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Transfer Hydrogenation in Water

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ABSTRACT: This article provides an account of our group's efforts in developing aqueous-phase transfer hydrogenation reactions. It is comprised of mainly two parts. The first part concentrates on asymmetric transfer hydrogenation in water, enabled by Noyori–Ikariya catalysts, while the second part is concerned with the achiral version of the reaction catalysed by a new class of catalysts, iridacycles. A range of substrates are featured, including various carbonyl compounds and N-heterocycles.

Keywords: aldehydes, ketones, nitrogen heterocycles, transfer hydrogenation, water chemistry

22 1. Introduction

Transfer hydrogenation (TH) has attracted a great deal of 23 attention in recent years, finding numerous applications in synthetic chemistry.^[1-9] As with other catalytic reactions, TH 24 is usually conducted in organic solvents. This is in contrast 26 with enzyme-catalysed TH, which uses formate as a hydrogen 27 source and takes place in an aqueous environment.^[10] The 28 development of aqueous TH reactions is not only fundamen-29 tally interesting in terms of understanding enzymatic catalysis, 30 but also offers economic and environmental benefits because 31 water is cheap and non-toxic. Research into aqueous TH reactions started around the 1980s,^[11,12] and great progress has 33

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been made since the 1990s.^[7,13–17] Herein, we provide a summary of our own work on TH in aqueous media. 35

2. TH with Noyori–Ikariya-Type Catalysts in Water

2.1. ATH of Ketones

Due to the importance of chiral compounds, extensive atten-39 tion has been paid to developing asymmetric transfer hydroge-40 nation (ATH) systems. A milestone in the history of ATH was 41 the discovery of the Ru-TsDPEN (TsDPEN=N-(p-toluene-42 sulfonyl)-1,2-diphenylethylenediamine) catalyst for the asym-43 metric reduction of aromatic ketones by Noyori, Ikariya, 44 Hashiguchi, and co-workers in 1995.^[18] This and related 45 Noyori-Ikariya-type catalysts have found broad applications. 46 Giving enantiomeric excesses (ee) up to 99% and operating 47 through a novel metal-ligand bifunctional mechanism, they 48 have since inspired intense research into ATH.^[19-27] One 49 direction is the development of aqueous ATH systems based 50 on these catalysts. 51

Our group has had a long interest in asymmetric catalysis. 52 In one projects, we developed a method for the immobilisation 53 of chiral diamine ligands,^[28] which could be used as a platform 54 to build supported chiral catalysts. We started our journey 55 with TH reactions by using a poly(ethylene glycol) (PEG)-supported complex, Ru-1, for ATH in a HCOOH–Et₃N 57

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mixture.^[28,29] Complex Ru-1 catalysed the ATH of ketones 58 effectively, but unexpectedly catalyst recycling by solvent 59 extraction of the chiral alcohol product was possible only when 60 water was present as a co-solvent. In its absence, much 61 reduced conversions and ee values were observed, indicat-62 ing catalyst decomposition. This finding prompted us to 63 examine the behaviour of sulfonamide ligands 2 and 3 64 (Scheme 1) in acetophenone (acp) reduction by HCOONa in neat water. Pleasingly, we found that, without any mod-66 ification, Noyori-Ikariya catalyst Ru-2, derived in situ 67 from $[RuCl_2(p-cymene)]_2$ and 2, enabled efficient ATH in 68 neat water. The reaction was significantly faster than that 69 in organic media and afforded excellent enantioselectiv-70 ities.^[30] Thus, following the addition of 5 equivalents of 71 HCOONa and acp with a molar substrate-to-catalyst (S/ 72 C) ratio of 100, the ketone was fully converted into 73 (R)-1-phenylethanol in 95% *ee* after 1 h of reaction time 74 at 40 °C. In comparison, the reaction run in the 75 HCOOH-NEt₃ (F/T) azeotrope afforded a conversion of 76 less than 2% in 1 h, with full conversion requiring more 77 than 10 h (97% ee) at 40 °C. This initial finding has since 78 proven to be quite general, in that other ligands (Scheme 79 1) designed for organic solvents are also effective for ATH 80 in water with no need for modification or organic sol-81 vents.^[31-37] In Table 1, we summarise the results obtained T1 82 with various metal catalysts based on Ru, Rh and Ir, in 83 the ATH of the benchmark substrate acp. 84

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Chao Wang received his B. S. degree from Hunan Normal University in 2004 and M.S. degree from Chengdu Institute of Organic Chemistry (Graduate School of Chinese Academy of Sciences) in 2007 in P.R. China. He then moved to the UK to pursue his Ph.D. degree at the Univer-



sity of Liverpool with Prof. Jianliang Xiao. After finishing his Ph.D. studies in 2011, he returned to Shaanxi Normal University as an Associate Professor. His research interests lie in

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In comparison with ATH in the azeotropic mixture of 85 HCOOH-NEt3 with or without water, ATH in aqueous 86 HCOONa is much faster (Table 1, entries 1 and 2 vs 3). 87 This finding prompted us to explore factors that might lead 88 to these contrasting results.^[32,36] The clearest difference 89 between the two systems was the solution pH. Subsequently, 90 the pH value was indeed found to be critical to the reaction 91 rate and enantioselectivity.^[32] Efficient ATH can be per-92 formed with HCOOH-Et₃N in water, providing the ratio of 93 HCOOH/Et₃N is controlled such that the solution is close 94 to neutral pH (Table 1, entries 4-7). 95

We also reported the first examples of aqueous ATH 96 derived from $[Cp*RhCl_2]_2$ with catalysts and 97 [Cp*IrCl₂]₂.^[31,33,35] Detailed studies showed that these Rh 98 and Ir catalysts had advantageous features compared with 99 the Ru catalysts, such as faster reaction rates or higher ee 100 values in some cases. Moreover, the reaction with Rh- 101 diamine catalysts can be carried out effectively in open air 102 without degassing and/or inert gas protection throughout, 103 rendering the reduction more practical. 104

Metal-catalysed (M=Ru, Rh, Ir) ATH has since been 105 applied to a wide range of aromatic ketones (Table 2). The 106T2 reduction is easy to perform, affording the chiral alcohols with 107 high ee values in a short reaction time for most of substrates at 108 S/C ratios from 100:1 to 1000:1. While the substrate ketones 109 are generally water insoluble, this does not appear to have a 110 negative effect on the reaction rates.

the field of organometallic chemistry, catalysis and green chemistry. Current research interests include dehydrogenation reactions and related organic transformations, and selective transformation of biomass platform molecules with molecular catalysis.

Jianliang Xiao received his B.Eng. in chemical engineering at Northwest University in Xian in 1982. This was followed by an M.Eng. in catalytic engineering under the direction of Profs. Wu Chi and Wang Junyu at the Research Institute of Petroleum Processing, and a



Ph.D. in chemistry at the University of Alberta mentored by Prof. Martin Cowie. After a postdoctoral appointment with Prof. Richard Puddephatt, he joined the ERATO Molecular Catalysis Project directed by Prof. Noyori. In 1996, he took up a Principal Scientist position at the University of Liverpool, becoming a Lecturer in 1999 and Professor of Catalysis in 2005 in the chemistry department. His research is mainly concerned with the design, development and understanding of molecular catalysts for sustainable chemical synthesis.

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Scheme 1. Ligands and metal precursors used for ATH in water.

