the aortic arch and concomitant procedures. The volume-outcome relationship was
183 then assessed by plotting estimated restricted cubic spline regression functions with
184 three knots between the surgeon MAV and in-hospital mortality; these were then
185 adjusted for patient case-mix by entering the balancing score into the regression
186 model. The spline estimates were based on a standard, fixed effects logistic regression
187 model. The balancing score therefore acts in a similar way to a propensity score^{11,12},
188 but is generalized beyond a dichotomous treatment assignment.

189 Secondly, in order to examine the contribution of hospital volume to outcome,
190 a logistic regression model for in-hospital mortality was fitted including random
191 intercepts for each hospital, with surgeon and hospital MAV entered into the model as
192 continuous variables, along with the independent demographical and procedural
193 variables listed above, interactional terms between hospital and surgeon MAV were
194 also explored.

195 Thirdly, a similar approach was taken to assessing the contribution of MAV to
196 mid-term mortality. Cox proportional hazards models were fitted, again with random
197 intercepts for each hospital and with surgeon and hospital MAV entered into the
198 model as continuous variables, along with the independent demographical and

199 procedural variables listed above. In order to demonstrate any non-proportional
200 effects of early mortality two models were fitted, one with a start time of the
201 procedure date and the second with a start time of 90-days post-procedure.

202 To evaluate the categorical difference in volume, Kaplan-Meier charts were
203 used to plot the actuarial 5-year survival, incorporating a landmark cutoff of 90 days
204 where the groupwise mortality rates were rebased to zero. The log-rank test was used
205 to assess the equivalence of death rates between groups in both phases of the analysis.

206 Statistical analyses were performed with SAS version 9.3 (SAS Institute,
207 Cary, NC). In all cases a *P* value <0.05 was considered statistically significant.

208

209 **RESULTS**

210 **Characteristics of the study population**

211 1632 patients were identified from the NACSA database as having had
212 surgery for ATAD during the time period. Patients who had surgery on the
213 descending and/or thoracoabdominal segments of the aorta (n=63; 3.9%), and 19
214 (1.2%) records that lacked responsible clinician data were excluded from the analysis.
215 The 1550 that remained were included in the study analysis dataset, however 162
216 (10.5%) records lacked follow-up mortality data and are excluded from that element
217 of the analysis.

218 The 1550 patients were admitted to 41 different hospitals throughout England
219 and Wales and were under the care of 249 different consultant cardiac surgeons. The
220 mean surgeon MAV was 2.6 (SD = 1.2; median (IQR) = 2.3 (1.6, 3.3), with 199 of
221 249 surgeons (79.9%) performing fewer than 10 procedures overall. A total of 41
222 surgeons performed a single ATAD procedure. The highest number of procedures

223 performed by a single surgeon during the study period was 33. The mean hospital
224 MAV was 9.6 (SD = 4.6; median (IQR) = 8.7 (6.0, 13.2). The highest number of
225 procedures performed by a single hospital during the study period was 107.

226 Pre-operative and operative differences between the two categorical groups
227 are shown in Tables 1 and 2. Surgeons in the lower MAV group were more likely to
228 operate on patients who had a recent MI, whilst being less likely to operate on patients
229 with a history of pulmonary disease or patients who required surgery on the aortic
230 arch. Surgeons in the lower MAV group also reported significantly longer circulatory
231 arrest times.

232 **In-hospital mortality and case volume**

233 The overall in-hospital mortality rate for all ATAD patients was 18.3% (283
234 patients). Figure 1 plots the observed in-hospital mortality against the adjusted
235 surgeon MAV. Somewhat counter-intuitively, the curve begins below the national
236 mean rate at the lowest volumes then rises and peaks between 2 and 3 procedures per
237 year, before decreasing in an approximate linear trend in higher volume surgeons.
238 Significant in-hospital mortality improvements can be observed beyond a surgeon
239 MAV of 4 to 4.5.

240 The unadjusted in-hospital mortality rate decreased from 19.3% in the group
241 of surgeons who had a MAV <4 during the study period to 12.6% in the group who
242 had a MAV \geq 4; $P = 0.015$ (Table 2). Figure 2a illustrates the groupwise trends in 5-
243 year follow up mortality rates, including a landmark rebasing at 90 days. The early
244 difference in mortality rates is significant at the 0.05 level (log-rank test P value =
245 0.028), however this difference is not sustained in the second era, from 90 days to 5

246 years ($P = 0.97$). (Figure 2b is a detail from Figure 2a which charts the 90 day
247 mortality only, included for clarity).

248 The logistic regression model shown in Table 3 demonstrates a similar in-
249 hospital mortality advantage for surgeons who perform a greater number of
250 operations, after adjustment for casemix and hospital volume, increasing surgeon
251 MAV (assessed as a continuous variable) was associated with a significant reduction
252 to in-hospital mortality (adjusted OR=0.853 (95% CI 0.733 to 0.992) $P = 0.039$).
253 Other associated variables were: increasing age, previous cardiac surgery, peripheral
254 vascular disease, left ventricular ejection fraction <30%, cardiogenic shock, salvage
255 priority, concomitant CABG procedure, and increasing cardiopulmonary bypass time.
256 Hospital MAV was not associated with a difference in in-hospital mortality (adjusted
257 OR=1.005 (95% CI 0.956 to 1.057) $P = 0.84$).

258 Figure 3 includes four charts which show the interaction between surgeon and
259 hospital MAV with regards to in-hospital mortality. The predicted probabilities of in-
260 hospital mortality over the range of surgeon MAV are shown for the 20th, 40th, 60th
261 and 80th percentiles of hospital MAV (which are, respectively, 5.8, 7.0, 10.2 and 14.3
262 cases per year). Visual inspection of these allows us to infer that there are no
263 substantial differences in the relationship between surgeon MAV and in-hospital
264 mortality as hospital MAV increases. The associated interaction test P value = 0.88.

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266 **Follow-up mortality and case volume**

267 The results of the two Cox proportional hazard models are shown in Table 4.
268 In the ‘Start time = procedure date’ model, higher surgeon MAV (assessed as a
269 continuous variable) was again associated with a significant reduction in death

270 (adjusted HR=0.882 (95% CI 0.801 to 0.972) $P = 0.011$). Other variables that were
271 associated with a greater hazard of death were: increasing age, left ventricular ejection
272 fraction <30%, cardiogenic shock, salvage priority, surgery on the aortic arch,
273 concomitant CABG procedure and increasing cardiopulmonary bypass time. Hospital
274 MAV was not significantly associated with a difference in death (adjusted HR=1.029
275 (95% CI 1.000 to 1.059) $P = 0.050$).

276 In the 'Start time = 90 days post-procedure' model, higher surgeon MAV was
277 not associated with a significant reduction in death (adjusted HR=0.920 (95% CI
278 0.779 to 1.088) $P = 0.33$). Other variables that were associated with a greater hazard
279 of death were: increasing age and left ventricular ejection fraction <30%. Hospital
280 MAV was not significantly associated with a difference in death (adjusted HR=1.020
281 (95% CI 0.972 to 1.072) $P = 0.42$), suggesting that the significant effect observed in
282 the 'Start time = procedure date' model is both non-proportional and also greatly
283 reliant on the large early mortality burden.

284

285 **DISCUSSION**

286 It has been shown that out of every 1,000 emergency department patients
287 presenting with acute back, chest, or abdominal pain, three patients with ATAD are
288 diagnosed¹³. This is a fatal condition with a dire prognosis unless the patient receives
289 immediate surgical intervention. The IRAD has published outcomes from multiple
290 centres worldwide with an average in-hospital mortality of 25.1% in 2005¹⁴. European
291 registries in the United Kingdom and Germany have published operative mortalities
292 of 23.1% and 17% respectively¹⁵. A recent publication from Mount Sinai Medical
293 Centre, using the Nationwide Inpatient Sample database of 5,184 patients between

294 2003 and 2008, showed average operative mortality of 21.6%⁸Error! Bookmark not defined.
295 However, in the advent of this decade there are multiple centres worldwide that are
296 publishing in-hospital mortality rates for ATAD in the single digits¹⁶¹⁷¹⁸¹⁹.

297 Throughout the United States the model of aortic supercentres with high
298 referral rates have existed for some time. It has been suggested that outcomes in
299 thoracic aortic surgery could be improved nationwide in the United States if the acute
300 care and emergency surgical treatment of most patients with ATAD were regionalized
301 and restricted to institutions with high-volume multidisciplinary thoracic aortic
302 surgery programs²⁰.

303 Andersen et al. from the Duke group published their results pertaining to the
304 impact model of a multi-disciplinary team approach to ATAD¹⁷. They reported
305 operative mortality before multi-disciplinary implementation was 33.9% and was
306 statistically equivalent to the expected operative mortality rate of 26.0%. Operative
307 mortality after multi-disciplinary implementation fell to 2.8% and was statistically
308 better than the expected operative mortality rate of 18.2% using the International
309 Registry of Acute Aortic Dissection pre-operative prediction model.

310 In the UK, centralization of expertise and service provision for type A aortic
311 dissection does not exist. Operating on ATAD does not generally follow a selective
312 referral protocol which in effect leads to a national mix and match between high and
313 low volume surgeons. Compounding this is the lack of best practice evidence on
314 structured referral from emergency room to operating room. This is due to multiple
315 factors which unfortunately have not been quantified in the UK. Those factors are in
316 essence related to lack of swift recognition of ATAD. There is also lack of substantial
317 evidence on the actual time to referral once ATAD is actually identified. The

318 aforementioned mandate a policy that will serve better patients' outcome and results
319 across the UK.

320 Evidence of improved outcomes related to operative volume or surgeon
321 expertise is often difficult to establish due to the infrequent nature of ATAD and
322 consequent lack of statistical power that could potentially provide meaningful
323 analysis²¹.

324 The ideal definition of volume is inherently inconsistent; this makes diving
325 into a discussion involving 'volume' highlight caveats that are not potentially attained
326 or addressed between cardiac surgical units at large. The strong rationale of the
327 volume-outcome relationship as reflected in literature springs from the catalyst for
328 subspecialisation and centralization of aortic services. It is to provide centres with a
329 large and reaching catchment areas the reciprocal improvement and effect on the
330 subspecialized unit. It allows more robust referral to influx and therefore maintain an
331 adequate voluminous exposure. Essentially, in the UK, thoracic aortic aneurysm
332 service is in much need of such an approach and a national policy and mandate that
333 would support such programs across the UK should be warranted. This should
334 provide a sustained increase in volume to concentrated expertise that would allow the
335 possibility to address dire surgical diseases and avert associated complications. It will
336 then reciprocate this arrangement by ultimately reducing mortality and perhaps
337 improving survival and aftercare post-surgery²². Beside this, the advent of
338 technological superiority in diagnosis and surgical planning of aortic surgery and the
339 understanding of the natural history is resulting in personalized and targeted therapies
340 and surgical procedures to be done on a wider range of the affected population. This
341 has allowed for such cost-effective diagnostic tests to be distributed to a smaller

342 number of regional centres and for them to operate on this patient cohort. Hence, this
343 has titrated the inexistence of specialist centres and diverted a large number of
344 patients to be operated at local lower-volume institutions.

345 The development of standardization and subspecialisation of acute aortic
346 services requires a comprehensive assessment of the current status in aortic surgery in
347 the UK. As such, our analysis has demonstrated that there is significant variation of
348 in-hospital mortality around the country with little relationship between volume and
349 outcome at a hospital level. These results are contrary to those demonstrated by
350 international groups including the Mount Sinai group utilizing the Nationwide
351 Inpatient Sample of North America who reported that lower-volume surgeons and
352 centres have approximately double the risk-adjusted mortality of patients undergoing
353 repair by the highest volume care providers²³.

354 Our study does however demonstrate that higher individual surgeon volume
355 was associated with lower in-hospital mortality. These relationships could be
356 explained by a number of different factors such as; case mix per individual surgeon,
357 selection bias and variations in turn down practice, concentration of expertise to a
358 particular surgeon within a hospital and inadvertent subspecialisation of a surgeon
359 with interests to aortic interventions. On the other hand, and as demonstrated in the
360 context of the analysis; difference between low and high volume surgeons clearly
361 point out the shorter aortic cross clamp and cardiopulmonary time but increased
362 circulatory arrest time in the low-volume group which could be related to attempt of
363 more frequent use or extended repair entailing arch segment replacement. Although
364 this is not entirely understood, one way of scoping this further would be to look at this
365 element within the cohort and run a thorough factor analysis

366 While this study demonstrates good overall mortality rates for ATAD in the
367 UK, it is likely that further improvements could be achieved through the introduction
368 of a quality improvement programme for ATAD surgery. It is vital that such a
369 programme is implemented across the multidisciplinary thoracic aortic surgery team
370 including anaesthesia, postoperative surgical intensive care and operative perfusion
371 specialists. Such a programme should also involve standardized referral pathways and
372 treatment protocols for ATAD repair. Another important contributing factor would be
373 the development and implementation of a robust referral system and an initiative to
374 hospital managers and commissioners for centralization of expertise in ATAD repair.
375 This will reduce the waiting time and taxing of ATAD patients unnecessarily in acute
376 services while diagnostics are being carried out.

377 **Limitations**

378 The main limitation of this study is its retrospective nature and the variable
379 nature of data quality between institutions in the UK. There are also several
380 confounding variables to consider that are not available in the NICOR dataset. The
381 foremost of these factors is probably case selection: surgeons at tertiary referral
382 centres are likely to operate on patients significantly longer after the acute event than
383 local units; consequently their surgical outcomes may benefit from both temporal
384 patient selection (more stable patients are more likely to survive transfer), and more
385 aggressive individual patient selection informed by the additional complications (such
386 as malperfusion syndromes) that manifest hours to days after initial presentation.
387 Other possible confounding variables include delays between diagnosis and
388 intervention, referral bias and clustering, and presence, severity, and duration of end-
389 organ ischemia.

390 **CONCLUSIONS**

391 Concentration of expertise and volume to appropriate surgeons who perform
392 increasingly more complex aortic cases would be required to change the current
393 paradigm of ATAD outcomes in the UK. Whenever feasible, ATAD repair should be
394 performed by a high volume surgeon in order to reduce operative mortality. It is
395 reasonable to suggest a national standardization and quality improvement framework
396 for ATAD treatment.

