1	Title Page
2	High levels of heterogeneity in diazotroph diversity and activity within a putative hotspot for
3	marine nitrogen fixation
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23 Abstract

24 Australia's tropical waters represent predicted "hotspots" for nitrogen (N_2) fixation based on 25 empirical and modelled data. However, the identity, activity and ecology of diazotrophs within this region are virtually unknown. By coupling DNA and cDNA sequencing of 26 27 nitrogenase genes (*nifH*) with size fractionated N_2 fixation rate measurements, we elucidated 28 diazotroph dynamics across the shelf region of the Arafura and Timor Seas (ATS) and 29 oceanic Coral Sea during Austral spring and winter. During spring, Trichodesmium 30 dominated ATS assemblages, comprising 60% of nifH DNA sequences, while Candidatus Atelocyanobacterium thalassa (UCYN-A) comprised 42% in the Coral Sea. In contrast, 31 winter the relative abundance of heterotrophic unicellular diazotrophs 32 during (δ -proteobacteria and γ -24774A11) increased in both regions, concomitant with a marked 33 decline in UCYN-A sequences, whereby this clade effectively disappeared in the Coral Sea. 34 Conservative estimates of N₂ fixation rates ranged from < 1 to 91 nmol L⁻¹ d⁻¹, and size 35 fractionation indicated that unicellular organisms dominated N₂ fixation during both spring 36 37 and winter, but average unicellular rates were up to 10-fold higher in winter than spring. 38 Relative abundances of UCYN-A1 and γ -24774A11 *nifH* transcripts negatively correlated to 39 silicate and phosphate, suggesting an affinity for oligotrophy. Our results indicate that 40 Australia's tropical waters are indeed hotspots for N₂ fixation, and that regional physicochemical characteristics drive differential contributions of cyanobacterial and 41 42 heterotrophic phylotypes to N₂ fixation.

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Keywords: *nifH* amplicon sequencing / seasonal nitrogen fixation / tropical ocean /
heterotrophic diazotrophs

46 Subject category: Microbial ecology and functional diversity of natural habitats

47 Running title: Diazotroph dynamics in the tropical Australian ocean

49 INTRODUCTION

50 Biological nitrogen (N_2) fixation is a fundamental process within the ocean, helping to 51 alleviate nitrogen limitation, thereby supporting primary production and the sequestration of 52 carbon to the deep sea (Sohm et al. 2011; Karl et al. 2012). N₂ fixation is mediated by a diverse range of microorganisms (Zehr et al., 2003), including the photoautotrophic 53 54 cyanobacterium Trichodesmium, as well as unicellular cyanobacteria, diatom-associated 55 cyanobacteria and heterotrophic bacteria, all of which are distributed across tropical and 56 subtropical latitudes (Capone et al., 1997, 2005; Montoya et al., 2004; Moisander et al., 2008, 2010, 2014; Foster et al., 2009). 57

58 High rates of marine N₂ fixation have been observed in Australia's tropical waters (Montoya et al., 2004) and N_2 fixation rate models predict rates sometimes exceeding 100 $\mu mol~m^{-2}~d^{-1}$ 59 in this region (Luo et al., 2014). Additionally, ecosystem models predict cyanobacterial 60 61 diazotrophs will be abundant in northern Australian waters (Monteiro et al., 2010). Indeed, 62 Trichodesmium has long been recognised as an important member of the phytoplankton in 63 this region (Hallegraeff and Jeffrey, 1984; Burford et al., 1995, 2009), and unicellular 64 diazotrophs are assumed to be highly active here as well (Montoya et al., 2004). However, 65 compared to the South Pacific Ocean, where unicellular diazotrophs including Candidatus Atelocyanobacterium thalassa (UCYN-A), Crocosphaera watsonii, and the γ -proteobacterial 66 67 clade γ -24774A11 are known to be abundant (Moisander et al., 2010, 2014), we currently 68 lack any detailed understanding of patterns in the diversity, activity and ecology of 69 diazotrophs within tropical Australian waters.

We surveyed two distinct oceanographic provinces in northern Australia, which play
important roles in global climate and ocean circulation. These include the semi-enclosed
Arafura and Timor shelf sea regions (ATS), which form part of the Indian Pacific Warm Pool

(Alongi et al., 2011), and the open ocean Coral Sea, where the South Pacific western 73 boundary current originates (Qu and Lindstrom, 2002). The ATS is considered autotrophic 74 75 (McKinnon et al., 2011) and highly productive, particularly during the tropical dry season 76 (Austral winter) (Alongi et al., 2011), despite relatively low surface nitrogen concentrations (< 2 µM nitrate) and no deep-water reservoir of nutrients (Lyne and Hayes, 2005). Annual 77 primary production in the Coral Sea is relatively low and nitrogen limitation is predicted 78 79 (Condie and Dunn, 2006), with the upper 100 m of the water column being highly oligotrophic throughout the year (Lyne and Hayes, 2005). 80

81 N_2 fixation has been found to be a significant biogeochemical feature of this important region of the ocean (Montoya et al., 2004; Luo et al., 2014), but we observed substantial 82 physicochemical variability, manifest in differential temperature and salinity signatures and 83 84 nutrient availability, between the ATS and Coral Sea, which may influence the relative 85 importance of diazotroph activity, particularly given the highly dynamic nature of diazotroph communities (Robidart et al., 2014). By combining nifH sequencing and size fractionated 86 $^{15}N_2$ rate measurements, we assessed spatial and temporal patterns in the diversity and 87 88 activity of N_2 fixing bacteria across this region, with the aim of characterising the dynamics of diazotrophy within this putative global N₂ fixation hotspot. 89

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92 MATERIALS AND METHODS

93 Sample Collection

Sampling was performed during two voyages aboard the *R/V Southern Surveyor*, consisting
of a 2500 km transect from Darwin to Cairns conducted in the Austral spring (October 2012;

96	ss2012_t07; Figure 1A), and a 5000 km transect from Broome to Brisbane during the Austral
97	winter (July-August; ss2013_t03; Figure 1B). October marks the beginning of the tropical
98	wet season, while the July-August period corresponds to the middle of the dry season.