2.2. ATH of Quinolines 112

Optically pure tetrahydroquinolines are commonly present in 113 alkaloids and are key structural units for pharmaceutical and 114 115 agrochemical synthesis. The most convenient route to chiral tetrahydroquinolines is the asymmetric reduction of quinolines. In continuing our research into ATH, we found that the 117 protocol developed for highly efficient reduction of ketones 118 could also been applied to ATH of cyclic C=N bonds with a 119 slight modification of the tosylated diamine ligand and adjust-120 ing the solution pH.^[39,40] 121

The optimal reaction conditions were initially scanned 122 with Rh-2 in the ATH of 2-methylquinoline in aqueous media 123 by using HCOONa as a reductant. The most important find-124 ing was that the solution needed to be more acidic than that 125 for the ATH of ketones, pH 5 was best, and should be kept 126 approximately constant throughout the ATH. A buffer solu-127 tion of HOAc/NaOAc was chosen to minimise pH fluctua-128 tion, ensuring complete reduction. A series of modified 129 diamine ligands were subsequently screened on this basis; 130 ligand 11e afforded the best reactivity and enantioselectivity 131 (Scheme 2).

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Various quinoline derivatives were then subjected to the 133 ATH catalysed by 12 (Scheme 3). Excellent enantioselectivities F3 134 and yields were observed for a range of substrates. For example, 135 the ATH of 2-methylquinoline afforded the corresponding tet-136 rahydroquinoline in 96% yield with 97% ee in 6 h. Notably, 137 ATH was carried out in air, without degassing. When the reac-138 tion was performed under nitrogen, no significant difference 139

in either the reduction rate or the enantioselectivity was 140 observed. Also interesting is that 2,3-di-substituted quinoline 141 13t was reduced to 14t with high enantioselectivity. A possible 142 dynamic kinetic resolution may have occurred following the 143 formation of an enamine intermediate. 144

2.3. TH of Aldehydes

In a related study, Ir complexes containing tosylated ethylene- 146 diamines (15-20; Scheme 4) were found to be excellent cata- 147 F4 lysts for the reduction of aldehydes by HCOONa in neat 148 water, providing fast rate and excellent chemoselectivity 149 towards the formyl group.^[41] Whilst the reduction of benzal- 150 dehyde with [Cp*IrCl₂]₂ afforded a turnover frequency of only 151 20 h^{-1} , the introduction of a diamine ligand led to a dramatic 152 increase in the reaction rate. In particular, Ir-17, formed in situ 153 from [Cp*IrCl₂]₂ and 17, afforded turnover frequencies of up 154 to 1.3×10^{5} h⁻¹ in the TH of benzaldehyde. Under these conditions, benzaldehyde (5.30 g) was reduced to give phenylme- 156 thanol in 98% yield (5.28 g) in 1 h with [Cp*IrCl₂]₂ (0.4 mg); 157 this demonstrated the superior activity, robustness and scalabil- 158 ity of the aqueous Ir(III) catalytic system. 159

The aqueous TH system works for aromatic, α , β -unsatu- 160 rated and aliphatic aldehydes and for those bearing functional 161 groups, such as halo, acetyl, alkenyl and nitro groups, and is 162 highly chemoselective towards the formyl group (Scheme 5). 163 F5 Furthermore, the reduction is highly efficient and can be car- 164 ried out in air, without inert gas protection throughout. Thus, 165 S/C ratios of 2000 to 10000 were feasible for both Ir-16 and 166

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Table 1. Summary of ATH of acp in water.^[a]

Entry	Catalyst	$[H]^{[b]}$	S/C	Time [h]	Conv. [%]	ее [%]	Ref.
1	Ru-1	HCOONa	100	1	99	92	[29]
2	Ru-2	HCOONa	100	1	>99	95	[30]
3	Ru-2	azetrope	100	12	98	97	[32]
4	Ru- 2	F/T-H ₂ O	100	1.5	>99	97	[32]
5	Ru- 2	F/T-H ₂ O	1000	9	>99	96	[32]
6	Ru- 2	F/T-H ₂ O	5000	57	98	96	[32]
7	Ru- 2	F/T-H ₂ O	10000	110	98	94	[32]
8	Rh- 2	HCOONa	1000	3	93	97	[35]
9	Ir- 2	HCOONa	100	3	99	93	[35]
10	Ru- 3	HCOONa	100	2	99	85	[33]
11	Ir- 3	HCOONa ^{[[e]}]	100	1	99	93	[33]
12	Ru-4	HCOONa	100	2.5	>99	81	[38]
13	Rh-4	HCOONa ^{[[c]}]	100	0.25	>99	94	[38]
14	Ir-4	HCOONa	100	1.5	>99	92	[38]
15	Ru-5	HCOONa	100	2	99	97	[31]
16	Rh- 5	HCOONa	100	0.7	99	99	[31]
17	Rh- 5	HCOONa	1000	20	89	99	[31]
18	Ir- 5	HCOONa	100	0.7	98	97	[31]
19	Ir- 5	HCOONa	1000	2.5	97	98	[31]
20	Ir- 6	HCOONa	100	0.7	98	98 ^{[[d]]}	[31]
21	Ir- 6	HCOONa	1000	2.5	99	98 ^{[[d]]}	[31]
22	Ru-7	HCOONa	100	10	95	50	[34]
23	Rh-7	HCOONa	100	20	85	41	[34]
24	Ir-7	HCOONa	100	1.5	100	27	[34]
25	Ru- 8	HCOONa	100	5	97	60	[34]
26	Rh- 8	HCOONa	100	5	63	31	[34]
27	Ir- 8	HCOONa	100	5	61	7	[34]
28	Ru- 9	HCOONa	100	3.5	>99	73	[34]
29	Rh- 9	HCOONa	100	22	77	68	[34]
30	Ir- 9	HCOONa	100	2.5	100	54	[34]
31	Ru-10	HCOONa	100	12	84	71	[34]
32	Rh-10	HCOONa	100	20	92	54	[34]
33	Ir- 10	HCOONa	100	5	>99	27	[34]

[a] Reaction conditions: The reaction was carried out in water (2 mL) or a mixture of water and F/T mixture (F/T=formic acid/triethylamine with a molar ratio of 1.2/1; azeotropic F/T with a ratio of 2.5/1) under inert gas protection, unless otherwise specified. [b] Five equivalents of hydrogen donor were used, unless otherwise specified. [c] Without inert gas protection. [d] (S)-Alcohol was obtained.