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399 Surgery in Great Britain and Ireland who contribute data to the National Adult
400 Cardiac Surgery Audit registry. The National Institute for Cardiovascular Outcomes
401 Research, UCL, London, provided the data for this study.

402 **DATA SHARING**

403 The United Kingdom National Adult Cardiac Surgery Audit registry is
404 available to researchers upon application to the National Institute of Cardiovascular
405 Outcomes Research (NICOR), University College London. Full details on the NICOR
406 data sharing application process are available at
407 <https://www.ucl.ac.uk/nicor/access/application> [last accessed: 22nd December 2016].

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Table 1: Patient characteristics, stratified by surgeon MAV group

	Mean annual volume < 4 (n=1319)	Mean annual volume ≥ 4 (n=231)	<i>P</i> value	Missing Data
Age at procedure (years)	63 (52, 72)	64 (53, 74)	0.10	0 (0)
Female gender	425 (32.2)	69 (29.9)	0.48	0 (0)
Body mass index (kg/m ²)	26.4 (23.9, 29.7)	26.4 (24.2, 29.0)	0.54	48 (3.5)
Angina class IV	199 (15.1)	39 (16.9)	0.48	17 (1.1)
NYHA class ≥ III	354 (26.8)	68 (29.4)	0.41	23 (1.5)
Previous Q-wave MI	130 (9.9)	18 (7.8)	0.32	14 (0.9)
Recent MI (within 90 days)	83 (6.3)	4 (1.7)	0.006	10 (0.7)
Previous PCI	44 (3.3)	6 (2.6)	0.56	39 (2.5)
Previous cardiac surgery	75 (5.7)	20 (8.7)	0.08	127 (8.2)
Diabetes (diet or insulin controlled)	62 (4.7)	6 (2.6)	0.15	13 (0.8)
Current smoker	243 (18.4)	34 (14.7)	0.18	64 (4.1)
History of hypertension	905 (68.6)	167 (72.3)	0.26	27 (1.7)
Creatinine > 200 μmol/L	67 (5.1)	16 (6.9)	0.25	115 (7.4)
History of renal dysfunction	32 (2.4)	8 (3.5)	0.36	71 (4.6)
History of pulmonary disease	134 (10.2)	34 (14.7)	0.04	9 (0.6)
History of neurological disease	130 (9.9)	29 (12.6)	0.21	23 (1.5)
History of neurological dysfunction	93 (7.1)	20 (8.7)	0.39	15 (1.0)
Peripheral vascular disease	259 (19.6)	47 (20.4)	0.80	16 (1.0)
Non-sinus heart rhythm	120 (9.1)	18 (7.8)	0.52	99 (6.4)
Triple vessel disease	29 (2.2)	5 (2.2)	0.97	338 (21.8)
Left ventricular ejection fraction 30% - 50%	248 (18.8)	39 (16.9)	0.49	31 (2.0)
Left ventricular ejection fraction <30%	50 (3.8)	7 (3.0)	0.57	31 (2.0)
Intravenous nitrates or any heparin	200 (15.2)	29 (12.6)	0.30	6 (0.4)
Intravenous inotropes prior to anaesthesia	100 (7.6)	10 (4.3)	0.08	10 (0.7)
Pre-operative ventilation	77 (5.8)	12 (5.2)	0.70	15 (1.0)
Pre-operative cardiogenic shock	228 (17.3)	29 (12.6)	0.07	14 (0.9)

Continuous variables shown as median (25th percentile, 75th percentile); categorical variables shown as frequency (%)

NYHA = New York Heart Association, MI = Myocardial Infarction, PCI = Percutaneous Coronary Intervention

Table 2: Operative characteristics and in-hospital mortality, stratified by surgeon MAV group

	Mean annual volume < 4 (n=1319)	Mean annual volume ≥ 4 (n=231)	P value	Missing Data
Surgeon mean annual volume	2.0 (1.5, 2.7)	4.7 (4.3, 5.0)	<0.001	0 (0)
Hospital mean annual volume	8.7 (6.0, 13.2)	10.2 (8.7, 17.8)	<0.001	0 (0)
Elective procedure	21 (1.6)	3 (1.3)	>0.99	0 (0)
Urgent procedure	169 (12.8)	34 (14.7)	0.43	0 (0)
Emergency procedure	1037 (78.6)	181 (78.4)	0.93	0 (0)
Salvage procedure	92 (7.0)	13 (5.6)	0.45	0 (0)
Root segment	438 (33.2)	82 (35.5)	0.50	0 (0)
Ascending segment	1146 (86.9)	203 (87.9)	0.68	0 (0)
Arch segment	152 (11.5)	46 (19.9)	<0.001	0 (0)
Concomitant CABG procedure	171 (13.0)	30 (13.0)	0.99	30 (1.9)
Concomitant Valve procedure	521 (39.5)	99 (42.9)	0.34	29 (1.9)
Concomitant 'Other' cardiac procedure	395 (30.0)	76 (32.9)	0.37	34 (2.2)
Cardiopulmonary bypass time (mins)	196 (152, 259)	197 (154, 254)	0.86	44 (2.8)
Aortic cross clamp time (mins)	105 (74, 143)	109 (68, 147)	0.81	56 (3.6)
Circulatory arrest time (mins)	29 (20, 39)	20 (15, 31)	<0.001	402 (25.9)
In-hospital mortality	254 (19.3)	29 (12.6)	0.015	0 (0)

Continuous variables shown as median (25th percentile, 75th percentile); categorical variables shown as frequency (%)

CABG = Coronary Artery Bypass Grafting

Table 3: Logistic regression analysis for in-hospital mortality

Variable	Odds ratio	95% confidence interval	<i>P</i> value
Surgeon mean annual volume	0.853	0.733 - 0.992	0.039
Hospital mean annual volume	1.005	0.956 - 1.057	0.84
Age at procedure (years)	1.028	1.015 - 1.041	<0.001
Previous cardiac surgery	1.840	1.052 - 3.218	0.033
Peripheral vascular disease	1.505	1.051 - 2.156	0.026
Left ventricular ejection fraction <30%	2.896	1.374 - 6.104	0.005
Pre-operative cardiogenic shock	1.722	1.137 - 2.607	0.010
Salvage procedure	5.474	2.790 - 10.741	<0.001
Concomitant CABG procedure	2.135	1.412 - 3.229	<0.001
Cardiopulmonary bypass time (mins)	1.003	1.002 - 1.005	<0.001

Table 4: Cox proportional hazards analysis for survival from procedure and from 90-days post-procedure

Variable	Start time = procedure date			Start time = 90 days post-procedure		
	Hazard ratio	95% confidence interval	<i>P</i> value	Hazard ratio	95% confidence interval	<i>P</i> value
Surgeon mean annual volume	0.882	0.801 - 0.972	0.011	0.920	0.779 - 1.088	0.33
Hospital mean annual volume	1.029	1.000 - 1.059	0.050	1.020	0.972 - 1.072	0.42
Age at procedure (years)	1.028	1.019 - 1.037	<0.001	1.043	1.023 - 1.063	<0.001
Left ventricular ejection fraction <30%	2.495	1.586 - 3.926	<0.001	5.799	2.169 - 15.505	<0.001
Pre-operative cardiogenic shock	1.426	1.068 - 1.903	0.016	0.880	0.459 - 1.687	0.70
Salvage procedure	3.250	2.139 - 4.965	<0.001	2.138	0.791 - 5.778	0.13
Arch segment	1.414	1.047 - 1.909	0.024	1.200	0.653 - 2.207	0.56
Concomitant CABG procedure	1.629	1.235 - 2.150	<0.001	1.215	0.636 - 2.323	0.56
Cardiopulmonary bypass time (mins)	1.003	1.002 - 1.004	<0.001	1.001	0.998 - 1.003	0.53

Figure 1: Trend in mean annual volume (MAV) of ATAD activity and observed mortality. Each black dot corresponds to the mean mortality (vertical axis) for ATAD procedures performed by consultant surgeons with a specific MAV (horizontal axis). The size of the black dots is proportional to the total number of ATAD procedures performed by surgeons with the given MAV. Please note that although volume was modelled continuously, to improve legibility the number of surgeon procedures is grouped for every 0.25 of a year, therefore each dot can be comprised of multiple consultant surgeons. The blue line is a fitted smoothing curve to illustrate the trend, adjusted for pre-operative risk factors, and the grey-shaded area denotes approximate 95% confidence intervals. The red horizontal line represents the overall mean observed in-hospital mortality (18.3%) for the study cohort.

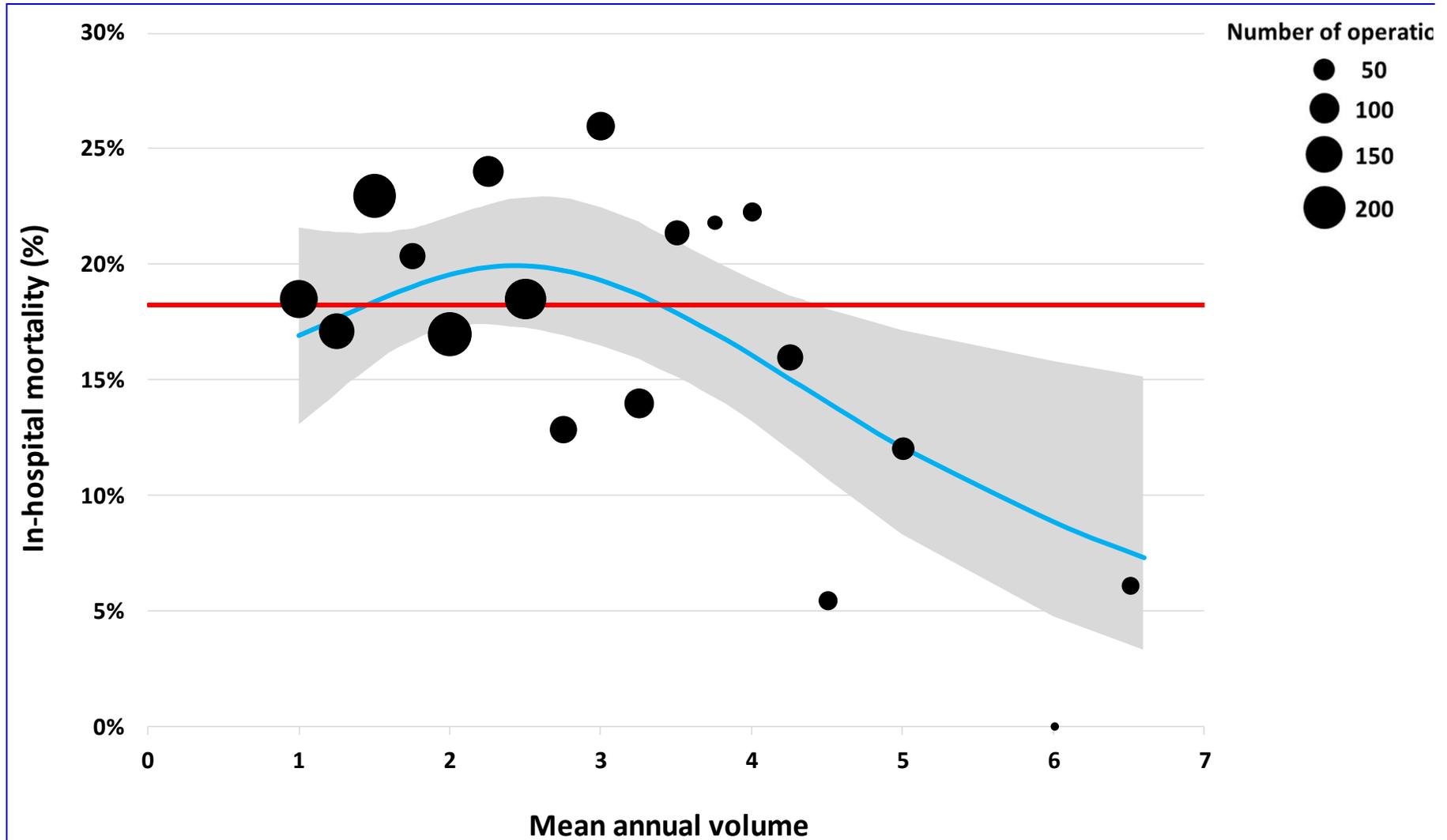


Figure 2a: Kaplan-Meier chart showing the cumulative probability of all-cause mortality for ATAD patients in the surgeon MAV groups. Landmark rebasing occurs at 90 days. Colored bands show approximate 95% confidence intervals.