99 Seawater was sampled daily at dawn during both transects for diazotroph diversity (DNA) 100 and gene expression (cDNA) analyses and N₂ fixation rate measurements, as well as mid-101 afternoon for analysis of diazotroph diversity (DNA) only, resulting in stations separated by 102 100 - 300 km. Samples were collected from the surface at all stations, and the chlorophyll 103 maximum (cmax) when a fluorescence peak was discernible in the water column (see 104 Supplementary Information).

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106 N₂ fixation rates

Net ¹⁵N₂ assimilation (Montoya et al., 1996) was measured to obtain estimates of N₂ fixation 107 by the whole community (WC) and $< 10 \,\mu m$ unicellular size fraction (USF) of diazotrophs as 108 109 previously described (Church et al. 2009; see Supplementary Information). Experiments 110 conducted with surface and cmax samples were incubated at in situ temperature and light levels for 24 h and terminated by filtration (see Supplementary Information). Assimilation 111 rates were calculated as previously described (Montoya et al. 1996), based on a theoretical 112 enrichment of ca. 8 atom% $^{15}\mathrm{N}_2$, and are considered conservative estimates of N_2 fixation due 113 to the known incomplete dissolution of the ¹⁵N₂ gas bubble (Mohr et al., 2010). 114

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116 Nucleic acid collection and extraction

At each station, 4-8 L of seawater was filtered through 0.22 μm Sterivex filter units (EMD
Millipore, Billerica, MA, USA). Filters were immediately frozen in liquid nitrogen and stored

at -80°C. Community DNA was extracted using the PowerWater DNA Extraction Kit
(MoBio Laboratories, Carlsbad, CA, USA) according to the manufacturer's instructions,
including an additional 10 min heating step with solution PW1 to ensure complete cell lysis.
DNA yield was quantified using a Broad Range DNA QubitTM Assay (Invitrogen, Carlsbad,
CA, USA) with a QubitTM 2.0 Fluorometer.

124 RNA samples were collected from all N_2 fixation incubation stations. Seawater (1-2 L) was 125 filtered through a 0.22 µm Durapore membrane filter (Millipore) within 15 minutes of 126 collection, after which RNAlater solution (300 µl; Ambion, Austin, TX, USA) was added and 127 filters were frozen in liquid nitrogen and stored at -80°C. Total RNA was extracted as 128 previously described (Frias-Lopez et al. 2008; Stewart et al. 2010; see Supplementary 129 Information).

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nifH PCR amplification and amplicon pyrosequencing

A nested PCR protocol was used to amplify an ~359 bp region of the nitrogenase gene, using the degenerate primers: nifH3, nifH4, nifH1 and nifH2 (Zani et al., 2000; Zehr and Turner, 2001). Equal volumes of DNA or cDNA were used as template (2 μ l) in the first stage of the reaction, and 1 μ l of PCR product was used as template in the second stage, using previously described reaction conditions (Messer et al. 2015; see Supplementary Information).

The *nifH* amplicons were sequenced using the 454 FLX Titanium pyrosequencing platform
(Roche, Molecular Research LP, USA) following an additional 10 PCR cycles with custom
barcoded nifH1 and nifH2 primers under the same PCR reaction conditions (Dowd et al.
2008; Farnelid et al. 2011, 2013; Messer et al. 2015; Supplementary Information). Raw
sequences were quality filtered, whereby sequences with a quality score < 25 and reads < 200

142 bp long were removed, and clustered into operational taxonomic units (OTUs) at 95% 143 sequence identity (Penton et al., 2013) using UCLUST (Edgar, 2010) and rarefied to the 144 lowest number of sequences per sample (872 sequences) in QIIME (Caporaso et al., 2010). 145 To assign putative taxonomy, representative sequences from *nifH* OTUs were aligned to the closest sequence in a custom *nifH* database (updated in April 2014) (Zehr et al., 2003; Heller 146 147 et al., 2014) and placed in a phylogenetic tree using the maximum parsimony tool in ARB 148 (Westram et al., 2011). Translated *nifH* sequences were compared to the Ribosomal Database 149 Project's *nifH* protein database using FrameBot from the Fungene pipeline (Fish et al., 2013; 150 Wang et al., 2013).

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152 Statistical analyses

Rarefied sequence data were square-root transformed and a resemblance matrix generated using Bray-Curtis similarity. Environmental parameters (Supplementary Table 1) were normalised and a resemblance matrix generated using Euclidean distance (Clarke and Warwick, 2001). Statistical analyses, including analysis of similarities (ANOSIM; Clarke 1993), distance based linear modelling (DistLM) and distance-based redundancy analysis (dbRDA) (Legendre and Anderson, 1999; McArdle and Anderson, 2001), were performed in the PRIMER + PERMANOVA software package (v6; Clarke & Warwick 2001).