167 Ir-17, although electron-deficient Ir-17 generally displayed a 168 higher catalytic activity than that of Ir-16. The same aldehyde 169 substrates have also been reduced with H_2 in water with Ir-17 170 as the catalyst.^[42]

71 2.4. TH of Quinoxalines

The same iridium catalyst, Ir-17, has also been explored for the TH of quinoxalines in a project in collaboration with Pro-

fessors Xu and Fan.^[43] As shown in Table 3, a variety of quinoxaline derivatives were successfully reduced, in a buffered THE CHEMICAL RECORD

aqueous formate solution, to the corresponding tetrahydroquinoxalines in good to excellent yields under mild conditions, 177 with or without inert gas protection. Again, the pH of the solution was crucial for the reduction (see Table 3 for details). 179

3. TH with Iridacycles in Water

The Noyori-Ikariya-type catalysts can be applied to the ATH/ 181 TH of cyclic imine bonds (C=N) in aqueous media.^[44,45] 182 This prompted us to try to apply this protocol to ATH/TH of 183 acyclic imines. Disappointingly, the reduction was not success- 184 ful under many reaction conditions screened. However, a very 185 interesting cyclometallated iridium complex was observed in 186 the TH of 4-methoxy-N-(1-phenylethylidene)aniline, result- 187 ing from the in situ reaction of [Cp*IrCl₂]₂ with the imine 188 substrate.^[46] A variety of cyclometallated iridium complexes, 189 iridacycles, have since been synthesised (Scheme 6) and proven 190 F6 to be highly efficient catalyst in a range of reactions, such as 191 transfer hydrogenative reductive amination (RA), ^[47–49] hydro- ¹⁹² genation of acyclic imines^[50,51] and heterocycles, ^[52] aqueous ¹⁹³ TH of carbonyl groups^[53,54] and heterocycles, ^[55] dehydroge- ¹⁹⁴ nation of formic acid^[56] and heterocycles,^[57] and alkylation of ¹⁹⁵ amines with alcohols and amines.^[58] The key intermediates ¹⁹⁶ for TH, the iridium hydrides, have been isolated and charac- 197 terised.^[59] More recently, the mechanistic details of the hydrogenation of imines with these iridacycles have been revealed by 199 a kinetic study and computational modelling.^[60] A significant 200 advantage of these catalysts is that they are easy to synthesise 201 and stable in air. 202

3.1. TH of Ketones and Aldehydes

Our previous work on aqueous-phase ketone reduction 204 showed that water could accelerate ketone reduction, and the 205 solution pH had a dramatic effect on both the catalytic activity 206 and enantioselectivity, when using Noyori–Ikariya-type cata- 207 lysts.^[32,36] We envisioned that TH of ketones with the cyclo- 208 metallated iridium complexes might also be feasible in water, 209 and the reduction rate may vary with the solution pH as well. 210

Using formate as a reductant, we first investigated the ²¹¹ reduction of acp with precatalysts **24** and **25** in water.^[53] TH ²¹² did take place, even at a high S/C ratio of 2000, but only under ²¹³ certain acidic conditions. The highest reaction rate was ²¹⁴ observed at pH 3.5 for **24** and pH 2.5 for **25**. These optimal ²¹⁵ values are quite different from those observed with the previ-²¹⁶ ous Noyori–Ikariya catalysts, which perform best at neutral ²¹⁷ pH.^[32,36] This difference is most likely to be because reduction ²¹⁸ with the iridacycles necessitate activation of the ketone by an ²¹⁹ acidic medium, which provides hydrogen-bonding hydroxo- ²²⁰ nium ions, whereas the Noyori–Ikariya catalyst needs basic ²²¹ conditions to generate the active 16e⁻ catalytic intermediate ²²² and is bifunctional, in which the ligand NH proton activates ²²³

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Table 2. ATH of ketones with formate in water. $^{\left[^{\left[a\right] }\right] }$

0	M-L * (0.01~1 mol%)	он Т			
R ¹ Me	HCOONa, H ₂ O, 40 °C	R ¹ Me			
Entry	R^1	Catalyst/[H]/S/C	Time [h]	<i>ee</i> [%] ^[b]	Ref.
1	4-Me-C ₆ H ₅	Ru- 2 /HCOONa/100	2	90	[30]
2	4-Me-C ₆ H ₅	Rh- 2 /HCOONa/100	6	93	[35]
3	4-Me-C ₆ H ₅	Rh- 3 /HCOONa/100	0.5	92	[33]
4	4-Me-C ₆ H ₅	Rh-4/HCOONa/100	0.5	91	[38]
5	4-Me-C ₆ H ₅	Ir- 5 /HCOONa/1000	8.5	92	[31]
6	4-OMe-C ₆ H ₅	Ru- 2 /HCOONa/100	2	95	[30]
7	4-OMe-C ₆ H ₅	Ru-2/HCOOH–Et ₃ N/100	5	97	[32]
8	4-OMe-C ₆ H ₅	Rh- 2 /HCOONa/100	20	97	[35]
9	4-OMe-C ₆ H ₅	Rh- 3 /HCOONa/100	0.5	93	[33]
10	4-OMe-C ₆ H ₅	Ir-5/HCOONa/1000	22	97	[31]
11	4-Cl-CcH5	Ru-1/HCOONa/100	1.2	89	[29]
12	4-Cl-CcH5	Ru- 2 /HCOONa/100	2	91	[30]
13	4-Cl-CcH₅	$Ru-2/HCOOH-Et_3N/1000$	11	93	[32]
14	4-Cl-CcH₅	Rh- 2 /HCOONa/100	0.4	94	[35]
15	4-Cl-CcHe	Rh-2/HCOONa/1000	3	94	[35]
16	4-Cl-CcHe	Rh- 3 /HCOONa/100	0.17	94	[33]
17	4-Cl-CcH5	Rh-4/HCOONa/100	0.17	92	[38]
18	4-Cl-CcHe	Ir-5/HCOONa/1000	2	96	[31]
19	4-CF ₂ -C _c H ₅	Ru-2/HCOONa/100	2	94	[30]
20	$4-CF_2-C_2H_5$	$Ru-2/HCOOH-Et_N/100$	1.3	95	[32]
21	$4-CF_2-C_2H_5$	Rh-2/HCOONa/1000	1.8	94	[35]
22	$4-CF_2-C_2H_2$	Rh- 3 /HCOONa/100	0.17	91	[33]
23	4-Br-CcH5	Ru-2/HCOONa/1000	18	93	[30]
24	4-Br-CcHr	Rh-2/HCOONa/1000	4	95	[32]
25	4-Br-CcHe	Rh- 3 /HCOONa/100	0.25	94	[33]
26	4-Br-CcHe	Ir-5/HCOONa/1000	1.8	95	[31]
2.7	4-CN-C _c H _e	$Ru-2/HCOOH-Et_N/100$	1.5	93	[32]
28	4-CN-C _c H ₅	Rh-2/HCOONa/1000	4.5	92	[35]
29	4-CN-CcH	Rh- 3 /HCOONa/100	0.4	90	[33]
30	$4 \cdot NO_2 \cdot C_c H_5$	$Ru-2/HCOOH-Et_N/100$	2	85	[32]
31	4-NO2-CcHr	Rh-2/HCOONa/100	0.5	88	[35]
32	4-NO2-CcH5	Rh- 3 /HCOONa/100	0.75	87	[33]
33	4-NO2-CcH5	Ir-5/HCOONa/1000	2	93	[31]
34	3-OMe-C _c H _e	Ru-2/HCOONa/100	2	94	[30]
35	3-OMe-CcH	$Ru-2/HCOOH-Et_N/100$	25	95	[32]
36	3-OMe-CcHr	Rh-2/HCOONa/100	0.5	98	[35]
37	3-OMe-C _c H _e	Rh- 3 /HCOONa/100	0.5	93	[33]
38	3-OMe-CcHr	Ir-5/HCOON ₂ /1000	3	98	[31]
39	2-OMe-CcHr	Ru-2/HCOONa/100	2	72	[30]
40	2-OMe-C _c H ₅	Rh-2/HCOONa/100	24	81	[35]
41	2-OMe-CcH	Rh- 3 /HCOONa/100	1	79	[33]
42	2-OMe-C-H-	Ir- 5 /HCOON ₂ /1000	21	85	[31]
43	2-Me-C-H-	$R_{\rm H}$ -2/HCOON ₂ /100	6	80	[30]
44	2-Me-C-H-	Rh- 3 /HCOON ₂ /100	1	80	[33]
45	2-Me-C-H-	Ir- 5 /HCOON ₂ /1000	29	93	[31]
46	2-Cl-C/H	Ru-1/HCOONa/100	1.5	85	[29]
47	2-Cl-CcH5	Ru-2/HCOONa/100	2	89	[30]