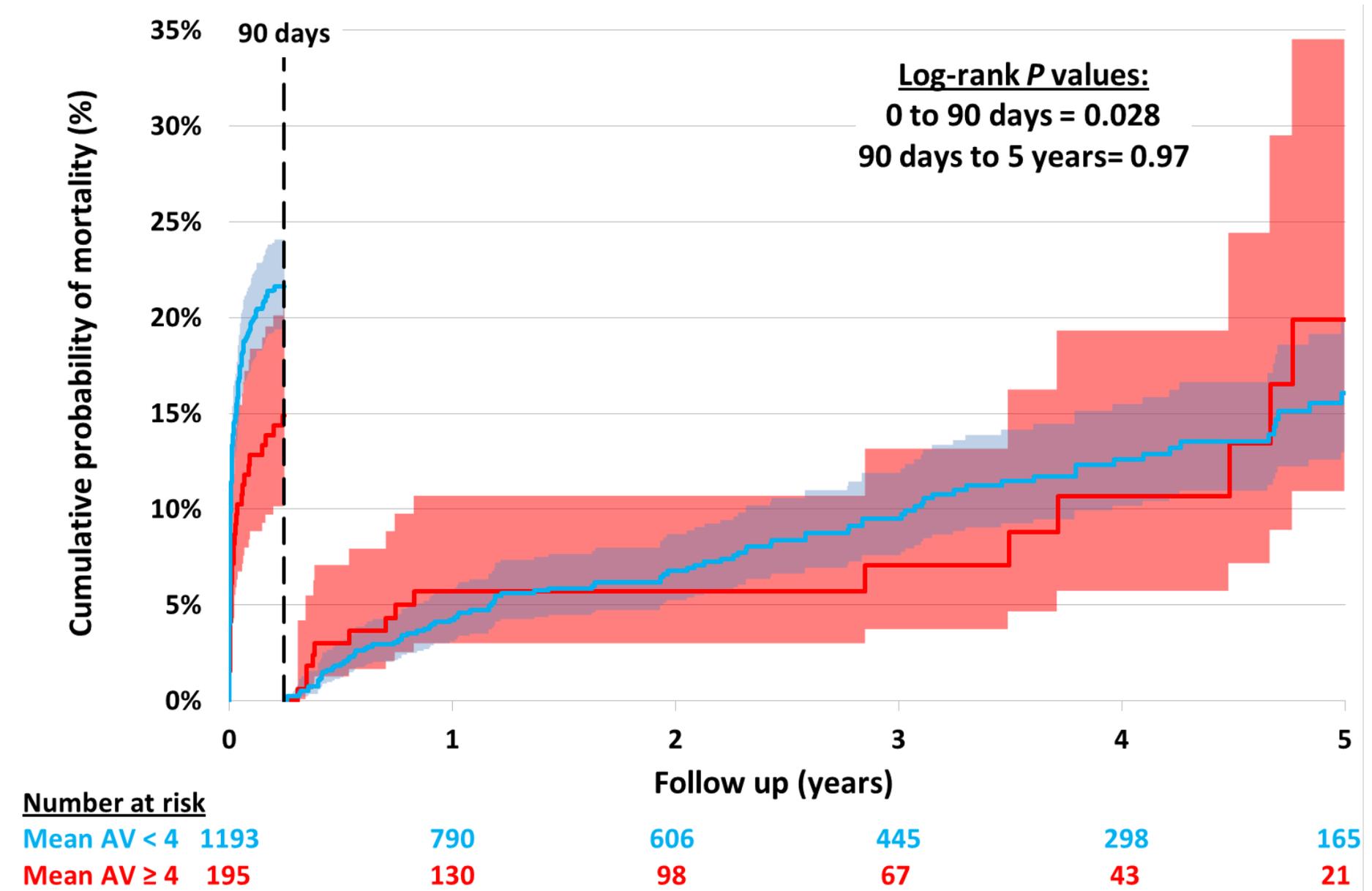


Figure 2b: Kaplan-Meier chart showing the cumulative probability of all-cause mortality for ATAD patients in the surgeon MAV groups. Colored bands show approximate 95% confidence intervals. (0-90 day detail from Figure 2a).

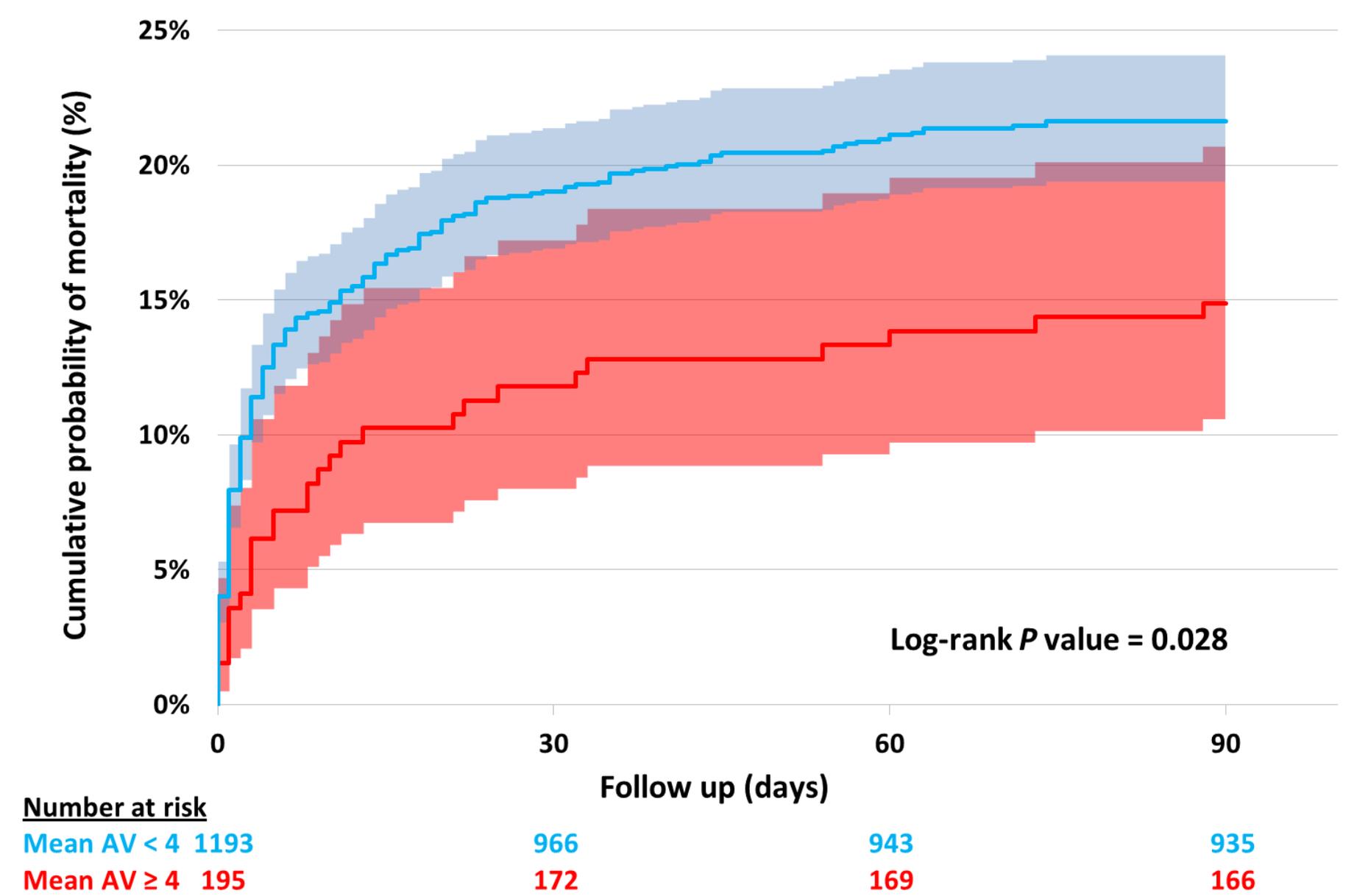
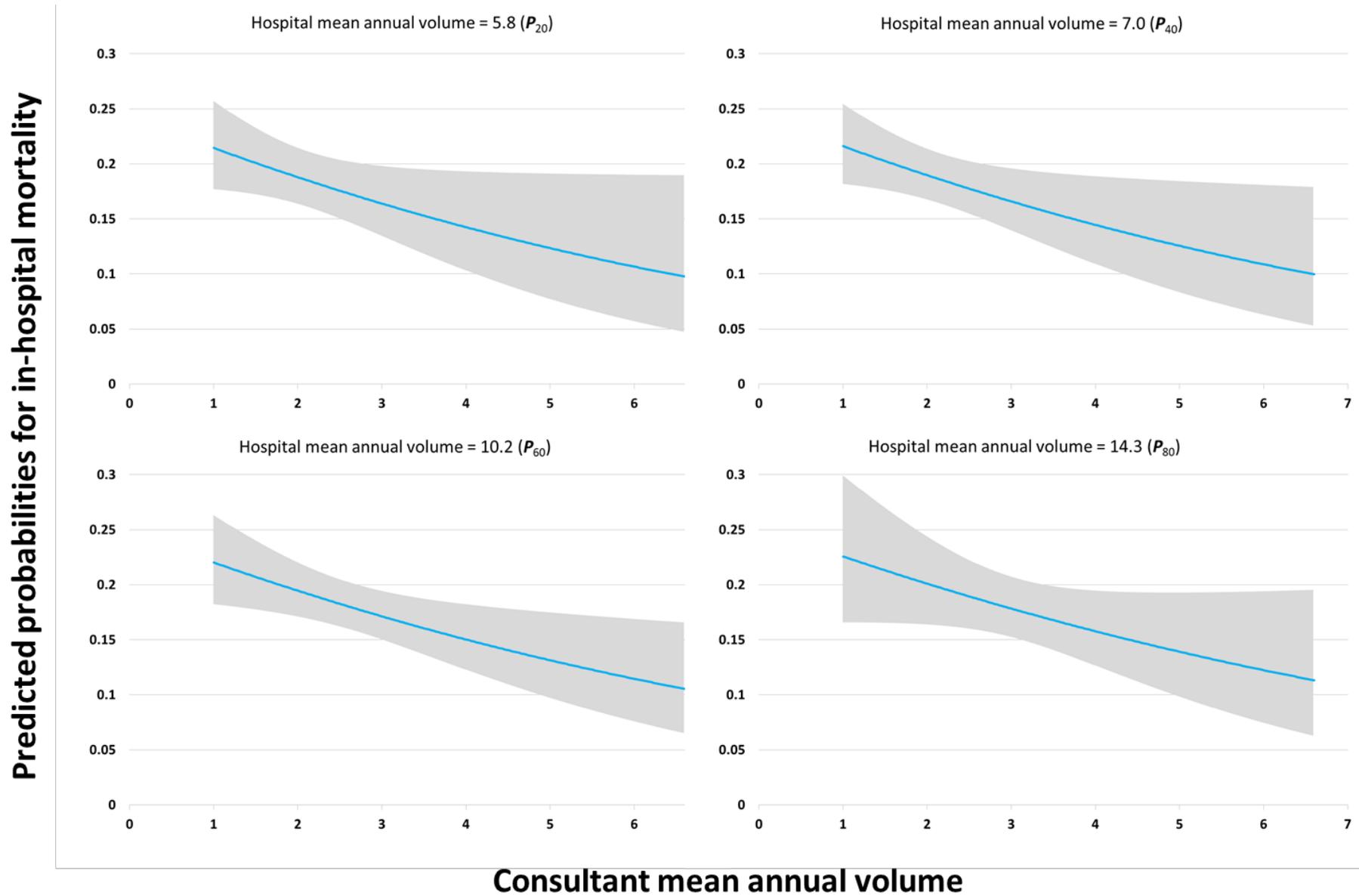
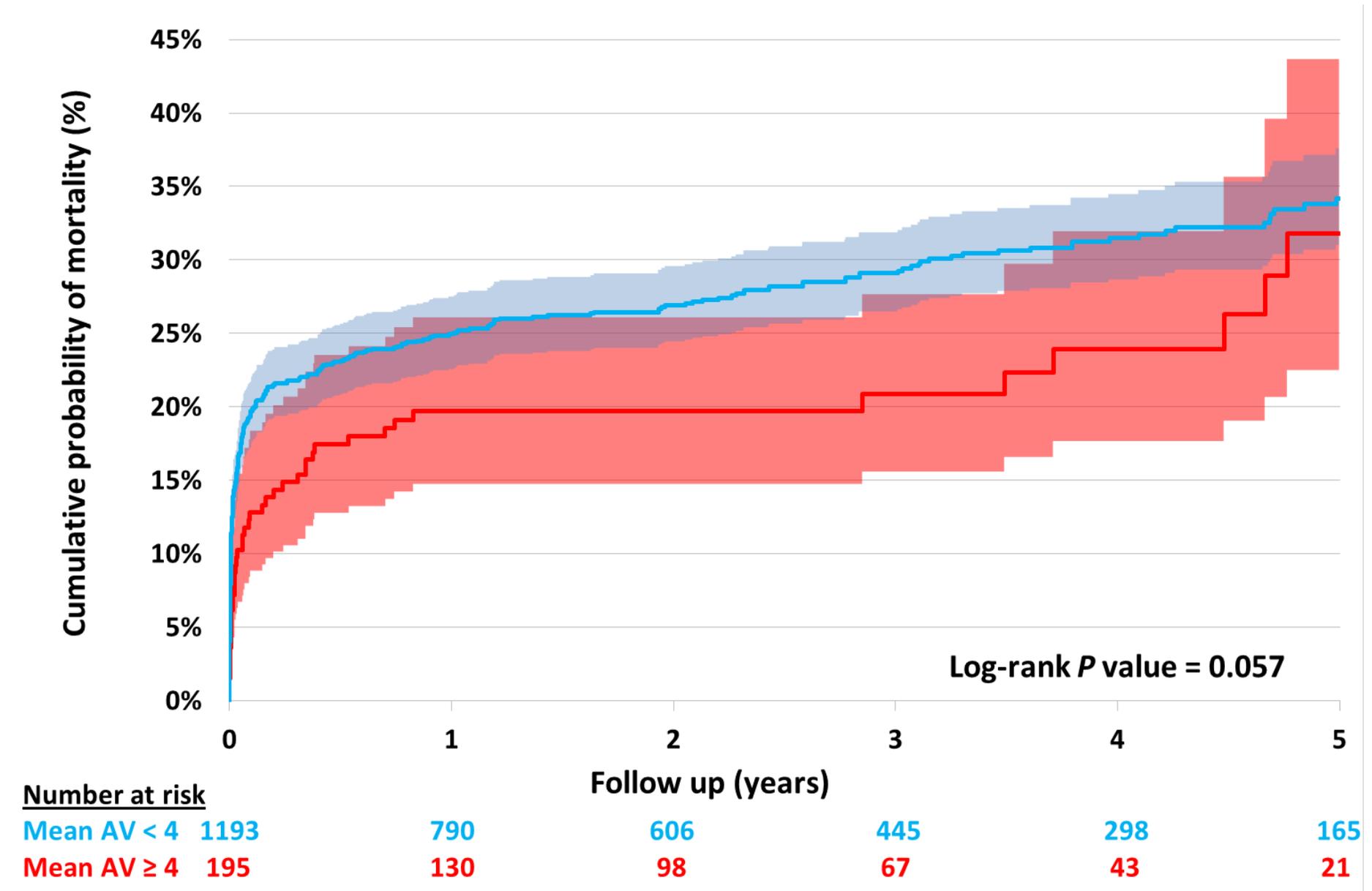
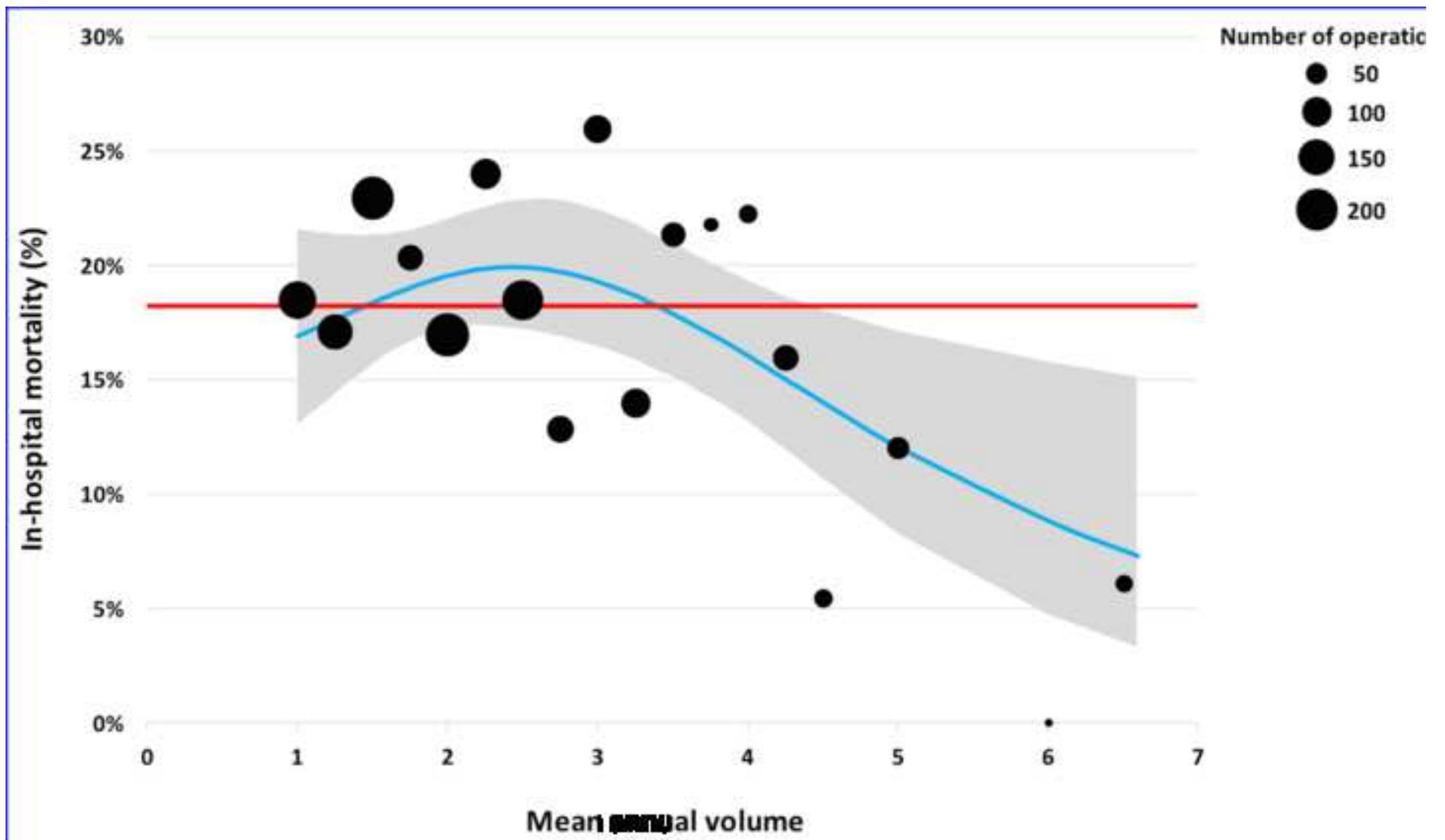