In order to identify associations (linear regression, p) between N₂ fixation rates, expressed *nifH* OTUs and environmental parameters, we calculated the maximal information coefficient (MIC) between all variable (n = 255) pairs from all samples (n = 28) using the MINE statistics package (Reshef et al., 2011). Strongly co-linear variables (p > 0.9 or > -0.9) were removed from the analyses. After correction for multiple testing (Benjamini and Hochberg, 1995), statistically significant co-occurrence relationships (P < 0.01; MIC > 0.473) between pairs of variables were input into Cytoscape v 2.8 (Smoot et al., 2011) and used to generate

167 network diagrams for visualisation.

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170 RESULTS

171 Physicochemical characteristics of the ATS and Coral Sea

172 During both spring and winter, ATS waters were warmer, exhibited lower salinities and had 173 higher nutrient concentrations and phytoplankton abundances than Coral Sea waters (Figure 174 1, Supplementary Table 1). Mean sea surface temperature (SST) in the ATS was 27.4 °C in 175 spring and 26.4 °C in winter, compared with 26.5 °C and 24.3 °C in the Coral Sea. During both sampling periods, salinity increased from 33.5 to > 35 PSU at a longitude of 176 177 approximately 143 °E, reflecting the transition from the shelf region (ATS) to the open ocean 178 (Coral Sea) (Figure 1). Mean nitrate and phosphate concentrations were greatest during the 179 spring, being 3.5 and 3.8 times greater in the ATS than the Coral Sea respectively, with this 180 discrepancy falling to 2.6 and 1.8 times in the winter. N:P ratios were always < 16:1 181 indicating an excess of phosphate compared to nitrate and ammonium, particularly in surface 182 waters (Supplementary Table 1). We also observed intra-region nutrient differences, for example silicate concentrations were highest in the eastern region of the ATS in spring (5.97 183 184 μ umol L⁻¹; SS7), and the western region in winter (5.74 μ mol L⁻¹; WS1; Figure 1). Pigment 185 analyses indicated that phytoplankton communities within the ATS were dominated by 186 microphytoplankton such as diatoms, whereas pico- and nanophytoplankton were relatively 187 more abundant in the Coral Sea (Supplementary Table 1).

 $189 N_2$ fixation rates

 N_2 fixation rates within the WC and USF ranged from < 1 to 91 nmol L⁻¹ d⁻¹, and at times 190 displayed substantial variability between the ATS and Coral Sea and between seasons (Figure 191 2). During the Austral spring, mean WC N₂ fixation rates (\pm sd.) were 23 \pm 32 nmol L⁻¹ d⁻¹ 192 compared to 6 ± 7 nmol L⁻¹ d⁻¹ in the USF. However, it is notable that the majority of N₂ 193 fixation occurred within the $< 10 \ \mu m$ size class at three out of four ATS sites (Figure 2A). 194 Within ATS waters there was a peak in N₂ fixation at site SS5 where WC rates reached 71 \pm 195 10 nmol L⁻¹ d⁻¹, significantly greater than the USF rates of 16 ± 3 nmol L⁻¹ d⁻¹ (One-way 196 ANOVA, Tukey HSD, P < 0.05). This was the only ATS site sampled for N₂ fixation rate 197 measurements where a cmax was observed, and rates within it were comparatively low at ≤ 4 198 nmol $L^{-1} d^{-1}$ for both size classes (Figure 2A). 199

Spring N₂ fixation rates in the Coral Sea were lower than in the ATS, with mean rates of 13 ± 5 (WC) and 7 ± 6 nmol L⁻¹ d⁻¹ (USF). However, within this region the contribution made by unicellular organisms was higher, being ~50% of the total. Rates in Coral Sea surface waters reached a maximum of 18 ± 2 (WC) and 14 ± 13 (USF) nmol L⁻¹ d⁻¹ at SS16 (Figure 2A). N₂ fixation rates in the cmax were at times higher than in the surface waters, reaching up to 24 ± 0.6 (WC) and 18 ± 5 (USF) nmol L⁻¹ d⁻¹ (Figure 2A).

A marked increase in N₂ fixation was observed in both regions during the Austral winter (Figure 2B). Within ATS surface waters, WC N₂ fixation increased almost three-fold in winter, with a mean of 60 ± 15 nmol L⁻¹ d⁻¹ across the six sites. Furthermore, the majority of this activity was attributable to the USF (One-way ANOVA, Tukey HSD, P > 0.05), such that mean USF rates increased almost ten-fold in winter to 57 ± 23 nmol L⁻¹ d⁻¹. Maximum rates recorded in the ATS surface waters were similar to the spring (Figure 2). Only one winter ATS site had a discernible cmax and here, in contrast to the spring, N₂ fixation was also relatively high (Figure 2B).

Mean winter N₂ fixation rates in the Coral Sea were four and seven-times higher than during the spring in the WC ($56 \pm 32 \text{ nmol } \text{L}^{-1} \text{ d}^{-1}$) and USF ($47 \pm 28 \text{ nmol } \text{L}^{-1} \text{ d}^{-1}$) respectively. While mean N₂ fixation rates were again lower in the Coral Sea than the ATS, the maximum rates recorded in Coral Sea surface waters were the highest observed, reaching 91 ± 7 (WC) and 71 ± 18 nmol L⁻¹ d⁻¹ (USF) at station WS16, towards the southern end of the transect (Figure 2B). During the winter, four Coral Sea sites had discernible cmax, where N₂ fixation rates were also relatively high (Figure 2B).

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222 Diazotroph population dynamics in ATS and Coral Sea waters

A total of 174 *nifH* OTUs were resolved from our samples. Phylogenetic analysis revealed the presence of photoautotrophic, photoheterotrophic and heterotrophic diazotrophs during both transects, and these clustered with environmental *nifH* sequences originating from the Pacific and Atlantic Oceans and the South China Sea (Supplementary Figure 1).