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Table 2. (Continued)

0 II	M-L * (0.01~1 mol%)	OH T			
R ¹ Me	HCOONa, H ₂ O, 40 °C	R ¹ Me			
Entry	\mathbb{R}^1	Catalyst/[H]/S/C	Time [h]	<i>ee</i> [%] ^[b]	Ref.
48	2-Cl-C ₆ H ₅	Rh-2/HCOONa/100	1	71	[35]
49	$2-Cl-C_6H_5$	Rh- 3 /HCOONa/100	0.3	77	[33]
50	2-Cl-C ₆ H ₅	Ir- 5 /HCOONa/1000	3	88	[31]
51 ^{[[c]}]	C ₆ H ₅	Ru-2/HCOONa/100	2	86	[30]
52 ^{[[c]}]	C ₆ H ₅	Rh-3/HCOONa/100	1	92	[33]
53 ^{[[c]}]	C ₆ H ₅	Ir- 5 /HCOONa/1000	9.5	97	[31]
54	2-furanyl	Rh-2/HCOONa/100	0.08	99	[32]
55	2-furanyl	Rh-3/HCOONa/100	0.08	99	[33]
56	2-thiophenyl	Rh-2/HCOONa/100	1.5	99	[32]
57	2-thiophenyl	Rh-3/HCOONa/100	0.25	94	[33]
58	3-thiophenyl	Rh- 3 /HCOONa/100	0.75	99	[33]
59	4-pyridyl	Rh-2/HCOONa/100	0.5	98	[32]
60	3-pyridyl	Rh-2/HCOONa/100	16	78	[32]
61	2-pyridyl	Rh-2/HCOONa/100	24	98	[32]
62	2-naphthyl	Ru-1/HCOONa/100	8	92	[29]
63	2-naphthyl	Ru-2/HCOONa/100	3	95	[30]
64	2-naphthyl	Rh- 2 /HCOONa/100	0.75	96	[32]
65	2-naphthyl	Rh- 3 /HCOONa/100	0.75	95	[33]
66	2-naphthyl	Rh-4/HCOONa/100	0.75	96	[38]
67	2-naphthyl	Ir- 5 /HCOONa/1000	4	97	[31]
68	1-indanone	Ru-1/HCOONa/100	3	92	[29]
69	1-indanone	Ru-2/HCOONa/100	2	95	[30]
70	1-indanone	Rh-2/HCOONa/100	9	97	[32]
71	1-indanone	Rh-3/HCOONa/100	0.5	95	[33]
72	1-tetralone	Ru-1/HCOONa/100	3	92	[29]
73	1-tetralone	Ru-2/HCOONa/100	3	94	[30]
74	1-tetralone	Rh- 2 /HCOONa/100	3	99	[32]
75	1-tetralone	Rh- 3 /HCOONa/100	0.5	97	[33]

[a] For reaction conditions, see the references cited. Full conversion and good to excellent yields were obtained in all cases. [b] Determined by GC or HPLC with a chiral column. [c] Me was replaced by Et in the α position.



Scheme 2. Diamine ligands studied for the ATH of quinolines in water.

the substrate.^[61] However, when the pH becomes too low, the 224 active catalytic species, iridium hydride, will be protonated. 225 Hence, there should be an optimal pH value to balance the 226 two competing reactions. 227

A range of aromatic ketones were reduced with iridacycle 228 27 at pH 2.5, affording good to excellent isolated yields in 4–229 12 h (Table 4). Aliphatic ketones are also viable substrates 230T4 (Table 4, entries 16–18). To demonstrate the practical applica-231 bility of the catalyst, a larger scale reduction of acp was carried 232 out under the conditions shown in Table 4. Thus, TH of acp 233 on a 6.00 g scale was achieved under the standard reaction con-234 ditions, with which the alcohol was obtained in 97% isolated 235 yield in 24 h at a S/C ratio of 2000. 236

The reduction of α -substituted ketones has been explored 237 with iridacycle **33**.^[54] Compared with acp derivatives, α -halo-, 238 hydroxyl- and nitrile-substituted ketones are more challenging 239

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Scheme 3. Examples of ATH of quinolines with rhodium catalyst 12 in water.



Scheme 4. Selected diamine ligands used for TH of aldehydes in water.

to reduce, due to the ease of dissociation/decomposition of 240 these α -functional groups under the reaction conditions. The 241 iridacycle appears to tolerate these functionalities well. As illus-242 T5 243 trated in Table 5, the desired products were obtained with 244

excellent yields for almost all of these problematic ketones.

The much less featured β -keto ethers were also effectively 245 and chemoselectively reduced to the desired β -hydroxyethers 246 with iridacycle **34** (Table 6).^[54] Controlling the solution pH is 247T6 critical, and pH 3-5 is optimal. Under these conditions, keto 248 ethers featuring either aromatic or aliphatic units and aromat- 249 ic, aliphatic, heterocyclic and fluorinated ethers were all viable 250 and gave excellent yields at a S/C ratio of 10000 on a 2.5 251 mmol substrate scale without dissociation of any ether groups. 252

Moreover, the reduction of keto esters with the iridacycle 253 catalysts is also practical.^[54] Catalysed by iridacycle **33**, both 254 α - and β -keto esters, including those aromatic and aliphatic 255 ones, have been reduced to the corresponding alcohols with 256 excellent yields (Table 7). These results, together with those 257T7 mentioned above, demonstrate the wide scope and utility of 258

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Scheme 5. Examples of TH of aldehydes to alcohols with Ir-16 by HCOONa in water at 80°C, with full conversion and >85% yields obtained in all cases. * S/C=1000.

the iridacycles in carbonyl reduction and in aqueous-phase organic synthesis.

261 3.2. TH of N-Heterocycles

In contrast to the situation of ketone reduction, the TH of heterocycles has been much less explored.^[62–65] The iridacycle catalysts again show their utility by being capable of reducing an ample variety of N-heterocycles, including quinolines, indoles, isoquinolinium and pyridinium salts, at a high S/C ratio in water.^[55] As shown in Table 8, an array of diversely 267T8 substituted quinolines were reduced by TH in water with cata-268 lyst **33**.^[55] Large-scale reduction was shown to be feasible. 269 Thus, quinoline (35.8 g) was reduced with 0.01 mol% catalyst 270 at 30 °C in 24 h, affording 67% yield of product. 271

Indoles can also been reduced with this catalytic sys- 272 tem.^[55] As shown in Table 9, a range of indoles with both 273T9 electron-donating and -withdrawing groups were transferred 274 to the corresponding indolines in good yields. 275