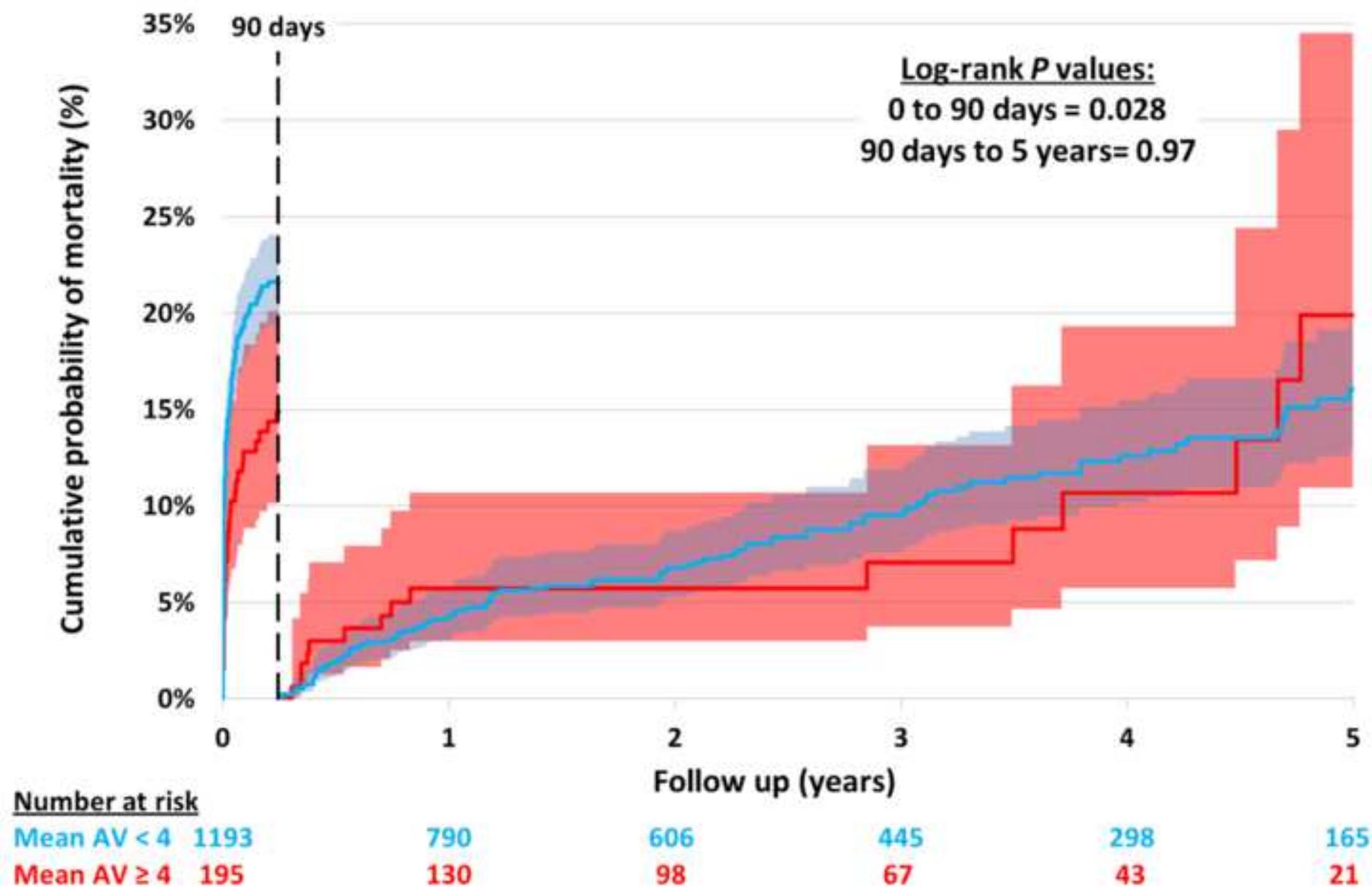
Figure 3: Panel chart showing the interaction between hospital and surgeon volume. The blue lines in each chart represent predicted probabilities of in-hospital mortality over the range of surgeon MAV for the 20th, 40th, 60th and 80th percentiles of hospital MAV, and the grey-shaded areas denote approximate 95% confidence intervals. Overall *P* value for interaction = 0.88

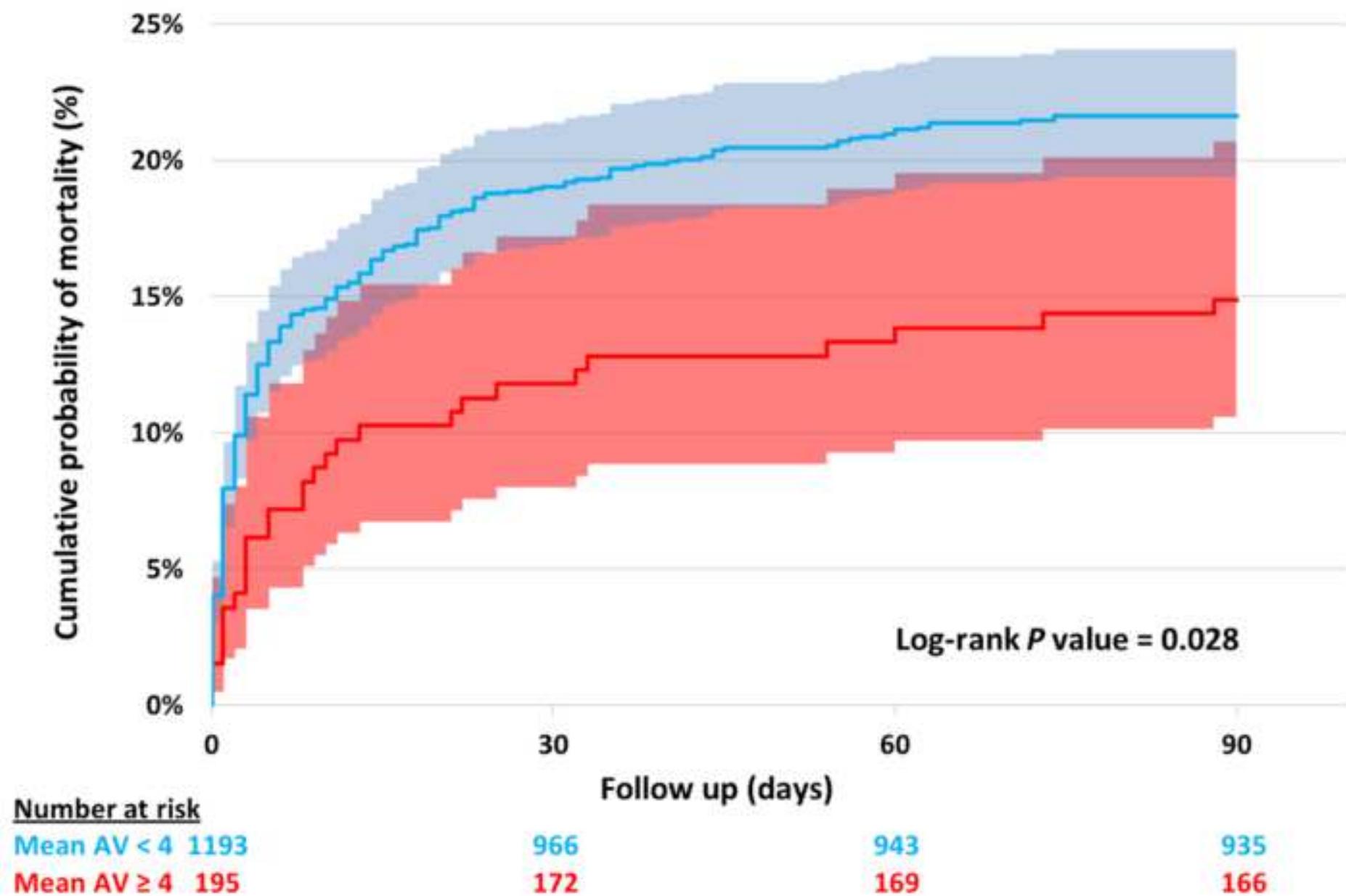


Supplemental Figure 1: Kaplan-Meier chart showing the cumulative probability of all-cause mortality for ATAD patients in the surgeon MAV groups. Colored bands show approximate 95% confidence intervals.