227 Three nifH OTUs were dominant across the data-set, comprising 67% of total nifH DNA sequences retrieved. These included OTU6956, which was 100% identical to Trichodesmium 228 229 erythraeum (IMS 101; hereafter Trichodesmium) in nifH amino acid (aa) composition, 230 OTU6352, which was 100% identical in aa composition to UCYN-A and clustered with the 231 UCYN-A1 ecotype (Supplementary Figure 1), and OTU4713, which shared 91% similarity in aa composition to the y-proteobacteria Pseudomonas stutzeri, and clustered within the 232 γ -24774A11 clade (Moisander et al., 2008, 2014). Despite the ubiquity of these dominant 233 234 OTUs, significant partitioning of diazotroph population structure was observed between the

ATS and Coral Sea (Figure 3; ANOSIM, Global R: 0.471, P < 0.001) and between the Austral spring and winter sampling (ANOSIM, Global R: 0.399, P < 0.001). No significant differences were observed between surface and cmax diazotroph communities.

During the Austral spring, *Trichodesmium* comprised 60% of *nifH* sequences in the ATS, and at some sites reached over 80% of sequences in both the surface and cmax (SS3 and SS5 respectively; Figure 3A, B). γ -24774A11 was also detected throughout the ATS during the spring, where it represented 14% of total sequences, and reached a maximum abundance of over 40% of the diazotroph population at SS8 and SS6 (surface and cmax respectively; Figure 3A, Supplementary Figure 2).

In contrast, the Coral Sea was dominated by the unicellular cyanobacterium UCYN-A during the spring. UCYN-A1 was conspicuously absent from the ATS samples, but comprised 42% of the total *nifH* sequences in the Coral Sea, with a maximum abundance of 77% at SS16 (Figure 3A). Like the ATS, γ -24774A11 was also a significant feature of Coral Sea springtime diazotroph populations, where it constituted 21% of sequences, and reached up to 34 and 46% of diazotrophs at the surface and cmax at SS13 and SS14 respectively (Figure 3A; Supplementary Figure 2).

251 During the Austral winter, *Trichodesmium* still generally dominated throughout the ATS, 252 representing 35% of total *nifH* sequences, but there was an increase in the relative number of heterotrophic diazotrophs compared to the spring (Figure 3). The putative heterotrophic δ -253 proteobacterial OTUs 359, 7075, and 811, which shared between 96 and 99% aa identity to 254 255 Desulfuromonas acetoxidans, collectively comprised 19% of total sequences, and up to 55% 256 of the diazotroph population in ATS surface waters (Figure 3C; Supplementary Figure 2). 257 Notably these OTUs only accounted for 7% of diazotrophs in the ATS during the spring 258 (Supplementary Figure 2).

Sequences associated with heterotrophic diazotrophs also increased during the winter in the Coral Sea, relative to the spring (Figure 3C, D). However, here they were primarily associated with γ -24774A11, which represented 34% of wintertime Coral Sea *nifH* sequences, reaching a maximum of 64% of diazotrophs at the surface, and 43% at the cmax (Figure 3C, D). In contrast to the spring sampling where they dominated, UCYN-A sequences only comprised 3% of Coral Sea diazotrophs during the winter and remained absent from the ATS (Supplementary Figure 2).

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267 Patterns in *nifH* expression

268 Consistent with DNA profiles, *Trichodesmium*, UCYN-A1 and γ -24774A11 dominated *nifH* 269 transcripts across the data-set (Figure 4), and significant differences were observed between 270 transcription profiles from the ATS and Coral Sea (ANOSIM, Global R: 0.595, P < 0.01). In 271 ATS surface waters, *Trichodesmium* (OTU6956) and γ-24774A11 (OTU4713) dominated nifH transcripts during the spring. Specifically, Trichodesmium comprised up to 86% of 272 273 transcripts at sites where high rates of N₂ fixation attributable to the > 10 μ m size class were 274 recorded (SS5, Figure 4A). γ -24774A11 represented up to 52% of transcripts during the spring transect (SS8; Figure 4A), even though unicellular N₂ fixation was recorded at 275 relatively low levels (< 1 nmol $L^{-1} d^{-1}$; Figure 2A). 276

Within Coral Sea surface waters in spring, *nifH* transcripts mainly consisted of *Trichodesmium*, UCYN-A and γ -24774A11 (Figure 4A). While *Trichodesmium* transcripts decreased throughout the Coral Sea, UCYN-A1 transcripts increased from 4 to 39%, towards southern latitudes where the peak in Coral Sea springtime N₂ fixation was observed (Figure 4A; Figure 2A). Transcripts associated with γ -24774A11 were most abundant in cmax samples, where they represented up to 71% of expressed *nifH* genes (Figure 4B), although cmax N₂ fixation rates were relatively low (< 1 nmol L⁻¹ d⁻¹; Figure 2A).

Similar to the spring sampling, Trichodesmium transcripts were often highly abundant in 284 ATS surface waters during the winter, accounting for 98% of transcripts at station WS3 285 286 (Figure 4C). Two additional OTUs, OTU1924 and OTU798, also constituted a significant 287 fraction (up to 76 and 67%) of transcripts in the ATS, however these OTUs shared only 20 288 and 25% aa identity respectively with available *nifH* sequences, with closest matches to 289 members of the Firmicutes. Interestingly, transcripts associated with γ -24774A11 and 290 UCYN-A were not detected in the ATS during the winter (Figure 4C) despite high unicellular 291 N₂ fixation rates (Figure 2B).