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R ²	N R ³ Ir-17 (1 mol%)		$R^2 \rightarrow N \rightarrow N$	R ³
R ²	N R1 HOONa, HOAc/NaOAc	buffer, 80 °C		`R ¹
21	la-r		22a-r	
Entry	$R^{1}/R^{2}/R^{3}$	Time [h]	Yield $[\%]^{[b]}$	Ref.
1	Me/H/H	0.25	96	[43]
2	Et/H/H	1	97	[43]
3	<i>i</i> Bu/H/H	2	96	[43]
4	hexyl/H/H	2	97	[43]
5	cvclohexvl/H/H	6	92	[43]
6	Me/Me/H	1	97	[43]
7	Ft/Me/H	1	96	[43]
8	H/H/H	0.25	97	[43]
9	Me/H/Me	4	94	[43]
10 ^{[[c]}]	C.H./H/H	10	97	[43]
11 ^[c]	4-F-C-H/H/H	10	97	[43]
$12^{[c]}$		10	95	[43]
12 $12^{[c]}$	$4 - C_1 - C_{6114/11/11}$	10	95	[43]
13 14 ^[c]]	$4 - DI - C_6 \pi_4 / \Pi / \Pi$	10	95	[43]
$14^{[c]}$	4-MeO-C ₆ $\Pi_4/\Pi/\Pi$	10	97	[43]
15° '	$4-C_6H_4-C_6H_4/H/H$	10	91	[43]
16 ¹	$C_6H_5CHCH/H/H$	12	95	[/3]
17 ^[1]	2-CI-C ₆ H ₄ CHCH/H/H	12	96	[43]
18 ^[^m]	3-NO ₂ -C ₆ H ₄ CHCH/H/H	12	95	[43]

Table 3. TH of quinoxalines with formate in buffered water.^{[[a]}

[a] Reaction conditions: quinoxalines **21** (0.5 mmol), $[Cp^*IrCl_2]_2$ (2.5 µmol), **17** (6 µmol), HCOONa (5 mmol), 5 M HOAc/NaOAc buffer (5 mL), pH=5.5, 80°C. [b] Isolated yield. [c] The reaction was carried out at pH 4.3 in 5 M HOAc/NaOAc (5 mL) and EtOAc (0.3 mL). [d] The reaction was carried out at pH 4.5 in 5 M HOAc/NaOAc buffer solution.

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The iridacycles appear to be ineffective in the TH of iso-276 quinolines and pyridines. However, quaternisation of the 277 nitrogen atom in these substrates led to the full reduction of 278 the N-heterocyclic rings.^[55] As shown in Table 10, an array of 279T10 isoquinolinium and pyridinium salts were reduced in excellent 280 yields. The versatility of these cyclometallated iridium catalysts 281 have been further demonstrated by the efficient TH of other 282 heterocycles and imines.^[55] 283

3.3. RA of Ketones and Aldehydes

Aqueous-phase RA reactions are scarce in the literature. This is 285 not surprising because water is generally thought to be adverse 286 for the formation of imines, which are key intermediates in RA 287 reactions. Drying agents are sometimes used to remove water 288 generated from the imine formation step.^[66,67] Due to the 289 high activity observed with our iridacycle catalysts for RA in 290 organic solvents,^[46] we were interested in developing a greener 291 version of the reaction by replacing the organic solvent with 292 water. 293

In our initial study of aqueous RA, we chose 24 as a cata- 294 lyst and sodium formate as a hydrogen source for RA of acp 295 with *p*-anisidine.^[47] Imine formation from the ketone and 296 amine and subsequent imine reduction are known to benefit 297 from acidic conditions. With this in mind, we first examined 298 the effect of the pH value of the solution on the model reac- 299 tion. Both the catalytic activity and selectivity were influenced 300 dramatically by the solution pH. At pH 4.8, the best selectivity 301



Scheme 6. Selected examples of cyclometallated iridium catalysts.

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0	27 (0.05% mol)		ЭН	
R	HCOOH/HCOON pH = 2.5, 80 °C	a, H ₂ O R		
Entry	R	Time [h]	Yield $[\%]^{[b]}$	Ref.
1	C ₆ H ₅	4	96	[53]
$2^{[c]}$	4-Me-C ₆ H ₅	12	87	[53]
$3^{[d]}$	4-OMe-C ₆ H ₅	12	79	[53]
4	$4-NO_2-C_6H_5$	4	97	[53]
5	4-CF ₃ -C ₆ H ₅	4	95	[53]
6	4-CN-C ₆ H ₅	12	91	[53]
$7^{[c]}$	4-F-C ₆ H ₅	12	89	[53]
8 ^[e]	4-Cl-C ₆ H ₅	12	89	[53]
9 ^[e]	$4-Br-C_6H_5$	12	94	[53]
10	3-NO ₂ -C ₆ H ₅	4	96	[53]
11 ^{[[c]]}	$3-Br-C_6H_5$	12	93	[53]
$12^{[c]}$	3-CF ₃ -C ₆ H ₅	12	91	[53]
13 ^[e]	3,5-(CF ₃) ₂ -C ₆ H ₅	12	90	[53]
14 ^[e]	2-F-C ₆ H ₅	12	91	[53]
15	2-Naphthyl	4	89	[53]
16 ^[e]	$C_6H_5(CH_2)_2$	12	97	[53]
$17^{[[c,f]]}$	$CH_3(CH_2)_5$	12	100	[53]
$18^{[[c,f]]}$	-(CH ₂) ₅ -	12	100	[53]
19 ^[g]	C ₆ H ₅	24	97	[53]

Table 4. TH of ketones with the cyclometallated iridium 27 in water. $^{\left[^{\left[4\right] }\right] }$

[a] Reaction conditions: ketone (5 mmol), catalyst (0.0025 mmol), pH 2.5 aqueous HCOOH–HCOONa solution (4 mL), 80°C. [b] Isolated yield. [c] S/C=1000. [d] S/C=200. [e] S/C=500. [f] Determined by GC. [g] 6.00 g of acp was used.

was observed without sacrificing too much of the activity. A
series of iridacycles (25–33) were subsequently examined and
complex 33 was most active. Under the optimal conditions,
95% yield was obtained for the reaction of acp with *p*anisidine.

Firstly, aromatic ketones with various amines were examined for RA with catalyst **33** in the aqueous HCOOH/ HCOONa system. The results are shown in Table 11. Excellent yields were obtained for aromatic ketones with both electron-withdrawing and -donating substituents in 2 h with S/C of 1000 (Table 11, entries 1–10).

The reactions of aliphatic ketones with various amines B12 were next investigated (Table 12). Higher activities were generally observed for aliphatic ketones. An S/C of 2000 can be employed for most of the substrates. Again, aromatic amines with the electron-withdrawing CF₃ substituent showed lower activities. Although a good yield was obtained for benzylamine under standard conditions, other aliphatic amines and secondary amines showed poorer activities.