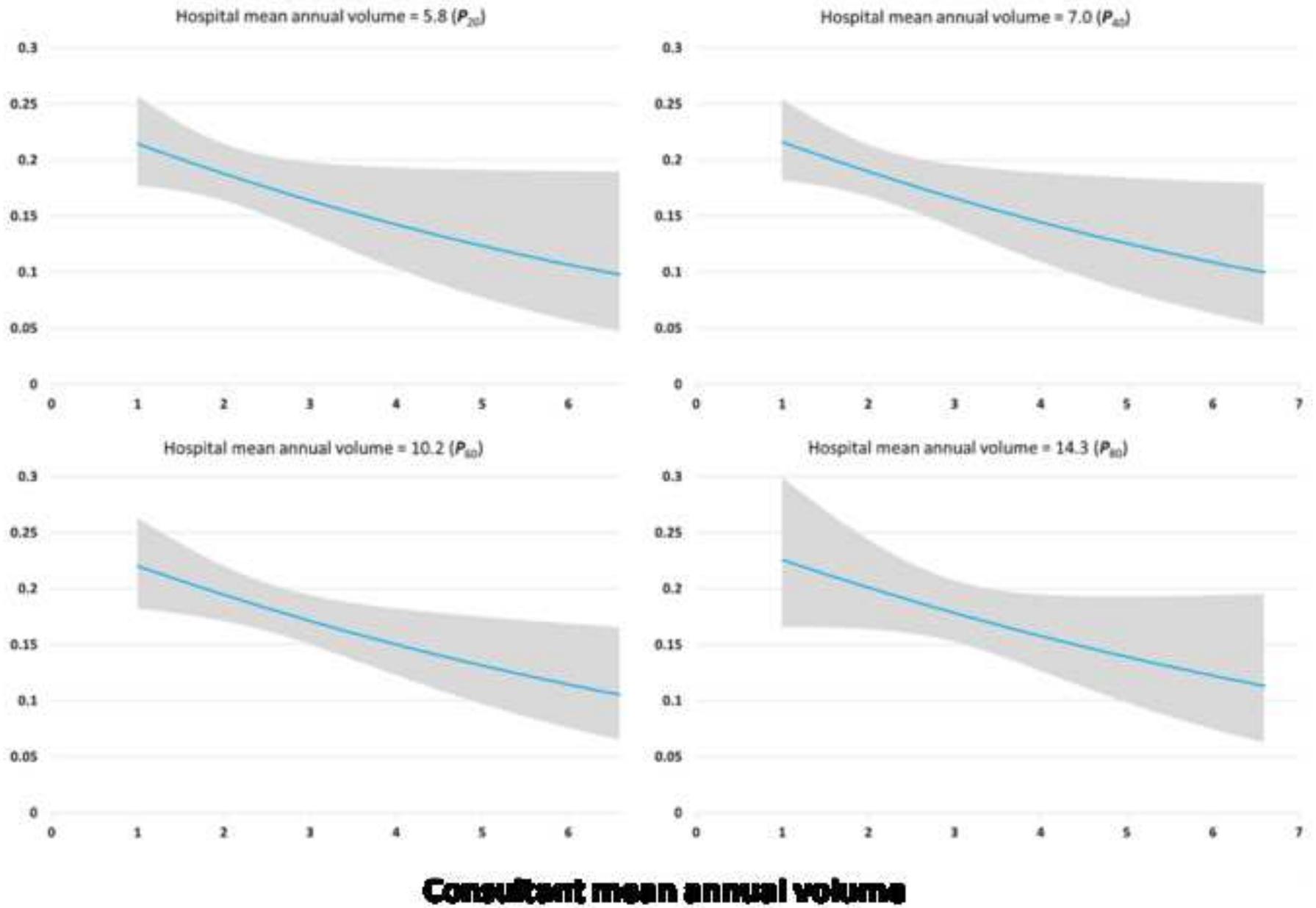


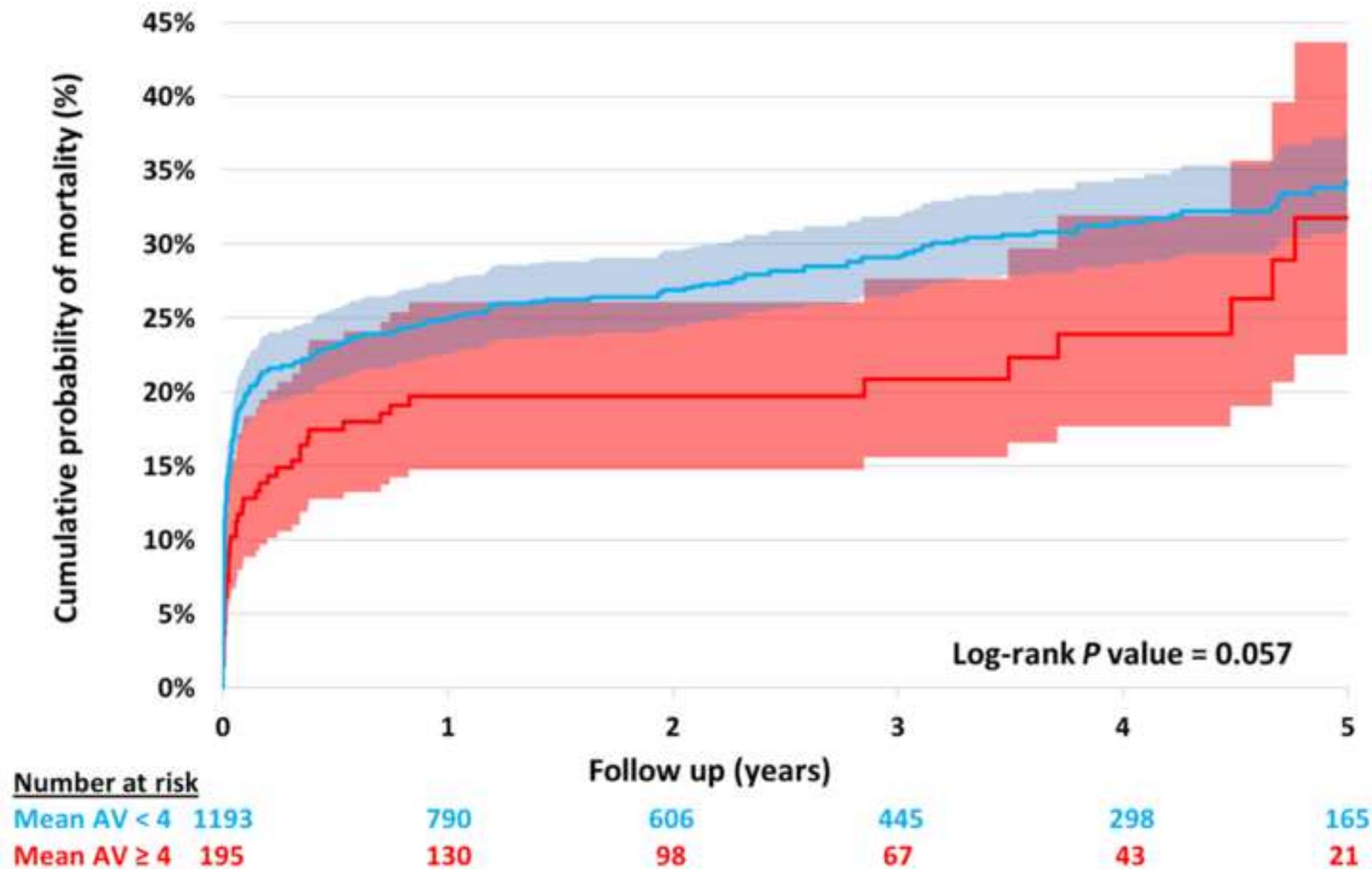






Predicted probabilities for in-hospital mortality





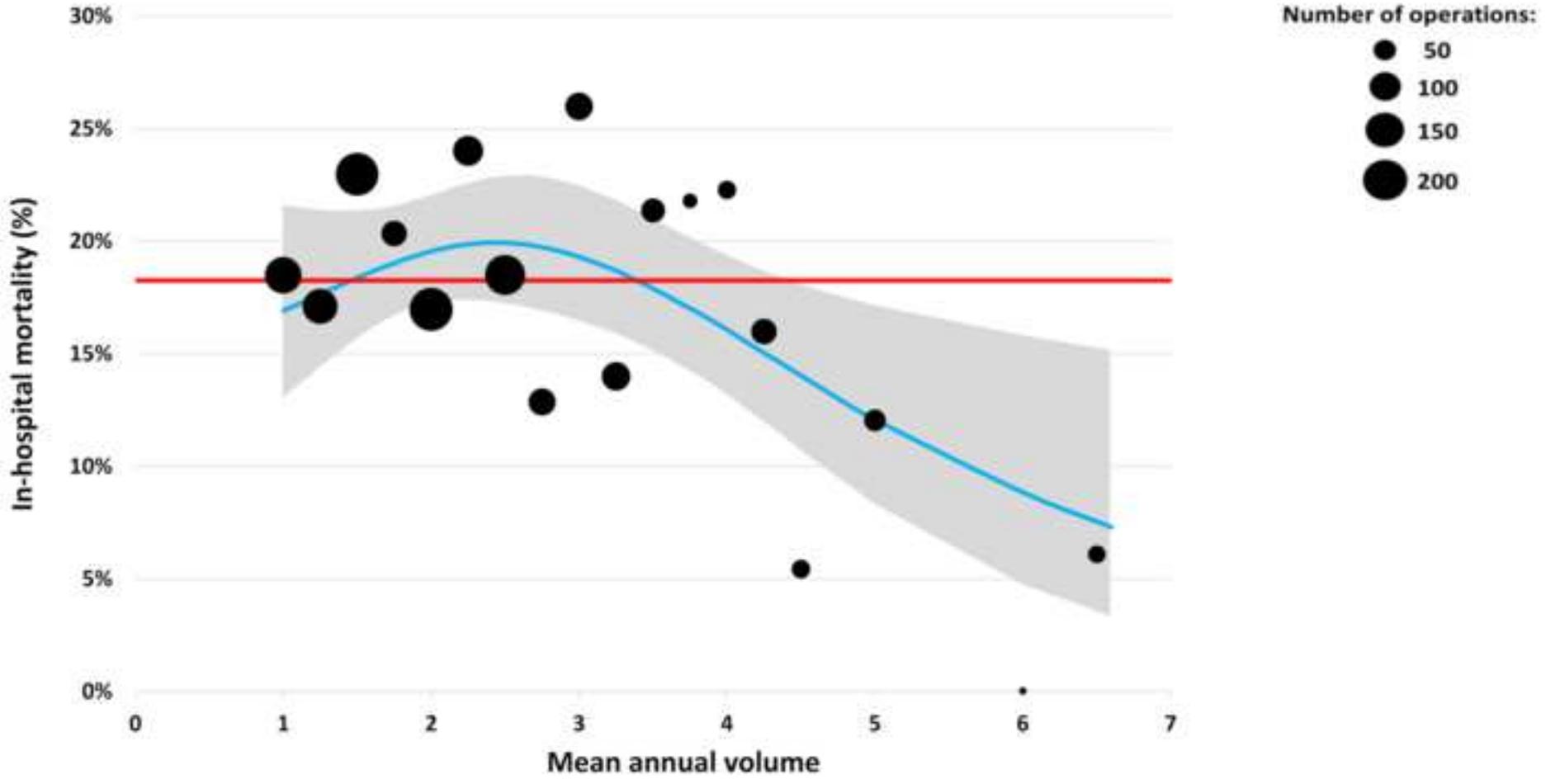


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Salvage procedure	5.474	2.790 - 10.741	<0.001
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Cardiopulmonary bypass time (mins)	1.003	1.002 - 1.005	<0.001

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Age at procedure (years)	63 (52, 72)	64 (53, 74)	0.10	0 (0)
Female gender	425 (32.2)	69 (29.9)	0.48	0 (0)
Body mass index (kg/m ²)	26.4 (23.9, 29.7)	26.4 (24.2, 29.0)	0.54	48 (3.5)
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Diabetes (diet or insulin controlled)	62 (4.7)	6 (2.6)	0.15	13 (0.8)
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NYHA = New York Heart Association, MI = Myocardial Infarction, PCI = Percutaneous Coronary Intervention

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Elective procedure	21 (1.6)	3 (1.3)	>0.99	0 (0)
Urgent procedure	169 (12.8)	34 (14.7)	0.43	0 (0)
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CABG = Coronary Artery Bypass Grafting

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Arch segment	1.414	1.047 - 1.909	0.024	1.200	0.653 - 2.207	0.56
Concomitant CABG procedure	1.629	1.235 - 2.150	<0.001	1.215	0.636 - 2.323	0.56
Cardiopulmonary bypass time (mins)	1.003	1.002 - 1.004	<0.001	1.001	0.998 - 1.003	0.53

Original Article

Acute Type A aortic dissection in the United Kingdom:

Surgeons volume-outcome relation

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Word Count: 4,233

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41 **Glossary:**

42 AAD: Acute Aortic Dissection

43 ATAD: Acute Type A aortic dissection

44 IRAD: International Registry for Acute Dissection

45 SCTS: Society for Cardiothoracic Surgery

46 NICOR: National Institute for Cardiovascular Outcomes Research

47 TAVI: Transcatheter aortic valve implantations

48 NACSA: National Adult Cardiac Surgery Audit

49 CCS: Canadian Cardiovascular Society

50 NYHA: New York Heart Association

51 ONS: Office for National Statistics

52 MAV: Mean Annual case Volume

53 IQR: Inter-Quartile Range

54

55 **ABSTRACT**

56 **Objectives**

57 Surgery for acute Type A aortic dissection carries a high risk of operative mortality. We
58 examined the surgeon volume-outcome relation with respect to in-hospital mortality for
59 patients presenting with this pathology in the United Kingdom.

60 **Method:**

61 Between April 2007 and March 2013, 1550 acute Type A aortic dissection procedures were
62 identified from the National Institute for Cardiovascular Outcomes Research database. 249
63 responsible consultant cardiac surgeons from the UK recorded one or more of these
64 procedures in their surgical activity over this time period. We describe the patient population
65 and mortality rates, focusing on the relationship between surgeon volume and in-hospital
66 mortality.

67 **Results:**

68 The mean annual volume of procedures per surgeon during the 6-year period ranged from 1
69 to 6.6. The overall in-hospital mortality rate was 18.3% (283/1550). A mortality improvement
70 at the 95% level was observed with a risk adjusted mean annual volume >4.5 . Surgeons with
71 a mean annual volume over the study period ≥ 4 had significantly higher in-hospital mortality
72 rates in comparison to surgeons with a mean annual volume <4 (19.3% vs. 12.6%; $P =$
73 0.015).

74 **Conclusion:**

75 Acute Type A aortic dissection patients who are operated on by lower volume surgeons
76 experience higher levels of in-hospital mortality. Directing these patients to higher volume
77 surgeons may be a strategy to reduce in-hospital mortality.

78 **Keywords:** aorta, dissection, aneurysm, surgeon volume-outcome, AAD

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INTRODUCTION

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Acute Type A aortic dissection (ATAD) is a lethal condition and a cardiac surgical emergency. The incidence of aortic dissection is 30-43 per million population per year and this is incrementally increasing^{1,2,3}. Forty percent of patients with dissection are aged 60 to 74 but 27% are aged 17 to 59 years, thus all ages are affected⁴.

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In medically treated patients, mortality rates are 1-2% per hour after the initial event, with death due to coronary or other organ malperfusion, cardiac tamponade, acute heart failure due to aortic regurgitation or aortic rupture. Emergency surgery can convert a 90% mortality rate at 30-days to a 75-90% long-term survival rate⁴. Mortality rates may vary, the International Registry for Acute Dissection (IRAD)⁵ and the UK Society for Cardiothoracic Surgery (SCTS) 'Blue Book'⁶ have published operative mortality rates of 25.1% and 22.8% respectively. In contrast, the German registry GERAADA published their series with lower rates of 17%⁷. This variation in reported mortality might be due to the volume-outcome relationship that has been at the center of debate and discussion. The Mount Sinai group utilizing the Nationwide Inpatient Sample of North America reported that lower-volume surgeons and centers have approximately double the risk-adjusted mortality of patients undergoing repair by the highest volume care providers⁸.

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This study aims to report the national UK surgeon outcomes in the operated ATAD patient population and explore the relationship in this population between surgeon volume and adjusted in-hospital mortality.

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102 **METHODS**

103 **NICOR database**

104 Prospectively collected data were extracted from the National Institute for
105 Cardiovascular Outcomes Research (NICOR) National Adult Cardiac Surgery Audit
106 (NACSA) registry (version 4.1.2) on 20th November 2014 for all adult cardiac surgery
107 procedures performed in the UK. As described elsewhere, reproducible cleaning
108 algorithms were applied to the database⁹. Briefly, duplicate records and non-adult
109 cardiac surgery entries [including transcatheter aortic valve implantations (TAVIs)]
110 were removed, transcriptional discrepancies harmonized and clinical and temporal
111 conflicts and extreme values corrected or removed. Data summaries are returned
112 regularly to each unit for local validation as part of the NACSA in the UK¹⁰.

113 For this study, records were included that corresponded to the following
114 criteria: procedure on one or more of the root, ascending or arch aortic segments with
115 a recorded pathology of “Acute Dissection” that were performed in England and
116 Wales between 1st April 2007 and 31st March 2013. Records missing responsible
117 consultant cardiac surgeon data (recorded in the form of General Medical Council
118 registration number) were excluded.