292 In contrast to the spring, where cyanobacterial transcripts were dominant, *nifH* expression in Coral Sea surface waters was more variable during the winter. For example, both γ -293 294 24774A11 and OTU7453, which shared 98% as identity with the cyanobacterium *Mastigocladus laminosus*, contributed up to \sim 56% of *nifH* transcripts at stations where 295 296 unicellular N₂ fixation rates were high (WS15 and WS16 respectively; Figure 4C; Figure 2B). 297 Notably, no transcripts associated with UCYN-A ecotypes were detected in Coral Sea surface 298 waters during the winter, but all three ecotypes were present in transcripts from the cmax, along with *Trichodesmium*, γ -24774A11, and some additional cyanobacterial diazotrophs 299 (Figure 4C, D). 300

301

302 Potential drivers of diazotroph populations and activity

Analysis of the diazotroph populations using both *nifH* DNA (Figure 5A) and cDNA (Figure
5B) data indicated clear separation between ATS and Coral Sea regions. DistLM identified

305 SST and salinity as significant explanatory variables (P < 0.05), reflecting the increase in 306 salinity and decrease in temperature associated with the Coral Sea region (Figure 1, Figure 307 5A, B; Supplementary Table 2). Dissolved silicate and phosphate were also significant (P < P308 0.05) explanatory variables in both the DNA and cDNA DistLM analysis, as were the 309 photoprotective and photosynthetic carotenoids alloxanthin and fucoxanthin (P < 0.05), all of 310 which exhibited higher relative concentrations in the ATS compared with the Coral Sea. 311 Interestingly, dissolved oxygen and divinyl chlorophyll a were significant (P < 0.05) 312 explanatory variables within the *nifH* DNA model but not within the *nifH* cDNA model 313 (Figure 5A, B). However for both models, > 50% of the total variation between diazotroph 314 populations remained unexplained.

Network analysis revealed that N₂ fixation by the USF exhibited a significant, but only moderately strong, negative correlation to ammonium concentration (p = -0.43; MIC strength = 0.66; P < 0.01), and was also negatively correlated to the relative abundance of UCYN-A2 transcripts (p = -0.33; MIC strength = 0.71; P < 0.01), possibly reflecting the relatively low abundance of this ecotype (Supplementary Figure 2). N₂ fixation was not significantly correlated to any other environmental parameters or *nifH* OTUs.

321 A number of *nifH* OTUs were negatively correlated with phosphate and silicate (Figure 6A, B), including two of the most abundant diazotrophs, OTU4713 from the γ -24774A11 clade, 322 323 and OTU6352 from the UCYN-A1 ecotype. These γ -24774A11 and UCYN-A1 OTUs were 324 also positively correlated to salinity, to each other, and a range of other *nifH* OTUS including 325 the UCYN-A2 (OTU83) and UCYN-A3 (OTU2020), and other γ -24774A11 OTUs 326 (OTU2710 and OTU454; Figure 6C, D; Supplementary Figure 1). No significant correlations 327 were observed between *nifH* transcripts and the concentration of dissolved inorganic nitrogen 328 species.

330

331 DISCUSSION

332 Identifying the factors that influence the composition and activity of diazotrophs is key to 333 understanding the relative importance of N_2 fixation on local and global scales (Zehr and 334 Kudela, 2011; Robidart et al., 2014). N₂ fixed by diverse diazotrophic taxa may have 335 different fates within the marine environment (Glibert & Bronk 1994, Mulholland 2007, 336 Foster et al. 2011, Karl et al. 2012, Benavides et al. 2013) and therefore characterisation of the composition of active N₂ fixing assemblages, combined with size fractionated N₂ fixation 337 rates, is necessary to determine the differential contribution of newly fixed N to pelagic 338 ecosystems. Here we report changes in the biogeographical distribution and activity of 339 340 diazotrophs across a broad tropical region, which has been identified as a potential global "hotspot" for marine N₂ fixation (Montoya et al., 2004; Monteiro et al., 2010; Luo et al., 341 342 2014).

Previously, Montoya et al. (2004) reported rates of USF N_2 fixation up to 480 nmol L⁻¹ d⁻¹ in 343 344 ATS waters (25 m below surface) during the Austral spring. Herein, we sampled during both spring and winter, at similar latitudes (within 0 - 1 °S) and longitudes (within 0.3 - 2 °E), yet 345 observed maximum USF rates that were substantially less than those reported by Montoya et 346 al. (2004). Recently, Raes et al. (2014) reported mean WC rates of ~36 nmol $L^{-1} d^{-1}$ within 347 348 the westerly region of the ATS during the Austral spring. While not directly comparable due 349 to methodological differences (Wilson et al., 2012), these values are in line with those we 350 measured in the same region during the Austral winter, suggesting relatively high rates are 351 maintained here across seasons. Based on methodological comparisons, there appears to be 352 no clear trend in the level of N₂ fixation rate underestimation using the Montoya et al. (1996) method, due to multiple factors influencing the dissolution of the ${}^{15}N_2$ bubble (Mohr et al., 2010; Großkopf et al., 2012). However, comparisons made in the North Pacific and Atlantic Oceans indicate that this method could lead to N₂ fixation underestimates of 50 % (Wilson et al. 2012; Benavides et al. 2013), or greater depending on the composition of the diazotroph community (Großkopf et al., 2012).