Aldehydes were more reactive than ketones in general TE13 (Table 13). Over 90% yield was obtained for most of the

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Table 5. TH of α -substituted ketones with 33 in water.^{[[a]]}

	X HCOOH pH = 4.5	o mol) /HCOONa, H₂O , 80 ºC, 18 h R [′]	OH X	
Entry	R	Х	Yield $[\%]^{[b]}$	Ref.
1	C ₆ H ₅	ОН	93	[54]
2	C_6H_5	Cl	94	[54]
3	4-OMe-C ₆ H ₅	Cl	92	[54]
4	4-F-C ₆ H ₅	Cl	93	[54]
5 ^{[[c]}]	C ₆ H ₅	α, α -Cl ₂	87	[54]
6	C _c H ₅	F	95	[54]
7	C ₆ H ₅	$\alpha, \alpha, \alpha - F_3$	96	[54]
8	C ₆ H ₅	CN	90	[54]
9	4-Me-C ₆ H ₅	CN	92	[54]
10	4-F-C ₆ H ₅	CN	91	[54]
11	2-Thionyl	CN	89	[54]
12	2-Furanyl	CN	90	[54]
13	C ₆ H ₅	OC(O)C ₆ H ₅	96	[54]
14	C ₆ H ₅	-N(CH ₂) ₂ O(CH ₂) ₂ -	88	[54]
15	C _c H ₅	-N(CH ₂) ₅ -	86	[54]
16 ^{[[d]}]	C_6H_5	α, α -(OMe) ₂	94	[54]

[a] Reaction conditions: ketone (2.5 mmol), catalyst (0.001 mmol), pH 4.5 aqueous HCOOH–HCOONa solution (3 mL), 80°C, 18 h. [b] Isolated yield. [c] S/C=200. [d] Yield determined by ¹H NMR spectroscopy.

OH

Table 6. TH of α -substituted ketones with 34 in water.^{[[a]]}

34 (0.01% mol)

0

Entry	R^1	R^2	Yield $[\%]^{[b]}$	Ref.
1	C ₆ H ₅	4-Cl-C ₆ H ₅	93	[54]
2	C_6H_5	4-OMe-C ₆ H ₅	91	[54]
3	C ₆ H ₅	2-naphthyl	97	[54]
4	C_6H_5	2,6-Me ₂ -C ₆ H ₅	95	[54]
5	C ₆ H ₅	3-pyridine	89	[54]
6	4-Cl-C ₆ H ₅	C_6H_5	97	[54]
7	4-CN-C ₆ H ₅	C ₆ H ₅	97	[54]
8	4-OMe-C ₆ H ₅	C ₆ H ₅	93	[54]
9	C ₆ H ₅	$(CF_3)_2$	87	[54]
10	C_6H_5	$CH_2(CF_2)_2CF_3$	86	[54]
11	Me	C ₆ H ₅	98	[54]
12	Me	2,6-Me ₂ -C ₆ H ₅	97	[54]
13	Me	3-pyridine	91	[54]
14	$-(CH_2)_4-$	Et	90	[54]

[a] Reaction conditions: keto ethers (2.5 mmol), catalyst (0.01 mol%), pH 4.5 aqueous HCOOH–HCOONa solution (3 mL), 80°C, 14 h. [b] Isolated yield.

aromatic aldehydes reacting with *para*-anisidine at S/C of 323 2000 in 2 h. Aliphatic amines generally showed better activity 324 in reactions with aldehydes than those with ketones. The lower 325

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	^{Et} 33 (0.1% mol) HCOOH/HCOONa, H ₂ O pH = 4.5, 80 °C, 14 h OEt		
Entry	R^1 or R^2	Yield $[\%]^{[b]}$	Ref.
$1^{[c]}$	$R^1 = Me$	91	[54]
2	$R^1 = CF_3$	92	[54]
3	$R^1 = C_6 H_5$	96	[54]
4	$R^2 = C_6 H_5$	94	[54]
5	$R^2 = 3 - NO_2 - C_6 H_5$	91	[54]
6	$R^2 = 3 - Me - C_6 H_5$	94	[54]
7	$R^2 = 3,4,5-(OMe)_3-C_6H_5$	92	[54]
8	$R^2 = CF_3$	95	[54]

Table 7. TH of α -and β -keto esters with 33 in water.^[a]

[a] Reaction conditions: keto esters (2.5 mmol), catalyst (0.1 mmol%), pH 4.5 aqueous HCOOH–HCOONa solution (3 mL), 80°C, 14 h. [b] Isolated yield. [c] Yield determined by 1 H NMR spectroscopy.

R N	33 (0.1% mol) HCOOH/HCOONa, H₂O pH = 4.5, 30 °C, 14 h	R N	I.
Entry	R	Yield $[\%]^{[^{[b]}]}$	Ref.
1	2-Me	96	[55]
2	3-Me	93	[55]
3 ^{[[c]]}	4-Me	90	[55]
4	Н	90	[55]
5 ^{[[c]}]	$2-C_6H_5$	84	[55]
6	2-Me.6-F	97	[55]
7	2-Me,6-Cl	97	[55]
8	2-Me.6-Br	95	[55]
9	2-Me,7-F	98	[55]
10	2-Me,8-Cl	92	[55]
11	2-Me.6-Me	95	[55]
12	2-Me.6-OMe	96	[55]
13	2-Me.6-OBn	94	[55]
14	$2-Me_{0}-OCH_{2}CH=CH_{2}$	93	[55]
15	2-Me.6-CF ₃	98	[55]
$16^{[^{[c,d]}]}$	2-Me.6-NHBoc	90	[55]
17 ^{[[c]]}	$2-Me.6-C(O)N(Et)_2$	91	[55]
18	$2-Me.6-CO_2Me$	92	[55]
19	2-Me.6-COOH	82	[55]
20	2-Me.6-(2-furyl)	95	[55]
21	2-Me.6-(2-thiophyl)	96	[55]
$22^{[c]}$	2-Me,6-(4-pyridyl)	82	[55]
23	2-Me.5.7-Me ₂ -	95	[55]
$24^{[[c,e]]}$	$2 - Me. 4 - C_c H_c$	84	[55]

[a] Reaction conditions: quinoline (2.5 mmol), catalyst (0.1 mmol%), pH 4.5 aqueous HCOOH–HCOONa solution (3 mL), 30°C, 14 h. [b] Isolated yield.
 [c] Reaction was carried out at reflux. [d] Yield determined by ¹H NMR spectroscopy. [e] 0.5 mol% catalyst was used.

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Table 9. TH of indoles with 33 in water.^{[[a]}

F	33 (0.1% mol) HCOOH/HCOONa, H ₂ pH = 4.5, 30 °C, 14 h	$\begin{array}{c} \textbf{33 (0.1\% mol)} \\ \textbf{HCOOH/HCOONa, H_2O} \\ \textbf{pH} = 4.5, 30 \ ^\circ\text{C}, 14 \text{ h} \end{array} \xrightarrow{\textbf{R}} \\ \textbf{H} \end{array}$				
Entry	R	Yield [%] ^[b]	Ref.			
1	Н	96	[55]			
2	5-OMe	94	[55]			
3	2-Me	92	[55]			
$4^{[c]}$	2-Me, 5-Cl	78	[55]			

[a] Reaction conditions: indole (2.5 mmol), catalyst (0.1 mmol%), pH 4.5 aqueous HCOOH–HCOONa solution (3 mL), 30°C, 16 h. [b] Isolated yield. [c] 0.5 mol% catalyst at reflux and with the addition of MeOH (1 mL).