119 **Baseline and operative variables**

120 For each procedure, data are recorded on patient characteristics, comorbidities,
121 surgical team, intraoperative factors and postoperative outcomes. For this study, we
122 extracted data on patient age at the time of procedure (years), gender, body mass
123 index [BMI, defined as weight (kg) / height² (m²)], Canadian Cardiovascular Society
124 (CCS) angina class, dyspnoea (dichotomized as New York Heart Association
125 (NYHA) grade < III and NYHA grade ≥ III), recent myocardial infarction (defined as

126 within 90 days of surgery), history of major cardiac surgery, diabetes (diet or insulin
127 controlled), smoking status, history of hypertension, serum creatinine >200 µmol/l,
128 history of renal dysfunction, history of pulmonary disease, history of neurological
129 dysfunction, extracardiac arteriopathy, preoperative heart rhythm, left ventricular
130 ejection fraction (classified as <30, 30–50 and >50%), IV inotropes prior to
131 anaesthesia, preoperative ventilation, preoperative cardiogenic shock, operative
132 urgency, concomitant CABG and valve procedures, cardiopulmonary bypass time,
133 aortic cross-clamp time, and circulatory arrest time.

134 Administrative data were also extracted including: patient admission,
135 procedure and discharge dates, responsible consultant cardiac surgeon and
136 anonymized hospital identifier. Further details of variable definitions are available at:
137 <http://www.ucl.ac.uk/nicor/audits/adultcardiac/datasets>.

138 **Outcomes**

139 The primary outcome for this study was in-hospital mortality, defined as death
140 due to any cause during admission to the base hospital for cardiac surgery. The
141 secondary outcome was mid-term mortality followed up to 5 years. Follow-up data up
142 until the point of discharge was collected by the NACSA clinical registry system and
143 post-discharge survival data was collected by linking the records via patient NHS
144 numbers to the Office for National Statistics (ONS) death registry, which records all
145 deaths in England and Wales. The final date of follow-up was 30th July 2013. Data on
146 cause of death was unavailable. An attempt to back-fill missing in-hospital mortality
147 data was made by record linkage to the ONS registry prior to applying the extraction
148 criteria.

149

150 **Case volumes**

151 For analytical purposes, case volumes are presented both continuously and
152 categorically. In the continuous analysis, the mean annual case volume (MAV) was
153 calculated. This was achieved by taking the total number of procedures for each
154 surgeon and dividing this by the number of years in which they contributed data to the
155 registry. In the categorical analysis, the case volume was stratified into two groups
156 (surgeon MAV of ATAD procedures <4 and ≥4 over the study period). The cut point
157 was selected as being clinically meaningful after the introductory analysis showed it
158 to be the approximate inflection point for improved mortality.

159 **Statistical analysis**

160 Categorical and dichotomous variables are summarized as absolute number
161 and percentage. Non-normally distributed continuous data are summarized as median
162 and inter-quartile range (IQR). The prevalence of missing data in the registry for
163 baseline and operative measurements, as well as in-hospital mortality, are reported.
164 Due to the relatively low number of missing data items for the majority of the
165 variables, categorical variables with missing data were imputed with the baseline
166 category and continuous variables were imputed with the mean value before
167 calculations were performed.

168 Where categorical comparisons are made between groups the chi-squared test
169 was used, for similar comparisons between continuous variables the Wilcoxon rank-
170 sum test was used.

171 To quantify the relationship between MAV and in-hospital mortality we
172 performed three separate multivariable regression analyses. Firstly, an initial
173 balancing score was fitted for each patient using a mixed-effects linear regression

174 model. The dependent continuous variable used was a log transformation of the
175 surgeon MAV, with random intercepts for each hospital, and the following patient
176 variables were entered as independent variables: age, gender, body mass index,
177 smoking, renal failure, hypertension, pulmonary disease, neurological disease,
178 neurological dysfunction, peripheral vascular disease, recent myocardial infarction,
179 unstable angina, arrhythmia, New York Heart Association class, previous cardiac
180 surgery, diabetes, ejection fraction, cardiogenic shock, pre-operative ventilation,
181 operative urgency, cardiopulmonary bypass time, circulatory arrest time, surgery on
182 the aortic arch and concomitant procedures. The volume-outcome relationship was
183 then assessed by plotting estimated restricted cubic spline regression functions with
184 three knots between the surgeon MAV and in-hospital mortality; these were then
185 adjusted for patient case-mix by entering the balancing score into the regression
186 model. The spline estimates were based on a standard, fixed effects logistic regression
187 model. The balancing score therefore acts in a similar way to a propensity score^{11,12},
188 but is generalized beyond a dichotomous treatment assignment.

189 Secondly, in order to examine the contribution of hospital volume to outcome,
190 a logistic regression model for in-hospital mortality was fitted including random
191 intercepts for each hospital, with surgeon and hospital MAV entered into the model as
192 continuous variables, along with the independent demographical and procedural
193 variables listed above, interactional terms between hospital and surgeon MAV were
194 also explored.

195 Thirdly, a similar approach was taken to assessing the contribution of MAV to
196 mid-term mortality. Cox proportional hazards models were fitted, again with random
197 intercepts for each hospital and with surgeon and hospital MAV entered into the
198 model as continuous variables, along with the independent demographical and

199 procedural variables listed above. In order to demonstrate any non-proportional
200 effects of early mortality two models were fitted, one with a start time of the
201 procedure date and the second with a start time of 90-days post-procedure.

202 To evaluate the categorical difference in volume, Kaplan-Meier charts were
203 used to plot the actuarial 5-year survival, incorporating a landmark cutoff of 90 days
204 where the groupwise mortality rates were rebased to zero. The log-rank test was used
205 to assess the equivalence of death rates between groups in both phases of the analysis.

206 Statistical analyses were performed with SAS version 9.3 (SAS Institute,
207 Cary, NC). In all cases a *P* value <0.05 was considered statistically significant.

208

209 **RESULTS**

210 **Characteristics of the study population**

211 1632 patients were identified from the NACSA database as having had
212 surgery for ATAD during the time period. Patients who had surgery on the
213 descending and/or thoracoabdominal segments of the aorta (n=63; 3.9%), and 19
214 (1.2%) records that lacked responsible clinician data were excluded from the analysis.
215 The 1550 that remained were included in the study analysis dataset, however 162
216 (10.5%) records lacked follow-up mortality data and are excluded from that element
217 of the analysis.

218 The 1550 patients were admitted to 41 different hospitals throughout England
219 and Wales and were under the care of 249 different consultant cardiac surgeons. The
220 mean surgeon MAV was 2.6 (SD = 1.2; median (IQR) = 2.3 (1.6, 3.3), with 199 of
221 249 surgeons (79.9%) performing fewer than 10 procedures overall. A total of 41
222 surgeons performed a single ATAD procedure. The highest number of procedures

223 performed by a single surgeon during the study period was 33. The mean hospital
224 MAV was 9.6 (SD = 4.6; median (IQR) = 8.7 (6.0, 13.2). The highest number of
225 procedures performed by a single hospital during the study period was 107.

226 Pre-operative and operative differences between the two categorical groups
227 are shown in Tables 1 and 2. Surgeons in the lower MAV group were more likely to
228 operate on patients who had a recent MI, whilst being less likely to operate on patients
229 with a history of pulmonary disease or patients who required surgery on the aortic
230 arch. Surgeons in the lower MAV group also reported significantly longer circulatory
231 arrest times.

232 **In-hospital mortality and case volume**

233 The overall in-hospital mortality rate for all ATAD patients was 18.3% (283
234 patients). Figure 1 plots the observed in-hospital mortality against the adjusted
235 surgeon MAV. Somewhat counter-intuitively, the curve begins below the national
236 mean rate at the lowest volumes then rises and peaks between 2 and 3 procedures per
237 year, before decreasing in an approximate linear trend in higher volume surgeons.
238 Significant in-hospital mortality improvements can be observed beyond a surgeon
239 MAV of 4 to 4.5.

240 The unadjusted in-hospital mortality rate decreased from 19.3% in the group
241 of surgeons who had a MAV <4 during the study period to 12.6% in the group who
242 had a MAV \geq 4; $P = 0.015$ (Table 2). Figure 2a illustrates the groupwise trends in 5-
243 year follow up mortality rates, including a landmark rebasing at 90 days. The early
244 difference in mortality rates is significant at the 0.05 level (log-rank test P value =
245 0.028), however this difference is not sustained in the second era, from 90 days to 5

246 years ($P = 0.97$). (Figure 2b is a detail from Figure 2a which charts the 90 day
247 mortality only, included for clarity).

248 The logistic regression model shown in Table 3 demonstrates a similar in-
249 hospital mortality advantage for surgeons who perform a greater number of
250 operations, after adjustment for casemix and hospital volume, increasing surgeon
251 MAV (assessed as a continuous variable) was associated with a significant reduction
252 to in-hospital mortality (adjusted OR=0.853 (95% CI 0.733 to 0.992) $P = 0.039$).
253 Other associated variables were: increasing age, previous cardiac surgery, peripheral
254 vascular disease, left ventricular ejection fraction <30%, cardiogenic shock, salvage
255 priority, concomitant CABG procedure, and increasing cardiopulmonary bypass time.
256 Hospital MAV was not associated with a difference in in-hospital mortality (adjusted
257 OR=1.005 (95% CI 0.956 to 1.057) $P = 0.84$).

258 Figure 3 includes four charts which show the interaction between surgeon and
259 hospital MAV with regards to in-hospital mortality. The predicted probabilities of in-
260 hospital mortality over the range of surgeon MAV are shown for the 20th, 40th, 60th
261 and 80th percentiles of hospital MAV (which are, respectively, 5.8, 7.0, 10.2 and 14.3
262 cases per year). Visual inspection of these allows us to infer that there are no
263 substantial differences in the relationship between surgeon MAV and in-hospital
264 mortality as hospital MAV increases. The associated interaction test P value = 0.88.

265

266 **Follow-up mortality and case volume**

267 The results of the two Cox proportional hazard models are shown in Table 4.
268 In the ‘Start time = procedure date’ model, higher surgeon MAV (assessed as a
269 continuous variable) was again associated with a significant reduction in death

270 (adjusted HR=0.882 (95% CI 0.801 to 0.972) P = 0.011). Other variables that were
271 associated with a greater hazard of death were: increasing age, left ventricular ejection
272 fraction <30%, cardiogenic shock, salvage priority, surgery on the aortic arch,
273 concomitant CABG procedure and increasing cardiopulmonary bypass time. Hospital
274 MAV was not significantly associated with a difference in death (adjusted HR=1.029
275 (95% CI 1.000 to 1.059) P = 0.050).

276 In the ‘Start time = 90 days post-procedure’ model, higher surgeon MAV was
277 not associated with a significant reduction in death (adjusted HR=0.920 (95% CI
278 0.779 to 1.088) P = 0.33). Other variables that were associated with a greater hazard
279 of death were: increasing age and left ventricular ejection fraction <30%. Hospital
280 MAV was not significantly associated with a difference in death (adjusted HR=1.020
281 (95% CI 0.972 to 1.072) P = 0.42), suggesting that the significant effect observed in
282 the ‘Start time = procedure date’ model is both non-proportional and also greatly
283 reliant on the large early mortality burden.

284

285 **DISCUSSION**

286 It has been shown that out of every 1,000 emergency department patients
287 presenting with acute back, chest, or abdominal pain, three patients with ATAD are
288 diagnosed¹³. This is a fatal condition with a dire prognosis unless the patient receives
289 immediate surgical intervention. The IRAD has published outcomes from multiple
290 centres worldwide with an average in-hospital mortality of 25.1% in 2005¹⁴. European
291 registries in the United Kingdom and Germany have published operative mortalities
292 of 23.1% and 17% respectively¹⁵. A recent publication from Mount Sinai Medical
293 Centre, using the Nationwide Inpatient Sample database of 5,184 patients between

294 2003 and 2008, showed average operative mortality of 21.6%⁸Error! Bookmark not defined.
295 However, in the advent of this decade there are multiple centres worldwide that are
296 publishing in-hospital mortality rates for ATAD in the single digits¹⁶¹⁷¹⁸¹⁹.

297 Throughout the United States the model of aortic supercentres with high
298 referral rates have existed for some time. It has been suggested that outcomes in
299 thoracic aortic surgery could be improved nationwide in the United States if the acute
300 care and emergency surgical treatment of most patients with ATAD were regionalized
301 and restricted to institutions with high-volume multidisciplinary thoracic aortic
302 surgery programs²⁰.

303 Andersen et al. from the Duke group published their results pertaining to the
304 impact model of a multi-disciplinary team approach to ATAD¹⁷. They reported
305 operative mortality before multi-disciplinary implementation was 33.9% and was
306 statistically equivalent to the expected operative mortality rate of 26.0%. Operative
307 mortality after multi-disciplinary implementation fell to 2.8% and was statistically
308 better than the expected operative mortality rate of 18.2% using the International
309 Registry of Acute Aortic Dissection pre-operative prediction model.

310 In the UK, centralization of expertise and service provision for type A aortic
311 dissection does not exist. Operating on ATAD does not generally follow a selective
312 referral protocol which in effect leads to a national mix and match between high and
313 low volume surgeons. Compounding this is the lack of best practice evidence on
314 structured referral from emergency room to operating room. This is due to multiple
315 factors which unfortunately have not been quantified in the UK. Those factors are in
316 essence related to lack of swift recognition of ATAD. There is also lack of substantial
317 evidence on the actual time to referral once ATAD is actually identified. The

318 aforementioned mandate a policy that will serve better patients' outcome and results
319 across the UK.

320 Evidence of improved outcomes related to operative volume or surgeon
321 expertise is often difficult to establish due to the infrequent nature of ATAD and
322 consequent lack of statistical power that could potentially provide meaningful
323 analysis²¹.