Compared with near surface waters of similar latitudes, including the tropical western South 358 Pacific (< 1 nmol $L^{-1} d^{-1}$; Moisander et al. 2010), western equatorial Pacific (< 40 nmol $L^{-1} d^{-1}$ 359 ¹; Bonnet et al. 2009), eastern tropical South Pacific (ca. $\leq 1 \text{ nmol } L^{-1} d^{-1}$; Dekaezemacker et 360 al. 2013), and the tropical South Pacific Gyre (< 3 nmol $L^{-1} d^{-1}$; Raimbault & Garcia 2008), 361 the maximum conservative rates of N₂ fixation reported here during the Austral winter (91 362 nmol L⁻¹ d⁻¹) are relatively high. Indeed, our observations, along with those previously 363 364 reported (Montoya et al., 2004; Raes et al., 2014) support the proposition that the tropical 365 waters of northern Australia are a "hotspot" of diazotroph activity within the Southern Hemisphere. However, our data also demonstrate significant temporal and spatial variability 366 367 in N₂ fixation in this region, which we propose is driven by the highly dynamic and heterogeneous nature of the resident diazotroph populations. 368

Across our data-set, the majority of N₂ fixation activity was observed within the USF, and USF N₂ fixation rates were greater in winter than spring, with mean rates ten-times higher in the ATS and seven-times greater in the Coral Sea. While it must be noted that *Trichodesmium* is known to release some of the N₂ it fixes as dissolved organic nitrogen (Glibert and Bronk, 1994), and therefore a proportion of ¹⁵N-N₂ fixed could have been transferred to the USF during the incubation, these increased USF rates occurred in parallel to an increase in the relative abundance of δ - and γ -proteobacterial *nifH* sequences and γ -24774A11 *nifH*

transcripts. This highlights the potential importance of heterotrophic diazotrophs tobiogeochemical cycling during the winter across these two quite different regions.

378 This study provides the first detailed characterisation of active diazotroph populations 379 throughout northern Australia. It must be noted that amplicon sequencing approaches can 380 only reconcile relative abundances, and therefore do not allow for the absolute quantification of colonial versus single-celled diazotrophs, and that it is difficult to directly equate 381 382 diazotroph communities to N_2 fixation activity. However, we identified a range of 383 photoautotrophic, photoheterotrophic and heterotrophic bacteria which share high similarities 384 in *nifH* sequences to those recovered from similar oceanic environments (e.g. Langlois et al. 2005; Bombar et al. 2013; Moisander et al. 2010; Thompson et al. 2014; Moisander et al. 385 386 2014).

387 Despite its shelf sea nature, ATS diazotroph communities typically resembled those of 388 similar latitudes, such as the tropical Atlantic Ocean, where Trichodesmium dominates 389 (Langlois et al., 2005; Foster et al., 2009; Goebel et al., 2010). However, heterotrophic groups were also a feature of ATS communities, and we observed a shift from 390 391 γ -proteobacterial to δ -proteobacterial phylotypes between spring and winter. Conversely, 392 Coral Sea communities contained a greater diversity of cyanobacterial phylotypes, including 393 ecotypes of UCYN-A alongside a lower frequency of *Trichodesmium* sequences, as well as 394 heterotrophic diazotrophs. The composition of Coral Sea diazotroph populations appears 395 similar to those found within the wider tropical and subtropical South Pacific (Moisander et al., 2010, 2014; Halm et al., 2012). However, a seasonal shift in the composition of Coral Sea 396 397 populations was also observed, such that the relative abundance of UCYN-A ecotypes 398 decreased while γ -24774A11 increased between spring and winter respectively. Previously, 399 Moisander et al. (2014) reported the distribution of γ -24774A11 to be ubiquitous and 400 relatively homogeneous in South Pacific surface waters during the Austral autumn. By 401 examining spatial and temporal patterns in diazotroph community dynamics, we show that 402 the distribution and relative abundance of γ -24774A11 is variable and that the significance of 403 this group may increase during the Austral winter.

404 Surprisingly, no significant differences between surface and cmax diazotroph communities were observed in the present study, with *Trichodesmium*, UCYN-A1 and γ -24774A11 405 406 sequences all detected down to 120 m below surface. Previously, Trichodesmium and 407 unicellular diazotrophs have been shown to have differential depth distributions in the western South Pacific Ocean, with *Trichodesmium* and γ -24774A11 most abundant in upper 408 409 euphotic zone waters and UCYN-A more abundant deeper within the water column 410 (Moisander et al., 2010, 2014). We found that all three of these groups were relatively 411 abundant in both surface and cmax waters depending on the sampling region and season. We 412 also detected *nifH* expression by *Trichodesmium* 90 m below surface, and UCYN-A1 and γ -24774A11 100 m below surface, indicating that all three groups were active at depth too. 413 414 This suggests that the physicochemical variables identified to be potential drivers of 415 diazotroph distribution in the present study, were most relevant over horizontal rather than 416 vertical scales, which could be due to similarities between surface and cmax physicochemical signatures (Supplementary Table 1). 417

The differences in diazotrophic taxa and N_2 fixation activity observed between the ATS and Coral Sea during both seasons are likely attributable to the observed physicochemical conditions, including higher SST, lower salinities and higher nutrient concentrations in the ATS compared with the Coral Sea, features which are characteristic of the study regions (Condie and Dunn, 2006; Alongi et al., 2011; Ceccarelli, 2011). In particular, SST was identified as a strong indicator of the dominant diazotrophic taxa in our study regions. We