Table 10. TH of isoquinolinium and pyridinium salts with 33 in water. $^{\left[in \right]}$

$ \begin{array}{c} \begin{array}{c} \begin{array}{c} \begin{array}{c} \begin{array}{c} \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\$						
Entry	R,R' or R^1, R^1	Х	Yield $[\%]^{[b]}$	Ref.		
1	$R = Bn, R' = 8 - C_6 H_5$	Br	90	[55]		
2	R=Et, R'=H	Ι	95	[55]		
$3^{[c]]}$	R = Et, R' = 8-Et	Ι	98	[55]		
$4^{[c]]}$	R=Et, R'=2-Me	Ι	97	[55]		
5	R=Et, R'=5-Me	Ι	99	[55]		
6	R=Et, R'=5-Br	Ι	91	[55]		
7	$R^1 = Bn, R^1 = 2 - C_6 H_5$	Br	90	[55]		
$8^{[d]}$	$R^1 = Bn, R^{1'} = 2 - C(OH)(C_6H_5)_2$	Br	72	[55]		
9	$R^1 = Bn, R^{1} = 2 - CH_2 NHCbz$	Br	90	[55]		
10	$R^1 = Bn, R^{1} = 2 - CH_2 NHBoc$	Br	94	[55]		
11	$R^1 = Bn, R^{1'} = 2 - (4 - OMe - C_6H_5)$	Br	81	[55]		
$12^{[c]}$	$R^1 = Bn, R^1 = 3$ -COOEt	Br	82	[55]		
13	$R^{1}=Bn, R^{1}=4-Bn$	Br	92	[55]		
14	$R^{1}=Bn, R^{1}=4-CF_{3}$	Br	82	[55]		
15	$R^1 = Bn, R^1 = 4$ -COOEt	Br	80	[55]		

[a] Reaction conditions: isoquinolinium or pyridinium salt (2.5 mmol), catalyst (1 mmol%), pH 4.5 aqueous HCOOH–HCOONa solution (3 mL), reflux, 24–36 h. [b] Isolated yield. [c] Yield determined by ¹H NMR spectroscopy.

steric hindrance of the aldehydes compared with the ketones 326 renders their reaction with secondary amines much easier. To 327 demonstrate the potential of the catalytic system in the practical synthesis of amines, higher S/C ratios were tested in the 329 reaction of benzaldehyde with *para*-anisidine. At pH 4.6, the 330 reaction with a S/C of 1×10^5 (250 mmol scale) afforded 331

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$R^1 R^2 +$	R ³ -NH ₂ 33 (0.1 mol ⁴ HCOOH/HC pH = 4.8, 80	%) HN´ COONa, H₂O R ¹ ↓	R ³ R ²			
Entry	R^1	R^2	R ³	Time [h]	Yield $[\%]^{[b]}$	Ref.
1	C ₆ H ₅	Me	4-MeO-C ₆ H ₅	2	95	[47]
2	4-Me-C ₆ H ₅	Me	4-MeO-C ₆ H ₅	2	98	[47]
3	4-MeO-C ₆ H ₅	Me	4-MeO-C ₆ H ₅	2	95	[47]
4	4-F-C ₆ H ₅	Me	4-MeO-C ₆ H ₅	2	94	[47]
5	4-Cl-C ₆ H ₅	Me	4-MeO-C ₆ H ₅	2	98	[47]
6	4-Br-C ₆ H ₅	Me	4-MeO-C ₆ H ₅	2	98	[47]
7	4-CN-C ₆ H ₅	Me	4-MeO-C ₆ H ₅	2	98	[47]
8	4-CF3-C6H5	Me	4-MeO-C ₆ H ₅	2	96	[47]
9	4-NO2-C6H5	Me	4-MeO-C ₆ H ₅	2	93	[47]
10	2-naphthyl	Me	4-MeO-C ₆ H ₅	2	92	[47]
$11^{[c]}$	C ₆ H ₅	CH ₂ CO ₂ Me	4-MeO-C ₆ H ₅	12	71	[47]
12	C_6H_5	Me	C_6H_5	2	82	[47]
13	C_6H_5	Me	4-Me-C ₆ H ₅	2	91	[47]
14	C_6H_5	Me	4-F-C ₆ H ₅	2	93	[47]
15	C_6H_5	Me	4-Cl-C ₆ H ₅	10	77	[47]
$16^{[c]}$	C_6H_5	Me	4-Br-C ₆ H ₅	24	59	[47]
17	C_6H_5	Me	C ₆ H ₅ CH ₂	4	87	[47]

Table 11. RA of aromatic ketones with amines in water. [^[a]

[a] Reaction conditions: ketone (2.5 mmol), amine (5 mmol), HCOOH/HCOONa solution (pH 4.8, 4 mL), 80°C. [b] Isolated yield. [c] S/C=200.

Table 12. RA of aliphatic ketones with amines in water. [[a]]

R ¹ +	$\frac{R^{2}}{H} N^{-} R^{3} \frac{33 (0.05 \text{ mol}\%)}{HCOOH/HCOONa, H_{2}O}$ pH = 4.8, 80 °C	$\mathbb{R}^{2}_{N}\mathbb{R}^{3}$			
Entry	R^1	R^2/R^3	Time [h]	Yield [%] ^[b]	Ref.
1	C ₆ H ₅ CH ₂ CH ₂	4-MeO-C ₆ H ₅ /H	2	98	[47]
2	$C_6H_5CH_2CH_2$	C ₆ H ₅ /H	2	99	[47]
3	$C_6H_5CH_2CH_2$	$4 - Me - C_6 H_5 / H$	2	98	[47]
4	$C_6H_5CH_2CH_2$	$4-F-C_6H_5/H$	2	98	[47]
5	$C_6H_5CH_2CH_2$	$4-Cl-C_6H_5/H$	2	99	[47]
$6^{[c]}$	$C_6H_5CH_2CH_2$	$4\text{-Br-C}_6\text{H}_5/\text{H}$	2	96	[47]
7	$C_6H_5CH_2CH_2$	$4-CF_3-C_6H_5/H$	2	79	[47]
8	$C_6H_5CH_2CH_2$	$C_6H_5CH_2/H$	2	97	[47]
9	$C_6H_5CH_2CH_2$	$CH_{3}(CH_{2})_{10}CH_{2}/H$	2	54	[47]
$10^{[c]}$	$C_6H_5CH_2CH_2$	$CH_3(CH_2)_6CH_2/H$	6	85	[47]
11	$C_6H_5CH_2CH_2$	-(CH ₂) ₆ -/H	48	52	[47]
$12^{[d]}$	$C_6H_5CH_2CH_2$	C_6H_5/Me	24	64	[47]
$13^{[c]}$	C ₆ H ₅ CHCH	4-MeO-C ₆ H ₅ /H	4	93	[47]
14	Me	$4-\text{MeO-C}_6\text{H}_5/\text{H}$	2	98	[47]
15	$CH_3CH_2CH_2$	4-MeO-C ₆ H ₅ /H	2	88	[47]
16	$CH_3(CH_2)_4CH_2$	$4-\text{MeO-C}_6\text{H}_5/\text{H}$	2	98	[47]

[a] Reaction conditions: ketone (5 mmol), amine (10 mmol), HCOOH/HCOONa solution (pH 4.8, 8 mL), 80 C. [b] Isolated yield. [c] S/C=1000. [d] pH=5.0.