324 The ideal definition of volume is inherently inconsistent; this makes diving
325 into a discussion involving 'volume' highlight caveats that are not potentially attained
326 or addressed between cardiac surgical units at large. The strong rationale of the
327 volume-outcome relationship as reflected in literature springs from the catalyst for
328 subspecialisation and centralization of aortic services. It is to provide centres with a
329 large and reaching catchment areas the reciprocal improvement and effect on the
330 subspecialized unit. It allows more robust referral to influx and therefore maintain an
331 adequate voluminous exposure. Essentially, in the UK, thoracic aortic aneurysm
332 service is in much need of such an approach and a national policy and mandate that
333 would support such programs across the UK should be warranted. This should
334 provide a sustained increase in volume to concentrated expertise that would allow the
335 possibility to address dire surgical diseases and avert associated complications. It will
336 then reciprocate this arrangement by ultimately reducing mortality and perhaps
337 improving survival and aftercare post-surgery²². Beside this, the advent of
338 technological superiority in diagnosis and surgical planning of aortic surgery and the
339 understanding of the natural history is resulting in personalized and targeted therapies
340 and surgical procedures to be done on a wider range of the affected population. This
341 has allowed for such cost-effective diagnostic tests to be distributed to a smaller

342 number of regional centres and for them to operate on this patient cohort. Hence, this
343 has titrated the inexistence of specialist centres and diverted a large number of
344 patients to be operated at local lower-volume institutions.

345 The development of standardization and subspecialisation of acute aortic
346 services requires a comprehensive assessment of the current status in aortic surgery in
347 the UK. As such, our analysis has demonstrated that there is significant variation of
348 in-hospital mortality around the country with little relationship between volume and
349 outcome at a hospital level. These results are contrary to those demonstrated by
350 international groups including the Mount Sinai group utilizing the Nationwide
351 Inpatient Sample of North America who reported that lower-volume surgeons and
352 centres have approximately double the risk-adjusted mortality of patients undergoing
353 repair by the highest volume care providers²³.

354 Our study does however demonstrate that higher individual surgeon volume
355 was associated with lower in-hospital mortality. These relationships could be
356 explained by a number of different factors such as; case mix per individual surgeon,
357 selection bias and variations in turn down practice, concentration of expertise to a
358 particular surgeon within a hospital and inadvertent subspecialisation of a surgeon
359 with interests to aortic interventions. **On the other hand, and as demonstrated in the
360 context of the analysis; difference between low and high volume surgeons clearly
361 point out the shorter aortic cross clamp and cardiopulmonary time but increased
362 circulatory arrest time in the low-volume group which could be related to attempt of
363 more frequent use or extended repair entailing arch segment replacement. Although
364 this is not entirely understood, one way of scoping this further would be to look at this
365 element within the cohort and run a thorough factor analysis**

366 While this study demonstrates good overall mortality rates for ATAD in the
367 UK, it is likely that further improvements could be achieved through the introduction
368 of a quality improvement programme for ATAD surgery. It is vital that such a
369 programme is implemented across the multidisciplinary thoracic aortic surgery team
370 including anaesthesia, postoperative surgical intensive care and operative perfusion
371 specialists. Such a programme should also involve standardized referral pathways and
372 treatment protocols for ATAD repair. Another important contributing factor would be
373 the development and implementation of a robust referral system and an initiative to
374 hospital managers and commissioners for centralization of expertise in ATAD repair.
375 This will reduce the waiting time and taxing of ATAD patients unnecessarily in acute
376 services while diagnostics are being carried out.

377 **Limitations**

378 The main limitation of this study is its retrospective nature and the variable
379 nature of data quality between institutions in the UK. There are also several
380 confounding variables to consider that are not available in the NICOR dataset. The
381 foremost of these factors is probably case selection: surgeons at tertiary referral
382 centres are likely to operate on patients significantly longer after the acute event than
383 local units; consequently their surgical outcomes may benefit from both temporal
384 patient selection (more stable patients are more likely to survive transfer), and more
385 aggressive individual patient selection informed by the additional complications (such
386 as malperfusion syndromes) that manifest hours to days after initial presentation.
387 Other possible confounding variables include delays between diagnosis and
388 intervention, referral bias and clustering, and presence, severity, and duration of end-
389 organ ischemia.

390 **CONCLUSIONS**

391 Concentration of expertise and volume to appropriate surgeons who perform
392 increasingly more complex aortic cases would be required to change the current
393 paradigm of ATAD outcomes in the UK. Whenever feasible, ATAD repair should be
394 performed by a high volume surgeon in order to reduce operative mortality. It is
395 reasonable to suggest a national standardization and quality improvement framework
396 for ATAD treatment.

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400 Cardiac Surgery Audit registry. The National Institute for Cardiovascular Outcomes
401 Research, UCL, London, provided the data for this study.

402 **DATA SHARING**

403 The United Kingdom National Adult Cardiac Surgery Audit registry is
404 available to researchers upon application to the National Institute of Cardiovascular
405 Outcomes Research (NICOR), University College London. Full details on the NICOR
406 data sharing application process are available at
407 <https://www.ucl.ac.uk/nicor/access/application> [last accessed: 22nd December 2016].

408

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Table 1: Patient characteristics, stratified by surgeon MAV group

	Mean annual volume < 4 (n=1319)	Mean annual volume ≥ 4 (n=231)	<i>P</i> value	Missing Data
Age at procedure (years)	63 (52, 72)	64 (53, 74)	0.10	0 (0)
Female gender	425 (32.2)	69 (29.9)	0.48	0 (0)
Body mass index (kg/m ²)	26.4 (23.9, 29.7)	26.4 (24.2, 29.0)	0.54	48 (3.5)
Angina class IV	199 (15.1)	39 (16.9)	0.48	17 (1.1)
NYHA class ≥ III	354 (26.8)	68 (29.4)	0.41	23 (1.5)
Previous Q-wave MI	130 (9.9)	18 (7.8)	0.32	14 (0.9)
Recent MI (within 90 days)	83 (6.3)	4 (1.7)	0.006	10 (0.7)
Previous PCI	44 (3.3)	6 (2.6)	0.56	39 (2.5)
Previous cardiac surgery	75 (5.7)	20 (8.7)	0.08	127 (8.2)
Diabetes (diet or insulin controlled)	62 (4.7)	6 (2.6)	0.15	13 (0.8)
Current smoker	243 (18.4)	34 (14.7)	0.18	64 (4.1)
History of hypertension	905 (68.6)	167 (72.3)	0.26	27 (1.7)
Creatinine > 200 μmol/L	67 (5.1)	16 (6.9)	0.25	115 (7.4)
History of renal dysfunction	32 (2.4)	8 (3.5)	0.36	71 (4.6)
History of pulmonary disease	134 (10.2)	34 (14.7)	0.04	9 (0.6)
History of neurological disease	130 (9.9)	29 (12.6)	0.21	23 (1.5)
History of neurological dysfunction	93 (7.1)	20 (8.7)	0.39	15 (1.0)
Peripheral vascular disease	259 (19.6)	47 (20.4)	0.80	16 (1.0)
Non-sinus heart rhythm	120 (9.1)	18 (7.8)	0.52	99 (6.4)
Triple vessel disease	29 (2.2)	5 (2.2)	0.97	338 (21.8)
Left ventricular ejection fraction 30% - 50%	248 (18.8)	39 (16.9)	0.49	31 (2.0)
Left ventricular ejection fraction <30%	50 (3.8)	7 (3.0)	0.57	31 (2.0)
Intravenous nitrates or any heparin	200 (15.2)	29 (12.6)	0.30	6 (0.4)
Intravenous inotropes prior to anaesthesia	100 (7.6)	10 (4.3)	0.08	10 (0.7)
Pre-operative ventilation	77 (5.8)	12 (5.2)	0.70	15 (1.0)
Pre-operative cardiogenic shock	228 (17.3)	29 (12.6)	0.07	14 (0.9)

Continuous variables shown as median (25th percentile, 75th percentile); categorical variables shown as frequency (%)

NYHA = New York Heart Association, MI = Myocardial Infarction, PCI = Percutaneous Coronary Intervention

Table 2: Operative characteristics and in-hospital mortality, stratified by surgeon MAV group

	Mean annual volume < 4 (n=1319)	Mean annual volume ≥ 4 (n=231)	<i>P</i> value	Missing Data
Surgeon mean annual volume	2.0 (1.5, 2.7)	4.7 (4.3, 5.0)	<0.001	0 (0)
Hospital mean annual volume	8.7 (6.0, 13.2)	10.2 (8.7, 17.8)	<0.001	0 (0)
Elective procedure	21 (1.6)	3 (1.3)	>0.99	0 (0)
Urgent procedure	169 (12.8)	34 (14.7)	0.43	0 (0)
Emergency procedure	1037 (78.6)	181 (78.4)	0.93	0 (0)
Salvage procedure	92 (7.0)	13 (5.6)	0.45	0 (0)
Root segment	438 (33.2)	82 (35.5)	0.50	0 (0)
Ascending segment	1146 (86.9)	203 (87.9)	0.68	0 (0)
Arch segment	152 (11.5)	46 (19.9)	<0.001	0 (0)
Concomitant CABG procedure	171 (13.0)	30 (13.0)	0.99	30 (1.9)
Concomitant Valve procedure	521 (39.5)	99 (42.9)	0.34	29 (1.9)
Concomitant ‘Other’ cardiac procedure	395 (30.0)	76 (32.9)	0.37	34 (2.2)
Cardiopulmonary bypass time (mins)	196 (152, 259)	197 (154, 254)	0.86	44 (2.8)
Aortic cross clamp time (mins)	105 (74, 143)	109 (68, 147)	0.81	56 (3.6)
Circulatory arrest time (mins)	29 (20, 39)	20 (15, 31)	<0.001	402 (25.9)
In-hospital mortality	254 (19.3)	29 (12.6)	0.015	0 (0)

Continuous variables shown as median (25th percentile, 75th percentile); categorical variables shown as frequency (%)

CABG = Coronary Artery Bypass Grafting

Table 3: Logistic regression analysis for in-hospital mortality

Variable	Odds ratio	95% confidence interval	<i>P</i> value
Surgeon mean annual volume	0.853	0.733 - 0.992	0.039
Hospital mean annual volume	1.005	0.956 - 1.057	0.84
Age at procedure (years)	1.028	1.015 - 1.041	<0.001
Previous cardiac surgery	1.840	1.052 - 3.218	0.033
Peripheral vascular disease	1.505	1.051 - 2.156	0.026
Left ventricular ejection fraction <30%	2.896	1.374 - 6.104	0.005
Pre-operative cardiogenic shock	1.722	1.137 - 2.607	0.010
Salvage procedure	5.474	2.790 - 10.741	<0.001
Concomitant CABG procedure	2.135	1.412 - 3.229	<0.001
Cardiopulmonary bypass time (mins)	1.003	1.002 - 1.005	<0.001

Table 4: Cox proportional hazards analysis for survival from procedure and from 90-days post-procedure

Variable	Start time = procedure date			Start time = 90 days post-procedure		
	Hazard ratio	95% confidence interval	<i>P</i> value	Hazard ratio	95% confidence interval	<i>P</i> value
Surgeon mean annual volume	0.882	0.801 - 0.972	0.011	0.920	0.779 - 1.088	0.33
Hospital mean annual volume	1.029	1.000 - 1.059	0.050	1.020	0.972 - 1.072	0.42
Age at procedure (years)	1.028	1.019 - 1.037	<0.001	1.043	1.023 - 1.063	<0.001
Left ventricular ejection fraction <30%	2.495	1.586 - 3.926	<0.001	5.799	2.169 - 15.505	<0.001
Pre-operative cardiogenic shock	1.426	1.068 - 1.903	0.016	0.880	0.459 - 1.687	0.70
Salvage procedure	3.250	2.139 - 4.965	<0.001	2.138	0.791 - 5.778	0.13
Arch segment	1.414	1.047 - 1.909	0.024	1.200	0.653 - 2.207	0.56
Concomitant CABG procedure	1.629	1.235 - 2.150	<0.001	1.215	0.636 - 2.323	0.56
Cardiopulmonary bypass time (mins)	1.003	1.002 - 1.004	<0.001	1.001	0.998 - 1.003	0.53

Figure 1: Trend in mean annual volume (MAV) of ATAD activity and observed mortality. Each black dot corresponds to the mean mortality (vertical axis) for ATAD procedures performed by consultant surgeons with a specific MAV (horizontal axis). The size of the black dots is proportional to the total number of ATAD procedures performed by surgeons with the given MAV. Please note that although volume was modelled continuously, to improve legibility the number of surgeon procedures is grouped for every 0.25 of a year, therefore each dot can be comprised of multiple consultant surgeons. The blue line is a fitted smoothing curve to illustrate the trend, adjusted for pre-operative risk factors, and the grey-shaded area denotes approximate 95% confidence intervals. The red horizontal line represents the overall mean observed in-hospital mortality (18.3%) for the study cohort.