observed that *Trichodesmium* dominated communities in the warmer waters of the ATS. 424 displaying maximum relative abundances when SST was > 27 °C, while UCYN-A dominated 425 communities in the cooler Coral Sea waters, although maximum relative abundances of 426 UCYN-A1 transcripts occurred when SST was ~26 °C during the spring. Previously, UCYN-427 A have been shown to occur at specific temperature optima between 24-26 $^{\circ}$ C in the western 428 429 South Pacific (Moisander et al., 2010, 2014). In contrast, during the cooler winter sampling, 430 when SST in the Coral Sea was closer to 24 °C, we observed an increase in relative abundances of y-24774A11, while the relative abundance of UCYN-A decreased 431 substantially. Maximum relative abundances of γ -24774A11 occurred where SST was ~25 432 °C, and maximum y-24774A11 nifH expression occurred at 25.8 °C, suggesting a 433 temperature optima around 25-26 °C for this group. Recently, the occurrence of the y-434 435 24774A11 clade has been found to be positively, non-linearly correlated with temperature, 436 with maximum abundances associated with surface waters > 26 °C (Moisander et al., 2014). 437 Overall, our data are consistent with the observed distributions of these organisms across a range of oceanic provinces (Capone et al., 1997; Mazard et al., 2004; Langlois et al., 2008; 438 Moisander et al., 2010), and supports previous findings that temperature is an important 439 440 determinant of diazotroph spatiotemporal dynamics.

Dissolved silicate and phosphate concentrations, and the concentration of the pigments 441 alloxanthin and fucoxanthin, were also identified as significant discriminating factors 442 443 explaining some of the heterogeneity between ATS and Coral Sea diazotroph populations. Whether these correlations indicate a direct or indirect effect on diazotroph abundance and 444 445 consequently N_2 fixation is unclear. Despite high silicate concentrations and pigment 446 indications of diatom dominated phytoplankton communities in the ATS, only one OTU 447 associated with the heterocystous cyanobacterial symbiont of diatoms, Richelia, was 448 observed in our sequence data, and this represented a total of only 6 *nifH* sequences (data not

shown). The significant negative correlation observed between silicate and UCYN-A1 and γ -449 24774A11 transcripts, and UCYN-A1 and fucoxanthin, could be indicative of shifting 450 phytoplankton communities between the ATS and Coral Sea, given that UCYN-A is known 451 452 to live in association with a prymnesiophyte host (Thompson et al., 2012; Hagino et al., 2013; Krupke et al., 2013). Currently, the lifestyle (e.g. free-living, particle attached, or symbiont) 453 454 of γ -24774A11 remains unknown (Langlois et al., 2015), however it has been speculated that 455 it may depend upon phytoplankton produced dissolved organic carbon (Moisander et al., 456 2012, 2014). While strongly co-linear variables were removed from our analyses, silicate was 457 inversely correlated to salinity and positively correlated to temperature, so therefore it could 458 also be indicative of a water mass tracer rather than a biological causation.

459 Conversely, phosphate availability is known to directly influence N_2 fixation and *nifH* 460 expression in natural populations of diazotrophs (Sañudo-Wilhelmy et al., 2001; Rees et al., 461 2006; Turk-Kubo et al., 2012), as well as the oceanic distribution of diazotrophs in general 462 (Sohm et al., 2011). In the present study, phosphate concentrations were relatively high (e.g. 463 0.27 and 0.26 μ mol L⁻¹) in the ATS where *Trichodesmium* and δ -proteobacterial dominated 464 communities were observed. In contrast, in the Coral Sea, where UCYN-A1 and γ -24774A11 dominated communities, phosphate concentrations were comparatively low (e.g. 0.09 and 465 466 0.07μ mol L⁻¹). This implies a potential role of phosphate limitation in the shift in diazotroph 467 community composition. UCYN-A1 and γ -24774A11 both appear to be broadly distributed throughout low nutrient marine waters (Thompson et al., 2014; Langlois et al., 2015), and it 468 469 has been hypothesised that these taxa thrive in oligotrophic conditions (Church et al., 2008; Krupke et al., 2014). In line with our findings in the ATS and Coral Sea, Moisander et al. 470 471 (2014) recently demonstrated that γ -24774A11 was significantly negatively correlated to 472 soluble reactive phosphorous in the western South Pacific. Taken together, our findings

suggest that UCYN-A and γ -24774A11 increase in relative abundance and activity when oligotrophic conditions prevail.

While no correlations were observed between the different diazotrophic groups and oxidised 475 476 forms of N, USF N₂ fixation rates exhibited a moderate negative correlation to ammonium 477 concentration, although this is unlikely to be due to an inhibitory effect, because 478 concentrations observed here were below those expected to inhibit N₂ fixation (Knapp, 2012). 479 It has been suggested that tropical Australian waters are constantly nitrogen limited (Condie 480 and Dunn, 2006) and across all sites and depths measured, N:P ratios indicated an excess of 481 phosphate relative to nitrate and ammonium (N:P \leq 6). This may confer a competitive 482 advantage to diazotrophic taxa (Moutin et al., 2008; Knapp, 2012), and suggests that N₂ 483 fixation could play an important role in helping to alleviate nitrogen limitation within this 484 region.

485 However, it is notable that much of the variability between ATS and Coral Sea *nifH* profiles remained unexplained in our analysis, and despite combined analyses and stringent 486 487 standardisation across samples, some rare OTUs in the DNA appeared highly active in the 488 cDNA, potentially indicating a disconnect between relative organismal abundance and N₂ 489 fixation activity at some locations. Therefore, other factors may have had a significant 490 influence on diazotroph distribution and activity, for instance, dissolved iron (dFe) availability is known to limit marine N₂ fixation (Sohm et al., 2011) and dFe additions can 491 492 stimulate diazotrophic activity (Moisander et al., 2012; Turk-Kubo et al., 2012). In the 493 western South Pacific ocean, Moisander et al. (2012) demonstrated that the abundances of 494 unicellular diazotrophs, including UCYN-A and γ -24774A11, increased substantially in response to dFe amendments, but also exhibited signs of iron and phosphorous co-limitation. 495 496 While we did not measure dFe throughout the ATS and Coral Sea during the present study,

497 previous evidence suggests that concentrations of particulate Fe are relatively high in the 498 Timor region of the ATS (Waite et al., 1995), but dFe concentrations may be relatively low 499 (Sohm et al., 2008), and Trichodesmium colonies have been shown to experience Fe 500 limitation in this region (Kustka et al., 2003). While this indicates that dFe availability could 501 have influenced diazotroph distributions during our study, additional work exploring dFe 502 dynamics, and the potential influence on diazotroph diversity and activity in the ATS and 503 Coral Sea, is required to further understand the mechanisms structuring diazotroph 504 populations and N₂ fixation throughout these regions.