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R ¹ →H	+ R ² N ⁻ R ³	33 (0.05 mol%) HCOOH/HCOONa, I	H ₂ O	R ² N	R ³
		pH = 4.8, 80 °C		ix.	
Entry	\mathbb{R}^1	R^2/R^3	Time [h]	Yield [%] ^{[[b]}]	Ref.
1	C ₆ H ₅	4-MeO-C ₆ H ₅ /H	2	98	[47]
$2^{[c]}$	C ₆ H ₅	4-MeO-C ₆ H ₅ /H	48	95	[47]
3	4-Me-C ₆ H ₅	4-MeO-C ₆ H ₅ /H	2	98	[47]
4	4-MeO-C ₆ H ₅	4-MeO-C ₆ H ₅ /H	2	95	[47]
5	4-F-C ₆ H ₅	4-MeO-C ₆ H ₅ /H	2	97	[47]
6	4-Cl-C ₆ H ₅	4-MeO-C ₆ H ₅ /H	2	79	[47]
7	4-Br-C ₆ H ₅	4-MeO-C ₆ H ₅ /H	2	92	[47]
8	4-CF ₃ -C ₆ H ₅	4-MeO-C ₆ H ₅ /H	2	96	[47]
9	$4-NO_2-C_6H_5$	4-MeO-C ₆ H ₅ /H	4	97	[47]
10	3-Br-C ₆ H ₅	4-MeO-C ₆ H ₅ /H	2	97	[47]
11	C ₆ H ₅	C ₆ H ₅ /H	5	97	[47]
12	C ₆ H ₅	4-Me-C ₆ H ₅ /H	2	94	[47]
13	C_6H_5	4-F-C ₆ H ₅ /H	2	98	[47]
$14^{[d]}$	C ₆ H ₅	4-Br-C ₆ H ₅ /H	2	95	[47]
15	C ₆ H ₅	C ₆ H ₅ CH ₂ /H	2	95	[47]
16	C ₆ H ₅	CH ₃ (CH ₂) ₆ CH ₂ /H	2	97	[47]
$17^{[d]}$	C_6H_5	CH ₃ (CH ₂) ₁₀ CH ₂ /H	2	83	[47]
$18^{[d]}$	C ₆ H ₅	-(CH ₂) ₆ -/H	4	93	[47]
$19^{[d]}$	C ₆ H ₅	C_6H_5/Me	4	72	[47]
$20^{[d]}$	C.H.	C _c H _c CH _o /Me	5	98	[47]

Table 13. RA of aldehydes with amines in water.^{[[a]}

[a] Reaction conditions: Aldehyde (5 mmol), amine (10 mmol), HCOOH/ HCOONa solution (pH 4.8, 8 mL), 80 C. [b] Isolated yield. [c] pH 4.6, S/ C=100000, 48 h; yield determined by ¹H NMR spectroscopy. [d] S/ C = 1000.

95% yield in 48 h. This is the highest S/C ratio ever reported 332 for RA reactions (Table 13, entry 2). 333

3.4. RA of Levulinic Acids 334

More challenging applications of the iridacycle catalysts have 335 been demonstrated in the RA of levulinic acid (LA) to form pyr-336 rolidinones by TH in water.^[49] LA can be obtained by simple 337 acidic dehydration of renewable carbohydrates and has been 338 identified as a biomass-derived platform chemical. The RA of 339 LA with formic acid as a hydrogen source would be ideal because 340 formic acid is a by-product during the production of LA. 341

By using our iridacycle catalysts, we disclosed the first true 342 TH system for RA of LA to produce pyrrolidinones using for-343 mic acid as the hydrogen source. As with the RA reactions out-344 lined above, this reaction was also affected strongly by solution 345 pH; pH 3.5 was optimum for 24-catalysed RA of LA with para-346 anisidine. As seen from the results in Table 14, RA afforded T5474 good yields for a range of aromatic amines. In particular, those 348 with relatively electron-donating substituents gave higher yields 349 than those with electron-withdrawing substituents. 350

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\searrow	$\bigcup_{OH + R^1 - NH_2}^{O} \frac{24}{HC}$	(0.05 mol%) COOH/HCOONa, = 3.5, 80 °C	H ₂ O R ¹ -N	5
Entry	\mathbb{R}^1	Time [h]	Yield $[\%]^{[b]}$	Ref.
1	4-MeO-C ₆ H ₅	2	94	[49]
2	4-Me-C ₆ H ₅	2	93	[49]
3	C ₆ H ₅	2	91	[49]
4	4-F-C ₆ H ₅	4	88	[49]
5	4-Cl-C ₆ H ₅	4	73	[49]
6	$4-Br-C_6H_5$	4	86	[49]
7	4-OCF ₃ -C ₆ H ₅	4	72	[49]
$8^{[c]}$	3-MeO-C ₆ H ₅	12	76	[49]
9	3,4-(Me)2-C6H5	12	82	[49]
10	C ₆ H ₅ CH ₂	4	86	[49]
11	4-MeO-C ₆ H ₅ CH ₂	4	94	[49]
12	3-MeO-C ₆ H ₅ CH ₂	12	94	[49]
$13^{[d]}$	2-MeO-C ₆ H ₅ CH ₂	24	96	[49]
14	3,4-(MeO) ₂ -C ₆ H ₅ CH	[₂ 24	94	[49]
15	4-F-C ₆ H ₅ CH ₂	12	91	[49]
16 ^[e]	CH ₃ (CH ₂) ₆ CH ₂	12	88	[49]
17 ^[f]	$CH_3(CH_2)_9CH_2$	12	73	[49]

Table 14. RA of LA in water with iridacycle 24.^{[[a]]}

[a] Reaction conditions: LA (3.2 mmol), amine (8.6 mmol), HCOOH/ HCOONa solution (pH 3.5, 3 mL), 80 C. [b] Isolated yield. [c] S/C=1000. [d] S/C=200. [e] pH=4.5 and S/C=500. [f] MeOH was used as a solvent, azeotropic HCOOH/Et₃N as a hydrogen source, with S/C=500.

Table 15. RA of 5-oxohexanoic acid in water with 24.^[a]

	O OH + R ¹ -NH ₂	24 (0.05 mol%) HCOOH/HCOONa pH = 3.5, 80 °C	, H ₂ O R ¹ -N	\supset
Entry	R^1	Time [h]	Yield $[\%]^{[b]}$	Ref.
1	4-MeO-C ₆ H ₅	12	84	[49]
2	$4\text{-}\text{F-}\text{C}_6\text{H}_5$	12	97	[49]
3	4-MeO-C ₆ H ₅ CH ₂	24	82	[49]
4	4-F-C ₆ H ₅ CH ₂	12	81	[49]
5 ^{[[c]]}	CH ₃ (CH ₂) ₆ CH ₂	12	86	[49]

[a] For reaction conditions, see Table 14. [b] Isolated yield. [c] S/C=500.

The utility of this catalytic system was further demonstrat- 351 ed by the reaction of 5-oxohexanoic acid with various amines 352 to produce six-membered heterocycles; another class of impor- 353 tant heterocycle compounds.^[49] The results are summarised in 354 Table 15. 35**T15**

4. Concluding Remarks

356

In the past ten years or so, we have developed several catalytic 357 systems for aqueous-phase TH and ATH of carbonyl 358

compounds, starting with Novori-Ikariya-type catalysts. 359 Nitrogen heterocycles were also found to be reducible. During 360 the course of our studies, a new class of catalysts, iridacycles, 361 were found. These iridacycles have since found broad applica-362 363 tions in catalysis. Of relevance to the objective of this article is that they are capable of catalysing aqueous-phase TH of car-364 bonyls and RA reactions, including extension into biomass-365 derived platform molecules. A chiral version would certainly 366 make these iridacycles more attractive. Throughout our 367 endeavour, water is shown to be an enabling medium for TH 368 reactions of various features. Not only can it accelerate reduc-369 tion, it also allows one to control the reaction through the pH. 370 Performing optimally only in a certain pH window in water, 371 372 Novori-Ikariya-type catalysts and iridacycles provide illumi-

nating examples.

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