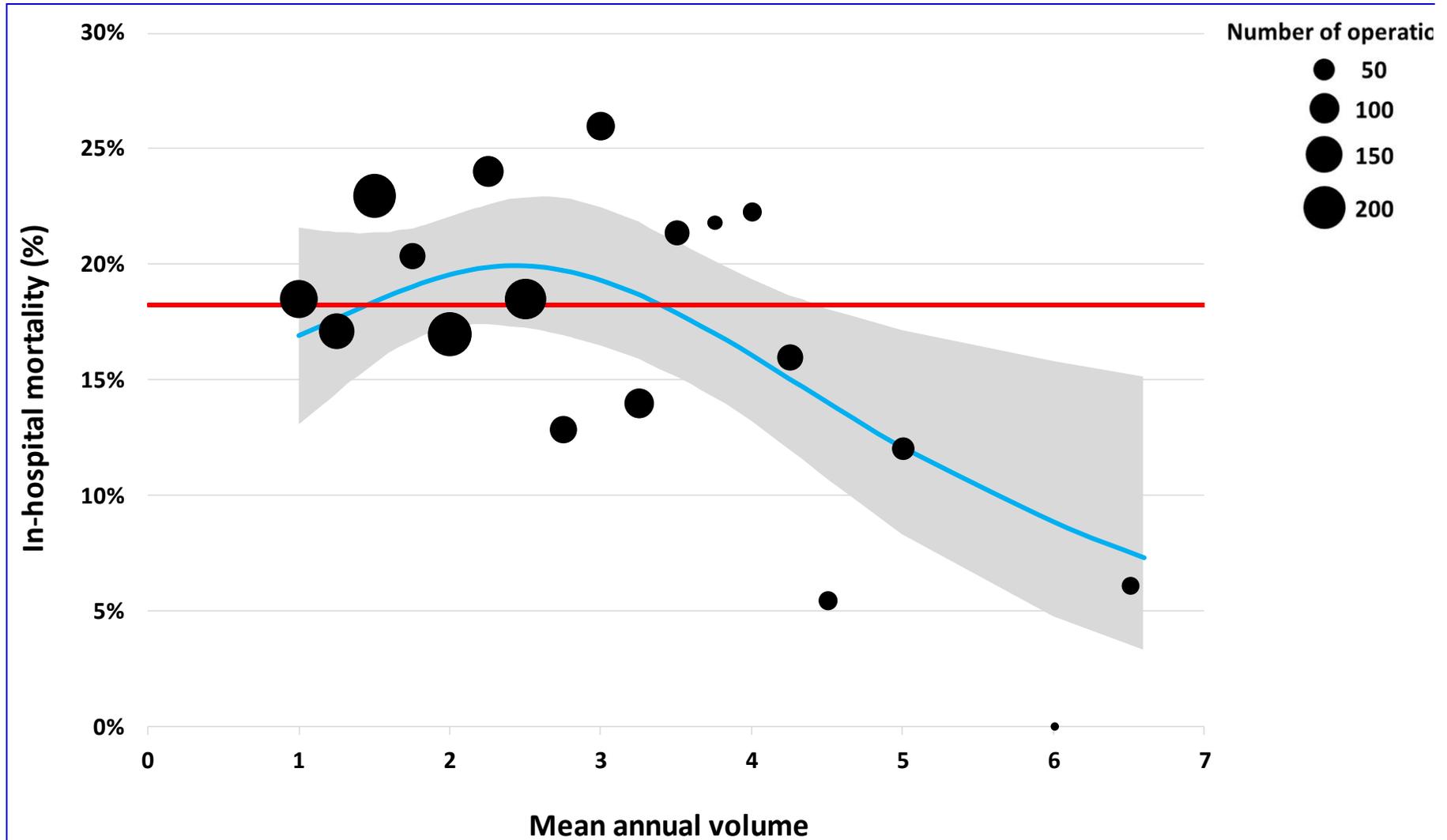


Figure 2a: Kaplan-Meier chart showing the cumulative probability of all-cause mortality for ATAD patients in the surgeon MAV groups. Landmark rebasing occurs at 90 days. Colored bands show approximate 95% confidence intervals.

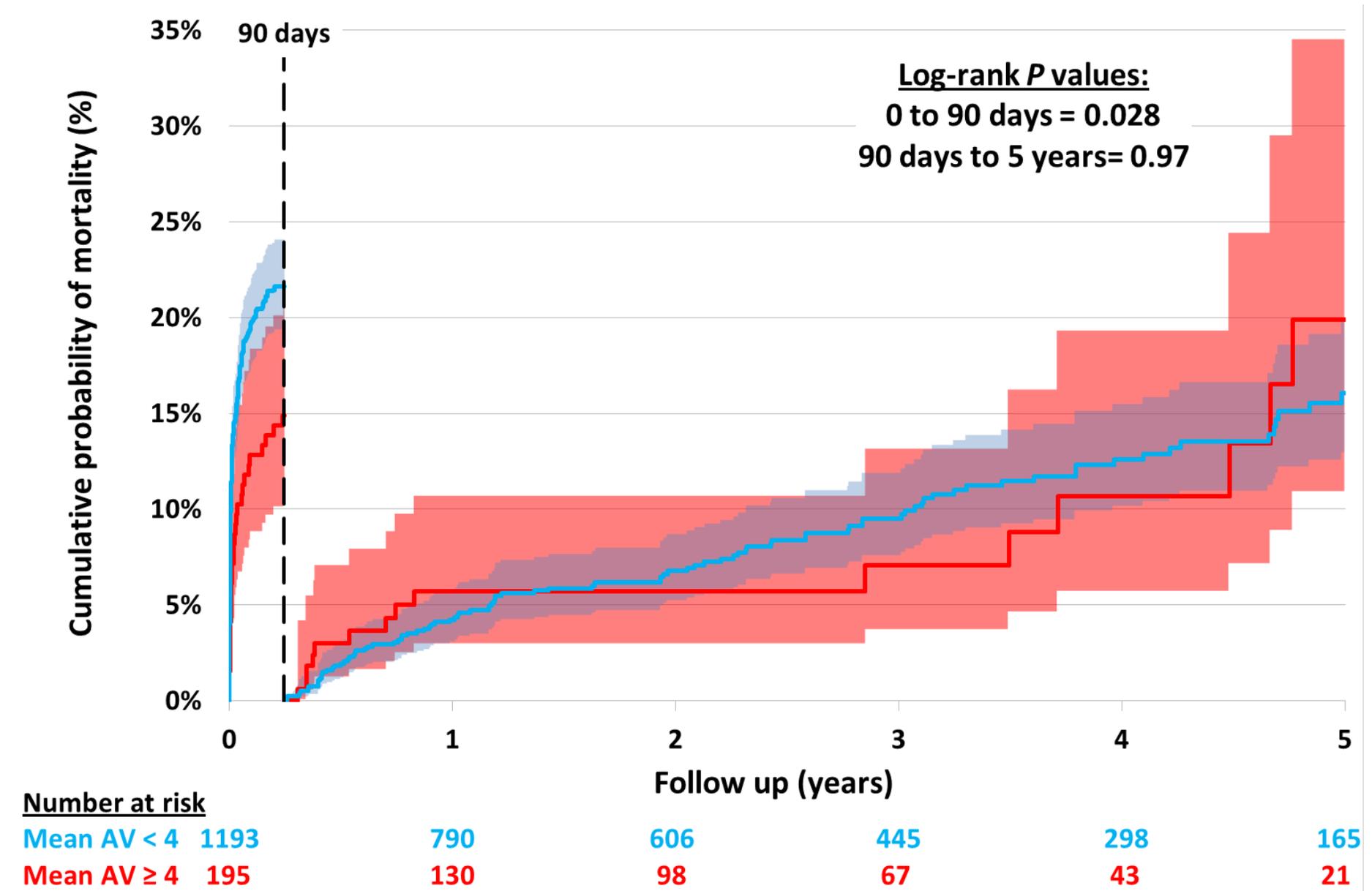


Figure 2b: Kaplan-Meier chart showing the cumulative probability of all-cause mortality for ATAD patients in the surgeon MAV groups. Colored bands show approximate 95% confidence intervals. (0-90 day detail from Figure 2a).

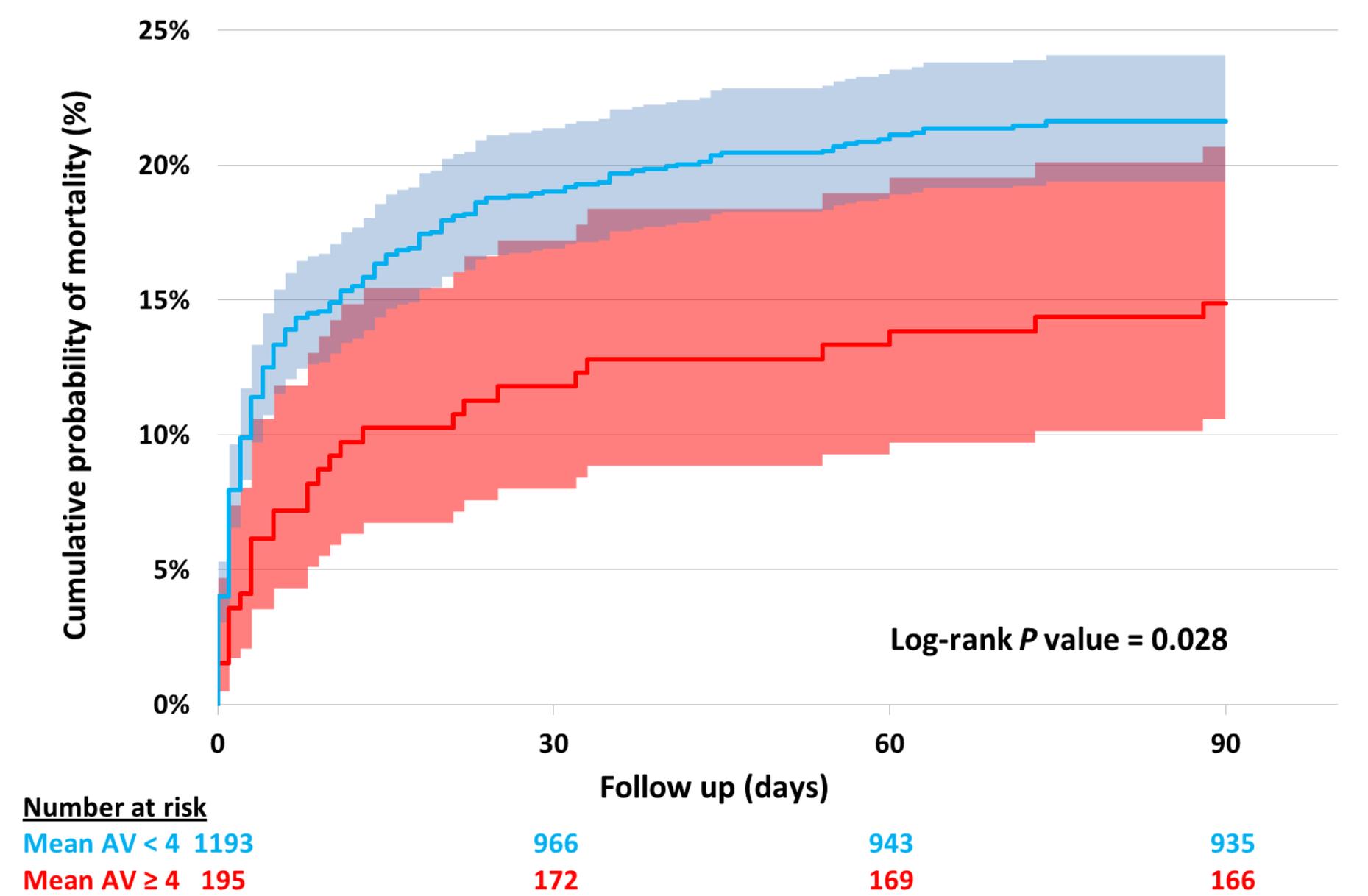
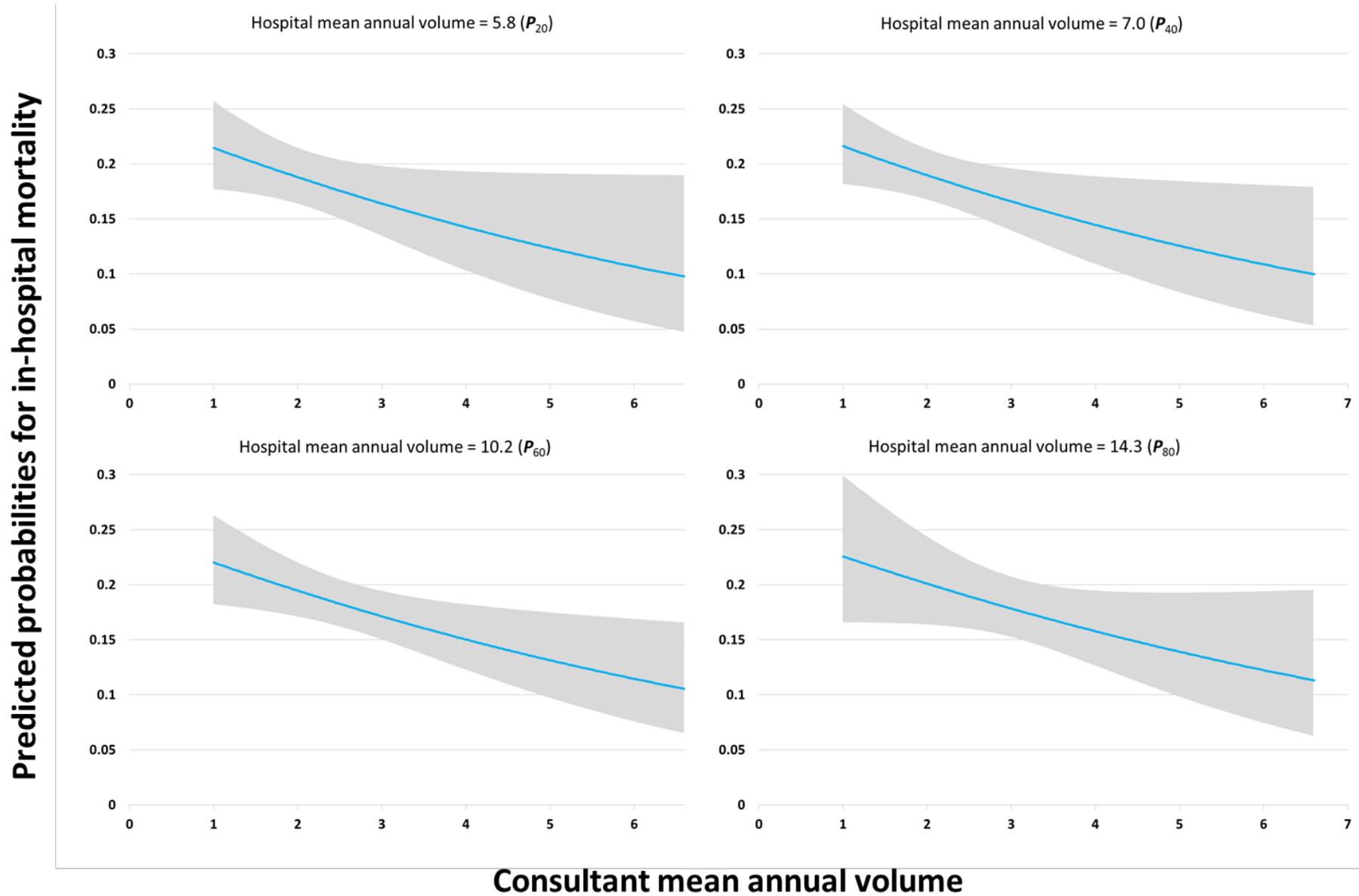


Figure 3: Panel chart showing the interaction between hospital and surgeon volume. The blue lines in each chart represent predicted probabilities of in-hospital mortality over the range of surgeon MAV for the 20th, 40th, 60th and 80th percentiles of hospital MAV, and the grey-shaded areas denote approximate 95% confidence intervals. Overall *P* value for interaction = 0.88



Supplemental Figure 1: Kaplan-Meier chart showing the cumulative probability of all-cause mortality for ATAD patients in the surgeon MAV groups. Colored bands show approximate 95% confidence intervals.