505 Despite the relatively low concentrations of dissolved inorganic nitrogen, primary production 506 peaks in both the ATS and Coral Sea during the Austral winter (Lyne and Hayes, 2005; 507 Brewer et al., 2007). It was during this season we observed a substantial increase in both 508 unicellular N₂ fixation and the relative abundance of heterotrophic *nifH* sequences. Primary 509 production estimates from the winter sampling are detailed elsewhere (Robinson et al. In 510 Prep.), however using this data we calculated that wintertime USF in the ATS and Coral Sea 511 could supply up to 46 and 42 % respectively of the N required to sustain biomass assimilation 512 from measured primary production rates (assuming Redfield ratios for particulate matter). 513 Thus we propose that primary production here may be enhanced by the influx of newly fixed 514 N mediated by heterotrophic diazotrophs. While it has been argued that the abundances of 515 heterotrophic N₂ fixing bacteria in oceanic environments are not considered sufficient to account for measured rates of N₂ fixation (Turk-Kubo et al., 2013), a recent large-scale 516 517 analysis of the abundance and distribution of marine γ -proteobacterial *nifH*, indicates that 518 this phylotype could play a significant role in oceanic N_2 fixation (Langlois et al., 2015). It 519 must be noted that the fate of N fixed by marine heterotrophic diazotrophs has not yet been determined, and as such direct evidence of production supported by heterotrophic N2 fixation 520 521 is lacking. However, our limited data suggests that heterotrophic diazotrophs are an important

522 component of N_2 fixing populations throughout tropical northern Australia, and that they may 523 contribute to relatively high USF rates of N_2 fixation. Given the apparent importance of 524 heterotrophic diazotrophs in our study region, future research determining the ultimate fate of 525 N fixed by these heterotrophs will be valuable for estimating the extent to which these 526 populations ultimately influence pools of bioavailable N and support primary production.

527 Our study has shown that the composition and activity of diazotrophs in Australia's tropical 528 waters are highly variable across shelf and open ocean environments. Overall, our rate 529 measurements confirm that diazotroph activity is an important process here, but our 530 molecular and statistical analyses suggests that the distinct physicochemical characteristics of 531 these waters drive heterogeneity in populations of photoautotrophic, photoheterotrophic and 532 heterotrophic N₂ fixing bacteria. This heterogeneity in turn leads to substantial changes in 533 rates of N_2 fixation and the subsequent addition of newly fixed N to the ocean. As such, 534 spatial (even within relatively localized boundaries) and seasonal shifts in diazotroph 535 diversity and activity must be considered in future regional and global marine N cycle 536 budgets and modelling efforts. This can only be achieved by further attempts to more 537 precisely map marine diazotrophic processes with increased spatiotemporal resolution.

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548	Conflict of Interest Statement
549	The authors declare no conflict of interest.
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Figure 1. Physical and chemical characteristics of surface waters sampled within the ATS (stations SS1-8; WS1-12) and Coral Sea (stations SS9-17; WS13-18) during the (A) spring and (B) winter. Note the different scales within and between A and B.

Figure 2. Mean N₂ fixation rates (\pm s.e., n = 3) performed by the whole community (WC) and 800 < 10 µm unicellular size fraction (USF) at the surface and chlorophyll maxima during the spring (SS; A) and winter (WS; B) transects.

Figure 3. Relative abundance of *nifH* OTUs recovered from community DNA (% sequences) at the surface and chlorophyll maxima during the spring (SS; A & B) and winter (WS; C & D) transects. In parentheses are the closest cultured representatives that share \geq 90% amino acid identity with the detected sequences.

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Figure 4. Relative abundance of *nifH* transcripts detected in surface and chlorophyll maxima waters for spring (A & B respectively) and winter (C & D respectively) voyages.

Figure 5. Distance based redundancy analysis constrained by the significant (P < 0.05) explanatory environmental variables for the observed variation in *nifH* composition within (A) DNA and (B) cDNA profiles in spring (S) and winter (W).

Figure 6. Network analysis demonstrating significant (P < 0.01) associations only, between (A) phosphate concentrations and (B) silicate concentrations, and other environmental variables as well as the relative abundance of expressed *nifH* OTUs. In addition, significant (P < 0.01) associations between (C) OTU4713 of the γ -24774A11 clade and (D) OTU6352

⁸¹⁵ *Candidatus* Atelocyanobacterium thalassa (UCYN-A1), environmental data and the relative abundance of other expressed *nifH* OTUs are also shown. Circular nodes = *nifH* cDNA OTUs, the relative abundance is demonstrated by the relative size of the node; diamond $_{33}$

nodes = physical variables; square nodes = dissolved inorganic nutrients; hexagon nodes = photosynthetic pigments. Positive linear regressions between nodes are denoted by solid

820 lines, negative linear regressions are dashed. Line colour represents the strength of the test statistic, MIC: high > 0.8 < 1 = blue; medium > 0.6 < 0.8 = green; low > 0.47 < 0.6 = black.

























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